



REQUEST FOR PROPOSAL (RFP) (For Low-Valued Services)

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| To: Interested Consulting Firm (national only) | DATE: August 24, 2016 |
| | REFERENCE: RFP/UNDP/SUSTAIN/007/2016 Survey Public Satisfactory of Court Services in Indonesia |

Dear Sir / Madam:

We kindly request you to submit your Proposal for **Survey Public Satisfactory of Court Services in Indonesia**.

Please be guided by the form attached hereto as Annex 2, in preparing your Proposal.

Proposals may be submitted on or before Tuesday, September 06, 2016 at 23.59 Jakarta Time and via email or courier mail to the address below:

United Nations Development Programme
Menara Thamrin Building, 8th Floor, Kav. 3, Jl. M.H. Thamrin, Jakarta 10250, Indonesia
Contact Person: Rani Rahmania
Tel: +62 21 2980 2300 ext. 139
Email: bids.id@undp.org

Your Proposal must be expressed in the English, and valid for a minimum period of 90 days

The detail of Procedures and Conditions for Submission can be checked in page 6 of this RFP.

In the course of preparing your Proposal, it shall remain your responsibility to ensure that it reaches the address above on or before the deadline. Proposals that are received by UNDP after the deadline indicated above, for whatever reason, shall not be considered for evaluation.

Services proposed shall be reviewed and evaluated based on completeness and compliance of the Proposal and responsiveness with the requirements of the RFP and all other annexes providing details of UNDP requirements.

The Proposal that complies with all of the requirements, meets all the evaluation criteria and offers the best value for money shall be selected and awarded the contract. Any offer that does not meet the requirements shall be rejected.

Any discrepancy between the unit price and the total price shall be re-computed by UNDP, and the unit price shall prevail and the total price shall be corrected. If the Service Provider does not accept the final price based on UNDP's re-computation and correction of errors, its Proposal will be rejected.

No price variation due to escalation, inflation, fluctuation in exchange rates, or any other market factors shall be accepted by UNDP after it has received the Proposal. At the time of Award of Contract or Purchase Order, UNDP reserves the right to vary (increase or decrease) the quantity of services and/or goods, by up to a maximum twenty five per cent (25%) of the total offer, without any change in the unit price or other terms and conditions.

Any Contract or Purchase Order that will be issued as a result of this RFP shall be subject to the General Terms and Conditions attached hereto. The mere act of submission of a Proposal implies that the Service Provider accepts without question the General Terms and Conditions of UNDP, herein attached as Annex 3.

Please be advised that UNDP is not bound to accept any Proposal, nor award a contract or Purchase Order, nor be responsible for any costs associated with a Service Providers preparation and submission of a Proposal, regardless of the outcome or the manner of conducting the selection process.

UNDP's vendor protest procedure is intended to afford an opportunity to appeal for persons or firms not awarded a Purchase Order or Contract in a competitive procurement process. In the event that you believe you have not been fairly treated, you can find detailed information about vendor protest procedures in the following link:

<http://www.undp.org/content/undp/en/home/operations/procurement/protestandsanctions/>

UNDP encourages every prospective Service Provider to prevent and avoid conflicts of interest, by disclosing to UNDP if you, or any of your affiliates or personnel, were involved in the preparation of the requirements, design, cost estimates, and other information used in this RFP.

UNDP implements a zero tolerance on fraud and other proscribed practices, and is committed to preventing, identifying and addressing all such acts and practices against UNDP, as well as third parties involved in UNDP activities. UNDP expects its Service Providers to adhere to the UN Supplier Code of Conduct found in this link : http://www.un.org/depts/ptd/pdf/conduct_english.pdf

Thank you and we look forward to receiving your Proposal.

Sincerely yours,


John Benjamin
Procurement Specialist
24th August 2016

Annex 1

Description of Requirements

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| Context of the Requirement | The objective of this request proposal is to do Survey Public Satisfactory of Court Services in Indonesia |
| Implementing Partner of UNDP | The Oversight Body (Bawas) of The Supreme Court EU-UNDP SUSTAIN Oversight sector The Supreme Court |
| Brief Description of the Required Services | Please see Annex 2 – Terms of Reference |
| List and Description of Expected Outputs to be Delivered | Please see Annex 2 – Terms of Reference |
| Person to Supervise the Work/Performance of the Service Provider | EU-UNDP SUSTAIN Oversight Sector Coordinator |
| Frequency of Reporting | Please see Annex 2 – Terms of Reference |
| Progress Reporting Requirements | Please see Annex 2 – Terms of Reference |
| Location of work | Please see Annex 2 – Terms of Reference |
| Expected duration of work | Four-and-half month |
| Target start date | 3 October 2016 |
| Latest completion date | 31 January 2017 |
| Travels Expected | Please see Annex 2 – Terms of Reference |
| Facilities to be Provided by UNDP (i.e., must be excluded from Price Proposal) | <input type="checkbox"/> Office space and facilities <input type="checkbox"/> Land Transportation <input checked="" type="checkbox"/> Others Please see Annex 2 – Terms of Reference |
| Implementation Schedule indicating breakdown and timing of activities/sub-activities | <input checked="" type="checkbox"/> Required <input type="checkbox"/> Not Required |
| Names and curriculum vitae of individuals who will be involved in completing the services | <input checked="" type="checkbox"/> Required <input type="checkbox"/> Not Required |
| Currency of Proposal | <input type="checkbox"/> United States Dollars <input type="checkbox"/> Euro <input checked="" type="checkbox"/> Local Currency (IDR – Indonesian Rupiah) |
| A pre-proposal conference will be held on: | Time: 11:00 hours Jakarta time (GMT+ 7 hours) Date: Thursday, 1 st September 2016 Venue: Aceh Meeting Room - UNDP Jakarta – Indonesia The UNDP focal point for the arrangement is: Rani Rahmania Address: UNDP Office, Menara Thamrin Buidling 7th floor, Jl. MH Thamrin Kav 3 Jakarta - Indonesia E-mail: rani.rahmania@undp.org |
| Value Added Tax on Price Proposal | <input type="checkbox"/> must be inclusive of VAT and other applicable indirect taxes <input checked="" type="checkbox"/> must be exclusive of VAT and other applicable indirect taxes |

