

#### INDIVIDUAL CONSULTANT PROCUREMENT NOTICE

Date: 10 February, 2014

Country: PANAMÁ

Description of the assignment: Millennium Development Goals Consultant

Project name: Millennium Development Goals

Period of assignment/services: March 10-August 31, 2014

Proposal should be submitted at the following by email to: <u>Adquisiciones.rclac@undp.org</u>, Subject: Ref. 003 2014 Millennium **Development Goals Consultant** no later than 21 February 2014 at 23:59 (UTC/GMT -5, Time of the Republic of Panama).

Any request for clarification must be sent in writing, or by standard electronic communication to the address or e-mail indicated above no later than February 17 2014, at 17:00 (UTC/GMT -5, Time of the Republic of Panama). The Procurement Unit of the RSC LAC will respond in writing or by standard electronic mail and will send written copies of the response, including an explanation of the query without identifying the source of inquiry, to all consultants.

1. BACKGROUND, SCOPE OF WORK, RESPONSIBILITIES AND DESCRIPTION OF THE PROPOSED ANALYTICAL WORK, REQUIREMENTS FOR EXPERIENCE AND QUALIFICATIONS. -

For detailed information, please refer to the Annex 1 - Terms of Reference

2. DOCUMENTS TO BE INCLUDED WHEN SUBMITTING THE PROPOSALS.

Interested individual consultants must submit the following documents/information to demonstrate their qualifications:

- 1. Proposal in English:
- (i) Explaining why they are the most suitable for the work
- 2. Financial proposal: (MANDATORY) The standard <u>Letter of Confirmation of Interest and Availability</u>, which you **must complete**, **sign and submit** to UNDP
- 3. <u>P11 form</u> including past experience in similar projects and at least 3 references Please submit all documents in PDF format in separate files duly identify. Attachment should not be bigger than 4 MB

#### **5. FINANCIAL PROPOSAL**

The financial proposal shall specify a total lump sum amount, and payment terms around specific and measurable (qualitative and quantitative) deliverables (i.e. whether payments fall in installments or upon completion of the entire contract). Payments are based upon output, i.e. upon delivery of the services specified in the TOR.

#### Travel (if applicable);

<u>All envisaged travel costs must be included in the financial proposal</u>. This includes all travel to join duty station/repatriation travel. In general, UNDP should not accept travel costs exceeding those of an economy class ticket. Should the IC wish to travel on a higher class he/she should do so using their own resources.

In the case of unforeseeable travel, payment of travel costs including tickets, lodging and terminal expenses should be agreed upon, between the respective business unit and Individual Consultant, prior to travel and will be reimbursed

#### 6. EVALUATION

Individual consultants will be evaluated based on the following methodologies:

#### 1. Cumulative analysis

When using this weighted scoring method, the award of the contract should be made to the individual consultant whose offer has been evaluated and determined as:

- a) responsive/compliant/acceptable, and
- b) Having received the highest score out of a pre-determined set of weighted technical and financial criteria specific to the solicitation.
- \* Technical Criteria weight; 70%
- \* Financial Criteria weight; 30%

Only candidates obtaining a minimum of 70 % (of 100 points) would be considered for the Financial Evaluation

Criteria	Weight	Max. Point
<u>Technical</u>	70%	100
Bachelor's degree in Public Administration,     Economics or related science.		10
Master's degree in International Development,     Public Administration, Economics, International		25

Affairs, or other community development-related science			
<ul> <li>At least two years of working experience in research, report drafting and writing, project management, programme support; ideal if at the international level.</li> </ul>		30	
Experience in working with the UN system     organizations or other international organizations		20	
Fluency in spoken and written English and Spanish		15	
<u>Financial</u>	30%		

## **ANNEX**

**APPENDIX 1- TERMS OF REFERENCES (TOR)** 

**APPENDIX 2- OFFEROR'S LETTER TO UNDP** 

**APPENDIX 3- INDIVIDUAL CONSULTANT GENERAL TERMS AND CONDITIONS** 



## UNITED NATIONS DEVELOPMENT PROGRAMME REGIONAL CENTRE FOR LATIN AMERICA AND THE CARIBBEAN MDG CONSULTANT

#### I. Position Information

Job code title: MDG Consultant

Supervisor: MDG Regional Advisor, Regional Centre LAC

Type of contract: IC (Individual Contract)

Duration: March 10-August 31, 2014

Location: Panama City, Panama

## **II. Organizational Context**

UNDP is committed in promoting and advocating the global Millennium Development Goals (MDGs), as well as the regional objectives articulated in National Plans to help improve the lives of the poorest, the marginalized and the disadvantaged in the region. As the UNs global development network, UNDP is advocating change and connecting countries to knowledge, experience and resources to help people build a better life. This interconnected system of people, knowledge and resources around the world works in five practice areas: Democratic Governance, Poverty Reduction, Crisis Prevention and Recovery, Energy and Environment, and HIV/AIDS. Through its work, UNDP seeks to share ways to promote gender equality as an essential dimension of ensuring political participation and accountability; support economic empowerment and effective development planning; to endorse crisis prevention; and mobilize society against HIV/AIDS.

