



REQUEST FOR PROPOSAL (RFP)

Project No.: 00103975	Date: September 7, 2018
Project Name: Support to REDD+ Implementation, Global Project	Reference: 13468 RSC 2018

Dear Sir / Madam:

We kindly request you to submit your Proposal for Monitoring and Evaluation Online Platform Service for the Implementation of REDD+ Initiatives.

Please be guided by the form attached hereto as Annex 2, in preparing your Proposal.

Proposals may be submitted under **Ref: 13468 RSC 2018 – Monitoring and Evaluation Online Platform Service for the Implementation of REDD+ Initiatives** no later than September 21, 2018 at 15:00 (UTC/GMT-5), time of the Republic of Panama.

United Nations Development Programme
Procurement Unit
Email: adquisiciones.rclac@undp.org

Your Proposal must be expressed in English, and valid for a minimum period of 90 days.

In the course of preparing your Proposal, it shall remain your responsibility to ensure that it reaches the address above on or before the deadline. Proposals that are received by UNDP after the deadline indicated above, for whatever reason, shall not be considered for evaluation. If you are submitting your Proposal by email, kindly ensure that they are signed and in .pdf format, and free from any virus or corrupted files.

Services proposed shall be reviewed and evaluated based on completeness and compliance of the Proposal and responsiveness with the requirements of the RFP and all other annexes providing details of UNDP requirements.

The Proposal that complies with all of the requirements, meets all the evaluation criteria and offers the best value for money shall be selected and awarded the contract. Any offer that does not meet the requirements shall be rejected.

Any discrepancy between the unit price and the total price shall be re-computed by UNDP, and the unit price shall prevail and the total price shall be corrected. If the Service Provider does not accept the final price based on UNDP's re-computation and correction of errors, its Proposal will be rejected.

No price variation due to escalation, inflation, fluctuation in exchange rates, or any other market factors shall be accepted by UNDP after it has received the Proposal. At the time of Award of Contract or Purchase Order, UNDP reserves the right to vary (increase or decrease) the quantity of services and/or goods, by up to a maximum twenty five per cent (25%) of the total offer, without any change in the unit price or other terms and conditions.

Any Contract or Purchase Order that will be issued as a result of this RFP shall be subject to the General Terms and Conditions attached hereto. The mere act of submission of a Proposal implies that the Service Provider accepts without question the General Terms and Conditions of UNDP, herein attached as Annex 3.

Please be advised that UNDP is not bound to accept any Proposal, nor award a contract or Purchase Order, nor be responsible for any costs associated with a Service Providers preparation and submission of a Proposal, regardless of the outcome or the manner of conducting the selection process.

UNDP's vendor protest procedure is intended to afford an opportunity to appeal for persons or firms not awarded a Purchase Order or Contract in a competitive procurement process. In the event that you believe you have not been fairly treated, you can find detailed information about vendor protest procedures in the following link:

<http://www.undp.org/content/undp/en/home/operations/procurement/business/protest-and-sanctions/>

UNDP encourages every prospective Service Provider to prevent and avoid conflicts of interest, by disclosing to UNDP if you, or any of your affiliates or personnel, were involved in the preparation of the requirements, design, cost estimates, and other information used in this RFP.

UNDP implements a zero tolerance on fraud and other proscribed practices, and is committed to preventing, identifying and addressing all such acts and practices against UNDP, as well as third parties involved in UNDP activities. UNDP expects its Service Providers to adhere to the UN Supplier Code of Conduct found in this link: http://www.un.org/depts/ptd/pdf/conduct_english.pdf

Thank you and we look forward to receiving your Proposal.

Sincerely,

UNDP Procurement Unit

DESCRIPTION OF REQUIREMENTS

Context of the requirement:	To contract a company for monitoring and evaluation of online platform service for the implementation of REDD+ initiatives.
Project:	Project No. 00103975 Project Name: Support to REDD+ Implementation, Global Project
Brief description of the required services:	UNDP is seeking a service provider to provide this turn key M&E solution to all countries that require this support. UNDP could build an open source turn key M&E solution (UNDP forest and land-use M&E system) that could be very helpful for countries.
List and description of expected outputs to be delivered:	<p>The system must be based on technological solutions with the following general specifications and general functions:</p> <ul style="list-style-type: none"> • Open source software; • Easy to use and flexible; • Easily collect, transfer, consolidate, analyze and analyze the spatial and non-spatial information needed to ensure compliance with UNDP social and environmental standards as well as other performance criteria in the selection of beneficiaries of programmes; • Monitor and verify in a transparent manner the progress in relation to the milestones of the performance of the beneficiaries of the REDD+ national strategies and bilateral agreements of REDD+ countries; • Monitor and verify in a transparent manner the progress in relation to the mitigation measures identified in the environmental and social management plan mitigation measures of the UNDP projects supporting the implementation of REDD+ national strategies and bilateral agreements of REDD+ countries. <p>The system should also comply with other specific functions, as described in TORs (Annex 4).</p>
Person to supervise the work /performance of the service provider:	Technical Specialist, UNDP REDD+ Team.

