

United Nations Children's Fund UNICEF c/o United Nations 1, Klovskiy Uzviz KIEV 01021 Ukraine

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# REQUEST FOR PROPOSAL FOR SERVICES

LRPS-2017-9131257

20 April 2017

# **UNITED NATIONS CHILDREN'S FUND (UNICEF)**

Wishes to invite you to submit a proposal for

Intent of the present Tender is to conclude Institutional Contract to conduct the Study #Analysis of Different PMTCT Service Provision Models#

XXXXXXXX FAX/LETTER NOT SPECIFIED IN 'PREPARE ITB (ZMRQ)' XXXXXXXX



# THIS REQUEST FOR PROPOSAL FOR SERVICES HAS BEEN:

Prepared By:	$\int \mathcal{M} \mathcal{D}$	
(	town for	Date: <u> </u>
Mariana Andrash	ek	
(To be contacted	for additional information, NOT FOR SENDING	PROPOSALS)
Email: mandrash		
Approved By:	CHILD DAY	
 Valeria Markova	Ballaps	Date: <u>20104/2014</u>



# REQUEST FOR PROPOSAL FOR SERVICES FORM

This FORM must be completed, signed and returned to UNICEF.

Proposal must be made in accordance with the instructions contained in this Request for Proposal for Services (RFPS).

# TERMS AND CONDITIONS OF CONTRACT

Any Contract resulting from this RFPS shall contain UNICEF General Terms and Conditions for Institutional and Corporate Contracts and any other Specific Terms and Conditions detailed in this RFPS.

## INFORMATION

Any request for information regarding this RFPS must be forwarded by email to the person who prepared this document, with specific reference to the RFPS number.

The Undersigned, having read the Terms and Conditions of RFPS No. LRPS-2017-9131257 set out in the attached document, hereby offers to execute the services specified in this document.

Signature:				
Date:				
Name & Title:			<del></del>	
Company:				
Postal Address:				
Tel No:				
Fax No:	-			
E-mail Address:				
Currency of Proposa	al:			
Validity of Proposal:				
Please indicate which	ch of the following	Payment Terms are	offered by you:	
10 Days 3.0%	_15 Days 2.5%	20 Days 2.0%	30 Days Net	Other



ltem	Service Description	Quantity	Unit	Unit Price	Price

#### 30 Evaluation on PMTCT service models

Proposers must provide the Technical Proposal and the corresponding Price Proposal in SEPARATE CLOSED ENVELOPES with the reference NUMBER: RFPS-UKRA-2017-007/ LRPS-2017- 9131257

### Proposals must consist of:

- # Completed Request for proposal for services form. Please note the term of validity of offers must be correctly indicated. The Bids offering less than 60 days of validity shall be INVALID.
- # Technical Proposal. Ukrainian or/ Russian or /English languages. The list of documents comprising the Technical Proposal is listed below in this Request for Proposal.
- # Price Proposal in a separate sealed envelope.

## In addition, please note that:

- a. Please kindly ensure that all prices are quoted in UAH excluding VAT. Please note that UNICEF will make payments in UAH.
- b. This is a sealed proposal request and you must adhere to the response instructions in the Request for Proposal;
- c. Any inquiry for additional information concerning this Request for Proposal must be forwarded by e-mail with the tender number reference to the attention of Mariana Andrashek, mandrashek@unicef.org. PLEASE NOTE: no proposals should be sent to this e-mail.
- d. Please confirm in writing your participation in the tender (by an e-mail of voluntary form) by May 4th, 2017 at 18:00 by sending a confirming letter on the e-mail: mandrashek@unicef.org.
- e. Proposals shall be sent IN HARD COPIES ONLY to: UNICEF Ukraine. Kyiv 01021, Ukraine, 28 Instytutska Str., Entrance B, telephone: 38-044-339-93-79, fax: 230-25-06 and dropped into the Tender Box.
- f. Submission deadline: Thursday, May 11th, 2017, at 15:00.
- g. Proposals submitted otherwise (by e-mail, fax, in an opened envelope, without Request for Proposal for Services Form will be INVALIDATED.

# UNICEF UKRAINE TERMS OF REFERENCE

For implementing agency for the Study #Analysis of Different PMTCT Service Provision Models#

# 1. Purpose of Contract:

Assistance to the Ministry of Health and Ukrainian Centre of Public Health (CPH) in the Study to identify the most effective PMTCT service provision model, which has to be used as a tool to reach elimination of mother-to-child transmission (MTCT) of HIV.

## Background and Rationale

In Ukraine, the elimination of mother-to-child transmission of HIV target is set in the National AIDS Programme (NAP) 2012 -2018 as less than 2% of HIV transmission.

Ukraine adopted the WHO PMTCT strategy, applying measures under the four major components of the programme. They specifically are: primary prevention of HIV infection among women of childbearing age; preventing unintended pregnancies among women living with HIV; preventing HIV transmission from pregnant women living with HIV to their infants; providing appropriate treatment, care and support to mothers living with HIV and their children and families. However, achieving the global strategic goal # ensure the sustainable success of the PMTCT programme achieving elimination of the mother-to-child HIV transmission # remains a long-term



Item Service Description Quantity Unit Unit Price Price

perspective given the current implementation status of the PMTCT programme.

In many countries, mother and child health care services are able to provide a long-term medical aid and treat HIV-infected pregnant women, their partners and children. The meta-analysis of several studies showed the advantage of ART provision by antenatal care and mother and child health care facilities when compared to the referral of HIV-positive pregnant women to the specialized institutions [World Health Organization, 2010]. The existing systems of referral of people living with HIV may be one of the reasons for a low ART coverage. The conducted studies suggest that the remote place of residence from the AIDS centres and the travel costs are the major reasons for HIV-infected pregnant women, their children drop out from the health system, and medical workers oversight [UNAIDS, 2015].

According to international estimates, the provision of one PMTCT intervention service integrating consulting, examination, prescription of antiretroviral medicines during pregnancy, delivery as well as to a newborn child, and artificial feeding amounts to approximately US\$ 500.00 Using efficiently all mentioned above measures reduces the risk of mother-to-child HIV transmission from 40 to 2%.

