



TERMS OF REFERENCE

NATIONAL INDIVIDUAL CONSULTANT – Study on enhancing participation of the marginalized communities and forest dependent communities in the development and implementation of REDD+ in Kenya

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| Job ID/Title: | Study on enhancing participation of the marginalized communities and forest dependent communities in the development and implementation of REDD+ in Kenya |
| Scope of advertisement: | Nationally advertised |
| Category (eligible applicants): | External ¹ |
| Brand: | UNDP |
| Practice Area: | Climate Change |
| Application Deadline: | Friday, 29 May 2020 by 11.59 P.M (GMT+3.00) |
| Type of Contract: | National Individual Contract |
| Reference: | KEN/IC/2020/027 –Consultant – Study on enhancing participation of the marginalized communities and forest dependent communities in the development and implementation of REDD+ in Kenya |
| Duty Station: | Nairobi, Kenya |
| Languages Required: | English |
| Expected Duration of Assignment: | 30 working days within a Period of Six Months |

1. Introduction

Reducing Emissions from Deforestation and Forest Degradation and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks (REDD+) has the potential to deliver several benefits to indigenous peoples and other forest-dependent communities, including the sustainable management of biodiversity, the provision of

¹ External defines as applicants external to UNDP and to the UN Common system, including UNDP non-staff.

alternative livelihoods, equitable sharing of revenues generated from emissions reductions among others. One of the major components of REDD+ Programme in Kenya is to enhance engagement of stakeholders including marginalized communities, forest dependent communities and local communities amongst other key stakeholders in the forest sector. In order to enhance effective participation of these stakeholders, there is need for a specific study outlining the different roles, opportunities for engagement, as well as barriers to effective participation in REDD + implementation. Appreciating that lack of their engagement presents risks of having negative impacts on their rights, livelihoods, culture, and biodiversity among others. As such there is need to carry out a specific analysis focusing on issues and options identified for REDD+ implementation, how these provide opportunities to enhance effective participation in REDD+ implementation, possible risks and respective mitigation measures in respect to marginalized communities and forest dependent communities.

Definition of terms and concepts

For purposes of this consultancy, the following terms have been adopted in the Project Document to guide identification of forest communities.

1. “marginalized community” as provided for in the Constitution of Kenya article 260 - means—
 - (a) a community that, because of its relatively small population or for any other reason, has been unable to fully participate in the integrated social and economic life of Kenya as a whole;
 - (b) a traditional community that, out of a need or desire to preserve its unique culture an identity from assimilation, has remained outside the integrated social and economic life of Kenya as a whole;
 - (c) an indigenous community that has retained and maintained a traditional lifestyle and livelihood based on a hunter or gatherer economy; or
 - (d) pastoral persons and communities, whether they are— (i) nomadic, or (ii) a settled community that, because of its relative geographic isolation, has experienced only marginal participation in the integrated social and economic life of Kenya as a whole;
2. “Forest Dependent communities means”: Communities directly drawing their livelihoods from forest both organised and not organised around community forests associations and marginalised communities.
3. “Forest community” means a group of persons who have a traditional association with a forest for the purposes of livelihood, culture or religion;
4. “minority community” means a group due to being too small in a given locality are not able to adequately participate in decision making process about the forest resources around them and are outnumbered by the dominant community in the area (National Gender and equality Commission (NGEC) report)

1. Specific Objective

The main objective of this task is to ensure that the participation of the marginalized communities and forest dependent communities in the development and implementation of the REDD+ strategy and investment plan is taken into consideration taking into cognisance of possible risks and respective mitigation measures.

2. Tasks

The consultant will carry out the following specific tasks, among others:

1. Assessment of opportunities and key barriers that if addressed would enhance the participation marginalized communities and forest-dependent communities in management and conservation of forest resources.
2. Explore how Indigenous Knowledge (IK) in forest governance can be incorporated in management of forests resources in Kenya.
3. Assessment of the opportunities of enhancing participation and contribution of marginalized communities and forest-dependent communities in implementation of REDD+. This will include opportunities for enhancing collaborative management of forests by communities and government agencies.
4. In addition, an assessment of Community Based Monitoring Information Systems (CBMIS) at the community level can be integrated in the National REDD+ strategy.
5. Assessment of effectiveness of community forests associations in forests management, existing challenges and opportunities for enhancing community participation and collaboration in forest management and conservation. This will also include opportunities of enhancing tree cover in open public spaces community land and private farms with participation of communities.
6. Assess the roles and responsibilities, incentives and benefits of marginalized communities and forest-dependent communities in forest conservation and management. This should include recommendations on modalities of benefit sharing.
7. Identify social and environmental risks of activities that could potentially be undertaken in the REDD+ domain for forest communities. Identify instances where free, prior and informed consent processes will be required.
8. Consider opportunities to support activities that increase understanding of communities with respect to resource uses and include them both as participants in management of conservation areas and development of resources management plans.
9. Make recommendations for consideration and inclusion in the REDD+ issues and option report.
10. In order of priority, prepare a policy brief of at least three recommendations identified to contribute in enhancing engagement with marginalized communities, forest-dependent communities, and local communities in REDD+ process in the country.

