

# **REQUEST FOR PROPOSAL**

Perception Survey for the Stabilization Facility for Libya Project

RFP No.: RFP/LBY/ SFL/2018/089

Project: Stabilization Facility for Libya

Country: Libya

Issued on: 28 September 2018

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The United Nations Development Programme (UNDP) hereby invites you to submit a Proposal to this Request for Proposal (RFP) for the above-referenced subject.

This RFP includes the following documents and the General Terms and Conditions of Contract which is inserted in the Bid Data Sheet (BDS):

Section 1: This Letter of Invitation

Section 2: Instruction to Bidders

Section 3: Bid Data Sheet (BDS)

Section 4: Evaluation Criteria

Section 5: Terms of Reference

Section 6: Returnable Bidding Forms

o Form A: Technical Proposal Submission Form

Form B: Bidder Information Form

o Form C: Joint Venture/Consortium/Association Information Form

o Form D: Qualification Form

o Form E: Format of Technical Proposal

o Form F: Financial Proposal Submission Form

o Form G: Financial Proposal Form

If you are interested in submitting a Proposal in response to this RFP, please prepare your Proposal in accordance with the requirements and procedure as set out in this RFP and submit it by the Deadline for Submission of Proposals set out in Bid Data Sheet.

Please acknowledge receipt of this RFP by sending an email to procurement.ly@undp.org, indicating whether you intend to submit a Proposal or otherwise. You may also utilize the "Accept Invitation" function in eTendering system, where applicable. This will enable you to receive amendments or updates to the RFP. Should you require further clarifications, kindly communicate with the contact person/s identified in the attached Bid Data Sheet as the focal point for queries on this RFP.

UNDP looks forward to receiving your Proposal and thank you in advance for your interest in UNDP procurement opportunities.

Issued by:

Name: Predrag Perunovic

Title: Procurement Specialist

Date: September 28, 2018

Approved by:

Name: Ermira Basha

Title: Operations Manager

Date: September 28, 2018

### **GENERAL PROVISIONS** 1. Introduction 1.1 Bidders shall adhere to all the requirements of this RFP, including any amendments in writing by UNDP. This RFP is conducted in accordance with the UNDP Programme and Operations Policies and Procedures (POPP) on Contracts and Procurement which can be accessed at https://popp.undp.org/SitePages/POPPBSUnit.aspx?TermID=254a9f96-b883-476a-8ef8-e81f93a2b38d 1.2 Any Proposal submitted will be regarded as an offer by the Bidder and does not constitute or imply the acceptance of the Proposal by UNDP. UNDP is under no obligation to award a contract to any Bidder as a result of this RFP. 1.3 As part of the bid, it is desired that the Bidder registers at the United Nations Global Marketplace (UNGM) website (www.ungm.org). The Bidder may still submit a bid even if not registered with the UNGM. However, if the Bidder is selected for contract award, the Bidder must register on the UNGM prior to contract signature. 2.1 2. Fraud & Corruption, UNDP strictly enforces a policy of zero tolerance on proscribed practices, **Gifts and Hospitality** including fraud, corruption, collusion, unethical or unprofessional practices, and obstruction of UNDP vendors and requires all bidders/vendors observe the highest standard of ethics during the procurement process and contract implementation. UNDP's Anti-Fraud Policy can be found at http://www.undp.org/content/undp/en/home/operations/accountability/audit/ office of audit andinvestigation.html#anti 2.2 Bidders/vendors shall not offer gifts or hospitality of any kind to UNDP staff members including recreational trips to sporting or cultural events, theme parks or offers of holidays, transportation, or invitations to extravagant lunches or dinners. 2.3 In pursuance of this policy, UNDP (a) Shall reject a proposal if it determines that the selected bidder has engaged in any corrupt or fraudulent practices in competing for the contract in question; (b) Shall declare a vendor ineligible, either indefinitely or for a stated period of time, to be awarded a contract if at any time it determines that the vendor has engaged in any corrupt or fraudulent practices in competing for, or in executing a UNDP contract. 2.4 All Bidders must adhere to the UN Supplier Code of Conduct, which may be found at http://www.un.org/depts/ptd/pdf/conduct\_english.pdf 3. Eligibility 3.1 A vendor should not be suspended, debarred, or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization. Vendors are therefore required to disclose to UNDP whether they are subject to any sanction or temporary suspension imposed by these organizations. 3.2 It is the Bidder's responsibility to ensure that its employees, joint venture members, sub-contractors, service providers, suppliers and/or their employees meet the eligibility requirements as established by UNDP. 4. Conflict of Interests 4.1 Bidders must strictly avoid conflicts with other assignments or their own interests, and act without consideration for future work. Bidders found to have a conflict of interest shall be disqualified. Without limitation on the generality of the above, Bidders, and any of their affiliates, shall be considered to have a conflict of interest with one or more parties in this solicitation process, if they: Are or have been associated in the past, with a firm or any of its affiliates which have been engaged by UNDP to provide services for the preparation of the

design, specifications, Terms of Reference, cost analysis/estimation, and other

- documents to be used for the procurement of the goods and services in this selection process;
- b) Were involved in the preparation and/or design of the programme/project related to the services requested under this RFP; or
- Are found to be in conflict for any other reason, as may be established by, or at the discretion of UNDP.
- 4.2 In the event of any uncertainty in the interpretation of a potential conflict of interest, Bidders must disclose to UNDP, and seek UNDP's confirmation on whether or not such a conflict exists.
- 4.3 Similarly, the Bidders must disclose in their proposal their knowledge of the following:
  - a) If the owners, part-owners, officers, directors, controlling shareholders, of the bidding entity or key personnel are family members of UNDP staff involved in the procurement functions and/or the Government of the country or any Implementing Partner receiving services under this RFP; and
  - b) All other circumstances that could potentially lead to actual or perceived conflict of interest, collusion or unfair competition practices.

Failure to disclose such an information may result in the rejection of the proposal or proposals affected by the non-disclosure.

4.4 The eligibility of Bidders that are wholly or partly owned by the Government shall be subject to UNDP's further evaluation and review of various factors such as being registered, operated and managed as an independent business entity, the extent of Government ownership/share, receipt of subsidies, mandate and access to information in relation to this RFP, among others. Conditions that may lead to undue advantage against other Bidders may result in the eventual rejection of the Proposal.

### PREPARATION OF PROPOSALS

PREPARATION OF PROPOSALS			
5. General Considerations	5.1 In preparing the Proposal, the Bidder is expected to examine the RFP in detail. Material deficiencies in providing the information requested in the RFP may result in rejection of the Proposal.		
	5.2 The Bidder will not be permitted to take advantage of any errors or omissions in the RFP. Should such errors or omissions be discovered, the Bidder must notify the UNDP		
6. Cost of Preparation of Proposal	6.1 The Bidder shall bear any and all costs related to the preparation and/or submission of the Proposal, regardless of whether its Proposal was selected or not. UNDP shall not be responsible or liable for those costs, regardless of the conduct or outcome of the procurement process.		
7. Language	7.1 The Proposal, as well as any and all related correspondence exchanged by the Bidder and UNDP, shall be written in the language (s) specified in the BDS.		
8. Documents Comprising the Proposal	8.1 The Proposal shall comprise of the following documents:  c) Documents Establishing the Eligibility and Qualifications of the Bidder; d) Technical Proposal; e) Financial Proposal; f) Proposal Security, if required by BDS; g) Any attachments and/or appendices to the Proposal.		
9. Documents Establishing the Eligibility and Qualifications of the	9.1 The Bidder shall furnish documentary evidence of its status as an eligible and qualified vendor, using the Forms provided under Section 6 and providing documents required in those forms. In order to award a contract to a Bidder, its qualifications must be documented to UNDP's satisfaction.		

Bidder

# 10. Technical Proposal 10.1 The Bidder is required to submit a Technical Proposal using the Standard Forms **Format and Content** and templates provided in Section 6 of the RFP. 10.2 The Technical Proposal shall not include any price or financial information. A Technical Proposal containing material financial information may be declared nonresponsive. 10.3 Samples of items, when required as per Section 5, shall be provided within the time specified and unless otherwise specified by UNDP, and at no expense to UNDP When applicable and required as per Section 5, the Bidder shall describe the necessary training programme available for the maintenance and operation of the services and/or equipment offered as well as the cost to the UNDP. Unless otherwise specified, such training as well as training materials shall be provided in the language of the Bid as specified in the BDS. The Financial Proposal shall be prepared using the Standard Form provided in 11. Financial Proposals 11.1 Section 6 of the RFP. It shall list all major cost components associated with the services, and the detailed breakdown of such costs. Any output and activities described in the Technical Proposal but not priced in the Financial Proposal, shall be assumed to be included in the prices of other activities or items, as well as in the final total price. 11.3 Prices and other financial information must not be disclosed in any other place except in the financial proposal. 12. Proposal Security 12.1 A Proposal Security, if required by BDS, shall be provided in the amount and form indicated in the BDS. The Proposal Security shall be valid up to thirty (30) days after the final date of validity of the Proposal. 12.2 The Proposal Security shall be included along with the Technical Proposal. If Proposal Security is required by the RFP but is not found along with the Technical Proposal, the Proposal shall be rejected. 12.3 If the Proposal Security amount or its validity period is found to be less than what is required by UNDP, UNDP shall reject the Proposal. 12.4 In the event an electronic submission is allowed in the BDS, Bidders shall include a copy of the Bid Security in their proposal and the original of the Proposal Security must be sent via courier or hand delivery as per the instructions in BDS. 12.5 The Proposal Security may be forfeited by UNDP, and the Proposal rejected, in the event of any one or combination, of the following conditions: a) If the Bidder withdraws its offer during the period of the Proposal Validity specified in the BDS, or; In the event that the successful Bidder fails: to sign the Contract after UNDP has issued an award; or to furnish the Performance Security, insurances, or other documents that UNDP may require as a condition precedent to the effectivity of the contract that may be awarded to the Bidder. 13. Currencies 13.1 All prices shall be quoted in the currency or currencies indicated in the BDS. Where Proposals are quoted in different currencies, for the purposes of comparison of all Proposals: UNDP will convert the currency quoted in the Proposal into the UNDP preferred currency, in accordance with the prevailing UN operational rate of exchange on the last day of submission of Proposals; and b) In the event that UNDP selects a proposal for award that is quoted in a currency different from the preferred currency in the BDS, UNDP shall reserve