In Ukraine, medical services for HIV-infected pregnant women under the conditions of health care system reformation are provided in both specialized health care institutions (AIDS centres) and obstetrics and gynaecology departments. There are no data on the comparative estimation of the costs for institution-based PMTCT interventions as well as on the economic analysis of the cost of prevention of one case of mother-to-child HIV transmission.

There is a need in a study to evaluate the completeness and quality of medical service provision to HIV-infected pregnant women based on different service provision models intended to prevent mother-to-child HIV transmission, as well as to analyse their economic efficiency. Results of the study has to be used by the MoH for immediate development of the next steps to eliminate of the mother-to-child transmission of HIV.

2. Objectives of the Contract with expected results / outcome / products /sub products/outputs:

# The objective is:

· To evaluate the completeness and quality of medical service provision to HIV-infected pregnant women based on different service provision models intended to prevent mother-to-child HIV transmission, and their economic efficiency.

### Result expected:

i) Government is informed of the best economically and clinically efficient model of PMTCT services that should be used for strengthening of PMTCT programme.

## Outputs:

- · Design, methodology and instruments for a study developed. Methodology should include statistical, sociological and economy analysis;
- · Mapping of the health and other facilities and organizations, and different service provision models for pregnant, including HIV-positive provided by AIDS centres, cabinet #Trust# primary health care institutions;
- Identification of the main factors contributing to the non provision or low quality provision of medical services as required by the Unified Clinical Protocol #Prevention of Mother-to-Child Transmission of HIV#;
- Assessment the level of knowledge of specialists in the field of quality and accessibility of medical and social services to pregnant women and children with HIV;
- · Desk review on the return on investment in provision of the PMTCT services;
- Analysis of the expenses and cost-effectives of the PMTCT interventions versus potential losses of non # provision of services for pregnant women and their infants based on different service provision models (AIDS Centres, obstetrics and gynaecology services and primary health care institutions);
- Estimation of the funds expenditures for HIV-infected pregnant women follow-up on the basis of mentioned above health care institutions;



Item	Service Description	Quantity	Unit	Unit Price	Price

- Economic analysis of the cost of preventing one case of mother-to-child HIV transmission in Ukraine and comparative analysis of the costs and benefits.
- · Analysis of results obtained by the study;
- · Organisation of round table/workshop to present a study results;
- · Production of report;

Study has to cover not less than six regions; six heath facilities and health workers to be interviewed.

- 3. Delivery dates based on the work plan (to be approved by UNICEF HIV/AIDS Officer):
- Design, methodology and instruments, budget and timetable of activities for a study # 10 calendar days from the launch of Contract;
- · Mapping of health facilities and desk-review on the return on investment in provision of the PMTCT services 15 calendar days from the launch of Contract;
- · Cost-effectiveness analysis of different service provision models # 25 calendar days from the launch of Contract
- · Preparation of the draft report of the results of study and submission to UNICEF # 40 calendar days from the launch of Contract;
- · Round-table to present the results of the study # 45 calendar days from the launch of Contract;
- Final report of the results of eth study # 60 calendar days from the launch of Contract.
- 4. Details of how the work should be delivered:

The agency/organization has to report in accordance with the delivery dates on activities and submit to UNICEF a final report upon completion of assignments.

5. Performance indicators for evaluation of results:

The evaluation of the results will be based on the following indicators:

- Technical and professional competence (will be measured by the quality of the product delivered to UNICEF as indicated in part 2 above);
- · Scope of work (No. of information materials related to the project produced in accordance to deliverables; No. of project documents prepared by the researchers);
- · Quality of work (timely submission of the intermediate products as indicated in part 2 above and final report to UNICEF)
- · Quantity of work (completing the assignments indicated in parts 2, 3, and 4 above)
- · In addition such indicators as work relations, responsibility and communication will be taken into account during the evaluation of the consultant#s work.
- 6. Qualifications/specialized knowledge/experience required to complete the task:
- · Research institution has to be experienced in the area of sociological studies and research related to health or HIV/AIDS area; Minimum required experience of Institution # 5 years.
- The research team has to be presented by professional researchers with a proven records of successful complex social and epidemiological research in the area of HIV; team should include specialists with University degree in Medicine or Public Health and scientific degree of doctor (PhD in Medicine or Public Health); Minimum required number of experts in area of HIV # 2 experts.
- Team leader obligatory minimum experience # 8 years of proven records of successful complex social and epidemiological research in the area of HIV.



Item	Service Description	Quantity	Unit	Unit Price	Price

- The team has to be experienced in work with local partners (medical health facilities, AIDS Centres and NGOs);
- Ability to work in a team, creative and problem-solving disposition, willingness to take initiative, good inter-personal skills;
- · Good computer skills;
- Team leader must have strong organisational and management skills, including financial management.
- 7. Definition of supervision arrangements:

The HIV/AIDS Officer, UNICEF Ukraine, supervises the implementation agency

8. Description of official travel involved:

Travels are not planned within the Contract. If otherwise, the travel costs are to be included into the budget, with the respective breakdown.

9. Support provided by UNICEF:

Technical assistance, consultations, funding.

Evaluation on PMTCT service models



# **SPECIAL NOTES**

# A. INSTRUCTIONS TO BIDDERS

# 1.0 PURPOSE OF THE RFPS

The purpose of the Request for Proposal for Services (RFPS) is to select an Institutional vendor for Institutional/Corporate Contract to provide services:

Study #Analysis of Different PMTCT Service Provision Models# Assistance to the Ministry of Health and Ukrainian Centre of Public Health (CPH) in the Study to identify the most effective PMTCT service provision model, which has to be used as a tool to reach elimination of mother-to-child transmission (MTCT) of HIV.

Details of the services are provided in Terms of Reference to this Request for Proposal.

