# **REQUEST FOR PROPOSALS**

RFP/MAR2015/004 Consultancy services for the update of the National Biodiversity Strategy and Action Plan for Mauritius 2015 - 2020

Project ID 00087316: National Biodiversity Planning to Support the Implementation of the CBD 2011-2020 Strategic Plan in Mauritius



**United Nations Development Programme** 

10 November 2015

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# Section 1. Letter of Invitation

Date: 10 November 2015

# RFP/MAR2015/004 Consultancy services for the update the National Biodiversity Strategy and Action Plan for Mauritius 2015 - 2020

Dear Mr./Mrs./Ms.:

The United Nations Development Programme (UNDP) hereby invites you to submit a Proposal to this Request for Proposal (RFP) for the above-referenced subject.

This RFP includes the following documents:

Section 1 - This Letter of Invitation

Section 2 - Instructions to Proposers (including Data Sheet)

Section 3 - Terms of Reference

Section 4 – Proposal Submission Form

Section 5 - Documents Establishing the Eligibility and Qualifications of the Proposer

Section 6 - Technical Proposal Form

Section 7 - Financial Proposal Form

Section 8 – Contract for Professional Services, including General Terms and Conditions

Your offer, comprising a Technical and Financial Proposal, in separate sealed envelopes, should be submitted in accordance with Section 2.

Should you require further clarifications, kindly communicate with the contact person identified in the attached Data Sheet as the focal person for queries on this RFP.

UNDP looks forward to receiving your Proposal and thank you in advance for your interest in UNDP procurement opportunities.

1/11

Yours sincerely

Keswar Leelah

**Operations Manager** 

# **Section 2: Instruction to Proposers**

#### Definitions

- a) "Contract" refers to the agreement that will be signed by and between the UNDP and the successful proposer, all the attached documents thereto, including the General Terms and Conditions (GTC) and the Appendices.
- b) "Country" refers to the country indicated in the Data Sheet.
- c) "Data Sheet" refers to such part of the Instructions to Proposers used to reflect conditions of the tendering process that are specific for the requirements of the RFP.
- d) "Day" refers to calendar day.
- e) "Government" refers to the Government of the country that will be receiving the services provided/rendered specified under the Contract.
- f) "Instructions to Proposers" (Section 2 of the RFP) refers to the complete set of documents that provides Proposers with all information needed and procedures to be followed in the course of preparing their Proposals
- g) "LOI" (Section 1 of the RFP) refers to the Letter of Invitation sent by UNDP to Proposers.
- h) "Material Deviation" refers to any contents or characteristics of the proposal that is significantly different from an essential aspect or requirement of the RFP, and: (i) substantially alters the scope and quality of the requirements; (ii) limits the rights of UNDP and/or the obligations of the offeror; and (iii) adversely impacts the fairness and principles of the procurement process, such as those that compromise the competitive position of other offerors.
- "Proposal" refers to the Proposer's response to the Request for Proposal, including the Proposal Submission Form, Technical and Financial Proposal and all other documentation attached thereto as required by the RFP.
- j) "Proposer" refers to any legal entity that may submit, or has submitted, a Proposal for the provision of services requested by UNDP through this RFP.
- k) "RFP" refers to the Request for Proposals consisting of instructions and references prepared by UNDP for purposes of selecting the best service provider to perform the services described in the Terms of Reference.
- I) "Services" refers to the entire scope of tasks and deliverables requested by UNDP under the RFP.
- m) "Supplemental Information to the RFP" refers to a written communication issued by UNDP to

prospective Proposers containing clarifications, responses to queries received from prospective Proposers, or changes to be made in the RFP, at any time after the release of the RFP but before the deadline for the submission of Proposals.

n) "Terms of Reference" (TOR) refers to the document included in this RFP as Section 3 which describes the objectives, scope of services, activities, tasks to be performed, respective responsibilities of the proposer, expected results and deliverables and other data pertinent to the performance of the range of duties and services expected of the successful proposer.

#### A. GENERAL

- UNDP hereby solicits Proposals in response to this Request for Proposal (RFP). Proposers must strictly adhere to all the requirements of this RFP. No changes, substitutions or other alterations to the rules and provisions stipulated in this RFP may be made or assumed unless it is instructed or approved in writing by UNDP in the form of Supplemental Information to the RFP.
- 2. Submission of a Proposal shall be deemed as an acknowledgement by the Proposer that all obligations stipulated by this RFP will be met and, unless specified otherwise, the Proposer has read, understood and agreed to all the instructions in this RFP.
- Any Proposal submitted will be regarded as an offer by the Proposer and does not constitute or imply the acceptance of any Proposal by UNDP. UNDP is under no obligation to award a contract to any Proposer as a result of this RFP.
- 4. UNDP implements a policy of zero tolerance on proscribed practices, including fraud, corruption, collusion, unethical practices, and obstruction. UNDP is committed to preventing, identifying and addressing all acts of fraud and corrupt practices against UNDP as well as third parties involved in UNDP activities. (See

http://www.undp.org/about/transparencydocs/UNDP Anti Fraud Policy English FINAL june 2011.pdf and

http://www.undp.org/content/undp/en/home/operations/procurement/procurement protest/ for full description of the policies)

- 5. In responding to this RFP, UNDP requires all Proposers to conduct themselves in a professional, objective and impartial manner, and they must at all times hold UNDP's interests paramount. Proposers must strictly avoid conflicts with other assignments or their own interests, and act without consideration for future work. All Proposers found to have a conflict of interest shall be disqualified. Without limitation on the generality of the above, Proposers, and any of their affiliates, shall be considered to have a conflict of interest with one or more parties in this solicitation process, if they:
  - 5.1 Are or have been associated in the past, with a firm or any of its affiliates which have been engaged UNDP to provide services for the preparation of the design, specifications, Terms of Reference, cost analysis/estimation, and other documents to be used for the procurement of the goods and services in this selection process;

- 5.2 Were involved in the preparation and/or design of the programme/project related to the services requested under this RFP; or
- 5.3 Are found to be in conflict for any other reason, as may be established by, or at the discretion of, UNDP.

In the event of any uncertainty in the interpretation of what is potentially a conflict of interest, proposers must disclose the condition to UNDP and seek UNDP's confirmation on whether or not such conflict exists.

- 6. Similarly, the Proposers must disclose in their proposal their knowledge of the following:
  - 6.1 That they are owners, part-owners, officers, directors, controlling shareholders, or they have key personnel who are family of UNDP staff involved in the procurement functions and/or the Government of the country or any Implementing Partner receiving services under this RFP; and
  - 6.2 All other circumstances that could potentially lead to actual or perceived conflict of interest, collusion or unfair competition practices.

Failure of such disclosure may result in the rejection of the proposal or proposals affected by the non-disclosure.

- 7. The eligibility of Proposers that are wholly or partly owned by the Government shall be subject to UNDP's further evaluation and review of various factors such as being registered as an independent entity, the extent of Government ownership/share, receipt of subsidies, mandate, access to information in relation to this RFP, and others that may lead to undue advantage against other Proposers, and the eventual rejection of the Proposal.
- 8. All Proposers must adhere to the UNDP Supplier Code of Conduct, which may be found at this link: <a href="http://web.ng.undp.org/procurement/undp-supplier-code-of-conduct.pdf">http://web.ng.undp.org/procurement/undp-supplier-code-of-conduct.pdf</a>

# **B. CONTENTS OF PROPOSAL**

#### 9. Sections of Proposal

Proposers are required to complete, sign and submit the following documents:

- 9.1 Proposal Submission Cover Letter Form (see RFP Section 4);
- 9.2 Documents Establishing the Eligibility and Qualifications of the Proposer (see RFP Section 5);
- 9.3 Technical Proposal (see prescribed form in RFP Section 6);
- 9.4 Financial Proposal (see prescribed form in RFP Section 7);
- 9.5 Proposal Security, if applicable (if required and as stated in the Data Sheet (DS nos. 9-11), see prescribed Form in RFP Section 8);
- 9.6 Any attachments and/or appendices to the Proposal.

#### 10. Clarification of Proposal

- 10.1 Proposers may request clarifications of any of the RFP documents no later than the date indicated in the Data Sheet (DS no. 16) prior to the proposal submission date. Any request for clarification must be sent in writing via courier or through electronic means to the UNDP address indicated in the Data Sheet (DS no. 17). UNDP will respond in writing, transmitted by electronic means and will transmit copies of the response (including an explanation of the query but without identifying the source of inquiry) to all Proposers who have provided confirmation of their intention to submit a Proposal.
- 10.2 UNDP shall endeavor to provide such responses to clarifications in an expeditious manner, but any delay in such response shall not cause an obligation on the part of UNDP to extend the submission date of the Proposals, unless UNDP deems that such an extension is justified and necessary.

#### 11. Amendment of Proposals

- 11.1 At any time prior to the deadline of Proposal submission, UNDP may for any reason, such as in response to a clarification requested by a Proposer, modify the RFP in the form of a Supplemental Information to the RFP. All prospective Proposers will be notified in writing of all changes/amendments and additional instructions through Supplemental Information to the RFP and through the method specified in the Data Sheet (DS No. 18).
  - 11.2 In order to afford prospective Proposers reasonable time to consider the amendments in preparing their Proposals, UNDP may, at its discretion, extend the deadline for submission of Proposals, if the nature of the amendment to the RFP justifies such an extension.

## C. PREPARATION OF PROPOSALS

#### 12. Cost

The Proposer shall bear any and all costs related to the preparation and/or submission of the Proposal, regardless of whether its Proposal was selected or not. UNDP shall in no case be responsible or liable for those costs, regardless of the conduct or outcome of the procurement process.

#### 13. Language

The Proposal, as well as any and all related correspondence exchanged by the Proposer and UNDP, shall be written in the language (s) specified in the **Data Sheet** (DS No 4). Any printed literature furnished by the Proposer written in a language other than the language indicated in the **Data Sheet**, must be accompanied by a translation in the preferred language indicated in the **Data Sheet**. For purposes of interpretation of the Proposal, and in the event of discrepancy or inconsistency in meaning, the version translated into the preferred language shall govern. Upon conclusion of a contract, the language of the contract shall govern the relationship between the contractor and UNDP.

#### 14. Proposal Submission Form

The Proposer shall submit the Proposal Submission Form using the form provided in Section 4 of this RFP.

#### 15. Technical Proposal Format and Content

Unless otherwise stated in the **Data Sheet** (DS no. 28), the Proposer shall structure the Technical Proposal as follows:

- 15.1 Expertise of Firm/Organization this section should provide details regarding management structure of the organization, organizational experience of organization/firm, the list of projects/contracts (both completed and ongoing, both domestic and international) which are related or similar in nature to the requirements of the RFP, and proof of financial stability and complete the services required by the RFP (see RFP clause 18 and DS No. 26 for further details). The same shall apply to any other entity participating in the RFP as a Joint Venture or Consortium.
- 15.2 Proposed Methodology, Approach and Implementation Plan this section should demonstrate the Proposer's response to the Terms of Reference by identifying the specific components proposed, how the requirements shall be addressed, as specified, point by point; providing a detailed description of the essential performance characteristics proposed; identifying the works/portions of the work that will be subcontracted; and demonstrating how the proposed methodology meets or exceeds the specifications, while ensuring appropriateness of the approach to the local conditions and the rest of the project operating environment. This methodology must be laid out in an implementation timetable that is within the duration of the contract as specified in the Data Sheet (DS nos. 29 and 30).

Proposers must be fully aware that the products or services that UNDP requires may be transferred, immediately or eventually, by UNDP to the Government partners, or to an entity nominated by the latter, in accordance with UNDP's policies and procedures. All proposers are therefore required to submit the following in their proposals:

- a) A statement of whether any import or export licences are goods to be purchased or services to be rendered, including any restrictions in the country of origin, use or dual use nature of the goods disposition to end users; and
- b) Confirmation that the Proposer has obtained license of this nature in the past, and have an expectation of obtaining all the necessary licenses, should their Proposal be rendered the most responsive.
- 15.3 Management Structure and Key Personnel This section should include the comprehensive curriculum vitae (CVs) of key personnel that will be assigned to support the implementation of the proposed methodology, clearly defining the roles and responsibilities vis-à-vis the proposed methodology. CVs should establish competence

and demonstrate qualifications in areas relevant to the TOR.

In complying with this section, the Proposer assures and confirms to UNDP that the personnel being nominated are available for the Contract on the dates proposed. If any of the key personnel later becomes unavailable, except for unavoidable reasons such as death or medical incapacity, among other possibilities, UNDP reserves the right to consider the proposal non-responsive. Any deliberate substitution arising from unavoidable reasons, including delay in the implementation of the project of programme through no fault of the Proposer shall be made only with UNDP's acceptance of the justification for substitution, and UNDP's approval of the qualification of the replacement who shall be either of equal or superior credentials as the one being replaced.

- 15.4 Where the **Data Sheet** requires the submission of the Proposal Security, the Proposal Security shall be included along with the Technical Proposal. The Proposal Security may be forfeited by UNDP, and reject the Proposal, in the event of any or any combination of the following conditions:
  - a) If the Proposer withdraws its offer during the period of the Proposal Validity specified in the Data Sheet (DS no. 11), or;
  - b) If the Proposal Security amount is found to be less than what is required by UNDP as indicated in the **Data Sheet** (DS no. 9), or;
  - c) In the case the successful Proposer fails:
    - i. to sign the Contract after UNDP has awarded it;
    - ii. to comply with UNDP's variation of requirement, as per RFP clause 35; or
    - iii. to furnish Performance Security, insurances, or other documents that UNDP may require as a condition to rendering the effectivity of the contract that may be awarded to the Proposer.

#### 16. Financial Proposals

The Financial Proposal shall be prepared using the attached standard form (Section 7). It shall list all major cost components associated with the services, and the detailed breakdown of such costs. All outputs and activities described in the Technical Proposal must be priced separately on a one-to-one correspondence. Any output and activities described in the Technical Proposal but not priced in the Financial Proposal, shall be assumed to be included in the prices of other activities or items, as well as in the final total price.

## 17. Currencies

All prices shall be quoted in the currency indicated in the **Data Sheet** (DS no. 15). However, where Proposals are quoted in different currencies, for the purposes of comparison of all Proposals:

- UNDP will convert the currency quoted in the Proposal into the UNDP preferred currency, in accordance with the prevailing UN operational rate of exchange on the last day of submission of Proposals; and
- b) In the event that the proposal found to be the most responsive to the RFP requirement is

quoted in another currency different from the preferred currency as per **Data Sheet** (DS no. 15), then UNDP shall reserve the right to award the contract in the currency of UNDP's preference, using the conversion method specified above.

Proposals submitted by two (2) or more Proposers shall all be rejected if they are found to have <u>any</u> of the following:

- a) they have at least one controlling partner, director or shareholder in common; or
- b) any one of them receive or have received any direct or indirect subsidy from the other/s; or
- c) they have the same legal representative for purposes of this RFP; or
- d) they have a relationship with each other, directly or through common third parties, that
  puts them in a position to have access to information about, or influence on the Proposal of,
  another Proposer regarding this RFP process;
- e) they are subcontractors to each other's Proposal, or a subcontractor to one Proposal also submits another Proposal under its name as lead Proposer; or
- f) an expert proposed to be in the team of one Proposer participates in more than one Proposal received for this RFP process. This condition does not apply to subcontractors being included in more than one Proposal.