UNDP LAC will provide policy advice and technical support for countries to reduce poverty and inequality and advance towards the achievement of the Millennium Development Goals. It will notably do so through the publication of key reports and the systematization and transfer of good practices. It will support initiatives to track the progress of the Millennium Development Goals while developing and improving disaggregated data for better identifying and targeting vulnerable and excluded groups. UNDP will help to integrate HIV/AIDS objectives in poverty reduction strategies, especially in the Caribbean and Central America. In accordance with established national priorities, it will support the coordination between national and regional actors, building on national level efforts to ensure coherence and consistency. UNDP will promote public-private partnerships, responsible corporate citizenship, and the development of cultural industries.

The programme will help to integrate economic and social policy, taking into account the political environment to build innovative development strategies and policies which promote social cohesion, inclusive markets and human development. It will promote policy dialogue and build capacity on social policy with government official and social managers. Attention will be given to those countries and those vulnerable groups within countries which require intensified and accelerated efforts to reach the Millennium Development Goals. Continued support will be provided to national, regional, and subregional reports on MDGs and human development. It will also seek to significantly increase the scientific, technological and innovation capacities of the region. UNDP will also foster joint actions and

regional cooperation initiatives in favour of regional integration and competitiveness, in partnership with other United Nations entities, regional institutions, and other partners of civil society and the private sector.

#### III. Deliverables

#### Deliverable 1:

• Strengthening Latin America and the Caribbean MDG network and community of practice the consultant must coordinate and edit at least 5 numbers of the MDGs in LAC periodical Bulletin

#### Deliverable 2:

- Update LAC MDG Reports Observatory, and other qualitative and quantitative information to illustrate the MDG progress within the LAC regional context.
- Research assistance and feedback on corporate and academic papers in the area of economic development and MDGs

#### Deliverable 3:

- Provide technical support on post-2015 processes
- Provide the necessary support for the Interagency Working Group (IAWG) on Youth in which UNDP RSC LAC acts as Co-Chair, including representing UNDP in the monthly group meetings and preparing the minutes

#### Deliverable 4:

- Ensure that UNDP RSC LAC accomplishes the activities detailed in the IAWG work plan which are under its responsibility
- Support liaising with HQ-based cluster, and to network and build partnerships with practice areas within the Regional Centre, and with other UN Agencies

#### Deliverable 5:

Project documentation and monitoring consolidated.

## **IV. Competencies and Critical Success Factors**

- Experience in networking and research, with excellent report writing skills
- Knowledge of the project management process, and the MDG issues, with focus on the LAC region
- Ability to coordinate and manage information in a substantive and concise manner
- Excellent communications skills and ability to participate effectively in a team-based informationsharing environments
- Cross-cultural sensitivity, advocacy, strong interpersonal skills, tact and diplomacy

## V. Regime for Payment of Fees

• The professionals, who submit an expression of interest as MDG Regional Consultant, should specify their economic offer (daily rates in dollars of the United States of America).

• The contract signed as individual contract does not involve any fees in advance at the beginning of the consultancy.

## VI. Recruitment Qualifications

Education:	A Master's degree in International Development, Public
	Administration, Economics, International Affairs, or other community
	development-related science.
Experience:	A minimum of two years of working experience in research, report
	drafting and writing, project management, programme support; ideal
	if at the international level. Experience in working with the UN system
	organizations or other international organizations.
Language Requirements:	Excellent written and spoken English and Spanish are required.
	Proficiency in other languages an asset.

## VII. Evaluation Criteria

The technical revision of CVs will consider the following criteria:

	QUALIFICATION REQUIREMENTS AS PER TORS	Points
А	Bachelor's degree in Public Administration, Economics or related science.	10
В	Master's degree in International Development, Public Administration, Economics, International Affairs, or other community development-related science	25
С	At least two years of working experience in research, report drafting and writing, project management, programme support; ideal if at the international level.	30
D	Experience in working with the UN system organizations or other international organizations	20
E	Fluency in spoken and written English and Spanish	15
	Total	100 (70%)

#### **ADDITIONAL INFORMATION:**

Individual Consultants are responsible for ensuring they have vaccinations/inoculations in case of travelling to certain countries, as designated by the UN Medical Director. Consultants are also required to comply with the UN security directives set forth under dss.un.org