the right to award the contract in the currency of UNDP's preference, using the conversion method specified above.

### 14. Joint Venture, Consortium or Association

- 14.1 If the Bidder is a group of legal entities that will form or have formed a Joint Venture (JV), Consortium or Association for the Proposal, they shall confirm in their Proposal that: (i) they have designated one party to act as a lead entity, duly vested with authority to legally bind the members of the JV, Consortium or Association jointly and severally, which shall be evidenced by a duly notarized Agreement among the legal entities, and submitted with the Proposal; and (ii) if they are awarded the contract, the contract shall be entered into, by and between UNDP and the designated lead entity, who shall be acting for and on behalf of all the member entities comprising the joint venture.
- 14.2 After the Deadline for Submission of Proposal, the lead entity identified to represent the JV, Consortium or Association shall not be altered without the prior written consent of UNDP.
- 14.3 The lead entity and the member entities of the JV, Consortium or Association shall abide by the provisions of Clause 9 herein in respect of submitting only one proposal.
- 14.4 The description of the organization of the JV, Consortium or Association must clearly define the expected role of each of the entity in the joint venture in delivering the requirements of the RFP, both in the Proposal and the JV, Consortium or Association Agreement. All entities that comprise the JV, Consortium or Association shall be subject to the eligibility and qualification assessment by UNDP.
- 14.5 A JV, Consortium or Association in presenting its track record and experience should clearly differentiate between:
  - Those that were undertaken together by the JV, Consortium or Association;
     and
  - b) Those that were undertaken by the individual entities of the JV, Consortium or Association.
- 14.6 Previous contracts completed by individual experts working privately but who are permanently or were temporarily associated with any of the member firms cannot be claimed as the experience of the JV, Consortium or Association or those of its members, but should only be claimed by the individual experts themselves in their presentation of their individual credentials.
- 14.7 JV, Consortium or Associations are encouraged for high value, multi-sectoral requirements when the spectrum of expertise and resources required may not be available within one firm.

### 15. Only One Proposal

- 15.1 The Bidder (including the individual members of any Joint Venture) shall submit only one Proposal, either in its own name or as part of a Joint Venture.
- 15.2 Proposals submitted by two (2) or more Bidders shall all be rejected if they are found to have any of the following:
  - they have at least one controlling partner, director or shareholder in common;
     or
  - b) any one of them receive or have received any direct or indirect subsidy from the other/s; or
  - c) they have the same legal representative for purposes of this RFP; or
  - d) they have a relationship with each other, directly or through common third parties, that puts them in a position to have access to information about, or influence on the Proposal of, another Bidder regarding this RFP process;
  - e) they are subcontractors to each other's Proposal, or a subcontractor to one Proposal also submits another Proposal under its name as lead Bidder; or

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		f) some key personnel proposed to be in the team of one Bidder participates in more than one Proposal received for this RFP process. This condition relating to the personnel, does not apply to subcontractors being included in more than one Proposal.
16. Proposal Validity Period	16.1	Proposals shall remain valid for the period specified in the BDS, commencing on the Deadline for Submission of Proposals. A Proposal valid for a shorter period may be rejected by UNDP and rendered non-responsive.
	16.2	During the Proposal validity period, the Bidder shall maintain its original Proposal without any change, including the availability of the Key Personnel, the proposed rates and the total price.
17. Extension of Proposal Validity Period	17.1	In exceptional circumstances, prior to the expiration of the proposal validity period, UNDP may request Bidders to extend the period of validity of their Proposals. The request and the responses shall be made in writing, and shall be considered integral to the Proposal.
	17.2	If the Bidder agrees to extend the validity of its Proposal, it shall be done without any change in the original Proposal.
	17.3	The Bidder has the right to refuse to extend the validity of its Proposal, and in which case, such Proposal will not be further evaluated.
18. Clarification of Proposal	18.1	Bidders may request clarifications on any of the RFP documents no later than the date indicated in the BDS. Any request for clarification must be sent in writing in the manner indicated in the BDS. If inquiries are sent other than specified channel, even if they are sent to a UNDP staff member, UNDP shall have no obligation to respond or confirm that the query was officially received.
	18.2	UNDP will provide the responses to clarifications through the method specified in the BDS.
	18.3	UNDP shall endeavor to provide responses to clarifications in an expeditious manner, but any delay in such response shall not cause an obligation on the part of UNDP to extend the submission date of the Proposals, unless UNDP deems that such an extension is justified and necessary.
19. Amendment of Proposals	19.1	At any time prior to the deadline of Proposal submission, UNDP may for any reason, such as in response to a clarification requested by a Bidder, modify the RFP in the form of an amendment to the RFP. Amendments will be made available to all prospective bidders.
	19.2	If the amendment is substantial, UNDP may extend the Deadline for submission of proposal to give the Bidders reasonable time to incorporate the amendment into their Proposals.
20. Alternative Proposals	20.1	Unless otherwise specified in the BDS, alternative proposals shall not be considered. If submission of alternative proposal is allowed by BDS, a Bidder may submit an alternative proposal, but only if it also submits a proposal conforming to the RFP requirements. UNDP shall only consider the alternative proposal offered by the Bidder whose conforming proposal ranked the highest as per the specified evaluation method. Where the conditions for its acceptance are met, or justifications are clearly established, UNDP reserves the right to award a contract based on an alternative proposal.
	20.2	If multiple/alternative proposals are being submitted, they must be clearly marked as "Main Proposal" and "Alternative Proposal"
21. Pre-Bid Conference	21.1	When appropriate, a Bidder's conference will be conducted at the date, time and location specified in the BDS. All Bidders are encouraged to attend. Non-attendance, however, shall not result in disqualification of an interested Bidder. Minutes of the Bidder's conference will be disseminated on the procurement

website and shared by email or on the e-Tendering platform as specified in the BDS. No verbal statement made during the conference shall modify the terms and conditions of the RFP, unless specifically incorporated in the Minutes of the Bidder's Conference or issued/posted as an amendment to RFP.

#### SUBMISSION AND OPENING OF PROPOSALS

#### 22. Submission

- 22.1 The Bidder shall submit a duly signed and complete Proposal comprising the documents and forms in accordance with the requirements in the BDS. The submission shall be in the manner specified in the BDS.
- 22.2 The Proposal shall be signed by the Bidder or person(s) duly authorized to commit the Bidder. The authorization shall be communicated through a document evidencing such authorization issued by the legal representative of the bidding entity, or a Power of Attorney, accompanying the Proposal.
- 22.3 Bidders must be aware that the mere act of submission of a Proposal, in and of itself, implies that the Bidder fully accepts the UNDP General Contract Terms and Conditions.

# Hard copy (manual) submission

- 22.4 Hard copy (manual) submission by courier or hand delivery allowed or specified in the BDS shall be governed as follows:
  - g) The signed Proposal shall be marked "Original", and its copies marked "Copy" as appropriate. The number of copies is indicated in the BDS. All copies shall be made from the signed original only. If there are discrepancies between the original and the copies, the original shall prevail.
  - h) The Technical Proposal and the Financial Proposal envelopes MUST BE COMPLETELY SEPARATE and each of them must be submitted sealed individually and clearly marked on the outside as either "TECHNICAL PROPOSAL" or "FINANCIAL PROPOSAL", as appropriate. Each envelope SHALL clearly indicate the name of the Bidder. The outer envelopes shall:
    - i. Bear the name and address of the bidder;
    - ii. Be addressed to UNDP as specified in the BDS
  - iii. Bear a warning that states "Not to be opened before the time and date for proposal opening" as specified in the BDS.

If the envelopes and packages with the Proposal are not sealed and marked as required, UNDP shall assume no responsibility for the misplacement, loss, or premature opening of the Proposal.

