

REQUEST FOR PROPOSAL

FORMULATION OF IMPLEMENTATION PLANS TO ASSIST HIRSHABELLE AND SOUTH WEST STATES ESTABLISH ACCOUNTABLE AND REPRESENTATIVE LOCAL GOVERNMENT INSTITUTIONS ALONG THE MAIN COMMERCIAL CORRIDORS.

RFP No.: UNDP/SOM/JPLG/017/2019

Project: United Nations Joint Programme on Local Governance

Country: Somalia

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SECTION 1. LETTER OF INVITATION

The United Nations Development Programme (UNDP) hereby invites you to submit a Proposal to this Request for Proposal (RFP) for the above-referenced subject.

This RFP includes the following documents and the General Terms and Conditions of Contract which is inserted in the Bid Data Sheet (BDS):

Section 1: This Letter of Invitation Section 2: Instruction to Bidders Section 3: Bid Data Sheet (BDS) Section 4: Evaluation Criteria Section 5: Terms of Reference Section 6: Returnable Bidding Forms

- o Form A: Technical Proposal Submission Form
- o Form B: Bidder Information Form
- o Form C: Joint Venture/Consortium/Association Information Form
- o Form D: Qualification Form
- o Form E: Format of Technical Proposal
- o Form F: Financial Proposal Submission Form
- o Form G: Financial Proposal Form

If you are interested in submitting a Proposal in response to this RFP, please prepare your Proposal in accordance with the requirements and procedure as set out in this RFP and submit it by the Deadline for Submission of Proposals set out in Bid Data Sheet.

Please acknowledge receipt of this RFP by sending an email to procurement.so@undp.org, indicating whether you intend to submit a Proposal or otherwise. You may also utilize the "Accept Invitation" function in eTendering system, where applicable. This will enable you to receive amendments or updates to the RFP. Should you require further clarifications, kindly communicate with the contact person/s identified in the attached Bid Data Sheet as the focal point for queries on this RFP.

UNDP looks forward to receiving your Proposal and thank you in advance for your interest in UNDP procurement opportunities.

Issued by:	Approved by:		
Name: Vivian Bonareri Okioga	Name: Iryna Malykh		
Title: Procurement Associate	Title: Head of Procurement		
Date: May 30, 2019	Date: May 30, 2019		

SECTION 2. INSTRUCTION TO BIDDERS

		COCTION TO BIDDERS
A. GENERAL PROVISIONS		
1. Introduction	1.1	Bidders shall adhere to all the requirements of this RFP, including any amendments in writing by UNDP. This RFP is conducted in accordance with the UNDP Programme and Operations Policies and Procedures (POPP) on Contracts and Procurement which can be accessed at https://popp.undp.org/SitePages/POPPBSUnit.aspx?TermID=254a9f96-b883-476a-8ef8-e81f93a2b38d
	1.2	Any Proposal submitted will be regarded as an offer by the Bidder and does not constitute or imply the acceptance of the Proposal by UNDP. UNDP is under no obligation to award a contract to any Bidder as a result of this RFP.
	1.3	As part of the bid, it is desired that the Bidder registers at the United Nations Global Marketplace (UNGM) website (www.ungm.org). The Bidder may still submit a bid even if not registered with the UNGM. However, if the Bidder is selected for contract award, the Bidder must register on the UNGM prior to contract signature.
2. Fraud & Corruption, Gifts and Hospitality	2.1	UNDP strictly enforces a policy of zero tolerance on proscribed practices, including fraud, corruption, collusion, unethical or unprofessional practices, and obstruction of UNDP vendors and requires all bidders/vendors observe the highest standard of ethics during the procurement process and contract implementation. UNDP's Anti-Fraud Policy can be found at http://www.undp.org/content/undp/en/home/operations/accountability/audit/officeof-audit-andinvestigation.html#anti
	2.2	Bidders/vendors shall not offer gifts or hospitality of any kind to UNDP staff members including recreational trips to sporting or cultural events, theme parks or offers of holidays, transportation, or invitations to extravagant lunches or dinners.
	2.3	In pursuance of this policy, UNDP (a) Shall reject a proposal if it determines that the selected bidder has engaged in any corrupt or fraudulent practices in competing for the contract in question; (b) Shall declare a vendor ineligible, either indefinitely or for a stated period of time, to be awarded a contract if at any time it determines that the vendor has engaged in any corrupt or fraudulent practices in competing for, or in executing a UNDP contract.
	2.4	All Bidders must adhere to the UN Supplier Code of Conduct, which may be found at http://www.un.org/depts/ptd/pdf/conduct_english.pdf
3. Eligibility	3.1	A vendor should not be suspended, debarred, or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization. Vendors are therefore required to disclose to UNDP whether they are subject to any sanction or temporary suspension imposed by these organizations.
	3.2	It is the Bidder's responsibility to ensure that its employees, joint venture members, sub-contractors, service providers, suppliers and/or their employees

		meet the eligibility requirements as established by UNDP.
4. Conflict of Interests	4.1	Bidders must strictly avoid conflicts with other assignments or their own interests, and act without consideration for future work. Bidders found to have a conflict of interest shall be disqualified. Without limitation on the generality of the above, Bidders, and any of their affiliates, shall be considered to have a conflict of interest with one or more parties in this solicitation process, if they:
	4.2	 a) Are or have been associated in the past, with a firm or any of its affiliates which have been engaged by UNDP to provide services for the preparation of the design, specifications, Terms of Reference, cost analysis/estimation, and other documents to be used for the procurement of the goods and services in this selection process; b) Were involved in the preparation and/or design of the programme/project related to the services requested under this RFP; or c) Are found to be in conflict for any other reason, as may be established by, or at the discretion of UNDP. In the event of any uncertainty in the interpretation of a potential conflict of interest, Bidders must disclose to UNDP, and seek UNDP's confirmation on whether or not such a conflict exists.
	4.3	Similarly, the Bidders must disclose in their proposal their knowledge of the following:
		 a) If the owners, part-owners, officers, directors, controlling shareholders, of the bidding entity or key personnel are family members of UNDP staff involved in the procurement functions and/or the Government of the country or any Implementing Partner receiving services under this RFP; and b) All other circumstances that could potentially lead to actual or perceived conflict of interest, collusion or unfair competition practices.
		Failure to disclose such an information may result in the rejection of the proposal or proposals affected by the non-disclosure.
	4.4	The eligibility of Bidders that are wholly or partly owned by the Government shall be subject to UNDP's further evaluation and review of various factors such as being registered, operated and managed as an independent business entity, the extent of Government ownership/share, receipt of subsidies, mandate and access to information in relation to this RFP, among others. Conditions that may lead to undue advantage against other Bidders may result in the eventual rejection of the Proposal.
B. PREPARATION O	F PRO	POSALS
5. General Considerations	5.1	In preparing the Proposal, the Bidder is expected to examine the RFP in detail. Material deficiencies in providing the information requested in the RFP may result in rejection of the Proposal.
	5.2	The Bidder will not be permitted to take advantage of any errors or omissions in the RFP. Should such errors or omissions be discovered, the Bidder must notify the UNDP
6. Cost of Preparation of	6.1	The Bidder shall bear any and all costs related to the preparation and/or submission of the Proposal, regardless of whether its Proposal was selected or not. UNDP shall not be responsible or liable for those costs, regardless of the

Proposal		conduct or outcome of the procurement process.
7. Language	7.1	The Proposal, as well as any and all related correspondence exchanged by the Bidder and UNDP, shall be written in the language (s) specified in the BDS.
8. Documents Comprising the Proposal	8.1	The Proposal shall comprise of the following documents:
		 a) Documents Establishing the Eligibility and Qualifications of the Bidder; b) Technical Proposal; c) Financial Proposal; d) Proposal Security, if required by BDS;
		e) Any attachments and/or appendices to the Proposal.
9. Documents Establishing the Eligibility and Qualifications of the Bidder	9.1	The Bidder shall furnish documentary evidence of its status as an eligible and qualified vendor, using the Forms provided under Section 6 and providing documents required in those forms. In order to award a contract to a Bidder, its qualifications must be documented to UNDP's satisfaction.
10. Technical Proposal Format	10.1	The Bidder is required to submit a Technical Proposal using the Standard Forms and templates provided in Section 6 of the RFP.
and Content	10.2	The Technical Proposal shall not include any price or financial information. A Technical Proposal containing material financial information may be declared non-responsive.
	10.3	Samples of items, when required as per Section 5, shall be provided within the time specified and unless otherwise specified by UNDP, and at no expense to UNDP
	10.4	When applicable and required as per Section 5, the Bidder shall describe the necessary training programme available for the maintenance and operation of the services and/or equipment offered as well as the cost to the UNDP. Unless otherwise specified, such training as well as training materials shall be provided in the language of the Bid as specified in the BDS.
11. Financial Proposals	11.1	The Financial Proposal shall be prepared using the Standard Form provided in Section 6 of the RFP. It shall list all major cost components associated with the services, and the detailed breakdown of such costs.
	11.2	Any output and activities described in the Technical Proposal but not priced in the Financial Proposal, shall be assumed to be included in the prices of other activities or items, as well as in the final total price.
	11.3	Prices and other financial information must not be disclosed in any other place except in the financial proposal.
12. Proposal Security	12.1	A Proposal Security, if required by BDS, shall be provided in the amount and form indicated in the BDS. The Proposal Security shall be valid up to thirty (30) days after the final date of validity of the Proposal.
	12.2	The Proposal Security shall be included along with the Technical Proposal. If Proposal Security is required by the RFP but is not found along with the Technical Proposal, the Proposal shall be rejected.

