

Terms of Reference

Stakeholder and Institutional Expert for the preparation of UNDP/GEF project:

Strengthening the enabling framework for biodiversity mainstreaming and mercury reduction in small-scale gold mining operations

Position: Stakeholder analysis and institutional expert for the design of the GEF

Full-Sized project "Strengthening the enabling framework for biodiversity mainstreaming and mercury reduction in small-scale gold

mining operations"

Location: Guyana (with possible travel to the interior)

Vacancy Type: National Application Deadline: July 20, 2018

Type of Contract: Individual Consultant

Language required: English

Starting Date: August 6, 2018

Duration of Contract: Six (6 weeks) over the period August 16, 2018 to December 05, 2018

I. Background

Guyana maintains high levels of forest with an estimated 87% forest cover or 18.4 million hectares. The Guyana Highlands are considered one of the four largest contiguous and intact forested ecoregions, and form part of the Amazon forest. Two globally important ecoregions are found in Guyana. Biodiversity levels in Guyana's forests are extremely high.

While forest cover remains high, deforestation and forest degradation rates have increased substantially since the 1990s. Eighty-five percent of the recorded deforestation and 87% of forest degradation is due to mining. Various types of mineral and non-mineral mining take place, including gold, diamonds, bauxite and sand, among others, and the possibility of mining low-value minerals and rare earth is being explored. However, it is the gold mining sector that is leading to the most significant negative impacts on the country's forests, biodiversity, and health of its watersheds. Gold mining is primarily carried out by small -scale miners. There are over 16,000 small scale miners, and due to their location in the vast interior and institutional barriers, much of the activity is uncontrolled, unplanned and haphazard.

The Government of Guyana has requested UNDP to provide support in the design, preparation and elaboration of one Full Size project from Guyana's STAR allocation under GEF-6 funding.

UNDP's Sustainable Development Cluster is responsible for providing leadership and technical support for the delivery of the Environment and Sustainable Development pillar of UNDP's Strategic Plan. Its main focus is on helping countries develop the capacity to fully incorporate environmental sustainability into development at national and local, but also global and regional, levels.

UNDP, acting as an implementing agency of GEF, is providing assistance to Guyana in the preparation of the GEF Full Size Project (FSP). The objective of the GEF Project Preparation Grant (PPG) is to

develop the project concept into a full project: "Strengthening the enabling framework for biodiversity mainstreaming and mercury reduction in small-scale gold mining operations".

In accordance with UNDP procedures, UNDP is hiring a team of consultants to develop the ProDoc and CEO Endorsement for the project for submission to the GEF Secretariat fully compliant with the applicable GEF guidelines and standards.

The purpose of the PPG is to fully design the activities, outputs, and outcomes of the 'Strengthening the enabling framework for biodiversity mainstreaming and mercury reduction in small-scale gold mining operations" full size project and to fully develop a full set of project documentation, including UNDP project document and GEF CEO endorsement document, for submission to UNDP and GEF. The GEF templates and guidelines can be found at: http://www.thegef.org/gef/guidelines_templates

2. Scope of Work

UNDP is seeking a qualified national expert for the following position: Stakeholder analysis and institutional expert for preparation of UNDP/GEF project: "Strengthening the enabling framework for biodiversity mainstreaming and mercury reduction in small-scale gold mining operations"

The main objectives of this consultancy are the execution of a stakeholder analysis, development of a project stakeholder involvement plan, institutional capacity assessment and identification of institutional strengthening needs for the Project Document (ProDoc). This will involve analysis of the relevant stakeholders, consultations and meetings as necessary to identify the mandate of each stakeholder and their specific role in the project and to carry out the institutional assessment.

3. Implementation Arrangements

UNDP will contract and make payments upon certification of deliverables and completion of the assignment by the UNDP Country Office. The principal responsibility for managing the consultant will lie with the Regional Technical Advisor (RTA) at the UNDP Regional Hub in Panama and with the Programme Analyst (PA) - Energy, Environmental and Extractive Industries (EEEI), UNDP Guyana. The Consultant would therefore report directly to the RTA, Panama and the PA, Guyana.