#### **Email Submission**

- 22.5 Email submission, if allowed or specified in the BDS, shall be governed as follows:
  - a) Electronic files that form part of the proposal must be in accordance with the format and requirements indicated in BDS;
  - b) The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE. The financial proposal shall be encrypted with different passwords and clearly labelled. The files must be sent to the dedicated email address specified in the BDS.
  - c) The password for opening the Financial Proposal should be provided only upon request of UNDP. UNDP will request password only from bidders whose Technical Proposal has been found to be technically responsive. Failure to provide correct password may result in the proposal being rejected.
- 22.6 Electronic submission through eTendering, if allowed or specified in the BDS, shall be governed as follows:

#### eTendering submission

a) Electronic files that form part of the proposal must be in accordance with the

	format and requirements indicated in BDS;	
		NADI ETELV
	<ul> <li>b) The Technical Proposal and the Financial Proposal files MUST BE CO SEPARATE and each of them must be uploaded individually a labelled.</li> </ul>	
	d) The Financial Proposal file must be encrypted with a password cannot be opened nor viewed until the password is provided. The for opening the Financial Proposal should be provided only upon UNDP. UNDP will request password only from bidders whose proposal has been found to be technically responsive. Failure to p correct password may result in the proposal being rejected.	password request of technical
	<ul> <li>Documents which are required to be in original form (e.g. Bid Sec must be sent via courier or hand delivery as per the instructions in</li> </ul>	
	d) Detailed instructions on how to submit, modify or cancel a bid in the eTendering system are provided in the eTendering system Bidder U and Instructional videos available on this link: <a href="http://www.undp.org/content/undp/en/home/operations/procure iness/procurement-notices/resources/">http://www.undp.org/content/undp/en/home/operations/procure iness/procurement-notices/resources/</a>	ser Guide
23. Deadline for Submission of Proposals and Late	.1 Complete Proposals must be received by UNDP in the manner, and no the date and time, specified in the BDS. UNDP shall only recognize the time that the bid was received by UNDP	
Proposals	.2 UNDP shall not consider any Proposal that is submitted after the deadl submission of Proposals.	ine for the
24. Withdrawal, Substitution, and	.1 A Bidder may withdraw, substitute or modify its Proposal after it submitted at any time prior to the deadline for submission.	has been
Modification of Proposals	.2 Manual and Email submissions: A bidder may withdraw, substitute or Proposal by sending a written notice to UNDP, duly signed by an a representative, and shall include a copy of the authorization (or a Attorney). The corresponding substitution or modification of the Propomust accompany the respective written notice. All notices must be sulthe same manner as specified for submission of proposals, by clearly man as "WITHDRAWAL" "SUBSTITUTION," or "MODIFICATION"	Power of sal, if any, bmitted in
	eTendering: A Bidder may withdraw, substitute or modify its Proceeding, Editing, and re-submitting the proposal directly in the system responsibility of the Bidder to properly follow the system instructions and submit a substitution or modification of the Proposal as needed. instructions on how to cancel or modify a Proposal directly in the sprovided in Bidder User Guide and Instructional videos.	n. It is the , duly edit Detailed
	.4 Proposals requested to be withdrawn shall be returned unopened to the (only for manual submissions), except if the bid is withdrawn after the bid opened	
25. Proposal Opening	.1 There is no public bid opening for RFPs. UNDP shall open the Propor presence of an ad-hoc committee formed by UNDP, consisting of at lea members. In the case of e-Tendering submission, bidders will receive an notification once their proposal is opened.	ast two (2)
<b>EVALUATION OF PROPO</b>	LS	
26. Confidentiality	.1 Information relating to the examination, evaluation, and comparison of and the recommendation of contract award, shall not be disclosed to any other persons not officially concerned with such process, e publication of the contract award.	Bidders or
	.2 Any effort by a Bidder or anyone on behalf of the Bidder to influence UI examination, evaluation and comparison of the Proposals or contra	

	decisions may, at UNDP's decision, result in the rejection of its Proposal and may be subject to the application of prevailing UNDP's vendor sanctions procedures.
27. Evaluation of Proposals	27.1 The Bidder is not permitted to alter or modify its Proposal in any way after the proposal submission deadline except as permitted under Clause 24 of this RFP. UNDP will conduct the evaluation solely on the basis of the submitted Technical and Financial Proposals.  27.2 Evaluation of proposals is made of the following steps:
	<ul> <li>i) Preliminary Examination</li> <li>j) Minimum Eligibility and Qualification (if pre-qualification is not done)</li> <li>k) Evaluation of Technical Proposals</li> <li>l) Evaluation of Financial Proposals</li> </ul>
28. Preliminary Examination	UNDP shall examine the Proposals to determine whether they are complete with respect to minimum documentary requirements, whether the documents have been properly signed, and whether the Proposals are generally in order, among other indicators that may be used at this stage. UNDP reserves the right to reject any Proposal at this stage.
29. Evaluation of Eligibility and Qualification	29.1 Eligibility and Qualification of the Bidder will be evaluated against the Minimum Eligibility/Qualification requirements specified in the Section 4 (Evaluation Criteria).
	<ul> <li>In general terms, vendors that meet the following criteria may be considered qualified: <ul> <li>a) They are not included in the UN Security Council 1267/1989 Committee's list of terrorists and terrorist financiers, and in UNDP's ineligible vendors' list;</li> <li>b) They have a good financial standing and have access to adequate financial resources to perform the contract and all existing commercial commitments,</li> <li>c) They have the necessary similar experience, technical expertise, production capacity where applicable, quality certifications, quality assurance procedures and other resources applicable to the provision of the services required;</li> <li>d) They are able to comply fully with UNDP General Terms and Conditions of Contract;</li> <li>e) They do not have a consistent history of court/arbitral award decisions against the Bidder; and</li> <li>f) They have a record of timely and satisfactory performance with their clients.</li> </ul> </li> </ul>
30. Evaluation of Technical and Financial Proposals	The evaluation team shall review and evaluate the Technical Proposals on the basis of their responsiveness to the Terms of Reference and other RFP documents, applying the evaluation criteria, sub-criteria, and point system specified in the Section 4 (Evaluation Criteria). A Proposal shall be rendered non-responsive at the technical evaluation stage if it fails to achieve the minimum technical score indicated in the BDS. When necessary and if stated in the BDS, UNDP may invite technically responsive bidders for a presentation related to their technical proposals. The conditions for the presentation shall be provided in the bid document where required.
	In the second stage, only the Financial Proposals of those Bidders who achieve the minimum technical score will be opened for evaluation. The Financial Proposals corresponding to Technical Proposals that were rendered non-responsive shall remain unopened, and, in the case of manual submission, be returned to the Bidder unopened. For emailed Proposals and e-tendering submissions, UNDP will not request for the password of the Financial Proposals of bidders whose Technical Proposal were found not responsive.
	The evaluation method that applies for this RFP shall be as indicated in the BDS, which may be either of two (2) possible methods, as follows: (a) the lowest priced method which selects the lowest evaluated financial proposal of the technically

	responsive Bidders; or (b) the combined scoring method which will be based on a combination of the technical and financial score.
	30.4 When the BDS specifies a combined scoring method, the formula for the rating of the Proposals will be as follows:
	Rating the Technical Proposal (TP):
	TP Rating = (Total Score Obtained by the Offer / Max. Obtainable Score for TP) x 100
	Rating the Financial Proposal (FP):
	FP Rating = (Lowest Priced Offer / Price of the Offer Being Reviewed) x 100
	Total Combined Score:
	<b>Combined Score =</b> (TP Rating) x (Weight of TP, e.g. 70%) + (FP Rating) x (Weight of FP, e.g., 30%)
31. Due Diligence	31.1 UNDP reserves the right to undertake a due diligence exercise, also called post qualification, aimed at determining to its satisfaction, the validity of the information provided by the Bidder. Such exercise shall be fully documented and may include, but need not be limited to, all or any combination of the following:
	<ul> <li>a) Verification of accuracy, correctness and authenticity of information provided by the Bidder;</li> <li>b) Validation of extent of compliance to the RFP requirements and evaluation criteria based on what has so far been found by the evaluation team;</li> <li>c) Inquiry and reference checking with Government entities with jurisdiction on the Bidder, or with previous clients, or any other entity that may have done business with the Bidder;</li> <li>d) Inquiry and reference checking with previous clients on the performance on on-going or contracts completed, including physical inspections of previous works, as necessary;</li> <li>e) Physical inspection of the Bidder's offices, branches or other places where business transpires, with or without notice to the Bidder;</li> <li>f) Other means that UNDP may deem appropriate, at any stage within the selection process, prior to awarding the contract.</li> </ul>
32. Clarification of Proposals	32.1 To assist in the examination, evaluation and comparison of Proposals, UNDP may, at its discretion, ask any Bidder for a clarification of its Proposal.
	32.2 UNDP's request for clarification and the response shall be in writing and no change in the prices or substance of the Proposal shall be sought, offered, or permitted, except to provide clarification, and confirm the correction of any arithmetic errors discovered by UNDP in the evaluation of the Proposals, in accordance with RFP.
	32.3 Any unsolicited clarification submitted by a Bidder in respect to its Proposal, which is not a response to a request by UNDP, shall not be considered during the review and evaluation of the Proposals.
33. Responsiveness of Proposal	33.1 UNDP's determination of a Proposal's responsiveness will be based on the contents of the Proposal itself. A substantially responsive Proposal is one that conforms to all the terms, conditions, TOR and other requirements of the RFP without material deviation, reservation, or omission.
	33.2 If a Proposal is not substantially responsive, it shall be rejected by UNDP and may not subsequently be made responsive by the Bidder by correction of the material deviation, reservation, or omission.
34. Nonconformities,	34.1 Provided that a Proposal is substantially responsive, UNDP may waive any non-