#### Annex 2

### OFFEROR'S LETTER TO UNDP CONFIRMING INTEREST AND AVAILABILITY FOR THE INDIVIDUAL CONTRACTOR (IC) ASSIGNMENT

	Date
Sir	S
Un	nited Nations Development Programme
Re	gional Service Centre
Dρ	ear Sirs:
De	al Sils.
l h	ereby declare that:
a)	I have read, understood and hereby accept the Terms of Reference describing the duties and responsibilities of Millennium <b>Development Goals Consultant</b> under the Project Millennium <b>Development Goals</b>
b) the	I have also read, understood and hereby accept UNDP's General Conditions of Contract for
	Services of the Individual Contractors;
c)	I hereby propose my services and I confirm my interest in performing the assignment through the submission of my CV or Personal History Form (P11) which I have duly signed and attached hereto as Annex 1;
d	I hereby propose to complete the services based on the following payment rate: [pls. check the box corresponding to the preferred option]:
	A total lump sum of [state amount in words and in numbers, indicating exact currency], payable in the manner described in the Terms of Reference.
f)	For your evaluation, the breakdown of the abovementioned all-inclusive amount is attached
he	reto as
	Annex 2;
g)	I recognize that the payment of the abovementioned amounts due to me shall be based on my delivery of outputs within the timeframe specified in the TOR, which shall be subject to LINDP's review acceptance and payment certification procedures:

h)	This of	fer shall remain valid fo	or a total period o	of days after the	submission d	eadline;
i)	brothe relative	I confirm that I have no first degree relative (mother, father, son, daughter, spouse/partner, brother or sister) currently employed with any UN agency or office [disclose the name of the relative, the UN office employing the relative, and the relationship if, any such relationship exists];				
j)	j) If I am selected for this assignment, I shall [pls. check the appropriate box]:					
		Sign an Individual Cont	tract with UNDP;			
		Request my employer	[state name of co	mpany/organization/inst	titution] to sign	n with
	UNDP	а				
		Reimbursable Loan Ag details	reement (RLA), fo	r and on my behalf. The	contact perso	n and
		of my employer for thi	s purpose are as f	follows:		
k)	I hereb	y confirm that [check al	l that applies]:			
		form of engagement		ave no active Individua s Unit of UNDP;	Contract or	any
		I am currently engage	ed with UNDP and	or other entities for the	following wor	·k:
		Assignment	Contract Type	UNDP Business Unit / Name of Institution/Company	Contract Duration	Contract Amount
		I am also anticipating entities for which I ha		following work from UN roposal:	DP and/or oth	er
		Assignment	Contract Type	Name of Institution/ Company	Contract Duration	Contract Amount
I)	-			is not bound to acce all costs associated wi		

- I) I fully understand and recognize that UNDP is not bound to accept this proposal, and I also understand and accept that I shall bear all costs associated with its preparation and submission and that UNDP will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the selection process.
- m) If you are a former staff member of the United Nations recently separated, please add this section to your letter: I hereby confirm that I have complied with the minimum break in service required before I can be eligible for an Individual Contract.

-	aged as an Individual Contractor, I have neither o be re-instated or re-employed as a staff member.
Full Name and Signature:	Date Signed:
Annexes [pls. check all that applies]:	
<ul><li>□ CV or Duly signed P11 Form</li><li>□ Breakdown of Costs Supporting the Final</li></ul>	All-Inclusive Price as per Template

#### **BREAKDOWN OF COSTS**

## A. Breakdown of Cost by Deliverables\*

	<b>Deliverables</b> [list them as referred to in the TOR]	Percentage of Total Price (Weight for payment)	Amount in US Dollars
1.	Strengthening Latin America and the Caribbean MDG network and community of practice the consultant must coordinate and edit at least 5 numbers of the MDGs in LAC periodical Bulletin		
2.	-Update LAC MDG Reports Observatory, and other qualitative and quantitative information to illustrate the MDG progress within the LAC regional contextResearch assistance and feedback on corporate and academic papers in the area of economic development and MDGs		
3.	-Provide technical support on post-2015 processes -Provide the necessary support for the Interagency Working Group (IAWG) on Youth in which UNDP RSC LAC acts as Co-Chair, including representing UNDP in the monthly group meetings and preparing the minutes		
4.	- Ensure that UNDP RSC LAC accomplishes the activities detailed in the IAWG work plan which are under its responsibility		
-	Support liaising with HQ-based cluster, and to network and build partnerships with practice areas within the Regional Centre, and with other UN Agencies		
5. cons	Project documentation and monitoring olidated		
To	tal	100%	

<sup>\*</sup>Basis for payment tranches

#### Annex 3

#### UNITED NATIONS DEVELOPMENT PROGRAMME



#### Contract for the services of an Individual Contractor

	No
This Contract is entered into on [insert date] bether Programme (hereinafter referred to as "UNDP") and	•
(hereinafter referred to as "the Individual	
WHEREAS UNDP desires to engage the services of the conditions hereinafter set forth, and:	e Individual Contractor on the terms and
WHEREAS the Individual Contractor is ready and willing said terms and conditions,	to accept this Contract with UNDP on the
NOW, THEREFORE, the Parties hereby agree as follows:	

#### 1. Nature of services

#### 2. Duration

This Individual Contract shall commence on [insert date], and shall expire upon satisfactory completion of the services described in the Terms of Reference mentioned above, but not later than [insert date], unless sooner terminated in accordance with the terms of this Contract. This Contract is subject to the General Conditions of Contract for Individual contractors which are available on UNDP website at www.undp.org/procurement and are attached hereto as <u>Annex II</u>.