Frequency of reporting:	As described in TORs (Annex 4).
Progress reporting requirements:	As described in TORs (Annex 4).
Location of work:	Home-based.
Expected duration of work:	Twenty-four (24) months on a long-term agreement (LTA).
Target start date:	October 2018.
Latest completion date:	September 2020.
Travels expected:	The consultancy includes possible mission travels.
Special security requirements:	The Contractor shall remain solely responsible for the security of its personnel.
Facilities to be provided by UNDP:	N/A.
Implementation schedule indicating breakdown and timing of activities/sub-activities:	Required.
Names and curriculum vitae of individuals who will be involved in completing the services:	Required.
Currency of Proposal:	United States Dollars (USD).
Value added tax on price proposal:	Not to be included.
Validity period of proposals:	90 days. In exceptional circumstances, UNDP may request the Proposer to extend the validity of the Proposal beyond what has been initially indicated in this RFP. The Proposal shall then confirm the extension in writing, without any modification whatsoever on the Proposal.
Partial quotes:	Not permitted.

Payment terms:	As described in TORs (Annex 4).
Person(s) to review /inspect /approve outputs/completed services and authorize the disbursement of payment:	Technical Specialist, UNDP REDD+ Team.
Type of contract to be signed:	Contract for Professional Services.
Criteria for contract award:	<p>Highest Combined Score (based on the 70% technical offer and 30% price weight distribution).</p> <p>Full acceptance of the UNDP Contract General Terms and Conditions (GTC). This is a mandatory criteria and cannot be deleted regardless of the nature of services required. Non-acceptance of the GTC may be grounds for the rejection of the Proposal.</p>
Criteria for the assessment of proposal:	<ul style="list-style-type: none"> • <u>Technical Proposal (70%)</u> • <u>Financial Proposal (30%)</u> <p>To be computed as a ratio of the Proposal's offer to the lowest price among the proposals received by UNDP.</p>
UNDP will award the contract to:	One or more service providers.
Annexes to this RFP:	<ul style="list-style-type: none"> • Form for Submission of Proposal (Annex 2) • General Terms and Conditions / Special Conditions (Annex 3) • Detailed TOR (Annex 4)
Contact person for inquiries (written inquiries only):	<p>UNITED NATIONS DEVELOPMENT PROGRAMME PROCUREMENT UNIT</p> <p>adquisiciones.rclac@undp.org</p> <p>Any delay in UNDP's response shall be not used as a reason for extending the deadline for submission, unless UNDP determines that such an extension is necessary and communicates a new deadline to the Proposers.</p>

<p>Other information instructions to send proposals:</p>	<ul style="list-style-type: none"> • Official address to send a proposal: adquisiciones.rclac@undp.org • Documents must be out of virus or damaged folders • PDF Format • Size of the documents: Max. 4MB for each mail
<p>Required documents that must be submitted to establish qualification of proposers (in “Certified True Copy” form only):</p>	<p>The proposal should include an index of all the documentation that has been sent.</p> <p>There is no restriction on the number of sent mails, being the only restriction the size limit of 4MB.</p> <p>Each proposer is responsible to send the offer on the time and date required.</p> <p>If the proposal is sent to a private address, it could be rejected.</p> <ul style="list-style-type: none"> • <u>Signed Annex 2</u>; • <u>Company profile</u>: should <u>not</u> exceed fifteen (15) pages, including printed brochures and product catalogues relevant to the goods/services being procured; • <u>Legal documents</u>: company constitution, legal representing, etc.; • <u>Reference letters</u>: of two jobs previously concluded; • <u>Financial capacity</u>: provide the latest Audited Financial Statement (Income Statement and Balance Sheet) duly certified by a Public Accountant, and with authentication of receiving by the Government’s Internal Revenue Authority. Include any indication of credit rating, industry rating, etc.;

- Track record and experiences: provide the following information regarding corporate experience within the last ten (10) years which are related or relevant to those required for this Contract. For example:

Name of project	Client	Contract Value	Period of activity	Types of activities undertaken	Status or Date Completed	References Contact Details (Name, Phone, Email)

- Certificates and accreditations: including quality certificates, patent registrations, environmental sustainability certificates, etc.;
- Written Self-Declaration: that the company is not in the UN Security Council 1267/1989 List, UN Procurement Division List or Other UN Ineligibility List;
- Proposed methodology;
- Implementation schedule: indicating breakdown and timing of activities /sub-activities;
- Key personnel: CVs demonstrating qualifications (Focal Point) with written confirmation that the proposed focal point personnel will be available for the entire duration of the contract. Should include names and qualifications of the key personnel that will perform the services indicating who is the team leader, who are the supporting personnel, etc.

FORM FOR SUBMITTING SERVICE PROVIDER’S PROPOSAL

(This Form must be submitted only using the Service Provider’s Official Letterhead/Stationery¹)

[insert: Location].
[insert: Date]

To: Panama Regional HUB – Procurement Unit

Dear Sir/Madam:

We, the undersigned, hereby offer to render the following services to UNDP in conformity with the requirements defined in the RFP dated [specify date] , and all of its attachments, as well as the provisions of the UNDP General Contract Terms and Conditions:

A. Qualifications of the Service Provider

The Service Provider must describe and explain how and why they are the best entity that can deliver the requirements of UNDP by indicating the following:

- a) Profile – describing the nature of business, field of expertise, licenses, certifications, accreditations;*
- b) Business Licenses – Registration Papers, Tax Payment Certification, etc.;*
- c) Latest Audited Financial Statement – income statement and balance sheet to indicate its financial stability, liquidity, credit standing, and market reputation, etc.;*
- d) Track Record – list of clients for similar services as those required by UNDP, indicating description of contract scope, contract duration, contract value, contract references;*
- e) Certificates and Accreditation – including Quality Certificates, Patent Registrations, Environmental Sustainability Certificates, etc.; and*
- f) Written Self-Declaration that the company is not in the UN Security Council 1267/1989 List, UN Procurement Division List or Other UN Ineligibility List.*

B. Proposed Methodology for the Completion of Services

The Service Provider must describe how it will address/deliver the demands of the RFP; providing a detailed description of the essential performance characteristics, reporting conditions and quality assurance mechanisms that will be put in place, while demonstrating that the proposed methodology will be appropriate to the local conditions and context of the work.

