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## REVISED CONTRACT DURATION PERIOD AND EXTENDED CLOSING DEADLINE

### INTERNATIONAL INDIVIDUAL CONSULTANT ON FINANCING STRATEGY FOR KENYA'S NATIONALLY DETERMINED CONTRIBUTION (NDC)

<b>Job ID/Title:</b>	Consultancy on financing strategy for Kenya's Nationally Determined Contribution (NDC)
<b>Duty Station:</b>	Home based with 1 mission of one week to Kenya
<b>Category:</b>	Individual Consultant
<b>Duration of Contract:</b>	<b>50 working days (spread within 5 months)</b>
<b>Deadline for Applications</b>	<b>11.59 P.M (GMT+3.00) on Monday, 11 February 2019</b>
<b>Reference Number</b>	KEN-IC-2019-003 – Financing Strategy for Nationally Determined Contribution (NDC)

#### 1. Background

Climate change has adverse impacts on Kenya's socio- economic development and threatens the realisation of our Vision 2030 goals of creating a competitive and prosperous nation with a high quality of life. The country's economy is highly dependent on natural resources, meaning that recurring droughts, erratic rainfall patterns and floods will continue to negatively impact livelihoods and community assets.

Kenya has little historical or current responsibility for global climate change; the country's GHG emissions represent less than 0.1% of total global emissions. While adaptation is the priority for Kenya, action is needed to reduce GHG emissions that are projected to increase due to population and economic growth with 75% are from the land use, land-use change and forestry (LULUCF) and agriculture sector.

Kenya's Nationally Determined Contributions (NDC) under the Paris Agreement of the UN Climate Change Convention (UNFCCC) includes mitigation and adaptation actions. On adaptation, Kenya plans to ensure enhanced resilience to climate change towards the attainment of Vision 2030 by mainstreaming climate change into the Medium Term Plans

(MTPs) and implementing adaptation actions. In mitigation, Kenya seeks to abate its GHG emissions by 30% by 2030 relative to the BAU scenario of 143 MtCO<sub>2</sub>eq. Achievement of the NDC will require both international and domestic support and investment in the form of finance, technology development and capacity building from public and private sectors.

The Country has put in place comprehensive policy, legal and policy frameworks for enhanced and coordinated climate actions. These include; the National climate change framework policy, National policy on climate finance, climate change Act and other specific strategies and action plans. The Climate Change Act in 2016, in particular provides an impetus towards planning, funding and implementing of the NDC and emphasizes on the need for gender mainstreaming in climate change actions. The Act requires the Government to develop five-year National Climate Change Action Plans (NCCAP) to guide the mainstreaming of adaptation and mitigation actions into sector functions of the National and County Governments.

Kenya is finalizing the development of its second National Climate Change Action Plan (NCCAP) for the period 2018-2022 with a vision of low carbon climate resilient development. The NCCAP is the framework for implementing Kenya's NDC during the planning period. The NCCAP elaborate priority actions across seven strategic intervention areas and enabling actions across sectors. Meeting the targets and implementing the priority actions will require significant support, investments and partnerships and technology innovations for the implementation of the actions and the achievement of the goal of a low carbon climate resilient pathway.

The Low Emission and Climate Resilient Development (LECRD) project supported the development of the second NCCAP and the NDC. The project has received additional funding through UNDP NDC Support Programme that will facilitate implementation of the NDC and the Climate Change Act, 2016. Through this window of funding a gender lens will be applied to enable a more gender-responsive approach to the NDC planning and implementation processes thereby enriching national and county level outcomes.

The Ministry of Environment and Forestry through UNDP under the NDC Support Programme of LECRD is seeking to recruit an expert with international experience on climate finance to assist in developing a financing strategy for the Kenya's NDC.

## **2. Objective the assignment**

The main objective of the assignment is to develop a comprehensive financing strategy for Kenya's NDC. The assignment will build on the work done under the NCCAP 2018-2022 and related ATAR and MTAR reports by assessing the priority actions across key sectors, the levels of ambition including budgets provided through the government and financing gaps that require international support to facilitate Kenya to be on track to achieve the NDC target. Further, details on the critical role of the private sector in financing the transformation of Kenya towards a low carbon society will be addressed in the financing strategy.