# 2.0 CONTRACTUAL PROCESS

The tentative schedule of the contractual process is as follows:

- 1) Technical and financial proposals received no later than Thursday, May 11th, 2017, at 15:00
  - 2) Bid Opening Date: Thursday, May 11th, 2017, at 15:15
- 3) Anticipated Institutional/Corporate Contracts Award Date 1-2 weeks after Evaluation completion

## 3.0 PROCEDURES AND RULES

- 3.1 Confirmation of the receipt of the RFPS: Proposers are requested to confirm the receipt of this Request for Proposal for Services to the following UNICEF contact person: Mariana Andrashek, mandrashek@unicef.org. In your correspondence, please give the full contact name, title, address, telephone, fax number and email address of the individual responsible for handling this RFPS in your firm.
- 3.2 Intention to Bid: Proposers are requested to indicate whether or not your firm intends to submit a proposal and if not, indicate the reasons why your firm will not bid. Proposers are requested to confirm no later than May 4th, 2017 at 18:00 their intention to submit their proposal, by sending an e-mail to mandrashek@unicef.org.
- 3.3 IF THIS REQUEST WAS DELIVERED TO THE WRONG ADDRESS, we request that it be promptly re-directed to the person responsible for this field of activity within your institution. We apologize for any inconvenience.

# 4.0 RFPS CHANGE POLICY

- 4.1 All requests for changes or alterations to the Request for Proposal for Services or requests for clarifications must be submitted in writing by e-mail to mandrashek@unicef.org attention of Mariana Andrashek with subject title # number of RFPS. Information provided verbally will not be considered a fundamental change and will not alter this RFPS.
- 4.2 Any request for information/clarification regarding the specifications should be submitted via e-mail to mandrashek@unicef.org no later than May 4th, 2017 at 18:00. Inquiries received after that deadline cannot be guaranteed any response. Only written inquiries will receive an answer.

Please be informed that if the question is of common interest, the answer will be shared with all



potential proposers.

- 4.3 All changes to a Proposal must be received prior to the closing time and date. It must be clearly indicated that it is a modification and supersedes the earlier Proposal, or state the changes from the original Proposal.
- 4.4 Proposals may be withdrawn in writing by e-mail mandrashek@unicef.org prior to the opening time and date. Negligence on the part of the proposer confers no right for the withdrawal of the Proposal after it has been opened.
- 5.0 RFPS RESPONSE FORMAT SUBMITTING OF OFFERS IN HARD COPY (PAPER)
- 5.1 Marking and Returning Proposals Full proposals should be submitted in English, or Ukrainian or Russian language and must be received no later than Thursday, May 11th, 2017, at 15:00 in original hard copies duly signed and dated. Proposers must submit a sealed proposal, ensuring separate envelopes for the Technical Proposal and the Price Proposal. The Price Proposal must be submitted in a sealed envelope separate from the rest of the proposal. Prices or rates shall not appear in any other part of the proposal.
- 5.2 SEALED PROPOSALS must be securely closed in the Proposal Envelope, clearly MARKED on the outside with the PROPOSAL NUMBER: RFPS-UKRA-2017-007/ LRPS-2017-9131257 and dispatched to arrive at the UNICEF office indicated NO LATER THAN the CLOSING TIME AND DATE stated above and on the front of the RFPS. Sealed proposals should be sent to 28 Institutska Street, 01021 Kiev, UNICEF Ukraine, AND have to be dropped into the TENDER BOX inside the office or delivered by courier directly to the attention of: Mariana Andrashek, Contracts Officer. Proposals received in any other manner will be INVALIDATED.
- 5.3 The Proposal Envelope must contain two separate sealed envelopes, one containing the Price Proposal and one containing the Technical Proposal. Each of the two must be clearly marked with the Proposal Number and Type, i.e. either Technical Proposal or Price Proposal. Proposals received in any other manner will be INVALIDATED.
- 5.4 In the sealed envelope named: "TECHNICAL PROPOSAL\_RFPS-UKRA-2017-007/LRPS-2017- 9131257 NAME OF THE BIDDER" Proposer must submit one (1) hardcopy and one (1) soft copy on CD/flash drive.
- 5.5 In the sealed envelope named "PRICE PROPOSAL\_RFPS-UKRA-2017-007/ LRPS-2017-9131257 \_NAME OF THE BIDDER" Proposer must submit one (1) hardcopy
- 5.6. Proposals must be signed/stamped by an authorized representative of the proposer's company.
- 5.7 Offers delivered at a different address or in a different form than prescribed in this RFPS, or which do not respect the required confidentiality, or received after the designated time and date, will be rejected.
- 5.8 UNICEF will accept no responsibility for the premature opening of a Proposal which is not properly identified.
- 5.9. It is recommended that proposals be either hand-delivered to UNICEF or be sent via courier/messenger service to ensure timely arrival as the mail may be delayed due to security checks.



# 6.0 CONFIDENTIAL INFORMATION

6.1 Information which the Proposer considers confidential or proprietary must be marked clearly as such next to the relevant part of the text, and UNICEF will then treat such information in confidence.

## 7.0 RIGHTS OF UNICEF

- 7.1 UNICEF reserves the right to INVALIDATE any Proposal for reasons mentioned above, or, unless otherwise specified by UNICEF or by the Proposer, to accept any item in the Proposal.
- 7.2 UNICEF reserves the right to INVALIDATE any Proposal received from a Proposer who, in the opinion of UNICEF, is not in a position to perform the contract.
- 7.3 UNICEF also reserves the right to negotiate with the Proposer(s) who have submitted the most responsive evaluated proposals.
- 7.4 UNICEF shall not be held responsible for any cost incurred by the Proposer in preparing the response to this Request for Proposal for Services. This RFPS, along with any inquiries and responses thereto, and the proposals shall be considered the property of UNICEF and the proposals will not be returned to their originators.

## 8.0 PROPOSAL OPENING

8.1 Offers will be opened on Thursday, May 11th, 2017, at 15:00, Kyiv time.

DUE TO THE NATURE OF THIS RFPS, THERE WILL BE NO PUBLIC OPENING OF PROPOSALS.