¹ Official Letterhead/Stationery must indicate contact details – addresses, email, phone and fax numbers – for verification purposes

C. **Qualifications of Key Personnel**

If required by the RFP, the Service Provider must provide:

a) *Names and qualifications of the key personnel that will perform the services indicating who is Team Leader, who are supporting, etc.;*

b) *CVs demonstrating qualifications must be submitted if required by the RFP; and*

c) *Written confirmation from each personnel that they are available for the entire duration of the contract.*

D. **Cost Breakdown per Deliverable***

	Deliverables <i>[list them as referred to in the RFP]</i>	Percentage of Total Price <i>(Weight for payment)</i>	Price <i>(Lump Sum, All Inclusive)</i>
1	Deliverable 1		
2	Deliverable 2		
3	Deliverable 3		
4	Deliverable 4		
5	Deliverable 5		
	Total	100%	

**This shall be the basis of the payment tranches*

E. **Cost Breakdown by Cost Component [This is only an Example]:**

Description of Activity	Remuneration per Unit of Time	Total Period of Engagement	No. of Personnel	Total Rate
I. Personnel Services				
1. Services from Home Office				
a. Expertise 1				
2. Services from Field Offices				
a. Expertise 1				
3. Services from Overseas				
a. Expertise 1				
II. Out of Pocket Expenses				
1. Travel Costs				
2. Daily Allowance				
3. Communications				
4. Reproduction				
5. Equipment Lease				
6. Others				
III. Other Related Costs				

[Name and Signature of the Service Provider's Authorized Person]
[Designation]
[Date]

PERSONNEL

Name:		
Position for this Contract:		
Nationality:		
Contact information:		
Countries of Work Experience:		
Language Skills:		
Educational and other Qualifications:		
Summary of Experience: <i>Highlight experience in the region and on similar projects.</i>		
Relevant Experience (From most recent):		
Period: From – To	Name of activity/ Project/ funding organisation, if applicable:	Job Title and Activities undertaken/Description of actual role performed:
<i>e.g. June 2004-January 2005</i>		
<i>Etc.</i>		
<i>Etc.</i>		
References no.1 (minimum of 3):	<i>Name Designation Organization Contact Information – Address; Phone; Email; etc.</i>	
Reference no.2	<i>Name Designation Organization Contact Information – Address; Phone; Email; etc.</i>	
Reference no.3	<i>Name Designation Organization Contact Information – Address; Phone; Email; etc.</i>	
Declaration:		
<p>I confirm my intention to serve in the stated position and present availability to serve for the term of the proposed contract. I also understand that any wilful misstatement described above may lead to my disqualification, before or during my engagement.</p>		
_____		_____
Signature of the Nominated Team Leader/Member		Date Signed

GENERAL TERMS AND CONDITIONS FOR SERVICES**1.0 LEGAL STATUS:**

The Contractor shall be considered as having the legal status of an independent contractor vis-à-vis the United Nations Development Programme (UNDP). The Contractor's personnel and sub-contractors shall not be considered in any respect as being the employees or agents of UNDP or the United Nations.

2.0 SOURCE OF INSTRUCTIONS:

The Contractor shall neither seek nor accept instructions from any authority external to UNDP in connection with the performance of its services under this Contract. The Contractor shall refrain from any action that may adversely affect UNDP or the United Nations and shall fulfill its commitments with the fullest regard to the interests of UNDP.

3.0 CONTRACTOR'S RESPONSIBILITY FOR EMPLOYEES:

The Contractor shall be responsible for the professional and technical competence of its employees and will select, for work under this Contract, reliable individuals who will perform effectively in the implementation of this Contract, respect the local customs, and conform to a high standard of moral and ethical conduct.

4.0 ASSIGNMENT:

The Contractor shall not assign, transfer, pledge or make other disposition of this Contract or any part thereof, or any of the Contractor's rights, claims or obligations under this Contract except with the prior written consent of UNDP.

5.0 SUB-CONTRACTING:

In the event the Contractor requires the services of sub-contractors, the Contractor shall obtain the prior written approval and clearance of UNDP for all sub-contractors. The approval of UNDP of a sub-contractor shall not relieve the Contractor of any of its obligations under this Contract. The terms of any sub-contract shall be subject to and conform to the provisions of this Contract.

6.0 OFFICIALS NOT TO BENEFIT:

The Contractor warrants that no official of UNDP or the United Nations has received or will be offered by the Contractor any direct or indirect benefit arising from this Contract or the award thereof. The Contractor agrees that breach of this provision is a breach of an essential term of this Contract.