#### 18. Documents Establishing the Eligibility and Qualifications of the Proposer

The Proposer shall furnish documentary evidence of its status as an eligible and qualified vendor, using the forms provided under Section 5, Proposer Information Forms. In order to award a contract to a Proposer, its qualifications must be documented to UNDP's satisfaction. These include, but are not limited to, the following:

- a) That, in the case of a Proposer offering to supply goods under the Contract which the Proposer did not manufacture or otherwise produce, the Proposer has been duly authorized by the goods' manufacturer or producer to supply the goods in the country of final destination;
- b) That the Proposer has the financial, technical, and production capability necessary to perform the Contract; and
- c) That, to the best of the Proposer's knowledge, it is not included in the UN 1267/1989 List or the UN Ineligibility List, nor in any and all of UNDP's list of suspended and removed vendors.

#### 19. Joint Venture, Consortium or Association

If the Proposer is a group of legal entities that will form or have formed a joint venture, consortium or association at the time of the submission of the Proposal, they shall confirm in their Proposal that: (i) they have designated one party to act as a lead entity, duly vested with authority to legally bind the members of the joint venture jointly and severally, and this shall be duly evidenced by a duly notarized Agreement among the legal entities, which shall be submitted along with the Proposal; and (ii) if they are awarded the contract, the contract shall be entered into, by and between UNDP and the designated lead entity, who shall be acting for and on behalf of all the member entities comprising the joint venture.

After the Proposal has been submitted to UNDP, the lead entity identified to represent the joint venture shall not be altered without the prior written consent of UNDP. Furthermore, neither

the lead entity nor the member entities of the joint venture can:

- a) Submit another proposal, either in its own capacity; nor
- b) As a lead entity or a member entity for another joint venture submitting another Proposal.

The description of the organization of the joint venture/consortium/association must clearly define the expected role of each of the entity in the joint venture in delivering the requirements of the RFP, both in the Proposal and the Joint Venture Agreement. All entities that comprise the joint venture shall be subject to the eligibility and qualification assessment by UNDP.

Where a joint venture is presenting its track record and experience in a similar undertaking as those required in the RFP, it should present such information in the following manner:

- a) Those that were undertaken together by the joint venture; and
- b) Those that were undertaken by the individual entities of the joint venture expected to be involved in the performance of the services defined in the RFP.

Previous contracts completed by individual experts working privately but who are permanently or were temporarily associated with any of the member firms cannot be claimed as the experience of the joint venture or those of its members, but should only be claimed by the individual experts themselves in their presentation of their individual credentials.

If a joint venture's Proposal is determined by UNDP as the most responsive Proposal that offers the best value for money, UNDP shall award the contract to the joint venture, in the name of its designated lead entity. The lead entity shall sign the contract for and on behalf of all other member entities.

#### 20. Alternative Proposals

Unless otherwise specified in the **Data Sheet** (DS nos. 5 and 6), alternative proposals shall not be considered. Where the conditions for its acceptance are met, or justifications are clearly established, UNDP reserves the right to award a contract based on an alternative proposal.

#### 21. Validity Period

Proposals shall remain valid for the period specified in the **Data Sheet** (DS no. 8), commencing on the submission deadline date also indicated in the **Data Sheet** (DS no. 21). A Proposal valid for a shorter period shall be immediately rejected by UNDP and rendered non-responsive.

In exceptional circumstances, prior to the expiration of the proposal validity period, UNDP may request Proposers to extend the period of validity of their Proposals. The request and the responses shall be made in writing, and shall be considered integral to the Proposal.

## 22. Proposer's Conference

When appropriate, a proposer's conference will be conducted at the date, time and location specified in the **Data Sheet** (DS no. 7). All Proposers are encouraged to attend. Non-attendance,

however, shall <u>not</u> result in disqualification of an interested Proposer. Minutes of the proposer's conference will be either posted on the UNDP website, or disseminated to the individual firms who have registered or expressed interest with the contract, whether or not they attended the conference. No verbal statement made during the conference shall modify the terms and conditions of the RFP unless such statement is specifically written in the Minutes of the Conference, or issued/posted as an amendment in the form of a Supplemental Information to the RFP.

# D. SUBMISSION AND OPENING OF PROPOSALS

#### 23. Submission

- 23.1 The Financial Proposal and the Technical Proposal Envelopes MUST BE COMPLETELY SEPARATE and each of them must be submitted sealed individually and clearly marked on the outside as either "TECHNICAL PROPOSAL" or "FINANCIAL PROPOSAL", as appropriate. Each envelope MUST clearly indicate the name of the Proposer. The outer envelopes shall bear the address of UNDP as specified in the Data Sheet (DS no.20) and shall include the Proposer's name and address, as well as a warning that state "not to be opened before the time and date for proposal opening" as specified in the Data Sheet (DS no. 24). The Proposer shall assume the responsibility for the misplacement or premature opening of Proposals due to improper sealing and labeling by the Proposer.
- 23.2 Proposers must submit their Proposals in the manner specified in the Data Sheet (DS nos. 22 and 23). When the Proposals are expected to be in transit for more than 24 hours, the Proposer must ensure that sufficient lead time has been provided in order to comply with UNDP's deadline for submission. UNDP shall indicate for its record that the official date and time of receiving the Proposal is the actual date and time when the said Proposal has physically arrived at the UNDP premises indicated in the Data Sheet (DS no. 20).
- 23.3 Proposers submitting Proposals by mail or by hand shall enclose the original and each copy of the Proposal, in separate sealed envelopes, duly marking each of the envelopes as "Original Proposal" and "Copy of Proposal" as appropriate. The 2 envelopes shall then be sealed in an outer envelope. The number of copies required shall be as specified in the Data Sheet (DS No. 19). In the event of any discrepancy between the contents of the "Original Proposal" and the "Copy of Proposal", the contents of the original shall govern. The original version of the Proposal shall be signed or initialed by the Proposer or person(s) duly authorized to commit the Proposer on every page. The authorization shall be communicated through a document evidencing such authorization issued by the highest official of the firm, or a Power of Attorney, accompanying the Proposal.
- 23.4 Proposers must be aware that the mere act of submission of a Proposal, in and of itself, implies that the Proposer accepts the General Contract Terms and Conditions of UNDP as attached hereto as Section 11.

#### 24. Deadline for Submission of Proposals and Late Proposals

Proposals must be received by UNDP at the address and no later than the date and time specified in the **Data Sheet** (DS nos. 20 and 21).

UNDP shall not consider any Proposal that arrives after the deadline for submission of Proposals. Any Proposal received by UNDP after the deadline for submission of Proposals shall be declared late, rejected, and returned unopened to the Proposer.

# 25. Withdrawal, Substitution, and Modification of Proposals

- 25.1 Proposers are expected to have sole responsibility for taking steps to carefully examine in detail the full consistency of its Proposals to the requirements of the RFP, keeping in mind that material deficiencies in providing information requested by UNDP, or lack clarity in the description of services to be provided, may result in the rejection of the Proposal. The Proposer shall assume the responsibility regarding erroneous interpretations or conclusions made by the Proposer in the course of understanding the RFP out of the set of information furnished by UNDP.
- 25.2 A Proposer may withdraw, substitute or modify its Proposal after it has been submitted by sending a written notice in accordance with Clause 23.1, duly signed by an authorized representative, and shall include a copy of the authorization (or a Power of Attorney). The corresponding substitution or modification of the Proposal must accompany the respective written notice. All notices must be received by UNDP prior to the deadline for submission and submitted in accordance with RFP Clause 23.1 (except that withdrawal notices do not require copies). The respective envelopes shall be clearly marked "WITHDRAWAL," "SUBSTITUTION," or MODIFICATION".
- 25.3 Proposals requested to be withdrawn shall be returned unopened to the Proposers.
- 25.4 No Proposal may be withdrawn, substituted, or modified in the interval between the deadline for submission of Proposals and the expiration of the period of proposal validity specified by the Proposer on the Proposal Submission Form or any extension thereof.

#### 26. Proposal Opening

UNDP will open the Proposals in the presence of an ad-hoc committee formed by UNDP of at least two (2) members. If electronic submission is permitted, any specific electronic proposal opening procedures shall be as specified in the **Data Sheet** (DS no. 23).

The Proposers' names, modifications, withdrawals, the condition of the envelope labels/seals, the number of folders/files and all other such other details as UNDP may consider appropriate, will be announced at the opening. No Proposal shall be rejected at the opening stage, except for late submission, for which the Proposal shall be returned unopened to the Proposer.

#### 27. Confidentiality

Information relating to the examination, evaluation, and comparison of Proposals, and the recommendation of contract award, shall not be disclosed to Proposers or any other persons not officially concerned with such process, even after publication of the contract award.

Any effort by a Proposer to influence UNDP in the examination, evaluation and comparison of the Proposals or contract award decisions may, at UNDP's decision, result in the rejection of its Proposal.

In the event that a Proposer is unsuccessful, the Proposer may seek a meeting with UNDP for a debriefing. The purpose of the debriefing is discussing the strengths and weaknesses of the Proposer's submission, in order to assist the Proposer in improving the proposals presented to UNDP. The content of other proposals and how they compare to the Proposer's submission shall not be discussed.

#### E. EVALUATION OF PROPOSALS

#### 28. Preliminary Examination of Proposals

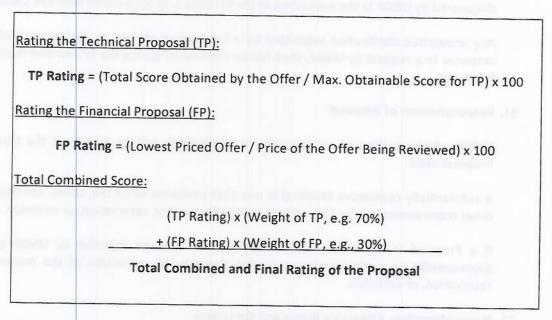
UNDP shall examine the Proposals to determine whether they are complete with respect to minimum documentary requirements, whether the documents have been properly signed, whether or not the Proposer is in the UN Security Council 1267/1989 Committee's list of terrorists and terrorist financiers, and in UNDP's list of suspended and removed vendors, and whether the Proposals are generally in order, among other indicators that may be used at this stage. UNDP may reject any Proposal at this stage.

#### 29. Evaluation of Proposals

- 29.1 UNDP shall examine the Proposal to confirm that all terms and conditions under the UNDP General Terms and Conditions and Special Conditions have been accepted by the Proposer without any deviation or reservation.
- 29.2 The evaluation team shall review and evaluate the Technical Proposals on the basis of their responsiveness to the Terms of Reference and other documentation provided, applying the evaluation criteria, sub-criteria, and point system specified in the Data Sheet (DS no. 32). Each responsive Proposal will be given a technical score. A Proposal shall be rendered non-responsive at this stage if it does not substantially respond to the RFP particularly the demands of the Terms of Reference, which also means that it fails to achieve the minimum technical score indicated in the Data Sheet (DS no. 25). Absolutely no changes may be made by UNDP in the criteria, sub-criteria and point system indicated in the Data Sheet (DS no. 32) after all Proposals have been received.
- 29.3 In the second stage, only the Financial Proposals of those Proposers who achieve the minimum technical score will be opened for evaluation for comparison and review. The Financial Proposal Envelopes corresponding to Proposals that did not meet the minimum

passing technical score shall be returned to the Proposer unopened. The overall evaluation score will be based either on a combination of the technical score and the financial offer, or the lowest evaluated financial proposal of the technically qualified Proposers. The evaluation method that applies for this RFP shall be as indicated in the **Data Sheet** (DS No. 25).

When the Data Sheet specifies a combined scoring method, the formula for the rating of the Proposals will be as follows:



- 29.4 UNDP reserves the right to undertake a post-qualification exercise aimed at determining, to its satisfaction the validity of the information provided by the Proposer. Such post-qualification shall be fully documented and, among those that may be listed in the Data Sheet (DS No.33), may include, but need not be limited to, all or any combination of the following:
  - Verification of accuracy, correctness and authenticity of information provided by the Proposer on the legal, technical and financial documents submitted;
  - b) Validation of extent of compliance to the RFP requirements and evaluation criteria based on what has so far been found by the evaluation team;
  - Inquiry and reference checking with Government entities with jurisdiction on the Proposer, or any other entity that may have done business with the Proposer;
  - Inquiry and reference checking with other previous clients on the quality of performance on ongoing or previous contracts completed;
  - e) Physical inspection of the Proposer's offices, branches or other places where business transpires, with or without notice to the Proposer;
  - f) Quality assessment of ongoing and completed outputs, works and activities similar to the requirements of UNDP, where available; and
  - g) Other means that UNDP may deem appropriate, at any stage within the selection process, prior to awarding the contract.

#### 30. Clarification of Proposals

To assist in the examination, evaluation and comparison of Proposals, UNDP may, at its discretion, ask any Proposer for a clarification of its Proposal.

UNDP's request for clarification and the response shall be in writing. Notwithstanding the written communication, no change in the prices or substance of the Proposal shall be sought, offered, or permitted, except to provide clarification, and confirm the correction of any arithmetic errors discovered by UNDP in the evaluation of the Proposals, in accordance with RFP Clause 32.

Any unsolicited clarification submitted by a Proposer in respect to its Proposal, which is not a response to a request by UNDP, shall not be considered during the review and evaluation of the Proposals.

#### 31. Responsiveness of Proposal

UNDP's determination of a Proposal's responsiveness will be based on the contents of the Proposal itself.

A substantially responsive Proposal is one that conforms to all the terms, conditions, TOR and other requirements of the RFP without material deviation, reservation, or omission.

If a Proposal is not substantially responsive, it shall be rejected by UNDP and may not subsequently be made responsive by the Proposer by correction of the material deviation, reservation, or omission.

#### 32. Nonconformities, Reparable Errors and Omissions

Provided that a Proposal is substantially responsive, UNDP may waive any non-conformities or omissions in the Proposal that, in the opinion of UNDP, do not constitute a material deviation.

Provided that a Proposal is substantially responsive, UNDP may request the Proposer to submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities or omissions in the Proposal related to documentation requirements. Such omission shall not be related to any aspect of the price of the Proposal. Failure of the Proposer to comply with the request may result in the rejection of its Proposal.

Provided that the Proposal is substantially responsive, UNDP shall correct arithmetical errors as follows:

- a) if there is a discrepancy between the unit price and the line item total that is obtained by multiplying the unit price by the quantity, the unit price shall prevail and the line item total shall be corrected, unless in the opinion of UNDP there is an obvious misplacement of the decimal point in the unit price, in which case the line item total as quoted shall govern and the unit price shall be corrected;
- b) if there is an error in a total corresponding to the addition or subtraction of subtotals, the subtotals shall prevail and the total shall be corrected; and

c) if there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail subject to the above.

If the Proposer does not accept the correction of errors made by UNDP, its Proposal shall be rejected.