| <p>Validity Period of Proposals (Counting for the last day of submission of quotes)</p> | <p><input type="checkbox"/> 60 days <input checked="" type="checkbox"/> 90 days <input type="checkbox"/> 120 days</p> <p>In exceptional circumstances, UNDP may request the Proposer to extend the validity of the Proposal beyond what has been initially indicated in this RFP. The Proposal shall then confirm the extension in writing, without any modification whatsoever on the Proposal.</p> | | | | | | | | | | | | | | | | | | | | |
|--|--|-------------|--|--------|-------------------------------|--|-----|-------------|--|--|-----|-------------|---|-----|-------------|--|-----|-------------|---|-----|-------------|
| <p>Partial Quotes</p> | <p><input checked="" type="checkbox"/> Not permitted <input type="checkbox"/> Permitted</p> | | | | | | | | | | | | | | | | | | | | |
| <p>Payment Terms</p> | <table border="1"> <thead> <tr> <th data-bbox="616 607 938 703">Outputs</th> <th data-bbox="944 607 1088 703">Percentage</th> <th data-bbox="1094 607 1216 703">Timing</th> <th data-bbox="1222 607 1415 703">Condition for Payment Release</th> </tr> </thead> <tbody> <tr> <td data-bbox="616 712 938 1061">1st payment - Upon completion of draft survey instrument and survey plan (set questioners for all the aspects required with emphasized on types of respondent (internal and external) in 4 court jurisdictions, interview guidelines, and survey plan)</td> <td data-bbox="944 712 1088 1061">20%</td> <td data-bbox="1094 712 1216 1061">14 Oct 2016</td> <td data-bbox="1222 712 1415 1384" rowspan="5"> Max within thirty (30) days from the date of meeting the following conditions: a) UNDP's written acceptance (i.e., not mere receipt) of the quality of the outputs; and b) Receipt of invoice from the Service Provider. </td> </tr> <tr> <td data-bbox="616 1070 938 1196">2nd payment - Upon completion of the instrument based on FGDs & the tryout</td> <td data-bbox="944 1070 1088 1196">20%</td> <td data-bbox="1094 1070 1216 1196">31 Oct 2016</td> </tr> <tr> <td data-bbox="616 1205 938 1361">3rd payment - Upon completion of minimal 200 pages of progress report survey data collection including analysis</td> <td data-bbox="944 1205 1088 1361">20%</td> <td data-bbox="1094 1205 1216 1361">30 Nov 2016</td> </tr> <tr> <td data-bbox="616 1370 938 1621">4th payment - Upon completion of minimal 350 pages of final report on survey data collection including analysis with recommendations for the Supreme Court to be followed up</td> <td data-bbox="944 1370 1088 1621">30%</td> <td data-bbox="1094 1370 1216 1621">30 Dec 2016</td> </tr> <tr> <td data-bbox="616 1630 938 1787">5th payment - Upon completion of progress reports on the seminar to disseminate the results of the survey</td> <td data-bbox="944 1630 1088 1787">10%</td> <td data-bbox="1094 1630 1216 1787">31 Jan 2017</td> </tr> </tbody> </table> | Outputs | Percentage | Timing | Condition for Payment Release | 1 st payment - Upon completion of draft survey instrument and survey plan (set questioners for all the aspects required with emphasized on types of respondent (internal and external) in 4 court jurisdictions, interview guidelines, and survey plan) | 20% | 14 Oct 2016 | Max within thirty (30) days from the date of meeting the following conditions: a) UNDP's written acceptance (i.e., not mere receipt) of the quality of the outputs; and b) Receipt of invoice from the Service Provider. | 2 nd payment - Upon completion of the instrument based on FGDs & the tryout | 20% | 31 Oct 2016 | 3 rd payment - Upon completion of minimal 200 pages of progress report survey data collection including analysis | 20% | 30 Nov 2016 | 4 th payment - Upon completion of minimal 350 pages of final report on survey data collection including analysis with recommendations for the Supreme Court to be followed up | 30% | 30 Dec 2016 | 5 th payment - Upon completion of progress reports on the seminar to disseminate the results of the survey | 10% | 31 Jan 2017 |
| Outputs | Percentage | Timing | Condition for Payment Release | | | | | | | | | | | | | | | | | | |
| 1 st payment - Upon completion of draft survey instrument and survey plan (set questioners for all the aspects required with emphasized on types of respondent (internal and external) in 4 court jurisdictions, interview guidelines, and survey plan) | 20% | 14 Oct 2016 | Max within thirty (30) days from the date of meeting the following conditions: a) UNDP's written acceptance (i.e., not mere receipt) of the quality of the outputs; and b) Receipt of invoice from the Service Provider. | | | | | | | | | | | | | | | | | | |
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| 5 th payment - Upon completion of progress reports on the seminar to disseminate the results of the survey | 10% | 31 Jan 2017 | | | | | | | | | | | | | | | | | | | |

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| Person(s) to review/inspect/ approve outputs/completed services and authorize the disbursement of payment | reviewed by the SUSTAIN Sector Coordinator Oversight and approved by the SUSTAIN Chief Technical Advisor/Program Manager |
| Type of Contract to be Signed | <input type="checkbox"/> Purchase Order <input type="checkbox"/> Institutional Contract <input checked="" type="checkbox"/> Contract for Professional Services <input type="checkbox"/> Long-Term Agreement <input type="checkbox"/> Other Type of Contract |
| Criteria for Contract Award | <input type="checkbox"/> Lowest Price Quote among technically responsive offers <input checked="" type="checkbox"/> Highest Combined Score (based on the 70% technical offer and 30% price weight distribution) <input checked="" type="checkbox"/> Full acceptance of the UNDP Contract General Terms and Conditions (GTC). This is a mandatory criteria and cannot be deleted regardless of the nature of services required. Non acceptance of the GTC may be grounds for the rejection of the Proposal. |
| Criteria for the Assessment of Proposal | <p><u>Technical Proposal (70%)</u></p> <input checked="" type="checkbox"/> Expertise of the Firm (10 points) <input checked="" type="checkbox"/> Methodology, Its Appropriateness to the Condition and Timeliness of the Implementation Plan (60 points) <input checked="" type="checkbox"/> Management Structure and Qualification of Key Personnel (10 points) <input checked="" type="checkbox"/> Presentation will be applied for bidder(s) with minimum technical score of 70% out of 80 points (20 points) <p><u>Financial Proposal (30%)</u></p> <p>To be computed as a ratio of the Proposal's offer to the lowest price among the proposals received by UNDP.</p> <p>Technically qualified is considered a proposal that scores minimum 70% of the total technical points.</p> |
| UNDP will award the contract to: | <input checked="" type="checkbox"/> One and only one Service Provider <input type="checkbox"/> One or more Service Providers |
| Annexes to this RFP | <input checked="" type="checkbox"/> Detailed Terms of Reference (Annex 2) <input checked="" type="checkbox"/> Form for Submission of Proposal (Annex 3) <input checked="" type="checkbox"/> General Terms and Conditions for Services (Annex 4) <input checked="" type="checkbox"/> Sample of Professional Service Contract (Annex 5) |

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| <p>Contact Person for Inquiries (Written inquiries only)</p> | <p>Focal Person for administrative-related matters in UNDP UNDP Procurement Unit, Email: rani.rahmania@undp.org cc. yusef.millah@undp.org</p> <p>Any delay in UNDP's response shall be not used as a reason for extending the deadline for submission, unless UNDP determines that such an extension is necessary and communicates a new deadline to the Proposers.</p> |
| <p>Other Information: Conditions and Procedures for Submission</p> | <p>- <u>Proposal submitted via Courier/hand delivery:</u></p> <p>Official Address for hand delivery/Courier: United Nations Development Programme (UNDP) Menara Thamrin Building, 8th Floor, Kav. 3, Jl. M.H. Thamrin, Jakarta 10250, Indonesia Tel: +62 21 2980 2300 ext. 139 Attn: Proc Unit - Rani Rahmania</p> <p>The Financial Proposal and the Technical Proposal Envelopes MUST BE COMPLETELY SEPARATE and each of them must be submitted sealed individually and clearly marked on the outside as either "TECHNICAL PROPOSAL" or "FINANCIAL PROPOSAL", as appropriate. Each envelope MUST clearly indicate the name of the Proposer. The outer envelopes shall bear the address of UNDP, the Title of Bidding "RFP/UNDP/SUSTAIN/007/2016 Survey Public Satisfactory of Court Services in Indonesia" and shall include the Proposer's name and address, as well as a warning that state "not to be opened before the time and date for proposal opening". The Proposer shall assume the responsibility for the misplacement or premature opening of Proposals due to improper sealing and labeling by the Proposer.</p> <p>- <u>Proposal submitted via Email:</u></p> <p>Official email Address: bids.id@undp.org Format: PDF files only, password protected for the financial proposal only (Password must not be provided to UNDP until requested by UNDP which will be requested through email) Signature: YES Max. File Size per transmission: 8 MB Max. No. of transmission: N/A Free from any virus or corrupted files Mandatory subject of email: RFP/UNDP/SUSTAIN/007/2016 Survey Public Satisfactory of Court Services in Indonesia</p> |

Annex 2

TERMS OF REFERENCE

Survey Public Satisfactory of Court Services in Indonesia

I. Background and General Information

Support for Reform of the Justice Sector in Indonesia (SUSTAIN) is a 5-year project with the overall objective of enhancing public trust in the judicial system through support to the Government of Indonesia in strengthening the rule of law. The specific objective is to increase the transparency, integrity and accountability of the judiciary and the quality of justice services delivered to the people.