7.0 INDEMNIFICATION:

The Contractor shall indemnify, hold and save harmless, and defend, at its own expense, UNDP, its officials, agents, servants and employees from and against all suits, claims, demands, and liability of any nature or kind, including their costs and expenses, arising out of acts or omissions of the Contractor, or the Contractor's employees, officers, agents or sub-contractors, in the performance of this Contract. This provision shall extend, inter alia, to claims and liability in the nature of workmen's compensation, products liability and liability arising out of the use of patented inventions or devices, copyrighted material or other

intellectual property by the Contractor, its employees, officers, agents, servants or sub-contractors. The obligations under this Article do not lapse upon termination of this Contract.

8.0 INSURANCE AND LIABILITIES TO THIRD PARTIES:

- 8.1** The Contractor shall provide and thereafter maintain insurance against all risks in respect of its property and any equipment used for the execution of this Contract.
- 8.2** The Contractor shall provide and thereafter maintain all appropriate workmen's compensation insurance, or the equivalent, with respect to its employees to cover claims for personal injury or death in connection with this Contract.
- 8.3** The Contractor shall also provide and thereafter maintain liability insurance in an adequate amount to cover third party claims for death or bodily injury, or loss of or damage to property, arising from or in connection with the provision of services under this Contract or the operation of any vehicles, boats, airplanes or other equipment owned or leased by the Contractor or its agents, servants, employees or sub-contractors performing work or services in connection with this Contract.
- 8.4** Except for the workmen's compensation insurance, the insurance policies under this Article shall:
 - 8.4.1** Name UNDP as additional insured;
 - 8.4.2** Include a waiver of subrogation of the Contractor's rights to the insurance carrier against the UNDP;
 - 8.4.3** Provide that the UNDP shall receive thirty (30) days written notice from the insurers prior to any cancellation or change of coverage.
- 8.5** The Contractor shall, upon request, provide the UNDP with satisfactory evidence of the insurance required under this Article.

9.0 ENCUMBRANCES/LIENS:

The Contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with the UNDP against any monies due or to become due for any work done or materials furnished under this Contract, or by reason of any other claim or demand against the Contractor.

10.0 TITLE TO EQUIPMENT:

Title to any equipment and supplies that may be furnished by UNDP shall rest with UNDP and any such equipment shall be returned to UNDP at the conclusion of this Contract or when no longer needed by the Contractor. Such equipment, when returned to UNDP, shall be in the same condition as when delivered to the Contractor, subject to normal wear and tear. The Contractor shall be liable to compensate UNDP for equipment determined to be damaged or degraded beyond normal wear and tear.

11.0 COPYRIGHT, PATENTS AND OTHER PROPRIETARY RIGHTS:

- 11.1** Except as is otherwise expressly provided in writing in the Contract, the UNDP shall be entitled to all intellectual property and other proprietary rights including, but not limited to, patents, copyrights, and trademarks, with regard to products, processes, inventions, ideas, know-how, or documents and other materials which the Contractor has developed for the UNDP under the Contract and which bear a direct relation to or are produced or prepared or collected in consequence of, or during the course of, the performance of the Contract, and the Contractor acknowledges and agrees that such products, documents and other materials constitute works made for hire for the UNDP.

- 11.2** To the extent that any such intellectual property or other proprietary rights consist of any intellectual property or other proprietary rights of the Contractor: (i) that pre-existed the performance by the Contractor of its obligations under the Contract, or (ii) that the Contractor may develop or acquire, or may have developed or acquired, independently of the performance of its obligations under the Contract, the UNDP does not and shall not claim any ownership interest thereto, and the Contractor grants to the UNDP a perpetual license to use such intellectual property or other proprietary right solely for the purposes of and in accordance with the requirements of the Contract.
- 11.3** At the request of the UNDP; the Contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring or licensing them to the UNDP in compliance with the requirements of the applicable law and of the Contract.
- 11.4** Subject to the foregoing provisions, all maps, drawings, photographs, mosaics, plans, reports, estimates, recommendations, documents, and all other data compiled by or received by the Contractor under the Contract shall be the property of the UNDP, shall be made available for use or inspection by the UNDP at reasonable times and in reasonable places, shall be treated as confidential, and shall be delivered only to UNDP authorized officials on completion of work under the Contract.

12.0 USE OF NAME, EMBLEM OR OFFICIAL SEAL OF UNDP OR THE UNITED NATIONS:

The Contractor shall not advertise or otherwise make public the fact that it is a Contractor with UNDP, nor shall the Contractor, in any manner whatsoever use the name, emblem or official seal of UNDP or THE United Nations, or any abbreviation of the name of UNDP or United Nations in connection with its business or otherwise.

13.0 CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION:

Information and data that is considered proprietary by either Party and that is delivered or disclosed by one Party ("Discloser") to the other Party ("Recipient") during the course of performance of the Contract, and that is designated as confidential ("Information"), shall be held in confidence by that Party and shall be handled as follows:

13.1 The recipient ("Recipient") of such information shall:

13.1.1 use the same care and discretion to avoid disclosure, publication or dissemination of the Discloser's Information as it uses with its own similar information that it does not wish to disclose, publish or disseminate; and,

13.1.2 use the Discloser's Information solely for the purpose for which it was disclosed.