12.3 If the Proposal Security amount or its validity period is found to be less than what is required by UNDP, UNDP shall reject the Proposal. 12.4 In the event an electronic submission is allowed in the BDS, Bidders shall include a copy of the Bid Security in their proposal and the original of the Proposal Security must be sent via courier or hand delivery as per the instructions in BDS. 12.5 The Proposal Security may be forfeited by UNDP, and the Proposal rejected, in the event of any one or combination, of the following conditions: a) If the Bidder withdraws its offer during the period of the Proposal Validity specified in the BDS, or; b) In the event that the successful Bidder fails: to sign the Contract after UNDP has issued an award; or 12.6 to furnish the Performance Security, insurances, or other documents that UNDP may require as a condition precedent to the effectivity of the contract that may be awarded to the Bidder. *13*. Currencies 13.1 All prices shall be quoted in the currency or currencies indicated in the BDS. Where Proposals are quoted in different currencies, for the purposes of comparison of all Proposals: a) UNDP will convert the currency quoted in the Proposal into the UNDP preferred currency, in accordance with the prevailing UN operational rate of exchange on the last day of submission of Proposals; and b) In the event that UNDP selects a proposal for award that is quoted in a currency different from the preferred currency in the BDS, UNDP shall reserve the right to award the contract in the currency of UNDP's preference, using the conversion method specified above. 14.1 If the Bidder is a group of legal entities that will form or have formed a Joint 14. Joint Venture, Consortium or Venture (JV), Consortium or Association for the Proposal, they shall confirm in their Proposal that: (i) they have designated one party to act as a lead entity, Association duly vested with authority to legally bind the members of the JV, Consortium or Association jointly and severally, which shall be evidenced by a duly notarized Agreement among the legal entities, and submitted with the Proposal; and (ii) if they are awarded the contract, the contract shall be entered into, by and between UNDP and the designated lead entity, who shall be acting for and on behalf of all the member entities comprising the joint venture. 14.2 After the Deadline for Submission of Proposal, the lead entity identified to represent the JV, Consortium or Association shall not be altered without the prior written consent of UNDP. 14.3 The lead entity and the member entities of the JV, Consortium or Association shall abide by the provisions of Clause 9 herein in respect of submitting only one proposal. 14.4 The description of the organization of the JV, Consortium or Association must clearly define the expected role of each of the entity in the joint venture in delivering the requirements of the RFP, both in the Proposal and the JV, Consortium or Association Agreement. All entities that comprise the JV, Consortium or Association shall be subject to the eligibility and qualification assessment by UNDP.

14.5 A JV, Consortium or Association in presenting its track record and experience should clearly differentiate between: a) Those that were undertaken together by the JV, Consortium or Association; b) Those that were undertaken by the individual entities of the JV, Consortium or Association. 14.6 Previous contracts completed by individual experts working privately but who are permanently or were temporarily associated with any of the member firms cannot be claimed as the experience of the JV, Consortium or Association or those of its members, but should only be claimed by the individual experts themselves in their presentation of their individual credentials. 14.7 JV, Consortium or Associations are encouraged for high value, multi-sectoral requirements when the spectrum of expertise and resources required may not be available within one firm. *15*. Only One 15.1 The Bidder (including the individual members of any Joint Venture) shall submit only one Proposal, either in its own name or as part of a Joint Venture. Proposal 15.2 Proposals submitted by two (2) or more Bidders shall all be rejected if they are found to have any of the following: a) they have at least one controlling partner, director or shareholder in common; or b) any one of them receive or have received any direct or indirect subsidy from the other/s; or they have the same legal representative for purposes of this RFP; or d) they have a relationship with each other, directly or through common third parties, that puts them in a position to have access to information about, or influence on the Proposal of, another Bidder regarding this RFP process; e) they are subcontractors to each other's Proposal, or a subcontractor to one Proposal also submits another Proposal under its name as lead Bidder; or some key personnel proposed to be in the team of one Bidder participates in more than one Proposal received for this RFP process. This condition relating to the personnel, does not apply to subcontractors being included in more than one Proposal. **Proposal** Proposals shall remain valid for the period specified in the BDS, commencing on 16. the Deadline for Submission of Proposals. A Proposal valid for a shorter period Validity Period may be rejected by UNDP and rendered non-responsive. 16.2 During the Proposal validity period, the Bidder shall maintain its original Proposal without any change, including the availability of the Key Personnel, the proposed rates and the total price. *17*. Extension of 17.1 In exceptional circumstances, prior to the expiration of the proposal validity period, UNDP may request Bidders to extend the period of validity of their Proposal Validity Proposals. The request and the responses shall be made in writing, and shall be Period considered integral to the Proposal. 17.2 If the Bidder agrees to extend the validity of its Proposal, it shall be done without

		any change in the original Proposal.
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	17.3	The Bidder has the right to refuse to extend the validity of its Proposal, and in which case, such Proposal will not be further evaluated.
18. Clarification of Proposal	18.1	Bidders may request clarifications on any of the RFP documents no later than the date indicated in the BDS. Any request for clarification must be sent in writing in the manner indicated in the BDS. If inquiries are sent other than specified channel, even if they are sent to a UNDP staff member, UNDP shall have no obligation to respond or confirm that the query was officially received.
	18.2	UNDP will provide the responses to clarifications through the method specified in the BDS.
	18.3	UNDP shall endeavor to provide responses to clarifications in an expeditious manner, but any delay in such response shall not cause an obligation on the part of UNDP to extend the submission date of the Proposals, unless UNDP deems that such an extension is justified and necessary.
19. Amendment of Proposals	19.1	At any time prior to the deadline of Proposal submission, UNDP may for any reason, such as in response to a clarification requested by a Bidder, modify the RFP in the form of an amendment to the RFP. Amendments will be made available to all prospective bidders.
	19.2	If the amendment is substantial, UNDP may extend the Deadline for submission of proposal to give the Bidders reasonable time to incorporate the amendment into their Proposals.
20. Alternative Proposals	20.1	Unless otherwise specified in the BDS, alternative proposals shall not be considered. If submission of alternative proposal is allowed by BDS, a Bidder may submit an alternative proposal, but only if it also submits a proposal conforming to the RFP requirements. UNDP shall only consider the alternative proposal offered by the Bidder whose conforming proposal ranked the highest as per the specified evaluation method. Where the conditions for its acceptance are met, or justifications are clearly established, UNDP reserves the right to award a contract based on an alternative proposal.
	20.2	If multiple/alternative proposals are being submitted, they must be clearly marked as "Main Proposal" and "Alternative Proposal"
21. Pre-Bid Conference	21.1	When appropriate, a Bidder's conference will be conducted at the date, time and location specified in the BDS. All Bidders are encouraged to attend. Non-attendance, however, shall not result in disqualification of an interested Bidder. Minutes of the Bidder's conference will be disseminated on the procurement website and shared by email or on the e-Tendering platform as specified in the BDS. No verbal statement made during the conference shall modify the terms and conditions of the RFP, unless specifically incorporated in the Minutes of the Bidder's Conference or issued/posted as an amendment to RFP.
C. SUBMISSION AND OPENING OF PROPOSALS		