### Reparable Errors and conformities or omissions in the Proposal that, in the opinion of UNDP, do not **Omissions** constitute a material deviation. 34.2 UNDP may request the Bidder to submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities or omissions in the Proposal related to documentation requirements. Such omission shall not be related to any aspect of the price of the Proposal. Failure of the Bidder to comply with the request may result in the rejection of its Proposal. For Financial Proposal that has been opened, UNDP shall check and correct 34.3 arithmetical errors as follows: a) if there is a discrepancy between the unit price and the line item total that is obtained by multiplying the unit price by the quantity, the unit price shall prevail and the line item total shall be corrected, unless in the opinion of UNDP there is an obvious misplacement of the decimal point in the unit price; in which case the line item total as quoted shall govern and the unit price shall be corrected; b) if there is an error in a total corresponding to the addition or subtraction of subtotals, the subtotals shall prevail and the total shall be corrected; and if there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail. 34.4 If the Bidder does not accept the correction of errors made by UNDP, its Proposal shall be rejected. AWARD OF CONTRACT 35. Right to Accept, 35.1 UNDP reserves the right to accept or reject any Proposal, to render any or all of Reject, Any or All the Proposals as non-responsive, and to reject all Proposals at any time prior to **Proposals** award of contract, without incurring any liability, or obligation to inform the affected Bidder(s) of the grounds for UNDP's action. UNDP shall not be obliged to award the contract to the lowest priced offer. 36. Award Criteria 36.1 Prior to expiration of the proposal validity, UNDP shall award the contract to the qualified Bidder based on the award criteria indicated in the BDS. 37. Debriefing 37.1 In the event that a Bidder is unsuccessful, the Bidder may request a debriefing from UNDP. The purpose of the debriefing is to discuss the strengths and weaknesses of the Bidder's submission, in order to assist the Bidder in improving its future proposals for UNDP procurement opportunities. The content of other proposals and how they compare to the Bidder's submission shall not be discussed. 38. Right to Vary 38.1 At the time of award of Contract, UNDP reserves the right to vary the quantity of Requirements at the services and/or goods, by up to a maximum twenty-five per cent (25%) of the total Time of Award offer, without any change in the unit price or other terms and conditions. 39. Contract Signature Within fifteen (15) days from the date of receipt of the Contract, the successful Bidder shall sign and date the Contract and return it to UNDP. Failure to do so may constitute sufficient grounds for the annulment of the award, and forfeiture of the Proposal Security, if any, and on which event, UNDP may award the Contract to the Second Ranked Bidder or call for new Proposals. 40. Contract Type and The types of Contract to be signed and the applicable UNDP Contract General **General Terms and** Terms and Conditions, as specified in BDS, can be accessed at **Conditions** http://www.undp.org/content/undp/en/home/procurement/business/how-webuy.html

41.1 40.1 A performance security, if required in BDS, shall be provided in the amount

41. Performance Security

		specified in BDS and form available at
		https://popp.undp.org/ layouts/15/WopiFrame.aspx?sourcedoc=/UNDP POPP DOCUMENT LIBRARY/Public/PSU Solicitation Performance%20Guarantee%20Form.docx&action=default within fifteen (15) days of the contract signature by both parties. Where a performance security is required, the receipt of the performance security by UNDP shall be a condition for rendering the contract effective.
42. Bank Guarantee for Advanced Payment	42.1	Except when the interests of UNDP so require, it is UNDP's preference to make no advance payment(s) (i.e., payments without having received any outputs). If an advance payment is allowed as per BDS, and exceeds 20% of the total contract price, or USD 30,000, whichever is less, the Bidder shall submit a Bank Guarantee in the full amount of the advance payment in the form available at <a href="https://popp.undp.org/layouts/15/WopiFrame.aspx?sourcedoc=/UNDP POPP">https://popp.undp.org/layouts/15/WopiFrame.aspx?sourcedoc=/UNDP POPP</a> <a business="" content="" en="" home="" href="https://popp.undp.org/layouts/15/WopiFrame.aspx?sourcedoc=/UNDP POPP DOCUMENT LIBRARY/Public/PSU Contract%20Management%20Payment%20andmanagement%20Payment%20andmanagement%20Payment%20andmanagement%20Payment%20andmanagement%20Form.docx&amp;action=default&lt;/a&gt;&lt;/th&gt;&lt;/tr&gt;&lt;tr&gt;&lt;th&gt;43. Liquidated Damages&lt;/th&gt;&lt;th&gt;43.1&lt;/th&gt;&lt;th&gt;If specified in BDS, UNDP shall apply Liquidated Damages resulting from the Contractor's delays or breach of its obligations as per the Contract.&lt;/th&gt;&lt;/tr&gt;&lt;tr&gt;&lt;th&gt;44. Payment Provisions&lt;/th&gt;&lt;th&gt;44.1&lt;/th&gt;&lt;th&gt;Payment will be made only upon UNDP's acceptance of the work performed. The terms of payment shall be within thirty (30) days, after receipt of invoice and certification of acceptance of work issued by the proper authority in UNDP with direct supervision of the Contractor. Payment will be effected by bank transfer in the currency of contract.&lt;/th&gt;&lt;/tr&gt;&lt;tr&gt;&lt;th&gt;45. Vendor Protest&lt;/th&gt;&lt;th&gt;45.1&lt;/th&gt;&lt;th&gt;UNDP's vendor protest procedure provides an opportunity for appeal to those persons or firms not awarded a contract through a competitive procurement process. In the event that a Bidder believes that it was not treated fairly, the following link provides further details regarding UNDP vendor protest procedures: &lt;a href=" http:="" operations="" procurement="" protest-and-sanctions.html"="" undp="" www.undp.org="">http://www.undp.org/content/undp/en/home/operations/procurement/business/protest-and-sanctions.html</a>
46. Other Provisions	46.1	In the event that the Bidder offers a lower price to the host Government (e.g. General Services Administration (GSA) of the federal government of the United States of America) for similar services, UNDP shall be entitled to same lower price. The UNDP General Terms and Conditions shall have precedence.
	46.2	UNDP is entitled to receive the same pricing offered by the same Contractor in contracts with the United Nations and/or its Agencies. The UNDP General Terms and Conditions shall have precedence.
	46.3	The United Nations has established restrictions on employment of (former) UN staff who have been involved in the procurement process as per bulletin ST/SGB/2006/15 <a href="http://www.un.org/en/ga/search/view_doc.asp?symbol=ST/SGB/2006/15&amp;referer">http://www.un.org/en/ga/search/view_doc.asp?symbol=ST/SGB/2006/15&amp;referer</a>

# **Section 3. Bid Data Sheet**

The following data for the services to be procured shall complement, supplement, or amend the provisions in the Request for Proposals. In the case of a conflict between the Instructions to Bidders, the Data Sheet, and other annexes or references attached to the Data Sheet, the provisions in the Data Sheet shall prevail.

BDS No.	Ref. to Section.2	Data	Specific Instructions / Requirements
1	7	Language of the Proposal	English
2		Submitting Proposals for Parts or sub-parts of the TOR (partial bids)	Not Allowed
3	20	Alternative Proposals	Shall not be considered
4	21	Pre-proposal conference	Will not be conducted
5	10	Proposal Validity Period	90 days
6	14	Bid Security	Not Required
7	41	Advanced Payment upon signing of contract	Not Allowed
8	42	Liquidated Damages	Will be imposed as follows:  Percentage of contract price per day of delay: 0.5%  Max. number of days of delay 60, after which UNDP may terminate the contract.  The bidder is expected to discuss the delay in project implementation, if any, with UNDP Project and in case delays are due to factors beyond bidder control, UNDP may waive these liquidated charges
9	40	Performance Security	Not Required
10	18	Currency of Proposal	United States Dollar
11	31	Deadline for submitting requests for clarifications/ questions	O5 days before the submission deadline  UNDP will endeavor to provide the above clarifications expeditiously, but any delay in providing such information will not be considered a reason for extending the submission date of a bid.  The answers to the questions or requests for clarifications will be shared publicly.