# B. TECHNICAL AND COMMERCIAL PROPOSAL REQUIREMENTS AND CONTENT

# 1.0 INFORMATION IN THE TECHNICAL PROPOSAL

- 1.1 The proposer must provide sufficient information in the proposal to demonstrate compliance with the requirements set out in each section of this Request for Proposal for Services and the Terms of Reference.
- 1.1.1 Categories of information that are considered a mandatory requirement of this RFPS are outlined in the attached Terms of Reference under the heading, "Qualifications/specialized knowledge/experience required to complete the task".
- 1.1.2 The proposer must also provide sufficient information in the proposal to address each area of the items in the mandatory requirements and in accordance with Evaluation Matrix to ensure the evaluation team can make a fair assessment of the company based only on its proposal.

# 2.0 TECHNICAL PROPOSAL MUST CONTAIN THE FOLLOWING DOCUMENTS:

Qualifications required from the Bidders are laid out in the Terms of Reference to this RFPS.



2.1. Mandatory Requirements/Pre-qualification Criteria:

Filled out Request for Proposal for Services Form (see p. 3 of English version of RFPS). Validity of offer must be not less than 60 days. Currency must be UAH net of VAT.

Registration Certificate

Articles of Association (or the extract from the Articles of Association

containing information on the Company and types of activity)

Banking details on the bank official letterhead in English with SWIFT

code specified

Financial Statement for the last 2 years of Company's activity

(preferably in English)

Filled out Vendor Registration Form (see Annex 3) # only field marked green are obligatory for maintaining.

# 2.2. List of documents for Technical Proposal:

# Organizational / institutional history

# CVs of the proposed team of experts, demonstrating conformity with
requested Qualifications;

# Examples of prior work similar to the assignment, demonstrating
experience in work with local partners (medical health facilities, AIDS Centres and NGOs)

# Portfolio of the agency professional record confirming the experience
in the area of sociological studies and research related to health or HIV/AIDS area.

# Hard copies or links to the previously conducted studies, reports,
other assignments demonstrating conformity with requested Qualifications;
Reference letters from the previous employers # minimum 3 letters;

# Reference from the organizations/research institutions

demonstrating: Ability to work in a team, creative and problem-solving disposition, willingness to take initiative, good inter-personal skills; Good computer skills; Team leader must have strong organizational and management skills, including financial management

# Proposed Methodology (up to 2 pages) explaining how the applicant is proposing to attain the objectives and specific deliverables.

## 3.0 COMMERCIAL/PRICE PROPOSAL

3.1 The following commercial criteria must be observed in the commercial proposal:

Currency: UAH.

All prices indicated in the Price Proposal shall remain fixed for the entire period of validity of the Institutional/Corporate Contract.

Detailed financial proposal (budget specifying all presumed expenditure lines in UAH). No financial figures should be mentioned in the technical proposal at all or the application will be invalid.

The financial proposal, which needs to be submitted in a separate envelope, should include A LUMP SUM CALCULATION (with detailed budget lines) for the services rendered pursuant to Terms of Reference. All travel expenses and any other miscellaneous expenses must be included into the LUMP SUM budget and duly reflected in the provided detailed budget breakdown.

3.2 UNICEF reserves the right to:

- Contact any or all references supplied by the proposer



- Request additional supporting or supplementary data (from the proposers)
- Arrange interviews with the proposed Project Team/Consultants
- Reject any or all proposals submitted
- Accept any proposals in whole or in part
- Enter into negotiations with the selected supplier
- Award contracts to more than one proposer for portions of the Terms of Reference defined herein

# 4.0 PROPOSAL EVALUATION PROCESS AND METHOD

4.1 Evaluation of Proposals:

UNICEF will set up an evaluation/selection team composed of technical and contracting representatives. Following the submission of the proposals, an evaluation will be conducted to assess the merits of each proposal. The evaluation will be restricted exclusively to the contents of the proposal, references and corporate financial health.

4.2 The documents required for pre-qualification

Each response will first be evaluated by UNICEF for compliance with the mandatory requirements of this RFPS: submission of documents listed in 2.1.Mandatory Requirements/Pre-qualification Criteria, submission in separate sealed envelopes, duly signed and stamped Request for Proposal for Services Form with correct currency and Bid validity term.

- 4.3 Failure to comply with any of the terms and conditions contained in this RFPS, including the provision of all required information, may result in a proposal being disqualified from further consideration.
- 4.4 At the next stage, the responses which comply with the stated mandatory criteria will be evaluated in accordance with the technical evaluation criteria as outlined in the Terms of Reference and according to the relative weighting that UNICEF ascribes to each criterion in Technical Evaluation Matrix.
- 4.5 For the proposal to be considered technically compliant, the proposer must achieve a minimum score of 70 of out 100. Proposals not meeting this minimum score will be considered technically non-complaint and will be given no further consideration.
- 4.6 Technical reviews may also include site visits, oral presentations and demonstrations, and checking of references.
- 4.7 Only at this stage will price be considered. The price/cost of each of the technically compliant proposals will be considered using the same methodology. The total LUMP SUM value provided shall be considered at the stage of financial evaluation.
- 4.8 Finally, the overall score for each of the proposals is calculated based on:

Maximum Points

Technical Proposal Score: 100 points (70 point out of 100 - acceptable threshold)

Price Proposal Score: 100 points. Overall Proposal Score: 200 points

The weight of each offer shall have the ratio of:

70% weigh of Technical Proposal. Meaning that the overall technical evaluated score will be calculated: X points obtained by Technical Proposal \* 0.7 = Overall evaluated score for Technical Proposal



30% weight of Price Proposal. Meaning that the overall financial evaluated score will be calculated: X points obtained by Price Proposal \* 0.3 = Overall evaluated score for Price Proposal.

Most responsive evaluated offer is the SUM of overall evaluated scores for Technical and Price Proposal of each Bidder.

Price Proposal

The total amount of points allocated for the price component of each category is 100. The maximum number of points will be allotted to the lowest price proposal (total lump sum value). All other price proposals will receive points in inverse proportion to the lowest price.

Score for price proposal X = (Max score for price proposal \* Price of lowest priced proposal) / Price of proposal X.

Technical Evaluation Matrix - see Annex 1 attached.