The project is implemented under the framework of the UNDP Country Programme Action Plan (CPAP) 2011 - 2015, and applies the National Implementation Modality (NIM) with the Supreme Court designated as the Implementing Partner. UNDP provides Country Office Support Services to support the Supreme Court in implementing the project and producing all the expected results. UNDP is responsible for (i) the identification and recruitment of project and programme personnel, (ii) procurement of goods and services, (iii) the administration of donor financial contributions; and (iv) other support services required to deliver the outputs.

This programme accordingly provides technical supports to the Supreme Court with the aim of achieving strategic results that directly linked to the Blueprints for reform of the Supreme Court. More specifically, SUSTAIN focuses on four sectors: (1) internal and external oversight of the judiciary, (2) enhanced skills and knowledge of judges and court staff, (3) improved human resource organization and management policies and (4) enhanced case management system designed to increase transparency, quality of case data and decisions and timeliness of case handling.

In the framework for achievement of the project output (1) enhanced internal and external oversight mechanisms of the judiciary, the SUSTAIN project develop several activities, such as:

1. Improve and institutionalize the external court survey method and tools.
2. Conduct followed up survey if required.
3. Develop recommendation to SC to follow up external court survey's results and provide support to improve the main critical issue.

Accordance to those mandate, SUSTAIN will support The Supreme Court to conduct external court survey for public satisfaction on court service. The SUSTAIN project identified a need for survey company, or NGO to conduct or implement external survey satisfactory on court service in 4 (four) jurisdiction across Indonesia.

The survey is intended to provide mapping on court public service based on perception of public satisfactory in courts. The survey also intended to observe and to measure the implementation of law number 25 year 2009 concerning public service which obligates state institutions to draft their own public service standards and the Supreme Court decree no 26/2016 concerning Court service standards to improve public service by the courts.

The Institution will develop Instruments based on the analysis from Senior Specialist for the Survey of Public Satisfaction on Court Service in Judiciary who has develop assessment analyses on previous external survey of public satisfaction on court service, conduct serial FGDs to get inputs from stakeholder, conduct the survey, analysis of the survey result, reporting, and together with the Supervisory Body of the Supreme Court conducting seminar to disseminate the result.

The Institution will work closely with oversight body (Bawas) of The Supreme Court, The Supreme Court working group on oversight, The General Judicial Body of the Supreme Court, CSO and other relevant stakeholders, such as High courts, Districts Courts, Media, CSO, etc.

II. Objectives of the program:

1. Support the Supreme Court in conducting external survey on public satisfaction with court services
2. Support The Supreme Court in order to provide mapping on court public service based on perception of public satisfactory in courts.
3. Support The Supreme Court to observe and to measure the implementation of law number 25 year 2009 The Supreme Court decree no 26/2016 concerning Court service standards to improve public service by the courts.
4. Support the Supreme Court in improving the judicial oversight mechanisms, both internal and external

III. Scope of the Survey

The Survey will be conducted in selected courts (min 100 courts) of 4 jurisdictions across Indonesia (national level), involved citizen and from the Supreme Court.

The Court selection will be based on the composition of high court (general court, religious court, administration court, and military court) and district courts (general court, religious court, administration court, and military court).

The survey shall be conduct in 34 provinces with minimum 3 districts per province.

IV. **Scope of Work**

Activities of selected consulting firm/institution are as follow:

1. Develop draft survey instrument and survey plan (set questioners for all the aspects required with emphasized on types of respondent (internal and external) in 4 court jurisdictions, interview guidelines, and survey plan).
2. To organize FGDs to discuss the survey method, survey instruments and survey plans
3. Revise survey instrument and survey plan based on the FGDs result
4. Conducting tryout of the instrument (minimal 30 respondents in for questionnaire for general courts, 30 respondents for questionnaire for religious courts, 30 respondents for questionnaire for administration courts, and 30 respondents for questionnaire for military courts).
5. Revision of the instrument based on the try out.
6. Collecting data in selected areas (field survey)
7. Conduct monitoring and spot check by involving judges and relevant stakeholders in the supervisory body of The Supreme Court.
8. Analyze data collected from selected areas
9. Write report on survey data collection including analysis with recommendations for the Supreme Court to be followed up.
10. Organize a seminar to disseminate the results of the survey:
One-day seminar shall be conduct on December 2016 in Jakarta.
Participants: from The Supreme Court, media, and other relevant stakeholders
Total Participants: 100 people
The participants will be selected by SUSTAIN Oversight Sector, BAWAS, the Supreme Court and selected Consulting Firm.

V. **Qualification**

Company:

a. Experience

The consulting company shall have at least 2 years' experience conduct a survey, preferable public satisfactory survey in courts or judiciary sector.

b. Expertise

The Company shall provide in their proposal Curriculum Vitae (CVs) for the key personnel to be involved in the performançe of the contract. Firms shall confirm that the nominated personnel shall not be changed without the approval of the UN during the project running.

The organization must have human resources with minimum qualification as follow:

a. **Team Leader - 1 Person**

1. Responsibilities:

- a. Responsible for the organization of a field survey team
- b. Responsible to develop survey plan, and survey design including survey instruments, and survey methodology.
- c. Responsible to develop manual or guidance for interviewer in field.

- d. Responsible for ensuring that all the relevant survey timetable, transport plans, questionnaires, stationery and equipment needed for the survey
 - e. Responsible to ensure that the methodology is followed
 - f. Responsible to develop survey reports (narrative and financial).
 - g. Responsible to manage FGDs and Seminar related to the survey.
 - h. Responsible to present the survey progress and survey result in FGDs and Seminar.
2. Academic Qualification: minimal bachelor of Law / preferable masters of Law
 3. Experience:
 - a. At least 7 years' experience (for bachelor), or 5 years' experience for (masters) working on survey implementation
 - b. Previous experience in managing field teams for multi-indicator surveys
 - c. Fluency in written and spoken English is mandatory.
 4. Competencies:
 - a. Professionalism, integrity and commitment to project demands.
 - b. Ability to motivate and build a team working environment to support organizational goals.
 - c. Self-motivated with an ability to accept responsibility and accountability for decisions and actions.
 - d. Highly motivated with a positive attitude and problem-solving approach.
 - e. Ability to work as part of a team in a fast-paced professional environment.
 - f. Ability and willingness to travel extensively in rural locations.
 - g. Excellent time management skills, with an ability to deliver high-quality outputs on time.
 - h. Working computer knowledge in MS Office Academic and statistics applications.
- b. Team member - Surveyor Coordinator - 4 people**
1. Responsibilities:
 - a. Responsible to conduct preliminary research related to survey.
 - b. Responsible to assist team leader to develop survey instrument
 - c. Responsible to conduct training for interviewer in their respective area.
 - b. Responsible for ensuring that all the relevant survey timetable, transport plans, questionnaires, stationery and equipment needed for the survey
 - c. Responsible to ensure that the methodology is followed
 - d. Responsible to develop survey reports (narrative and financial) per regions based on their respected area.
 - e. Responsible to conduct FGDs and Seminar related to the survey
 2. Academic Qualification: minimal bachelor of Law or any social science / preferable masters.
 3. Experience:
 - a. At least 5 years' experience (for bachelor), or 2 years' experience for (masters) working on survey implementation
 - b. Previous experience in conducting field teams for multi-indicator surveys