#### F. AWARD OF CONTRACT

# 33. Right to Accept, Reject, or Render Non-Responsive Any or All Proposals

UNDP reserves the right to accept or reject any Proposal, to render any or all of the Proposals as non-responsive, and to reject all Proposals at any time prior to award of contract, without incurring any liability, or obligation to inform the affected Proposer(s) of the grounds for UNDP's action. Furthermore, UNDP shall not be obliged to award the contract to the lowest price offer.

UNDP shall also verify, and immediately reject their respective Proposal, if the Proposers are found to appear in the UN's Consolidated List of Individuals and Entities with Association to Terrorist Organizations, in the List of Vendors Suspended or Removed from the UN Secretariat Procurement Division Vendor Roster, the UN Ineligibility List, and other such lists that as may be established or recognized by UNDP policy on Vendor Sanctions. (See <a href="http://www.undp.org/content/undp/en/home/operations/procurement/procurement protest/for details">http://www.undp.org/content/undp/en/home/operations/procurement/procurement protest/for details</a>)

#### 34. Award Criteria

Prior to expiration of the period of proposal validity, UNDP shall award the contract to the qualified Proposer with the highest total score based on the evaluation method indicated in the **Data Sheet** (DS nos. 25 and 32).

# 35. Right to Vary Requirements at the Time of Award

At the time of award of Contract, UNDP reserves the right to vary the quantity of services and/or goods, by up to a maximum twenty five per cent (25%) of the total offer, without any change in the unit price or other terms and conditions.

#### 36. Contract Signature

Within fifteen (15) days from the date of receipt of the Contract, the successful Proposer shall sign and date the Contract and return it to UNDP.

Failure of the successful Proposer to comply with the requirement of RFP Clause 35 and this provision shall constitute sufficient grounds for the annulment of the award, and forfeiture of the Proposal Security if any, and on which event, UNDP may award the Contract to the Proposer with the second highest rated Proposal, or call for new Proposals.

#### 37. Performance Security

A performance security, if required, shall be provided in the amount and form provided in Section 9 and by the deadline indicated in the **Data Sheet** (DS no. 14), as applicable. Where a Performance Security will be required, the submission of the said document, and the confirmation of its acceptance by UNDP, shall be a condition for the effectivity of the Contract that will be signed by and between the successful Proposer and UNDP.

#### 38. Bank Guarantee for Advanced Payment

Except when the interests of UNDP so require, it is the UNDP's preference to make no advanced payment(s) on contracts (i.e., payments without having received any outputs). In the event that the Proposer requires an advanced payment upon contract signature, and if such request is duly accepted by UNDP, and the said advanced payment exceeds 20% of the total proposal price, or exceed the amount of USD 30,000, UNDP shall require the Proposer to submit a Bank Guarantee in the same amount as the advanced payment. A bank guarantee for advanced payment shall be furnished in the form provided in Section 10.

#### 39. Vendor Protest

UNDP's vendor protest procedure provides an opportunity for appeal to those persons or firms not awarded a purchase order or contract through a competitive procurement process. In the event that a Proposer believes that it was not treated fairly, the following link provides further details regarding UNDP vendor protest procedures:

http://www.undp.org/procurement/protest.shtml

# **Instructions to Proposers**

# **DATA SHEET**

The following data for the services to be procured shall complement, supplement, or amend the provisions in the Instruction to Proposers. In the case of a conflict between the Instructions to Proposers, the Data Sheet, and other annexes or references attached to the Data Sheet, the provisions in the Data Sheet shall govern.

# Table 1: Data Sheet

DS No.	Cross Ref. to Instruc- tions		Data	Specific Instructions / Requirements
1	coultan	Project Titl	e:	National Biodiversity Planning to Support the Implementation o the CBD 2011-2020 Strategic Plan in Mauritius
2		Title of Ser	vices/Work:	Consultancy services for the update the National Biodiversity Strategy and Action Plan for Mauritius 2015 - 2020
3		Country / F Location:	Region of Work	Mauritius
4	C.13	Language o	of the Proposal:	English
5	C.20		for Submitting for Parts or sub-parts	Not allowed
6	C.20	Conditions Alternative	for Submitting Proposals	Shall not be considered
7	C.22	A pre-prop be held on	osal conference will	N/A
8	C.21	Contract the Contract of the C	roposal Validity ng on the submission	120 days
9	B.9.5 C.15.4 b)	Proposal S	ecurity	N/A

10	B.9.5	Acceptable forms of Proposal Security <sup>1</sup>	N/A
11	B.9.5 C.15.4 a)	Validity of Proposal Security	N/A
12		Advanced Payment upon signing of contract	N/A
13		Liquidated Damages	Will not be imposed
14	F.37	Performance Security	N/A
15	C.17, C.17 b)	Preferred Currency of Proposal and Method for Currency conversion	United States Dollars (US\$)  Reference date for determining UN Operational Exchange Rate: 1 0 November 2015
16	B.10.1	Deadline for submitting requests for clarifications/ questions	17 November 2015
17	B.10.1	Contact Details for submitting clarifications/questions <sup>2</sup>	Focal Person: Nishi Sewsurn, Procurement Assistant Address: UNDP Office 6 <sup>th</sup> Floor Anglo-Mauritius House Intendance Street Port Louis Mauritius Fax No.: (+230) 212 3726 E-mail address dedicated for this purpose: nishi.sewsurn@undp.org
18	B.11.1	Manner of Disseminating Supplemental Information to the RFP and responses/clarifications to queries	Direct communication to prospective Proposers by email or fax, and Posting on the website <sup>3</sup> UN HQ http://procurement-notices.undp.org/ CO Website www.mu.undp.org UN GM www.ungm.org

<sup>&</sup>lt;sup>1</sup> Surety bonds or other instruments issued by non-bank Financial Institutions are least preferred by UNDP. Unless stated otherwise, they shall be considered unacceptable to UNDP.

<sup>&</sup>lt;sup>2</sup> This contact person and address is officially designated by UNDP. If inquiries are sent to other person/s or address/es, even if they are UNDP staff, UNDP shall have no obligation to respond nor can UNDP confirm that the query was officially received.

<sup>&</sup>lt;sup>3</sup> Posting on the website shall be supplemented by directly transmitting the communication to the prospective offerors.

19	D.23.3	No. of copies of Proposal that must be submitted [if transmitted by courier]	The Offeror shall seal the Proposal in one outer and two inner envelopes, as detailed below.  (a) The outer envelope shall be addressed to, Head or Procurement Unit, United Nations Development Programme and marked with — RFP/MAR2015/004 provision of consultancy services to update the Nationa Biodiversity Strategy and Action Plan for Mauritius 2015—2020  (b) Both inner envelopes should be clearly marked on the outside as either "TECHNICAL PROPOSAL" or "FINANCIAL PROPOSAL" as appropriate and indicate clearly the name
	nin më		of the Proposer.  The first inner envelope (Technical Proposal) shall contain the information pertaining to the operational and technical part of the proposal, with the copies duly marked "Original" and "Copy". The second inner envelope (Financial Proposal) shall include the price schedule duly identified as such.
		ESC 1980 S	Note, if the inner envelopes are not sealed and marked, the procuring UNDP entity will not assume responsibility for the Proposal's misplacement or premature opening.
			Additional Information:  One original and three copies in hard format (i.e. printed on paper) of the proposal (Financial and Technical) should be submitted and 1 soft copy on CD for each of the technical and financial proposals.
			The CDs shall be clearly labelled with the name of project, title of Consultancy services, and name of bidder. Financial Proposal and the Technical Proposal Envelopes must be completely separate and each of them must be submitted sealed individually.
			Additionally, each envelope should be clearly marked RFP/MAR2015/004 provision of consultancy services to update the National Biodiversity Strategy and Action Plan for Mauritius 2015 - 2020

20	D.23.1 D.23.2 D.24	Proposal Submission Address	Attention: The Head of Procurement Unit UNDP Mauritius and Seychelles Country Office 6th Floor, Anglo Mauritius House Intendance Street P.O Box 253 Port Louis Mauritius
21	C.21 D.24	Deadline of Submission	Date : 10 December 2015 Time : 16 00 hours local time
22	D.23.2	Allowable Manner of Submitting Proposals	Courier/Hand Delivery
23	D.23.2 D.26	Conditions and Procedures for electronic submission and opening, if allowed	N/A
24	D.23.1	Date, time and venue for opening of Proposals (For information only)	Date: 11 <u>December 2015</u> Time: 10 30 hrs Local Time  Venue: UNDP Mauritius and Seychelles Country Office 6th Floor, Anglo Mauritius House Intendance Street P.O Box 253 Port Louis Mauritius

25	E.29.2 E.29.3 F.34	Evaluation method to be used in selecting the most responsive Proposal	Combined Scoring Method, using the 70%-30% distribution for technical and financial proposals, respectively, where minimum passing score of technical proposal is 70%.
26	C.15.1	Required Documents that must be Submitted to Establish Qualification of Proposers (In "Certified True Copy" form only)	<ul> <li>Company Profile, which should not exceed fifteen (15) pages, including printed brochures and product catalogues relevant to the goods/services being procured</li> <li>Official Letter of Appointment as local representative, if Proposer is submitting a Proposal on behalf of an entity located outside the country</li> <li>Quality Certificate (e.g., ISO, etc.) and/or other similar certificates, accreditations, awards and citations received by the Proposer, if any</li> <li>Patent Registration Certificates, if any of technologies submitted in the Proposal is patented by the Proposer</li> <li>Audited Financial Statement for the last three years (Income Statement and Balance Sheet) including Auditor's Report</li> <li>Statement of Satisfactory Performance from the Top three (3) Clients in terms of Contract Value the past ten (10) years</li> <li>All information regarding any past and current litigation during the last five (5) years, in which the Proposer is involved, indicating the parties concerned, the subject of the litigation, the amounts involved, and the final resolution if already concluded.</li> </ul>
27	artang na dina fattan awa posta diffrantan authrana aut	Other documents that may be Submitted to Establish Eligibility	<ul> <li>Bidders to confirm that they are not on the removed or suspended vendor list of the UN or other such lists of other UN agencies, nor are we associated with, any company or individual appearing on the 1267/1989 list of the UN Security Council.</li> <li>All experts shall provide an original signed letter of availability and association for the duration of the assignment. Note: In the event of their unavailability at execution stage, the Consultant shall be under the obligation to provide a replacement of equal or better calibre at no extra cost to the Client.</li> </ul>
28	C.15	Structure of the Technical Proposal (only if different from the provision of Section 12)	n/a
29	C.15.2	Latest Expected date for commencement of Contract	25 January 2016

30	C.15.2	Expected duration of contract (Target Commencement Date and Completion Date)	75 days over a period of four (4) months
31	esove_fi	UNDP will award the contract to:	One Proposer only
32	E.29.2 F.34	Criteria for the Award of Contract and Evaluation of Proposals	(See Tables below)
33	E.29.4	Post-Qualification Actions	<ul> <li>Verification of accuracy, correctness and authenticity of the information provided by the Proposer on the legal, technical and financial documents submitted;</li> <li>Validation of extent of compliance to the RFP requirements and evaluation criteria based on what has so far been found by the evaluation team;</li> <li>Inquiry and reference checking with Government entities with jurisdiction on the Proposer, or other entity that may have done business with Proposer;</li> <li>Inquiry and reference checking with other previous clients on the quality of performance on ongoing or previous contracts completed</li> </ul>
34	ene con shich the	Conditions for Determining Contract Effectivity	Signature of contract
35	or on the control or other or the 3 control or the 3 control or the 3 control or the 3 control or to the control or to t	Other Information Related to the RFP	For this assignment, the UNDP/Ministry of Agro Industry and Food Security and other Government partners will provide support for the resolution of questions arising with respect to the contract.  The Consultants shall take due note of the following when preparing its proposal:  (i) All experts shall be IT literate and have excellent working knowledge in the use of Microsoft Office tools;  (ii) no expert shall assume more than one post;  (iii) bidders are advised to is provided in the CV qualifications and experience claimed.

The provided in the life and the

29 C.15.2 Literatory ethic detuction

Table 2: Summary of Technical Proposal Evaluation Forms

	Summary of Technical Proposal Evaluation Forms	Score Weight	Points Obtainable
1.	Expertise of Firm/Organisation	25%	250
2.	Adequacy of proposed methodology, approach and implementation Plan	25%	250
3.	Management Structure and Qualification of Consultants	50%	500
	Total		1000

Table 3: Technical Proposal Evaluation - Form 1

SN	Description	Max Pt
Exper	tise of the Firm/Organization	
1	General Experience of the firm in the area of biodiversity management	50
	5 - 10 years (40 points)	
	More than 10 years (50 points)	50
2	Specific Experience:	200
	Biodiversity target setting and update of NBSAP	
	1 - 5 projects (20 points)	25
	More than 5 projects (25 points)	
	Water Ecosystem Valuation:	
	1 - 5 projects (20 points)	25
	More than 5 projects (25 points)	
	Cross-sectoral Biodiversity Mainstreaming:	
	1 - 5 projects (20 points)	25
	More than 5 projects (25 points)	
1971-7	Ecosystem-Based Adaptation and Resilience	
	1 - 5 projects (20 points)	25
	More than 5 projects (25 points)	
	Monitoring and evaluation framework	
	1 - 5 projects (20 points)	25
	More than 5 projects (25 points)	
	Capacity Building:	
	1 - 5 projects (10 points)	15
	More than 5 projects (15 points)	A L fragget you in Lite
	Stakeholder Consultation:	15
	1 - 5 projects (10 points)	15
	More than 5 projects (15 points)	***

Experience in SIDS:	No.
1 - 5 projects (10 points)	15
More than 5 projects (15 points)	
Experience in Mauritius:	Ministracy and the second
1 - 5 projects (10 points)	15
More than 5 projects (15 points)	
Previous work for UNDP:	84 longing di 1
1 - 5 projects (10 points)	15
More than 5 projects (15 points)	
Total part 1	250

# Table 4: Technical Proposal Evaluation -- Form 2

SN	Description	Max Pts
	Adequacy of proposed methodology, approach and implementation	on Plan
1	Technical approach and methodology	125
	Understanding of the objectives of the assignment	40
	Interpretation of scope of tasks in line with the Terms of Reference	40
	Methodology for carrying out the activities and obtaining the expected output/Degree of detail of output	45
2	Workplan	125
	Main activities of the assignment and its logical sequences	65
	Efficient implementation plan, milestones and delivery dates of the project	60
	Total part 2	250

# Table 5: Technical Proposal Evaluation - Form 3

SN	Description	Max Pts
	Management Structure and Qualification of Consultant	ts
Man	agement structure and key personnel	
1	Management structure	100
****	Composition of team - all relevant consultants are as requested in the TOR	50
	Organisational structure of the consultants	50
2	Key expert qualifications and competence for assignment	400
2.1	Key Expert 1 (Team Leader) - Biodiversity management, Planning, Mainstreaming	Monitoring and Cross-Sectoral
A	General Academic Qualification: Advanced degree in life science, natural resour conservation, environmental planning, developmental studies, social sciences are	