# INSTRUCTIONS TO VENDORS

# A. INTRODUCTION

- **1.0 UNICEF**, the United Nations Children's Fund, an international, inter-governmental organization established by the General Assembly of the United Nations by resolution No. 57(1) of 11 December, 1946 as a subsidiary organ of the United Nations, having its headquarters in New York, with offices in over 160 countries spanning 260 locations.
- **2.0 UNICEF** works with governments, civil society organizations, and other organizations around the world to advance children's rights to survival, protection, health, development and participation and is guided by the Convention on the Rights of the Child.
- C. COMMERCIAL PROVISIONS

# 1.0 PERFORMANCE, PRICES AND PAYMENT

The resulting Institutional/Corporate Contract will define all applicable authorities related to this engagement. UNICEF will, together with the selected contractor, determine the criteria for fulfillment of the contract. UNICEF requirements are based on the Terms of Reference of this RFPS.

### 1.1. Prices

Institutional/Corporate Contract resulting from this RFPS shall fix the indicated prices in UAH for the period of validity of the Contract pursuant to the Price Proposal submitted for this RFPS.

- 1.2. All payments shall be processed in the National Currency of Ukraine
- 1.3 UNICEF shall process payment upon receipt of delivered services within 30 calendar days.

# 2.0 PROPERTY OF UNICEF

This Request for Proposal for Services, inquiries and answers and the Proposals are considered the property of UNICEF. All materials submitted in response to this Request shall remain with UNICEF.

## 3.0 VALIDITY OF PROPOSALS

3.1 Proposals should be valid for a period of not less than 60 days after proposal opening,



unless otherwise specified in the Specific Terms and Conditions. Proposers are requested to indicate the validity period of their proposal, as UNICEF may issue contracts against the most responsive evaluated proposal if requests for identical services are received from our offices/divisions during the proposal validity period. UNICEF may also request the validity period to be extended.

# 4.0 UNICEF GENERAL TERMS AND CONDITIONS FOR INSTITUTIONAL/CORPORATE CONTRACTS

4.1 As a result of this RFPS the Institutional/Corporate Contract / Construction Contract shall be signed with the successful Bidder. The template of the Contract is attached in Annex to this Request for Proposal. The text of the template Contract shall be amended to specific conditions of the contracted services.

The UNICEF General Terms and Conditions for Institutional/Corporate Contracts included in this RFPS will form part of any resulting contract. The Template is attached herewith. All documents are signed in English as main language.

# 5.0 FULL RIGHT TO USE AND SELL

5.1 The proposer warrants that it has not and shall not enter into any agreement or arrangement that restrains or restricts UNICEF rights to use, sell, dispose of or, otherwise, deal with any item that may be acquired under any resulting Contract.

## 6.0 REFERENCES

6.1 The proposer is requested to provide the name of at least three (3) clients to whom it provides similar services. UNICEF reserves the right to contact these references, without notifying the proposer.

## 7.0 PROPOSER'S REPRESENTATIONS

7.1 The proposer represents and warrants that it has the personnel, experience, qualifications, facilities, financial resources and all other skills and resources to perform his or her obligations under any resulting Contract.

# 8.0 ERROR IN PROPOSAL

8.1 Proposers are expected to examine all Schedules and all Instructions pertaining to the work or Proposal. Failure to do so will be at proposers own risk. In case of errors in the extension price, unit price shall govern.

# 9.0 AWARD / ADJUDICATION OF PROPOSALS

- 9.1 The Institutional/Corporate Contract (s) will be awarded to the Proposer offering the most responsive evaluated proposal and whose services are commercially, technically acceptable, and whose Proposal is in compliance with all Instructions, Specific Terms and Conditions, Special Notes and General Terms and Conditions contained in the RFPS, providing the Proposal is reasonable and it is in the interest of UNICEF to accept it.
- 9.2 UNICEF reserves the right to make multiple arrangements for any item(s)/services where, in the opinion of UNICEF, the most responsive evaluated Proposer cannot fully meet the requirements or if it is deemed to be in UNICEF's best interest to do so. Any arrangement under this condition will be made on the basis of the most responsive, second most responsive and third most responsive, etc. evaluated proposal which meets all the requirements stated in the RFPS document.
- 9.3 In case of an award, Proposers who have not previously received Institutional/Corporate Contracts from UNICEF may receive an Institutional/Corporate Contract for a limited service / period until satisfactory performance is established.



ANNEXES :

Annex 1 : Technical Evaluation Matrix

Annex 2 : Institutional/Corporate Contract Template;

Annex 3: Vendor Registration Form

PLEASE NOTE: IF THE REQUEST FOR PROPOSAL FOR SERVICES FORM WAS NOT UPLOADED OR ATTACHED to the Advertising, please request such via e-mail from Mariana Andrashek, mandrashek@unicef.org

# D. GENERAL PROVISIONS

# 1.0 GENERAL SERVICES ADMINISTRATION (GSA) FOR USA VENDORS ONLY

In the event that the Contractor offers a lower price to the General Services Administration (GSA) of the federal government of the United States of America for similar services, UNICEF shall be entitled to same lower price. The attached UNICEF General Terms and Conditions for Institutional/Corporate Contracts shall have precedence.

# 2.0 CONTRACTUAL ARRANGEMENTS WITH UNITED NATIONS AND/OR AGENCIES

2.1 UNICEF is entitled to receive the same pricing offered in contracts with the United Nations and/or its Agencies. The attached UNICEF General Terms and Conditions for Institutional/Corporate Contracts shall have precedence.

# 3.0 MOST FAVOURED CUSTOMER PRICE/RATE CERTIFICATION

3.1 By submitting an offer the proposers certify that UNICEF, for Contracts resulting from this Request for Proposal for Services, is not being charged more than other clients for similar services and similar quantities and within similar circumstances.

