



# **INVITATION TO BID**

**IRQ-ITB-007/17**

**Supply and Installation of Soundproof Electrical Diesel  
Generator  
Diyala Governorate  
Iraq**



**United Nations Development Programme**  
January 2017



## Section 1. Letter of Invitation

Erbil, Iraq

January 12, 2017

### **ITB-007/17 –Supply and Installation of Soundproof Electrical Diesel Generator for Diyala Governorate, Iraq**

Dear Sir/Madam

The United Nations Development Programme (UNDP) hereby invites you to submit a Bid to this Invitation to Bid (ITB) for the above-referenced subject.

This ITB includes the following documents:

- Section 1 – This Letter of Invitation
- Section 2 – Instructions to Bidders (including Data Sheet)
- Section 3a – Schedule of Requirements and Technical Specifications
- Section 3b – Related Services
- Section 4 – Bid Submission Form
- Section 5 – Documents Establishing the Eligibility and Qualifications of the Bidder and Joint Venture;
- Section 6 – Technical Bid Form
- Section 7 – Price Schedule Form
- Section 8 – Form for Performance Security
- Section 9 – Form for Bid Security (Not Required for this ITB)
- Section 10 – General Terms and Conditions for Goods

Your offer, comprising of a Technical Bid and Price Schedule, together in a sealed envelope, should be submitted in accordance with Section-2, latest by 19 January 2017 by 1400 hours.

Should you require any clarification, kindly communicate with the contact person identified in the attached Data Sheet as the focal point for queries on this ITB.

UNDP looks forward to receiving your Bid and thanks you in advance for your interest in UNDP procurement opportunities.

Yours sincerely,

Mohammed Mudawi, Head of Service Center,  
Operations Manager

## Section 2: Instruction to Bidders

### Definitions

- a) *“Bid”* refers to the Bidder’s response to the Invitation to Bid, including the Bid Submission Form, Technical Bid and Price Schedule and all other documentation attached thereto as required by the ITB.
- b) *“Bidder”* refers to any legal entity that may submit, or has submitted, a Bid for the supply of goods and provision of related services requested by UNDP.
- c) *“Contract”* refers to the legal instrument that will be signed by and between the UNDP and the successful Bidder, all the attached documents thereto, including the General Terms and Conditions (GTC) and the Appendices.
- d) *“Country”* refers to the country indicated in the Data Sheet.
- e) *“Data Sheet”* refers to such part of the Instructions to Bidders used to reflect conditions of the tendering process that are specific for the requirements of the ITB.
- f) *“Day”* refers to calendar day.
- g) *“Goods”* refer to any tangible product, commodity, article, material, wares, equipment, assets or merchandise that UNDP requires under this ITB.
- h) *“Government”* refers to the Government of the country where the goods and related services provided/rendered specified under the Contract will be delivered or undertaken.
- i) *“Instructions to Bidders”* refers to the complete set of documents which provides Bidders with all information needed and procedures to be followed in the course of preparing their Bid
- j) *“ITB”* refers to the Invitation to Bid consisting of instructions and references prepared by UNDP for purposes of selecting the best supplier or service provider to fulfil the requirement indicated in the Schedule of Requirements and Technical Specifications.
- k) *“LOI”* (Section 1 of the ITB) refers to the Letter of Invitation sent by UNDP to Bidders.
- l) *“Material Deviation”* refers to any contents or characteristics of the bid that is significantly different from an essential aspect or requirement of the ITB, and (i) substantially alters the scope and quality of the requirements; (ii) limits the rights of UNDP and/or the obligations of the offeror; and (iii) adversely impacts the fairness and principles of the procurement process, such as those that compromise the competitive position of other offerors.
- m) *“Schedule of Requirements and Technical Specifications”* refers to the document included in this ITB as Section 3 which lists the goods required by UNDP, their specifications, the related services, activities, tasks to be performed, and other information pertinent to UNDP’s receipt and acceptance of the goods.

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<sup>1</sup> Note: *this Section 2 - Instructions to Bidders shall not be modified in any way. **Any necessary changes to address specific country and project information shall be introduced only through the Data Sheet.***

- n) “Services” refers to the entire scope of tasks related or ancillary to the completion or delivery of the goods required by UNDP under the ITB.
- o) “Supplemental Information to the ITB” refers to a written communication issued by UNDP to prospective Bidders containing clarifications, responses to queries received from prospective Bidders, or changes to be made in the ITB, at any time after the release of the ITB but before the deadline for the submission of Bid.