	Masters (15 points)	20		
	Phd (20 points)			
В	Experience and language:			
	Previous experience in drafting relevant materials in the area of biodiversity management			
	1 - 5 documents (7 points)  More than 5 documents (10 points)			
	Experience in developing monitoring and evaluation frameworks			
	5 - 10 years (7 points)			
	More than 10 years (10 points)			
	Experience working with key environmental policy and planning agencies and institutions in Mauritius.			
	1 - 5 projects (5 points)			
	More than 5 projects (10 points)			
	Demonstrated leadership, facilitation and coordination skills, ability for managing timely and effective delivery of both quantity and quality work on time	10		
	1 - 5 projects (7 points)	A description		
	More than 5 projects (10 points)			
	Experience in biodiversity planning, strategies, action plans			
	1 - 5 projects (5 points)			
	More than 5 projects (10 points)			
	Experience in working in the field of mainstreaming conservation into economic sectors			
	At least 1 project (5 points)			
	2 - 5 projects (7 points)			
	More than 5 projects (10 points)			
	Knowledge of SIDS Biodiversity challenges	10		
	At least 1 project (5 points)			
	2 - 5 projects (7 points)			
	More than 5 projects (10 points)			
	F. II			
	Excellent communication skills - written and oral (English and French)	10		
	English only (7 points)			
	English and French (10 points)	589		
2.2	Key Expert 2 - Ecosystem services valuation			
A	General Academic Qualification: Advanced degree in environmental economics or any related fi	ield 20		
	Masters (15 points)	23 13		
	PhD(20 points)	100		
В	Experience and language:			
	Experience in multi-disciplinary environmental, biodiversity or natural resource economics, ecosystem services valuation			
	7-10 years (15 points)	20		
	More than 10 years (20 points)			

	Experience of climate change, forest /water ecosystem services and protected areas issues in SIDS		
	1 - 5 projects (7points)  More than 5 projects (10 points)	10	
	Experience in capacity development and training in economic valuation field		
	1 - 5 projects (15 points)	20	
	More than 5 projects (20 points)		
	Experience in cost-benefits analysis, economic valuation methodologies, scenario testing		
	At least 1 report and publications (10 points)	20	
	2 - 5 reports and/or publications (15 points)	20	
	More than 5 reports and/or publications (20 points)		
	Excellent communication skills - written and oral (English and French)	10	
	English only (10 points)		
	English and French (15 points)		
2.3	Key Expert 3- Ecosystem-Based Adaptation and Resilience		
A	General Academic Qualification: Master's degree in environmental economics, ecology, conservation biology, environmental science or other related areas.	30	
	Masters (25 points)		
	Phd (30 points)		
В	Experience and language:		
	Experience working on ecosystem services or ecological or environmental aspects of land and protected area management in Mauritius.	20	
	At least 1 project (10 points)		
	2 - 5 projects (15 points)		
	More than 5 projects (20 points)		
	Experience in spatial zoning schemes, land use classification, conservation area demarcation, knowledge of GIS		
	1 - 5 projects (15 points)	20	
	More than 5 projects (20 points)		
	Experience in conducting cost benefit analyses in public sector decision-making.	20	
	At least 1 project (10 points)		
	2 - 5 projects (15 points)		
	More than 5 projects (20 points)		
	Excellent communication skills - written and oral (English and French)	10	
	English only (10 points)		
	English and French (15 points)		
2.4	Key Expert 4 – Development of web based applications and on-line databases		
Α	General Academic Qualification: Advanced university degree in information and communication	30	
_	technology (ICT), or a first degree with relevant combination of academic and professional qualifications.		
	First degree with relevant academic and professional qualifications (15 points)  Master's degree (30 points)		
В	Experience and language:		
	Professional experience in designing, implementing and maintaining web applications, on-line databases, search engines and web 2.0	20	
		28	

5-10 years (15 points) More than 10 years (20 points)	
Practical experience with Web 2.0 technologies, including jQuery, JSON, AJAX, REST and SOAP	
1 - 5 projects (15 points)  More than 5 projects (20 points)	20
Experience in web-related languages, protocols especially HTML, XHTML, CSS, Javascript, XML, HTTP and C# programming, ASP.NET 3.5, the Visual Studio development environment, as well as the SubVersion (SVN) source control environment.	43
At least 1 project (5 points) 2 - 5 projects (10 points) More than 5 projects (20 points)	. 20
Experience in website design techniques, including graphical design, web standards and usability guidelines as well as the ability to develop secure information systems	
At least 1 project (5 points) 2 - 5 projects (7 points) More than 5 projects (10 points)	10

- Degree Holder means any relevant degree in the field of expertise as defined in the Team Composition component of Section 3 – Terms of Reference.
- For each of the consultants, referees contact details should be provided.

# Section 3: Terms of Reference

Provision of consultancy services for the update of the National Biodiversity Strategy and Action

Plan for Mauritius 2015 – 2020

# 1. Project Background:

The NBSAP project is part of the second generation of Biodiversity Enabling Activities (BD EA) under the GEF. Mauritius has been Party to the Convention on Biological Diversity (CBD) since 4<sup>th</sup> September 1992. The project addresses the country's need to continue to fulfil its obligations under the CBD, with particular focus on the Convention's Article 6 and the CBD COP Decision X/2. Above all, the project is a significant contribution to Mauritius' efforts towards implementing the CBD Strategic Plan 2011-2020 at the national level, The project builds on the current status and achievements of Mauritius with respect to biodiversity planning and reporting. It aims to integrate Mauritius' obligations under the CBD into its national development and planning each sectors' framework through a renewed and participative 'biodiversity planning and strategizing process'. This process is expected to produce measurable targets for biodiversity conservation and sustainable use. It will equally ensure that the value of ecosystems' goods and services, as well as the challenges and opportunities for ecosystembased adaptation and resilience are taken into consideration in the process. The project will achieve its objective through the implementation of three components, whose activities are thoroughly described in the GEF approved proposal for BD EA. They are: (1) A participative stocktaking exercise on biodiversity planning takes place and national biodiversity targets are developed in response to the global Aichi Targets; (2) The NBSAP is revised/updated and it fully integrates new aspects of the CBD strategic plan, such as mainstreaming and anchoring the implementation of the plan into national development frameworks, valuing ecosystem services and promoting ecosystem-based adaptation and resilience; and (3) National frameworks for resource mobilization, Convention reporting and exchange mechanisms are strengthened. UNDP Mauritius now intends to hire a suitable team of Consultants (A firm) to assist in the development of the National Biodiversity Strategy and Action Plan for Mauritius 2015 – 2020. The consultants will provide services to the Ministry of Agro-Industry and Food Security of the Republic of Mauritius, and report to the National Project Director (NPD). The NPD and UNDP Environment Programme Officer shall approve all deliverables.

#### 2. Objectives of the project:

#### 2.1 Main objective

The main objective of this consultancy is:

 The NBSAP is revised/updated and it fully integrates new aspects of the CBD strategic plan, such as mainstreaming and anchoring the implementation of the plan into national development frameworks, valuing ecosystem services and promoting ecosystem-based adaptation and resilience. The updated NBSAP shall become the Government's strategic framework for all aspects related to biodiversity for 2016-2025.

# 2.2 Specific objective

- Recognize, demonstrate and capture the value of water ecosystem services provided by
  forest in the case study (inland catchments of Mare aux Vacoas¹ and the Mare Longue reservoirs)
  and provide knowledge and capacity building services to the government of Mauritius, as
  well as to other
  key stakeholders involved in the implementation of the Biodiversity
  Enabling Activities Project.
- Develop the mainstreaming elements of Mauritius's NBSAP, following the best international guidance and practice available on the matter to emphasise the role of Biodiversity in terms of native fauna and flora for long-term sustainability.
- Ensure that Mauritius's Programme of Work on Protected Areas (PoWPA) Action Plan includes costed options for terrestrial ecosystem-based adaptation to climate change.
- Development of the Mauritius National Biodiversity Strategy and its Action Plans 2016-2025, monitoring and evaluation, national resources mobilization and Convention reporting frameworks.
- Develop an effective, user-friendly and easily updatable country-driven CHM site in collaboration with the National Parks and Conservation Services NPCS (MAIFS) linked up to the CBD's global CHM networks and to other information and knowledge exchange network on biodiversity (such as the Biodiversity Indicators Partnership, Global Biodiversity Information Facility and the World Conservation Monitoring Centre, the Global Environment Outlook portal, among other relevant ones).

## 3. Scope of the Project:

The scope of services shall include five parts:

- Consultation process for national target setting and production of an updated NBSAP 2016-2025 including costed and prioritised action plans, monitoring and evaluation framework and needs assessments on capacity, technology and finance; and a strategy for resource mobilization for its implementation;
- 2. A study on Ecosystem Valuation in the Republic of Mauritius;
- 3. A study on advances in sectoral mainstreaming of biodiversity in Mauritius
- A study on the incorporation of challenges and opportunities linked to Ecosystem-Based Adaptation and Resilience within Mauritius' protected area network and;
- 5. The development of a functional CHM for Mauritius based on best international practice on the matter.

The team shall comprise of four profiles of experts which are as follows:

(i) Expert in Biodiversity Management, Planning and Monitoring and in Cross-sectoral

<sup>&</sup>lt;sup>1</sup> As delineated by R Nigel et al. (2005). Delineation of Major Drainage Basins of Mauritius. Food and Agricultural Research Council, Réduit, Mauritius.

# Mainstreaming (Team Leader)

Responsibilities: Lead consultation process for national target setting and production of an updated NBSAP 2016-2025 including costed and prioritised action plans, monitoring and evaluation framework and needs assessments on capacity, technology and finance; and a strategy for resource mobilization for its implementation. He will also conduct a study on advances in sectoral mainstreaming of biodiversity in Mauritius.

He will as well be the team leader and will therefore be responsible for organization, coordination of all experts work, compilation and timely submission of deliverables (Note that all outputs will be compiled into the NBSAP document). The team leader will also be the liaison person between the client and the firm.

# (i) Expert in Ecosystem services valuation and biodiversity

<u>Responsibilities:</u> Conduct a study on Ecosystem Valuation in the Republic of Mauritius in order to assess, demonstrate and capture the value of water ecosystem services provided by forest in the case study and provide knowledge and capacity building services to the government of Mauritius

# (ii) Expert in Ecosystem-Based Adaptation and Resilience

<u>Responsibilities:</u> Conduct a study on Ecosystem-Based Adaptation and Resilience within Mauritius's protected area network. The objective of this desk study is to ensure that Mauritius's Programme of Work on Protected Areas (PoWPA) Action Plan includes costed options for terrestrial ecosystem-based adaptation to climate change.

# (iii) Expert in web development and web-based applications and online systems

Responsibilities: Develop an effective, user-friendly and easily updatable country-driven Clearing House Mechanism (CHM) site in collaboration with National Parks and Conservation Services (NPCS) and Ministry of Agro Industry and Food Security (MAIFS) linked up to the CBD's global CHM networks and to other information and knowledge exchange network on biodiversity

## Part A: Consultation process for National target setting and production of NBSAP document

The main objective of part A is to lead the National target setting and NBSAP update working group and in a number of national sub-national consultation process for all relevant activities under this consultancy in order to ensure that:

- (1) A participative stocktaking exercise on biodiversity planning takes place and national biodiversity targets are developed in response to the global Aichi Targets.
- (2) Mauritius's National Biodiversity Strategy and Action Plan (NBSAP) is updated and it fully integrates new aspects of the CBD strategic plan, such as mainstreaming and anchoring the implementation of the plan into national development frameworks, valuing ecosystem services and promoting ecosystem-based adaptation and resilience.
- (3) National frameworks for resource mobilization, Convention reporting and exchange mechanisms, including the Clearing House Mechanism (CHM) of the CBD, are established and

strengthened.

# a) Specific Tasks:

- Carry out consultations on the proposals for the national targets, working with provincial representatives, government counterparts, NGOs, CBOs, experts from research and academia, plus other relevant stakeholder to define national objectives and priorities.
- Assist the Target setting and Update working group in the:
  - Formulating a set of relevant, simple and straightforward, specific, measurable, achievable and time-bound national targets for Mauritius in line with Aichi global targets.
  - Formulating a set of relevant indicators to monitor the NBSAP national targets.
  - Identifying sources of verification, as much as possible, use existing data sources embedded in existing systems.
  - Designing simple means of registering information.
  - Designing simple ways and means to store such information and the pathways for dissemination of that information.
- Revise and update the NBS Action Plans
- Design a monitoring and reporting system on the status of biodiversity for the NBSAP permanent framework for CBD reporting to be included in the CHM site.
- Assess capacities, train and mentor-working groups' members in biodiversity planning and monitoring processes.
- Coordinate the team work and ensure the NBSAP updated document is timely produced and comprise all deliverables under this consultancy.

#### b) Expected outputs:

- Methodology and processes for the sub-national technical meetings and consultations including National Target Setting and NBSAP Update Working Groups document.
- Documentation of all discussions with National Target Setting and NBSAP Update Working Groups and NBSAP team.
- Draft Biodiversity Targets for Mauritius including indicators
- Draft second National Biodiversity Strategy and Action Plans for Mauritius including all deliverables under part B,C, D and E and highlighting the following:
  - (i) Institutional leadership for implementation;
  - (ii) Costed and prioritized Action Plans including a monitoring and evaluation framework;
  - (iii) Needs assessments on capacity, technology and finance; and
  - (iv) A strategy for resource mobilization for the implementation of the NBSAP
- Draft NBSAP permanent framework for CBD reporting to be included in the CHM site

#### Part B: Ecosystem valuation study in Mauritius

The main objective of this study is to assess, demonstrate and capture the value of water ecosystem services provided by forest in the case study and provide knowledge and capacity building services to the government of Mauritius, as well as to other key stakeholders involved in the implementation of the Biodiversity Enabling Activities Project.

The economic valuation will focus on the role of forest in terms of water ecosystem services. Given the relatively short duration of the NBSAP Project, such economic valuation will be undertaken in the inland catchments of Mare aux Vacoas<sup>2</sup> and the Mare Longue reservoirs. Both sites are on the super humid mesothermal climate (Halais and Davy, 1969), with annual rainfalls ranging from 3,000 (Mare Longue) to up to 3,600 mm (Mare aux Vacoas), average elevation 560-570 metres above sea level, and supported native forests that developed on ferralitic soils (Willaime, 1984) derived from basaltic lava flows aged between 3.5 and 1.7 M years (Montaggioni and Nativel, 1988).

The Mare Longue reservoir (20°22′ to 20°23′S, 57°26′ to 57°27′E) is found on the island's leeward slope near the northern boundary of the Black River Gorges National Park. It was created around 1950s. Mostly native forests, pine plantations and agricultural land surround it. The area slopes down gently towards the north-west at an average angle of less than 2°.

The Mare aux Vacoas reservoir (20°21' to 20°23'S, 57°29' to 57°31'E) was originally a large marshy area. It was created in the XIX century and was surrounded by native vegetation, which was replaced by plantations in the 1970s. Some surrounding areas are now on agricultural land. The approach of the economic valuation will be to undertake a rapid valuation based mostly on existing data, and limiting the collection of additional data to the case study.