22. 22.1 The Bidder shall submit a duly signed and complete Proposal comprising the Submission documents and forms in accordance with the requirements in the BDS. The submission shall be in the manner specified in the BDS. 22.2 The Proposal shall be signed by the Bidder or person(s) duly authorized to commit the Bidder. The authorization shall be communicated through a document evidencing such authorization issued by the legal representative of the bidding entity, or a Power of Attorney, accompanying the Proposal. 22.3 Bidders must be aware that the mere act of submission of a Proposal, in and of itself, implies that the Bidder fully accepts the UNDP General Contract Terms and Conditions. Hard copy (manual) Hard copy (manual) submission by courier or hand delivery allowed or specified submission in the BDS shall be governed as follows: a) The signed Proposal shall be marked "Original", and its copies marked "Copy" as appropriate. The number of copies is indicated in the BDS. All copies shall be made from the signed original only. If there are discrepancies between the original and the copies, the original shall prevail. b) The Technical Proposal and the Financial Proposal envelopes MUST BE COMPLETELY SEPARATE and each of them must be submitted sealed individually and clearly marked on the outside as either "TECHNICAL PROPOSAL" or "FINANCIAL PROPOSAL", as appropriate. Each envelope SHALL clearly indicate the name of the Bidder. The outer envelopes shall: i. Bear the name and address of the bidder; ii. Be addressed to UNDP as specified in the BDS iii. Bear a warning that states "Not to be opened before the time and date for proposal opening" as specified in the BDS. If the envelopes and packages with the Proposal are not sealed and marked as required, UNDP shall assume no responsibility for the misplacement, loss, **Email Submission** or premature opening of the Proposal. 22.5 Email submission, if allowed or specified in the BDS, shall be governed as follows: a) Electronic files that form part of the proposal must be in accordance with the format and requirements indicated in BDS; b) The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE. The financial proposal shall be encrypted with different passwords and clearly labelled. The files must be sent to the

dedicated email address specified in the BDS.

c) The password for opening the Financial Proposal should be provided only upon request of UNDP. UNDP will request password only from bidders

eTendering submission		whose Technical Proposal has been found to be technically responsive. Failure to provide correct password may result in the proposal being rejected.
	22.6	Electronic submission through eTendering, if allowed or specified in the BDS, shall be governed as follows:
		a) Electronic files that form part of the proposal must be in accordance with the format and requirements indicated in BDS;
		b) The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE and each of them must be uploaded individually and clearly labelled.
		d) The Financial Proposal file must be encrypted with a password so that it cannot be opened nor viewed until the password is provided. The password for opening the Financial Proposal should be provided only upon request of UNDP. UNDP will request password only from bidders whose technical proposal has been found to be technically responsive. Failure to provide the correct password may result in the proposal being rejected.
		c) Documents which are required to be in original form (e.g. Bid Security, etc.) must be sent via courier or hand delivery as per the instructions in BDS.
		d) Detailed instructions on how to submit, modify or cancel a bid in the eTendering system are provided in the eTendering system Bidder User Guide and Instructional videos available on this link: http://www.undp.org/content/undp/en/home/operations/procurement/business/procurement-notices/resources/
23. Deadline for Submission of Proposals and Late	23.1	Complete Proposals must be received by UNDP in the manner, and no later than the date and time, specified in the BDS. UNDP shall only recognize the date and time that the bid was received by UNDP
Proposals	23.2	UNDP shall not consider any Proposal that is submitted after the deadline for the submission of Proposals.
24. Withdrawal, Substitution, and	24.1	A Bidder may withdraw, substitute or modify its Proposal after it has been submitted at any time prior to the deadline for submission.
Modification of Proposals	24.2	Manual and Email submissions: A bidder may withdraw, substitute or modify its Proposal by sending a written notice to UNDP, duly signed by an authorized representative, and shall include a copy of the authorization (or a Power of Attorney). The corresponding substitution or modification of the Proposal, if any, must accompany the respective written notice. All notices must be submitted in the same manner as specified for submission of proposals, by clearly marking them as "WITHDRAWAL" "SUBSTITUTION," or "MODIFICATION"
	24.3	eTendering: A Bidder may withdraw, substitute or modify its Proposal by Canceling, Editing, and re-submitting the proposal directly in the system. It is the responsibility of the Bidder to properly follow the system instructions, duly edit and submit a substitution or modification of the Proposal as needed. Detailed instructions on how to cancel or modify a Proposal directly in the system are provided in Bidder User Guide and Instructional videos.
	24.4	Proposals requested to be withdrawn shall be returned unopened to the Bidders

	(only for manual submissions), except if the bid is withdrawn after the bid has been opened
25. Proposal Opening	25.1 There is no public bid opening for RFPs. UNDP shall open the Proposals in the presence of an ad-hoc committee formed by UNDP, consisting of at least two (2) members. In the case of e-Tendering submission, bidders will receive an automatic notification once their proposal is opened.
D. EVALUATION OF	PROPOSALS
26. Confidentiality	26.1 Information relating to the examination, evaluation, and comparison of Proposals, and the recommendation of contract award, shall not be disclosed to Bidders or any other persons not officially concerned with such process, even after publication of the contract award.
	Any effort by a Bidder or anyone on behalf of the Bidder to influence UNDP in the examination, evaluation and comparison of the Proposals or contract award decisions may, at UNDP's decision, result in the rejection of its Proposal and may be subject to the application of prevailing UNDP's vendor sanctions procedures.
27. Evaluation of Proposals	27.1 The Bidder is not permitted to alter or modify its Proposal in any way after the proposal submission deadline except as permitted under Clause 24 of this RFP. UNDP will conduct the evaluation solely on the basis of the submitted Technical and Financial Proposals.
	 Evaluation of proposals is made of the following steps: a) Preliminary Examination b) Minimum Eligibility and Qualification (if pre-qualification is not done) c) Evaluation of Technical Proposals d) Evaluation of Financial Proposals
28. Preliminary Examination	28.1 UNDP shall examine the Proposals to determine whether they are complete with respect to minimum documentary requirements, whether the documents have been properly signed, and whether the Proposals are generally in order, among other indicators that may be used at this stage. UNDP reserves the right to reject any Proposal at this stage.
29. Evaluation of Eligibility and Qualification	29.1 Eligibility and Qualification of the Bidder will be evaluated against the Minimum Eligibility/Qualification requirements specified in the Section 4 (Evaluation Criteria).
	 In general terms, vendors that meet the following criteria may be considered qualified: a) They are not included in the UN Security Council 1267/1989 Committee's list of terrorists and terrorist financiers, and in UNDP's ineligible vendors' list; b) They have a good financial standing and have access to adequate financial resources to perform the contract and all existing commercial commitments, c) They have the necessary similar experience, technical expertise, production capacity where applicable, quality certifications, quality assurance procedures and other resources applicable to the provision of the services required;

- d) They are able to comply fully with UNDP General Terms and Conditions of Contract;
- e) They do not have a consistent history of court/arbitral award decisions against the Bidder; and
- f) They have a record of timely and satisfactory performance with their clients.

30. Evaluation of Technical and Financial Proposals

- 30.1 The evaluation team shall review and evaluate the Technical Proposals on the basis of their responsiveness to the Terms of Reference and other RFP documents, applying the evaluation criteria, sub-criteria, and point system specified in the Section 4 (Evaluation Criteria). A Proposal shall be rendered non-responsive at the technical evaluation stage if it fails to achieve the minimum technical score indicated in the BDS. When necessary and if stated in the BDS, UNDP may invite technically responsive bidders for a presentation related to their technical proposals. The conditions for the presentation shall be provided in the bid document where required.
- 30.2 In the second stage, only the Financial Proposals of those Bidders who achieve the minimum technical score will be opened for evaluation. The Financial Proposals corresponding to Technical Proposals that were rendered non-responsive shall remain unopened, and, in the case of manual submission, be returned to the Bidder unopened. For emailed Proposals and e-tendering submissions, UNDP will not request for the password of the Financial Proposals of bidders whose Technical Proposal were found not responsive.
- 30.3 The evaluation method that applies for this RFP shall be as indicated in the BDS, which may be either of two (2) possible methods, as follows: (a) the lowest priced method which selects the lowest evaluated financial proposal of the technically responsive Bidders; or (b) the combined scoring method which will be based on a combination of the technical and financial score.
- 30.4 When the BDS specifies a combined scoring method, the formula for the rating of the Proposals will be as follows:

Rating the Technical Proposal (TP):

TP Rating = (Total Score Obtained by the Offer / Max. Obtainable Score for TP) x 100

Rating the Financial Proposal (FP):

FP Rating = (Lowest Priced Offer / Price of the Offer Being Reviewed) x 100

Total Combined Score:

Combined Score = (TP Rating) x (Weight of TP, e.g. 70%) + (FP Rating) x (Weight of FP, e.g., 30%)