#### 3. Consideration

<sup>&</sup>lt;sup>1</sup> For payments which are not output-based lump sum, indicate the maximum number of working days/hours/units, any out of pocket expense (travel, per diem...) and the corresponding fee/cost in the Deliverable (s) table.

performed and the Deliverables have been achieved by or before the due dates specified below, if any.

DELIVERABLE	DUE DATE	AMOUNT IN [CURRENCY]

If unforeseen travel outside the Duty Station not required by the Terms of Reference is requested by UNDP, and upon prior written agreement, such travel shall be at UNDP's expense and the Individual Contractor shall receive a *per diem* not to exceed United Nations daily subsistence allowance rate in such other location(s).

Where two currencies are involved, the rate of exchange shall be the official rate applied by the United Nations on the day the UNDP instructs its bank to effect the payment(s).

#### 4. Rights and Obligations of the Individual contractor

The rights and obligations of the Individual Contractor are strictly limited to the terms and conditions of this Contract, including its Annexes. Accordingly, the Individual Contractor shall not be entitled to any benefit, payment, subsidy, compensation or entitlement, except as expressly provided in this Contract. The Individual Contractor shall be solely liable for claims by third parties arising from the Individual Contractor's own acts or omissions in the course of performing this Contract, and under no circumstances shall UNDP be held liable for such claims by third parties.

5. Beneficiary The Individual Contractor selects	as beneficiary of any amounts owed
under this Contract in the event of death of the	ne Individual Contractor while performing services
hereunder. This includes the payment of any ser performance of the services for UNDP.	rvice-incurred liability insurance attributable to the
Mailing address, email address and phone numb	per of beneficiary:

Mailing address, email address and phone number of emergency contact (if different from beneficiary):

IN WITNESS WHEREOF, the Parties hereto ha	ve executed this Contract.
accept the terms of this Contract, including contractors available on UNDP website at Annex II which form an integral part of this agree to abide by the standards of cond ST/SGB/2003/13 of 9 October 2003, entit Exploitation and Sexual Abuse" and ST/SG	ctor, acknowledge and agree that I have read and g the General Conditions of Contracts for Individual www.undp.org/procurement and attached hereto in Contract, and that I have read and understood, and duct set forth in the Secretary-General's bulletins tled "Special Measures for Protection from Sexual GB/2002/9 of 18 June 2002, entitled "Regulations ties of Officials other than Secretariat Officials, and
☐ The Individual Contractor has submitted immunization.	l a Statement of Good Health and confirmation of
AUTHORIZING OFFICER: United Nations Development Programme	INDIVIDUAL CONTRACTOR:
Name;	Name;
Signature;	Signature;
Date;	Date;

# UNITED NATIONS DEVELOPMENT PROGRAMME GENERAL CONDITIONS OF CONTRACTS FOR THE SERVICES OF INDIVIDUAL CONTRACTORS



#### 1. LEGAL STATUS

The Individual contractor shall have the legal status of an independent contractor vis-à-vis the United Nations Development Programme (UNDP), and shall not be regarded, for any purposes, as being either a "staff member" of UNDP, under the UN' Staff Regulations and Rules, or an "official" of UNDP, for purposes of the Convention on the Privileges and Immunities of the United Nations, adopted by the General Assembly of the United Nations on 13 February 1946. Accordingly, nothing within or relating to the Individual Contract shall establish the relationship of employer and employee, or of principal and agent, between UNDP and the Individual contractor. The officials, representatives, employees or subcontractors of UNDP and of the Individual contractor, if any, shall not be considered in any respect as being the employees or agents of the other, and UNDP and the Individual contractor shall be solely responsible for all claims arising out of or relating to its engagement of such persons or entities.

#### 2. STANDARDS OF CONDUCT

In General: The Individual contractor shall neither seek nor accept instructions from any authority external to UNDP in connection with the performance of its obligations under the Individual Contract. Should any authority external to UNDP seek to impose any instructions on the Individual Contract regarding the Individual contractor's performance under the Individual Contract, the Individual contractor shall promptly notify UNDP and shall provide all reasonable assistance required by UNDP. The Individual contractor shall not take any action in respect of its performance of the Individual Contract or otherwise related to its obligations under the Individual Contract that may adversely affect the interests of UNDP, and the Individual contractor shall perform its obligations under the Individual Contract with the fullest regard to the interests of UNDP. The Individual contractor warrants that it has not and shall not offer any direct or indirect benefit arising from or related to the performance of the Individual Contract or the award thereof to any representative, official, employee or other agent of UNDP. The Individual contractor shall comply with all laws, ordinances, rules and regulations bearing upon the performance of its obligations under the Individual Contract. In the performance of the Individual Contract the Individual contractor shall comply with the standards of conduct set in the Secretary General's Bulletin ST/SGB/2002/9 of 18 June 2002, entitled "Regulations Governing the Status, Basic Rights and Duties of Officials other than Secretariat Officials, and Expert on Mission". The individual contractor must comply with all Security Directives issued by UNDP. Failure to comply with such security directives is grounds for termination of the Individual contractor for cause. Prohibition of Sexual Exploitation and Abuse: In the performance of the Individual Contract, the Individual contractor shall comply with the standards of conduct set forth in the Secretary-General's bulletin ST/SGB/2003/13 of 9 October 2003, concerning "Special measures for protection from sexual exploitation and sexual abuse". In particular, the Individual contractor shall not engage in any conduct that would constitute sexual exploitation or sexual abuse, as defined in that bulletin. The Individual contractor acknowledges and agrees that any breach of any of the provisions hereof shall constitute a breach of an essential term of the Individual Contract, and, in addition to any other legal rights or remedies available to any person, shall give rise to grounds for termination of the Individual Contract. In addition, nothing herein shall limit the right of UNDP to refer any alleged breach of the foregoing standards of conduct to the relevant national authorities for appropriate legal action.