#### a) Specific Tasks:

- Become acquainted with relevant guidance from the CBD COP, the IOC study and other relevant studies on the matter of ecosystem valuation in the Mauritius context.
- Assess the value of water ecosystem services provided by forest in the case study by:
  - Identifying and assessing the water ecosystem services values based on existing local, national, regional and global studies on the value of ecosystems and biodiversity, including: the valuation of protected areas, any other national ecosystem services studies that have been conducted so far and existing global and regional maps and overlays of key ecosystem services.
  - Identifying the implications of these services for different stakeholder groups within the
    case study area, including those who benefit from, and pay for, the maintenance of
    these ecosystem services, and those that degrade ecosystems through unsustainable
    use.
- Estimate and demonstrate the value of ecosystem service(s) provided by forest in the case study (using the appropriate methods), including the value of biodiversity, climate

<sup>&</sup>lt;sup>2</sup> As delineated by R Nigel et al. (2005). Delineation of Major Drainage Basins of Mauritius. Food and Agricultural Research Council, Réduit, Mauritius.

resilience, adaptation and mitigation, and sustaining livelihoods by:

- Identifying water ecosystem services provided by forest in the case study
- Developing valuation method for water ecosystem services provided by forest
- Collecting required data for valuation through field consultation and secondary review of studies on the case study area and other relevant studies
- Applying the valuation methods and calculate the value of water ecosystem services provided by forest in the case study
- Identify the impact of land use changes in the case study area
- Conducting scenario based on different land use option
- Capture the value by:

Identifying mechanisms for incorporating these values into national accounting practices. Identifying, where appropriate, potential means of capturing the value of targeted ecosystem services

- Documenting the findings and analyzing the policy implications
- Prepare specific inputs for Mauritius's set of biodiversity targets (in line with the global Aichi Targets), for the country's NBSAP
- Produce a comprehensive document on all findings.

# b) Expected outputs:

- Findings on the benefits and value of water ecosystem services provided by forest from the case study (using methods appropriate to each service),
- A replicable approach of capturing the value of targeted ecosystem services and calculation of water ecosystem services provided by forests other reservoirs and river watersheds. potential means
- A capacity building program for key stakeholders involved in biodiversity conservation and mainstreaming in Mauritius.
- Specific inputs for Mauritius's set of biodiversity targets (in line with the global Aichi Targets) (due before Sep 2012), for the country's new NBSAP
- Set of simple but strong key economic messages backed up by credible data from the results of the analysis of the case studY
- A comprehensive document on all findings and summarized document for decision makers in biodiversity conservation, sustainable use of natural resources and improving human well-being.

# Part C: A study on advances in cross-sectoral mainstreaming of biodiversity in Mauritius

The main objective of this desk study is to develop the mainstreaming elements of Mauritius's NBSAP, following the best international guidance and practice available on the matter to emphasise the role of Biodiversity in terms of native fauna and flora for long-term sustainability.

The study will focus on the following sectors in Mauritius with respect to mainstreaming: (i) agriculture; (ii) marine; (iii) tourism; and (iv) water; and on the following areas / topics: (a) land-use management (b) development finance; (c) food security; and (d) renewable energy.

# a) Specific Tasks:

- Establish an analytical link between the selected economic sectors and topics targeted for biodiversity mainstreaming in Mauritius NBSAP with focus on threats to biodiversity and on benefits that biodiversity and ecosystem services can generate
- "Map" in an analytical manner the relevant policies; interests of and stakes for productive sectors and development agendas.
- Organise a round table involving sectoral representatives and development practitioners to:
  - Discuss threats to biodiversity deriving from economic activities and development policies and agendas,
  - Discuss benefits that biodiversity and ecosystem services can generate for these sectors.
  - Forming partnerships between relevant stakeholders involved in biodiversity conservation issues and in development issues
  - Explicitly identifying key stakeholders' interests, and with national priorities
  - Identifying potential conflicts and trade-offs, and work towards mutually acceptable solutions, including strategies that serve mutually beneficial interests and achieve mutually beneficial outcomes
- Produce a report that summarizes all findings.
- Prepare a set of simple but strong key economic messages backed up by credible data from the results of the analysis to be included in the conclusion of the case study.
- Presentation of study findings on NBSAP updating events.

#### b) Expected outputs:

- A report on advances in cross-sectoral mainstreaming of biodiversity in Mauritius
- A set of simple but strong key economic messages with emphasis on the role of Biodiversity in Mauritius.

# Part D: Study on Ecosystem-Based Adaptation and Resilience within Mauritius's protected area network

The objective of this desk study is to ensure that Mauritius's Programme of Work on Protected Areas (PoWPA) Action Plan includes costed options for terrestrial ecosystem-based adaptation to climate change.

#### a) Specific Tasks:

- Identify potential areas important for carbon sequestration in Mauritius
  - Make recommendations for the identified areas protection and management based on existing data (WCMC Protected Area Database, Climate Change Information Centre, Meteo Services, Statistics Mauritius, National Disaster Risk Reduction Centre, Environmentally Sensitive Areas Report, and other sources) and GIS modelling
  - Recommend the extent to which the country's ecological gap assessment included predicted future distribution of biodiversity under climate change scenarios, making specific recommendations for protected area and corridor expansion.
  - Describe the impact of climate change on the functioning of ecosystem services, such as water and nutrient recycling, species refugia, among others, in a geographically-based manner.
  - Identify areas important for improving nature's ability to adapt to climate change, such as altitudinal gradients and conservation corridors.
  - Identify areas of particular importance for restoration in order to improve climate resilience, adaptation and mitigation.
- Identify constraints and challenges in the use of the existing data and suggest priority options.
  - As a result of the above, prepare specific inputs for Mauritius's set of biodiversity targets (in line with the global Aichi Targets), and recommendation for the country's new NBSAP.
  - Develop a written report illustrated by available maps that summarizes all findings.
  - Prepare a set of simple but strong key economic and political messages backed up by credible data from the results of the analysis to be included in the conclusion of the case study and other similar examples.
  - Presentation of study findings.

# b) Expected outputs:

- Costed options for terrestrial ecosystem-based adaptation to climate change to be submitted for inclusion into the PoWPA.
- A set of simple but strong key economic and political messages on the incorporation of challenges and opportunities of ecosystem-based adaptation to climate change.

# Part E: Development of Clearing-House Mechanism Web site for the Republic of Mauritius

The Clearing-House Mechanism (CHM) of the Convention on Biological Diversity has been established in order to strengthen the international technical and scientific cooperation among the CBD parties. Its mission is to contribute significantly to the implementation of the Convention on Biological Diversity and its "Strategic Plan for Biodiversity 2011-2020".

The CHM website is an important tool used to ensure information exchange (at international and national level), which significantly contributes to public involvement into the planning, decision making, implementing and monitoring of biodiversity strategies and action plans. It will support the Republic of Mauritius to fulfil its obligations to CBD.

The main objective of this part of the assignment is to develop an effective, user-friendly and

easily updatable country-driven Clearing House Mechanism (CHM) site in collaboration with National Parks and Conservation Services (NPCS) and Ministry of Security (MAIFS) linked up to the CBD's global CHM networks and to other information and knowledge exchange network on biodiversity (such as the Biodiversity Indicators Partnership, Global Biodiversity Information Facility and the World Conservation Monitoring Centre, the Global Environment Outlook portal, among other relevant ones).

# a) Specific Tasks:

- Work in close collaboration with the CHM National Focal Point, the CHM technical working group to be proposed by the CHM NFP and NBSAP team.
- Discuss with the CHM technical working group, with MAIFS and other relevant Ministries
  the structure of web page (a website already exists, discuss
  existing website or design a new one).
- Discuss technical details (hosting, size etc.) with relevant department within MAIFS/NPCS, Central Informatics Bureau (Ministry of Information and Communication Technology) and other relevant Ministries
- Design CHM site to offer the following features:
  - A knowledge base gathering key resources (tools, guidelines, best practices, case studies) as well as interconnected fact sheets about relevant information, initiatives, organizations, and contacts, with cross-references to other programmes whenever applicable.
  - A powerful and smart search engine to present results in a user-friendly and structured way.
  - An on-line submission system allowing users<sup>3</sup> to upload information and contribute to the knowledge base based on the scheme developed for the Environment Information System as described in the republic of Mauritius National Capacity Self-Assessment (2005).
  - Support for multimedia information such as photos, videos and geo-location features.
  - A data exchange mechanism based on Web 2.0 open standards and technologies.
  - Appropriate data migration or synchronization tools to handle existing information and ensure service continuity.
- Develop costed maintenance, capacity building program and support plan to sustain the CHM site

# b) Expected outputs:

<sup>&</sup>lt;sup>3</sup> Thematic working groups lead and members/biodiversity focal points of the coordination structure

The requested services will include:

- Draft structure of CHM site
- Final structure of CHM site
- Design and programming of CHM site
- Optimizing of CHM site (to be found in first pages at web searches)
- Documentation of all discussions with CHM development working NPCS MAIFS

# 4. Duration of the assignment/time frame:

The assignment shall be completed within 75 person days spread over four months in accordance with the schedule for the submission of the deliverables including a minimum of 2 missions for the water evaluation expert. The missions shall be envisaged in accordance with projected milestones.

Table 6: Duration of the assignment/time frame

	Component	Duration (Days)
1.	Inception report of the National Biodiversity Strategy and Action Plan for Mauritius 2015 – 2020	10
2.	First Draft of the National Biodiversity Strategy and Action Plan for Mauritius 2015 – 2020	25
3.	Second Draft of the National Biodiversity Strategy and Action Plan for Mauritius 2015 – 2020	25
4.	Final Approved Report of the National Biodiversity Strategy and Action Plan for Mauritius 2015 – 2020 and fully functional CHM website for Mauritius	to be the bone at the control (iii)
	TOTAL	75

# 5. Deliverables:

The consultants will provide service to the Ministry of Agro-Industry and Food Security of the Republic of Mauritius, and report to the National Project Director (NPD). All deliverable should be submitted to the National Project Director, Project Manager and the UNDP Programme Officer. All the consultants will have to work in close collaboration with the other consultants. The final outputs and deliverables of this project shall be as follows:

The Deliverables shall include the following:

(i) Inception Report including:

- Stocktaking and review of products and results from previous biodiversity planning processes
- Methodology and processes for the technical planning group meetings and consultations including National Target Setting and NBSAP update Thematic Working Groups
- Plan and methodology for revision and update of NBSAP while integrating new approaches that will enhance Mauritius's Programme of biodiversity agenda that shall include:
  - ✓ Costed and prioritized Action Plan including its monitoring and evaluation framework
  - ✓ Needs assessments on capacity, technology and finance; and
  - ✓ A strategy for resource mobilization for the implementation of the NBSAP
- Plan and methodology for a replicable approach for calculation of water ecosystem services provided by forests other reservoirs and river understanding valuation in Mauritius
- Analysis of the link between the selected economic sectors and topics targeted for biodiversity mainstreaming in Mauritius NBSAP with focus on threats to biodiversity and on benefits that biodiversity and ecosystem services can generate
- Plan and methodology for cross-sectoral mainstreaming of biodiversity and the Incorporation of Challenges and Opportunities linked to Ecosystem-Based Adaptation and Resilience within Mauritius's protected area network.
- A proposed design of an effective, user-friendly and easily updatable country-driven CHM website linked up to the CBD's global CHM networks and knowledge exchange network on biodiversity
- (ii) Draft reports and final version of the Second National Biodiversity Strategy and Action Plan for Mauritius including:
  - Biodiversity Targets for Mauritius including indicators
  - Strategic studies:
    - Study on Ecosystem Valuation in the Republic of approach and calculation of water ecosystem services reservoirs and river watersheds.
    - Costed options for terrestrial ecosystem-based adaptation to climate change to be submitted for inclusion into the PoWPA.
    - Study on Advances in Sectoral Mainstreaming in Mauritius
  - A set of simple but strong key economic and political messages on the incorporation of challenges and opportunities of ecosystem-based adaptation Mauritius' protected area network.
  - The NBSAP implementation plan, including:

- An institutional leadership for implementation and organizational chart;
- A costed and prioritized Action Plan including its monitoring and evaluation framework;
- A needs assessments on capacity, technology and finance; and
- A strategy for resource mobilization for the implementation of the NBSAP
- NBSAP permanent framework for CBD reporting to be included in the CHM site
- (iii) An effective, user-friendly and easily updatable country-driven CHM site in collaboration with NPCS (MAIFS) linked up to the CBD's global CHM networks and to other information and knowledge exchange network on biodiversity

# 6. Delivery and payment schedule:

Table 7: Payment schedule

Payment	Deliverable/Milestone	Payment Schedule	Time schedule
1	Inception Report of the National Biodiversity Strategy and Action Plan for Mauritius 2015 – 2020	20%	15 days from start
2	First Draft of the National Biodiversity Strategy and Action Plan for Mauritius 2015 – 2020	30%	2 months from start
3	Second Draft of the National Biodiversity Strategy and Action Plan for Mauritius 2015 – 2020	30%	3 Months from start
4	Final Approved Document of the National Biodiversity Strategy and Action Plan for Mauritius 2015 – 2020 and fully functional CHM website for Mauritius	20%	4 months from start

# 7. Inputs:

For this assignment, the Project Management Unit will provide logistic support for the organization of workshops and other events, and for the mobilization of the stakeholders. The Government of Mauritius, through project funding and in kind assistance, will provide office space for the consultants and venues for workshops, seminars, talks, presentations.

# 8. Qualification of the firm and required consultants:

# General experience of the firm

At least five years of general experience in the area of biodiversity management.

# Specific experience of the firm

- Experience in biodiversity target setting and update of NBSAP,
- Experience in water ecosystem valuation; cross-sectoral biodiversity mainstreaming; ecosystem based adaptation and resilience; monitoring and evaluation framework development; capacity building and stakeholder consultation
- · Previous experience in the SIDS, in Mauritius
- Previous work experience with UNDP

# Key Expert 1 – Expert in Biodiversity Management, Planning and Monitoring and in Cross Sectoral Mainstreaming (Team leader):

# Education

 Advanced degree in life science, natural resources management, biodiversity conservation, environmental planning, developmental studies, social sciences and other related areas

# Experience

- Proven track record of drafting relevant materials in the areas of biodiversity management (publications, reports and related documentation).
- Proven experience in developing monitoring and evaluation frameworks
- Proven working experience with key national environmental policy and planning agencies and institutions in Mauritius.
- Demonstrated leadership, facilitation and coordination skills, ability for managing timely and effective delivery of both quantity and quality work on time
- Knowledge and understanding of the relevant UN Convention
- Ability to communicate effectively orally and in writing, in order to communicate complex, technical information to both technical and general audiences.
- Experience in biodiversity planning, strategies, action plans, understanding of the CBD Strategic Plan and its Aichi Targets
- Prior experience in working in the field of mainstreaming conservation into economic sectors
- Good understanding of agriculture, marine, water and tourism sectors, biodiversity conservation, community participation
- Knowledge of SIDS Biodiversity challenges would be an asset

## Language

• Excellent verbal and written communication skills in English, French would be an advantage

# Key Expert 2 - International Expert – Ecosystem services valuation:

# Education

An advanced degree in environmental economics or any related fields' experience and have
 a

# Experience

- 7 years' experience in multi-disciplinary environmental, biodiversity or natural resource economics, ecosystem services valuation
- Knowledge of biodiversity mainstreaming, climate change and adaptation and mitigation approaches
- Strong expertise in cost-benefits analysis, economic valuation methodologies, scenario testing
- Demonstrate capacity development and training experience in economic valuation field
- SIDS countries experience
- Excellent verbal and written communication skills in English, French would be an advantage

# Language

Excellent verbal and written communication skills in English, French would be an advantage

# Key Expert 3 - Ecosystem-Based Adaptation and Resilience

# Education

 Advanced degree in environmental economics, ecology, conservation biology, environmental science or other related areas.