31. Due Diligence

31.1 UNDP reserves the right to undertake a due diligence exercise, also called post qualification, aimed at determining to its satisfaction, the validity of the information provided by the Bidder. Such exercise shall be fully documented and may include, but need not be limited to, all or any combination of the

	following:
	 a) Verification of accuracy, correctness and authenticity of information provided by the Bidder; b) Validation of extent of compliance to the RFP requirements and evaluation criteria based on what has so far been found by the evaluation team; c) Inquiry and reference checking with Government entities with jurisdiction on the Bidder, or with previous clients, or any other entity that may have done business with the Bidder; d) Inquiry and reference checking with previous clients on the performance on on-going or contracts completed, including physical inspections of previous works, as necessary; e) Physical inspection of the Bidder's offices, branches or other places where business transpires, with or without notice to the Bidder; f) Other means that UNDP may deem appropriate, at any stage within the selection process, prior to awarding the contract.
32. Clarification of Proposals	32.1 To assist in the examination, evaluation and comparison of Proposals, UNDP may, at its discretion, ask any Bidder for a clarification of its Proposal.
	32.2 UNDP's request for clarification and the response shall be in writing and no change in the prices or substance of the Proposal shall be sought, offered, or permitted, except to provide clarification, and confirm the correction of any arithmetic errors discovered by UNDP in the evaluation of the Proposals, in accordance with RFP.
	32.3 Any unsolicited clarification submitted by a Bidder in respect to its Proposal, which is not a response to a request by UNDP, shall not be considered during the review and evaluation of the Proposals.
33. Responsiveness of Proposal	33.1 UNDP's determination of a Proposal's responsiveness will be based on the contents of the Proposal itself. A substantially responsive Proposal is one that conforms to all the terms, conditions, TOR and other requirements of the RFP without material deviation, reservation, or omission.
	33.2 If a Proposal is not substantially responsive, it shall be rejected by UNDP and may not subsequently be made responsive by the Bidder by correction of the material deviation, reservation, or omission.
34. Nonconformitie s, Reparable Errors and	34.1 Provided that a Proposal is substantially responsive, UNDP may waive any non-conformities or omissions in the Proposal that, in the opinion of UNDP, do not constitute a material deviation.
Omissions	34.2 UNDP may request the Bidder to submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities or omissions in the Proposal related to documentation requirements. Such omission shall not be related to any aspect of the price of the Proposal. Failure of the Bidder to comply with the request may result in the rejection of its Proposal.
	34.3 For Financial Proposal that has been opened, UNDP shall check and correct arithmetical errors as follows:
	 a) if there is a discrepancy between the unit price and the line item total that is obtained by multiplying the unit price by the quantity, the unit price

	shall prevail and the line item total shall be corrected, unless in the opinion of UNDP there is an obvious misplacement of the decimal point in the unit price; in which case the line item total as quoted shall govern and the unit price shall be corrected; b) if there is an error in a total corresponding to the addition or subtraction of subtotals, the subtotals shall prevail and the total shall be corrected; and c) if there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail. 34.4 If the Bidder does not accept the correction of errors made by UNDP, its Proposal shall be rejected.
E. AWARD OF CONT	RACT
35. Right to Accept, Reject, Any or All Proposals	35.1 UNDP reserves the right to accept or reject any Proposal, to render any or all of the Proposals as non-responsive, and to reject all Proposals at any time prior to award of contract, without incurring any liability, or obligation to inform the affected Bidder(s) of the grounds for UNDP's action. UNDP shall not be obliged to award the contract to the lowest priced offer.
36. Award Criteria	36.1 Prior to expiration of the proposal validity, UNDP shall award the contract to the qualified Bidder based on the award criteria indicated in the BDS.
37. Debriefing	37.1 In the event that a Bidder is unsuccessful, the Bidder may request a debriefing from UNDP. The purpose of the debriefing is to discuss the strengths and weaknesses of the Bidder's submission, in order to assist the Bidder in improving its future proposals for UNDP procurement opportunities. The content of other proposals and how they compare to the Bidder's submission shall not be discussed.
38. Right to Vary Requirements at the Time of Award	38.1 At the time of award of Contract, UNDP reserves the right to vary the quantity of services and/or goods, by up to a maximum twenty-five per cent (25%) of the total offer, without any change in the unit price or other terms and conditions.
39. Contract Signature	39.1 Within fifteen (15) days from the date of receipt of the Contract, the successful Bidder shall sign and date the Contract and return it to UNDP. Failure to do so may constitute sufficient grounds for the annulment of the award, and forfeiture of the Proposal Security, if any, and on which event, UNDP may award the Contract to the Second Ranked Bidder or call for new Proposals.
40. Contract Type and General Terms and Conditions	40.1 The types of Contract to be signed and the applicable UNDP Contract General Terms and Conditions, as specified in BDS, can be accessed at http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html
41. Performance Security	41.1 40.1 A performance security, if required in BDS, shall be provided in the amount specified in BDS and form available at https://popp.undp.org/layouts/15/WopiFrame.aspx?sourcedoc=/UNDP_POPP

	<u>DOCUMENT LIBRARY/Public/PSU Solicitation Performance%20Guarantee%20</u> <u>Form.docx&action=default</u> within fifteen (15) days of the contract signature by both parties. Where a performance security is required, the receipt of the performance security by UNDP shall be a condition for rendering the contract effective.
42. Bank Guarantee for Advanced Payment	42.1 Except when the interests of UNDP so require, it is UNDP's preference to make no advance payment(s) (i.e., payments without having received any outputs). If an advance payment is allowed as per BDS, and exceeds 20% of the total contract price, or USD 30,000, whichever is less, the Bidder shall submit a Bank Guarantee in the full amount of the advance payment in the form available at https://popp.undp.org/ layouts/15/WopiFrame.aspx?sourcedoc=/UNDP POPP DOCUMENT LIBRARY/Public/PSU Contract%20Management%20Payment%20 and%20Taxes Advanced%20Payment%20Guarantee%20Form.docx&action=de fault
43. Liquidated Damages	43.1 If specified in BDS, UNDP shall apply Liquidated Damages resulting from the Contractor's delays or breach of its obligations as per the Contract.
44. Payment Provisions	44.1 Payment will be made only upon UNDP's acceptance of the work performed. The terms of payment shall be within thirty (30) days, after receipt of invoice and certification of acceptance of work issued by the proper authority in UNDP with direct supervision of the Contractor. Payment will be effected by bank transfer in the currency of contract.
45. Vendor Protest	45.1 UNDP's vendor protest procedure provides an opportunity for appeal to those persons or firms not awarded a contract through a competitive procurement process. In the event that a Bidder believes that it was not treated fairly, the following link provides further details regarding UNDP vendor protest procedures: http://www.undp.org/content/undp/en/home/operations/procurement/business/protest-and-sanctions.html
46. Other Provisions	46.1 In the event that the Bidder offers a lower price to the host Government (e.g. General Services Administration (GSA) of the federal government of the United States of America) for similar services, UNDP shall be entitled to same lower price. The UNDP General Terms and Conditions shall have precedence.
	46.2 UNDP is entitled to receive the same pricing offered by the same Contractor in contracts with the United Nations and/or its Agencies. The UNDP General Terms and Conditions shall have precedence.
	46.3 The United Nations has established restrictions on employment of (former) UN staff who have been involved in the procurement process as per bulletin ST/SGB/2006/15 http://www.un.org/en/ga/search/view_doc.asp?symbol=ST/SGB/2006/15&referer

SECTION 3. BID DATA SHEET

The following data for the services to be procured shall complement, supplement, or amend the provisions in the Request for Proposals. In the case of a conflict between the Instructions to Bidders, the Data Sheet, and other annexes or references attached to the Data Sheet, the provisions in the Data Sheet shall prevail.