#### 3. TITLE RIGHTS, COPYRIGHTS, PATENTS AND OTHER PROPRIETARY RIGHTS

Title to any equipment and supplies that may be furnished by UNDP to the Individual contractor for the performance of any obligations under the Individual Contract shall rest with UNDP, and any such equipment shall be returned to UNDP at the conclusion of the Individual Contract or when no longer needed by the Individual contractor. Such equipment, when returned to UNDP, shall be in the same condition as when delivered to the Individual contractor, subject to normal wear and tear, and the Individual contractor shall be liable to compensate UNDP for any damage or degradation of the equipment that is beyond normal wear and tear. UNDP shall be entitled to all intellectual property and other proprietary rights, including, but not limited to, patents, copyrights and trademarks, with regard to products, processes, inventions, ideas, know-how or documents and other materials which the Individual contractor has developed for UNDP under the Individual Contract and which bear a direct relation to or are produced or prepared or collected in consequence of, or during the course of, the performance of the Individual Contract, and the Individual contractor acknowledges and agrees that such products, documents and other materials constitute works made for hire for UNDP. However, to the extent that any such intellectual property or other proprietary rights consist of any intellectual property or other proprietary rights of the Individual contractor: (a) that pre-existed the performance by the Individual contractor of its obligations under the Individual Contract, or (b) that the Individual contractor may develop or acquire, or may have developed or acquired, independently of the performance of its obligations under the Individual Contract, UNDP does not and shall not claim any ownership interest thereto, and the Individual contractor grants to UNDP a perpetual licence to use such intellectual property or other proprietary right solely for the purposes of and in accordance with the requirements of the Contract. At the request of UNDP, the Individual contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring or licensing them to UNDP in compliance with the requirements of the applicable law and of the Individual Contract. Subject to the foregoing provisions, all maps, drawings, photographs, mosaics, plans, reports, estimates, recommendations, documents and all other data compiled by or received by the Individual contractor under the Individual Contract shall be the property of UNDP, shall be made available for use or inspection by UNDP at reasonable times and in reasonable places, shall be treated as confidential and shall be delivered only to UNDP authorized officials on completion of work under the Individual Contract.

#### 4. CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION

Information and data that are considered proprietary by either UNDP or the Individual contractor or that are delivered or disclosed by one of them ("Discloser") to the other ("Recipient") during the course of performance of the Individual Contract, and that are designated as confidential ("Information"), shall be held in confidence and shall be handled as follows. The Recipient of such Information shall use the same care and discretion to avoid disclosure, publication or dissemination of the Discloser's Information as it uses with its own similar information that it does not wish to disclose, publish or disseminate, and the Recipient may otherwise use the Discloser's Information solely for the purpose for which it was disclosed. The Recipient may disclose confidential Information to any other party with the Discloser's prior written consent, as well as to the Recipient's employees, officials, representatives and agents who have a need to know such confidential Information solely for purposes of performing obligations under the Individual Contract. Subject to and without any waiver of the privileges and immunities of UNDP, the Individual contractor may disclose Information to the extent required by law, provided that the Individual contractor will give UNDP sufficient prior notice of a request for the disclosure of Information in order to allow UNDP to have a reasonable opportunity to take protective measures or such other action as may be appropriate before any such disclosure is made. UNDP may disclose Information to the extent as required pursuant to the Charter of the United Nations, resolutions or regulations of the General Assembly or its other governing bodies, or rules promulgated by the Secretary-General. The Recipient shall not be recluded from disclosing Information that is obtained by the Recipient from a third party without restriction, is disclosed by the Discloser to a third party without any obligation of confidentiality, is previously known by the Recipient, or at any time is developed by the Recipient completely independently of any disclosures hereunder. These obligations and restrictions of confidentiality shall be effective during the term of the Individual Contract, including any extension thereof, and, unless otherwise provided in the Individual Contract, shall remain effective following any termination of the Individual Contract.