# 4.0 LIQUIDATED DAMAGES

4.1 For late delivery of items/services or for items/services which do not meet UNICEF's specifications/requirements and are therefore rejected by UNICEF, UNICEF shall be entitled to claim liquidated damages from the successful proposer, and deduct 0.5% of the value of the items/services pursuant to a Institutional/Corporate Contract, per additional day of delay, up to a maximum of 10% of the value of the Institutional/Corporate Contract. The payment or deduction of such liquidated damages shall not relieve the successful proposer from any of its other obligations or liabilities pursuant to any Institutional/Corporate Contract.

# 5.0 ORDER OF PRECEDENCE

- 5.1 The UNICEF General Terms and Conditions for Institutional/Corporate Contracts to this RFPS shall apply to any resulting LTAS and/or Institutional/Corporate Contracts. In the case of any inconsistencies, the following order of precedence shall prevail:
- (a) UNICEF General Terms and Conditions for Institutional/Corporate Contracts;
- (b) LTAS/Institutional/Corporate Contract.

# 6.0 UNETHICAL BEHAVIOUR

6.1 UNICEF strictly enforces a policy of zero tolerance concerning unethical, unprofessional or fraudulent acts of UNICEF suppliers / contractors. Accordingly, any registered company that is found to have undertaken unethical, unprofessional or fraudulent activities will be suspended or



forbidden from continuing business relations with UNICEF.

## 7.0 CORRUPT AND FRAUDULENT PRACTICES

- 7.1 UNICEF requires that all suppliers / contractors associated with this Institutional/Corporate Contract observe the highest standard of ethics during procurement and execution of the work. In pursuance of this policy UNICEF
- (a) Defines for the purpose of this provision the terms set forth as follows:
- (i) 'corrupt practice' means the offering, giving, receiving or soliciting of any thing of value to influence the action of a public official in the procurement process or in the execution of a contract, and
- (ii) 'fraudulent practice' means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of the client, and includes collusive practice among proposers (prior to or after bid submission) designed to establish bid prices at artificial non-competitive levels and to deprive the client of the benefits of free and open competition;
- (b) Will reject a proposal for award if it determines that the selected supplier / contractor has engaged in any corrupt or fraudulent practices in competing for the contract in question;
- (c) Will declare a supplier / contractor ineligible, either indefinitely or for a stated period of time, to be awarded a UNICEF-financed contract if at any time it determines that it has engaged in any corrupt or fraudulent practices in competing for, or in executing a UNICEF-financed contract.

# 8.0 GUIDELINES ON GIFTS AND HOSPITALITY

8.1 Suppliers / contractors shall not offer gifts or hospitality to UNICEF staff members. Recreational trips to sporting or cultural events, theme parks or offers of holidays, transportation, or invitations to extravagant lunches or dinners are also prohibited.

### 9.0 POST-EMPLOYMENT RESTRICTIONS

- 9.1 The United Nations (UN) has established restrictions on employment of (former) UN staff who have been involved in the procurement process as per bulletin ST/SGB/2006/15.
- 9.2 For a period of one year following separation from service, former staff members who have participated in the procurement process for the UN before separation of the service are prohibited from seeking or accepting employment with, or otherwise accepting any form of compensation or financial benefit from, any UN contractor or vendor of goods and services, regardless of location, which conducts business with the UN or seeks to do so and with whom such staff members have been personally involved in the procurement process during the last three years of service with the UN.
- 9.3 For a period of two years following separation from service, former staff members who have participated in the procurement process for the UN before separation of the service are prohibited from knowingly communicating with, or appearing before, any staff member or unit of the UN on behalf of any third party on any particular matters that were under their official responsibility relating to the procurement process during the last three years of their service with the UN.
- 9.4 The United Nations respectfully requests all contractors and vendors to adhere to these



regulations. Any UN contractor or vendor who offers employment, hires or otherwise compensates staff members in violation of the provisions of the bulletin may be subject to having its registration as a qualified vendor with the UN barred, suspended or terminated, in accordance with UN procurement policies and procedures.

# 10.0 DISCLOSURE OF SANCTIONS OR TEMPORARY SUSPENSION

10.1 Only suppliers found to be responsible or conditionally responsible are eligible to be awarded UNICEF contracts and/or to bid on UNICEF solicitations. To be deemed a responsible supplier with whom UNICEF will conduct business, a supplier should not be suspended, debarred, or otherwise identified as ineligible by any organization within the World Bank Group or any other International or UN Organization. Suppliers are therefore required to disclose to UNICEF whether they are subject to any sanction or temporary suspension imposed by the World Bank Group or any other International or UN Organization.



#### ACKNOWLEDGMENT COPY

Signing and returning the acknowledgment copy of a contract issued by UNICEF or beginning work under that contract shall constitute acceptance of a binding agreement between UNICEF and the Contractor.

#### DELIVERY DATE

Delivery Date to be understood as the time the contract work is completed at the location indicated under Delivery Terms.

#### PAYMENT TERMS

- (a) UNICEF shall, unless otherwise specified in the contract, make payment within 30 days of receipt of the Contractor's invoice which is issued only upon UNICEF's acceptance of the work specified in the contract.
- (b) Payment against the invoice referred to above will reflect any discount shown under the payment terms provided payment is made within the period shown in the payment terms of the contract.
- (c) The prices shown in the contract cannot be increased except by express written agreement by UNICEF.

#### 4. LIMITATION OF EXPENDITURE

No increase in the total liability to UNICEF or in the price of the work resulting from design changes, modifications, or interpretations of the statement of work will be authorized or paid to the contractor unless such changes have been approved by the contracting authority through an amendment to this contract prior to incorporation in the work.

#### TAX EXEMPTION

Section 7 of the Convention on the Privileges and Immunities of the United Nations provides, inter alia, that the UN, including its subsidiary organs, is exempt from all direct taxes and is exempt from customs duties in respect of articles imported or exported for its official use. Accordingly, the Vendor authorizes UNICEF to deduct from the Vendor's invoice any amount representing such taxes or duties charged by the Vendor to UNICEF. Payment of such corrected invoice amount shall constitute full payment by UNICEF. In the event any taxing authority refuses to recognize the UN exemption from such taxes, the Vendor shall immediately consult with UNICEF to determine a mutually acceptable procedure.