### **3. Scope of Work**

The consultant will elaborate the Financing Strategy for Kenya's NDC. The assignment will involve the following:

- a) Costing of the priority actions - In view of the priority actions identified in the NCCAP 2018-2022, prepare costing details with clear source references. The costs should specify funding available through government planned expenditure in the Third Medium Term Plan (MTP III) and the second generation of County Integrated Development Plans (CIDPs) by county government.
- b) Assess funding gaps that require international support and private sector investments to enable Kenya to be on track to reaching the targets under the NDC.
- c) Prepare NDC financing strategy that should also identify different options to cover gaps in the funding of the priority climate change activities. The financing options could include international climate financing through international funds, the cooperative approaches under Article 6.2 of the Paris Agreement, carbon markets as defined in Article 6.4 of the Paris Agreement and private sector financing. The consultant should identify potential investment opportunities for private sector implementation of the NCCAP, barriers for private sector investment and recommendations to address the barriers. The potential role of Kenya Climate Fund once operational, role of Green and Climate Bonds will also be considered.
- d) Prepare detailed implementation steps for the financing strategy. This should include a stakeholder mapping to identify the stakeholders implementing the actions, responsibilities of the different stakeholders and realistic timelines to achieve the priority actions identified in the NCCAP 2018-2022 over the short, medium and long-term.
- e) Identify capacity gaps and develop a related capacity building plan for public and private sector entities. This could include recommendations for raising awareness and providing trainings on business plans and innovative financing for climate priority actions under the NCCAP with the ultimate goal to strengthen public private partnerships to deliver on the climate change goals.

This work will require a thorough examination of the relevant national documents including NDC, NCCAP and the related MTAR and ATAR reports, NDC sector analysis reports, MTP III, MTEF, Climate finance Expenditure Review Report among others.

The consultant is also expected to engage in depth discussions with key sector ministries and institutions under the NDC including the National Treasury and the private sector through series of meetings and workshops.

The consultant will also present the findings to stakeholders' meeting at a national validation workshop, through the coordination of the Climate Change Directorate of the Ministry of Environment and Forestry.

#### 4. Expected outputs and Deliverables

The consultant will prepare and submit the following reports:

No.	Deliverables	Timelines	Payments
1	An inception report detailing methodology on costing, work plan, timeline, a list of national government institutions, civil society stakeholders, academia, private sector to be consulted.	Within 1 week after contract signing	10 % upon submission and clearance of the inception report
2	A first draft of the financing strategy for the NCCAP including costing details, funding gap, stakeholders' mapping and implementation strategy.	Within 7 weeks after contract signing	30 % upon submission and clearance of the first draft of the NDC Financing strategy
3	A second draft of the Financing strategy including the outcomes of the multi-stakeholder consultative process in at least 6 priority sectors and reports of consultations.	Within 2 months after contract signing	20% upon submission and clearance of the second draft of the Financing strategy
4	A final comprehensive and validated financing strategy report covering key aspects of the strategy covered in the scope of work and clear recommendations for the next steps for actions by the public and private sector. The consultant should also develop a power point presentation and a synthesis of the findings in the form of a matrix, flowchart or any other format as required by UNDP and the Government.	Within 2 months after contract signing	40% upon delivery and clearance of the final NCCAP financing strategy report and associated tools and workshop reports

## **5. Duty Station, Travel and Institutional Arrangement:**

- Duty station: Home based with 1 mission of one week to Kenya;
- The consultant will be given access to relevant information necessary for execution of the tasks under this assignment;
- The consultant will be responsible for providing her/his own working station (i.e. secretariat, laptop, internet, phone, scanner/printer, etc.) and must have access to reliable internet connection;
- The consultant is expected to be available for consultations and be in reliable email contact for a set number of hours that align with Government/UNDP business hours;
- The consultant will carry out his/her tasks under the overall guidance of the LECRD/NDC Project Coordinator, Climate Change Directorate of the Ministry of Environment and Forestry and UNDP;
- The consultant will report via email, Skype calls to the Task Manager; Payments will be made based on the agreed financial proposal (contract is based on daily fee) and released upon submission of a certificate of payment request, indicating deliverables achieved and days worked to be verified and cleared for payment by the LECRD/NDC Project Coordinator.

