

# **REQUEST FOR PROPOSALS**

Support to Kuwait University-Patent Office in Knowledge Transfer and Commercialization of Patents

RFP No.: RFP/UNDP/KW/2018/21

Project: Insitutional and Technical Support for the Establishment of the Kuwait Public Policy Center

**Country: Kuwait** 

Issued on: 25 November 2018

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# Section 1. Letter of Invitation

The United Nations Development Programme (UNDP) hereby invites you to submit a Proposal to this Request for Proposal (RFP) to hire a company to Support to Kuwait University-Patent Office

This RFP includes the following documents and the General Terms and Conditions of Contract which is inserted in the Bid Data Sheet (BDS):

Section 1: This Letter of Invitation

Section 2: Instruction to Bidders

Section 3: Instruction to Proposers/ Data Sheet (DS)

Section 4: Evaluation Criteria Section 5: Terms of Reference

Section 6: Returnable Bidding Forms

o Form A: Bidder Information form

- o Form B: Format of Technical Proposal
- o Form C: Financial Proposal Submission Form
- o Form D: Financial Proposal Form

Please prepare your Proposal in accordance with the requirements and procedure as set out in this RFP and submit it by 15 December 2018 02: PM EDT time.

Proposals must be submitted in the online eTendering system in the following link: <a href="https://etendering.partneragencies.org">https://etendering.partneragencies.org</a> using your username and password. If you have not registered in the system before, you can register now by logging in using

username: event.guestpassword: why2change

Click on Register as a Sourcing Bidder and register your company and create your permanent account including a new username and password. Loading the page might take some time. Please be patient.

While choosing your username, it is recommended to use two words with a dot in between e.g. blue.company. For your password please make sure it is a combination of letters with at least one number and one capital letter. The Event ID that is posted currently for Kuwait Country office is **KWT10-000000.....**. Hard copy envelopes or email submission of offers will **NOT** be accepted.

UNDP has developed instructional **videos for bidders** which are now available in the UNDP website <a href="http://www.undp.org/content/undp/en/home/operations/procurement/business/procurement-notices/resources/">http://www.undp.org/content/undp/en/home/operations/procurement/business/procurement-notices/resources/</a>

Please acknowledge receipt of this RFP by sending an email to <a href="mailto:procurement.kw@undp.org">procurement.kw@undp.org</a>. You may also utilize the "Accept Invitation" function in eTendering system, where applicable <a href="https://etendering.partneragencies.org">https://etendering.partneragencies.org</a>. This will enable you to receive amendments or updates to the RFP. Financial Proposal must be submitted as a separate file encrypted with a password.

UNDP looks forward to receiving your Proposal and thank you in advance for your interest in UNDP procurement opportunities.

Approved by:

Name: Khaked Shahwan

Title: Deputy Resident Representative

Date: November 25, 2018

# **Section 2.** Instruction to Bidders

A. GENERAL PROVISIONS		
1. Introduction	1.1	Bidders shall adhere to all the requirements of this RFP, including any amendments in writing by UNDP. This RFP is conducted in accordance with the UNDP Programme and Operations Policies and Procedures (POPP) on Contracts and Procurement which can be accessed at <a href="https://popp.undp.org/SitePages/POPPBSUnit.aspx?TermID=254a9f96-b883-476a-8ef8-e81f93a2b38d">https://popp.undp.org/SitePages/POPPBSUnit.aspx?TermID=254a9f96-b883-476a-8ef8-e81f93a2b38d</a>
	1.2	Any Proposal submitted will be regarded as an offer by the Bidder and does not constitute or imply the acceptance of the Proposal by UNDP. UNDP is under no obligation to award a contract to any Bidder as a result of this RFP.
	1.3	As part of the bid, it is desired that the Bidder registers at the United Nations Global Marketplace (UNGM) website ( <a href="www.ungm.org">www.ungm.org</a> ). The Bidder may still submit a bid even if not registered with the UNGM. However, if the Bidder is selected for contract award, the Bidder must register on the UNGM prior to contract signature.
2. Fraud & Corruption, Gifts and Hospitality	2.1	UNDP strictly enforces a policy of zero tolerance on proscribed practices, including fraud, corruption, collusion, unethical or unprofessional practices, and obstruction of UNDP vendors and requires all bidders/vendors observe the highest standard of ethics during the procurement process and contract implementation. UNDP's Anti-Fraud Policy can be found at <a href="http://www.undp.org/content/undp/en/home/operations/accountability/audit/office_of-audit_andinvestigation.html#anti">http://www.undp.org/content/undp/en/home/operations/accountability/audit/office_of-audit_andinvestigation.html#anti</a>
	2.2	Bidders/vendors shall not offer gifts or hospitality of any kind to UNDP staff members including recreational trips to sporting or cultural events, theme parks or offers of holidays, transportation, or invitations to extravagant lunches or dinners.
	2.3	In pursuance of this policy, UNDP  (a) Shall reject a proposal if it determines that the selected bidder has engaged in any corrupt or fraudulent practices in competing for the contract in question;  (b) Shall declare a vendor ineligible, either indefinitely or for a stated period of time, to be awarded a contract if at any time it determines that the vendor has engaged in any corrupt or fraudulent practices in competing for, or in executing a UNDP contract.
	2.4	All Bidders must adhere to the UN Supplier Code of Conduct, which may be found at <a href="http://www.un.org/depts/ptd/pdf/conduct_english.pdf">http://www.un.org/depts/ptd/pdf/conduct_english.pdf</a>
3. Eligibility	3.1	A vendor should not be suspended, debarred, or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization. Vendors are therefore required to disclose to UNDP whether they are subject to any sanction or temporary suspension imposed by these organizations.
	3.2	It is the Bidder's responsibility to ensure that its employees, joint venture members, sub-contractors, service providers, suppliers and/or their employees meet the eligibility requirements as established by UNDP.
4. Conflict of Interests	4.1	Bidders must strictly avoid conflicts with other assignments or their own interests, and act without consideration for future work. Bidders found to have a conflict of interest shall be disqualified. Without limitation on the generality of the above, Bidders, and any of their affiliates, shall be considered to have a conflict of interest with one or more parties in this solicitation process, if they:

- a) Are or have been associated in the past, with a firm or any of its affiliates which have been engaged by UNDP to provide services for the preparation of the design, specifications, Terms of Reference, cost analysis/estimation, and other documents to be used for the procurement of the goods and services in this selection process;
- b) Were involved in the preparation and/or design of the programme/project related to the services requested under this RFP; or
- c) Are found to be in conflict for any other reason, as may be established by, or at the discretion of UNDP.
- 4.2 In the event of any uncertainty in the interpretation of a potential conflict of interest, Bidders must disclose to UNDP, and seek UNDP's confirmation on whether or not such a conflict exists.
- 4.3 Similarly, the Bidders must disclose in their proposal their knowledge of the following:
  - a) If the owners, part-owners, officers, directors, controlling shareholders, of the bidding entity or key personnel are family members of UNDP staff involved in the procurement functions and/or the Government of the country or any Implementing Partner receiving services under this RFP; and
  - b) All other circumstances that could potentially lead to actual or perceived conflict of interest, collusion or unfair competition practices.

Failure to disclose such an information may result in the rejection of the proposal or proposals affected by the non-disclosure.

4.4 The eligibility of Bidders that are wholly or partly owned by the Government shall be subject to UNDP's further evaluation and review of various factors such as being registered, operated and managed as an independent business entity, the extent of Government ownership/share, receipt of subsidies, mandate and access to information in relation to this RFP, among others. Conditions that may lead to undue advantage against other Bidders may result in the eventual rejection of the Proposal.

#### **B. PREPARATION OF PROPOSALS**

- 5. General Considerations
- In preparing the Proposal, the Bidder is expected to examine the RFP in detail. Material deficiencies in providing the information requested in the RFP may result in rejection of the Proposal.
- 5.2 The Bidder will not be permitted to take advantage of any errors or omissions in the RFP. Should such errors or omissions be discovered, the Bidder must notify the UNDP
- 6. Cost of Preparation of Proposal
- 6.1 The Bidder shall bear any and all costs related to the preparation and/or submission of the Proposal, regardless of whether its Proposal was selected or not. UNDP shall not be responsible or liable for those costs, regardless of the conduct or outcome of the procurement process.
- 7. Language
- 7.1 The Proposal, as well as any and all related correspondence exchanged by the Bidder and UNDP, shall be written in the language (s) specified in the BDS.
- 8. Documents
  Comprising the
  Proposal
- 8.1 The Proposal shall comprise of the following documents:
  - c) Documents Establishing the Eligibility and Qualifications of the Bidder;
  - d) Technical Proposal;
  - e) Financial Proposal;
  - f) Proposal Security, if required by BDS;
  - g) Any attachments and/or appendices to the Proposal.
- Documents
   Establishing the
   Eligibility and
   Qualifications of the
   Bidder
- 9.1 The Bidder shall furnish documentary evidence of its status as an eligible and qualified vendor, using the Forms provided under Section 6 and providing documents required in those forms. In order to award a contract to a Bidder, its qualifications must be documented to UNDP's satisfaction.
- 10. Technical Proposal
- 10.1 The Bidder is required to submit a Technical Proposal using the Standard Forms and