- c. Fluency in written and spoken English is mandatory.
- 4. Competencies:
 - a. Professionalism, integrity and commitment to project demands.
 - b. Ability to motivate and build a team working environment to support organizational goals.
 - c. Self-motivated with an ability to accept responsibility and accountability for decisions and actions.
 - d. Highly motivated with a positive attitude and problem-solving approach.
 - e. Ability to work as part of a team in a fast-paced professional environment.
 - f. Ability and willingness to travel extensively in rural locations.
 - g. Excellent time management skills, with an ability to deliver high-quality outputs on time.
 - h. Working computer knowledge in MS Office Academic and statistics applications.
- c. Team member - Data analyst - 2 people**
 - 1. Responsibilities:
 - a. Responsible for assist team leader to develop survey plan, and survey design including survey instruments, and survey methodology.
 - b. Responsible for analyses quantitative data from field.
 - c. Responsible to assist team leader and team member to develop narrative reports (progress report and final report)
 - 2. Academic Qualification: Minimum of B.A. in Statistics, Economics, Computer Science or related subjects with excellent knowledge of programming, econometrics and statistical analysis techniques
 - 3. Experience: Minimum 3-5 years computer programming work experience relevant to data analysis in academic research, private sector or in government.
 - 4. Competencies:
 - a. Familiarity with statistical analysis software packages such as STATA/SPSS/SAS is preferred.
 - b. Practical work experience:
 - c. Experience using large scale quantitative survey data and excellent knowledge of data collection systems for economic and social development survey data.
- d. Team member - Surveyor/Interviewer - 40 people**
 - 1. Responsibilities:
 - a. Responsible for conduct interview based on methodology and guidance in the manual.
 - b. Responsible to follow the procedure of interview based on guidance in the manual.
 - c. Responsible to ensure quality of the questionnaire submitted (all the mandatory questions in questionnaire are completely based on the face to face interview with respondent)

2. Qualification: Minimum of final year of B.A. in law, political science, sociology, and other related subjects
3. Experience: Minimum 1 year as interviewer or enumerator in any survey, preferable survey and judicial sector.
4. Competencies:
 - a. Familiarity with survey methodology.
 - b. Practical work experience: Experience as interviewer

VI. Proposal

The Consulting Firm is required to submit:

- Technical proposal outlining the process of preparation of the survey (instrument development), survey Implementation, monitoring and spot check of the survey together with The Supervisory Body of the Supreme Court, and report delivery, and dissemination of the report.
Survey plan shall be sampling from 34 provinces across Indonesia with minimal 3 districts per province.
- Financial proposal outlining process of preparation of the survey (instrument development), survey Implementation, monitoring and spot check of the survey together with The Supervisory Body of the Supreme Court, and report delivery, and dissemination of the report.
- nt both proposal on a bidder expose session arranged by SUSTAIN

REQUIRED SUBMISSION

Technical proposal without the following will be disqualified immediately and not be evaluated.

- A. Technical Proposal: explain the reason why you and your company or organization is the most suitable for the work. The technical proposal must contain:**
- Questionnaire and procast of Interviewing time.
 - Pretest: The translated and formatted questionnaire shall be pretested with min 10% of 100 courts. The pre-test will identify comprehension problems, the appropriateness of response options, and the sensitivities that any questions may arouse.
 - Sample: The contractor shall submit bids for achieved sample of 100 courts in Indonesia covering 34 provinces with minimal 3 districts per province including sampling for each courts. The sample should be designed to cover all major regions of Indonesia with probability proportional to population (courts and cases in each courts). Provisions should also be made to ensure gender proportionality in selection of respondent.
 - Interviewing: Personal, face-to-face interviews conducted in the courts or other places possible of the respondents by trained interviewers. The contractor/ consultant institutions shall specify how close supervision of field interviews and quality control of survey returns will be assured.

- Data Processing and Tabulations: Survey data will be coded in accordance with the guidelines set forth in the English-text of the questionnaire; tabulations will provide results for the total sample and by sex, age, urban/rural, education and occupation.
- Write report on survey data collection including analysis with recommendations for the Supreme Court to be followed up.
- Organize a seminar to disseminate the results of the survey by providing venue in minimal 4 star hotel in Jakarta. Any cost related to the seminar (Meals, transportation cost, etc.) shall be provided by selected consultant (include in the budget proposal).

B. Details of time/work schedule that includes;

The anticipated time within which the services of the contractor will be required is 3 October 2016 - 31 January 2017

C. Rational and competitive financial proposal.

D. Company profile and detail tasks of each project team.

E. Curriculum vitae of the team ; i) team leader, ii) team members (Surveyor Coordinator, Data Analyst, Surveyor/Interviewer)

VII. Terms of Payment

- All the above payments are subject to the reviewed deliverables by the SUSTAIN Sector Coordinator Oversight; and approved by the SUSTAIN Chief Technical Advisor/Program Manager.
- The payment shall be paid upon submission and approval from Sustain project of the deliverables in several phase:
 - Phase 1: Upon completion of draft survey instrument and survey plan (set questioners for all the aspects required with emphasized on types of respondent (internal and external) in 4 court jurisdictions, interview guidelines, and survey plan) – 20%
 - Phase 2: Upon completion of the instrument based on FGDs & the tryout – 20%
 - Phase 3: Upon completion of minimal 200 pages of progress report survey data collection including analysis -20%
 - Phase 4: Upon completion of minimal 350 pages of final report on survey data collection including analysis with recommendations for the Supreme Court to be followed up.– 30%
 - Phase 5: Upon completion of progress reports on the seminar to disseminate the results of the survey -10%

Note: The whole report will be delivered in Bahasa Indonesia and English.

Duration of assignment: 3 October 2016 until 31 January 2017

Deliverable and Schedule of payments

| <i>Deliverable</i> | <i>Target Date</i> | <i>Payment milestone</i> |
|--|--------------------|--------------------------|
| 1 st payment - Upon completion of draft survey instrument and survey plan (set questioners for all the aspects required with emphasized on types of respondent (internal and external) in 4 court jurisdictions, interview guidelines, and survey plan) | 14 October 2016 | 20% |
| 2 nd payment - Upon completion of the instrument based on FGDs & the try out | 31 October 2016 | 20% |
| 3 rd payment - Upon completion of minimal 200 pages of progress report survey data collection including analysis. | 30 November 2016 | 20% |
| 4 th payment - Upon completion of minimal 350 pages of final report on survey data collection including analysis with recommendations for the Supreme Court to be followed up. | 30 December 2016 | 30% |
| 5 th payment - Upon completion of progress reports on the seminar to disseminate the results of the survey | 31 January 2017 | 10% |

VIII. Underlying Mandatory Requirement

The selected company and its complete staff are expected to conform to the following mandatory requirements:

- a. Act in strict conformity to the laws of the country.
- b. Not to be affiliated or linked to any political party
- c. Do not submit any type of work that has been agreed in the contract to a third party
- d. All types of services and products as agreed in the contract is to be the property of EU-UNDP SUSTAIN project

IX. Evaluation Method and Criteria

The consultant form will be evaluated based on the following methodologies:

1. Technical criteria (70%)
2. Financial criteria (30%)

When using the weighted score, the award of the contract should be made to consultant firm whose offer has been evaluated and determined as: a) responsive/compliant/acceptable, and b) having received the highest score out of predetermined set of the weighted technical and financial criteria specific to the solicitation.

Annex 3

FORM FOR SUBMITTING SERVICE PROVIDER'S PROPOSAL¹

(This Form must be submitted only using the Service Provider's Official Letterhead/Stationery²)

[insert: Location].

[insert: Date]

To: **United Nations Development Programme**
Menara Thamrin Building, 8th Floor

Dear Sir/Madam:

We, the undersigned, hereby offer to render the following services to UNDP in conformity with the requirements defined in the RFP dated 8/24/2016, and all of its attachments, as well as the provisions of the UNDP General Contract Terms and Conditions:

A. **Qualifications of the Service Provider**

The Service Provider must describe and explain how and why they are the best entity that can deliver the requirements of UNDP by indicating the following:

- a) Profile – describing the nature of business, field of expertise, licenses, certifications, accreditations;*
- b) Business Licenses – Registration Papers, Tax Payment Certification, etc.*
- c) Latest Audited Financial Statement (audited is prefer)– income statement and balance sheet to indicate its financial stability, liquidity, credit standing, and market reputation, etc.;*
- d) Track Record – list of clients for similar services as those required by UNDP, indicating description of contract scope, contract duration, contract value, contact references;*
- e) Written Self-Declaration that the company is not in the UN Security Council 1267/1989 List, UN Procurement Division List or Other UN Ineligibility List.*

B. **Proposed Methodology for the Completion of Services**

The Service Provider must describe how it will address/deliver the demands of the RFP; providing a detailed description of the essential performance characteristics, reporting conditions and quality assurance mechanisms that will be put in place, while demonstrating that the proposed methodology will be appropriate to the local conditions and context of the work.