12	31	Contact Details for submitting clarifications/questions	Focal Person in UNDP: <b>UNDP Procurement unit</b> Address: Immeuble Le Prestige Business Center (Second Floor), Tour E et D, 2ème étage, Rue du Lac Windermere, Les Berges du Lac, 1053 Tunis, Tunisia E-mail address: procurement.ly@undp.org
13	18, 19 and 21	Manner of Disseminating Supplemental Information to the RFP and responses/clarifications to queries	Direct communication to prospective Proposers by email and Posting on the website:  1. www.ly.undp.org 2. http://procurement-notices.undp.org/ 3. https://www.ungm.org/Public/Notice 4. https://libyaninvestment.com/libya-tenders
14	23	Deadline for Submission	23 October 2018, 14:00 hrs. (Tripoli, Libya time)
15	22	Allowable Manner of Submitting Proposals	<ul><li>☑ Courier/Hand Delivery</li><li>☑ Submission by email</li><li>☐ e-Tendering</li></ul>
16	22	Proposal Submission Address	UNDP Libya,  Rue du Lac Windermere, Les Berges du Lac,  1053 Tunis, TUNISIA  Attention: Procurement Unit Tender Reference: RFP/LBY/ SFL/2018/089 or via electronic submission as per BDS No 16
17	22	Electronic submission (email or e-Tendering) requirements	<ul> <li>Official Address for e-submission: tenders.ly@undp.org</li> <li>Format: PDF files only</li> <li>File names must be maximum 60 characters long and must not contain any letter or special character other than from Latin alphabet/keyboard.</li> <li>All files must be free of viruses and not corrupted.</li> <li>Password for financial proposal must not be provided until requested by UNDP</li> <li>Max. File Size per transmission: 10 MB</li> <li>Mandatory subject of email: RFP/LBY/ SFL/2018/089 - Perception Survey for SFL</li> </ul>
18	27 36	Evaluation Method for the Award of Contract	Combined Scoring Method, using the 70%-30% distribution for technical and financial proposals respectively  The minimum technical score required to pass is 70%.
19		Expected date for commencement of Contract	November 5, 2018

20		Maximum expected duration of contract	18 months
21	35	UNDP will award the contract to:	One Proposer only
22	39	Type of Contract	Purchase Order and Contract for Goods and Services for UNDP <a href="http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html">http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html</a>
23	39	UNDP Contract Terms and Conditions that will apply	UNDP General Terms and Conditions for Professional Services  http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html
24		Other Information Related to the RFP	N/A

### **Preliminary Examination Criteria**

Proposals will be examined to determine whether they are complete and submitted in accordance with RFP requirements as per below criteria on a Yes/No basis:

- Appropriate (authorized) signatures
- Power of Attorney
- Required Documents to Establish Qualification of Proposers Submitted
- Technical and Financial Proposals submitted separately
- Bid Validity
- CVs of proposed team members provided

### **Minimum Eligibility and Qualification Criteria**

Eligibility and Qualification will be evaluated on Pass/Fail basis.

If the Proposal is submitted as a Joint Venture/Consortium/Association, each member should meet minimum criteria, unless otherwise specified in the criterion.

Subject	Criteria	Document Submission requirement
ELIGIBILITY		
Legal Status	Vendor is a legally registered entity.	Form B: Bidder Information Form
Eligibility	Vendor is not suspended, nor debarred, nor otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization in accordance with RFP clause 3.	Form A: Technical Proposal Submission Form
Conflict of Interest	No conflicts of interest in accordance with RFP clause 4.	Form A: Technical Proposal Submission Form
Bankruptcy	Not declared bankruptcy, not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against the vendor that could impair its operations in the foreseeable future.	Form A: Technical Proposal Submission Form
Additional Requirement	At least one of the consortium members to be registered in Libya and able to work in the proposed Locations	Confirmation of registration in Libya
QUALIFICATION		
History of Non- Performing Contracts <sup>1</sup>	Non-performance of a contract did not occur as a result of contractor default for the last 3 years.	Form D: Qualification Form
Litigation History	No consistent history of court/arbitral award decisions against the Bidder for the last 3 years.	Form D: Qualification Form
Previous Experience	Minimum 3 years of relevant experience.	Form D: Qualification Form

<sup>&</sup>lt;sup>1</sup> Non-performance, as decided by UNDP, shall include all contracts where (a) non-performance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Non-performance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Bidder have been exhausted.

1

	Minimum 2 contracts of similar value, nature and complexity implemented over the last 3 years.  (For JV/Consortium/Association, all Parties cumulatively should meet requirement).	Form D: Qualification Form
Financial Standing	Minimum average annual turnover of USD 100,000.00 for the last 3 years  (For JV/Consortium/Association, all Parties cumulatively should meet requirement).	Form D: Qualification Form
	Bidder must demonstrate the current soundness of its financial standing and indicate its prospective long-term profitability.  (For JV/Consortium/Association, all Parties cumulatively should meet requirement).	Form D: Qualification Form
	Any additional criteria if required	

# **Technical Evaluation Criteria**

Summa	Summary of Technical Proposal Evaluation Forms	
1.	Bidder's qualification, capacity and experience – 25%	175
2.	Proposed Methodology, Approach and Implementation Plan – 50%	350
3.	Management Structure and Key Personnel – 25%	175
	Total	700

ection	1. Bidder's qualification, capacity and experience	Points obtainable
1.1	Reputation of Organization and Staff Credibility/Reliability	13
1.2	General Organizational Capability which is likely to affect implementation:  - Financial stability - 10 points  - loose consortium, holding company or one firm - 8 points  - age/size of the firm - 7 points  - strength of project management support - 15 points  Extent to which any work would be subcontracted (subcontracting carries additional risks which may affect project implementation, but properly done it offers a chance to access specialized skills.)	17
1.4	Relevance of:  - Demonstrated expertise and experience of conducting such surveys (sampling methods, conducting interviews, collecting data for purposes of perception surveys) - 35 points  - Demonstrated expertise and experience of analyzing such surveys (summarizing data, analysis of tabulations, analysis of individual responses, addressing potential bias etc. in perception surveys) - 35 points  - Experience with projects in the Middle East Region - 20 points  - Work for UNDP/ major multilateral/ or bilateral programmes - 15 points	105
	Total Section 1	175
Section	1 2. Proposed Methodology, Approach and Implementation Plan	Points obtainable
2.1	Understanding of the requirement: Have the important aspects of the task been addressed in sufficient detail? Are the different components of the project adequately weighted relative to one another?	40
2.2	Assessment of the conceptual framework and methodology and its appropriateness for the sampling and data collection task, including comparability.  - Conceptual framework for sampling and validation — 30 points  - Methodology for selecting respondents  - 20 points  - Methodology for following-up non-respondents  - 20 points  - Conceptual framework for ensuring comparability  - 20 points	160

	Methodology for ensuring comparability:		
	- Selection of respondents - 20 points		
	- Training of researchers - 20 points		
	- Conduct of interviews - 20 points		
2.3	Assessment of the conceptual framework and methodology and its appropriate data analysis and presentation task, including analysis of trends and compariso sites.		100
2.4	Assessment of the implementation plan proposed including whether the activit properly sequenced and if these are logical and realistic	ies are	50
	T	otal Section 2	350
Section	a 3. Management Structure and Key Personnel		Points obtainable
3.1	Composition and structure of the team proposed. Are the proposed roles of the management and the team of key personnel suitable for the provision of the necessary services?		15
3.2	Qualifications of key personnel proposed		
3.2 a	Team Leader (International)		60
	- Master degree in social science	10	
	- At least 7 years of relevant experience including five years' specialized experience in conducting or analyzing perception surveys	30	
	- At least 3 years of working experience in MENA region	15	
	- Proficiency in English Language	5	
3.2 b	Deputy Team Leader (National)		50
	- Master degree in Social Science	10	
	- Minimum of 3 years of relevant experience in perception surveys with Master's Degree or 7 years of experience with Bachelor Degree	25	
	- At least 5 years of working experience in MENA region	8	
	- Excellent English and Arabic language skills.	7	
3.2 c	Coordinator (National)		50
	- Bachelor's degree in social science or in relevant field	10	
	- 5 years' specialized experience in coordination	25	
	- At least 5 years of working experience in MENA region	8	
	- Good English and Arabic language skills	7	
		otal Section 3	

### **Perception Survey**

### A. Project Description

The SFL is an immediate stabilization initiative with the goal to "Strengthen the legitimate and internationally recognized state authorities and foster national unity for all Libyans". At the municipal local level the SFL, working with local partners, clearly identifies a tailored stabilization goal and objectives for each area of engagement. UNDP will also ensure that the local strategies flow from and are linked to the national goal.

The SFL supports State Authorities to build legitimacy among the Libyan population through providing quick rehabilitation of critical infrastructure, by enhancing the capability of municipalities, by supporting engagement and cooperation between the central government, service delivery ministries, semi-public agencies, municipalities and supporting local authorities in taking a more active role in stabilisation and peacebuilding. The light infrastructure rehabilitation within conflict affected areas can help reverse the physical disruption caused by the conflict and enable the improvement to basic services at the municipal level; investments will be selected to reinforce local peace deals. Support is given to national public and semi-public service delivery agencies, increasingly and in accordance with their capacities, through clear terms of reference that note the principles of the cooperative arrangements and the roles and responsibilities of the parties in supporting service delivery at the local level

The Outcome and Impact of the project are therefore best measured by the views Libyan citizens hold of the GNA, and their perceptions of its legitimacy and the services which it delivers and which it directs Municipal authorities to deliver. The logic underlying the survey is summarised in Annex A, and the key indicators used in the analysis are listed in **Annex B**. The questionnaire used in the survey is at **Annex C**.