Format and Content		templates provided in Section 6 of the RFP.
	10.2	The Technical Proposal shall not include any price or financial information. A Technical Proposal containing material financial information may be declared non-responsive.
	10.3	Samples of items, when required as per Section 5, shall be provided within the time specified and unless otherwise specified by UNDP, and at no expense to UNDP
	10.4	When applicable and required as per Section 5, the Bidder shall describe the necessary training programme available for the maintenance and operation of the services and/or equipment offered as well as the cost to the UNDP. Unless otherwise specified, such training as well as training materials shall be provided in the language of the Bid as specified in the BDS.
11. Financial Proposals	11.1	The Financial Proposal shall be prepared using the Standard Form provided in Section 6 of the RFP. It shall list all major cost components associated with the services, and the detailed breakdown of such costs.
	11.2	Any output and activities described in the Technical Proposal but not priced in the Financial Proposal, shall be assumed to be included in the prices of other activities or items, as well as in the final total price.
	11.3	Prices and other financial information must not be disclosed in any other place except in the financial proposal.
12. Proposal Security	12.1	A Proposal Security, if required by BDS, shall be provided in the amount and form indicated in the BDS. The Proposal Security shall be valid up to thirty (30) days after the final date of validity of the Proposal.
	12.2	The Proposal Security shall be included along with the Technical Proposal. If Proposal Security is required by the RFP but is not found along with the Technical Proposal, the Proposal shall be rejected.
	12.3	If the Proposal Security amount or its validity period is found to be less than what is required by UNDP, UNDP shall reject the Proposal.
	12.4	In the event an electronic submission is allowed in the BDS, Bidders shall include a copy of the Bid Security in their proposal and the original of the Proposal Security must be sent via courier or hand delivery as per the instructions in BDS.
	12.5	The Proposal Security may be forfeited by UNDP, and the Proposal rejected, in the event of any one or combination, of the following conditions:
		<ul> <li>a) If the Bidder withdraws its offer during the period of the Proposal Validity specified in the BDS, or;</li> <li>b) In the event that the successful Bidder fails: <ol> <li>to sign the Contract after UNDP has issued an award; or</li> </ol> </li> </ul>
	12.6	to furnish the Performance Security, insurances, or other documents that UNDP may require as a condition precedent to the effectivity of the contract that may be awarded to the Bidder.
13. Currencies	13.1	All prices shall be quoted in the currency or currencies indicated in the BDS. Where Proposals are quoted in different currencies, for the purposes of comparison of all Proposals:
		a) UNDP will convert the currency quoted in the Proposal into the UNDP preferred currency, in accordance with the prevailing UN operational rate of exchange on the last day of submission of Proposals; and
		b) In the event that UNDP selects a proposal for award that is quoted in a currency different from the preferred currency in the BDS, UNDP shall reserve the right to award the contract in the currency of UNDP's preference, using the conversion method specified above.
14. Joint Venture, Consortium or	14.1	If the Bidder is a group of legal entities that will form or have formed a Joint Venture (JV), Consortium or Association for the Proposal, they shall confirm in their Proposal

## Association that : (i) they have designated one party to act as a lead entity, duly vested with authority to legally bind the members of the JV, Consortium or Association jointly and severally, which shall be evidenced by a duly notarized Agreement among the legal entities, and submitted with the Proposal; and (ii) if they are awarded the contract, the contract shall be entered into, by and between UNDP and the designated lead entity, who shall be acting for and on behalf of all the member entities comprising the joint venture. 14.2 After the Deadline for Submission of Proposal, the lead entity identified to represent the JV, Consortium or Association shall not be altered without the prior written consent of UNDP. 14.3 The lead entity and the member entities of the JV, Consortium or Association shall abide by the provisions of Clause 9 herein in respect of submitting only one proposal. The description of the organization of the JV, Consortium or Association must clearly define the expected role of each of the entity in the joint venture in delivering the requirements of the RFP, both in the Proposal and the JV, Consortium or Association Agreement. All entities that comprise the JV, Consortium or Association shall be subject to the eligibility and qualification assessment by UNDP. 14.5 A JV, Consortium or Association in presenting its track record and experience should clearly differentiate between: a) Those that were undertaken together by the JV, Consortium or Association; and Those that were undertaken by the individual entities of the JV, Consortium or Association. 14.6 Previous contracts completed by individual experts working privately but who are permanently or were temporarily associated with any of the member firms cannot be claimed as the experience of the JV, Consortium or Association or those of its members, but should only be claimed by the individual experts themselves in their presentation of their individual credentials. 14.7 JV, Consortium or Associations are encouraged for high value, multi-sectoral requirements when the spectrum of expertise and resources required may not be available within one firm. 15.1 The Bidder (including the individual members of any Joint Venture) shall submit only 15. Only One Proposal one Proposal, either in its own name or as part of a Joint Venture. 15.2 Proposals submitted by two (2) or more Bidders shall all be rejected if they are found to have any of the following: a) they have at least one controlling partner, director or shareholder in common; or b) any one of them receive or have received any direct or indirect subsidy from the other/s: or c) they have the same legal representative for purposes of this RFP; or d) they have a relationship with each other, directly or through common third parties, that puts them in a position to have access to information about, or influence on the Proposal of, another Bidder regarding this RFP process; they are subcontractors to each other's Proposal, or a subcontractor to one Proposal also submits another Proposal under its name as lead Bidder; or some key personnel proposed to be in the team of one Bidder participates in more than one Proposal received for this RFP process. This condition relating to the personnel, does not apply to subcontractors being included in more than one Proposal. 16.1 Proposals shall remain valid for the period specified in the BDS, commencing on the 16. Proposal Validity

Period

# During the Proposal validity period, the Bidder shall maintain its original Proposal without any change, including the availability of the Key Personnel, the proposed rates

rejected by UNDP and rendered non-responsive.

Deadline for Submission of Proposals. A Proposal valid for a shorter period may be

		and the total price.
17.Extension of Proposal Validity Period	17.1	In exceptional circumstances, prior to the expiration of the proposal validity period, UNDP may request Bidders to extend the period of validity of their Proposals. The request and the responses shall be made in writing, and shall be considered integral to the Proposal.
	17.2	If the Bidder agrees to extend the validity of its Proposal, it shall be done without any change in the original Proposal.
	17.3	The Bidder has the right to refuse to extend the validity of its Proposal, and in which case, such Proposal will not be further evaluated.
18. Clarification of Proposal	18.1	Bidders may request clarifications on any of the RFP documents no later than the date indicated in the BDS. Any request for clarification must be sent in writing in the manner indicated in the BDS. If inquiries are sent other than specified channel, even if they are sent to a UNDP staff member, UNDP shall have no obligation to respond or confirm that the query was officially received.
	18.2	UNDP will provide the responses to clarifications through the method specified in the BDS.
	18.3	UNDP shall endeavor to provide responses to clarifications in an expeditious manner, but any delay in such response shall not cause an obligation on the part of UNDP to extend the submission date of the Proposals, unless UNDP deems that such an extension is justified and necessary.
19.Amendment of Proposals	19.1	At any time prior to the deadline of Proposal submission, UNDP may for any reason, such as in response to a clarification requested by a Bidder, modify the RFP in the form of an amendment to the RFP. Amendments will be made available to all prospective bidders.
	19.2	If the amendment is substantial, UNDP may extend the Deadline for submission of proposal to give the Bidders reasonable time to incorporate the amendment into their Proposals.
20. Alternative Proposals	20.1	Unless otherwise specified in the BDS, alternative proposals shall not be considered. If submission of alternative proposal is allowed by BDS, a Bidder may submit an alternative proposal, but only if it also submits a proposal conforming to the RFP requirements. UNDP shall only consider the alternative proposal offered by the Bidder whose conforming proposal ranked the highest as per the specified evaluation method. Where the conditions for its acceptance are met, or justifications are clearly established, UNDP reserves the right to award a contract based on an alternative proposal.
	20.2	If multiple/alternative proposals are being submitted, they must be clearly marked as "Main Proposal" and "Alternative Proposal"
21.Pre-Bid Conference	21.1	When appropriate, a Bidder's conference will be conducted at the date, time and location specified in the BDS. All Bidders are encouraged to attend. Non-attendance, however, shall not result in disqualification of an interested Bidder. Minutes of the Bidder's conference will be disseminated on the procurement website and shared by email or on the e-Tendering platform as specified in the BDS. No verbal statement made during the conference shall modify the terms and conditions of the RFP, unless specifically incorporated in the Minutes of the Bidder's Conference or issued/posted as an amendment to RFP.
C. SUBMISSION AND OPENING OF PROPOSALS		

# 22. Submission 22.1 The Bidder shall submit a duly signed and complete Proposal comprising the documents and forms in accordance with the requirements in the BDS. The submission shall be in the manner specified in the BDS. 22.2 The Proposal shall be signed by the Bidder or person(s) duly authorized to commit the Bidder. The authorization shall be communicated through a document evidencing such authorization issued by the legal representative of the bidding entity, or a Power of Attorney, accompanying the Proposal. 22.3 Bidders must be aware that the mere act of submission of a Proposal, in and of itself, implies that the Bidder fully accepts the UNDP General Contract Terms and Conditions. Hard copy (manual) Hard copy (manual) submission not Allowed. submission 22.4 Electronic submission only allowed or specified in the BDS, and governed as follows: Electronic files that form part of the proposal must be in accordance with the format and requirements indicated in BDS; b) The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE. The financial proposal shall be encrypted with different passwords and clearly labelled. The files must be sent to the dedicated email address specified in the BDS. The password for opening the Financial Proposal should be provided only upon request of UNDP. UNDP will request password only from bidders whose Technical Proposal has been found to be technically responsive. Failure to provide correct password may result in the proposal being rejected. 22.5 Electronic submission through eTendering will be governed as follows: a) Electronic files that form part of the proposal must be in accordance with the format and requirements indicated in BDS; b) The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE and each of them must be uploaded individually and clearly labelled. The Financial Proposal file must be encrypted with a password so that it cannot be opened nor viewed until the password is provided. The password for opening the Financial Proposal should be provided only upon request of UNDP. UNDP will **Email Submission** request password only from bidders whose technical proposal has been found to be technically responsive. Failure to provide the correct password may result in the proposal being rejected. Documents which are required to be in original form (e.g. Bid Security, etc.) must be sent via courier or hand delivery as per the instructions in BDS. Detailed instructions on how to submit, modify or cancel a bid in the eTendering system are provided in the eTendering system Bidder User Guide and Instructional videos available on this link: http://www.undp.org/content/undp/en/home/operations/procurement/busines s/procurement-notices/resources/ eTendering submission 23. Deadline for Complete Proposals must be received by UNDP in the manner, and no later than the 23.1 date and time, specified in the BDS. UNDP shall only recognize the date and time that Submission of the bid was received by UNDP **Proposals and Late Proposals** 23.2 UNDP shall not consider any Proposal that is submitted after the deadline for the