¹ *This serves as a guide to the Service Provider in preparing the Proposal.*

² *Official Letterhead/Stationery must indicate contact details – addresses, email, phone and fax numbers – for verification purposes*

C. Qualifications of Key Personnel

The Service Provider must provide:

- a) Names and qualifications of the key personnel that will perform the services indicating who is Team Leader and all of Team members.;
- b) CVs demonstrating qualifications must be submitted if required by the RFP; and
- c) Written confirmation from each personnel that they are available for the entire duration of the contract.

D. Cost Breakdown per Deliverable*

| No | Deliverables | Percentage of Total Price (Weight for payment) | Price - IDR (Lump Sum) |
|----|--|--|------------------------|
| 1 | 1 st payment - Upon completion of draft survey instrument and survey plan (set questioners for all the aspects required with emphasized on types of respondent (internal and external) in 4 court jurisdictions, interview guidelines, and survey plan) | 20% | |
| 2 | 2 nd payment - Upon completion of the instrument based on FGDs & the try out | 20% | |
| 3 | 3 rd payment - Upon completion of minimal 200 pages of progress report survey data collection including analysis | 20% | |
| 4 | 4 th payment - Upon completion of minimal 350 pages of final report on survey data collection including analysis with recommendations for the Supreme Court to be followed up | 30% | |
| 5 | 5 th payment - Upon completion of progress reports on the seminar to disseminate the results of the survey | 10% | |
| | TOTAL | 100% | |

**This shall be the basis of the payment tranches*

E. Cost Breakdown by Cost Component:

| Description of Activity/Item | Person/ Freq | Unit Type | Unit Cost (IDR) | Total (IDR) |
|---------------------------------------|--------------|-----------|-----------------|-------------|
| I. Personnel | | | | |
| a. Team Leader | 1 | lumpsum | | |
| b. Team Member – Surveyor Coordinator | 4 | lumpsum | | |
| c. Team Member – Data Analyst | 2 | lumpsum | | |
| d. Team Member – Surveyor/Interviewer | 40 | lumpsum | | |
| II. Other Expenses | | | | |
| Seminar Cost | 1 | lumpsum | | |
| Transcription of the interview | 1 | lumpsum | | |
| Travel & Accommodation cost | 1 | lumpsum | | |
| III. Overhead (max 10%) | | | | |
| TOTAL | | | | |

NOTE: Total Amount Tabel D should be same with Total Amount Table E

F. Offer to Comply with Other Conditions and Related Requirements

| Other Information pertaining to our Quotation are as follows: | Your Responses | | |
|---|---|---------------------------------|---|
| | <i>Yes, we will comply</i> | <i>No, we cannot not comply</i> | <i>If you cannot comply, pls. indicate counter proposal</i> |
| Comply to meet the requirement stated in the TOR (Annex 2) | | | |
| Validity of Quotation for 90 days | | | |
| All Provisions of the UNDP General Terms and Conditions (Annex 4) | | | |
| Other requirements <i>(please specify)</i> | Please provide the contact person, address, phone and email address | | |

All other information that we have not provided automatically implies our full compliance with the requirements, terms and conditions of the RFP.

*[Name and Signature of the Service Provider's
Authorized Person]
[Designation]
[Date]*

Annex 4

General Terms and Conditions for Services

1.0 LEGAL STATUS:

The Contractor shall be considered as having the legal status of an independent contractor vis-à-vis the United Nations Development Programme (UNDP). The Contractor's personnel and sub-contractors shall not be considered in any respect as being the employees or agents of UNDP or the United Nations.

2.0 SOURCE OF INSTRUCTIONS:

The Contractor shall neither seek nor accept instructions from any authority external to UNDP in connection with the performance of its services under this Contract. The Contractor shall refrain from any action that may adversely affect UNDP or the United Nations and shall fulfill its commitments with the fullest regard to the interests of UNDP.

3.0 CONTRACTOR'S RESPONSIBILITY FOR EMPLOYEES:

The Contractor shall be responsible for the professional and technical competence of its employees and will select, for work under this Contract, reliable individuals who will perform effectively in the implementation of this Contract, respect the local customs, and conform to a high standard of moral and ethical conduct.

4.0 ASSIGNMENT:

The Contractor shall not assign, transfer, pledge or make other disposition of this Contract or any part thereof, or any of the Contractor's rights, claims or obligations under this Contract except with the prior written consent of UNDP.

5.0 SUB-CONTRACTING:

In the event the Contractor requires the services of sub-contractors, the Contractor shall obtain the prior written approval and clearance of UNDP for all sub-contractors. The approval of UNDP of a sub-contractor shall not relieve the Contractor of any of its obligations under this Contract. The terms of any sub-contract shall be subject to and conform to the provisions of this Contract.

6.0 OFFICIALS NOT TO BENEFIT:

The Contractor warrants that no official of UNDP or the United Nations has received or will be offered by the Contractor any direct or indirect benefit arising from this Contract or the award thereof. The Contractor agrees that breach of this provision is a breach of an essential term of this Contract.

7.0 INDEMNIFICATION:

The Contractor shall indemnify, hold and save harmless, and defend, at its own expense, UNDP, its officials, agents, servants and employees from and against all suits, claims, demands, and liability of any nature or kind, including

their costs and expenses, arising out of acts or omissions of the Contractor, or the Contractor's employees, officers, agents or sub-contractors, in the performance of this Contract. This provision shall extend, inter alia, to claims and liability in the nature of workmen's compensation, products liability and liability arising out of the use of patented inventions or devices, copyrighted material or other intellectual property by the Contractor, its employees, officers, agents, servants or sub-contractors. The obligations under this Article do not lapse upon termination of this Contract.

8.0 INSURANCE AND LIABILITIES TO THIRD PARTIES:

8.1 The Contractor shall provide and thereafter maintain insurance against all risks in respect of its property and any equipment used for the execution of this Contract.

8.2 The Contractor shall provide and thereafter maintain all appropriate workmen's compensation insurance, or the equivalent, with respect to its employees to cover claims for personal injury or death in connection with this Contract.

8.3 The Contractor shall also provide and thereafter maintain liability insurance in an adequate amount to cover third party claims for death or bodily injury, or loss of or damage to property, arising from or in connection with the provision of services under this Contract or the operation of any vehicles, boats, airplanes or other equipment owned or leased by the Contractor or its agents, servants, employees or sub-contractors performing work or services in connection with this Contract.

8.4 Except for the workmen's compensation insurance, the insurance policies under this Article shall:

8.4.1 Name UNDP as additional insured;

8.4.2 Include a waiver of subrogation of the Contractor's rights to the insurance carrier against the UNDP;

8.4.3 Provide that the UNDP shall receive thirty (30) days written notice from the insurers prior to any cancellation or change of coverage.

8.5 The Contractor shall, upon request, provide the UNDP with satisfactory evidence of the insurance required under this Article.

9.0 ENCUMBRANCES/LIENS:

The Contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with the UNDP against any monies due or to become due for any work done or materials furnished under this Contract, or by reason of any other claim or demand against the Contractor.