#### **Background of Earlier Survey**

The SFL commissioned in November 2016 a baseline survey of perceptions. This was conducted in the first three locations (Benghazi, Kikla and Obari) and three pairwise comparators (Bayda, Gharyan and Sebha). It later commissioned similar surveys in Bani Walid, Tripoli and Kufra; if intended to include Derna as well, but security prohibited the conduct of surveys there. 350 face-to-face surveys were conducted in each location, using PPS sampling at Mahala level, random starts for fixed-skip linear walk samples, and Kish grids to select household members. Half the interviews were by female enumerators and sought female household members, while half were by male enumerators and sampled male household members.

Further rounds will be conducted at roughly six-monthly intervals but avoiding enumeration during Ramadan.

### **Proposed surveys**

The project now wishes to commission comparable surveys at six-monthly intervals, in November / December 2018, May 2019 and November 2019, to monitor the evolution of these perceptions in other locations, where the SFL invests.

In November / December 2018 the surveys will take place in the six locations of the first round (Benghazi, Bayda; Kikla, Gharyan; Ubari, Sebha); an identical survey is already contracted for the four locations of the second round. In May 2019 and November 2019, the surveys will take place in all ten locations (Benghazi, Bayda; Kikla, Gharyan; Ubari, Sebha; Bani Walid, Tripoli, Kufra, and Derna).

### B. Scope of Work

• The survey will require 350 interviews in each location, using PPS (Probability Proportional to Size) sampling at Mahala level as Primary Sampling Units (PSUs), and a mechanism to secure a representative

sample within each PSU. Half the interviews should be by female enumerators of female household members, while half should be by male enumerators of male household members. The questionnaire used should replicate that used in previous rounds, reflecting the logic in Annex A and providing at least the indicators listed in Annex B, demonstrating how the survey and its analysis could be linked to the findings of the existing survey process as it proceeds.

- In its bid the consultancy firm will outline the sampling methodology it proposes. It will refine this outline and submit a detailed proposal to UNDP for discussion. Once approved, the consultancy will submit to UNDP for comment its plan for administration of the existing questionnaire in a manner that will guarantee strict comparability with the previous rounds.
- The consultancy firm will then tabulate the findings and analyse them. The focus of the analysis will be on trends in perception, assuming relatively unchanging social desirability bias. Strict comparability of methodology is therefore essential to allow valid comparison between survey rounds and between baseline and surveys. The analysis will include separate analyses for each location, a comparison between treatment and control locations, assessment of treatment effects, and overall analysis
- The consultancy firm will conduct and report on internal quality assurance on a sample of interviews.
   They will maintain a register of interview contact details and make it available to UNDP to allow confirmation of a sample by UNDP or their chosen validation contractor
- The consultancy firm will provide the reports and other outputs detailed in Section C below.

# C. Expected Outputs

- 1. The consultancy firm will present a summary report outlining the major findings of each round by the end of the subsequent month (so January 2019, July 2019 and December 2019). This summary report may be in the form of a PowerPoint presentation. In addition, the firm will submit the reports mentioned under Section I ("Deliverables").
- 2. Within a further month (i.e. by February 2019, August 2019 and January 2020) the consultancy firm will present a detailed report on observations (including, for 2018 rounds, trends) and the factors explaining them. This report will include separate analyses for each location, a comparison between locations where the SFL is working and those where it is not, and overall analysis. It will examine the trends in perceptions of Government legitimacy and effectiveness, and in approval ratings of the Government.
- 3. All reports will be provided in both .pdf and .docx (or .pptx) forms so that they can readily be adapted for communications purposes. Tables and graphs will be provided in forms that can be pasted into other documents for ease of UNDP reporting.
- 4. At the end of the consultancy, by February 2020, the consultancy will also submit an overall report with any lessons from the process for comparable work in other countries or follow-on projects. At the end of the exercise, the consultancy will provide UNDP with a full copy of all the primary data, and all analyses, in a format agreed with UNDP, including electronic formats suitable for further analysis.

# D. Institutional Arrangement

- The company will report to the UNDP SFL Project Manager for all reports and other outputs.
- UNDP will be responsible for reviewing and addressing consultancy firm requests for information on a
  timely basis. Designated UNDP Project staff will be available to provide guidance to the consultancy firm
  during the course of the assignment. UNDP SFL Project Manager and/or his/her designated official shall
  be responsible for monitoring of consultancy firm's performance.
- In addition to the reports specified in §1-4 above, the consultancy will alert the UNDP SFL Project

Manager to any emerging issues, or to any risks of delays or quality impairment, in a timely manner.

#### E. Duration of the Work

- Each survey round is expected to take no more than four weeks to enumerate. Data capture and validation should not take more than two further weeks. Reports should be presented to the schedule set out in C.1-C.3 above.
- UNDP Libya will provide comments on drafts (presentations, reports etc.) within four working days of receipt.

### F. Duty Station

- The consultancy will operate in Libya, conducting surveys in the locations identified above. Reports will be submitted to UNDP Libya electronically for review and inputs and original reports will be submitted in hardcopy.
- The consultancy may be required to present its findings to UNDP Libya, after each round. Such a
  presentation would require one day in Tunis or Tripoli for delivery, and one day in Tunis or Tripoli ahead
  of presentation to agree with UNDP Libya on the content of the presentation. This costing should be
  included as optional costing under each survey.

### G. Required Qualifications and Experience of Key staff

# **Key Staff 1 (Team Leader- International):**

- Team leader with minimum Master degree in social science
- Minimum of 7 years of relevant experience including five years' specialized experience in conducting or analysing perception surveys
- At least 3 years of working experience in MENA region
- Proficiency in English Language.

### **Key Staff 2 (Deputy Team Leader-National):**

- Deputy Team Leader with Master degree in Social Science
- Minimum of 3 years of relevant experience in perception surveys with Master's Degree or minimum of 7
   years of relevant experience in perception surveys with Bachelor Degree
- Minimum 5 years of working experience in MENA region.
- Excellent English and Arabic language skills.

### **Key Staff 3 (Coordinator-National):**

- Coordinator with bachelor degree in social science or in relevant field
- 5 years' specialized experience in coordination
- Minimum 5 years of working experience in MENA region
- Good English and Arabic language skills.

### H. Other Required Qualifications and Experience of the firm

- Certificate of registration.
- Minimum 3 years of relevant work experience
- Experience in undertaking perception surveys including minimum of three years of experience in conducting surveys using population samples;
- Experience of working with project teams in a participatory manner supporting the project team in taking consideration the suggested adjustments to implementation throughout the project cycle;
- Experience of conducting similar assessments in conflict or post-conflict environments;
- Ability to avail a team that is qualified and experienced in perception surveys;
- Ability to collect and analyze large quantities of data within strict and limited time frames and to produce high quality and timely reports;
- Good project cycle management capability including, financial management and accountability, administration, provision of logistical support and effective reporting;
- Fluency (written and verbal) in English and Arabic among the team members;
- Previous experience of working with a UN agency is an asset.

#### I. DELIVERABLES

### Survey 1: November / December 2018

Deliverables	Delivery target date	Review and Approval			
		Required			
Methodology and inception report	3 days from contract	To be approved by the			
for the perception survey to the	signature	Project Manager			
satisfaction of the client					
Quality Assurance report	Five weeks from contract	To be approved by the			
	signature	Project Manager			
Draft Perception survey report on	Eight weeks from contract	To be approved by the			
the ten locations	signature	Project Manager			
Final report on the findings on the	Ten weeks from contract	To be approved by the			
perceptions, challenges experienced	signature	Project Manager			
during the survey, lessons learnt and					
a short public communications					
report.					

# Survey 2: June 2019

Deliverables	Delivery target date	Review and Approval			
		Required			
Quality Assurance report	Five weeks from survey	To be approved by the			
	request	Project Manager			
Draft Perception survey report on	Eight weeks from survey	To be approved by the			
the ten locations	request	Project Manager			

Final report on the findings on the	Ten	weeks	from	survey	То	be	approved	by	the
perceptions, challenges experienced		est			Pro	ject	Manager		
during the survey, lessons learnt and									
a short public communications									
report.									

# **Survey 3: November 2019**

Deliverables	Delivery target date	Review and Approval			
		Required			
Quality Assurance report	Five weeks from survey	To be approved by the			
	request	Project Manager			
Draft Perception survey report on	Eight weeks from survey	To be approved by the			
the ten locations	request	Project Manager			
Final report on the findings on the	Ten weeks from survey	To be approved by the			
perceptions, challenges experienced	request	Project Manager			
during the survey, lessons learnt and					
a short public communications					
report.					