The consultant will coordinate as necessary with other members of the project preparation (PPG) team, in particular, the international GEF project design expert, among others.

4. Description of Responsibilities

Stakeholder and Institutional Expert

Duties and Responsibilities

The expert will be responsible for the following tasks:

- Examining the existing institutional framework for management of gold mining (mandates, institutional overlap, etc.) and carrying out institutional capacity assessments for EPA; GGMC, the Compliance, Occupational Health and Safety Unit of MNR, among others;
- ➤ Identifying constraints and barriers to addressing institutional weaknesses;
- ➤ Identifying most appropriate project interventions to strengthen institutional capacities and the institutional framework;
- Determine whether the project will support the purchase and/or use of drones and satellite imagery to strengthen the Compliance, Occupational Health and Safety Unit of the MNR and cost these elements;

Stakeholder and Institutional Expert

Duties and Responsibilities

- ➤ Identify the most appropriate project interventions to strengthen inter-institutional coordination mechanisms;
- Holding workshops with institutional stakeholders to support the institutional capacity assessments and identification of institutional strengthening needs;
- Providing advice as to availability of expertise to execute the Full Sized project and how to minimize potential negative impacts of capacity constraints on project execution.
- Carry out comprehensive stakeholder analysis and prepare stakeholder engagement plan. Among other issues, ensure that the stakeholder engagement plan adequately addresses involvement of indigenous people and the role of the Ministry of Indigenous Peoples' Affairs as this agency would need to approve of any activities or studies taking place with indigenous peoples and can also provide support to convene meetings and secure input into the selection of facilitators, following the established rules of local governance and engagement of different Amerindian villages.
- Assess the project's impact on indigenous people and adequately assess and address all UNDP SES and GEF mandatory policies on indigenous people. Ensure that the project design and implementation follow the FPIC process.

5. Minimum Education and Specific Qualifications for Stakeholder analysis and institutional expert

Education

A. Education:

 Master's degree or higher in sociology, political science, public administration or other related field

Specific qualifications

Years of experience:

- Minimum 3 years of demonstrable experience in stakeholder and institutional analysis
- Fluency in written and spoken English

B. Work experience:

- Minimum of 5 years' work experience in relevant sectors in Guyana.
- Proven experience with collection and analysis of similar data on stakeholder and institutional issues.

C. Specific work experience

• Experience with collection and analysis of similar data on stakeholder and institutional issues related to extractive industries is a strong asset.

Competencies:

- Demonstrates integrity and fairness;
- Treats all people fairly and without favoritism/bias or having irreconcilable personal interest in Guyana mining sector.
- Strong interpersonal and communication skills;
- Commitment to team work and to working across disciplines;
- Proficiency in standard computer software (word-processing, excel, presentations, databases and internet)
- Excellent drafting ability and presentation and communication skills;
- Ability to produce clear and concise written reports.