#### 5. TRAVEL, MEDICAL CLEARANCE AND SERVICE-INCURRED DEATH, INJURY OR ILLNESS

If the Individual contractor is required by UNDP to travel beyond commuting distance from the Individual contractor's usual place of residence, and upon prior written agreement, such travel shall be at the expense of UNDP. Such travel shall be at economy car e when by air, UNDP may require the Individual contractor to submit a Statement of Good Health from a recognized physician prior to commencement of work in any offices or premises of UNDP or before engaging in any travel required by UNDP or connected with the performance of the Individual Contract. The Individual contractor shall provide such a Statement of Good Health as soon as practicable following such request, and prior to engaging in any such travel, and the Individual contractor warrants the accuracy of any such Statement, including, but not limited to, confirmation that the Individual contractor has been fully informed regarding the requirements for inoculations for the country or countries to which travel may be authorized. In the event of the death, injury or illness of the Individual contractor which is attributable to the performance of services on behalf of UNDP under the terms of the Individual Contract while the Individual contractor is traveling at UNDP expense or is performing any services under the Individual Contract in any offices or premises of UNDP, the Individual contractor or the Individual contractor's dependants, as appropriate, shall be entitled to compensation equivalent to that provided under the UNDP insurance policy, available upon request.

#### 6. PROHIBITION ON ASSIGNMENT; MODIFICATIONS

The Individual contractor may not assign, delegate, transfer, pledge or make any other disposition of the Individual Contract, of any part thereof, or of any of the rights, claims or obligations under the Individual Contract except with the prior written authorization of UNDP, and any attempt to do so shall be null and void. The terms or conditions of any supplemental undertakings, licenses or other forms of Individual Contract concerning any goods or services to be provided under the Individual Contract shall not be valid and enforceable against UNDP nor in any way shall constitute an Individual Contract by UNDP thereto, unless any such undertakings, licenses or other forms of Individual Contract are the subject of a valid written undertaking by UNDP. No modification or change in the Individual Contract shall be valid and enforceable against UNDP unless provided by means of a valid written amendment to the Individual Contract signed by the Individual contractor and an authorized official or appropriate contracting authority of UNDP.

#### 7. SUBCONTRACTORS

In the event that the Individual contractor requires the services of subcontractors to perform any obligations under the Individual Contract, the Individual contractor shall obtain the prior written approval of UNDP for any such subcontractors. UNDP may, in its sole discretion, reject any proposed sub contractor or require such subcontractor's removal without having to give any justification therefore, and such rejection shall not entitle the Individual contractor to claim any delays in the performance, or to assert any excuses for the non-performance, of any of its obligations under the Individual Contract. The Individual contractor shall be solely responsible for all services and obligations performed by its subcontractors. The terms of any subcontract shall be subject to, and shall be construed in a manner that is fully in accordance with, all of the terms and conditions of the Individual Contract.

#### 8. USE OF NAME, EMBLEM OR OFFICIAL SEAL OF THE UNITED NATIONS

The Individual contractor shall not advertise or otherwise make public for purposes of commercial advantage or goodwill that it has a contractual relationship with UNDP, nor shall the Individual contractor, in any manner whatsoever, use the name, emblem or official seal of UNDP, or any abbreviation of the name of UNDP, in connection with its business or otherwise without the written permission of UNDP.

#### 9. INDEMNIFICATION

The Individual contractor shall indemnify, defend, and hold and save harmless UNDP, and its officials, agents and employees, from and against all suits, proceedings, claims, demands, losses and liability of any kind or nature, including, but not limited to, all litigation costs and expenses, attorney's fees, settlement payments and damages, based on, arising from, or relating to: (a) allegations or claims that the use by UNDP of any patented device, any copyrighted material or any other goods or services provided to UNDP for its use under the

terms of the Individual Contract, in whole or in part, separately or in combination, constitutes an infringement of any patent, copyright, trademark or other intellectual property right of any third party; or (b) any acts or omissions of the Individual contractor , or of any subcontractor or anyone directly or indirectly employed by them in the performance of the Individual Contract, which give rise to legal liability to anyone not a party to the Individual Contract, including, without limitation, claims and liability in the nature of a claim for workers' compensation.

#### **10. INSURANCE**

The Individual contractor shall pay UNDP promptly for all loss, destruction or damage to the property of UNDP caused by the Individual contractor, or of any subcontractor, or anyone directly or indirectly employed by them in the performance of the Individual Contract. The Individual contractor shall be solely responsible for taking out and for maintaining adequate insurance required to meet any of its obligations under the Individual Contract, as well as for arranging, at the Individual contractor's sole expense, such life, health and other forms of insurance as the Individual contractor may consider to be appropriate to cover the period during which the Individual contractor provides services under the Individual Contract. The Individual contractor acknowledges and agrees that none of the insurance arrangements the Individual contractor may make shall, in any way, be construed to limit the Individual contractor's liability arising under or relating to the Individual Contract.

#### 11. ENCUMBRANCES AND LIENS

The Individual contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with UNDP against any monies due to the Individual contractor or to become due for any work donor or against any goods supplied or materials furnished under the Individual Contract, or by reason of any other claim or demand against the Individual contractor.