	submission of Proposals.	
24. Withdrawal, Substitution, and	24.1 A Bidder may withdraw, substitute or modify its Proposal after it has been submitt at any time prior to the deadline for submission.	ted
Modification of Proposals	eTendering: A Bidder may withdraw, substitute or modify its Proposal by Cancelin Editing, and re-submitting the proposal directly in the system. It is the responsibility the Bidder to properly follow the system instructions, duly edit and submit substitution or modification of the Proposal as needed. Detailed instructions on he to cancel or modify a Proposal directly in the system are provided in Bidder User Gui and Instructional videos.	of ta ow
25.Proposal Opening	5.1 There is no public bid opening for RFPs. UNDP shall open the Proposals in the presence of an ad-hoc committee formed by UNDP, consisting of at least two (2) members. In the case of e-Tendering submission, bidders will receive an automatic notification once their proposal is opened.	
D. EVALUATION OF PROPO	ALS	
26. Confidentiality	26.1 Information relating to the examination, evaluation, and comparison of Proposals, a the recommendation of contract award, shall not be disclosed to Bidders or any oth persons not officially concerned with such process, even after publication of t contract award.	her
	Any effort by a Bidder or anyone on behalf of the Bidder to influence UNDP in t examination, evaluation and comparison of the Proposals or contract award decision may, at UNDP's decision, result in the rejection of its Proposal and may be subject the application of prevailing UNDP's vendor sanctions procedures.	ons
27. Evaluation of Proposals	27.1 The Bidder is not permitted to alter or modify its Proposal in any way after the proposubmission deadline except as permitted under Clause 24 of this RFP. UNDP v conduct the evaluation solely on the basis of the submitted Technical and Finance Proposals.	will
	<ul><li>27.2 Evaluation of proposals is made of the following steps:</li><li>g) Preliminary Examination</li></ul>	
	<ul> <li>g) Preliminary Examination</li> <li>h) Minimum Eligibility and Qualification (if pre-qualification is not done)</li> <li>i) Evaluation of Technical Proposals</li> <li>Evaluation of Financial Proposals</li> </ul>	
28. Preliminary Examination	UNDP shall examine the Proposals to determine whether they are complete w respect to minimum documentary requirements, whether the documents have be properly signed, and whether the Proposals are generally in order, among oth indicators that may be used at this stage. UNDP reserves the right to reject a Proposal at this stage.	een her
29.Evaluation of Eligibility and	29.1 Eligibility and Qualification of the Bidder will be evaluated against the Minimu Eligibility/Qualification requirements specified in the Section 4 (Evaluation Criteria).	
Qualification	<ul> <li>In general terms, vendors that meet the following criteria may be considered qualification.</li> <li>They are not included in the UN Security Council 1267/1989 Committee's list terrorists and terrorist financiers, and in UNDP's ineligible vendors' list;</li> <li>They have a good financial standing and have access to adequate financies resources to perform the contract and all existing commercial commitments,</li> </ul>	t of
	<ul> <li>c) They have the necessary similar experience, technical expertise, producti capacity where applicable, quality certifications, quality assurance procedule and other resources applicable to the provision of the services required;</li> <li>d) They are able to comply fully with UNDP General Terms and Conditions</li> </ul>	res
	contract;  e) They do not have a consistent history of court/arbitral award decisions again the Bidder; and	

	They have a record of timely and satisfactory performance with their clients.	
30. Evaluation of Technical and Financial Proposals	30.1 The evaluation team shall review and evaluate the Technical Proposals on the basis of their responsiveness to the Terms of Reference and other RFP documents, applying the evaluation criteria, sub-criteria, and point system specified in the Section 4 (Evaluation Criteria). A Proposal shall be rendered non-responsive at the technical evaluation stage if it fails to achieve the minimum technical score indicated in the BDS. When necessary and if stated in the BDS, UNDP may invite technically responsive bidders for a presentation related to their technical proposals. The conditions for the presentation shall be provided in the bid document where required.	
	In the second stage, only the Financial Proposals of those firms who achieve the minimum technical score will be opened for evaluation. The Financial Proposals corresponding to Technical Proposals that were rendered non-responsive shall remain unopened, and, in the case of manual submission, be returned to the Bidder unopened. For emailed Proposals and e-tendering submissions, UNDP will not request for the password of the Financial Proposals of bidders whose Technical Proposal were found not responsive.	
	30.3 The evaluation method that applies for this RFP shall be as indicated in the BDS, which may be either of two (2) possible methods, as follows: (a) the lowest priced method which selects the lowest evaluated financial proposal of the technically responsive Bidders; or (b) the combined scoring method which will be based on a combination of the technical and financial score.	
	When the BDS specifies a combined scoring method, the formula for the rating of the Proposals will be as follows:	
	Rating the Technical Proposal (TP):	
	TP Rating = (Total Score Obtained by the Offer / Max. Obtainable Score for TP) x 100	
	Rating the Financial Proposal (FP):	
	FP Rating = (Lowest Priced Offer / Price of the Offer Being Reviewed) x 100	
	Total Combined Score:	
	Combined Score = (TP Rating) x (Weight of TP, e.g. 70%) + (FP Rating) x (Weight of FP, e.g., 30%)	
31. Due Diligence	N/A	
32. Clarification of Proposals	To assist in the examination, evaluation and comparison of Proposals, UNDP may, at its discretion, ask any Bidder for a clarification of its Proposal.	
	32.2 UNDP's request for clarification and the response shall be in writing and no change in the prices or substance of the Proposal shall be sought, offered, or permitted, except to provide clarification, and confirm the correction of any arithmetic errors discovered by UNDP in the evaluation of the Proposals, in accordance with RFP.	
	32.3 Any unsolicited clarification submitted by a Bidder in respect to its Proposal, which is not a response to a request by UNDP, shall not be considered during the review and evaluation of the Proposals.	
33.Responsiveness of Proposal	UNDP's determination of a Proposal's responsiveness will be based on the contents of the Proposal itself. A substantially responsive Proposal is one that conforms to all the terms, conditions, TOR and other requirements of the RFP without material deviation,	

		reservation, or omission.
	33.2	If a Proposal is not substantially responsive, it shall be rejected by UNDP and may not subsequently be made responsive by the Bidder by correction of the material deviation, reservation, or omission.
34. Nonconformities, Reparable Errors and Omissions	34.1	Provided that a Proposal is substantially responsive, UNDP may waive any non-conformities or omissions in the Proposal that, in the opinion of UNDP, do not constitute a material deviation.
	34.2	UNDP may request the Bidder to submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities or omissions in the Proposal related to documentation requirements. Such omission shall not be related to any aspect of the price of the Proposal. Failure of the Bidder to comply with the request may result in the rejection of its Proposal.
	34.3	For Financial Proposal that has been opened, UNDP shall check and correct arithmetical errors as follows:
		a) if there is a discrepancy between the unit price and the line item total that is obtained by multiplying the unit price by the quantity, the unit price shall prevail and the line item total shall be corrected, unless in the opinion of UNDP there is an obvious misplacement of the decimal point in the unit price; in which case the line item total as quoted shall govern and the unit price shall be corrected;
		b) if there is an error in a total corresponding to the addition or subtraction of subtotals, the subtotals shall prevail and the total shall be corrected; and
		c) if there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail.
	34.4	If the Bidder does not accept the correction of errors made by UNDP, its Proposal shall be rejected.
E. AWARD OF CONTRACT		
35. Right to Accept, Reject, Any or All Proposals	35.1	UNDP reserves the right to accept or reject any Proposal, to render any or all of the Proposals as non-responsive, and to reject all Proposals at any time prior to award of contract, without incurring any liability, or obligation to inform the affected Bidder(s) of the grounds for UNDP's action. UNDP shall not be obliged to award the contract to the lowest priced offer.
36.Award Criteria	36.1	Prior to expiration of the proposal validity, UNDP shall award the contract to the qualified Bidder based on the award criteria indicated in the BDS.
37. Right to Vary Requirements at the Time of Award	37.1	At the time of award of Contract, UNDP reserves the right to vary the quantity of services and/or goods, by up to a maximum twenty-five per cent (25%) of the total offer, without any change in the unit price or other terms and conditions.
38. Contract Signature	38.1	Within fifteen (15) days from the date of receipt of the Contract, the successful Bidder shall sign and date the Contract and return it to UNDP. Failure to do so may constitute sufficient grounds for the annulment of the award, and forfeiture of the Proposal Security, if any, and on which event, UNDP may award the Contract to the Second Ranked Bidder or call for new Proposals.
39.Contract Type and General Terms and Conditions	39.1	The types of Contract to be signed and the applicable UNDP Contract General Terms and Conditions, as specified in BDS, can be accessed at <a href="http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html">http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html</a>
40.Performance Security	40.1	40.1 A performance security, if required in BDS, shall be provided in the amount specified in BDS and form available at