10.0 TITLE TO EQUIPMENT: Title to any equipment and supplies that may be furnished by UNDP shall rest with UNDP and any such equipment shall be returned to UNDP at the conclusion of this Contract or when no longer needed by the Contractor. Such equipment, when returned to UNDP, shall be in the same condition as when delivered to the Contractor, subject to normal wear and tear. The Contractor shall be liable to compensate UNDP for equipment determined to be damaged or degraded beyond normal wear and tear.

11.0 COPYRIGHT, PATENTS AND OTHER PROPRIETARY RIGHTS:

11.1 Except as is otherwise expressly provided in writing in the Contract, the UNDP shall be entitled to all intellectual property and other proprietary rights including, but not limited to, patents, copyrights, and trademarks, with regard to products, processes, inventions, ideas, know-how, or documents and other materials which the Contractor has developed for the UNDP under the Contract and which bear a direct relation to or are produced or prepared or collected in consequence of, or during the course of, the performance of the Contract, and the Contractor acknowledges and agrees that such products, documents and other materials constitute works made for hire for the UNDP.

11.2 To the extent that any such intellectual property or other proprietary rights consist of any intellectual property or other proprietary rights of the Contractor: (i) that pre-existed the performance by the Contractor of its obligations under the Contract, or (ii) that the Contractor may develop or acquire, or may have developed or acquired, independently of the performance of its obligations under the Contract, the UNDP does not and shall not claim any ownership interest thereto, and the Contractor grants to the UNDP a perpetual license to use such intellectual property or other proprietary right solely for the purposes of and in accordance with the requirements of the Contract.

11.3 At the request of the UNDP; the Contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring or licensing them to the UNDP in compliance with the requirements of the applicable law and of the Contract.

11.4 Subject to the foregoing provisions, all maps, drawings, photographs, mosaics, plans, reports, estimates, recommendations, documents, and all other data compiled by or received by the Contractor under the Contract shall be the property of the UNDP, shall be made available for use or inspection by the UNDP at reasonable times and in reasonable places, shall be treated as confidential, and shall be delivered only to UNDP authorized officials on completion of work under the Contract.

12.0 USE OF NAME, EMBLEM OR OFFICIAL SEAL OF UNDP OR THE UNITED NATIONS:

The Contractor shall not advertise or otherwise make public the fact that it is a Contractor with UNDP, nor shall the Contractor, in any manner whatsoever use the name, emblem or official seal of UNDP or THE United Nations, or any abbreviation of the name of UNDP or United Nations in connection with its business or otherwise.

13.0 CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION:

Information and data that is considered proprietary by either Party, and that is delivered or disclosed by one Party ("Discloser") to the other Party ("Recipient") during the course of performance of the Contract, and that is designated as confidential ("Information"), shall be held in confidence by that Party and shall be handled as follows:

13.1 The recipient ("Recipient") of such information shall:

13.1.1 use the same care and discretion to avoid disclosure, publication or dissemination of the Discloser's Information as it uses with its own similar information that it does not wish to disclose, publish or disseminate; and,

13.1.2 use the Discloser's Information solely for the purpose for which it was disclosed.

13.2 Provided that the Recipient has a written agreement with the following persons or entities requiring them to treat the Information confidential in accordance with the Contract and this Article 13, the Recipient may disclose Information to:

13.2.1 any other party with the Discloser's prior written consent; and,

13.2.2 the Recipient's employees, officials, representatives and agents who have a need to know such Information for purposes of performing obligations under the Contract, and employees officials, representatives and agents of any legal entity that it controls, controls it, or with which it is under common control, who have a need to know such Information for purposes of performing obligations under the Contract, provided that, for these purposes a controlled legal entity means:

13.2.2.1 a corporate entity in which the Party owns or otherwise controls, whether directly or indirectly, over fifty percent (50%) of voting shares thereof; or,

13.2.2.2 any entity over which the Party exercises effective managerial control; or,

13.2.2.3 for the UNDP, an affiliated Fund such as UNCDF, UNIFEM and UNV.

13.3 The Contractor may disclose Information to the extent required by law, provided that, subject to and without any waiver of the privileges and immunities of the United Nations, the Contractor will give the UNDP sufficient prior notice of a request for the disclosure of Information in order to allow the UNDP to have a reasonable opportunity to take protective measures or such other action as may be appropriate before any such disclosure is made.

13.4 The UNDP may disclose Information to the extent as required pursuant to the Charter of the UN, resolutions or regulations of the General Assembly, or rules promulgated by the Secretary-General.

13.5 The Recipient shall not be precluded from disclosing Information that is obtained by the Recipient from a third party without restriction, is disclosed by the Discloser to a third party without any obligation of confidentiality, is previously known by the Recipient, or at any time is developed by the Recipient completely independently of any disclosures hereunder.

13.6 These obligations and restrictions of confidentiality shall be effective during the term of the Contract, including any extension thereof, and, unless otherwise provided in the Contract, shall remain effective following any termination of the Contract.

14.0 FORCE MAJEURE; OTHER CHANGES IN CONDITIONS

14.1 In the event of and as soon as possible after the occurrence of any cause constituting force majeure, the Contractor shall give notice and full particulars in writing to the UNDP, of such occurrence or change if the Contractor is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under this Contract. The Contractor shall also notify the UNDP of any other changes in conditions or the occurrence of any event that interferes or threatens to interfere with its performance of this Contract. On receipt of the notice required under this Article, the UNDP shall take such action as, in its sole discretion; it considers to be appropriate or necessary in the circumstances, including the granting to the Contractor of a reasonable extension of time in which to perform its obligations under this Contract.

14.2 If the Contractor is rendered permanently unable, wholly, or in part, by reason of force majeure to perform its obligations and meet its responsibilities under this Contract, the UNDP shall have the right to suspend or terminate this Contract on the same terms and conditions as are provided for in Article 15, "Termination", except that the period of notice shall be seven (7) days instead of thirty (30) days.

14.3 Force majeure as used in this Article means acts of God, war (whether declared or not), invasion, revolution, insurrection, or other acts of a similar nature or force.

14.4 The Contractor acknowledges and agrees that, with respect to any obligations under the Contract that the Contractor must perform in or for any areas in which the UNDP is engaged in, preparing to engage in, or disengaging from any peacekeeping, humanitarian or similar operations, any delays or failure to perform such obligations arising from or relating to harsh conditions within such areas or to any incidents of civil unrest occurring in such areas shall not, in and of itself, constitute force majeure under the Contract.

15.0 TERMINATION

15.1 Either party may terminate this Contract for cause, in whole or in part, upon thirty (30) days notice, in writing, to the other party. The initiation of arbitral proceedings in accordance with Article 16.2 ("Arbitration"), below, shall not be deemed a termination of this Contract.

15.2 UNDP reserves the right to terminate without cause this Contract at any time upon 15 days prior written notice to the Contractor, in which case UNDP shall reimburse the Contractor for all reasonable costs incurred by the Contractor prior to receipt of the notice of termination.

15.3 In the event of any termination by UNDP under this Article, no payment shall be due from UNDP to the Contractor except for work and services satisfactorily performed in conformity with the express terms of this Contract.

15.4 Should the Contractor be adjudged bankrupt, or be liquidated or become insolvent, or should the Contractor make an assignment for the benefit of its creditors, or should a Receiver be appointed on account of the insolvency of the Contractor, the UNDP may, without prejudice to any other right or remedy it may have under the terms of these conditions, terminate this Contract forthwith. The Contractor shall immediately inform the UNDP of the occurrence of any of the above events.

16.0 SETTLEMENT OF DISPUTES

16.1 Amicable Settlement: The Parties shall use their best efforts to settle amicably any dispute, controversy or claim arising out of this Contract or the breach, termination or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the UNCITRAL Conciliation Rules then obtaining, or according to such other procedure as may be agreed between the parties.