BDS No.	Ref. to Section.2	Data	Specific Instructions / Requirements
1	7	Language of the Proposal	English
2		Submitting Proposals for Parts or sub-parts of the TOR (partial bids)	Not Allowed
3	20	Alternative Proposals	Shall not be considered
4	21	Pre-proposal conference	Not Applicable
5	10	Proposal Validity Period	90 days
6	14	Bid Security	Not Required
7	41	Advanced Payment upon signing of contract	Not Allowed
8	42	Liquidated Damages	Will not be imposed
9	40	Performance Security	Not Required
10	18	Currency of Proposal	United States Dollar
11	31	Deadline for submitting requests for clarifications/ questions	5 (five) days before the submission deadline
12	31	Contact Details for submitting clarifications/questions	Focal Person in UNDP: Vivian Bonareri E-mail address: procurement.so@undp.org

13	18, 19 and 21	Manner of Disseminating Supplemental Information to the RFP and responses/clarifications to queries	Direct communication to prospective Proposers by email and Posting on the website UNGP and UNDP procurement website
14	23	Deadline for Submission	Thursday 20 th June 2019 at 13:00hrs, Somalia time (GMT+3)
14	22	Allowable Manner of Submitting Proposals	□ ✓ Submission by email ONLY
15	22	Proposal Submission Address	bids.so@undp.org
16	22	Electronic submission (email) requirements	 Format: PDF files only File names must be maximum 60 characters long and must not contain any letter or special character other than from Latin alphabet/keyboard. All files must be free of viruses and not corrupted. Password for financial proposal must not be provided to UNDP until requested by UNDP Max. File Size per transmission: 30 MB Mandatory subject of email: UNDP/SOM/JPLG/017/2019 FORMULATION OF IMPLEMENTATION PLANS TO ASSIST HIRSHABELLE AND SOUTH WEST STATES
17	27 36	Evaluation Method for the Award of Contract	Combined Scoring Method, using the 70%-30% distribution for technical and financial proposals respectively The minimum technical score required to pass is 70%.
18		Expected date for commencement of Contract	July 10, 2019
19		Maximum expected duration of contract	Four (4) Months
20	35	UNDP will award the contract to:	One Proposer Only
21	39	Type of Contract	Purchase Order and Contract for Goods and Services for UNDP

			http://www.undp.org/content/undp/en/home/procurement/busines s/how-we-buy.html
22	39	UNDP Contract Terms and Conditions that will apply	UNDP General Terms and Conditions for Professional Services http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html
23		Other Information Related to the RFP	N/A

SECTION 4. EVALUATION CRITERIA

Preliminary Examination Criteria

Proposals will be examined to determine whether they are complete and submitted in accordance with RFP requirements as per below criteria on a Yes/No basis:

- Appropriate signatures
- Power of Attorney
- Minimum documents provided
- Technical and Financial Proposals submitted separately
- Bid Validity

Minimum Eligibility and Qualification Criteria

Eligibility and Qualification will be evaluated on Pass/Fail basis.

If the Proposal is submitted as a Joint Venture/Consortium/Association, each member should meet minimum criteria, unless otherwise specified in the criterion.

Subject	Criteria	Document Submission requirement
ELIGIBILITY		
Legal Status	Vendor is a legally registered entity.	Form B: Bidder Information Form
Eligibility	Vendor is not suspended, nor debarred, nor otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization in accordance with ITB clause 3.	Form A: Technical Proposal Submission Form
Conflict of Interest	No conflicts of interest in accordance with ITB clause 4.	Form A: Technical Proposal Submission Form
Bankruptcy	Not declared bankruptcy, not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against the vendor that could impair its operations in the foreseeable future.	Form A: Technical Proposal Submission Form
QUALIFICATION		
History of Non- Performing Contracts ¹	Non-performance of a contract did not occur as a result of contractor default for the last 3 years.	Form D: Qualification Form
Litigation History	No consistent history of court/arbitral award decisions against the Bidder for the last 3 years.	Form D: Qualification Form
Previous	Minimum 5 years of relevant experience.	Form D: Qualification Form

¹ Non-performance, as decided by UNDP, shall include all contracts where (a) non-performance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Non-performance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Bidder have been exhausted.

Experience	At least 3 (three) contracts of similar value, nature and complexity implemented over the last 5 years. (For JV/Consortium/Association, all Parties cumulatively should meet requirement).	Form D: Qualification Form
Financial Standing	Bidder must demonstrate the current soundness of its financial standing to be assessed as follows: Quick ratio of not less than 1.0 to be calculated as Quick ratio = (current assets – inventories) / current liabilities. The figures will be obtained from the bidders latest audited financial statements. (For JV/Consortium/Association, all Parties cumulatively should meet requirement).	Form D: Qualification Form

Technical Evaluation Criteria

Summa	ary of Technical Proposal Evaluation Forms	Score Weight	Points Obtainable
1.	Expertise of Firm / Organization / Team	25%	250
2.	Proposed Methodology, Approach and Implementation Plan	50%	500
3.	Management Structure and Key Personnel	25%	250
Total			1000

Technical Proposal Evaluation Form 1		
	Expertise of the Firm/Organization	
1.1 Reputation of Organization Standing	on and Staff / Credibility / Reliability / Industry	30
- Financial stability - loose consortium - age/size of the fi - strength of proje - project financing - project managen - Ability to assign / Member States 1.3 Extent to which any work additional risks which ma	n, holding company or one firm rm ct management support capacity nent controls have personnel in Mogadishu and the Federal would be subcontracted (subcontracting carries by affect project implementation, but properly done	10
it offers a chance to acce 1.4 Quality assurance proced	,	50
1.5 Relevance of: - Specialised Knowledg - Experience on Simila - Experience on Project - Experience with gove - Experience with surv		100
Total Part 1	material of bilateral programmes	250

	Technical Proposal Evaluation Form 2		
	Proposed Methodology, Approach and Implementation Plan	Obtainable	
2.1	To what degree does the Contractor understand the task?	60	
2.2	Have the important aspects of the task been addressed in sufficient detail?	60	
2.3	Are the different components of the project adequately weighted relative to one another?	30	
2.4	Is the proposal based on a survey of the project environment and was this data input properly used in the preparation of the proposal?	80	
2.5	Is the conceptual framework adopted appropriate for the task?	90	
2.6	Is the scope of task well defined and does it correspond to the TOR?	120	
2.7	Is the presentation clear and is the sequence of activities and the planning logical, realistic and promise efficient implementation to the project?	60	
Total Part 2			

Tech	nical Proposal Evaluation		Points
Forn	Form 3		
3. M	anagement Structure and Key Personnel		
		Sub-score	
	General qualification		
	Suitability for the project		
	-Education	20	
	- Experience of governance and development projects / programmes	50	
	- Training experience in programme planning	20	
	- Professional experience in programme planning	70	
	- Knowledge of the region and specifically Somalia	40	
	- Language Qualifications	30	
	-Female staff	20	
Total Part 3			250

FORMULATION OF IMPLEMENTATION PLANS TO ASSIST HIRSHABELLE AND SOUTH WEST STATES ESTABLISH ACCOUNTABLE AND REPRESENTATIVE LOCAL GOVERNMENT INSTITUTIONS ALONG THE MAIN COMMERCIAL CORRIDORS.

JOINT PROGRAMME ON LOCAL GOVERNANCE AND DECENTRALISED SERVICE DELIVERY

PROJECT DESCRIPTION

The UN Joint Programme on Local Governance and Decentralized Service Delivery in Somalia (UN JPLG) is a five-year joint programme comprising of ILO, UNCDF, UNDP, UN-HABITAT and UNICEF.

The Programme's overall goal is "creating an enabling environment for improved service delivery and greater stability, through improved legal, policy and system frameworks – building the knowledge of those working in and with local governments." JPLG commenced in April 2008 and has now entered its third phase (2018-2023). It expanded geographically with average annual work-plans and budgets of USD 25 - 32M / year. JPLG works with the Federal Government of Somalia, the Governments of Puntland, Somaliland, South West, Jubbaland, Hirshabelle, Galmudug, local governments, communities and non-state actors.

Somalia remains fragile with continued conflict regularly adversely impacting the timely and efficient implementation of humanitarian, early recovery and stabilization interventions throughout the country. During the past two years, the country experienced a number of 'shocks' which greatly affected national and international stabilization and recovery efforts. In 2017-2018 international assistance was largely reoriented towards pulling the country back from mass famine, only to suffer from its single largest terrorist attack in its history, which was followed by a range of political crises that had a severe destabilizing effect on broad based efforts to strengthen the legitimacy of the political process at the federal and regional levels. Throughout this period, the programme has been working towards assisting communities to make a transition from chronic crisis towards longer term development initiatives, by helping them construct the basic institutions of local governance.

The programme's interventions require the existence of democratically selected local governments most of which have not yet been formed due to security and political challenges. Additionally, the fluid and volatile contexts of Hirshabelle and South West States do not lend themselves to a scientific sequencing of activities and more innovative ways are being sought to address the situation and ensure that more communities are accessed.

Nevertheless, certain preconditions are required for these new innovations to be implemented such as a) quick win projects for communities that lead to employment of women, youth and other marginalized populations that are prone to radicalization or to turn to criminal activities

- b) security mechanisms that work to ensure citizens are protected and that mutually beneficial statecitizen links are established / re-established
- c) building of local / grassroot governance institutions that ensure basis service delivery to all.

Integrated planning is a holistic and coordinated approach that addresses the needs of communities

through a consultative and partnership approach between the community, service providers, resource owners and other relevant stakeholders.

Consequently, the JPLG seeks to contract a firm to conduct assessments in order to analyze the political, social and economic trends, with attention to gender matters, of the 2 major economic corridors (Main supply routes from Mogadishu to Beletwene and Baidoa) and formulate harmonized implementation plans / to assist both states establish accountable and representative local government institutions along the main commercial routes. The districts to be reviewed are 3 in South West State (Burhakaba, Wanlaweyn and Afgoye districts) and 4 in Hirshabelle State (Bal'ad, Jowhar, Buloborte and Jalalqsi districts).