13.2 Provided that the Recipient has a written agreement with the following persons or entities requiring them to treat the Information confidential in accordance with the Contract and this Article 13, the Recipient may disclose Information to:

13.2.1 any other party with the Discloser's prior written consent; and,

13.2.2 the Recipient's employees, officials, representatives and agents who have a need to know such Information for purposes of performing obligations under the Contract, and employees officials, representatives and agents of any legal entity that it controls controls it, or with which it is under common control, who have a need to know such Information for purposes of performing obligations under the Contract, provided that, for these purposes a controlled legal entity means:

- 13.2.2.1** a corporate entity in which the Party owns or otherwise controls, whether directly or indirectly, over fifty percent (50%) of voting shares thereof; or,
- 13.2.2.2** any entity over which the Party exercises effective managerial control; or,
- 13.2.2.3** for the UNDP, an affiliated Fund such as UNCDF, UNIFEM and UNV.

- 13.3** The Contractor may disclose Information to the extent required by law, provided that, subject to and without any waiver of the privileges and immunities of the United Nations, the Contractor will give the UNDP sufficient prior notice of a request for the disclosure of Information in order to allow the UNDP to have a reasonable opportunity to take protective measures or such other action as may be appropriate before any such disclosure is made.
- 13.4** The UNDP may disclose Information to the extent as required pursuant to the Charter of the UN, resolutions or regulations of the General Assembly, or rules promulgated by the Secretary-General.
- 13.5** The Recipient shall not be precluded from disclosing Information that is obtained by the Recipient from a third party without restriction, is disclosed by the Discloser to a third party without any obligation of confidentiality, is previously known by the Recipient, or at any time is developed by the Recipient completely independently of any disclosures hereunder.
- 13.6** These obligations and restrictions of confidentiality shall be effective during the term of the Contract, including any extension thereof, and, unless otherwise provided in the Contract, shall remain effective following any termination of the Contract.

14.0 FORCE MAJEURE; OTHER CHANGES IN CONDITIONS

- 14.1** In the event of and as soon as possible after the occurrence of any cause constituting force majeure, the Contractor shall give notice and full particulars in writing to the UNDP, of such occurrence or change if the Contractor is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under this Contract. The Contractor shall also notify the UNDP of any other changes in conditions or the occurrence of any event that interferes or threatens to interfere with its performance of this Contract. On receipt of the notice required under this Article, the UNDP shall take such action as, in its sole discretion; it considers to be appropriate or necessary in the circumstances, including the granting to the Contractor of a reasonable extension of time in which to perform its obligations under this Contract.
- 14.2** If the Contractor is rendered permanently unable, wholly, or in part, by reason of force majeure to perform its obligations and meet its responsibilities under this Contract, the UNDP shall have the right to suspend or terminate this Contract on the same terms and conditions as are provided for in Article 15, "Termination", except that the period of notice shall be seven (7) days instead of thirty (30) days.
- 14.3** Force majeure as used in this Article means acts of God, war (whether declared or not), invasion, revolution, insurrection, or other acts of a similar nature or force.
- 14.4** The Contractor acknowledges and agrees that, with respect to any obligations under the Contract that the Contractor must perform in or for any areas in which the UNDP is engaged in, preparing to engage in, or disengaging from any peacekeeping, humanitarian or similar operations, any delays or failure to perform such obligations arising from or relating to harsh conditions within such areas or to any incidents of civil unrest occurring in such areas shall not, in and of itself, constitute force majeure under the Contract..

15.0 TERMINATION

- 15.1** Either party may terminate this Contract for cause, in whole or in part, upon thirty (30) days notice, in writing, to the other party. The initiation of arbitral proceedings in accordance with Article 16.2 (“Arbitration”), below, shall not be deemed a termination of this Contract.
- 15.2** UNDP reserves the right to terminate without cause this Contract at any time upon 15 days prior written notice to the Contractor, in which case UNDP shall reimburse the Contractor for all reasonable costs incurred by the Contractor prior to receipt of the notice of termination.
- 15.3** In the event of any termination by UNDP under this Article, no payment shall be due from UNDP to the Contractor except for work and services satisfactorily performed in conformity with the express terms of this Contract.
- 15.4** Should the Contractor be adjudged bankrupt, or be liquidated or become insolvent, or should the Contractor make an assignment for the benefit of its creditors, or should a Receiver be appointed on account of the insolvency of the Contractor, the UNDP may, without prejudice to any other right or remedy it may have under the terms of these conditions, terminate this Contract forthwith. The Contractor shall immediately inform the UNDP of the occurrence of any of the above events.

16.0 SETTLEMENT OF DISPUTES

- 16.1 Amicable Settlement:** The Parties shall use their best efforts to settle amicably any dispute, controversy or claim arising out of this Contract or the breach, termination or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the UNCITRAL Conciliation Rules then obtaining, or according to such other procedure as may be agreed between the parties.
- 16.2 Arbitration:** Any dispute, controversy, or claim between the Parties arising out of the Contract or the breach, termination, or invalidity thereof, unless settled amicably under Article 16.1, above, within sixty (60) days after receipt by one Party of the other Party’s written request for such amicable settlement, shall be referred by either Party to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The decisions of the arbitral tribunal shall be based on general principles of international commercial law. For all evidentiary questions, the arbitral tribunal shall be guided by the Supplementary Rules Governing the Presentation and Reception of Evidence in International Commercial Arbitration of the International Bar Association, 28 May 1983 edition. The arbitral tribunal shall be empowered to order the return or destruction of goods or any property, whether tangible or intangible, or of any confidential information provided under the Contract, order the termination of the Contract, or order that any other protective measures be taken with respect to the goods, services or any other property, whether tangible or intangible, or of any confidential information provided under the Contract, as appropriate, all in accordance with the authority of the arbitral tribunal pursuant to Article 26 (“Interim Measures of Protection”) and Article 32 (“Form and Effect of the Award”) of the UNCITRAL Arbitration Rules. The arbitral tribunal shall have no authority to award punitive damages. In addition, unless otherwise expressly provided in the Contract, the arbitral tribunal shall have no authority to award interest in excess of the London Inter-Bank Offered Rate (“LIBOR”) then prevailing, and any such interest shall be simple interest only. The Parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such dispute, controversy, or claim.