6. Deliverables and indicatives dates

Deliverables (changes in sequencing are possible)	Indicative dates	Indicative percentage
I. Work plan for this consultancy.	August 10, 2018	5%
2. Carry out a stakeholder analysis and prepare a draft stakeholder engagement plan. a) Lead the stakeholder analysis and consultations to ensure all key stakeholders have an opportunity to provide feedback on the project design and to identify the specific role of stakeholders. This will involve consultations and workshops in the field and at the national level. All consultations will be documented and minutes taken. As highlighted in greater detail in the Duties and Responsibilities section, this	September 7, 2018	30%
stakeholder analysis must include an assessment of project impact on indigenous communities and identified of their role in the project. All consultations must follow FPIC procedures and UNDP and GEF policies on engagement of indigenous peoples; b) Prepare the draft Stakeholder Engagement Plan		
(Annex F) of the ProDoc and a summary of the Stakeholder Engagement Plan to include in the body of the ProDoc itself. This Plan should detail the mandate of each of the main stakeholders, as well as the specific role each of the stakeholders is expected to play in the project, with reference to specific project outputs as well as to the project's management arrangements as appropriate.		
c) Provide text for the Partnerships section of the ProDoc (Describe how the project will work with partners to achieve results and briefly map what other stakeholders and initiatives are doing to address the development challenge. This should include other GEF/LCDF/SCCF financed projects and other relevant projects.)		
d) Provide inputs to the International Lead Design consultant on proposed project management arrangements, based on the consultations carried out with key stakeholders.		
e) Provide inputs to the International Lead Design consultant for the SESP (Social and Environmental Screening) on how to mitigate any potential negative impacts on different stakeholder groups, including but not limited to women, youth, indigenous and local communities.		

Deliverables (changes in sequencing are possible)	Indicative dates	Indicative
		percentage
This national expert will also liaise with and feed		
relevant succinct information to the International		
Project Design Expert to support the drafting of the		
ProDoc, in particular but not necessarily limited to the		
socio-economic context section, institutional context		
section, barriers section, project strategy section, as it		
relates to project stakeholders and insitutions This		
consultant will also provide support in the		
identification of appropriate socio-economic and		
institutional strengthening indicators, baselines,		
targets and sources of verification to include in the		
project Strategic Results Framework.	2 1 5 2222	270/
3. Prepare <u>draft institutional analysis</u>	October 5, 2018	25%
a) Carry out institutional analysis to support the		
ProDoc context section as well as feed into the		
project design in terms of institutional		
strengthening. This will require holding workshops		
with institutional stakeholders to support the		
institutional capacity assessments and to identify		
institutional strengthening needs. This analysis must		
examine the existing institutional framework for		
management of gold mining (mandates,		
institutional overlap, etc.) and carry out institutional		
capacity assessments for EPA; GGMC, the		
Compliance, Occupational Health and Safety Unit		
of MNR, among others. Constraints and barriers to		
addressing institutional weaknesses should be		
identified in this report. The report should also		
identify the most appropriate project interventions		
to strengthen institutional capacities, the		
institutional framework and inter-institutional		
coordination mechanisms (see duties and		
responsibilities section for more details). This		
consultant will also be responsible for ensuring that		
baseline data on institutional capacity is gathered for		
inclusion in the project Results Framework, based		
on the agreed upon indicator(s) and under the		
guidance of the International Project Design		
consultant.	0 . 1 21 2010	200/
4. Prepare final stakeholder engagement plan, incorporating	October 31, 2018	20%
the inputs from the Lead International Project design		
consultant, the UNDP Country Office, the national PPG		
coordinator, the UNDP-GEF Regional Technical Advisors,		
and others. Also provide revised Partnerships section and text		
for SESP on social safeguards for vulnerable stakeholder groups based on feedback of above mentioned stakeholders as		
well.		
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Deliverables (changes in sequencing are possible)	Indicative dates	Indicative
		percentage
5. Prepare <u>final institutional analysis and report</u> to feed into	November 30,	20%
the ProDoc, incorporating the inputs from the Lead	2018	
International Project design consultant, the UNDP Country		
Office, the national PPG coordinator, the UNDP-GEF		
Regional Technical Advisors, and others		
Note that this expert is expected to participate in, and	NA	
contribute to the PPG inception workshop and the validation		
workshop.		

7. Evaluation Method and Criteria

The individual consultant offerors will be evaluated based on the following methodology Cumulative analysis;

The award of the contract shall be made to the individual consultant whose offer has been evaluated and determined as:

- Responsive/compliant/acceptable;
- Having received the highest score out of a pre-determined set of weighted technical and financial criteria specific to the solicitation.