## A. GENERAL

1. UNDP hereby solicits Bids as a response to this Invitation to Bid (ITB). Bidders must strictly adhere to all the requirements of this ITB. No changes, substitutions or other alterations to the rules and provisions stipulated in this ITB may be made or assumed unless it is instructed or approved in writing by UNDP in the form of Supplemental Information to the ITB.
2. Submission of a Bid shall be deemed as an acknowledgement by the Bidder that all obligations stipulated by this ITB will be met and, unless specified otherwise, the Bidder has read, understood and agreed to all the instructions in this ITB.
3. Any Bid submitted will be regarded as an offer by the Bidder and does not constitute or imply the acceptance of any Bid by UNDP. UNDP is under no obligation to award a contract to any Bidder as a result of this ITB.
4. UNDP implements a policy of zero tolerance on proscribed practices, including fraud, corruption, collusion, unethical practices, and obstruction. UNDP is committed to preventing, identifying and addressing all acts of fraud and corrupt practices against UNDP as well as third parties involved in UNDP activities. (See [http://www.undp.org/about/transparencydocs/UNDP Anti Fraud Policy English FINAL june 2011.pdf](http://www.undp.org/about/transparencydocs/UNDP%20Anti%20Fraud%20Policy%20English%20FINAL%20june%202011.pdf) and [http://www.undp.org/content/undp/en/home/operations/procurement/procurement\\_protest/](http://www.undp.org/content/undp/en/home/operations/procurement/procurement_protest/) for full description of the policies)
5. In responding to this ITB, UNDP requires all Bidders to conduct themselves in a professional, objective and impartial manner, and they must at all times hold UNDP’s interests paramount. Bidders must strictly avoid conflicts with other assignments or their own interests, and act without consideration for future work. All Bidders found to have a conflict of interest shall be disqualified. Without limitation on the generality of the above, Bidders, and any of their affiliates, shall be considered to have a conflict of interest with one or more parties in this solicitation process, if they:
  - 5.1 Are, or have been associated in the past, with a firm or any of its affiliates which have been engaged UNDP to provide services for the preparation of the design, Schedule of Requirements and Technical Specifications, cost analysis/estimation, and other documents to be used for the procurement of the goods and related services in this selection process;
  - 5.2 Were involved in the preparation and/or design of the Programme/project related to the goods and related services requested under this ITB; or
  - 5.3 Are found to be in conflict for any other reason, as may be established by, or at the discretion of, UNDP.

In the event of any uncertainty in the interpretation of what is potentially a conflict of interest, Bidders must disclose the condition to UNDP and seek UNDP’s confirmation on whether or not such conflict exists.
6. Similarly, the following must be disclosed in the Bid:
  - 6.1 Bidders who are owners, part-owners, officers, directors, controlling shareholders, or key

- personnel who are family of UNDP staff involved in the procurement functions and/or the Government of the country or any Implementing Partner receiving the goods and related services under this ITB; and
- 6.4 Others that could potentially lead to actual or perceived conflict of interest, collusion or unfair competition practices.

**Failure of such disclosure may result in the rejection of the Bid.**

7. The eligibility of Bidders that are wholly or partly owned by the Government shall be subject to UNDP's further evaluation and review of various factors such as being registered as an independent entity, the extent of Government ownership/share, receipt of subsidies, mandate, access to information in relation to this ITB, and others that may lead to undue advantage against other Bidders, and the eventual rejection of the Bid.
8. All Bidders must adhere to the UNDP Supplier Code of Conduct, which may be found at this link: <http://web.ng.undp.org/procurement/undp-supplier-code-of-conduct.pdf>

**B. CONTENTS OF BID**

**9. Sections of Bid**

Bidders are required to complete, sign and submit the following documents:

- 9.1 Bid Submission Cover Letter Form (see ITB Section 4);
- 9.2 Documents Establishing the Eligibility and Qualifications of the Bidder (see ITB Section 5);
- 9.3 Technical Bid (see prescribed form in ITB Section 6);
- 9.4 Price Schedule (see prescribed form in ITB Section 7);
- 9.5 Bid Security, if applicable (if required and as stated in the DS nos. 9-11.
- 9.6 Any attachments and/or appendices to the Bid (including all those specified under the **Data Sheet**)

**10. Clarification of Bid**

- 10.1 Bidders may request clarification of any of the ITB documents no later than the number of days indicated in the **Data Sheet** (DS no. 16) prior to the Bid submission date. Any request for clarification must be sent in writing via courier or through electronic means to the UNDP address indicated in the **Data Sheet** (DS no. 17). UNDP will respond in writing, transmitted by electronic means and will transmit copies of the response (including an explanation of the query but without identifying the source of inquiry) to all Bidders who have provided confirmation of their intention to submit a Bid.
- 10.2 UNDP shall endeavor to provide such responses to clarifications in an expeditious manner, but any delay in such response shall not cause an obligation on the part of UNDP to extend the submission date of the Bid, unless UNDP deems that such an extension is justified and necessary.

**11. Amendment of Bid**

- 11.1 At any time prior to the deadline for submission of Bid, UNDP may for any reason, such as in response to a clarification requested by a Bidder, modify the ITB in the form of a Supplemental Information to the ITB. All prospective Bidders will be notified in writing of all changes/amendments and additional instructions through Supplemental Information to the ITB and through the method specified in the **Data Sheet** (DS No. 18).

- 11.2 In order to afford prospective Bidders reasonable time to consider the amendments in

preparing their Bid, UNDP may, at its discretion, extend the deadline for submission of Bid, if the nature of the amendment to the ITB justifies such an extension.

## C. PREPARATION OF BID

### 12. Cost

The Bidder shall bear any and all costs related to the preparation and/or submission of the Bid, regardless of whether its Bid was selected or not. UNDP shall in no case be responsible or liable for those costs, regardless of the conduct or outcome of the procurement process.