16.2 Arbitration: Any dispute, controversy, or claim between the Parties arising out of the Contract or the breach, termination, or invalidity thereof, unless settled amicably under Article 16.1, above, within sixty (60) days after receipt by one Party of the other Party's written request for such amicable settlement, shall be referred by either Party to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The decisions of the arbitral tribunal shall be based on general principles of international commercial law. For all evidentiary questions, the arbitral tribunal shall be guided by the Supplementary Rules Governing the Presentation and Reception of Evidence in International Commercial Arbitration of the International Bar Association, 28 May 1983 edition. The arbitral tribunal shall be empowered to order the return or destruction of goods or any property, whether tangible or intangible, or of any confidential information provided under the Contract, order the termination of the Contract, or order that any other protective measures be taken with respect to the goods, services or any other property, whether tangible or intangible, or of any confidential information provided under the Contract, as appropriate, all in accordance with the authority of the arbitral tribunal pursuant to Article 26 ("Interim Measures of Protection") and Article 32 ("Form and Effect of the Award") of the UNCITRAL Arbitration Rules. The arbitral tribunal shall have no authority to award punitive damages. In addition, unless otherwise expressly provided in the Contract, the arbitral tribunal shall have no authority to award interest in excess of the London Inter-Bank Offered Rate ("LIBOR") then prevailing, and any such interest shall be simple interest only. The Parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such dispute, controversy, or claim.

17.0 PRIVILEGES AND IMMUNITIES:

Nothing in or relating to this Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.

18.0 TAX EXEMPTION

18.1 Section 7 of the Convention on the Privileges and Immunities of the United Nations provides, inter-alia that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the United Nations exemption from such taxes, duties or charges, the Contractor shall immediately consult with the UNDP to determine a mutually acceptable procedure.

18.2 Accordingly, the Contractor authorizes UNDP to deduct from the Contractor's invoice any amount representing such taxes, duties or charges, unless the Contractor has consulted with the UNDP before the payment thereof and the UNDP has, in each instance, specifically authorized the Contractor to pay such taxes, duties or charges under protest. In that event, the Contractor shall provide the UNDP with written evidence that payment of such taxes, duties or charges has been made and appropriately authorized.

19.0 CHILD LABOUR

19.1 The Contractor represents and warrants that neither it, nor any of its suppliers is engaged in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child, including Article 32 thereof, which, inter alia, requires that a child shall be protected from performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical mental, spiritual, moral or social development.

19.2 Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, at no cost to UNDP.

20.0 MINES:

20.1 The Contractor represents and warrants that neither it nor any of its suppliers is actively and directly engaged in patent activities, development, assembly, production, trade or manufacture of mines or in such activities in respect of components primarily utilized in the manufacture of Mines. The term "Mines" means those devices defined in Article 2, Paragraphs 1, 4 and 5 of Protocol II annexed to the Convention on Prohibitions and Restrictions on the Use of Certain Conventional Weapons Which May Be Deemed to Be Excessively Injurious or to Have Indiscriminate Effects of 1980.

20.2 Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind of UNDP.

21.0 OBSERVANCE OF THE LAW:

The Contractor shall comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the terms of this Contract.

22.0 SEXUAL EXPLOITATION:

22.1 The Contractor shall take all appropriate measures to prevent sexual exploitation or abuse of anyone by it or by any of its employees or any other persons who may be engaged by the Contractor to perform any services under the Contract. For these purposes, sexual activity with any person less than eighteen years of age, regardless of any laws relating to consent, shall constitute the sexual exploitation and abuse of such person. In addition, the Contractor shall refrain from, and shall take all appropriate measures to prohibit its employees or other persons engaged by it from, exchanging any money, goods, services, offers of employment or other things of value, for sexual favors or activities, or from engaging in any sexual activities that are exploitive or degrading to any person. The Contractor acknowledges and agrees that the provisions hereof constitute an essential term of the Contract and that any breach of this representation and warranty shall entitle UNDP to terminate the Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind.

22.2 The UNDP shall not apply the foregoing standard relating to age in any case in which the Contractor's personnel or any other person who may be engaged by the Contractor to perform any services under the Contract is married to the person less than the age of eighteen years with whom sexual activity has occurred and in which such marriage is recognized as valid under the laws of the country of citizenship of such Contractor's personnel or such other person who may be engaged by the Contractor to perform any services under the Contract.

23.0 SECURITY:

23.1 The Contractor shall:

- (a) Put in place an appropriate security plan and maintain the security plan, taking into account the security situation in the country where the services are being provided;
- (b) Assume all risks and liabilities related to the Contractor's security, and the full implementation of the security plan.

23.2 UNDP reserves the right to verify whether such a plan is in place, and to suggest modifications to the plan when necessary. Failure to maintain and implement an appropriate security plan as required hereunder shall be deemed a breach of this contract. Notwithstanding the foregoing, the Contractor shall remain solely responsible for the security of its personnel and for UNDP's property in its custody as set forth in paragraph 4.1 above.

24.0 AUDITS AND INVESTIGATIONS:

24.1 Each invoice paid by UNDP shall be subject to a post-payment audit by auditors, whether internal or external, of UNDP or the authorized agents of the UNDP at any time during the term of the Contract and for a period of three (3) years following the expiration or prior termination of the Contract. The UNDP shall be entitled to a refund from the Contractor for any amounts shown by such audits to have been paid by the UNDP other than in accordance with the terms and conditions of the Contract. Should the audit determine that any funds paid by UNDP have not been used as per contract clauses, the company shall reimburse such funds forthwith. Where the company fails to reimburse such funds, UNDP reserves the right to seek recovery and/or to take any other action as it deems necessary.

24.2 The Contractor acknowledges and agrees that, at anytime, UNDP may conduct investigations relating to any aspect of the Contract, the obligations performed under the Contract, and the operations of the Contractor generally. The right of UNDP to conduct an investigation and the Contractor's obligation to comply with such an investigation shall not lapse upon expiration or prior termination of the Contract. The Contractor shall provide its full and timely cooperation with any such inspections, post-payment audits or investigations. Such cooperation shall include, but shall not be limited to, the Contractor's obligation to make available its personnel and any documentation for such purposes and to grant to UNDP access to the Contractor's premises. The Contractor shall

require its agents, including, but not limited to, the Contractor's attorneys, accountants or other advisers, to reasonably cooperate with any inspections, post-payment audits or investigations carried out by UNDP hereunder.

25.0 ANTI-TERRORISM:

25.1 The Contractor agrees to undertake all reasonable efforts to ensure that none of the UNDP funds received under this Contract are used to provide support to individuals or entities associated with terrorism and that the recipients of any amounts provided by UNDP hereunder do not appear on the list maintained by the Security Council Committee established pursuant to resolution 1267 (1999). The list can be accessed via <http://www.un.org/Docs/sc/committees/1267/1267ListEng.htm>. This provision must be included in all sub-contracts or sub-agreements entered into under this Contract.

26.0 AUTHORITY TO MODIFY:

Pursuant to the Financial Regulations and Rules of UNDP, only the UNDP Authorized Official possesses the authority to agree on behalf of UNDP to any modification of or change in this Agreement, to a waiver of any of its provisions or to any additional contractual relationship of any kind with the Contractor. Accordingly, no modification or change in this Contract shall be valid and enforceable against UNDP unless provided by an amendment to this Agreement signed by the Contractor and jointly by the UNDP Authorized Official.