# Experience

- Experience working on ecosystem services or ecological or environmental aspects of land and protected area management in Mauritius.
- Experience in spatial zoning schemes, land use classification, conservation area demarcation, knowledge of GIS an advantage would be an advantage.
- Demonstrated experience in conducting cost benefit analyses in public sector decisionmaking.

# Language

Excellent verbal and written communication skills in English, French would be an advantage

# Key Expert 4 - Development of web based applications and on-line systems Education

Advanced university degree in information and communication technology (ICT), preferably
with a specialization in the development of web-based applications and online systems, or
a first degree with relevant combination of academic and professional qualifications.

# Experience

- Minimum five years of professional experience in designing, implementing and maintaining web applications, on-line databases, search engines and web 2.0 technologies.
- Expert knowledge of web-related languages and protocols especially HTML, XHTML, CSS, Javascript, XML and HTTP.
  - Expert knowledge of C# programming, ASP.NET 3.5, the Visual Studio development environment, as well as the SubVersion (SVN) source control environment.
  - Proven practical experience with Web 2.0 technologies, including jQuery, JSON, AJAX, REST and SOAP.
  - Excellent knowledge of relational databases, in particular SQL Server database.
- Knowledge of website design techniques, including graphical design, web standards and usability guidelines.

# Language

Excellent verbal and written communication skills in English, French would be an advantage

# 9. Reporting and presentations:

The deliverables from all experts shall form part the NBSAP document and shall be formally presented to the National Project Director and to the Project Manager, for necessary comments, views and approval during the period of the assignment. At the completion of the assignment, a more elaborate presentation encompassing the full scope of findings and recommendations will be carried out.

# 10. Language:

The language of the assignment shall be in English. All deliverables should be in English language. Therefore, excellent English communication skills (oral, written and presentation) are essential.

## 11. Important Notes:

The Consultant shall take due note of the following when preparing its proposal:

- One expert can assume more than one post;
- Bidders are advised to ensure that sufficient information is provided in the CVs of the four experts to support any qualifications and experience claimed.
- All experts shall provide an original signed letter of availability and association for the

duration of the assignment. In the event of their unavailability, the Consultant shall be under the obligation to provide a replacement of equal or better caliber at no extra cost to the Client.

- The mandatory requirement is that expert in ecosystem service valuation shall be international. Other than that the firm is free to decide on whether other experts shall be nationals or internationals. However, for budget reasons, the firm is advised to use as much local expertize as possible.
- In case of fully international team of experts, a local partner would be required for coordination purposes and for capacity building as required under the assignment.
- The firm may wish to include in its team other support staff for the purposes of the assignment. However, in no event the number of person days shall not exceed the set 75.

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Proposed, which includes the Technical Phoposed and Sciencial Brooks, acated under a suppresse

# Section 4: Proposal Submission Form<sup>4</sup>

[insert: Location, Date]

To: The Head of Procurement Unit
UNDP Mauritius and Seychelles Country Office
6th Floor, Anglo Mauritius House
Intendance Street
P.O Box 253
Port Louis
Mauritius

# Dear Sir/Madam:

We, the undersigned, hereby offer to provide professional services for RFP/MAR2015/001 Technical Support to the Energy Efficiency Management Office (EEMO) in Mauritius in accordance with your Request for Proposal dated 22 January 2015 and our Proposal. We proposal, which includes the Technical Proposal and Financial Proposal sealed under a separate envelope.

# We hereby declare that:

- a) All the information and statements made in this Proposal are true and we accept that any misrepresentation contained in it may lead to our disqualification;
- We are currently not on the removed or suspended vendor list of the UN or other such lists of other UN agencies, nor are we associated with, any company the 1267/1989 list of the UN Security Council;
- c) We have no outstanding bankruptcy or pending litigation or any legal action that could impair our operation as a going concern; and
- d) We do not employ, nor anticipate employing, any person who is or was recently employed by the UN or UNDP.

We confirm that we have read, understood and hereby accept the Terms of Reference describing the duties and responsibilities required of us in this RFP, and the General Terms and Conditions of UNDP's Contract for Professional Services.

We agree to abide by this Proposal for 120 days.

We undertake, if our Proposal is accepted, to initiate the services not later than the date indicated in the Data Sheet.

<sup>&</sup>lt;sup>4</sup> No deletion or modification may be made in this form. Any such deletion or modification may lead to the rejection of the Proposal.

We fully understand and recognize that UNDP is not bound to accept this proposal, that we shall bear all costs associated with its preparation and submission, and that UNDP will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the evaluation.

We remain,	
Yours sincerely,	
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# Section 5: Documents Establishing the Eligibility and Qualifications of the Proposer

# Proposer Information Form<sup>5</sup>

Date: [insert date (as day, month and year) of Proposal Submission] RFP No.: [insert number]

1.5. / 1	10 1	
1. Proposer's Legal Name [inse	ert Proposer's legal name]	Contact Details :
2. In case of Joint Venture (JV),	legal name of each party: [insert legal nar	me of each party in JV]
3. Actual or intended Country/	ies of Registration/Operation: [insert actu	al or intended Country of Registration
4. Year of Registration: [insert F	Proposer's year of registration]	
5. Countries of Operation	6. No. of staff in each Country	7. Years of Operation in each Country
B. Legal Address/es in Country/ registration]	ies of Registration/Operation: [insert Prop	ooser's legal address in country of
9. Value and Description of Top	three (3) Biggest Contract for the past five	e (5) years
10. Latest Credit Rating (if any)		
11. Brief description of litigatio outcomes, if already resolv	n history (disputes, arbitration, claims, etc ed.	c.), indicating current status and
12. Proposer's Authorized Rep	resentative Information	
Name: [insert Authorized Rep	presentative's name	
Address: finsert Authorized R		
A control of the cont	sert Authorized Representative's telephone	e/fax numbers]
Email Address: [insert Author	rized Representative's email address]	
13. Are you in the UNPD List 1		

<sup>&</sup>lt;sup>5</sup> The Proposer shall fill in this Form in accordance with the instructions. Apart from providing additional information, no alterations to its format shall be permitted and no substitutions shall be accepted.

14. Attached are copies of orig	inal documents of:		S beimodica yn	e4 r/yt
☐ All eligibility document req	uirements listed in the Data Sheet			
	m – copy of the Memorandum of Unders tion of JV/Consortium, if registered	standing or Letter	of Intent to form	а
	oration or Government-owned/controll d compliance with commercial law.	ed entity, docume	ents establishing	legal
Joint Venture	Partner Information I	Form (if Re	egistered	) <sup>6</sup>
	Date: [insert date (as day, mo		of Proposal Su FP No.: [inser	
		Page	of	pa
1. Proposer's Legal Name: [ir	nsert Proposer's legal name]			
2. JV's Party legal name: [ins	ert JV's Party legal name]			
3. JV's Party Country of Regis	stration: [insert JV's Party country of reg	gistration]		
4. Year of Registration: [insert F	Party's year of registration]			
5. Countries of Operation	6. No. of staff in each Country	7.Years of Op Country	peration in each	
8. Legal Address/es in Country, registration]	/ies of Registration/Operation: [insert Pa	rty's legal address	in country of	
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10. Latest Credit Rating (if any)				
Brief description of litigation outcomes, if already resolutions	on history (disputes, arbitration, claims, ved.	etc.), indicating cu	irrent status and	

<sup>&</sup>lt;sup>6</sup> The Proposer shall fill in this Form in accordance with the instructions. Apart from providing additional information, no alterations to its format shall be permitted and no substitutions shall be accepted.

13. JV's Party Authorized Ro Name: [insert name of JV's Ro Address: [insert address of J Telephone/Fax numbers: [in Email Address: [insert email 14. Attached are copies of co	Party authorized repo V's Party authorized sert telephone/fax r address of JV's Part	resentative] representative] numbers of JV's Party y authorized represer	ntative]	memogyandrov tast fi
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# **Section 6: Technical Proposal Form**

# TECHNICAL PROPOSAL FORMAT INSERT TITLE OF THE SERVICES

Note: Technical Proposals not submitted in this format may be rejected. The financial proposal should be included in separate envelope.

Name of Proposing Organiza	ion / Firm:	
Country of Registration:		
Name of Contact Person for t	his Proposal:	
Address:		
Phone / Fax:		
Email:		

# SECTION 1: EXPERTISE OF FIRM/ ORGANISATION

This section should fully explain the Proposer's resources in terms of personnel and facilities necessary for the performance of this requirement. All contents of this section may be modified or expanded depending on the evaluation criteria stated in the RFP.

- 1.1 Brief Description of Proposer as an Entity: Provide a brief description of the organization / firm submitting the proposal, its legal mandates/authorized business activities, the year and country of incorporation, types of activities undertaken, and approximate annual budget, etc. Include reference to reputation, or any history of litigation and arbitration in which the organisation / firm has been involved that could adversely affect or impact the performance of services, indicating the status/result of such litigation/arbitration.
- 1.2. <u>Financial Capacity:</u> Provide the latest Audited Financial Statement (Income Statement and Balance Sheet) duly certified by a Public Accountant, and with authentication of receiving by the Government's Internal Revenue Authority. Include any indication of credit rating, industry rating, etc.
- 1.3. <u>Track Record and Experiences:</u> Provide the following information regarding corporate experience within the last five (5) years which are related or relevant to those required for this Contract.

Name of project	Client	Contract Value	Period of activity	Types of activities undertaken	Status or Date Completed	References Contact Details (Name, Phone, Email)

#### SECTION 2 - APPROACH AND IMPLEMENTATION PLAN

This section should demonstrate the Proposer's responsiveness to the TOR by identifying the specific components proposed, addressing the requirements, as specified, point by point; providing a detailed description of the essential performance characteristics proposed; and demonstrating how the proposed methodology meets or exceeds the requirements.

- <u>2.1.</u> <u>Approach to the Service/Work Required</u>: Please provide a detailed description of the methodology for how the organisation/firm will achieve the Terms of Reference of the project, keeping in mind the appropriateness to local conditions and project environment.
- <u>2.2.</u> <u>Technical Quality Assurance Review Mechanisms</u>: The methodology shall also include details of the Proposer's internal technical and quality assurance review mechanisms.
- <u>2.3 Implementation Timelines:</u> The Proposer shall submit a Gantt Chart or Project Schedule indicating the detailed sequence of activities that will be undertaken and their corresponding timing.
- <u>2.4.</u> <u>Subcontracting</u>: Explain whether any work would be subcontracted, to whom, how much percentage of the work, the rationale for such, and the roles of the proposed sub-contractors. Special attention should be given to providing a clear picture of the role of each entity and how everyone will function as a team.
- 2.5. <u>Risks / Mitigation Measures</u>: Please describe the potential risks for the implementation of this project that may impact achievement and timely completion of expected results as well as their quality. Describe measures that will be put in place to mitigate these risks.
- 2.6. Reporting and Monitoring: Please provide a brief description of the mechanisms proposed for this project for reporting to the UNDP and partners, including a reporting schedule.
- 2.7. <u>Anti-Corruption Strategy</u>: Define the anti-corruption strategy that will be applied in this project to prevent the misuse of funds. Describe the financial controls that will be put in place.
- 2.8. Partnerships: Explain any partnerships with local, international or other organizations that are planned for the implementation of the project. Special attention should be given to providing a clear picture of the role of each entity and how everyone will function as a team. Letters of commitment from partners and an indication of whether some or all have successfully worked together on other previous projects is encouraged.
- <u>2.9</u> <u>Statement of Full Disclosure</u>: This is intended to disclose any potential conflict in accordance with the definition of "conflict" under Section 4 of this document, if any.
- <u>2.10</u> <u>Other:</u> Any other comments or information regarding the project approach and methodology that will be adopted.

# SECTION 3: PERSONNEL

- 3.1 Management Structure Describe the overall management approach toward planning and implementing this activity. Include an organization chart for the management of the project describing the relationship of key positions and designations.
- 3.2 <u>Staff Time Allocation</u>: Provide a spreadsheet will be included to show the activities of each staff member and the time allocated for his/her involvement. (Note: This spreadsheet is crucial and no substitution of personnel will be tolerated once the contract has been a warded except in extreme circumstances and with the written approval of the UNDP. If substitution is unavoidable it will be with a person who, in the opinion of the UNDP project manager, is at least as experienced as the person being replaced, and subject to the approval of UNDP. No increase in costs will be considered as a result of any substitution.)
- 3.3 Qualifications of Key Personnel. Provide the CVs for key personnel (Team Leader, Managerial and general staff) that will be provided to support the implementation of this project. CVs should demonstrate qualifications in areas relevant to the Scope of Services. Please use the format below:

NI		499	
Name:			
Position for this Contract:			
Nationality:			
Contact information:			
Countries of Work Experience:			
Language Skills:			
Educational and other Qualificat		(an area in a to	
	light experience in the region and on simi	iar projects.	
Relevant Experience (From most		tot Tialound Spaintalou	
Period: From – To	Name of activity/ Project/ funding organisation, if applicable:	Job Title and Activities undertaken/Description of actual role performed:	
e.g. June 2004-January 2005			
Etc.			
Etc.			
References no.1 (minimum of 3):	Name Designation Organization Contact Information – Address; Phone	; Email; etc.	
Reference no.2	Name Designation Organization Contact Information – Address; Phone	; Email; etc.	
Reference no.3	Name Designation Organization Contact Information – Address; Phone	e; Email; etc.	

Declaration:							
proposed contract. I also un	Firm my intention to serve in the stated position and present availability to serve for the term of the osed contract. I also understand that any wilful misstatement described above may lead to my alification, before or during my engagement.						
Signature of the Nominated	Team Leader/Member	Dat	re Signed				
Laco	hoisidad yay ka hasar p us h	posturace od ing grasym	approval of UNDF, No Incomp				
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# Section 7: Financial Proposal Form<sup>7</sup>

The Proposer is required to prepare the Financial Proposal in an envelope separate from the rest of the RFP as indicated in the Instruction to Proposers.

The Financial Proposal must provide a detailed cost breakdown. Provide separate figures for each functional grouping or category.

Any estimates for cost-reimbursable items, such as travel and out-of-pocket expenses, should be listed separately.

In case of an equipment component to the service provider, the Price Schedule should include figures for both purchase and lease/rent options. UNDP reserves the option to either lease/rent or purchase outright the equipment through the Contractor.