#### 12. FORCE MAJEURE; OTHER CHANGES IN CONDITIONS

In the event of and as soon as possible after the occurrence of any cause constituting *force majeure*, the Individual contractor shall give notice and full particulars in writing to UNDP of such occurrence or cause if the Individual contractor is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under the Individual Contract. The Individual contractor shall also notify UNDP of any other changes in conditions or the occurrence of any event, which interferes or threatens to interfere with its performance of the Individual Contract. Not more than fifteen (15) days following the provision of such notice of *force majeure* or other changes in conditions or occurrence, the Individual contractor shall also submit a statement to UNDP of estimated expenditures that will likely be incurred for the duration of the change in conditions or the event. On receipt of the notice or notices required hereunder, UNDP shall take such action as it considers, in its sole discretion, to be appropriate or necessary in the circumstances, including the granting to the Individual contractor of a reasonable extension of

time in which to perform any obligations under the Individual Contract. If the Individual contractor is rendered permanently unable, wholly or in part, by reason of force majeure to perform its obligations and meet its responsibilities under the Individual Contract, UNDP shall have the right to suspend or terminate the Individual Contract on the same terms and conditions as are provided for below, under "Termination", except that the period of notice shall be five (5) days instead of any other period of notice. In any case, UNDP shall be entitled to consider the Individual contractor permanently unable to perform its obligations under the Individual Contract in the case of the Individual contractor's suffering any period of suspension in excess of thirty (30) days. Force majeure as used herein means any unforeseeable and irresistible act of nature, any act of war (whether declared or not), invasion, revolution, insurrection, or any other acts of a similar nature or force, provided that such acts arise from causes beyond the control and without the fault or negligence of the Individual contractor. The Individual contractor acknowledges and agrees that, with respect to any obligations under the Individual Contract that the Individual contractor must perform in or for any areas in which UNDP is engaged in, preparing to engage in, or disengaging from any peacekeeping, humanitarian or similar operations, any delay or failure to perform such obligations arising from or relating to harsh conditions within such areas or to any incidents of civil unrest occurring in such areas shall not, in and of itself, constitute force majeure under the Individual Contract.

#### **13. TERMINATION**

Either party may terminate the Individual Contract, in whole or in part, upon giving written notice to the other party. The period of notice shall be five (5) days in the case of Individual Contracts for a total period of less than two (2) months and fourteen (14) days in the case of contracts for a longer period. The initiation of conciliation or arbitral proceedings, as provided below, shall not be deemed to be a "cause" for or otherwise to be in itself a termination of the Individual Contract. UNDP may, without prejudice to any other right or remedy available to it, terminate the Individual Contract forthwith in the event that: (a) the Individual contractor is adjudged bankrupt, or is Article II, section 7, of the Convention on the Privileges and Immunities of the United Nations provides, inter alia, that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs restrictions, duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the exemptions of the United Nations from such taxes, restrictions, duties or charges, the Individual contractor shall immediately consult with UNDP to determine a mutually acceptable procedure. UNDP shall have no liability for taxes, duties or other similar charges payable by the Individual contractor in respect of any amounts paid to the Individual contractor under this Individual Contract, and the Individual contractor acknowledges that UNDP will not issue any statements of earnings to the Individual contractor in respect of any such payments, liquidated, or becomes insolvent, applies for moratorium or stay on any payment or repayment obligations, or applies to be declared insolvent; (b) the Individual contractor is granted a moratorium or a stay or is declared insolvent; the Individual contractor makes an assignment for the benefit of one or more of its creditors; (c) a Receiver is appointed on account of the insolvency of the Individual contractor; (d) the Individual contractor offers a settlement in lieu of bankruptcy or receivership; or (e) UNDP reasonably determines that the Individual contractor has become subject to a materially adverse change in its financial condition that threatens to endanger or otherwise substantially affect the ability of the Individual contractor to perform any of its obligations under the Individual Contract. In the event of any termination of the Individual Contract, upon receipt of notice of termination by UNDP, the Individual contractor shall, except as may be directed by UNDP in the notice of termination or otherwise in writing: (a) take immediate steps to bring the performance of any obligations under the Individual Contract to a close in a prompt and orderly manner, and in doing so, reduce expenses to a minimum; (b) refrain from undertaking any further or additional commitments under the Individual Contract as of and following the date of receipt of such notice; (c) deliver all completed or partially completed plans, drawings, information and other property that, if the Individual Contract had been completed, would be required to be furnished to UNDP thereunder; (d) complete performance of the work not terminated; and (e) take any other action that may be necessary, or that UNDP may direct in writing, for the protection and preservation of any property, whether tangible or intangible, related to the Individual Contract that is in the possession of the Individual contractor and in which UNDP has or may be reasonably expected to acquire an interest. In the event of any termination of the Individual Contract, UNDP shall only be liable to pay the Individual contractor compensation on a pro rata basis for no more than the actual amount of work performed to the satisfaction of UNDP in accordance with the requirements of the Individual Contract. Additional costs incurred by UNDP resulting from the termination of the Individual Contract by the Individual contractor may be withheld from any amount otherwise due to the Individual contractor from UNDP.