Annex 5

Sample of Professional Service Contract

Date _____

Dear Sir/Madam,

Ref.: _____ / _____ / _____ [INSERT PROJECT NUMBER AND TITLE OR OTHER REFERENCE]

The United Nations Development Programme (hereinafter referred to as "UNDP"), wishes to engage your [**company/organization/institution**], duly incorporated under the Laws of _____ [INSERT NAME OF THE COUNTRY] (hereinafter referred to as the "Contractor") in order to perform services in respect of _____ [INSERT SUMMARY DESCRIPTION OF THE SERVICES] (hereinafter referred to as the "Services"), in accordance with the following Contract:

1.Contract Documents

- 1.1 This Contract is subject to the UNDP General Conditions for Professional Services attached hereto as Annex I. The provisions of such Annex shall control the interpretation of this Contract and in no way shall be deemed to have been derogated by the contents of this letter and any other Annexes, unless otherwise expressly stated under section 4 of this letter, entitled "Special Conditions".
- 1.2 The Contractor and UNDP also agree to be bound by the provisions contained in the following documents, which shall take precedence over one another in case of conflict in the following order:
 - a) this letter;
 - b) the Terms of Reference [ref.dated.....], attached hereto as Annex II;
 - c) the Contractor's technical proposal [ref....., dated], as clarified by the agreed minutes of the negotiation meeting [dated.....], both documents not attached hereto but known to and in the possession of both parties.

1.3 All the above shall form the Contract between the Contractor and UNDP, superseding the contents of any other negotiations and/or agreements, whether oral or in writing, pertaining to the subject of this Contract.

2. Obligations of the Contractor

2.1 The Contractor shall perform and complete the Services described in Annex II with due diligence and efficiency and in accordance with the Contract.

2.2 The Contractor shall provide the services of the following key personnel:

| <u>Name</u> | <u>Specialization</u> | <u>Nationality</u> | <u>Period of service</u> |
|-------------|-----------------------|--------------------|--------------------------|
|-------------|-----------------------|--------------------|--------------------------|

| | | | |
|------|-------|-------|-------|
| | | | |
| | | | |

2.3 Any changes in the above key personnel shall require prior written approval of _____ [NAME and TITLE], UNDP.

2.4 The Contractor shall also provide all technical and administrative support needed in order to ensure the timely and satisfactory performance of the Services.

2.5 The Contractor shall submit to UNDP the deliverables specified hereunder according to the following schedule:

| [LIST DELIVERABLES] | [INDICATE DELIVERY DATES] |
|---------------------|---------------------------|
|---------------------|---------------------------|

e.g.

| | |
|-----------------|----------------|
| Progress report |/..../.... |
| |/..../.... |
| Final report |/..../.... |

2.6 All reports shall be written in the English language, and shall describe in detail the services rendered under the Contract during the period of time covered in such report. All reports shall be transmitted by the Contractor by _____ [MAIL, COURIER AND/OR FAX] to the address specified in 9.1 below.

2.7 The Contractor represents and warrants the accuracy of any information or data provided to UNDP for the purpose of entering into this Contract, as well as the quality of the deliverables and reports foreseen under this Contract in accordance with the highest industry and professional standards.

3. Price and Payment

- 3.1 In full consideration for the complete and satisfactory performance of the Services under this Contract, UNDP shall pay the Contractor a fixed contract price of _____ [INSERT CURRENCY & AMOUNT IN FIGURES AND WORDS].
- 3.2 The price of this Contract is not subject to any adjustment or revision because of price or currency fluctuations or the actual costs incurred by the Contractor in the performance of the Contract.
- 3.3 Payments effected by UNDP to the Contractor shall be deemed neither to relieve the Contractor of its obligations under this Contract nor as acceptance by UNDP of the Contractor's performance of the Services.
- 3.4 UNDP shall effect payments to the Contractor after acceptance by UNDP of the invoices submitted by the Contractor to the address specified in 9.1 below, upon achievement of the corresponding milestones and for the following amounts:

4. Special conditions

4.1 The responsibility for the safety and security of the Contractor and its personnel and property, and of UNDP's property in the Contractor's custody, rests with the Contractor.

4.1.1. Security

The Contractor shall:

- (c) put in place an appropriate security plan and maintain the security plan, taking into account the security situation in the country where the services are being provided;
 - (d) assume all risks and liabilities related to the Contractor's security, and the full implementation of the security plan.
- 4.1.2 UNDP reserves the right to verify whether such a plan is in place, and to suggest modifications to the plan when necessary. Failure to maintain and implement an appropriate security plan as required hereunder shall be deemed a breach of this contract. Notwithstanding the foregoing, the Contractor shall remain solely responsible for the security of its personnel and for UNDP's property in its custody as set forth in paragraph 4.1 above.

4.2 Audits and Investigations

Each invoice paid by UNDP shall be subject to a post-payment audit by auditors, whether internal or external, of UNDP or the authorized agents of the UNDP at any time during the term of the Contract and for a period of three (3) years following the expiration or prior termination of the Contract. The UNDP shall be entitled to a refund from the Contractor for any amounts shown by such audits to have been paid by the UNDP other than in accordance with the terms and conditions of the Contract. Should the audit determine that any funds paid by UNDP have not been used as per contract clauses, the company shall reimburse such funds forthwith. Where the company fails to reimburse such funds, UNDP reserves the right to seek recovery and/or to take any other action as it deems necessary.

4.2.1 The Contractor acknowledges and agrees that, at anytime, UNDP may conduct investigations relating to any aspect of the Contract, the obligations performed under the Contract, and the operations of the Contractor generally. The right of UNDP to conduct an investigation and the Contractor's obligation to comply with such an investigation shall not lapse upon expiration or prior termination of the Contract. The Contractor shall provide its full and timely cooperation with any such inspections, post-payment audits or investigations. Such cooperation shall include, but shall not be limited to, the Contractor's obligation to make available its personnel and any documentation for such purposes and to grant to UNDP access to the Contractor's premises. The Contractor shall require its agents, including, but not limited to, the Contractor's attorneys, accountants or other advisers, to reasonably cooperate with any inspections, post-payment audits or investigations carried out by UNDP hereunder.

4.3 Anti-terrorism

The Contractor agrees to undertake all reasonable efforts to ensure that none of the UNDP funds received under this Contract are used to provide support to individuals or entities associated with terrorism and that the recipients of any amounts provided by UNDP hereunder do not appear on the list maintained by the Security Council Committee established pursuant to resolution 1267 (1999). The list can be accessed via <http://www.un.org/Docs/sc/committees/1267/1267ListEng.htm>. This provision must be included in all sub-contracts or sub-agreements entered into under this Contract.

5. Submission of invoices

5.1 An original invoice shall be submitted by mail by the Contractor for each payment under the Contract to the following address:

.....

5.2 Invoices submitted by fax shall not be accepted by UNDP.

6. Time and manner of payment

6.1 Invoices shall be paid within thirty (30) days of the date of their acceptance by UNDP. UNDP shall make every effort to accept an invoice or so advise the Contractor of its non-acceptance within a reasonable time from receipt.

6.2 All payments shall be made by UNDP to the following Bank account of the Contractor:

_____ [NAME OF THE BANK]

_____ [ACCOUNT NUMBER]

_____ [ADDRESS OF THE BANK]

7. Entry into force. Time limits.

7.1 The Contract shall enter into force upon its signature by both parties.

7.2 The Contractor shall commence the performance of the Services not later than _____ [INSERT DATE] and shall complete the Services within _____ [INSERT NUMBER OF DAYS OR MONTHS] of such commencement.

7.3 All time limits contained in this Contract shall be deemed to be of the essence in respect of the performance of the Services.

8. Modifications

8.1 Any modification to this Contract shall require an amendment in writing between both parties duly signed by the authorized representative of the Contractor and _____ [NAME AND TITLE] UNDP.

9. Notifications

For the purpose of notifications under the Contract, the addresses of UNDP and the Contractor are as follows:

For the UNDP:

_____ [INSERT CONTRACT REFERENCE & NUMBER]

For the Contractor:

[INSERT NAME, ADDRESS AND TELEX, FAX AND CABLE NUMBERS]

If the above terms and conditions meet with your agreement as they are typed in this letter and in the Contract Documents, please initial every page of this letter and its attachments and return to this office one original of this Contract, duly signed and dated.

Yours sincerely,

[INSERT NAME AND TITLE]

For [INSERT NAME OF THE COMPANY/ORGANIZATION]
Agreed and Accepted:

Signature _____
Name: _____
Title: _____
Date: _____