The format shown on the following pages is suggested for use as a guide in preparing the Financial Proposal. The format includes specific expenditures, which may or may not be required or applicable but are indicated to serve as examples.

# A. Cost Breakdown per Deliverables\*

Table 8: Cost Breakdown per Deliverables

SN	Deliverable	Percentage of Total Price (Weight for payment)	Price (Lump Sum, All Inclusive)
1	Inception Report of the National Biodiversity Strategy and Action Plan for Mauritius 2015 – 2020	20%	A Reproduction S Equipment to
2	First Draft of the National Biodiversity Strategy and Action Plan for Mauritius 2015 – 2020	30%	i. Other helated Costs
3	Second Draft of the National Biodiversity Strategy and Action Plan for Mauritius 2015 – 2020	30%	
4	Final Approved Report of the National Biodiversity Strategy and Action Plan for Mauritius 2015 – 2020 and fully functional CHM website for Mauritius	20%	

<sup>&</sup>lt;sup>7</sup> No deletion or modification may be made in this form. Any such deletion or modification may lead to the rejection of the Proposal. \*Basis for payment tranches

# B. Cost Breakdown by Cost Component:

The Proposers are requested to provide the cost breakdown for the above given prices for each deliverable based on the following format. UNDP shall use the cost breakdown for the price reasonability assessment purposes as well as the calculation of price in the event that both parties have agreed to add new deliverables to the scope of Services.

Table 9: Cost Breakdown by Cost Component

Description of Activity	Remuneration per Unit of Time (e.g., day, month, etc.)	Total Period of Engagement	No. of Personnel	Total Rate for the Period
I. Personnel Services				
1. Services from Home Office				
a. Expertise 1	new sentings:			
b. Expertise 2				
2. Services from Field Offices	o tol beareas		milal edit on me	els beaming will
a . Expertise 1				
b. Expertise 2				
3. Services from Overseas				
a. Expertise 1		-winter	Ned to a newslede	HE INCO
b. Expertise 2				
II. Out of Pocket Expenses				
1. Travel Costs	oT by engineers			
2. Daily Allowance	one ref real Mr.			
3. Communications				
4. Reproduction				
5. Equipment Lease				
6. Others				
III. Other Related Costs	R08	numbres		thois .

# **Section 8: Contract for Professional Services**

# THIS IS UNDP'S TEMPLATE FOR CONTRACT FOR THE PROPOSER'S REFERENCE. ADHERENCE TO ALL TERMS AND CONDITIONS IS MANDATORY.

		SOLITO THE PROPERTY AND ADDRESS OF THE PARTY	Date
DearS	ir/Madam,	normal numerical seal administration for administration	
Dear 3	ii/iviauaiii,	demanded the payless.	
Ref.:_		[INSERT PROJECT NUMBER AND TITLE OR	OTHER REFERENCE]
[comp COUN [INSER	any/organization/insti TRY] (hereinafter refe	pment Programme (hereinafter referred to itution), duly incorporated under the Laws or erred to as the "Contractor") in order to TION OF THE SERVICES] (hereinafter referre	of[INSERT NAME OF THE perform services in respect of
1.	Contract Documents		
1.1	This Contract is subject to the UNDP General Conditions for Professional Services attached hereto as Annex I The provisions of such Annex shall control the interpretation of this Contract and in no way shall be deemed to have been derogated by the contents of this letter and any other Annexes, unless otherwise expressly stated under section 4 of this letter, entitled "Special Conditions".		
1.2	The Contractor and which shall take prec	UNDP also agree to be bound by the provisi edence over one another in case of conflict in	ons contained in the following documents, the following order:
	a) this Letter;	Outle) Carriero	
	b) the Terms of Refer	rence [refdated], attached hereto as a	Annex II;
		roposal [ref, dated] t for Proposal [ref, dated]	
1.3	All the above shall f other negotiations ar	All the above shall form the Contract between the Contractor and UNDP, superseding the contents of any other negotiations and/or agreements, whether oral or in writing, pertaining to the subject of this Contract.	
2.	Obligations of the Co	ntractor	
2.1	The Contractor shall perform and complete the Services described in Annex II with due diligence and efficiency and in accordance with the Contract.		
2.2	The Contractor shall	provide the services of the following key perso	onnel:

	Name Specialization	on Nationality Peri	riod of service	
	HOLEN		IS UNDE'S TEMPLICE FOR CONTRACT FOR T	
2.3	Any changes in of	the above ke	ey personnel shall require prior written appro	oval
2.4	The Contractor shall also provide all technical and administrative support needed in order to ensure the timely and satisfactory performance of the Services.			sure
2.5	The Contractor shal schedule:	submit to UNDP th	he deliverables specified hereunder according to the follow	wing
	[LIST DELIVERABLES]	the take of	[INDICATE DELIVERY DATES]	
	e.g. Progress report Final report		././	
2.6	All reports shall be written in the English language, and shall describe in detail the services rendered under the Contract during the period of time covered in such report. All reports shall be transmitted by the Contractor by [MAIL, COURIER AND/OR FAX] to the address specified in 9.1 below.			
2.7	The Contractor represents and warrants the accuracy of any information or data provided to UNDP for the purpose of entering into this Contract, as well as the quality of the deliverables and reports foreseen under this Contract in accordance with the highest industry and professional standards.			
		0	PTION 1 (FIXED PRICE)	
3.	Price and Payment			
3.1	In full consideration for the complete and satisfactory performance of the Services under this Contract UNDP shall pay the Contractor a fixed contract price of[INSERT CURRENCY & AMOUNT IN FIGURES AND WORDS].			
3.2	The price of this Contract is not subject to any adjustment or revision because of price or currency fluctuations or the actual costs incurred by the Contractor in the performance of the Contract.			ency
3.3	Payments effected by UNDP to the Contractor shall be deemed neither to relieve the Contractor of its obligations under this Contract nor as acceptance by UNDP of the Contractor's performance of the Services.			
3.4	UNDP shall effect payments to the Contractor after acceptance by UNDP of the invoices submitted by the Contractor to the address specified in 9.1 below, upon achievement of the corresponding milestones and for the following amounts:			
	MILESTONE	AMOUNT	TARGET DATE	
	Upon		.//	
			, ,	

Invoices shall indicate the milestones achieved and corresponding amount payable.

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Payments offering by UNUSP to the Control of the Services.

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# OPTION 2 (COST REIMBURSEMENT)

3.	Price and payment		
3.1	In full consideration for the complete and satisfactory performance of the Services under this Contract, UNDI shall pay the Contractor a price not to exceed[INSERT CURRENCY & AMOUNT IN FIGURES AND WORDS].		
3.2	The amount contained in 3.1 above is the maximum total amount of reimbursable costs under this Contract. The Breakdown of Costs in Annex[INSERT ANNEX NUMBER] contains the maximum amounts per cost category that are reimbursable under this Contract. The Contractor shall reflect in his invoices the amount of the actual reimbursable costs incurred in the performance of the Services.		
3.3	The Contractor shall not do any work, provide any equipment, materials and supplies, or perform any other services which may result in any costs in excess of the amount under 3.1 or of any of the amounts specified in the Breakdown of Costs for each cost category without the prior written agreement of[NAME and TITLE], UNDP.		
3.4	Payments effected by UNDP to the Contractor shall be deemed neither to relieve the Contractor of its obligations under this Contract nor as acceptance by UNDP of the Contractor's performance of the Services.		
3.5	The Contractor shall submit invoices for the work done every[INSERT PERIOD OF TIME OR MILESTONES].		
	OR		
3.5.	The Contractor shall submit an invoice for[INSERT AMOUNT AND CURRENCY OF THE ADVANCE PAYMENT IN FIGURES & WORDS] upon signature of this Contract by both parties and invoices for the work done every[INSERT PERIOD OF TIME OR MILESTONES].		
3.6	Progress and final payments shall be effected by UNDP to the Contractor after acceptance of the invoices submitted by the Contractor to the address specified in 9.1 below, together with whatever supporting documentation of the actual costs incurred is required in the Breakdown of Costs or may be required by UNDP. Such payments shall be subject to any specific conditions for reimbursement contained in the Breakdown of Costs.		
4.	<u>Special conditions</u>		
4.1	The responsibility for the safety and security of the Contractor and its personnel and property, and o UNDP's property in the Contractor's custody, rests with the Contractor.		
4.2	The advance payment to be made upon signature of the contract by both parties is contingent upon receipt and acceptance by UNDP of a bank guarantee for the full amount of the advance payment issued by a Bank and in a form acceptable to UNDP.		
4.3	The amounts of the payments referred to under section 3.6 above shall be subject to a deduction of  [INSERT PERCENTAGE THAT THE ADVANCE REPRESENTS OVER THE TOTAL  PRICE OF THE CONTRACT] % ( percent) of the amount accepted for payment until the cumulative amount of the deductions so effected shall equal the amount of the advance payment.		
4.4	Owing to [], Article(s) [] of the General Conditions in Annex I shall be amended to read/be deleted.		

5.	<u>Submission of invoices</u>				
5.1	An original invoice shall be submitted by mail by the Contractor for each payment under the Contract to the following address:				
5.2	Invoices submitted by fax shall not be accepted by UNDP.				
6.	Time and manner of payment				
6.1	Invoices shall be paid within thirty (30) days of the date of their acceptance by UNDP. UNDP shall make every effort to accept an invoice or so advise the Contractor of its non-acceptance within a reasonable time from receipt.				
6.2	All payments shall be made by UNDP to the following Bank account of the Contractor:				
	[NAME OF THE BANK]				
	[ACCOUNT NUMBER]				
	[ADDRESS OF THE BANK]				
7.	Entry into force. Time limits.				
7.1	The Contract shall enter into force upon its signature by both parties.				
7.2	The Contractor shall commence the performance of the Services not later than[INSERT DATE] and shall complete the Services within[INSERT NUMBER OF DAYS OR MONTHS] of such commencement.				
7.3	All time limits contained in this Contract shall be deemed to be of the essence in respect of the performance of the Services.				
8.	Modifications				
8.1	Any modification to this Contract shall require an amendment in writing between both parties duly signed by the authorized representative of the Contractor and[NAME AND TITLE] UNDP.				
9.	Notifications				
	For the purpose of notifications under the Contract, the addresses of UNDP and the Contractor are as follows:				
	For the UNDP:				
	Name				
	Designation				
	Address				
	Tel. No.				
	Fax. No.				
	Email address:				

# For the Contractor:

Name Designation Address Tel. No. Fax. No. Email address:

If the above terms and conditions meet with your agreement as they are typed in this letter and in the Contract Documents, please initial every page of this letter and its attachments and return to Contract, duly signed and dated.

Yours sincerely,

[INSERT NAME AND DESIGNATION]

For [INSERT NAME OF THE COMPANY/ORGANIZATION]

Agreed and	Accepted:	
Signature _		
Name:		
Title:		
Date: _	OM. IIO. JIYAD	



# UNDP GENERAL CONDITIONS OF CONTRACT FOR SERVICES

#### 1.0 LEGAL STATUS:

The Contractor shall be considered as having the legal status of an independent contractor vis-à-vis the United Nations Development Programme (UNDP). The Contractor's personnel and sub-contractors shall not be considered in any respect as being the employees or agents of UNDP or the United Nations.

#### 2.0 SOURCE OF INSTRUCTIONS:

The Contractor shall neither seek nor accept instructions from any authority external to UNDP in connection with the performance of its services under this Contract. The Contractor shall refrain from any action that may adversely affect UNDP or the United Nations and shall fulfill its commitments with the fullest regard to the interests of UNDP.

## 3.0 CONTRACTOR'S RESPONSIBILITY FOR EMPLOYEES:

The Contractor shall be responsible for the professional and technical competence of its employees and will select, for work under this Contract, reliable individuals who will perform effectively in the implementation of this Contract, respect the local customs, and conform to a high standard of moral and ethical conduct.

# 4.0 ASSIGNMENT:

The Contractor shall not assign, transfer, pledge or make other disposition of this Contract or any part thereof, or any of the Contractor's rights, claims or obligations under this Contract except with the prior written consent of UNDP.

## 5.0 SUB-CONTRACTING:

In the event the Contractor requires the services of sub-contractors, the Contractor shall obtain the prior written approval and clearance of UNDP for all sub-contractors. The approval of UNDP of a sub-contractor shall not relieve the Contractor of any of its obligations under this Contract. The terms of any sub-contract shall be subject to and conform to the provisions of this Contract.

# 6.0 OFFICIALS NOT TO BENEFIT:

The Contractor warrants that no official of UNDP or the United Nations has received or will be offered by the Contractor any direct or indirect benefit arising from this Contract or the award thereof. The Contractor agrees that breach of this provision is a breach of an essential term of this Contract.

#### 7.0 INDEMNIFICATION:

The Contractor shall indemnify, hold and save harmless, and defend, at its own expense, UNDP, its officials, agents, servants and employees from and against all suits, claims, demands, and liability of any nature or kind, including their costs and expenses, arising out of acts or omissions of the Contractor, or the Contractor's employees, officers, agents or sub-contractors, in the performance of this Contract. This provision shall extend, inter alia, to claims and liability in the nature of workmen's compensation, products liability and liability arising out of the use of patented inventions or devices, copyrighted material or other intellectual property by the Contractor, its employees, officers, agents, servants or sub-contractors. The obligations under this Article do not lapse upon termination of this Contract.

# 8.1 INSURANCE AND LIABILITIES TO THIRD PARTIES:

- 8.2 The Contractor shall provide and thereafter maintain insurance against all risks in respect of its property and any equipment used for the execution of this Contract.
- **8.3** The Contractor shall provide and thereafter maintain all appropriate workmen's compensation insurance, or the equivalent, with respect to its employees to cover claims for personal injury or death in connection with this Contract.
- 8.4 The Contractor shall also provide and thereafter maintain liability insurance in an adequate amount to cover third party claims for death or bodily injury, or loss of or damage to property, arising from or in connection with the provision of services under this Contract or the operation of any vehicles, boats, airplanes or other equipment owned or leased by the Contractor or its agents, servants, employees or sub-contractors performing work or services in connection with this Contract.
- 8.5 Except for the workmen's compensation insurance, the insurance policies under this Article shall:
- 8.5.1 Name UNDP as additional insured;
- 8.5.2 Include a waiver of subrogation of the Contractor's rights to the insurance carrier against the UNDP;
- **8.5.3** Provide that the UNDP shall receive thirty (30) days written notice from the insurers prior to any cancellation or change of coverage.
- 8.6 The Contractor shall, upon request, provide the UNDP with satisfactory evidence of the insurance required under this Article.

# 9.0 ENCUMBRANCES/LIENS:

The Contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with the UNDP against any monies due or to become due for any work done or materials furnished under this Contract, or by reason of any other claim or demand against the Contractor.

10.0 TITLE TO EQUIPMENT: Title to any equipment and supplies that may be furnished by UNDP shall rest with UNDP and any such equipment shall be returned to UNDP at the conclusion of this Contract or when no longer needed by the Contractor. Such equipment, when returned to UNDP, shall be in the same condition as when delivered to the Contractor, subject to normal wear and tear. The Contractor shall be liable to compensate UNDP for equipment determined to be damaged or degraded beyond normal wear and tear.