17.0 PRIVILEGES AND IMMUNITIES:

Nothing in or relating to this Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.

18.0 TAX EXEMPTION

- 18.1** Section 7 of the Convention on the Privileges and Immunities of the United Nations provides, inter alia that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the United Nations exemption from such taxes, duties or charges, the Contractor shall immediately consult with the UNDP to determine a mutually acceptable procedure.
- 18.2** Accordingly, the Contractor authorizes UNDP to deduct from the Contractor's invoice any amount representing such taxes, duties or charges, unless the Contractor has consulted with the UNDP before the payment thereof and the UNDP has, in each instance, specifically authorized the Contractor to pay such taxes, duties or charges under protest. In that event, the Contractor shall provide the UNDP with written evidence that payment of such taxes, duties or charges has been made and appropriately authorized.

19.0 CHILD LABOUR

- 19.1** The Contractor represents and warrants that neither it, nor any of its suppliers is engaged in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child, including Article 32 thereof, which, inter alia, requires that a child shall be protected from performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical mental, spiritual, moral or social development.
- 19.2** Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, at no cost to UNDP.

20.0 MINES:

- 20.1** The Contractor represents and warrants that neither it nor any of its suppliers is actively and directly engaged in patent activities, development, assembly, production, trade or manufacture of mines or in such activities in respect of components primarily utilized in the manufacture of Mines. The term "Mines" means those devices defined in Article 2, Paragraphs 1, 4 and 5 of Protocol II annexed to the Convention on Prohibitions and Restrictions on the Use of Certain Conventional Weapons Which May Be Deemed to Be Excessively Injurious or to Have Indiscriminate Effects of 1980.
- 20.2** Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind of UNDP.

21.0 OBSERVANCE OF THE LAW:

The Contractor shall comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the terms of this Contract.

22.0 SEXUAL EXPLOITATION:

- 22.1** The Contractor shall take all appropriate measures to prevent sexual exploitation or abuse of anyone by it or by any of its employees or any other persons who may be engaged by the Contractor to perform any services under the Contract. For these purposes, sexual activity with

any person less than eighteen years of age, regardless of any laws relating to consent, shall constitute the sexual exploitation and abuse of such person. In addition, the Contractor shall refrain from, and shall take all appropriate measures to prohibit its employees or other persons engaged by it from, exchanging any money, goods, services, offers of employment or other things of value, for sexual favors or activities, or from engaging in any sexual activities that are exploitive or degrading to any person. The Contractor acknowledges and agrees that the provisions hereof constitute an essential term of the Contract and that any breach of this representation and warranty shall entitle UNDP to terminate the Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind.

22.2 The UNDP shall not apply the foregoing standard relating to age in any case in which the Contractor's personnel or any other person who may be engaged by the Contractor to perform any services under the Contract is married to the person less than the age of eighteen years with whom sexual activity has occurred and in which such marriage is recognized as valid under the laws of the country of citizenship of such Contractor's personnel or such other person who may be engaged by the Contractor to perform any services under the Contract.

23.0 AUTHORITY TO MODIFY:

Pursuant to the Financial Regulations and Rules of UNDP, only the UNDP Authorized Official possesses the authority to agree on behalf of UNDP to any modification of or change in this Contract, to a waiver of any of its provisions or to any additional contractual relationship of any kind with the Contractor. Accordingly, no modification or change in this Contract shall be valid and enforceable against UNDP unless provided by an amendment to this Contract signed by the Contractor and jointly by the UNDP Authorized Official.

TERMS OF REFERENCE

UNITED NATIONS DEVELOPMENT PROGRAMME



CLUSTER: Sustainable Development Cluster

A. GENERAL INFORMATION

Title:	Monitoring and Evaluation Online Platform Service for the Implementation of REDD+ Initiatives
Project:	00103975 - Support to REDD+ Implementation, Global Project
Type of Contract:	Long Term Agreement (LTA) for Contract of Professional Services
Direct Supervisor:	Technical Specialist UNDP REDD+ Team
Modality of Execution:	DIM
Duty Station:	Home based with possible mission travels
Estimated Start Date:	October 2018
Duration:	24 months

B. PROJECT DESCRIPTION OR BACKGROUND

The Bureau for Policy and Programme Support (BPPS) has the responsibility for developing all relevant policy and guidance to support the results of UNDP's Strategic Plan. BPPS's staff provides technical advice to Country Offices; advocates for UNDP corporate messages, represents UNDP at multi-stakeholder for including public-private dialogues, government and civil society dialogues, South-South and triangular cooperation initiatives, and engages in UN inter-agency coordination in specific thematic areas.

As the UN system's development program and a GCF International Accredited Entity since 2015, UNDP also supports countries in addressing development, climate, and ecosystem sustainability in an integrated manner. UNDP offers countries highly specialized technical services for eligibility assessment, program/project formulation, due diligence, mobilization of required co-financing, project implementation oversight, results management and evaluation, performance-based payments and knowledge management.