### 13. Language

The Bid, as well as any and all related correspondence exchanged by the Bidder and UNDP, shall be written in the language (s) specified in the **Data Sheet** (DS No. 4). Any printed literature furnished by the Bidder written in a language other than the language indicated in the **Data Sheet**, must be accompanied by a translation in the preferred language indicated in the **Data Sheet**. For purposes of interpretation of the Bid, and in the event of discrepancy or inconsistency in meaning, the version translated into the preferred language shall govern. Upon conclusion of a contract, the language of the contract shall govern the relationship between the contractor and UNDP.

### 14. Bid Submission Form

The Bidder shall submit the Bid Submission Form using the form provided in Section 4 of this ITB.

### 15. Technical Bid Format and Content

Unless otherwise stated in the **Data Sheet** (DS no. 28), the Bidder shall structure the Technical Bid as follows:

- 15.1 Expertise of Firm/Organization – this section should provide details regarding management structure of the organization, organizational capability/resources, and experience of organization/firm, the list of projects/contracts (both completed and on-going, both domestic and international) which are related or similar in nature to the requirements of the ITB, manufacturing capacity of plant if Bidder is a manufacturer, authorization from the manufacturer of the goods if Bidder is not a manufacturer, and proof of financial stability and adequacy of resources to complete the delivery of goods and provision of related services required by the ITB (see ITB Clause 18 and DS No. 26 for further details). The same shall apply to any other entity participating in the ITB as a Joint Venture or Consortium.
- 15.2 Technical Specifications and Implementation Plan – this section should demonstrate the Bidder's response to the Schedule of Requirements and Technical Specifications by identifying the specific components proposed; how each of the requirements shall be met point by point; providing a detailed specification and description of the goods required, plans and drawings where needed; the essential performance characteristics, identifying the works/portions of the work that will be subcontracted; a list of the major subcontractors, and demonstrating how the bid meets or exceeds the requirements, while ensuring appropriateness of the bid to the local conditions and the rest of the project operating environment during the entire life of the goods provided. Details of technical bid must be laid out and supported by an Implementation Timetable, including Transportation and Delivery Schedule where needed, that is within the duration of the contract as specified in the **Data Sheet** (DS no. 29 and 30).

Bidders must be fully aware that the goods and related services that UNDP require may be transferred, immediately or eventually, by UNDP to the Government partners, or to an entity nominated by the latter, in accordance with UNDP's policies and procedures. All bidders are therefore required to submit the following in their bids:

- a) A statement of whether any import or export licenses are required in respect of the goods to be purchased or services to be rendered, including any restrictions in the country of origin, use or dual use nature of the goods or services, including any disposition to end users;
- b) Confirmation that the Bidder has obtained license of this nature in the past, and have an expectation of obtaining all the necessary licenses, should their bid be rendered the most responsive; and
- c) Complete documentation, information and declaration of any goods classified or may be classified as "Dangerous Goods".

15.3 Management Structure and Key Personnel – This section should include the comprehensive curriculum vitae (CVs) of key personnel that will be assigned to support the implementation of the technical bid, clearly defining their roles and responsibilities. CVs should establish competence and demonstrate qualifications in areas relevant to the requirements of this ITB.

In complying with this section, the Bidder assures and confirms to UNDP that the personnel being nominated are available to fulfil the demands of the Contract during its stated full term. If any of the key personnel later becomes unavailable, except for unavoidable reasons such as death or medical incapacity, among other possibilities, UNDP reserves the right to render the Bid non-responsive. Any deliberate substitution of personnel arising from unavoidable reasons, including delay in the implementation of the project of programme through no fault of the Bidder, shall be made only with UNDP's acceptance of the justification for substitution, and UNDP's approval of the qualification of the replacement who shall be either of equal or superior credentials as the one being replaced.

15.4 Where the **Data Sheet** requires the submission of the Bid Security, the Bid Security shall be included along with the Technical Bid. The Bid Security may be forfeited by UNDP, and reject the Bid, in the event of any or any combination of the following conditions:

- a) If the Bidder withdraws its offer during the period of the Bid Validity specified in the **Data Sheet** (DS no. 11), or;
- b) If the Bid Security amount is found to be less than what is required by UNDP as indicated in the **Data Sheet** (DS no. 9), or;
- c) In the case the successful Bidder fails:
  - i. to sign the Contract after UNDP has awarded it;
  - ii. to comply with UNDP's variation of requirement, as per ITB Clause 35; or
  - iii. to furnish Performance Security, insurances, or other documents that UNDP may require as a condition to rendering effective the contract that may be awarded to the Bidder.

## 16. Price Schedule

The Price Schedule shall be prepared using the attached standard form (Section 7). It shall list all major cost components associated with the goods and related services, and the detailed breakdown of such costs. All goods and services described in the Technical Bid must be priced separately on a one-to-one correspondence. Any output and activities described in the Technical Bid but not priced in the Price Schedule, shall be assumed to be included in the prices of the items or activities, as well

as in the final total price of the bid.

## **17. Currencies**

All prices shall be quoted in the currency indicated in the **Data Sheet** (DS no. 15). However, where Bids are quoted in different currencies, for the purposes of comparison of all Bid:

- 17.1 UNDP will convert the currency quoted in the Bid into the UNDP preferred currency, in accordance with the prevailing UN operational rate of exchange on the last day of submission of Bid; and
- 17.2 In the event that the Bid found to be the most responsive to the ITB requirement is quoted in another currency different from the preferred currency as per **Data Sheet** (DS no. 15), then UNDP shall reserve the right to award the contract in the currency of UNDP's preference, using the conversion method specified above.