# 11.1 COPYRIGHT, PATENTS AND OTHER PROPRIETARY RIGHTS:

- 11.2 Except as is otherwise expressly provided in writing in the Contract, the UNDP shall be entitled to all intellectual property and other proprietary rights including, but not limited to, patents, copyrights, and trademarks, with regard to products, processes, inventions, ideas, know-how, or documents and other materials which the Contractor has developed for the UNDP under the Contract and which bear a direct relation to or are produced or prepared or collected in consequence of, or during the course of, the performance of the Contract, and the Contractor acknowledges and agrees that such products, documents and other materials constitute works made for hire for the UNDP.
- 11.3 To the extent that any such intellectual property or other proprietary rights consist of any intellectual property or other proprietary rights of the Contractor: (i) that pre-existed the performance by the Contractor of its obligations under the Contract, or (ii) that the Contractor may develop or acquire, or may have developed or acquired, independently of the performance of its obligations under the Contract, the UNDP does not and shall not claim any ownership interest thereto, and the Contractor grants to the UNDP a perpetual license to use such intellectual property or other proprietary right solely for the purposes of and in accordance with the requirements of the Contract.
- 11.4 At the request of the UNDP; the Contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring or licensing them to the UNDP in compliance with the requirements of the applicable law and of the Contract.
- 11.5 Subject to the foregoing provisions, all maps, drawings, photographs, mosaics, plans, reports, estimates, recommendations, documents, and all other data compiled by or received by the Contractor under the Contract shall be the property of the UNDP, shall be made available for use or inspection by the UNDP at reasonable times and in reasonable places, shall be treated as confidential, and shall be delivered only to UNDP authorized officials on completion of work under the Contract.

# 12.0 USE OF NAME, EMBLEM OR OFFICIAL SEAL OF UNDP OR THE UNITED NATIONS:

The Contractor shall not advertise or otherwise make public the fact that it is a Contractor with UNDP, nor shall the Contractor, in any manner whatsoever use the name, emblem or official seal of UNDP or THE United Nations, or any abbreviation of the name of UNDP or United Nations in connection with its business or otherwise.

## 13.1 CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION:

Information and data that is considered proprietary by either Party, and that is delivered or disclosed by one Party ("Discloser") to the other Party ("Recipient") during the course of performance of the Contract, and that is designated as confidential ("Information"), shall be held in confidence by that Party and shall be handled as follows:

- 13.2 The recipient ("Recipient") of such information shall:
- 13.2.1 use the same care and discretion to avoid disclosure, publication or dissemination of the Discloser's Information as it uses with its own similar information that it does not wish to disclose, publish or disseminate; and,
  - 13.2.2 use the Discloser's Information solely for the purpose for which it was disclosed.
- 13.3 Provided that the Recipient has a written agreement with the following persons or entities requiring them to treat the Information confidential in accordance with the Contract and this Article 13, the Recipient may disclose Information to:

- 13.3.1 any other party with the Discloser's prior written consent; and,
- 13.3.2 the Recipient's employees, officials, representatives and agents who have a need to know such Information for purposes of performing obligations under the Contract, and employees officials, representatives and agents of any legal entity that it controls, controls it, or with which it is under common control, who have a need to know such Information for purposes of performing obligations under the Contract, provided that, for these purposes a controlled legal entity means:
- **13.3.2.1** a corporate entity in which the Party owns or otherwise controls, whether directly or indirectly, over fifty percent (50%) of voting shares thereof; or,
  - 13.3.2.2 any entity over which the Party exercises effective managerial control; or,
  - 13.3.2.3 for the UNDP, an affiliated Fund such as UNCDF, UNIFEM and UNV.
- 13.4 The Contractor may disclose Information to the extent required by law, provided that, subject to and without any waiver of the privileges and immunities of the United Nations, the Contractor will give the UNDP sufficient prior notice of a request for the disclosure of Information in order to allow the UNDP to have a reasonable opportunity to take protective measures or such other action as may be appropriate before any such disclosure is made.
- 13.5 The UNDP may disclose Information to the extent as required pursuant to the Charter of the UN, resolutions or regulations of the General Assembly, or rules promulgated by the Secretary-General.
- 13.6 The Recipient shall not be precluded from disclosing Information that is obtained by the Recipient from a third party without restriction, is disclosed by the Discloser to a third party without any obligation of confidentiality, is previously known by the Recipient, or at any time is developed by the Recipient completely independently of any disclosures hereunder.
- 13.7 These obligations and restrictions of confidentiality shall be effective during the term of the Contract, including any extension thereof, and, unless otherwise provided in the Contract, shall remain effective following any termination of the Contract.

# 14.1 FORCE MAJEURE; OTHER CHANGES IN CONDITIONS

- 14.2 In the event of and as soon as possible after the occurrence of any cause constituting force majeure, the Contractor shall give notice and full particulars in writing to the UNDP, of such occurrence or change if the Contractor is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under this Contract. The Contractor shall also notify the UNDP of any other changes in conditions or the occurrence of any event that interferes or threatens to interfere with its performance of this Contract. On receipt of the notice required under this Article, the UNDP shall take such action as, in its sole discretion; it considers to be appropriate or necessary in the circumstances, including the granting to the Contractor of a reasonable extension of time in which to perform its obligations under this Contract.
- 14.3 If the Contractor is rendered permanently unable, wholly, or in part, by reason of force majeure to perform its obligations and meet its responsibilities under this Contract, the UNDP shall have the right to suspend or terminate this Contract on the same terms and conditions as are provided for in Article 15, "Termination", except that the period of notice shall be seven (7) days instead of thirty (30) days.
- 14.4 Force majeure as used in this Article means acts of God, war (whether declared or not), invasion, revolution, insurrection, or other acts of a similar nature or force.
- 14.5 The Contractor acknowledges and agrees that, with respect to any obligations under the Contract that the

Contractor must perform in or for any areas in which the UNDP is engaged in, preparing to engage in, or disengaging from any peacekeeping, humanitarian or similar operations, any delays or failure to perform such obligations arising from or relating to harsh conditions within such areas or to any incidents of civil unrest occurring in such areas shall not, in and of itself, constitute force majeure under the Contract..

### 15.1 TERMINATION

- 15.2 Either party may terminate this Contract for cause, in whole or in part, upon thirty (30) days notice, in writing, to the other party. The initiation of arbitral proceedings in accordance with Article 16.2 ("Arbitration"), below, shall not be deemed a termination of this Contract.
- 15.3 UNDP reserves the right to terminate without cause this Contract at any time upon 15 days prior written notice to the Contractor, in which case UNDP shall reimburse the Contractor for all reasonable costs incurred by the Contractor prior to receipt of the notice of termination.
- 15.4 In the event of any termination by UNDP under this Article, no payment shall be due from UNDP to the Contractor except for work and services satisfactorily performed in conformity with the express terms of this Contract.
- 15.5 Should the Contractor be adjudged bankrupt, or be liquidated or become insolvent, or should the Contractor make an assignment for the benefit of its creditors, or should a Receiver be appointed on account of the insolvency of the Contractor, the UNDP may, without prejudice to any other right or remedy it may have under the terms of these conditions, terminate this Contract forthwith. The Contractor shall immediately inform the UNDP of the occurrence of any of the above events.

### 16.1 SETTLEMENT OF DISPUTES

- 16.2 Amicable Settlement: The Parties shall use their best efforts to settle amicably any dispute, controversy or claim arising out of this Contract or the breach, termination or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the UNCITRAL Conciliation Rules then obtaining, or according to such other procedure as may be agreed between the parties.
- Arbitration: Any dispute, controversy, or claim between the Parties arising out of the Contract or the 16.3 breach, termination, or invalidity thereof, unless settled amicably under Article 16.1, above, within sixty (60) days after receipt by one Party of the other Party's written request for such amicable settlement, shall be referred by either Party to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The decisions of the arbitral tribunal shall be based on general principles of international commercial law. For all evidentiary questions, the arbitral tribunal shall be guided by the Supplementary Rules Governing the Presentation and Reception of Evidence in International Commercial Arbitration of the International Bar Association, 28 May 1983 edition. The arbitral tribunal shall be empowered to order the return or destruction of goods or any property, whether tangible or intangible, or of any confidential information provided under the Contract, order the termination of the Contract, or order that any other protective measures be taken with respect to the goods, services or any other property, whether tangible or intangible, or of any confidential information provided under the Contract, as appropriate, all in accordance with the authority of the arbitral tribunal pursuant to Article 26 ("Interim Measures of Protection") and Article 32 ("Form and Effect of the Award") of the UNCITRAL Arbitration Rules. The arbitral tribunal shall have no authority to award punitive damages. In addition, unless otherwise expressly provided in the Contract, the arbitral tribunal shall have no authority to award interest in excess of the London Inter-Bank Offered Rate ("LIBOR") then prevailing, and any such interest shall be simple interest only. The Parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such dispute, controversy, or claim.

#### 17.0 PRIVILEGES AND IMMUNITIES:

Nothing in or relating to this Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.

#### 18.1 TAX EXEMPTION

- 18.2 Section 7 of the Convention on the Privileges and Immunities of the United Nations provides, inter-alia that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the United Nations exemption from such taxes, duties or charges, the Contractor shall immediately consult with the UNDP to determine a mutually acceptable procedure.
- 18.3 Accordingly, the Contractor authorizes UNDP to deduct from the Contractor's invoice any amount representing such taxes, duties or charges, unless the Contractor has consulted with the UNDP before the payment thereof and the UNDP has, in each instance, specifically authorized the Contractor to pay such taxes, duties or charges under protest. In that event, the Contractor shall provide the UNDP with written evidence that payment of such taxes, duties or charges has been made and appropriately authorized.

#### 19.1 CHILD LABOUR

- 19.2 The Contractor represents and warrants that neither it, nor any of its suppliers is engaged in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child, including Article 32 thereof, which, inter alia, requires that a child shall be protected from performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical mental, spiritual, moral or social development.
- Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, at no cost to UNDP.

# 20.1 MINES:

- 20.2 The Contractor represents and warrants that neither it nor any of its suppliers is actively and directly engaged in patent activities, development, assembly, production, trade or manufacture of mines or in such activities in respect of components primarily utilized in the manufacture of Mines. The term "Mines" means those devices defined in Article 2, Paragraphs 1, 4 and 5 of Protocol II annexed to the Convention on Prohibitions and Restrictions on the Use of Certain Conventional Weapons Which May Be Deemed to Be Excessively Injurious or to Have Indiscriminate Effects of 1980.
- 20.3 Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind of UNDP.

# 21.0 OBSERVANCE OF THE LAW:

The Contractor shall comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the terms of this Contract.

# 22.1 SEXUAL EXPLOITATION:

The Contractor shall take all appropriate measures to prevent sexual exploitation or abuse of anyone by it or by any of its employees or any other persons who may be engaged by the Contractor to perform any services

under the Contract. For these purposes, sexual activity with any person less than eighteen years of age, regardless of any laws relating to consent, shall constitute the sexual exploitation and abuse of such person. In addition, the Contractor shall refrain from, and shall take all appropriate measures to prohibit its employees or other persons engaged by it from, exchanging any money, goods, services, offers of employment or other things of value, for sexual favors or activities, or from engaging in any sexual activities that are exploitive or degrading to any person. The Contractor acknowledges and agrees that the provisions hereof constitute an essential term of the Contract and that any breach of this representation and warranty shall entitle UNDP to terminate the Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind.

22.3 The UNDP shall not apply the foregoing standard relating to age in any case in which the Contractor's personnel or any other person who may be engaged by the Contractor to perform any services under the Contract is married to the person less than the age of eighteen years with whom sexual activity has occurred and in which such marriage is recognized as valid under the laws of the country of citizenship of such Contractor's personnel or such other person who may be engaged by the Contractor to perform any services under the Contract.

# 23.1 SECURITY:

# 23.2 The Contractor shall:

- (a) Put in place an appropriate security plan and maintain the security plan, taking into account the security situation in the country where the services are being provided;
- (b) Assume all risks and liabilities related to the Contractor's security, and the full implementation of the security plan.
- 23.3 UNDP reserves the right to verify whether such a plan is in place, and to suggest modifications to the plan when necessary. Failure to maintain and implement an appropriate security plan as required hereunder shall be deemed a breach of this contract. Notwithstanding the foregoing, the Contractor shall remain solely responsible for the security of its personnel and for UNDP's property in its custody as set forth in paragraph 4.1 above.

## 24.1 AUDITS AND INVESTIGATIONS:

- 24.2 Each invoice paid by UNDP shall be subject to a post-payment audit by auditors, whether internal or external, of UNDP or the authorized agents of the UNDP at any time during the term of the Contract and for a period of three (3) years following the expiration or prior termination of the Contract. The UNDP shall be entitled to a refund from the Contractor for any amounts shown by such audits to have been paid by the UNDP other than in accordance with the terms and conditions of the Contract. Should the audit determine that any funds paid by UNDP have not been used as per contract clauses, the company shall reimburse such funds forthwith. Where the company fails to reimburse such funds, UNDP reserves the right to seek recovery and/or to take any other action as it deems necessary.
- 24.3 The Contractor acknowledges and agrees that, at anytime, UNDP may conduct investigations relating to any aspect of the Contract, the obligations performed under the Contract, and the operations of the Contractor generally. The right of UNDP to conduct an investigation and the Contractor's obligation to comply with such an investigation shall not lapse upon expiration or prior termination of the Contract. The Contractor shall provide its full and timely cooperation with any such inspections, post-payment audits or investigations. Such cooperation shall include, but shall not be limited to, the Contractor's obligation to make available its personnel and any documentation for such purposes and to grant to UNDP access to the Contractor's premises. The Contractor shall require its agents, including, but not limited to, the Contractor's attorneys, accountants or other advisers, to reasonably cooperate with any inspections, post-payment audits or investigations carried out by UNDP hereunder.

# 25.0 ANTI-TERRORISM:

25.1 The Contractor agrees to undertake all reasonable efforts to ensure that none of the UNDP funds received under this Contract are used to provide support to individuals or entities associated with terrorism and that the recipients of any amounts provided by UNDP hereunder do not appear on the list maintained by the Security

Council Committee established pursuant to resolution 1267 (1999). The list can be accessed via <a href="http://www.un.org/Docs/sc/committees/1267/1267ListEng.htm">http://www.un.org/Docs/sc/committees/1267/1267ListEng.htm</a>. This provision must be included in all subcontracts or sub-agreements entered into under this Contract.

## 26.0 AUTHORITY TO MODIFY:

Pursuant to the Financial Regulations and Rules of UNDP, only the UNDP Authorized Official possesses the authority to agree on behalf of UNDP to any modification of or change in this Agreement, to a waiver of any of its provisions or to any additional contractual relationship of any kind with the Contractor. Accordingly, no modification or change in this Contract shall be valid and enforceable against UNDP unless provided by an amendment to this Agreement signed by the Contractor and jointly by the UNDP Authorized Official.

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