C. SCOPE OF SERVICES, EXPECTED OUTPUTS AND TARGET COMPLETION

Overall Objective

The overall objective is formulation of implementation plans that will be used to assist the Hirshabelle and South West states establish accountable and representative local government institutions along the main commercial corridors. This will be achieved through:

- Drafting of the needs assessment based on review of relevant material, interviews, meetings and analysis.
- Presenting the draft implementation plans to stakeholders (mainly government, donors and UN Agencies)
- Incorporating the agreed to comments / observations
- Sharing the final plans

Scope of Work and Expected Results

The assignment will include internal reviews and consultations with stakeholders. Consultations will involve structured discussions that ensure a wide range of stakeholders have a chance to make their contribution. It should ensure that Somali governments (local, state and central), UN agencies, Non-Governmental Organisations (NGOs), Donors, Civil Society, Women's organisations / associations, traditional and religious leaders, and the private sector in Federal Government of Somalia are consulted adequately.

The process will entail the following steps:

1. Conduct a desk review of existing and ongoing reports / documentation with special attention given to:

The Federal Government Somali (FGS)	Ministry of Interior, Federal Affairs and
	Reconciliation (MoIFAR)
JPLG Donors / International Partners	Ministry of Interior (MoI), State Level
UNJPLG Agencies	Joint Rule of Law Programme
Financial Management Information System	AMISOM
(FMIS) reports	
Somalia Stability Fund (SSF)	INGOs

Midnimo – IOM – UNHABITAT - UNDP	Transition plan
Finnish Church Aid (FCA)	Historical conflict mapping reports
Somali Youth Development Network (SOYDEN)	Wadajir Framework
Nordic International Support Foundation (NIS)	

- **2.** Prepare an inception report which will contain:
 - The conceptual framework for the assignment,
 - The assessment methodology which should at least include site visits, interviews, stakeholders
 - An implementation plan (timelines, key deliverables)
 - Identified challenges and recommendations on how to overcome them
- **3.** Conduct targeted field interviews or focus group discussions (FGDs) with (but not limited to):

Government officials operational in these districts	Projects engaged in stabilization
Mayors / District Commissioners and Deputies	Marginalised groups {women, youth, refugees, Internally Displaced Person (IDPs)}
Military and police commanders (AMISOM / States / SFG)	Donors
Prominent individuals –religious scholars, business people, Elders, political leaders, head teachers etc.	Other relevant stakeholders
Persons / entities engaged in the transition plan	

4. Profile local government institutions in the selected districts of South West State (Burhakaba, Wanlaweyn, Afgoye) and Hirshabelle State (Bal'ad, Jowhar, Buloborte, Jalalqsi). The broad analysis will cover, but not be limited to: -

POLITICAL
Number of local governments (LGs) or district councils (DCs)
Operational LGs / DCs
Process of forming LGs / DCs
Functions of LGs / DCs
Capacity of LGs / DCs to carry out their functions
Number of current staff
Number of target staff
LG / DC legislative framework
Source of staff salaries
Intergovernmental relationships – communications, infrastructure, technical, regulatory
Non-state actors (drivers of change) influencing LGs / DCs - security, service delivery;
recognition
Summary mapping of past, current and potential conflicts
Likelihood of further conflict due to lack of government intervention / basis services

ECONOMIC

Revenue sources for LGs / DCs

Revenue allocations to or from national government for LGs / DCs

Sources of income for the citizens

Avenues of expenditure for citizens

Businesses operating in the district

SOCIAL / SERVICE DELIVERY

Social services provided by LGs / DCs

Allocation of service delivery between levels of government and other actors – legislation

Adequacy of services provision – coverage, quality

Infrastructure and assets of LGs / DCs e.g. offices, schools, hospitals

Planning / Prioritization and budgeting mechanisms

District plans (operational projects for the last 12 months and the next 12 months)

PARTICIPATION / INCLUSION

Civic education / awareness

Existing structures or mechanisms for decision making – their effectiveness

Representation of marginalized groups (women, youth, refugees etc.) in LGs / DCs (staff or selected/elected)

Legislation on participation and inclusion

Community organizations by marginalized groups – involvement, outreach, effectiveness

THREATS

Mapping of past, current and potential conflicts

5. Gender, Youth and Marginalised

There is a need to pay special attention to the programme's and government's initiatives that will increasingly focus on gender, youth and marginalised groups and present a report on recommendations and opportunities for mainstreaming.

Deliverables / Expected Results

Deliverables/outputs	Estimated duration to complete	Target due dates	Review and Approval required	% of total professional fee
Inception report: A response to the ToR with the conceptual framework, methodology, detailed work plan, reporting structures, documents reviewed and to be reviewed and an indicative list of key stakeholders to be interviewed. (For discussion and approval by the JPLG)	10 days	18 th July 2019		15%
Key findings of the meetings, interviews and focus group discussions	10 days	1 st August 2019		15%
Report on the results of the political, economic, social / service delivery, participation / inclusion and threats profiling	15 days	22 nd August 2019	Senior Programme	30%

Deliverables/outputs	Estimated duration to complete	Target due dates	Review and Approval required	% of total professional fee
Compile a short summary of interventions (past			Manager,	
12 months – next 12 months) of projects operational in the districts – and a short review			JPLG-PMU	
of their relevance to local governance				
Conflict analysis reports by route and a				
summary of the primary threats to longer term				
stabilization actions				
Report on public messaging (existing and				
potential messages and channels)				
Report on gender specific impacts and opportunities / recommendations				
Presentation of draft assessment.				
implementation plans and key challenges and				
recommendations to stakeholders and		5 th September		
incorporation of comments / observations	10 days	2019		40%
Presentation of final assessment,		2013		
implementation plans and challenges and				
recommendations to stakeholders				
TOTAL	45 days			100%

D. INSTITUTIONAL ARRANGEMENT

- a) The Senior Programme Manager, JPLG-PMU shall supervise the overall work / performance of the Contractor supported by the Technical Working Group (TWG) of the JPLG.
- b) The Contractor's team leader will be the main contact person with the Contractor with regard to overall supervision and management of field work, development of implementation schedule, quality assurance and management of the contract with the JPLG.
- c) The Contractor will liaise with government counterparts, UN agencies, donors, civil society and other key stakeholders.
- d) The JPLG will send formal communication to the counterparts to introduce the Contractor prior to commencement of the work.
- e) The Contractor will communicate weekly to the Senior Programme Manager, JPLG-PMU.
- f) In addition to the reports submitted, the Contractor may be requested to provide postassignment briefings to concerned JPLG staff to further explain findings and make recommendations for consequence management. The Contractor will keep the JPLG updated in real time about the progress of the review and flag any observations that require immediate attention.

E. DURATION OF THE WORK

- a) The assignment shall be conducted for a maximum of 45 working days spread over 4 months (from July October 2019). The specific timelines shall be discussed and agreed upon between the JPLG and the Contractor. The cumulative number of days for the team should not exceed 45 days.
- b) The Contractor is expected to finalise the work within the given time frame as the output from this is critical for programme implementation.

F. LOCATION OF WORK

- a) The Federal Government of Somalia Mogadishu, Hirshabelle and South West States. The Contractor is expected to travel to 4 districts in Hirshabelle State (Bal'ad, Jowhar, Buloborte, Jalalqsi) and 3 districts in South West State (Burhakaba, Wanlaweyn, Afgoye).
- b) Consultations will be conducted at the premises / offices of the selected counterparts where possible to ensure maximum participation.

G. QUALIFICATIONS OF THE SUCCESSFUL SERVICE PROVIDER AT VARIOUS LEVELS

(a) Qualifications of the Organisation

The selected Contractor must: -

- i) Be a legally registered organization with the ability to work across all regions of Somalia and have the requisite registration documents.
- ii) Have a minimum of five (5) years relevant experience with at least 3 (three) different clients for the provision of similar services to affiliates in fragile / conflict states. Work experience and understanding of the context in Somalia is an added advantage.
- iii) Have the ability to assign / have personnel in Mogadishu and Federal Member States (specifically Hirshabelle and South west)
- iv) Have the ability to concurrently conduct multiple assignments and to demonstrate stand-by capacity to enable satisfactory completion of requested fieldwork within requested timeframes.
- v) Have proven experience in programmatic reviews for multi-partner and multi-donor programmes.
- vi) Have well-established networks to facilitate activities. The contractor should be able to engage experienced team members for the assignment.
- vii) Have technically and managerially sound composition of staff to perform the activities. The organization must have on their team, staff with relevant experience in analytical skills, fluent in written and spoken Somali and English languages.
- viii) Have gender diversity.