UNDP's REDD+ team is assisting countries in the implementation of national strategies or action plans for REDD+. More specifically, UNDP is involved in numerous initiatives that support REDD+ implementation in Latin America and the Caribbean. The most noteworthy:

- Support for the implementation of bilateral collaboration agreements on REDD+ in Peru (so called Joint Declarations or Letters of Intent);
- Implementation of GCF projects in the sector of forests, land use and REDD+ in Ecuador, Brazil, and potentially in Paraguay, Honduras, Panama and Mexico;
- Support for the align the efforts of impact investors such as &Green Fund with National REDD+ Strategies and Action Plans.

Using traditional post-facto, paper-based, descriptive M&E and reporting methods UNDP is struggling to consolidate the large quantity of geographically explicit information, verify it, analyze progress towards policy milestones or compliance with land-use commitments and report on it in an accurate, transparent and interactive manner.

New tools and technologies exist that respond to this problem and drastically change the paradigm in land use monitoring, allowing for user-friendly, effective and efficient monitoring and adaptive management, even in a context as challenging as the Amazon. As such, these could greatly benefit impact investments and related monitoring and reporting.

Once operational, the marginal cost of using these tools is close to zero. This could allow for a quick uptake by a large number of projects, financial institutions and even governments at very low cost.

Lastly, by increasing transparency, these tools have the potential to significantly enhance the effectiveness of implementation of projects, especially if the various actors involved (beneficiaries, government, banks) know that their actions will be monitored this way in real time (as opposed to post-facto, paper-based, descriptive monitoring).

C. PURPOSE

Within this overall background, UNDP is seeking a service provider to provide this turn key M&E solution to all countries that require this support.

UNDP could build an open source turn key M&E solution (UNDP forest and land-use M&E system) that could be very helpful for countries to do the following:

- Easily collect, transfer, consolidate, backup and analyze spatial and non-spatial data to facilitate the tracking of progress and impacts of projects;
- Transparently track and demonstrate progress against beneficiary performance milestones;
- Enable data sharing between stakeholders (e.g. for data collection and verification);

- Support monitoring of compliance with UNDP Social and Environmental Standards² ;
- Monitor compliance towards land-use commitments made by stakeholders, collectively or individually (private sector, political & administrative authorities, local communities);
- Quickly produce and disseminate information on projects to donors, stakeholders or the wider public

D. SCOPE OF WORK

In the context of this LTA, the service is expected to be offered to 3 developing countries in support of important REDD+ initiatives. The following tasks are expected for each country:

Task 1. Setup Monitoring and Evaluation online platform(s) service for the implementation of REDD+ initiatives

- Dedicated mapping and monitoring portal
- Data Management tools
- Map Maker
- Map stories/reporting
- Deforestation analysis features

The Setup should include:

- Standard customization options, domain name, portal name, language, color scheme, logo
- Initial data upload
- Bulk loading of data provided as-is in standard geospatial formats.
- Data processing support not included (evaluating, cleaning, or reformatting data)
- Landing page configuration (options include map, slides, maps, story feed, shortcuts to
- Server and website setup

Task 2. Training Course On-site training course (5 days)

- Training should be provided by at least 2 staff

² <http://www.undp.org/content/undp/en/home/accountability/social-and-environmental-responsibility/social-and-environmental-standards.html>

- Training materials should also be provided to the users of the platform

Nb: does not include travel or incidentals (training room or other misc expenses)

Task 3. Webinar training Remote (video conference/webinar) training course to cover basic use of the system

- Training should be provided by at least 2 staff
- Training materials should also be provided to the users of the platform

Task 4. Set-up a Mobile Data Collection Application (One-time Setup) with the following Mobile Application Features

- Load geographical data layers and satellite imagery into a phone or tablet for offline use in the field
- Collect data points in the field, including photo attachments and attribute (survey) data.

Setup should Include:

- Configuration of Monitoring and Evaluation online platform(s) service to support the mobile app
- Initial setup users and datasets for use in the app

Task 5. General Support to users for the duration of the agreement. Including

- Support for trouble shooting
- Maintenance and update of the system
- Response to user queries

E. EXPECTED OUTPUTS / DELIVERABLES

The system has to be based on technological solutions with the following general specifications and general functions:

- Open source software;
- Easy to use and flexible;
- Easily collect, transfer, consolidate, analyze and analyze the spatial and non-spatial information needed to ensure compliance with UNDP social and environmental standards as well as other performance criteria in the selection of beneficiaries of programmes;

- Monitor and verify in a transparent manner the progress in relation to the milestones of the performance of the beneficiaries of the REDD+ national strategies and bilateral agreements of REDD+ countries;
- Monitor and verify in a transparent manner the progress in relation to the mitigation measures identified in the environmental and social management plan mitigation measures of the UNDP projects supporting the implementation of REDD+ national strategies and bilateral agreements of REDD+ countries;

The system should also comply with the following specific functions:

1. **Interactive maps:** The platform needs to feature interactive map of featured datasets with layers that can be toggled off and on. Users can change the order that layers appear.
2. **Deforestation Analytics:** deforestation analytics need to be possible with both official government data, research institutional land use layers as well as custom analytics. The system must be able to run deforestation reports and rankings for several thousand polygons (filtered by year and canopy density).
3. **Farm level mapping and monitoring:** the system must have a proven track record of conducting deforestation monitoring at the farm scale.
4. **Integrated Satellite Imagery Providers:** the system must have the capacity to integrate the most recent cloud free scene into any forest report using the best sources available such as for example Sentinel, Landsat, Planet, or DigitalGlobe.
5. **Data upload and creation:** the system must offer data uploading. The system should support KML, CSV, GPX, and GeoBuf formats. Functionnalities to minimize bandwidth usage when transferring data are an asset.
6. **Remote Data Sources:** the system must therefore support linking to remote data sources including ArcGIS Online raster tiles and features services and web hosted GeoJSON files. These remote data layers are required for easy integration with existing country systems especially when data updates frequently. If a user such as a bank or a government agency updates data in their system, they system should offer an automatically update functionality. This feature should allow various users (e.g. government agencies) to share updated data from their systems in near real time and for UNDP to access this information for M&E purposes.
7. **Data Editing:** the system should allow users to edit spatial data, adding or modifying points, lines, and polygons, and setting their attributes/fields. Users must be able to create new empty layers in the system and then trace map features on top of satellite imagery.
8. **Map making:** the system must allow users to make and share maps. The system should also allows users to work collaboratively on maps organized in groups
9. **Quality Control:** **The system should have** quality control features to help maintain data integrity and allow users from different organizations to collaborate. The system should allows users to organize their content (e.g. data layers, maps, stories) inside administered groups where only members can add, edit, and delete content and where administrators have different rights.
10. **Communication/publishing/social media:** dissemination of findings is important, it will be an asset if the system has functionalities that allow for the quick production of communication material for websites and social media.

11. **Capacity to manage Sub-projects/components within a programme:** the system should allow for users to be split in groups according to their use
12. **Integrated mobile application:** Allow for the collection and verification of data using mobile data application installed on tablets and/or smartphones.
13. **Feature Level Data Management / Indexing:** the system should have basic information (data attributes, GPS location, area/length), user editable notes, comments/discussion and photo attachments. All feature data should be indexed in a text search index, so features are instantly findable in a MapHubs portal. On public sites these pages are indexed by search engines.
14. **Multilingual Interface and Metadata:** English and Spanish are required, French and Portuguese are desirable

F. INSTITUTIONAL ARRANGEMENTS

The company will work under the supervision of the Technical Specialist UNDP REDD+ Team based in the UNDP Regional Service Center in Panama. It is expected that the company selected will work closely with the national project management units supporting REDD+ initiatives in each country.

G. DURATION OF THE WORK

2 years on a Long-Term Agreement (LTA).

H. DUTY STATION

The work will be done remotely from the headquarters of the company. This consultancy will require a mission to user countries for the collection of information and training of users.

I. QUALIFICATIONS OF THE SUCCESSFUL CONTRACTOR

It will be required that the consulting institution / consortium, as far as possible, have a verifiable background that includes:

- Solid and widely recognized specialization in the desirable research in the area of monitoring public policies, sustainable development; particularly in relation to the services requested;
- Previous experience in similar tasks;

The proposed profiles are described to form the consultant team in a referential way. However, the number of people that will make up the team is left to the discretion of the applicant in their technical proposal.

Professional 1: Team Coordinator

- Advanced university degree (master's degree or equivalent) in the field of development, environment, forestry, agronomy, project management or related;
- Relevant experience in the environmental sector;
- Substantive experience in analysis and / or research of public policies (forestry, agricultural, environmental or related);
- Substantive experience in team coordination (strategic program planning, writing reports, results-based management);
- Knowledge on matters of climate change and REDD +.

Professional 2: Expert in computer systems development

- University degree in computer programming or equivalent areas;
- 5 years of experience in the computer programming sector;
- Substantive experience in designing computerized systems for managing geographic and non-geographic information;
- Desirable broad knowledge of the environmental sector.

J. SCOPE OF BID PRICE AND SCHEDULE OF PAYMENTS

Please include only cost of professional services in your bid.

UNDP will pay the expert company the fees specified in the contract. Daily expenses, transportation fares, and terminal expenses corresponding to travel required for the consulting job should not be specified in the proposal as these will be paid separately.

The expert company will receive payment of fees subject to approval of the deliverables agreed upon in the Terms of Reference and approval of the respective Certificate of Payment by the immediate supervisor.

Signed contracts in the individual agreement mode do not entail any advance fees either at the contract start date or at the start of the specific consulting periods.

When travel is necessary, air fare will be provided to travel, by the most direct and economic route and for as many travel hours as needed, to the place and country where the expert company will provide services, and the expert

company will be paid the respective terminal expenses and 100% of the respective daily expenses according to the United Nations rate for the place and country in which the services are to be provided.

K. RECOMMENDED PRESENTATION OF PROPOSAL AND OTHER RELEVANT INFORMATION

The selected consultant will have the obligation to:

1. Before any travel, obtain the security permits for traveling to the countries where the services will be required. These permits may be obtained at www.undss.org.
2. Have the contract signed by the UNDP and the firm before starting the work and before starting any travel. If the expert travels and starts the work without having signed the contract, the work and travel will be at the expert's own risk and responsibility.
3. Deliverables produced by the firm are the property of the UNDP. The firm must obtain written permission from the UNDP to use all or part of the deliverables and associated information for any other consulting or work.