## **18. Documents Establishing the Eligibility and Qualifications of the Bidder**

18.1 The Bidder shall furnish documentary evidence of its status as an eligible and qualified vendor, using the forms provided under Section 5, Bidder Information Forms. In order to award a contract to a Bidder, its qualifications must be documented to UNDP's satisfactions. These include, but are not limited to the following:

- a) That, in the case of a Bidder offering to supply goods under the Contract which the Bidder did not manufacture or otherwise produce, the Bidder has been duly authorized by the goods' manufacturer or producer to supply the goods in the country of final destination;
- b) That the Bidder has the financial, technical, and production capability necessary to perform the Contract; and
- c) That, to the best of the Bidder's knowledge, it is not included in the UN 1267 List or the UN Ineligibility List, nor in any and all of UNDP's list of suspended and removed vendors.

18.2 Bids submitted by two (2) or more Bidders shall all be rejected by UNDP if they are found to have any of the following:

- a) they have at least one controlling partner, director or shareholder in common; or
- b) any one of them receive or have received any direct or indirect subsidy from the other/s; or
- c) they have the same legal representative for purposes of this ITB; or
- d) they have a relationship with each other, directly or through common third parties, that puts them in a position to have access to information about, or influence on the Bid of, another Bidder regarding this ITB process;
- e) they are subcontractors to each other's bid, or a subcontractor to one bid also submits another Bid under its name as lead Bidder; or
- f) an expert proposed to be in the bid of one Bidder participates in more than one Bid received for this ITB process. This condition does not apply to subcontractors being included in more than one Bid.

## **19. Joint Venture, Consortium or Association**

If the Bidder is a group of legal entities that will form or have formed a joint venture, consortium or association at the time of the submission of the Bid, they shall confirm in their Bid that : (i) they have designated one party to act as a lead entity, duly vested with authority to legally bind the members of the joint venture jointly and severally, and this shall be duly evidenced by a duly notarized Agreement among the legal entities, which shall be submitted along with the Bid; and (ii) if they are awarded the contract, the contract shall be entered into, by and between UNDP and the designated

lead entity, who shall be acting for and on behalf of all entities that comprise the joint venture.

After the bid has been submitted to UNDP, the lead entity identified to represent the joint venture shall not be altered without the prior written consent of UNDP. Furthermore, neither the lead entity nor the member entities of the joint venture can:

- a) Submit another Bid, either in its own capacity; nor
- b) As a lead entity or a member entity for another joint venture submitting another Bid.

The description of the organization of the joint venture/consortium/association must clearly define the expected role of each of the entity in the joint venture in delivering the requirements of the ITB, both in the bid and in the Joint Venture Agreement. All entities that comprise the joint venture shall be subject to the eligibility and qualification assessment by UNDP.

Where a joint venture is presenting its track record and experience in a similar undertaking as those required in the ITB, it should present such information in the following manner:

- a) Those that were undertaken together by the joint venture; and
- b) Those that were undertaken by the individual entities of the joint venture expected to be involved in the performance of the services defined in the ITB.

Previous contracts completed by individual experts working privately but who are permanently or were temporarily associated with any of the member firms cannot be claimed as the experience of the joint venture or those of its members, but should only be claimed by the individual experts themselves in their presentation of their individual credentials.

If the Bid of a joint venture is determined by UNDP as the most responsive Bid that offers the best value for money, UNDP shall award the contract to the joint venture, in the name of its designated lead entity, who shall sign the contract for and on behalf of all the member entities.

## 20. Alternative Bid

Unless otherwise specified in the **Data Sheet** (DS nos. 5 and 6), alternative bid shall not be considered. Where the conditions for its acceptance are met, or justifications are clearly established, UNDP reserves the right to award a contract based on an alternative bid.

## 21. Validity Period

- 21.1 Bid shall remain valid for the period specified in the **Data Sheet** (DS no. 8), commencing on the submission deadline date also indicated in the **Data Sheet** (DS no. 21). A Bid valid for a shorter period shall be immediately rejected by UNDP and rendered non-responsive.
- 21.2 In exceptional circumstances, prior to the expiration of the Bid validity period, UNDP may request Bidders to extend the period of validity of their Bid. The request and the responses shall be made in writing, and shall be considered integral to the Bid.

## 22. Bidder's Conference

When appropriate, a Bidder's conference will be conducted at the date, time and location specified in the **Data Sheet** (DS no. 7). All Bidders are encouraged to attend. Non-attendance, however, shall not result in disqualification of an interested Bidder. Minutes of the Bidder's conference will be either posted on the UNDP website, or disseminated to the individual firms who have registered or expressed interest with the contract, whether or not they attended the conference. No verbal statement made during the conference shall modify the terms and conditions of the ITB unless such

statement is specifically written in the Minutes of the Conference, or issued/posted as an amendment in the form of a Supplemental Information to the ITB.