(b) Qualifications of Personnel

This assignment will have to be conducted by a team of a minimum of 2 (preferably, a male and female).

The team should have the following expertise:

- Advanced university degree in administration, law, political science, international relations, business management, economics, communication, social sciences, or other related field, combined with 10 years of relevant working experience or bachelor's level education in the same fields with at least 15 years of work experience.
- Work experience in gender related actions
- Excellent written and verbal communication skills, with strong interpersonal and presentation skills.
- Fluent in written and spoken Somali and English. Knowledge or understanding of the Mai dialect

will be an added advantage.

- Ability to produce technically sound, well written reports in both Somali and English
- Possess strategic and analytical skills
- Work experience in Somalia is a strong advantage
- Work experience with a UN/ major multilateral/ or bilateral programmes on programme planning is an added advantage

H. SCOPE OF PROPOSAL PRICE AND SCHEDULE OF PAYMENTS

The resulting contract shall be fixed price output-based regardless of extension of the herein specific duration. Payments will be based on milestones achieved as per the deliverables and timelines.

SN	Deliverables	Percentage of	Price
		Total Price	(Lump Sum,
		(Weight for	All Inclusive)
		payment)	
1	Deliverable 1: Acceptance / approval of the inception report.	15%	
2	Deliverable 2: Submission of reports on results of the analysis	45%	
3	Deliverable 3: Acceptance / approval of final operational plans	40%	
	Total	100%	USD

^{*}Basis for payment tranches

Payment will be made within thirty (30) days of the acceptance of the milestones by JPLG.

I. RECOMMENDED PRESENTATION OF PROPOSAL

Please refer to Instructions to Bidders as well as templates provided in Section 6 of the RFP documents for submission of technical and financial proposals.

J. CRITERIA FOR SELECTING THE BEST OFFER

The award of contract will be based on a Combined Scoring method, i.e. technical offer will be weighted a maximum of 70% and combined with the price offer which will be weighted at 30%.

SECTION 6: RETURNABLE BIDDING FORMS / CHECKLIST

This form serves as a checklist for preparation of your Proposal. Please complete the Returnable Bidding Forms in accordance with the instructions in the forms and return them as part of your Proposal submission. No alteration to format of forms shall be permitted and no substitution shall be accepted.

Before submitting your Proposal, please ensure compliance with the Proposal Submission instructions of the BDS 22.

Technical Proposal:

Have you duly completed all the Returnable Bidding Forms?	
Form A: Technical Proposal Submission Form	
 Form B: Bidder Information Form 	
 Form C: Joint Venture/Consortium/ Association Information Form 	
Form D: Qualification Form	
Form E: Format of Technical Proposal	
Have you provided the required documents to establish compliance with the evaluation criteria in Section 4?	

Financial Proposal

(Must be submitted in a password protected email)

 Form F: Financial Proposal Submission Form 	
 Form G: Financial Proposal Form 	

FORM A: TECHNICAL PROPOSAL SUBMISSION FORM

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

We, the undersigned, offer to provide the services for [Insert Title of services] in accordance with your Request for Proposal No. [Insert RFP Reference Number] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and our Financial Proposal that have been submitted as separate documents and the financial Proposal has been password protected.

We hereby declare that our firm, its affiliates or subsidiaries or employees, including any JV/Consortium /Association members or subcontractors or suppliers for any part of the contract:

- a) is not under procurement prohibition by the United Nations, including but not limited to prohibitions derived from the Compendium of United Nations Security Council Sanctions Lists;
- b) have not been suspended, debarred, sanctioned or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization;
- c) have no conflict of interest in accordance with Instruction to Bidders Clause 4;
- d) do not employ, or anticipate employing, any person(s) who is, or has been a UN staff member within the last year, if said UN staff member has or had prior professional dealings with our firm in his/her capacity as UN staff member within the last three years of service with the UN (in accordance with UN post-employment restrictions published in ST/SGB/2006/15);
- e) have not declared bankruptcy, are not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against them that could impair their operations in the foreseeable future:
- f) undertake not to engage in proscribed practices, including but not limited to corruption, fraud, coercion, collusion, obstruction, or any other unethical practice, with the UN or any other party, and to conduct business in a manner that averts any financial, operational, reputational or other undue risk to the UN and we embrace the principles of the United Nations Supplier Code of Conduct and adhere to the principles of the United Nations Global Compact.

We declare that all the information and statements made in this Proposal are true and we accept that any misinterpretation or misrepresentation contained in this Proposal may lead to our disqualification and/or sanctioning by the UNDP.

We offer to provide services in conformity with the Bidding documents, including the UNDP General Conditions of Contract and in accordance with the Terms of Reference

Our Proposal shall be valid and remain binding upon us for the period of time specified in the Bid Data Sheet.

We understand and recognize that you are not bound to accept any Proposal you receive.

I, the undersigned, certify that I am duly authorized by [Insert Name of Bidder] *to sign this Proposal and bind it should UNDP accept this Proposal.*

Name:			
Title:			
	 	 	 _

Date:	 	-
Signature:		
[Ct:t/	 1	

[Stamp with official stamp of the Bidder]

FORM B: BIDDER INFORMATION FORM

Legal name of Bidder	[Complete]	
Legal address	[Complete]	
Year of registration	[Complete]	
Bidder's Authorized Representative Information	Name and Title: [Complete] Telephone numbers: [Complete] Email: [Complete]	
Are you a UNGM registered vendor?	☐ Yes ☐ No If yes, [insert UGNM vendor number]	
Are you a UNDP vendor?	☐ Yes ☐ No If yes, [insert UNDP vendor number]	
Countries of operation	[Complete]	
No. of full-time employees	[Complete]	
Quality Assurance Certification (e.g. ISO 9000 or Equivalent) (If yes, provide a Copy of the valid Certificate):		
Does your Company hold any accreditation such as ISO 14001 related to the environment? (If yes, provide a Copy of the valid Certificate):	[Complete]	
Does your Company have a written Statement of its Environmental Policy? (If yes, provide a Copy)		
Contact person UNDP may contact for requests for clarification during Proposal evaluation	Name and Title: [Complete] Telephone numbers: [Complete] Email: [Complete]	
Please attach the following documents:	 Company Profile, which should not exceed fifteen (15) pages, including printed brochures and product catalogues relevant to the goods/services being procured Certificate of Incorporation/ Business Registration Tax Registration/Payment Certificate issued by the Internal Revenue Authority evidencing that the Bidder is updated with its tax payment obligations, or Certificate of Tax exemption, if any such privilege is enjoyed by the Bidder Trade name registration papers, if applicable Local Government permit to locate and operate in assignment location, if applicable 	

- Official Letter of Appointment as local representative, if Bidder is submitting a Bid in behalf of an entity located outside the country
- Power of Attorney

FORM C: JOINT VENTURE/CONSORTIUM/ASSOCIATION INFORMATION FORM

Name of Bidder: [Insert Name of Bidder]				Date:	Select date			
RFP r	RFP reference: [Insert RFP Reference Number]							
	To be completed and returned with your Proposal if the Proposal is submitted as a Joint Venture/Consortium/Association.							
No	No Name of Partner and contact information (address, telephone numbers, fax numbers, e-mail address)				Proposed proportion of responsibilities (in %) and type of services to be performed			
1	[Complete]				[Complete]			
2	[Complete]				[Complete]			
3	[Complete]				[Complete]			
Associate even control We has structure.	(with authority to bind the JV, Consortium, Association during the RFP process and, in the event a Contract is awarded, during contract execution) We have attached a copy of the below document signed by every partner, which details the likely legal structure of and the confirmation of joint and severable liability of the members of the said joint venture: □ Letter of intent to form a joint venture OR □ JV/Consortium/Association agreement							
We hereby confirm that if the contract is awarded, all parties of the Joint Venture/Consortium/Association shall be jointly and severally liable to UNDP for the fulfillment of the provisions of the Contract. Name of partner: Signature: Date: Date: Date:								
Name of partner:				e of partner:				
Signature:				Signature:				
Date:			Date:					

FORM D: QUALIFICATION FORM

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

If JV/Consortium/Association, to be completed by each partner.

Historical Contract Non-Performance

☐ Contract non-performance did not occur for the last 3 years							
☐ Contrac	t(s) not performed fo	or the last 3 years					
Year Non- performed Contract Identification Total Contract Amount (current value in US\$) contract							
		Name of Client: Address of Client: Reason(s) for non-performance:					

Litigation History (including pending litigation)

☐ No litigation history for the last 3 years							
☐ Litigation History as indicated below							
ount							
JS\$)							

Previous Relevant Experience

Please list only previous similar assignments successfully completed in the last 3 years.