		https://popp.undp.org/ layouts/15/WopiFrame.aspx?sourcedoc=/UNDP POPP DOC UMENT LIBRARY/Public/PSU Solicitation Performance%20Guarantee%20Form.docx &action=default within fifteen (15) days of the contract signature by both parties. Where a performance security is required, the receipt of the performance security by UNDP shall be a condition for rendering the contract effective.
41. Bank Guarantee for Advanced Payment	41.1	Except when the interests of UNDP so require, it is UNDP's preference to make no advance payment(s) (i.e., payments without having received any outputs). If an advance payment is allowed as per BDS, and exceeds 20% of the total contract price, or USD 30,000, whichever is less, the Bidder shall submit a Bank Guarantee in the full amount of the advance payment in the form available at <a business="" content="" en="" home="" href="https://popp.undp.org/layouts/15/WopiFrame.aspx?sourcedoc=/UNDP POPP DOC UMENT LIBRARY/Public/PSU Contract%20Management%20Payment%20and%20Taxes Advanced%20Payment%20Guarantee%20Form.docx&amp;action=default&lt;/a&gt;&lt;/th&gt;&lt;/tr&gt;&lt;tr&gt;&lt;th&gt;42. Liquidated Damages&lt;/th&gt;&lt;th&gt;42.1&lt;/th&gt;&lt;th&gt;If specified in BDS, UNDP shall apply Liquidated Damages resulting from the Contractor's delays or breach of its obligations as per the Contract.&lt;/th&gt;&lt;/tr&gt;&lt;tr&gt;&lt;th&gt;43. Payment Provisions&lt;/th&gt;&lt;th&gt;43.1&lt;/th&gt;&lt;th&gt;Payment will be made only upon UNDP's acceptance of the work performed. The terms of payment shall be within thirty (30) days, after receipt of invoice and certification of acceptance of work issued by the proper authority in UNDP with direct supervision of the Contractor. Payment will be effected by bank transfer in the currency of contract.&lt;/th&gt;&lt;/tr&gt;&lt;tr&gt;&lt;th&gt;44. Vendor Protest&lt;/th&gt;&lt;th&gt;44.1&lt;/th&gt;&lt;th&gt;UNDP's vendor protest procedure provides an opportunity for appeal to those persons or firms not awarded a contract through a competitive procurement process. In the event that a Bidder believes that it was not treated fairly, the following link provides further details regarding UNDP vendor protest procedures: &lt;a href=" http:="" operations="" procurement="" protest-and-sanctions.html"="" undp="" www.undp.org="">http://www.undp.org/content/undp/en/home/operations/procurement/business/protest-and-sanctions.html</a>
45.Other Provisions	45.1	In the event that the Bidder offers a lower price to the host Government (e.g. General Services Administration (GSA) of the federal government of the United States of America) for similar services, UNDP shall be entitled to same lower price. The UNDP General Terms and Conditions shall have precedence.
	45.2	UNDP is entitled to receive the same pricing offered by the same Contractor in contracts with the United Nations and/or its Agencies. The UNDP General Terms and Conditions shall have precedence.
	45.3	The United Nations has established restrictions on employment of (former) UN staff who have been involved in the procurement process as per bulletin ST/SGB/2006/15 <a href="http://www.un.org/en/ga/search/view_doc.asp?symbol=ST/SGB/2006/15&amp;referer">http://www.un.org/en/ga/search/view_doc.asp?symbol=ST/SGB/2006/15&amp;referer</a>

# Section 3. Instructions to Proposers

# **Data Sheet**

The following data for the services to be procured shall complement, supplement, or amend the provisions in the Instruction to Proposers. In the case of a conflict between the Instructions to Proposers, the Data Sheet, and other annexes or references attached to the Data Sheet, the provisions in the Data Sheet shall govern.

DS No. <sup>1</sup>	Cross Ref. to Instruc- tions	Data	Specific Instructions / Requirements
1		Project Title :	Support for the Institutional and Technical Establishment of the Kuwait Public Policy Center
2		Title of Services:	Support to Kuwait University-Patent Office in Knowledge Transfer and Commercialization of Patents
3		Country / Region of Work Location:	KUWAIT
4		Language of the Proposal:	<ul><li>☑ English</li><li>☐ French</li><li>☐ Spanish</li><li>☐ Others (pls. specify)</li></ul>
5		Conditions for Submitting Proposals for Parts or sub-parts of the TOR	☐ Allowed ☑ Not allowed
6		Conditions for Submitting Alternative Proposals	<ul> <li>☑ Shall not be considered</li> <li>☐ Shall be considered. A proposer may submit an alternative proposal, but only if it also submits a proposal that meets the base case. UNDP shall only consider the alternative proposals offered by the Proposer whose proposal for the base case was determined to be the proposal with the highest evaluated score.</li> </ul>

<sup>&</sup>lt;sup>1</sup> All DS number entries in the Data Sheet are cited as reference in the Instructions to Proposers. <u>All DS nos.</u> <u>corresponding to a Data must not be modified</u>. Only information on the 3<sup>rd</sup> column may be modified by the user. If the information does not apply, the 3<sup>rd</sup> column must state "N/A" but must not be deleted.

7		A pre-proposal conference will be held on: Will not be conducted	N/A
8		Period of Proposal Validity commencing on the submission date	<ul><li>☐ 60 days</li><li>☑ 90 days</li><li>☐ 120 days</li></ul>
9		Proposal Security	☐ Required Amount: Click here to enter text. Form: Click here to enter text.  ☑ Not Required
10		Acceptable forms of Proposal Security <sup>2</sup>	<ul> <li>□ Bank Guarantee (See Section 8 for template)</li> <li>□ Any Bank-issued Check / Cashier's Check / Certified Check</li> <li>□ Other negotiable instrument</li> <li>□ Cash (exceptionally, if none of the other forms are feasible)</li> <li>□ Others [pls. specify]</li> </ul>
11		Validity of Proposal Security	N/A
12		Advanced Payment upon signing of contract	☐ Allowed up to a maximum of% of contract³ ☐ Not allowed
13		Liquidated Damages	<ul> <li>□ Will not be imposed</li> <li>☑ Will be imposed under the following conditions:</li> <li>Percentage of contract price per day of delay: .05%</li> <li>Max. no. of days of delay: 30</li> <li>After which UNDP may terminate the contract.</li> </ul>
14	F.37	Performance Security	☐ Required

<sup>&</sup>lt;sup>2</sup> Surety bonds or other instruments issued by non-bank Financial Institutions are least preferred by UNDP. Unless stated otherwise, they shall be considered unacceptable to UNDP.

<sup>&</sup>lt;sup>3</sup> If the advanced payment that the Bidder will submit will exceed 20% of the Price Offer, or will exceed the amount of USD 30,000, the Bidder must submit an Advanced Payment Security in the same amount as the advanced payment, using the form and contents of the document in Section 10

			Amount :
			Form:
			☑ Not Required
15	C.17, C.17 b)	Preferred Currency of Proposal and Method for Currency conversion	<ul> <li>☑ United States Dollars (US\$)</li> <li>☐ Euro</li> <li>☐ Local Currency</li> <li>Reference date for determining UN Operational Exchange Rate: 05 November 2018</li> </ul>
16	B.10.1	Deadline for submitting requests for clarifications/ questions	3 days before the submission date.
17	B.10.1	Contact Details for submitting clarifications/questions <sup>4</sup>	Focal Person in UNDP: Abdulrahman Alramadhan Address:UNDP KUWAIT E-mail : abdulrahman.alramadhan@undp.org
			OR <a href="mailto:procurement.kw@undp.org">procurement.kw@undp.org</a> Please include Reference "RFP/UNDP/KW/2018/21" in the beginig of the subject line.
			Please note that delay in replies from UNDP should not be considered as a reason for deadline extension.
18	B.11.1	Manner of Disseminating Supplemental Information to the RFP and responses/clarifications to queries	<ul> <li>□ Direct communication to prospective Proposers by email or fax</li> <li>☑ Direct communication to prospective Proposers by email or fax, and Posting on the website<sup>5</sup> UNGM, UNDP Procurement Notices, E-tendering</li> </ul>
19	D.23.3	No. of copies of Proposal that must be submitted [if transmitted by courier]	N/A
20	D.23.1	Proposal Submission Address	Only Through UNDP etendering platform
	D.23.2		https://etendering.partneragencies.org

<sup>&</sup>lt;sup>4</sup> This contact person and address is officially designated by UNDP. If inquiries are sent to other person/s or address/es, even if they are UNDP staff, UNDP shall have no obligation to respond nor can UNDP confirm that the query was officially received.

<sup>&</sup>lt;sup>5</sup> Posting on the website shall be supplemented by directly transmitting the communication to the prospective offerors.

	1		<u> </u>
	D.24		
21	C.21	Deadline of Submission	Date and Time :
	D.24		December 15, 2018 12:00 AM
22	D.23.2	Allowable Manner of Submitting Proposals	<ul> <li>□ Courier/Hand Delivery</li> <li>☑ Electronic submission of Bid<sup>6</sup></li> </ul>
23	D.23.2 D.26	Conditions and Procedures for electronic submission and opening, if allowed	<ul> <li>Only Through UNDP etendering platform at https://etendering.partneragencies.org</li> <li>Format: PDF files only</li> <li>File names must be maximum 60 characters long and must not contain any letter or special character other than from Latin alphabet/keyboard.</li> <li>All files must be free of viruses and not corrupted.</li> <li>Password for financial proposal must not be provided to UNDP until requested by UNDP</li> <li>Documents which are required in original (e.g. Bid Security) should be sent to the below address with a PDF copy submitted as part of the electronic submission:</li> <li>Procurement.kw@undp.org</li> <li>Max. File Size per transmission: 5MB</li> <li>Mandatory subject of email: "RFP/UNDP/KW/2018/21</li> <li>Support to Kuwait University-Patent</li> <li>Office in Knowledge Transfer and</li> <li>Commercialization of Patents</li> <li>Please note that You MUST NOT put any price for RFP cases. Your technical and financial proposals must be uploaded in two separate PDF files and financial proposal should be password protected. Please ensure password is kept safe and you can recover (and remember) the passwords when</li> <li>UNDP requests you to submit the passwords. Do not provide the Financial proposal encryption password unless UNDP asks you to do by an email from this email address.</li> </ul>

<sup>&</sup>lt;sup>6</sup> If this will be allowed, security features (e.g., encryption, authentication, digital signatures, etc.) are strictly required and must be enforced to ensure confidentiality and integrity of contents.