#### 14. NON-EXCLUSIVITY

UNDP shall have no obligation respecting, and no limitations on, its right to obtain goods of the same kind, quality and quantity, or to obtain any services of the kind described in the Individual Contract, from any other source at any time.

#### **15. TAXATION**

Article II, section 7, of the Convention on the Privileges and Immunities of the United Nations provides, *inter alia*, that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs restrictions, duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the exemptions of the United Nations from such taxes, restrictions, duties or charges, the Individual contractor shall immediately consult with UNDP to determine a mutually acceptable procedure. UNDP shall have no liability for taxes, duties or other similar charges payable by the Individual contractor in respect of any amounts paid to the Individual contractor under this Contract, and the Individual

contractor acknowledges that UNDP will not issue any statements of earnings to the Individual contractor in respect of any such payments.

#### 16. AUDIT AND INVESTIGATION

Each invoice paid by UNDP shall be subject to a post-payment audit by auditors, whether internal or external, of UNDP or by other authorized and qualified agents of UNDP at any time during the term of the Individual Contract and for a period of two (2) years following the expiration or prior termination of the Individual Contract. UNDP shall be entitled to a refund from the Individual contractor for any amounts shown by such audits to have been paid by UNDP other than in accordance with the terms and conditions of the Individual Contract. The Individual contractor acknowledges and agrees that, from time to time, UNDP may conduct investigations relating to any aspect of the Individual Contract or the award thereof, the obligations performed under the Individual Contract, and the operations of the Individual contractor generally relating to performance of the Individual Contract. The right of UNDP to conduct an investigation and the Individual contractor's obligation to comply with such an investigation shall not lapse upon expiration or prior termination of the Individual Contract. The Individual contractor shall provide its full and timely cooperation with any such inspections, post-payment audits or investigations. Such cooperation shall include, but shall not be limited to, the Individual contractor's obligation to make available its personnel and any relevant documentation for such purposes at reasonable times and on reasonable conditions and to grant to UNDP access to the Individual contractor's premises at reasonable times and on reasonable conditions in connection with such access to the Individual contractor's personnel and relevant documentation. The Individual contractor shall require its agents, including, but not limited to, the Individual contractor's attorneys, accountants or other advisers, to reasonably cooperate with any inspections, post-payment audits or investigations carried out by UNDP hereunder.

#### **17. SETTLEMENT OF DISPUTES**

Amicable Settlement: UNDP and the Individual contractor shall use their best efforts to amicably settle any dispute, controversy or claim arising out of the Individual Contract or the breach, termination or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the Conciliation Rules then obtaining of the United Nations Commission on International Trade Law ("UNCITRAL"), or according to such other procedure as may be agreed between the parties in writing. Arbitration: Any dispute, controversy or claim between the parties arising out of the Individual Contract, or the breach, termination, or invalidity thereof, unless settled amicably, as provided above, shall be referred by either of the parties to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The decisions of the arbitral tribunal shall be based on general principles of international commercial law. For all evidentiary questions, the arbitral tribunal shall be guided by the Supplementary Rules Governing the Presentation and Reception of Evidence in International Commercial Arbitration of the International Bar Association, 28 May 1983

edition. The arbitral tribunal shall be empowered to order the return or destruction of goods or any property, whether tangible or intangible, or of any confidential information provided under the Individual Contract, or order that any other protective measures be taken with respect to the goods, services or any other property, whether tangible or intangible, or of any confidential information provided under the Individual Contract, as appropriate, all in accordance with the authority of the arbitral tribunal pursuant to Article 26 ("Interim Measures of Protection") and Article 32 ("Form and Effect of the Award") of the UNCITRAL Arbitration Rules. The arbitral tribunal shall have no authority to award punitive damages. In addition, unless otherwise expressly provided in the Individual Contract, the arbitral tribunal shall have no authority to award interest in excess of the London Inter-Bank Offered Rate ("LIBOR") then prevailing, and any such interest shall be simple interest only. The parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such dispute, controversy or claim.

#### 18. LIMITATION ON ACTIONS:

Except with respect to any indemnification obligations in Article 7, above, or as are otherwise set forth in the Individual Contract, any arbitral proceedings in accordance with Article 17 above, arising out of the Individual Contract must be commenced within three years after the cause of action has accrued. The Parties further acknowledge and agree that, for these purposes, a cause of action shall accrue when the breach actually occurs, or, in the case of latent defects, when the injured Party knew or should have known all of the essential elements of the cause of action, or in the case of a breach of warranty, when tender of delivery is made, except that, if a warranty extends to future performance of the goods or any process or system and the discovery of the breach consequently must await the time when such goods or other process or system is ready to perform in accordance with the requirements of the Individual Contract, the cause of action accrues when such time of future performance actually begins.

#### 19. PRIVILEGES AND IMMUNITIES

Nothing in or relating to the Individual Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.