Accordingly, the Contractor authorizes UNICEF to deduct from the Contractor's invoice any amount representing such taxes, duties, or charges, unless the Contractor has consulted with UNICEF before the payment thereof and UNICEF has, in each instance, specifically authorized the Contractor to pay such taxes, duties or charges under protest. In that event, the Contractor shall provide UNICEF with written evidence that payment of such taxes, duties or charges has been made and appropriately authorized.

### LEGAL STATUS.

The Contractor shall be considered as having the legal status of an independent contractor vis-a-vis UNICEF. The Contractor's personnel and sub-contractors shall not be considered in any respect as being the employees or agents of UNICEF.

# CONTRACTOR'S RESPONSIBILITY FOR EMPLOYEES

The Contractor shall be responsible for the professional and technical competence of its employees and will select, for work under this Contract, reliable individuals who will perform effectively in the implementation of the Contract, respect the local customs and conform to a high standard of moral and ethical conduct.

#### 8. INDEMNIFICATION

The Contractor shall indemnify, hold and save harmless and defend, at its own expense, UNICEF, its officials, agents, servants and employees, from and against all suits, claims, demands and liability of any nature or kind, including their costs and expenses, arising out of the acts or omissions of the Contractor or its employees or sub-contractors in the performance of this Contract. This provision shall extend, inter alia, to claims and liability in the nature of workmen's compensation, product liability and liability arising out of the use of patented inventions or devices, copyrighted material or other intellectual property by the Contractor, its employees, officers, agents, servants or sub-contractors. The obligations under this Article do not lapse upon termination of this Contract.

### 9. INSURANCE AND LIABILITIES TO THIRD PARTIES

- (a) The Contractor shall provide and thereafter maintain insurance against all risks in respect of its property and any equipment used for the execution of this Contract.
- (b) The Contractor shall provide and thereafter maintain all appropriate workmen's compensation and liability insurance, or its equivalent, with respect to its employees to cover claims for death, bodily injury or damage to property arising from the execution of this Contract. The Contractor represents that the liability insurance includes sub-contractors.
- (c) The Contractor shall also provide and thereafter maintain liability insurance in an adequate amount to cover third party claims for death or bodily injury, or loss of or damage to property, arising from or in connection with the provision of work under this Contract or the operation of any vehicles, boats, airplanes or other equipment owned or leased by the Contractor or its agents, servants, employees or sub-contractors



performing work or services in connection with this Contract.

- (d) Except for the workmen's compensation insurance, the insurance policies under this Article shall:
  - (i) name UNICEF as additional insured;
  - (ii) include a waiver of subrogation of the Contractor's rights to the insurance carrier against UNICEF;
- (iii) provide that UNICEF shall receive thirty (30) days written notice from the insurers prior to any cancellation or change of coverage.
  - (iv) The Contractor shall, upon request, provide UNICEF with satisfactory evidence of the insurance required under this Article.

#### 10. SOURCE OF INSTRUCTIONS

The Contractor shall neither seek nor accept instructions from any authority external to UNICEF in connection with the performance of its services under this Contract. The Contractor shall refrain from any action which may adversely affect UNICEF or the United Nations and shall fulfil its commitments with the fullest regard to the interests of UNICEF.

#### 11. ENCUMBRANCES/LIENS

The Contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with UNICEF against any monies due or to become due for any work done or materials furnished under this Contract, or by reason of any other claim or demand against the Contractor.

#### TITLE TO EQUIPMENT

Title to any equipment and supplies which may be furnished by UNICEF shall rest with UNICEF and any such equipment shall be returned to UNICEF at the conclusion of this Contract or when no longer needed by the Contractor. Such equipment when returned to UNICEF shall be in the same condition as when delivered to the Contractor, subject to normal wear and tear.

## 13. COPYRIGHT, PATENTS AND OTHER PROPRIETARY RIGHTS

UNICEF shall be entitled to all intellectual property and other proprietary rights including but not limited to patents, copyrights and trademarks, with regard to documents and other materials which bear a direct relation to or are prepared or collected in consequence or in the course of the execution of this contract. At UNICEF's request, the Contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring them to the UNICEF in compliance with the requirements of the applicable law.

## 14. CONFIDENTIAL NATURE OF DOCUMENTS

- (a) All maps, drawings, photographs, mosaics, plans, reports, recommendations, estimates, documents and all other data compiled by or received by the Contractor under this Contract shall be the property of UNICEF, shall be treated as confidential and shall be delivered only to the UN authorized officials on completion of work under this Contract.
- (b) The Contractor may not communicate any time to any other person, Government or authority external to UNICEF, any information known to it by reason of its association with UNICEF which has not been made public except with the authorization of the UNICEF; nor shall the Contractor at any time use such information to private advantage. These obligations do not lapse upon termination of this Contract with UNICEF.

# 15. FORCE MAJEURE; OTHER CHANGES IN CONDITIONS

- (a) In the event of and as soon as possible after the occurrence of any cause constituting force majeure, the Contractor shall give notice and full particulars in writing to UNICEF of such occurrence or change if the Contractor is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under this Contract. The Contractor shall also notify UNICEF of any other changes in conditions or the occurrence of any event which interferes or threatens to interfere with its performance of the Contract. On receipt of the notice required under this Article, UNICEF shall take such action as, in its sole discretion, it considers to be appropriate or necessary in the circumstances, including the granting to the Contractor of a reasonable extension of time in which to perform its obligations under the Contract.
- (b) If the Contractor is rendered permanently unable, wholly, or in part, by reason of force majeure to perform its obligations and meet its responsibilities under this Contract, UNICEF shall have the right to suspend or terminate this Contract on the same terms and conditions as are provided for in Article 16, 'Termination', except that the period of notice shall be seven (7) days instead of thirty (30) days.
- (c) Force majeure as used in this Article means acts of God, war (whether declared or not), invasion, revolution, insurrection or other acts of a similar nature or force.