#### **D. SUBMISSION AND OPENING OF BID**

##### **23. Submission**

23.1 The Technical Bid and the Price Schedule must be submitted together and sealed together in one and the same envelope, delivered either personally, by courier, or by electronic method of transmission. If submission will not be done by electronic means, the Technical Bid and Price Schedule must be sealed together in an envelope whose external side must :

- a) Bear the name of the Bidder;
- b) Be addressed to UNDP as specified in the **Data Sheet** (DS no.20); and
- c) Bear a warning not to open before the time and date for Bid opening as specified in the **Data Sheet** (DS no. 24).

If the envelope is not sealed nor labeled as required, the Bidder shall assume the responsibility for the misplacement or premature opening of Bid due to improper sealing and labeling by the Bidder.

23.2 Bidders must submit their Bid in the manner specified in the **Data Sheet** (DS nos. 22 and 23). When the Bid is expected to be in transit for more than 24 hours, the Bidder must ensure that sufficient lead time has been provided in order to comply with UNDP's deadline for submission. UNDP shall indicate for its record that the official date and time of receiving the Bid is the actual date and time when the said Bid has physically arrived at the UNDP premises indicated in the **Data Sheet** (DS no. 20).

23.3 Bidders submitting Bid by mail or by hand shall enclose the original and each copy of the Bid, in separate sealed envelopes, duly marking each of the envelopes as "Original Bid" and the others as "Copy of Bid". The two envelopes, consisting of original and copies, shall then be sealed in an outer envelope. The number of copies required shall be as specified in the **Data Sheet** (DS no. 19). In the event of any discrepancy between the contents of the "Original Bid" and the "Copy of Bid", the contents of the original shall govern. The original version of the Bid shall be signed or initialed by the Bidder or person(s) duly authorized to commit the Bidder on every page. The authorization shall be communicated through a document evidencing such authorization issued by the highest official of the firm, or a Power of Attorney, accompanying the Bid.

23.4 Bidders must be aware that the mere act of submission of a Bid, in and of itself, implies that the Bidder accepts the General Contract Terms and Conditions of UNDP as attached hereto as Section 10.

##### **24. Deadline for Submission of Bid and Late Bids**

Bid must be received by UNDP at the address and no later than the date and time specified in the **Data Sheet** (DS no. 20 and 21).

UNDP shall not consider any Bid that arrives after the deadline for submission of Bid. Any Bid received by UNDP after the deadline for submission of Bid shall be declared late, rejected, and returned unopened to the Bidder.

## 25. Withdrawal, Substitution, and Modification of Bid

- 25.1 Bidders are expected to have sole responsibility for taking steps to carefully examine in detail the full consistency of its Bid to the requirements of the ITB, keeping in mind that material deficiencies in providing information requested by UNDP, or lack clarity in the description of goods and related services to be provided, may result in the rejection of the Bid. The Bidder shall assume any responsibility regarding erroneous interpretations or conclusions made by the Bidder in the course of understanding the ITB out of the set of information furnished by UNDP.
- 25.2 A Bidder may withdraw, substitute or modify its Bid after it has been submitted by sending a written notice in accordance with ITB Clause 23, duly signed by an authorized representative, and shall include a copy of the authorization (or a Power of Attorney). The corresponding substitution or modification of the Bid must accompany the respective written notice. All notices must be received by UNDP prior to the deadline for submission and submitted in accordance with ITB Clause 23 (except that withdrawal notices do not require copies). The respective envelopes shall be clearly marked "WITHDRAWAL," "SUBSTITUTION," or "MODIFICATION".
- 25.3 Bid requested to be withdrawn shall be returned unopened to the Bidders.
- 25.4 No Bid may be withdrawn, substituted, or modified in the interval between the deadline for submission of Bid and the expiration of the period of Bid validity specified by the Bidder on the Bid Submission Form or any extension thereof.

## 26. Bid Opening

UNDP will open the Bid in the presence of an ad-hoc committee formed by UNDP of at least two (2) Members. If electronic submission is permitted, any specific electronic Bid opening procedures shall be as specified in the **Data Sheet** (DS no. 23).

The Bidders' names, modifications, withdrawals, the condition of the envelope labels/seals, the number of folders/files and all other such other details as UNDP may consider appropriate, will be announced at the opening. No Bid shall be rejected at the opening stage, except for late submission, for which the Bid shall be returned unopened to the Bidder.

## 27. Confidentiality

Information relating to the examination, evaluation, and comparison of Bid, and the recommendation of contract award, shall not be disclosed to Bidders or any other persons not officially concerned with such process, even after publication of the contract award.

Any effort by a Bidder to influence UNDP in the examination, evaluation and comparison of the Bid or contract award decisions may, at UNDP's decision, result in the rejection of its Bid.

In the event that a Bidder is unsuccessful, the Bidder may seek a meeting with UNDP for a debriefing. The purpose of the debriefing is discussing the strengths and weaknesses of the Bidder's submission, in order to assist the Bidder in improving the bid presented to UNDP. The content of other bid and how they compare to the Bidder's submission shall not be discussed.

## E. EVALUATION OF BID

## 28. Preliminary Examination of Bid

UNDP shall examine the Bid to determine whether they are complete with respect to minimum documentary requirements, whether the documents have been properly signed, whether or not the Bidder is in the UN Security Council 1267/1989 Committee's list of terrorists and terrorist financiers, and in UNDP's list of suspended and removed vendors, and whether the Bid are generally in order, among other indicators that may be used at this stage. UNDP may reject any Bid at this stage.