List only those assignments for which the Bidder was legally contracted or sub-contracted by the Client as a company or was one of the Consortium/JV partners. Assignments completed by the Bidder's individual experts working privately or through other firms cannot be claimed as the relevant experience of the Bidder, or that of the Bidder's partners or sub-consultants, but can be claimed by the Experts themselves in their CVs. The Bidder should be prepared to substantiate the claimed experience by presenting copies of relevant documents and references if so requested by UNDP.

Project name & Country of Assignment	Client & Reference Contact Details	Contract Value	Period of activity and status	Types of activities undertaken

Bidders may also attach their own Project Data Sheets with more details for assignments above.

 \Box Attached are the Statements of Satisfactory Performance from the Top 3 (three) Clients or more.

Financial Standing

☐ The last three financial year, i.e., 2015, 2016 and 2017 audited financial statements (income statement and balance sheet) and management report prepared by a Certified Public Account. Historic financial statements must correspond to accounting periods already completed and audited. No statements for partial periods shall be accepted.

Firms owned by individuals and partnerships may submit their balance sheets certified by a registered accountant and must be supported by copies of tax returns if audits are not required by the laws of their countries of origin in case of foreign firm. The report must reflect the financial situation of the Bidder or party to a JV, and not sister or parent companies;

FORM E: FORMAT OF TECHNICAL PROPOSAL

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

The Bidder's proposal should be organized to follow this format of Technical Proposal. Where the bidder is presented with a requirement or asked to use a specific approach, the bidder must not only state its acceptance, but also describe how it intends to comply with the requirements. Where a descriptive response is requested, failure to provide the same will be viewed as non-responsive.

SECTION 1: Bidder's qualification, capacity and expertise

- 1.1 Brief description of the organization, including the year and country of incorporation, and types of activities undertaken.
- 1.2 General organizational capability which is likely to affect implementation: management structure, financial stability and project financing capacity, project management controls, extent to which any work would be subcontracted (if so, provide details).
- 1.3 Relevance of specialized knowledge and experience on similar engagements done in the region/country.
- 1.4 Quality assurance procedures and risk mitigation measures.
- 1.5 Organization's commitment to sustainability.

SECTION 2: Proposed Methodology, Approach and Implementation Plan

This section should demonstrate the bidder's responsiveness to the TOR by identifying the specific components proposed, addressing the requirements, providing a detailed description of the essential performance characteristics proposed and demonstrating how the proposed approach and methodology meets or exceeds the requirements. All important aspects should be addressed in sufficient detail and different components of the project should be adequately weighted relative to one another.

- 2.1 A detailed description of the approach and methodology for how the Bidder will achieve the Terms of Reference of the project, keeping in mind the appropriateness to local conditions and project environment. Details how the different service elements shall be organized, controlled and delivered.
- 2.2 The methodology shall also include details of the Bidder's internal technical and quality assurance review mechanisms.
- 2.3 Explain whether any work would be subcontracted, to whom, how much percentage of the work, the rationale for such, and the roles of the proposed sub-contractors and how everyone will function as a team.
- 2.4 Description of available performance monitoring and evaluation mechanisms and tools; how they shall be adopted and used for a specific requirement.
- 2.5 Implementation plan including a Gantt Chart or Project Schedule indicating the detailed sequence of activities that will be undertaken and their corresponding timing.
- 2.6 Demonstrate how you plan to integrate sustainability measures in the execution of the contract.
- 2.7 Any other comments or information regarding the project approach and methodology that will be adopted.

SECTION 2A: Bidder's Comments and Suggestions on the Terms of Reference

Provide comments and suggestions on the Terms of Reference, or additional services that will be rendered beyond the requirements of the TOR, if any.

SECTION 3: Management Structure and Key Personnel

- 3.1 Describe the overall management approach toward planning and implementing the project. Include an organization chart for the management of the project describing the relationship of key positions and designations. Provide a spreadsheet to show the activities of each personnel and the time allocated for his/her involvement.
- 3.2 Provide CVs for key personnel that will be provided to support the implementation of this project using the format below. CVs should demonstrate qualifications in areas relevant to the Scope of Services.

Format for CV of Proposed Key Personnel

NAME OF PERSONNEL	[INSERT]
POSITION FOR THIS ASSIGNMENT	[INSERT]
NATIONALITY	[INSERT]
LANGUAGE	
PROFICIENCY	[INSERT]

	[SUMMARIZE COLLEGE/UNIVERSITY AND OTHER SPECIALIZED EDUCATION OF PERSONNEL MEMBER, GIVING NAMES OF SCHOOLS, DATES ATTENDED, AND DEGREES/QUALIFICATIONS OBTAINED.]
EDUCATION/ QUALIFICATIONS	
	[INSERT]
PROFESSIONAL	[PROVIDE DETAILS OF PROFESSIONAL CERTIFICATIONS RELEVANT TO THE SCOPE OF SERVICES]
CERTIFICATIONS	NAME OF INSTITUTION: [INSERT]DATE OF CERTIFICATION: [INSERT]

EMPLOYMENT RECORD/ EXPERIENCE	[LIST ALL POSITIONS HELD BY PERSONNEL (STARTING WITH PRESENT POSITION, LIST IN REVERSE ORDER), GIVING DATES, NAMES OF EMPLOYING ORGANIZATION, TITLE OF POSITION HELD AND LOCATION OF EMPLOYMENT. FOR EXPERIENCE IN LAST FIVE YEARS, DETAIL THE TYPE OF ACTIVITIES PERFORMED, DEGREE OF RESPONSIBILITIES, LOCATION OF ASSIGNMENTS AND ANY OTHER INFORMATION OR PROFESSIONAL EXPERIENCE CONSIDERED PERTINENT FOR THIS ASSIGNMENT.]
	[INSERT]
	[PROVIDE NAMES, ADDRESSES, PHONE AND EMAIL CONTACT INFORMATION FOR TWO (2) REFERENCES]

	REFERENCE 1:
	[INSERT]
REFERENCES	
	REFERENCE 2:
	[INSERT]

I, the undersigned, certify that to the best of my kno	wledge and belief. these data correctly describe my
qualifications, my experiences, and other relevant info	
Signature of Personnel	Date (Day/Month/Year)

FORM F: FINANCIAL PROPOSAL SUBMISSION FORM

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

We, the undersigned, offer to provide the services for [Insert Title of services] in accordance with your Request for Proposal No. [Insert RFP Reference Number] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and Financial Proposal submitted as separate documents. The Financial Proposal has been password protected.

Our attached Financial Proposal is for the sum of [Insert amount in words and figures].

Our Proposal shall be valid and remain binding upon us for the period of time specified in the Bid Data Sheet.

We understand you are not bound to accept any Proposal you receive.

Name:	 	 	
Title:	 	 	
Date:	 	 	
Signature:	 	 	

[Stamp with official stamp of the Bidder]

FORM G: FINANCIAL PROPOSAL FORM

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

The Bidder is required to prepare the Financial Proposal following the below format and submit as separate attachment from the Technical Proposal as indicated in the Instruction to Bidders. Any Financial information provided in the Technical Proposal shall lead to Bidder's disqualification.

The Financial Proposal should align with the requirements in the Terms of Reference and the Bidder's Technical Proposal.

The Financial Proposal must provide a detailed cost breakdown. Provide separate figures for each functional grouping or category.

The format shown on the following pages is suggested for use as a guide in preparing the Financial Proposal. The format includes specific expenditures, which may or may not be required or applicable but are indicated to serve as examples.

A. Cost Breakdown per Deliverables*

SN	Deliverables	Percentage of Total Price (Weight for payment)	Price (USD) (Lump Sum, All Inclusive)
1	Personnel Services		
2	Expenses		
3	Other Related Costs		
	Total	100%	

^{*} The total in the above table should correspond to the totals in table B (Cost Breakdown by Cost Component)

B. Cost Breakdown by Cost Component:

The Proposers are requested to provide the cost breakdown for the above given prices for each deliverable based on the following format. UNDP shall use the cost breakdown for the price reasonability assessment purposes as well as the calculation of price in the event that both parties have agreed to add new deliverables to the scope of Services.

Description of Activity	Remuneration per	Total Period of	No. of	Total Rate for
	Unit of Time (e.g.,	Engagement	Personnel	the Period
	day, month, etc.)			
I. Personnel Services				
Indicate the staff as required				
II. Expenses				
1. Air travel if required (economy				

class on most direct route and specify		
the routing)		
2. Land transport		
3. Daily Allowance		
4. Communications		
5. Reproduction and reports		
6. Others		
III. Other Related Costs		