Technical Criteria: weight 70% Financial Criteria weight 30%

Only candidates obtaining a minimum of 70 points of the total technical points would be considered for the Financial Evaluation.

Technical Criteria – Maximum 100 points:

- Criteria A: Relevance of education Max 20 points;
- Criteria B: Work experience in similar context- Max 30 points;
- Criteria C: Specific work experience and technical proposal Max 50 points.

Interested individual consultants must submit the following documents/information to demonstrate their qualifications:

- Duly accomplished Letter of Confirmation of Interest and Availability using the template provided by UNDP (Annex I);
- Personal CV or PII, indicating all past experience from similar projects, as well as the contact
 details (email and telephone number) of the candidate and at least three (3) professional
 references.

Technical proposal:

- Brief description of why the individual considers him/herself as the most suitable for the assignment;
- A methodology, on how they propose to complete the assignment;

Financial proposal that indicates the all-inclusive fixed total contract price, supported by a breakdown of costs, as per template provided (Annex II) and Schedule of Payments:

Daily Fee – The consultant/contractor shall propose a daily/monthly fee, which should be
inclusive of his professional fee, local communication cost and insurance (inclusive of medical

- health insurance). The number of working days for which the daily/monthly fee shall be payable under the contract is for a total of 30 working days.
- <u>Field visits are separate and not included in the contractor cost</u>. All attempts will be made to coordinate these visits with other members of the project preparation team to achieve efficiencies.

UNDP is committed to achieving workforce diversity in terms of gender, nationality and culture. Individuals from minority groups, indigenous groups and persons with disabilities are equally encouraged to apply. All applications will be treated with the strictest confidence.

OFFEROR'S LETTER TO UNDP CONFIRMING INTEREST AND AVAILABILITY FOR THE INDIVIDUAL CONTRACTOR (IC) ASSIGNMENT

	Date
De Un 42 Sta	abnam Mallick Eputy Resident Representative hited Nations Development Programme Brickdam hbroek Forgetown
De	ar Sir/Madam:
I h	ereby declare that:
a)	I have read, understood and hereby accept the Terms of Reference describing the duties and responsibilities of [<i>indicate title of assignment</i>] under the [<i>state project title</i>];
b)	I have also read, understood and hereby accept UNDP's General Conditions of Contract for the Services of the Individual Contractors;
c)	I hereby propose my services and I confirm my interest in performing the assignment through the submission of my CV or Personal History Form (PII) which I have duly signed and attached hereto as Annex I;
d)	In compliance with the requirements of the Terms of Reference, I hereby confirm that I am available for the entire duration of the assignment, and I shall perform the services in the manner described in my proposed approach/methodology which I have attached hereto as Annex 3 [delete this item if the TOR does not require submission of this document];
e)	I hereby propose to complete the services based on the following payment rate: [pls. check the box corresponding to the preferred option]:
	An all-inclusive daily fee of [state amount in words and in numbers indicating currency] A total lump sum of [state amount in words and in numbers, indicating exact currency], payable in the manner described in the Terms of Reference.
f)	For your evaluation, the breakdown of the abovementioned all-inclusive amount is attached hereto as Annex 2;

g) I recognize that the payment of the abovementioned amounts due to me shall be based on my delivery of outputs within the timeframe specified in the TOR, which shall be subject to UNDP's review,

acceptance and payment certification procedures;

h)		ffer shall remain valid f omission deadline;	or a total period	d of day	s [minimum o.	<i>f 90 <mark>days</mark></i>] after
i)	or siste	er) currently employed	with any UN a	mother, father, son, daug gency or office <i>[disclose</i> ationship if, any such rel	e the name of	the relative, the
j)	If I am	selected for this assign	ment, I shall [pa	ls. check the appropriate	box]:	
		UNDP a Reimbursab	<i>[state name of</i> le Loan Agreem	IDP; company/organization/ nent (RLA), for and on a r this purpose are as foll	my behalf. Th	-
k)	I hereb	y confirm that <i>[check a</i>	Il that applies]:			
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		,		and/or other entities fo	r the following	g work:
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		I am also anticipating entities for which I h		the following work fron	1 1 UNDP and/	or other
		Assignment	Contract Type	Name of Institution/ Company	Contract Duration	Contract Amount