## 29. Evaluation of Bid

29.1 UNDP shall examine the Bid to confirm that all terms and conditions under the UNDP General Terms and Conditions and Special Conditions have been accepted by the Bidder without any deviation or reservation.

29.2 The evaluation team shall review and evaluate the Bids on the basis of their responsiveness to the Schedule of Requirements and Technical Specifications and other documentation provided, applying the procedure indicated in the **Data Sheet** (DS No. 25). Absolutely no changes may be made by UNDP in the criteria after all Bids have been received.

29.1 UNDP reserves the right to undertake a post-qualification exercise, aimed at determining, to its satisfaction the validity of the information provided by the Bidder. Such post-qualification shall be fully documented and, among those that may be listed in the **Data Sheet** (DS No.33), may include, but need not be limited to, all or any combination of the following :

- a) Verification of accuracy, correctness and authenticity of the information provided by the bidder on the legal, technical and financial documents submitted;
- b) Validation of extent of compliance to the ITB requirements and evaluation criteria based on what has so far been found by the evaluation team;
- c) Inquiry and reference checking with Government entities with jurisdiction on the bidder, or any other entity that may have done business with the bidder;
- d) Inquiry and reference checking with other previous clients on the quality of performance on on-going or previous contracts completed;
- e) Physical inspection of the bidder's plant, factory, branches or other places where business transpires, with or without notice to the bidder;
- f) Testing and sampling of completed goods similar to the requirements of UNDP, where available; and
- g) Other means that UNDP may deem appropriate, at any stage within the selection process, prior to awarding the contract.

## 30. Clarification of Bid

To assist in the examination, evaluation and comparison of bids, UNDP may, at its discretion, ask any Bidder to clarify its Bid.

UNDP's request for clarification and the Bidder's response shall be in writing. Notwithstanding the written communication, no change in the prices or substance of the Bid shall be sought, offered, or permitted, except to provide clarification, and confirm the correction of any arithmetic errors discovered by UNDP in the evaluation of the Bid, in accordance with ITB Clause 35.

Any unsolicited clarification submitted by a Bidder in respect to its Bid, which is not a response to a request by UNDP, shall not be considered during the review and evaluation of the Bid.

### **31. Responsiveness of Bid**

UNDP's determination of a Bid's responsiveness will be based on the contents of the Bid itself.

A substantially responsive Bid is one that conforms to all the terms, conditions, and specifications of the ITB without material deviation, reservation, or omission.

If a Bid is not substantially responsive, it shall be rejected by UNDP and may not subsequently be made responsive by the Bidder by correction of the material deviation, reservation, or omission.

### **32. Nonconformities, Reparable Errors and Omissions**

32.3 Provided that a Bid is substantially responsive, UNDP may waive any non-conformities or omissions in the Bid that, in the opinion of UNDP, do not constitute a material deviation.

32.4 Provided that a Bid is substantially responsive, UNDP may request the Bidder to submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities or omissions in the Bid related to documentation requirements. Such omission shall not be related to any aspect of the price of the Bid. Failure of the Bidder to comply with the request may result in the rejection of its Bid.

32.5 Provided that the Bid is substantially responsive, UNDP shall correct arithmetical errors as follows:

- a) If there is a discrepancy between the unit price and the line item total that is obtained by multiplying the unit price by the quantity, the unit price shall prevail and the line item total shall be corrected, unless in the opinion of UNDP there is an obvious misplacement of the decimal point in the unit price, in which case the line item total as quoted shall govern and the unit price shall be corrected;
- b) If there is an error in a total corresponding to the addition or subtraction of subtotals, the subtotals shall prevail and the total shall be corrected; and
- c) If there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail subject to the above.

32.6 If the Bidder does not accept the correction of errors made by UNDP, its Bid shall be rejected.

## **F. AWARD OF CONTRACT**

### **33. Right to Accept, Reject, or Render Non-Responsive Any or All Bid**

33.1 UNDP reserves the right to accept or reject any Bid, to render any or all of the Bids as non-responsive, and to reject all Bids at any time prior to award of contract, without incurring any liability, or obligation to inform the affected Bidder(s) of the grounds for UNDP's action. Furthermore, UNDP is not obligated to award the contract to the lowest price offer.

33.2 UNDP shall also verify, and immediately reject their respective Bid, if the Bidders are found to appear in the UN's Consolidated List of Individuals and Entities with Association to Terrorist Organizations, in the List of Vendors Suspended or Removed from the UN Secretariat Procurement Division Vendor Roster, the UN Ineligibility List, and other such lists that as may be established or recognized by UNDP policy on Vendor Sanctions. (See [http://www.undp.org/content/undp/en/home/operations/procurement/procurement\\_protect/](http://www.undp.org/content/undp/en/home/operations/procurement/procurement_protect/))

#### **34. Award Criteria**

Prior to expiration of the period of Bid validity, UNDP shall award the contract to the qualified and eligible Bidder that is found to be responsive to the requirements of the Schedule of Requirements and Technical Specification, and has offered the lowest price (See DS No. 32).