			Enter Comments Find   View All First 1 1
			PLEASE DO NOT PUT THE PRICE OF YOUR PROPOSAL IN THIS LINE. INSTEAD PUT 1 USD.
			DETAILED FINANCIAL PROPOSAL MUST BE PROVIDED SEPARATELY AS AN PASSWORD PROTECTED FILE AS INSTRUCTED.
			✓ Send To Bidder Include On Award Fetch Item Specs Standard Comm
			Add Attachment
			OK Cancel Refresh DO NOT DISCLOSE YOUR PRICE IN THE LINE ITEMS. YOU MUST PUT PRICE AS "1" IN THE SYSTEM AND PROVIDE THE FINANCIAL PROPOSAL AS ENCRYPTED FILE AS EXPLAINED ABOVE.
24	D.23.1	Date, time and venue for opening of Proposals	N/A
25	E.29.2	Evaluation method to be used in	☐ Lowest financial offer of technically qualified Proposals
	E.29.3	selecting the most responsive Proposal	(i.e., offers that are rated 70% and above)  ☑ Combined Scoring Method, using the 70%-30%
	F.34	Гороза	distribution for technical and financial proposals,
			respectively, where the minimum passing score of
			technical proposal is 70%  Combined Scoring Method, using 60%-40% distribution for technical and financial proposals, respectively, where minimum passing score of technical proposal is 60%.
27		Other documents that may be Submitted to Establish Eligibility	Reference Section 6: Returnable forms – Checklist
29	C.15.2	Latest Expected date for commencement of Contract	1 <sup>st</sup> Jan 2019
30	C.15.2	Expected duration of contract (Target Commencement Date and Completion Date)	12 months
31		UNDP will award the contract	☑ One Proposer only
		to:	☐ One or more Proposers, depending on the following factors: [clarify fully how and why will this be achieved.  Please do not choose this option without indicating the parameters for awarding to multiple Proposers]
32	E.29.2 F.34	Criteria for the Award of Contract and Evaluation of Proposals	(See Tables below)

l the MT		33		Other Information Related to the RFP <sup>7</sup>	N/A
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# Section 4. Evaluation Criteria

### **Preliminary Examination Criteria**

Proposals will be examined to determine whether they are complete and submitted in accordance with RFP requirements as per below criteria on a Yes/No basis:

- Appropriate signatures
- Power of Attorney (if necessary)
- Minimum documents provided
- Technical and Financial Proposals submitted separately
- Financial proposal encrypted with password
- Bid Validity

### **Minimum Eligibility and Qualification Criteria**

Eligibility and Qualification will be evaluated on Pass/Fail basis.

If the Proposal is submitted as a Joint Venture/Consortium/Association, each member should meet minimum criteria, unless otherwise specified in the criterion.

Subject	Criteria	Document Submission requirement
ELIGIBILITY		
Legal Status	Vendor is a legally registered entity.	Form B: Bidder registration/Information Form
Eligibility	Vendor is not suspended, nor debarred, nor otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization in accordance with ITB clause 3.	Form A: Technical Proposal Submission Form
Conflict of Interest	No conflicts of interest in accordance with RFP clause 4.	Form A: Technical Proposal Submission Form
Bankruptcy	Not declared bankruptcy, not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against the vendor that could impair its operations in the foreseeable future.	Form A: Technical Proposal Submission Form
QUALIFICATION		

Where the information is available in the web, a URL for the information may simply be provided.

History of Non- Performing Contracts <sup>8</sup>	Non-performance of a contract did not occur as a result of contractor default for the last 3 years.	Form D: Qualification Form
Litigation History	No consistent history of court/arbitral award decisions against the Bidder for the last 3 years.	Form D: Qualification Form
Previous Experience	Specialization in setting up Environmental Monitoring Networks, data collection, data archiving, data analysis and another relevant field	Form D: Qualification Form
Financial Standing	Minimum average annual turnover of USD450,000 for the last 3 years.  (For JV/Consortium/Association, all Parties cumulatively should meet requirement).	Form D: Qualification Form
	Bidder must demonstrate the current soundness of its financial standing and indicate its prospective long-term profitability. Bidder must be profitable for the last 3 years.  (For JV/Consortium/Association, all Parties cumulatively should meet requirement).	Form D: Qualification Form

### **Criteria for the Award of Contract and Evaluation of Proposals**

Summa	Summary of Technical Proposal Evaluation Forms	
1.	Expertise of Service Provider	200
2.	Proposed Methodology, Approach and Implementation Plan	300
3.	Management Structure and Key Personnel	200
	Total	700

Section	n 1. Expertise of Service Provider	Points obtainable
1.1	Reputation of Organization and Staff / Credibility / Reliability / Industry Standing	25
1.2	General Organizational Capability which is likely to affect implementation  - Financial stability - loose consortium, holding company or one firm - age/size of the firm - strength of project management support - project financing capacity	25

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<sup>&</sup>lt;sup>8</sup> Non-performance, as decided by UNDP, shall include all contracts where (a) non-performance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Non-performance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Bidder have been exhausted.

	<ul> <li>Strong project management structure within the team.</li> </ul>	
1.3	Minimum 5 years of working experience in working on similar projects.	45
	Additional 20 points for working for universities or academic institutions.	20
		20
1.4	Relevance of:	65
	<ul> <li>Specialised Knowledge in technology transfer and patent management and commercialization</li> </ul>	
	<ul> <li>Experience working on intellectual proprty, patent projects, commercialization of patents and all related projects;</li> </ul>	
	- Experience on Projects in the Region (additional 20 points)	20
	Total Section 1	

Section	2. Proposed Methodology, Approach and Implementation Plan	Points obtainable
2.1	To what degree does the Proposer understand the task?	20
2.2	Have the important aspects of the task been addressed in sufficient detail?	20
2.3	Are the different components of the project adequately weighted relative to one another?	15
2.4	Is the proposal based on a survey of the project environment and was this data input properly used in the preparation of the proposal?	40
2.5	Is the conceptual framework adopted appropriate for the task?	50
2.6	Is the scope of task well defined and does it correspond to the TOR?	90
2.7	Is the presentation clear and is the sequence of activities and the planning logical, realistic and promise efficient implementation to the project?	65
	Total Section 2	300

Section	3. Management Structure and Key Personnel			Points obtainable
3.1	Project Leader			100
			Sub-Score	
	General Qualification		90	
	Suitability for the Project			
	Strong project management	20		
	At least 5 years of experience in similar assignment, working with academic institutions	30		

	Proven experience working in the area of intellectual	20		
	property protection and management and patent			
	commercialization			
	- Knowledge of the region	20		
	Fluency in Arabic and English		10	
3.2	Legal and Patent Specialist			50
			Sub-Score	
	General Qualification		40	
	Suitability for the Project			
	At least 5 years of experience in working on similar assignments	20		
	Minimum Master's degree in Law, International Law or any related field	10		
	Extensive experience in training and provide hands-on training	10		
	Knowledge of Arabic and English		10	
2.2	Marketing and Patent Commercialization			50
3.3	Specialist			30
			Sub-Score	
	General Qualification	40		
	Suitability for the Project			
	Minimum Master's degree in Law, International Law, Business Administration, Economics or any related field.	10		
	At least 5 years of experience in working on similar assignments	20		
	Extensive experience in training and provide hands-on training	10		
	Knowledge of Arabic and English		10	
	Total			200

# **Section 5.** Terms of Reference

**Terms of Reference (TOR)** 

Support to Kuwait University-Patent Office in Knowledge Transfer and Commercialization of Patents

# I. Project Title

The Institutional and Technical Support for the Establishment of the Kuwait Public Policy Center (KPPC)

### **II.** Project Description

UNDP and the State of Kuwait, represented by the General Secretariat of the Supreme Council of Planning and Development (GSSCPD), strive to enhance institutional capacities at various government agencies by providing them with necessary technical support to strengthen their capacities and skills to perform their expected duties.

Under the framework of the Institutional and Technical support for the establishment of Kuwait Public Policy Centre (KPPC) project, UNDP collaborates closely with GSSCPD to support the establishment of a pioneer KPPC to address policy making gaps and mainstream policy research, analysis and studies in the policy making process.

The KPPC seeks to be a reliable resource that influences national policies and strategies. The project builds on UNDP's mandate and strategic vision and aims to promote efficient governance and institutional management. This project provides a framework of how UNDP accompanies the GSSCPD in its ambition to improve the quality of policy making in Kuwait and on how the KPPC can contribute to that, while operating under the umbrella of GSSCPD.

Within this context, the project is seeking to procure services to support the research sector at the Kuwait University in developing the commercialization program of patents. The overall objective is to convert the department to a Knowledge Transfer and Commercialization center that would serve the university in facilitating bringing the knowledge development into the market. The goal is to transform the fruits of university research into commercial values.