3. Deliverables and payment schedule

| Deliverables | Timeline | Payment Terms per deliverable (percentage based on the contract amount) |
|------------------|----------------------------------|---|
| Inception report | 5 days upon signing the contract | 10% |

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| Submission of first draft report and draft Policy Brief on enhancing participation of marginalized communities and forest dependent communities in implementation of REDD+ | 10 days after the inception report is approved | 30% |
| Submission of final report and final Policy Brief inclusive of at least 3 recommendations enhancing participation of marginalized communities and forest dependent communities in implementation of REDD+Implementation | 15 days after review process completed | 60% |

4. Duration

The Consultant is expected to complete the assignment in Thirty (30) working days within a period of 6 months.

5. Qualifications

The Consultant with have the following profiles

- Advanced degree University in sociology, community development, anthropology or any relevant degree with at least ten years of experience working on marginalized communities, forest dependent communities and local community.
- Experience with conducting in Social Impact Analysis, using participatory approaches.
- Must be knowledgeable and familiar with the social related policies and regulations existing in Kenya including gender related issues, inclusion of vulnerable, marginalized groups etc., and proven expertise in community development.
- At least 10 years of experience in conducting similar assessments of similar scope and scale related to related to natural resource management, environment/Climate Change.
- In-depth knowledge and understanding of climate change, REDD+ process, especially the mitigation policies, innovation in natural resource and climate finance as well as analytical skills and experience in preparing technical and/or policy-relevant reports and papers.
- Work experience with the United Nations system is considered an added advantage.
- Good interpersonal and communication skills.
- Proven experience in drafting action plans, strategies and reports by submitting a sample of similar

7.Evaluation

The consultant's offer will be evaluated based on the following criteria:

Combined Scoring method – where the technical qualifications will be weighted a maximum of 70% and combined with the price offer which will be weighted a max of 30%. Only candidates obtaining a minimum of 70 points (100 maximum points) would be considered for the financial criteria.

The key criteria for rating the qualifications are as follows

- Understanding of the scope of work (SOW); comprehensive of the methodology /approach; and organization and completeness of the proposal (15 points)
- Advanced degree University in sociology, community development, anthropology or any relevant degree with at least ten years of experience working on marginalized communities, forest dependent communities and local community (15 points)
- At least 10 years of experience in conducting similar assessments of similar scope and scale related to natural resource management, environment/Climate Change. (15 points)
- Experience with conducting in Social Impact Analysis, using participatory approaches; track record and experience familiarity with social related policies and regulations existing in Kenya including gender related issues, inclusion of vulnerable, marginalized, indigenous groups and proven expertise in community development (30 points)
- In-depth knowledge and understanding of climate change, REDD+ process, especially the mitigation policies, innovation in natural resource and climate finance as well as analytical skills and experience in preparing technical and/or policy-relevant reports and papers, community engagement plans/strategies (25 points)

Financial Evaluation (30% of total evaluation; maximum 30 points).

Application process.

Interested and qualified candidates should submit their applications which should include the following:

1. Detailed Curriculum Vitae
2. Proposal for implementing the assignment – template provided
3. Offerors letter to UNDP – template provided

Note: The successful applicant will be required to complete a UNDP Personal History Form (P11) form prior to contracting.

Firms are not eligible for this consultancy assignment. Open to **national** individual consultants only.

Incomplete applications will be disqualified automatically.

All applications must be submitted through the UNDP eTendering portal.

- If already registered, please go to <https://etendering.partneragencies.org> and sign in using your username and password, and search for the **event**:

Business Unit: **UNDP1**

Event ID:

- If you do not remember your password, please use the “Forgotten password” link. Do not create a new profile.
- If you have never registered in the system before, please complete a one-time registration process first by visiting <https://etendering.partneragencies.org> and using the below generic credentials:

Username: **event.guest**

Password: **why2change**

Detailed user guide on how to register in the system and submit the proposal can be found at:

<https://www.undp.org/content/undp/en/home/operations/procurement/business/procurement-notices/resources/>

Email submission of applications will not be accepted. Queries about the position can be directed to

undp.kenya.procurement@undp.org