#### **35. Right to Vary Requirements at the Time of Award**

At the time of award of Contract, UNDP reserves the right to vary the quantity of the goods and/or related services, by up to a maximum twenty five per cent (25%) of the total offer, without any change in the unit price or other terms and conditions.

#### **36. Contract Signature**

Within fifteen (15) days from the date of receipt of the Contract, the successful Bidder shall sign and date the Contract and return it to UNDP.

Failure of the successful Bidder to comply with the requirement of ITB Section F.3 and this provision shall constitute sufficient grounds for the annulment of the award, and forfeiture of the Bid Security if any, and on which event, UNDP may award the Contract to the Bidder with the second highest rated Bid, or call for new Bid.

#### **37. Performance Security**

A performance security, if required, shall be provided in the amount and form provided in Section 8 and by the deadline indicated in the **Data Sheet** (DS no. 14), as applicable. Where a Performance Security will be required, the submission of the said document, and the confirmation of its acceptance by UNDP, shall be a condition for the effectivity of the Contract that will be signed by and between the successful Bidder and UNDP.

#### **38. Bank Guarantee for Advanced Payment**

Except when the interests of UNDP so require, it is the UNDP's preference to make no advanced payment(s) on contracts (i.e., payments without having received any outputs). In the event that the Bidder requires an advanced payment upon contract signature, and if such request is duly accepted by UNDP, and the said advanced payment exceeds 20% of the total Bid price, or exceed the amount of USD 30,000, UNDP shall require the Bidder to submit a Bank Guarantee in the same amount as the advanced payment. A bank guarantee for advanced payment shall be furnished in the form provided in template provided by UNDP.

#### **39. Vendor Protest**

UNDP's vendor protest procedure provides an opportunity for appeal to those persons or firms not awarded a purchase order or contract through a competitive procurement process. In the event that a Bidder believes that it was not treated fairly, the following link provides further details regarding UNDP vendor protest procedures: <http://www.undp.org/procurement/protest.shtml>.

## Instructions to Bidders

### DATA SHEET

The following data for the supply of goods and related services shall complement / supplement the provisions in the Instruction to Bidders. In the case of a conflict between the Instruction to Bidders and the Data Sheet, the provisions in the Data Sheet shall prevail.

DS No.	Cross Ref. to Instructions	Data	Specific Instructions / Requirements
1		Project Title:	FFIS
2		Title of Goods Required:	<b>ITB-007/17 Supply and Installation of Soundproof Electrical Diesel Generator for Diyala Governorate, Iraq</b>
3		Country:	Iraq
4	C.13	Language of the Bid:	<input checked="" type="checkbox"/> English
5	C.20	Conditions for Submitting Bid for Parts or sub-parts of the Total Requirements	<input checked="" type="checkbox"/> Not Allowed.
6	C.20	Conditions for Submitting Alternative Bid	<input checked="" type="checkbox"/> <u>Shall not be considered</u>
7	C.22	A Site visit will be held on:	<input checked="" type="checkbox"/> N/A
8	C.21.1	Period of Bid Validity commencing on the date of bid opening	<input checked="" type="checkbox"/> 120 days
9	B.9.5 C.15.4 b)	Bid Security	<input checked="" type="checkbox"/> N/A

10	B.9.5	Acceptable forms of Bid Security <sup>2</sup>	<input checked="" type="checkbox"/> N/A
11	B.9.5 C.15.4 a)	Validity of Bid Security	<input checked="" type="checkbox"/> N/A
12		Advanced Payment upon signing of contract	<input checked="" type="checkbox"/> Not allowed
13		Liquidated Damages	<input checked="" type="checkbox"/> Will be imposed under the following conditions: <b>0.5% of contract for every day of delay, up to a maximum duration of 1 calendar month.</b> Thereafter, the contract may be terminated;
14	F.37	Performance Security	<input checked="" type="checkbox"/> Required <b>Amount :10% of total contract value in Form of:</b> a. Bank guarantee OR Certified Cheque issued by a reputable bank (shall be in accordance with Form included in the Invitation to Bid (Section-9); b. Should be submitted within 7 days upon issuance of letter of intent/contract. c. The proceeds of the Performance Security shall be payable to UNDP as a compensation for any loss resulting from the Contractor's failure to complete its obligations under the contract. d. The Performance Security shall be denominated in the currency of the contract valid for a period of 3 months to cover defect liability period. <b>e. If, within 3 months after the goods/system have been put into service, any defects are discovered or arise in the normal course of usage, the Supplier shall remedy the defect either by replacement or by repair;</b> f. Upon successfully completion of Defect Liability period of 3 months, UNDP will return the Performance Security to the bidder. Please refer to general terms and conditions clause 47.1
15	C.17 C.17.2	Preferred Currency of Bid and Method for Currency conversion	<input checked="" type="checkbox"/> <u>United States Dollars (US\$)</u> <i>Reference date for determining UN Operational Exchange Rate: closing date of submission of bids</i>