#### III. Scope of work

The expected objectives and outputs of the assignment are:

# I. Benchmarking, Review of the Internal Plan/ Strategy, and Development of new Disclosure Process:

- 1. Conduct benchmarking analysis and review of international best practices when it comes to technology transfer and commercialization of patent; with specific focus on international renowned universities and the different models available;
- 2. Based on the benchmarking review, suggest new model for Kuwait University to adopt that fits the local context and the requirement of the research office;
- 3. Review the current mandate of the research office, and suggest new plan/ strategy for the office with clear articulated mission, vision, and objectives;
- 4. Identify activities for the department to successfully deliver the strategy, as well as identify the related Key Performance Indicators (KPIs) and metrics that enable the management to monitor progress;
- 5. Develop a new disclosure process with clear implementation steps, including creation of new disclosure form which will encompass Protection Assessment for complete project strategy;
- 6. Based on the above, develop clear Standard Operating Procedures (SOP) for the department to follow for every disclosure process with clear accountability matrix (this ensures documentation and standardization of the process);
- 7. Develop criteria for disclosure assessment to ensure that all projects are evaluated and assessed in a standardized and objective way;
- 8. Support the department in identifying a national referee panel to evaluate the projects, this includes: development of Terms of Reference for potential referee profiles from different sectors and background (scientific, medical, engineering, and other relevant profiles based on the need);

9. Define mandate and responsibilities of the referee panel members as well as suggest mechanism for the panel to carry out its activities in an efficient and accountable manner;

#### II. Design and Implementation Support in Commercialization of two Projects:

The research office will provide the company with 10 projects to be reviewed and assessed

- 1. Review 10 existing pre-patent projects: this includes conducting market review, IP application, and patent filing approach; as well as incorporating scoring and assessment process;
- 2. Assess the patentable projects (from the 10 pre-patent projects) that have potential opportunity for commercialization;
- 3. Select 2 projects based on recommendations and priorities (out of the 10 that has been identified as potential project for commercialization);
- 4. Implement commercialization for the two selected projects agreed upon with the Kuwait University to achieve a commercialization product (license, spinout, translational funding application or sufficient market feedback that determines whether a project to continue or not);
- 5. Provide high level support for commercialization to designated KU staff at the Patent's office to deal with patent and project enquiries from participants and other support requests (limit such adhoc requests to maximum one every month).

#### III. Communication, Marketing, and Outreach Support

- 1. Identify and approach potential commercialization partners;
- 2. Build technology profiles, market-focused, non-confidential summaries of the priority projects;
- 3. Promote the above through a digital media platform as well as on the newly developed webpage to draw potential industry interest in the projects;
- 4. Support the department in reaching out to international industries and markets by suggesting ways to market the portfolio;
- 5. Provide content for an external webpage on the Kuwait University website for industry to showcase KU invention portfolio and make necessary contact and marketing with external stakeholders (note: the development of the webpage will be done internally at the university);
- 6. Provide content of an internal portal for the newly developed patent activity and the commercialization process, include the list and profiles of the referee panel members (note: the development of the webpage will be done internally at the university).

#### IV. Capacity Building and Training Support

- 1. Conduct 2 workshops at Kuwait University to present the new processes, patent commercialization and best practices from other countries experiences;
- 2. Build internal national capacities at the department to ensure transfer of knowledge;
- 3. Conduct ongoing hands-on training to staff working in the research office on how to conduct market research, reach out to industry market, review new disclosure and projects and communicate with external and internal stakeholders.

### IV. Expected Outputs and Deliverables

Reports / deliverables to be submitted	Responsible verification	person for	Date of submission
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1-	Inception report after 2 weeks of contract award.	GSSCPD (SG) and UNDP	2 weeks after the start of the contract
2-	Report including the following:  Benchmarking analysis, patent's office new plan, mission, vision, priority activities and KPIs;  Suggested disclosure review process with clear SOPs and criteria for assessment;  Referee Panel: TOR for referee profiles, and mandate of the panel.  Workshop to present the benchmarking, new plan and international experience and best practices.	GSSCPD (SG) and UNDP	3 months after the start of the contract
3-	Report including the following:  Progress on the revision of 10 pre-patent projects and conduct market research to determine their potential commerciality;  Content of both the internal portal and external webpage.	GSSCPD (SG) and UNDP	6 months after the start of the contract
4-	Report including the following:  Final report of the revision of 10 pre-patent projects and conduct market research to determine their potential commerciality;  Draft on the progress of implementing commercialization of 2 priority projects;  Outreach and marketing activities to promote projects and attract relevant industry in the market.  National workshop based on the office need to present the findings and build national capacity	GSSCPD (SG) and UNDP	9 months after the start of the contract
5-	Final report with the following:  - Hands-on training provided throughout the contract period to staff at the patent's office with proof of knowledge transfer;  - Report with the support to ad-hoc requests	GSSCPD (SG) and UNDP	At the end of the contract

from the staff at the patent's office;	
- Final recommendations with next steps.	

#### 4. Institutional Arrangement

- 1. The Service provider shall report directly to the Secretary General of the GSSCPD and shall closely coordinate and work with the Patent's office at Kuwait University as well as the project team of the KPPC.
- 2. The Contract for provision of professional services will be singed between the head of Service Provider and UNDP authorized staff.
- 3. The Service provider should cooperate with the governmental and other stakeholders for successful achievement of expected results within implementation of the assignment.
- 4. Preparation and timely submission of final deliverables and applicable reports for the Secretary General of the GSSCPD and UNDP is sole responsibility of the Service provider.
- 5. The Service provider should form the staff in compliance with indicated qualification requirements.
- 6. Failure in the implementation of the activities in full according to the section "Scope of services" and "Commitments of the organization towards UNDP" shall be the reason for the termination of the Contract.

#### E. Duration of the Work

The expected duration of the assignment is 12 months.

#### F. Location of Work

The location of the assignment is expected to be in Kuwait mainly for the meetings, training, workshops, data collection, and presentation of findings. Also, any external stakeholder meeting, training or workshop are expected to be rendered in Kuwait.

#### G. Qualifications of the Successful Service Provider

Interested companies/service providers are invited to apply with a statement confirming their capacity to manage and deliver the work in the time specified, and to the standards detailed elsewhere in this document.

- The organization should have minimum 5 years of experience in working on similar assignment related to transfer knowledge
- Experience working with an academic institution on similar projects.

Minimum qualification requirements for staff proposed under assignment:

- Minimum Master's degree in Law, International Law, Economics or any related field
- At least 5 years of experience in similar assignment, working with academic institutions
- Proven experience working in the area of intellectual property protection and management and patent commercialization

### Legal and Patent Specialist:

- Minimum Master's degree in Law, International Law or any related field.
- At least 5 years of experience in working on similar assignments
- Extensive experience in training and provide hands-on training

### Marketing and Patent Commercialization Specialist

- Minimum Master's degree in Law, International Law, Business Administration, Economics or any related field.
- At least 5 years of experience in working on similar assignments
- Extensive experience in training and provide hands-on training

#### H. Scope of Proposal Price and Schedule of Payments

The contract price is deliverable based with specific duration of assignment. The financial bid should be all inclusive of all fees and expenses associated with the tasks and outputs; including professional fee, travel, per diem and any other costs associated with the assignment. The financial proposal shall include the cost of delivering the seminar and the cost of developing the training material content. However, the proposal shall not include any interpretation costs, printing of materials, catering services, renting workshop rooms or any other logistical costs or conference services as well as cost of translating the documents.

Payment will be made upon satisfactory completion of all deliverables as per the payment schedule. All deliverables should include capacity development and knowledge transfer.

The following deliverables shall be submitted:

Deliverables	
1- Inception report after 2 weeks of contract award.	10%
2- Report including the following:	20%
- Benchmarking analysis, patent's office new plan, mission, vision,	
priority activities and KPIs;	
- Suggested disclosure review process with clear SOPs and criteria	
for assessment;	
- Referee Panel: TOR for referee profiles, and mandate of the panel.	
- Workshop to present the benchmarking, new plan and	
international experience and best practices.	
3- Report including the following:	20%
- Progress on the revision of 10 pre-patent projects and conduct	
market research to determine their potential commerciality;	
- Draft on the progress of implementing commercialization of 2	

priority projects.	
- Content of both the internal portal and external webpage.	
4- Report including the following:	20%
- Progress on the revision of 10 pre-patent projects and conduct	
market research to determine their potential commerciality;	
- Draft on the progress of implementing commercialization of 2	
priority projects;	
- Outreach and marketing activities to promote projects and attract	
relevant industry in the market.	
- National workshop based on the office need to present the findings	
and build national capacity	
5- Final report with the following:	30%
- Report with final SOPs, suggested disclosure process, and	
commercialization process, along with the outreach and marketing	
activities.	
- Hands-on training provided throughout the contract period to staff	
at the patent's office with proof of knowledge transfer;	
- Report with the support to ad-hoc requests from the staff at the	
patent's office;	
- Final recommendations with next steps.	

### I. Recommended Presentation of Proposal

Profile of the organization along with the CVs of the experts with a cover letter. Technical proposal: clearly stating the methodology to implement the objectives.

# J. Criteria for Selecting the Best Offer

The award will be done in a Combined Scoring Method - where the qualifications and methodology will be weighted a maximum of 70%, and combined with the price offer which will be weighted a maximum of 30%.

Summa	ary of Technical Proposal Evaluation Forms	Score Weight	Points Obtainable
1.	Expertise of Service Provider	30%	200
2.	Proposed Methodology, Approach and Implementation Plan	40%	300
3.	Management Structure and Key Personnel	30%	200

Only those individuals, organizations, service providers obtaining a minimum of 70% in the technical evaluation will be considered for the financial evaluation.

# **Section 6:** Returnable Forms

# Form A: Technical Proposal Submission Form

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	RFP/UNDP/KW/2018/21"		

We, the undersigned, offer to provide the services for Enhancement of eMISK in accordance with your Request for Proposal No. RFP/UNDP/KW/2018/21" and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and our Financial Proposal sealed under a separate envelope.

We hereby declare that our firm, its affiliates or subsidiaries or employees, including any JV/Consortium /Association members or subcontractors or suppliers for any part of the contract:

- a) is not under procurement prohibition by the United Nations, including but not limited to prohibitions derived from the Compendium of United Nations Security Council Sanctions Lists;
- b) have not been suspended, debarred, sanctioned or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization;
- c) have no conflict of interest in accordance with Instruction to Bidders Clause 4;
- d) do not employ, or anticipate employing, any person(s) who is, or has been a UN staff member within the last year, if said UN staff member has or had prior professional dealings with our firm in his/her capacity as UN staff member within the last three years of service with the UN (in accordance with UN post-employment restrictions published in ST/SGB/2006/15);
- e) have not declared bankruptcy, are not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against them that could impair their operations in the foreseeable future;

f) undertake not to engage in proscribed practices, including but not limited to corruption, fraud, coercion, collusion, obstruction, or any other unethical practice, with the UN or any other party, and to conduct business in a manner that averts any financial, operational, reputational or other undue risk to the UN and we embrace the principles of the United Nations Supplier Code of Conduct and adhere to the principles of the United Nations Global Compact.