- I fully understand and recognize that UNDP is not bound to accept this proposal, and I also understand and accept that I shall bear all costs associated with its preparation and submission and that UNDP will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the selection process.
- m) If you are a former staff member of the United Nations recently separated, pls. add this section to your letter:

 I hereby confirm that I have complied with the minimum break in service required before I can be eligible for an Individual Contract.

n) I also fully understand that, if I am engaged a entitlements whatsoever to be re-instated or r	as an Individual Contractor, I have no expectations nor re-employed as a staff member.
Full Name and Signature:	Date Signed:
	- -

Annexes

- \checkmark CV or Duly signed PII Form
- ✓ Breakdown of Costs Supporting the Final All-Inclusive Price as per Template
- \checkmark Brief Description of Approach to Work (if required by the TOR)

Annex II

BREAKDOWN OF COSTS SUPPORTING THE ALL-INCLUSIVE FINANCIAL PROPOSAL

A. Breakdown of Cost by Components:

Cost Components	Unit Cost	Quantity	Total Rate for the Contract Duration
I. Personnel Costs			
Professional Fees			
Life Insurance			
Medical Insurance			
Communications			
Land Transportation			
Others (pls. specify)			
II. Travel Expenses to Join duty station			
Round Trip Airfares to and from duty station			
Living Allowance			
Travel Insurance			
Terminal Expenses			
Others (pls. specify)			
III. Duty Travel			
Round Trip Airfares			
Living Allowance			
Travel Insurance			
Terminal Expenses			
Others (pls. specify)			

B. Breakdown of Cost by Deliverables*

Deliverables [list them as referred to in the TOR]	Percentage of Total Price (Weight for payment)	Amount
Deliverable I		
Deliverable 2		
Total	100%	USD

^{*}Basis for payment tranches

PII – Personal History Form

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B. PREVIOUS FUNCTION (IN REVERSE ORDER)

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24. DO YOU HAVE ANY OBJECTIONS TO OUR MAKING ENQUIRIES OF YOUR PRESENT EMPLOYER? YES □ NO □		
	AVE YOU EVER BEEN A PERMANEN' PLOY? YES □ NO □ ?	T CIVIL SERVANT IN YOUR
	persons, not related to you, who are familiar epeat names of supervisors listed in item 24.	with your character and qualifications.
FULL NAME	FULL ADDRESS	BUSINESS OR OCCUPATION
27. STATE ANY OTHER RELEVANT FACTS IN SUPPORT OF YOUR APPLICATION. INCLUDE INFORMATION REGARDING ANY RESIDENCE OUTSIDE THE COUNTRY OF YOUR NATIONALITY.		
28. HAVE YOU BEEN ARRESTED, INDICTED, OR SUMMONED INTO COURT AS A DEFENDANT IN A CRIMINAL PROCEEDING, OR CONVICTED, FINED OR IMPRISONED FOR THE VIOLATION OF ANY LAW (excluding minor traffic violations)? YES □ NO □ If "yes", give full particulars of each case in an attached statement.		
29. I certify that the statements made by me in answer to the foregoing questions are true, complete and correct to the best of my knowledge and belief. I understand that any misrepresentation or material omission made on a Personal History form or other document requested by the Organization may result in the termination of the service contract or special services agreement without notice.		
DATE: SIGNATURE:		
NB. You will be requested to supply documentary evidence which support the statements you have made above. Do not, however, send any documentary evidence until you have been asked to do so and, in any event, do not submit the original		
texts of references or testimonials unless they have been obtained for the sole use of UNDP.		