# J. SCHEDULE OF PAYMENTS

The detailed schedule of payment for each survey will be as follows:

# Survey 1

Milestones	Percentage
Analytical Methodology for the perception survey of the Stabilization Facility	10%
Raw data on the survey for all ten locations.	25%
Perception survey report for all ten locations	35%
Quality Assurance report	
Final report covering the findings, perception survey reports, lessons learnt and	30%
challenges experiences during the survey as well as short public report for	
communications purposes	

# Survey 2

Milestones	
Raw data on the survey for all ten locations	
Perception survey report for all ten locations	45%
Quality Assurance report	

Final report covering the findings, perception survey reports, lessons learnt and	30%
challenges experiences during the survey as well as short public report for	
communications purposes	

### Survey 3

Milestones	
Raw data on the survey for all ten locations	25%
Perception survey report for all ten locations	45%
Quality Assurance report	
Final report covering the findings, perception survey reports, lessons learnt and	30%
challenges experiences during the survey as well as short public report for	
communications purposes	

# Section 6: Returnable Bidding Forms / Checklist

This form serves as a checklist for preparation of your Proposal. Please complete the Returnable Bidding Forms in accordance with the instructions in the forms and return them as part of your Proposal submission. No alteration to format of forms shall be permitted and no substitution shall be accepted.

Before submitting your Proposal, please ensure compliance with the Proposal Submission instructions of the BDS 22.

### **Technical Proposal Envelope:**

Have	you duly completed all the Returnable Bidding Forms?	
	Form A: Technical Proposal Submission Form	
-	Form B: Bidder Information Form	
-	Form C: Joint Venture/Consortium/ Association Information Form	
-	Form D: Qualification Form	
-	Form E: Format of Technical Proposal	
•	Past contracts indicating client name, duration of contract, value of contract, and brief description of outputs, delivered by the bidder in the past three years;	
•	Latest Audited Financial Statement (Income Statement and Balance Sheet) including Auditor's Report for the past 3 (three years (2014-2017) or Bank Statements for the past 3 years.	
	you provided the required documents to establish compliance with the ation criteria in Section 4?	

### **Financial Proposal Envelope**

(Must be submitted in a separate sealed envelope/password protected email)

Form F: Financial Proposal Subm	ission Form	
<ul><li>Form G: Financial Proposal Form</li></ul>		

# Form A: Technical Proposal Submission Form

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	RFP/LBY/ SLCRR/2018/089		

We, the undersigned, offer to provide the services for Perception survey for SFL in accordance with your Request for Proposal No. RFP/LBY/ SFL/2018/089 and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and our Financial Proposal sealed under a separate envelope.

We hereby declare that our firm, its affiliates or subsidiaries or employees, including any JV/Consortium /Association members or subcontractors or suppliers for any part of the contract:

- a) is not under procurement prohibition by the United Nations, including but not limited to prohibitions derived from the Compendium of United Nations Security Council Sanctions Lists;
- b) have not been suspended, debarred, sanctioned or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization;
- c) have no conflict of interest in accordance with Instruction to Bidders Clause 4;
- do not employ, or anticipate employing, any person(s) who is, or has been a UN staff member within the last year, if said UN staff member has or had prior professional dealings with our firm in his/her capacity as UN staff member within the last three years of service with the UN (in accordance with UN post-employment restrictions published in ST/SGB/2006/15);
- e) have not declared bankruptcy, are not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against them that could impair their operations in the foreseeable future;
- f) undertake not to engage in proscribed practices, including but not limited to corruption, fraud, coercion, collusion, obstruction, or any other unethical practice, with the UN or any other party, and to conduct business in a manner that averts any financial, operational, reputational or other undue risk to the UN and we embrace the principles of the United Nations Supplier Code of Conduct and adhere to the principles of the United Nations Global Compact.

We declare that all the information and statements made in this Proposal are true and we accept that any misinterpretation or misrepresentation contained in this Proposal may lead to our disqualification and/or sanctioning by the UNDP.

We offer to provide services in conformity with the Bidding documents, including the UNDP General Conditions of Contract and in accordance with the Terms of Reference

Our Proposal shall be valid and remain binding upon us for the period of time specified in the Bid Data Sheet.

We understand and recognize that you are not bound to accept any Proposal you receive.

I, the undersigned, certify that I am duly authorized by [Insert Name of Bidder] to sign this Proposal and bind it should UNDP accept this Proposal.

Name:	 _
Title:	 _
Date:	 _
Signature:	 _

[Stamp with official stamp of the Bidder]

Legal name of Bidder	[Complete]				
Legal address	[Complete]				
Year of registration	[Complete]				
Bidder's Authorized Representative Information	Name and Title: [Complete] Telephone numbers: [Complete] Email: [Complete]				
Are you a UNGM registered vendor?	☐ Yes ☐ No If yes, [insert UGNM vendor number]				
Are you a UNDP vendor?	☐ Yes ☐ No If yes, [insert UNDP vendor number]				
Countries of operation	[Complete]				
No. of full-time employees	[Complete]				
Quality Assurance Certification (e.g. ISO 9000 or Equivalent) (If yes, provide a Copy of the valid Certificate):	[Complete]				
Does your Company hold any accreditation such as ISO 14001 related to the environment? (If yes, provide a Copy of the valid Certificate):	[Complete]				
Does your Company have a written Statement of its Environmental Policy? (If yes, provide a Copy)	[Complete]				
Contact person UNDP may contact for requests for clarification during Proposal evaluation	Name and Title: [Complete]  Telephone numbers: [Complete]  Email: [Complete]				
Please attach the following documents:	<ul> <li>Company Profile, which should not exceed fifteen (15) pages, including printed brochures and product catalogues relevant to the goods/services being procured</li> <li>Certificate of Incorporation/ Business Registration</li> <li>Tax Registration/Payment Certificate issued by the Internal Revenue Authority evidencing that the Bidder is updated with its tax payment obligations, or Certificate of Tax exemption, if any such privilege is enjoyed by the Bidder</li> <li>Trade name registration papers, if applicable</li> <li>Local Government permit to locate and operate in assignment location, if applicable</li> <li>Official Letter of Appointment as local representative, if Bidder is submitting a Bid in behalf of an entity located outside the country</li> <li>Power of Attorney</li> <li>Past contracts indicating client name, duration of contract, value of contract, and brief description of outputs, delivered</li> <li>by the bidder in the past three years;</li> <li>Latest Audited Financial Statement (Income Statement and Balance Sheet) including Auditor's Report for the past 3 (three) years (2014-2017) or Bank Statements for the past 3 years.</li> </ul>				

# Form C: Joint Venture/Consortium/Association Information Form

Name	of Bidder:	[Insert Name of Bidd	derl			Date:	Select date	
RFP reference: RFP/LBY/ SFL/2018/089								
NEF I	referice.	KFF/LBT/ 3FL/2010/	009					
To be c	ompleted and ret	urned with your Propo	osal if the Prop	osal is sub	omitted as a	Joint Ve	enture/Consortium/As	sociation.
No		Name of Partner and contact information (address, relephone numbers, fax numbers, e-mail address)		Proposed proportion of responsibilities (in 9 type of services to be performed		•		
1	[Complete]				[Complete	<u>:</u> ]		
2	[Complete]				[Complete	e]		
3	[Complete]				[Complete	2]		
	e of leading partn							
Assoc the ev		the JV, Consortium, RFP process and, in awarded, during	[Complete]					
	-	y of the below docum d severable liability of					the likely legal structu	ıre of and the
□ Lette	er of intent to for	m a joint venture C	OR □ JV/0	Consortiu	m/Associat	ion agre	ement	
and sev	•	if the contract is awa	it of the provis		e Contract.		sortium/Association s	hall be jointly
					——————————————————————————————————————			
Signat	ture:			Signature	<u>:</u>			
Date:				Date:				
Name of partner: Name			Name of	partner:				
Signat	ture:			Signature	2:			
Dato				Date				

### Form D: Qualification Form

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	RFP/LBY/SFL/2018/089		

If JV/Consortium/Association, to be completed by each partner.

### **Historical Contract Non-Performance**

☐ Contract non-performance did not occur for the last 3 years					
☐ Contract(	s) not performed for the	ne last 3 years			
Year	Non- performed portion of contract	Contract Identification	Total Contract Amount (current value in US\$)		
		Name of Client: Address of Client: Reason(s) for non-performance:			

# **Litigation History** (including pending litigation)

□ No litigation history for the last 3 years							
☐ Litigation	☐ Litigation History as indicated below						
Year of dispute	Amount in dispute (in US\$)	Contract Identification	Total Contract Amount (current value in US\$)				
		Name of Client:					
		Address of Client:					
		Matter in dispute:					
		Party who initiated the dispute:					
		Status of dispute:					
		Party awarded if resolved:					

# **Previous Relevant Experience**

Please list only previous similar assignments successfully completed in the last 3 years.

List only those assignments for which the Bidder was legally contracted or sub-contracted by the Client as a company or was one of the Consortium/JV partners. Assignments completed by the Bidder's individual experts working privately or through

other firms cannot be claimed as the relevant experience of the Bidder, or that of the Bidder's partners or sub-consultants, but can be claimed by the Experts themselves in their CVs. The Bidder should be prepared to substantiate the claimed experience by presenting copies of relevant documents and references if so requested by UNDP.

Project name & Country of Assignment	Client & Reference Contact Details	Contract Value	Period of activity and status	Types of activities undertaken

Bidders may also attach their own Project Data Sheets with more details for assignments above.

☐ Attached are the Statements of Satisfactory Performance from the Top 3 (three) Clients or more.