We declare that all the information and statements made in this Proposal are true and we accept that any misinterpretation or misrepresentation contained in this Proposal may lead to our disqualification and/or sanctioning by the UNDP.

We offer to provide services in conformity with the Bidding documents, including the UNDP General Conditions of Contract and in accordance with the Terms of Reference

Our Proposal shall be valid and remain binding upon us for the period of time specified in the Bid Data Sheet.

We understand and recognize that you are not bound to accept any Proposal you receive.

I, the undersigned, certify that I am duly authorized by [Insert Name of Bidder] to sign this Proposal and bind it should UNDP accept this Proposal.

Name:	
Title:	
Date:	
Signature:	

[Stamp with official stamp of the Bidder]

# Form B: Bidder Information Form

Legal name of Bidder	[Complete]
Legal address	[Complete]
Year of registration	[Complete]
Bidder's Authorized Representative Information	Name and Title: [Complete] Telephone numbers: [Complete] Email: [Complete]
Are you a UNGM registered vendor?	☐ Yes ☐ No If yes, [insert UGNM vendor number]
Are you a UNDP vendor?	☐ Yes ☐ No If yes, [insert UNDP vendor number]
Countries of operation	[Complete]
No. of full-time employees	[Complete]
Quality Assurance Certification (e.g. ISO 9000 or Equivalent) (If yes, provide a Copy of the valid Certificate):	[Complete]
Does your Company hold any accreditation such as ISO 14001 related to the environment? (If yes, provide a Copy of the valid Certificate):	[Complete]
Does your Company have a written Statement of its Environmental Policy? (If yes, provide a Copy)	[Complete]
Contact person UNDP may contact for requests for clarification during Proposal evaluation	Name and Title: [Complete] Telephone numbers: [Complete] Email: [Complete]
Please attach the following documents:	Company Profile, which should <u>not</u> exceed fifteen (15) pages, including printed brochures and product catalogues relevant to the services being procured

# Form B: Format of Technical Proposal

Name of Bidder:		Date:	Select date
RFP reference:	RFP/UNDP/KW/2018/21"		

The Bidder's proposal should be organized to follow this format of Technical Proposal. Where the bidder is presented with a requirement or asked to use a specific approach, the bidder must not only state its acceptance, but also describe how it intends to comply with the requirements. Where a descriptive response is requested, failure to provide the same will be viewed as non-responsive.

#### SECTION 1: Bidder's qualification, capacity and expertise

Already provided and approved under LTAs requirement examination

#### SECTION 2: Proposed Methodology, Approach and Implementation Plan

This section should demonstrate the bidder's responsiveness to the TOR by identifying the specific components proposed, addressing the requirements, providing a detailed description of the essential performance characteristics proposed and demonstrating how the proposed approach and methodology meets or exceeds the requirements. All important aspects should be addressed in sufficient detail and different components of the project should be adequately weighted relative to one another.

- 2.1 A detailed description of the approach and methodology for how the Bidder will achieve the Terms of Reference of the project, keeping in mind the appropriateness to local conditions and project environment. Details how the different service elements shall be organized, controlled and delivered.
- 2.2 The methodology shall also include details of the Bidder's internal technical and quality assurance review mechanisms.
- 2.3 Description of available performance monitoring and evaluation mechanisms and tools; how they shall be adopted and used for a specific requirement.
- 2.4 A clear methodology reflect support in promotion of the Kuwait's global positioning contribute to the KNDP.
- 2.5 Demonstrate how you plan to integrate sustainability measures in the execution of the contract.

#### SECTION 2A: Bidder's Comments and Suggestions on the Terms of Reference

Provide comments and suggestions on the Terms of Reference, or additional services that will be rendered beyond the requirements of the TOR, if any.

#### **SECTION 3: Management Structure and Key Personnel**

- 3.1 Describe the overall management approach toward planning and implementing the project. Include an organization chart for the management of the project describing the relationship of key positions and designations. Provide a spreadsheet to show the activities of each personnel and the time allocated for his/her involvement.
- 3.2 Provide CVs for key personnel that will be provided to support the implementation of this project using the format below. CVs should demonstrate qualifications in areas relevant to the Scope of Services.

# Format for CV of Proposed Key Personnel

Name of Personnel	[Insert]
Position for this assignment	[Insert]
Nationality	[Insert]
Language proficiency	[Insert]
51 (0	[Summarize college/university and other specialized education of personnel member, giving names of schools, dates attended, and degrees/qualifications obtained.]
Education/ Qualifications	[Insert]
	[Provide details of professional certifications relevant to the scope of services]
Professional certifications	<ul><li>Name of institution: [Insert]</li><li>Date of certification: [Insert]</li></ul>
Employment Record/ Experience	[List all positions held by personnel (starting with present position, list in reverse order), giving dates, names of employing organization, title of position held and location of employment. For experience in last five years, detail the type of activities performed, degree of responsibilities, location of assignments and any other information or professional experience considered pertinent for this assignment.]
	[Insert]
	[Provide names, addresses, phone and email contact information for two (2) references]
References	Reference 1: [Insert]
	Reference 2: [Insert]
	to the best of my knowledge and belief, these data correctly describe my qualifications, evant information about myself.
Signature of Personnel	

	e of Bidder:	[Insert Name of Bide	uerj		Date:	Select date
RFP r	eference:	RFP/UNDP/KW/20	<mark>)18/21"</mark>			
	completed and ret re/Consortium/Ass	curned with your Proposociation.	osal if the Proposal i	s submitted as a	Joint	
No		er and contact inform rs, fax numbers, e-mail a	•	-	-	n of responsibilities (in vices to be performed
1	[Complete]			[Complete]		
2	[Complete]			[Complete]		
3	[Complete]			[Complete]		
		ded, during contract				
execu We hat and the	ve attached a cope confirmation of ter of intent to for	oy of the below docur joint and severable lia rm a joint venture <b>C</b>	ability of the memb	ers of the said jo	oint ven	
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We had and the Letter We he do not be some Signa Date:	ve attached a cope confirmation of ter of intent to for ereby confirm that and severally liable of partner:	by of the below docur joint and severable lia rm a joint venture <b>C</b> t if the contract is aw le to UNDP for the ful	ability of the memb  OR	ers of the said joortium/Association or the Joint Venturisions of the Core of partner:  ature:  e of partner:	on agreure/Conntract.	ture: ement sortium/Association sl

# Form D: Qualification Form

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	RFP/UNDP/KW/2018/21"		

If JV/Consortium/Association, to be completed by each partner.

### **Historical Contract Non-Performance**

☐ Contract non-performance did not occur for the last 3 years					
☐ Contract	(s) not performed for t	the last 3 years			
Year	Non- performed	Contract Identification	Total Contract Amount		
. cu.	•				
	portion of contract		(current value in US\$)		
		Name of Client:			
		Address of Client:			
Reason(s) for non-performance:					
		neason(o) for non-periormanee.			

# **Litigation History** (including pending litigation)

☐ No litigation history for the last 3 years				
☐ Litigation	n History as indicated b	pelow		
Year of dispute	Amount in dispute (in US\$)	Contract Identification	Total Contract Amount (current value in US\$)	
		Name of Client:		
		Address of Client:		
		Matter in dispute:		
		Party who initiated the dispute:		
		Status of dispute:		
		Party awarded if resolved:		

#### **Previous Relevant Experience**

Please list only previous similar assignments successfully completed in the last 3 years.

List only those assignments for which the Bidder was legally contracted or sub-contracted by the Client as a company or was one of the Consortium/JV partners. Assignments completed by the Bidder's individual experts working privately or through other firms cannot be claimed as the relevant experience of the Bidder, or that of the Bidder's partners or sub-consultants, but can be claimed by the Experts themselves in their CVs. The Bidder should be prepared to substantiate the claimed experience by presenting copies of relevant documents and references if so requested by UNDP.

Project name & Country of Assignment	Client & Reference Contact Details	Contract Value	Period of activity and status	Types of activities undertaken

Bidders may also attach their own Project Data Sheets with more details for assignments above.

$\square$ Attached are the Statements of Satisfactory Performance from the Top 3 (three) Clients or r	more.
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# **Financial Standing**

Annual Turnover for the last 3 years	Year	USD
	Year	USD
	Year	USD
Latest Credit Rating (if any), indicate the source		

Financial information (in US\$ equivalent)	Historic information for the last 3 years		
	Year 1	Year 2	Year 3
	Information from Balance Sheet		
Total Assets (TA)			
Total Liabilities (TL)			
Current Assets (CA)			
Current Liabilities (CL)			
	Information from Income Statement		nent
Total / Gross Revenue (TR)			
Profits Before Taxes (PBT)			
Net Profit			
Current Ratio			

$\Box$ Attached are copies of the audited financial statements (balance sheets, including all related notes	, and income
statements) for the years required above complying with the following condition:	

- a) Must reflect the financial situation of the Bidder or party to a JV, and not sister or parent companies;
- b) Historic financial statements must be audited by a certified public accountant;
- c) Historic financial statements must correspond to accounting periods already completed and audited. No statements for partial periods shall be accepted.

# Form D: Qualification Form

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	RFP/UNDP/KW/2018/21"		

If JV/Consortium/Association, to be completed by each partner.

### **Historical Contract Non-Performance**

☐ Contract non-performance did not occur for the last 3 years					
☐ Contract	(s) not performed for t	the last 3 years			
Year	Non- performed	Contract Identification	Total Contract Amount		
	portion of contract		(current value in US\$)		
		Name of Client:			
	Address of Client:				
	Reason(s) for non-performance:				

# **Litigation History** (including pending litigation)

☐ No litigation history for the last 3 years				
Litigation	n History as indicated b	pelow		
Year of dispute	Amount in dispute (in US\$)	Contract Identification	Total Contract Amount (current value in US\$)	
		Name of Client:		
		Address of Client:		
		Matter in dispute:		
		Party who initiated the dispute:		
		Status of dispute:		
		Party awarded if resolved:		

#### **Previous Relevant Experience**

Please list only previous similar assignments successfully completed in the last 3 years.