## **6. Minimum required qualifications and competencies for the consultancy**

1. At least a Master's degree in a relevant field such as economics, finance, business administration or related areas. At least 10 years' experience in climate change finance in developing countries.
2. Proven understanding of the Global and National Climate Finance landscape and procedures, multilateral funds and the other channels including the role of private sector in climate finance.
3. Thorough understanding of low carbon finance in developing countries, investment risks and barriers and proven expertise in designing financing mechanisms that address investment risks.
4. Demonstrated ability to raise finance and close financial deals for low carbon and climate resilience finance in developing countries.
5. Excellent communication skills with ability to express ideas clearly, concisely and effectively, both orally and in writing.
6. Fluency in English.

### ***Other Considerations***

UNDP may request examples of past publications from applicants as part of the evaluation process.

### **Criteria for Selection of the Best Offer:**

Only those candidates that meet the minimum level of education and relevant years of experience requirements will be considered for the technical evaluation. The technical evaluation will include a desk review to select the shortlisted candidates. The technical evaluation may also include interviews with shortlisted candidate(s).

The selection of the best offer from the shortlisted candidates will be based on a Combined Scoring method – where the technical evaluation (desk review and interview) will be weighted a maximum of 70 points, and combined with the price offer which will be weighted a maximum of 30 points. The 70 points rating shall be based on how well the Offer- or meets the minimum qualifications/competencies described above.

The **technical evaluation** will be based on the following criteria with the corresponding points (out of a total 70 points):

Criteria 1: Understanding of the Scope of Work (SoW); comprehensiveness of the methodology/approach; and organization and completeness of the proposal (15 points)

Criteria 2: At least a Master's degree in a relevant field such as economics, finance, business administration or related areas. (10Points).

Criteria 3: Proven understanding of the Global and National Climate Finance landscape and procedures, multilateral funds and the other channels including the role of private sector in climate finance. At least 10 years' experience in climate change finance in developing countries (20 points).

Criteria 3: Thorough understanding of low carbon finance in developing countries, investment risks and barriers and proven expertise in designing financing mechanisms that address investment risks (15 Points).

Criteria 4: Demonstrated ability to raise finance and close financial deals for low carbon and climate resilience finance in developing countries (10 Points).

**Only candidates obtaining a minimum (70%) on technical evaluation will be considered for the Financial Evaluation.**

### **Financial evaluation (maximum 30 points):**

The following formula will be used to evaluate financial proposal:

$p = y (\mu/z)$ , where

p = points for the financial proposal being evaluated  
y = maximum number of points for the financial proposal  
 $\mu$  = price of the lowest priced proposal  
z = price of the proposal being evaluated

### **Submission of the Financial Proposal**

Applicants are instructed to submit their daily rate financial proposals in USD. using the financial proposal template provided (Offerors letter to UNDP)

The financial proposal should be all-inclusive and include a breakdown. The term ‘all-inclusive’ implies that all costs (professional fees, travel related expenses, communications, utilities, consumables, insurance, etc.) that could possibly be incurred by the Contractor are already factored into the financial proposal.

### **7. Application process.**

Interested and qualified candidates should submit their applications which should include the following:

1. Detailed Curriculum Vitae
2. Proposal for implementing the assignment - template provided
3. Offerors letter to UNDP- template provided

**Note: The successful applicant will be required to complete a UNDP Personal History Form (P11) form prior to contracting.**

Applications should be sent to [consultants.ken@undp.org](mailto:consultants.ken@undp.org) to reach us not later than **11.59 P.M (GMT+3.00) on Monday, 11 February 2019**

Please quote **KEN-IC-2019-003 – NDC Financing Strategy** on the subject line.

Firms are not eligible for this consultancy assignment. Open to international individual consultants only (NB. International implies experience and NOT Nationality).

**DO NOT COPY ANY OTHER RECIPIENT**