### **Financial Standing**

Annual Turnover for the last 3 years	Year Year Year	USD USD USD
Latest Credit Rating (if any), indicate the source		

Financial information (in US\$ equivalent)	Historic information for the last 3 years		
	Year 1	Year 2	Year 3
	In	formation from Balance She	et
Total Assets (TA)			
Total Liabilities (TL)			
Current Assets (CA)			
Current Liabilities (CL)			
	Info	rmation from Income Staten	nent
Total / Gross Revenue (TR)			
Profits Before Taxes (PBT)			
Net Profit			
Current Ratio			

☐ Attached are copies of the audited financial statements (balance sheets, including all related notes, and income statements) for the years required above complying with the following condition:

- a) Must reflect the financial situation of the Bidder or party to a JV, and not sister or parent companies;
- b) Historic financial statements must be audited by a certified public accountant;
- c) Historic financial statements must correspond to accounting periods already completed and audited. No statements for partial periods shall be accepted.

Form E: Format of Technical Proposal

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	RFP/LBY/SFL/2018/089		

The Bidder's proposal should be organized to follow this format of Technical Proposal. Where the bidder is presented with a requirement or asked to use a specific approach, the bidder must not only state its acceptance, but also describe how it intends to comply with the requirements. Where a descriptive response is requested, failure to provide the same will be viewed as non-responsive.

#### SECTION 1: Bidder's qualification, capacity and expertise

- 1.1 Brief description of the organization, including the year and country of incorporation, and types of activities undertaken.
- 1.2 General organizational capability which is likely to affect implementation: management structure, financial stability and project financing capacity, project management controls, extent to which any work would be subcontracted (if so, provide details).
- 1.3 Relevance of specialized knowledge and experience on similar engagements done in the region/country.
- 1.4 Quality assurance procedures and risk mitigation measures.
- 1.5 Organization's commitment to sustainability.

#### SECTION 2: Proposed Methodology, Approach and Implementation Plan

This section should demonstrate the bidder's responsiveness to the TOR by identifying the specific components proposed, addressing the requirements, providing a detailed description of the essential performance characteristics proposed and demonstrating how the proposed approach and methodology meets or exceeds the requirements. All important aspects should be addressed in sufficient detail and different components of the project should be adequately weighted relative to one another.

- 2.1 A detailed description of the approach and methodology for how the Bidder will achieve the Terms of Reference of the project, keeping in mind the appropriateness to local conditions and project environment. Details how the different service elements shall be organized, controlled and delivered.
- 2.2 The methodology shall also include details of the Bidder's internal technical and quality assurance review mechanisms.
- 2.3 Explain whether any work would be subcontracted, to whom, how much percentage of the work, the rationale for such, and the roles of the proposed sub-contractors and how everyone will function as a team.
- 2.4 Description of available performance monitoring and evaluation mechanisms and tools; how they shall be adopted and used for a specific requirement.
- 2.5 Implementation plan including a Gantt Chart or Project Schedule indicating the detailed sequence of activities that will be undertaken and their corresponding timing.
- 2.6 Demonstrate how you plan to integrate sustainability measures in the execution of the contract.
- 2.7 Any other comments or information regarding the project approach and methodology that will be adopted.

# SECTION 2A: Bidder's Comments and Suggestions on the Terms of Reference

Provide comments and suggestions on the Terms of Reference, or additional services that will be rendered beyond the requirements of the TOR, if any.

# **SECTION 3: Management Structure and Key Personnel**

- 3.1 Describe the overall management approach toward planning and implementing the project. Include an organization chart for the management of the project describing the relationship of key positions and designations. Provide a spreadsheet to show the activities of each personnel and the time allocated for his/her involvement.
- 3.2 Provide CVs for key personnel that will be provided to support the implementation of this project using the format below. CVs should demonstrate qualifications in areas relevant to the Scope of Services.

# Format for CV of Proposed Key Personnel

Name of Personnel	[Insert]
Position for this assignment	[Insert]
Nationality	[Insert]
Language proficiency	[Insert]
Education/ Qualifications	[Summarize college/university and other specialized education of personnel member, giving names of schools, dates attended, and degrees/qualifications obtained.]
Luccation Qualifications	[Insert]
	[Provide details of professional certifications relevant to the scope of services]
Professional certifications	<ul><li>Name of institution: [Insert]</li><li>Date of certification: [Insert]</li></ul>
Employment Record/ Experience	[List all positions held by personnel (starting with present position, list in reverse order), giving dates, names of employing organization, title of position held and location of employment. For experience in last five years, detail the type of activities performed, degree of responsibilities, location of assignments and any other information or professional experience considered pertinent for this assignment.]
	[Insert]
	[Provide names, addresses, phone and email contact information for two (2) references]
References	Reference 1: [Insert]
	Reference 2: [Insert]

I, the undersigned, certify that to the best of my knowledge experiences, and other relevant information about myself.	and belief, these data correctly describe my qualifications, my
 Signature of Personnel	Date (Day/Month/Year)

# Form F: Financial Proposal Submission Form

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	RFP/LBY/SFL/2018/089		

We, the undersigned, offer to provide the services for Perception survey for SFL in accordance with your Request for Proposal No. RFP/LBY/SFL/2018/089 and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and our Financial Proposal sealed under a separate envelope.

Our attached Financial Proposal is for the sum of [Insert amount in words and figures].

Our Proposal shall be valid and remain binding upon us for the period of time specified in the Bid Data Sheet.

We understand you are not bound to accept any Proposal you receive.

Name:	
Title:	
Date:	
Signature:	
· ·	

[Stamp with official stamp of the Bidder]

# Form G: Financial Proposal Form

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	RFP/LBY/SFL/2018/089		

The Bidder is required to prepare the Financial Proposal following the below format and submit it in an envelope separate from the Technical Proposal as indicated in the Instruction to Bidders. Any Financial information provided in the Technical Proposal shall lead to Bidder's disqualification.

The Financial Proposal should align with the requirements in the Terms of Reference and the Bidder's Technical Proposal.

Currency of the proposal: USD

### FINANCIAL PROPOSAL MUST BE SUBMITTED SEPARATELY FOR EACH LOT

### **TABLE 1: Summary of Overall Prices**

	Amount(s)
Professional Fees (from Table 2)	
Other Costs (from Table 3)	
Total Amount of Financial Proposal	

### **TABLE 2: Breakdown of Professional Fees**

### Survey 1: November/December 2018 (6 locations)

Name	Position	Fee Rate	No. of Days/months/ hours	Total Amount
		Α	В	C=A+B
In-Country				
Team Leader (International				
Deputy Team Leader (National)				
Coordinator (National)				
Others, please provide details				
Home Based				
Please specify				
		Subtotal	Professional Fees:	

# Survey 2: June 2019 (10 locations)

Name	Name Position	Fee Rate	No. of Days/months/ hours	Total Amount
		Α	В	C=A+B
In-Country				
Team Leader (International				

Deputy Team Leader (National)				
Coordinator (National)				
Others, please provide details				
Home Based				
Please specify				
Subtotal Professional Fees:				

# Survey 3: November 2019 (10 locations)

Name	Position	Fee Rate	No. of Days/months/ hours	Total Amount
		Α	В	C=A+B
In-Country				
Team Leader (International				
Deputy Team Leader				
(National)				
Coordinator (National)				
Others, please provide				
details				
Home Based				
Please specify				
		Subtota	l Professional Fees:	

# **TABLE 3: Breakdown of Other Costs**

Description	UOM	Quantity	Unit Price	Total Amount
International flights	Trip			
Subsistence allowance	Day			
Miscellaneous travel expenses	Trip			
Local transportation costs	Lump Sum			
Out-of-Pocket Expenses				
Other Costs: (please specify)				
Subtotal Other Costs:				

# **TABLE 4: Breakdown of Price per Deliverable/Activity**

Survey 1: November/December 2018 (6 locations)

Deliverable/ Activity description	<b>Time</b> (person days)	Professional Fees	Other Costs	Total
Methodology and inception report	3 days from			
for the perception survey to the satisfaction of the client	contract signature			
Quality Assurance report	Five weeks from			

Draft Perception survey report on the ten locations	contract signature Eight weeks from contract signature
Final report on the findings on the perceptions, challenges experienced during the survey, lessons learnt and a short public communications report.	Ten weeks from contract signature

# Survey 2: June 2019 (10 locations)

Deliverable/ Activity description	<b>Time</b> (person days)	Professional Fees	Other Costs	Total
Quality Assurance report	Five weeks from survey request			
Draft Perception survey report on the ten locations	Eight weeks from survey request			
Final report on the findings on the perceptions, challenges experienced during the survey, lessons learnt and a short public communications report.	Ten weeks from survey request			

# Survey 3: November 2019 (10 locations)

Deliverable/ Activity description	<b>Time</b> (person days)	Professional Fees	Other Costs	Total
Quality Assurance report	Five weeks from survey request			
Draft Perception survey report on the ten locations	Eight weeks from survey request			
Final report on the findings on the perceptions, challenges experienced during the survey, lessons learnt and a short public communications report.	Ten weeks from survey request			