List only those assignments for which the Bidder was legally contracted or sub-contracted by the Client as a company or was one of the Consortium/JV partners. Assignments completed by the Bidder's individual experts working privately or through other firms cannot be claimed as the relevant experience of the Bidder, or that of the Bidder's partners or sub-consultants, but can be claimed by the Experts themselves in their CVs. The Bidder should be prepared to substantiate the claimed experience by presenting copies of relevant documents and references if so requested by UNDP.

Project name & Country of Assignment	Client & Reference Contact Details	Contract Value	Period of activity and status	Types of activities undertaken

Bidders may also attach their own Project Data Sheets with more details for assignments above.

$\square$ Attached are the Statements of Satisfactory Performance from the Top 3 (three) Clients or r	more.
---	-------

# **Financial Standing**

Annual Turnover for the last 3 years	Year Year Year	USD USD USD
Latest Credit Rating (if any), indicate the source	Teal	030

Financial information (in US\$ equivalent)	Historic information for the last 3 years				
	Year 1	Year 2	Year 3		
	In	formation from Balance She	et		
Total Assets (TA)					
Total Liabilities (TL)					
Current Assets (CA)					
Current Liabilities (CL)					
	Information from Income Statement				
Total / Gross Revenue (TR)					
Profits Before Taxes (PBT)					
Net Profit					
Current Ratio					

$\hfill\square$ Attached are copies of the audited financial statement	s (balance sheets,	, including all related	notes, and incom-
statements) for the years required above complying with t	he following condi	tion:	

- a) Must reflect the financial situation of the Bidder or party to a JV, and not sister or parent companies;
- b) Historic financial statements must be audited by a certified public accountant;
- c) Historic financial statements must correspond to accounting periods already completed and audited. No statements for partial periods shall be accepted.

#### Form E: Format of Technical Proposal

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	RFP/UNDP/KW/2018/21"		

The Bidder's proposal should be organized to follow this format of Technical Proposal. Where the bidder is presented with a requirement or asked to use a specific approach, the bidder must not only state its acceptance, but also describe how it intends to comply with the requirements. Where a descriptive response is requested, failure to provide the same will be viewed as non-responsive.

#### SECTION 1: Bidder's qualification, capacity and expertise

- 1.1 Brief description of the organization, including the year and country of incorporation, and types of activities undertaken.
- 1.2 General organizational capability which is likely to affect implementation: management structure, financial stability and project financing capacity, project management controls, extent to which any work would be subcontracted (if so, provide details).
- 1.3 Relevance of specialized knowledge and experience on similar engagements done in the region/country (refer to TOR).
- 1.4 Quality assurance procedures and risk mitigation measures.
- 1.5 Organization's commitment to sustainability.

#### SECTION 2: Proposed Methodology, Approach and Implementation Plan

This section should demonstrate the bidder's responsiveness to the TOR by identifying the specific components proposed, addressing the requirements, providing a detailed description of the essential performance characteristics proposed and demonstrating how the proposed approach and methodology meets or exceeds the requirements. All important aspects should be addressed in sufficient detail and different components of the project should be adequately weighted relative to one another.

- 2.6 A detailed description of the approach and methodology for how the Bidder will achieve the Terms of Reference of the project, keeping in mind the appropriateness to local conditions and project environment. Details how the different service elements shall be organized, controlled and delivered.
- 2.7 The methodology shall also include details of the Bidder's internal technical and quality assurance review mechanisms.
- 2.8 Explain whether any work would be subcontracted, to whom, how much percentage of the work, the rationale for such, and the roles of the proposed sub-contractors and how everyone will function as a team.
- 2.9 Description of available performance monitoring and evaluation mechanisms and tools; how they shall be adopted and used for a specific requirement.
- 2.10 Implementation plan including a Gantt Chart or Project Schedule indicating the detailed sequence of activities that will be undertaken and their corresponding timing.
- 2.11 Demonstrate how you plan to integrate sustainability measures in the execution of the contract.
- 2.12 Any other comments or information regarding the project approach and methodology that will be adopted.

#### SECTION 2A: Bidder's Comments and Suggestions on the Terms of Reference

Provide comments and suggestions on the Terms of Reference, or additional services that will be rendered beyond the requirements of the TOR, if any.

#### **SECTION 3: Management Structure and Key Personnel**

- 3.3 Describe the overall management approach toward planning and implementing the project. Include an organization chart for the management of the project describing the relationship of key positions and designations. Provide a spreadsheet to show the activities of each personnel and the time allocated for his/her involvement.
- 3.4 Provide CVs for key personnel that will be provided to support the implementation of this project using the format below. CVs should demonstrate qualifications in areas relevant to the Scope of Services.

### **Format for CV of Proposed Key Personnel**

Name of Personnel	[Insert]
Position for this assignment	[Insert]
Nationality	[Insert]
Language proficiency	[Insert]
Education/ Qualifications	[Summarize college/university and other specialized education of personnel member, giving names of schools, dates attended, and degrees/qualifications obtained.]
	[Insert] [Provide details of professional certifications relevant to the scope of services]
Professional certifications	<ul><li>Name of institution: [Insert]</li><li>Date of certification: [Insert]</li></ul>
Employment Record/ Experience	[List all positions held by personnel (starting with present position, list in reverse order), giving dates, names of employing organization, title of position held and location of employment. For experience in last five years, detail the type of activities performed, degree of responsibilities, location of assignments and any other information or professional experience considered pertinent for this assignment.]
	[Insert]
	[Provide names, addresses, phone and email contact information for two (2) references]
References	Reference 1: [Insert]
	Reference 2: [Insert]
	to the best of my knowledge and belief, these data correctly describe my qualifications, vant information about myself.
Signature of Personnel	Date (Day/Month/Year)

# Form F: Financial Proposal Submission Form

Name of Bidder:		Date:	Select date
RFP reference:	RFP/UNDP/KW/2018/21"		
	offer to provide the services for Company to design a Request for Proposal No. RFP/UNDP/KW/2018/21" ar		, , ,
submitted as a separate	g our Proposal, which includes this Technical Proposal a file encrypted with a password. None of the financial passion, INSTEAD WE PUT PRICE AS 1 IN THE ETENDERING AL AS ENCRYPTED FILE.	proposal	data is disclosed in other
Our attached Financial P	oposal is for the sum of [Insert amount in words and fig	gures].	
Our Proposal shall be val	id and remain binding upon us for the 90 DAYS.		
We understand you are i	not bound to accept any Proposal you receive.		
Name:			
Title:			
Date:			
Signature:			

[Stamp with official stamp of the Bidder]

# Form G: Financial Proposal Form

Name of Bidder:		Date:	Select date
RFP reference:	RFP/UNDP/KW/2018/21"		

The Bidder is required to prepare the Financial Proposal following the below format and submit it in an envelope separate from the Technical Proposal as indicated in the Instruction to Bidders. Any Financial information provided in the Technical Proposal shall lead to Bidder's disqualification.

The Financial Proposal should align with the requirements in the Terms of Reference and the Bidder's Technical Proposal.

Currency of the proposal: USD

**Table 1: Summary of Overall Prices** 

	Amount(s)
Professional Fees (from Table 2)	
Other Costs (from Table 3)	
Total Amount of Financial Proposal	

**Table 2: Breakdown of Professional Fees** 

Name	Position	Fee Rate	No. of Days/months/ hours	Total Amount
		Α	В	C=A+B
	1	Subtotal	Professional Fees:	

**Table 3: Breakdown of Other Costs** 

Description	иом	Quantity	Unit Price	Total Amount	
International flights (define destination of each team member)	Trip				
Living allowance (per each team member)	Day				
Communication costs	Day				
Local transportation costs	Day				
Printing report costs					
Taking photos during interview					
Other Costs: (please specify)					
Subtotal Other Costs:					

**Table 4: Breakdown of Price per Deliverable/Activity** 

Deliverable/ Activity description	Payment percentage	Due date	Professional Fees	Other Costs	Total
1- Inception report after	10%	2 weeks after the start of the contract			
<ul> <li>2-Report including the following: <ul> <li>Benchmarking analysis, patent's office new plan, mission, vision, priority activities and KPIs;</li> <li>Suggested disclosure review process with clear SOPs and criteria for assessment;</li> <li>Referee Panel: TOR for referee profiles, and mandate of the panel.</li> </ul> </li> <li>Workshop to present the benchmarking, new plan and international experience and best practices.</li> </ul>	20%	3 months after the start of the contract			
<ul> <li>3-Report including the following:</li> <li>Progress on the revision of 10 prepatent projects and conduct market research to determine their potential commerciality;</li> <li>Draft on the progress of implementing commercialization of 2 priority projects.</li> <li>Content of both the internal portal</li> </ul>	20%	6 months after the start of the contract			

and external webpage.				
4-Report including the following:		9 months after the start of the contract		
- Progress on the revision of 10 pre-				
patent projects and conduct market				
research to determine their potential				
commerciality;				
- Draft on the progress of				
implementing commercialization of				
1 31 3 /	20%			
- Outreach and marketing activities to				
promote projects and attract relevant				
industry in the market.				
- National workshop based on the				
office need to present the findings				
and build national capacity				
5-Final report with the following:		At the end of		
- Report with final SOPs, suggested		the contract		
disclosure process, and				
commercialization process, along				
with the outreach and marketing				
activities.				
- Hands-on training provided	30%			
throughout the contract period to				
staff at the patent's office with proof				
of knowledge transfer;				
- Report with the support to ad-hoc				
requests from the staff at the patent's				
office;				
Final recommendations with next steps.				