#### 16. TERMINATION

If the Contractor fails to deliver any or all of the deliverables within the time period(s) specified in the contract, or fails to perform any of the terms, conditions, or obligations of the contract, or should the Contractor be adjudged bankrupt, or be liquidated or become insolvent, or should the contractor make an assignment for the benefit of its creditors, or should a Receiver be appointed on account of the insolvency of the contractor, UNICEF may, without prejudice to any other right or remedy it may have under the terms of these conditions, terminate the Contract,



forthwith, in whole or in part, upon thirty (30) days notice to the Contractor.

UNICEF reserves the right to terminate without cause this Contract at any time upon thirty (30) days prior written notice to the Contractor, in which case UNICEF shall reimburse the Contractor for all reasonable costs incurred by the Contractor prior to receipt of the notice of termination.

In the event of any termination no payment shall be due from UNICEF to the Contractor except for work and services satisfactorily performed in conformity with the express terms of this contract.

Upon the giving of such notice, the Contractor shall have no claim for any further payment, but shall remain liable to UNICEF for reasonable loss or damage which may be suffered by UNICEF for reason of the default. The Contractor shall not be liable for any loss or damage if the failure to perform the contract arises out of force majeure.

Upon termination of the contract, UNICEF may require the contractor to deliver any finished work which has not been delivered and accepted, prior to such termination and any materials or work-in-process related specifically to this contract. Subject to the deduction of any claim UNICEF may have arising out of this contract or termination, UNICEF will pay the value of all such finished work delivered and accepted by UNICEF.

The initiation of arbitral proceedings in accordance with Article 22 'Settlement of Disputes' below shall not be deemed a termination of this Contract.

#### 17. SUB-CONTRACTING

In the event the Contractor requires the services of subcontractors, the Contractor shall obtain the prior written approval and clearance of UNICEF for all sub-contractors. The approval of UNICEF of a sub-contractor shall not relieve the Contractor of any of its obligations under this Contract. The terms of any sub-contract shall be subject to and in conformity with the provisions of this Contract.

#### 18. ASSIGNMENT AND INSOLVENCY

- a. The Contractor shall not, except after obtaining the written consent of UNICEF, assign, transfer, pledge or make other dispositions of the Contract, or any part thereof, of the Contractor's rights or obligations under the Contract.
- b. Should the Contractor become insolvent or should control of the Contractor change by virtue of insolvency, UNICEF may, without prejudice to any other rights or remedies, terminate the Contract by giving the Contractor written notice of termination.

# 19. USE OF UNITED NATIONS AND UNICEF NAME AND EMBLEM

The Contractor shall not use the name, emblem or official seal of the United Nations or UNICEF or any abbreviation of these names for any purpose.

### OFFICIALS NOT TO BENEFIT

The Contractor warrants that no official of UNICEF or the United Nations has received or will be offered by the Contractor any direct or indirect benefit arising from this Contract or the award thereof. The Contractor agrees that breach of this provision is a breach of an essential term of the Contract.

## 21. PROHIBITION ON ADVERTISING

The Contractor shall not advertise or otherwise make public that the Vendor is furnishing goods or services to UNICEF without specific permission of UNICEF.

#### 22. SETTLEMENT OF DISPUTES

### Amicable Settlement

The Parties shall use their best efforts to settle amicably any dispute, controversy or claim arising out of, or relating to this Contract or the breach, termination or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the UNCITRAL Conciliation Rules then obtaining, or according to such other procedure as may be agreed between the parties.

## Arbitration

Any dispute, controversy or claim between the Parties arising out of this Contract or the breach, termination or invalidity thereof, unless settled amicably under the preceding paragraph of this Article within sixty (60) days after receipt by one Party or the other Party's request for such amicable settlement, shall be referred by either Party to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The arbitral tribunal shall have no authority to award punitive damages. In addition, the arbitral tribunal shall have no authority to award interest in excess of six percent (6%) and any such interest shall be simple interest only. The Parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such controversy, claim or dispute.

### 23. PRIVILEGES AND IMMUNITIES

The privileges and immunities of the UN, including its subsidiary organs, are not waived.



#### 24. CHILD LABOUR

UNICEF fully subscribes to the Convention on the Rights of the Child and draws the attention of potential suppliers to Article 32 of the Convention which inter alia requires that a child shall be protected from performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical, mental, spiritual, moral or social development.

#### 25. ANTI-PERSONNEL MINES

UNICEF supports an international ban on the manufacture of anti-personnel mines. Anti-personnel mines have killed and maimed thousands of people, of whom a large proportion are children and women. Anti-personnel mines present a serious obstacle to the return of populations displaced from their residences by fighting around their villages and homes. UNICEF has, therefore, decided not to purchase products from companies that sell or manufacture anti-personnel mines or their components.

#### 26. AUTHORITY TO MODIFY

No modification or change in this Contract, no waiver of any of its provisions or any additional contractual relationship of any kind with the Contractor shall be valid and enforceable against UNICEF unless provided by an amendment to this Contract signed by the authorized official of UNICEF.

#### 27. REPLACEMENT OF PERSONNEL

UNICEF reserves the right to request the Contractor to replace the assigned personnel if they are not performing to a level that UNICEF considers satisfactory. After written notification, the Contractor will provide curriculum vitae of appropriate candidates within three (3) working days for UNICEF review and approval. The Contractor must replace the unsatisfactory personnel within seven (7) working days of UNICEF's selection.

If one or more key personnel become unavailable, for any reason, for work under the contract, the Contractor shall (i) notify the project authority at least fourteen (14) days in advance, and (ii) obtain the project authority's approval prior to making any substitution of key personnel. Key personnel are designated as follows:

- (a) Personnel identified in the proposal as key individuals (as a minimum, partners, managers, senior auditors) to be assigned for participation in the performance of the contract.
- (b) Personnel whose resumes were submitted with the proposal; and
- (c) Individuals who are designated as key personnel by agreement of the Contractor and UNICEF during negotiations.

In notifying the project authority, the Contractor shall provide an explanation of circumstances necessitating the proposed replacement(s) and submit justification and qualification of replacement personnel in sufficient detail to permit evaluation of the impact on the engagement.

Acceptance of a replacement person by the project authority shall not relieve the Contractor from responsibility for failure to meet the requirements of the contract.