16	B.10.1	Deadline for submitting requests for clarifications/ questions	3 days before the submission date.
17	B.10.1	Contact Details for submitting clarifications/questions <sup>3</sup>	Focal Person in UNDP: <b>Ali Izzat Procurement Officer</b> <a href="mailto:Ali.izzat@undp.org">Ali.izzat@undp.org</a>
18	B.11.1	Manner of Disseminating Supplemental Information to the ITB and responses/clarifications to queries	<input checked="" type="checkbox"/> Direct communication to prospective Bidders by email <input checked="" type="checkbox"/> Direct communication to prospective Bidders by email or fax, and Posting on the website <a href="http://www.ig.undp.org/">http://www.ig.undp.org/</a>
19	D.23.3	No. of copies of Bid that must be submitted	Please refer to DS 23 (electronic submission)
20	D.23.1 b) D.23.2	Bid submission address	Please refer to DS 23 (electronic submission)
21	C.21.1	Deadline of Bid Submission	Date and Time: <b><u>19 January 2017 at 14:00 hours</u></b>
22	D.23.2	Manner of Submitting Bid	<input checked="" type="checkbox"/> Electronic submission of Bid
23	D.23.2 D.26	Conditions and Procedures for electronic submission and opening, if allowed	<input checked="" type="checkbox"/> Official Address for e-submission: <a href="mailto:bids.iraq@undp.org">bids.iraq@undp.org</a> <input checked="" type="checkbox"/> Format: PDF files only <input checked="" type="checkbox"/> Max. File Size per transmission: 5MB <input checked="" type="checkbox"/> Max. No. of transmission: no limit <input checked="" type="checkbox"/> No. of copies to be transmitted: 5
			<input checked="" type="checkbox"/> Mandatory subject of email: <b><u>ITB-007/17 Supply, Install &amp; Operate Soundproof Electrical Diesel Generator for Diyala Governorate, Iraq + (Company Name)</u></b> <input checked="" type="checkbox"/> Time Zone to be Recognized: <i>Erbil local time.</i>
24	D.23.1 c)	Date, time and venue for opening of Bid	Date and Time: <b><u>19 January 2017 at 15:00 hours</u></b> in the presence of Committee formed by UNDP
25		Evaluation method to be used in selecting the most responsive Bid	<input checked="" type="checkbox"/> Non-Discretionary "Pass/Fail" Criteria on the Technical Requirements; and <input checked="" type="checkbox"/> Technically qualified and responsive bid and offering the lowest price for complete requirement;

26	C.15.1	<p>Required Documents that must be Submitted to Establish Qualification of Bidders (In "Certified True Copy" form only) Minimum Qualifying Criteria (Clauses 9.1 &amp; 9.2)</p> <p><u>يرجى قراءة هذه الفقرة بعناية</u>  <u>تامة وتقديم كافة المستمسكات</u>  <u>والاوراق المطلوبة كاملة دون</u>  <u>نقصان</u></p>	<p><input checked="" type="checkbox"/> Company Profile</p> <p><input checked="" type="checkbox"/> Section 4: <b>Bid Submission Form</b> duly signed and stamped.</p> <p><input checked="" type="checkbox"/> Section 6: <b>Technical Bid Form.</b></p> <p><input checked="" type="checkbox"/> Section 7: Properly filled-in as per the format with company stamp and signature;</p> <ul style="list-style-type: none"> <li>• <b>Bidder should provide submitted in PDF formats.</b></li> <li>• <b>Certificate of Registration;</b></li> </ul> <p><input checked="" type="checkbox"/> Local Authorized dealership certificate confirming availability of after sale services, maintenance support and cover warranty period of 12 months;</p> <p><input checked="" type="checkbox"/> Quality Certificate (e.g., ISO, etc.) and/or other similar certificates, accreditations, awards and citations received by the Bidder or manufacturer, if any</p> <p><input checked="" type="checkbox"/> Environmental Compliance Certificates, Accreditations, Markings/Labels, and other evidences of the Bidder's practices which contributes to the ecological sustainability and reduction of adverse environmental impact</p> <p><input checked="" type="checkbox"/> Certification or authorization to act as Agent in behalf of the Manufacturer, or Power of Attorney, if bidder is not a manufacturer;</p> <p><input checked="" type="checkbox"/> Latest Audited Financial Statement (Income Statement and Balance Sheet) including Auditor's Report for the past [two years 2014-2015-2016];</p> <p><input checked="" type="checkbox"/> Statement of Satisfactory Performance from the Top Three Clients in terms of Contract Value in the past</p> <p>Note:  UNDP has the right to reject any bid if submitted by a contractor whom investigation leads to a result that he is not Financially capable and/ or had serious financial problems.</p> <p><input checked="" type="checkbox"/> Certificate of Registration of the business; including Articles of Incorporation or equivalent document if Bidder is not a corporation. Interested firms must have appropriate permission/registration from relevant Government body to operate in Country.</p> <p><input checked="" type="checkbox"/> List and value of on-going projects with contact details and amount;</p> <p><input checked="" type="checkbox"/> List and value of similar projects performed during the last five years;</p> <p><input checked="" type="checkbox"/> Latest Tax Certificate.</p> <p><input checked="" type="checkbox"/> Brochures/ documents with clear specs.</p>
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27		Other documents that may be submitted to Establish Eligibility	As per the evaluation criteria mentioned under 32
28	C.15	Structure of the Technical Bid and List of Documents to be Submitted	<p>Technical bid should be prepared and documents submitted as per Section 2, Clause 15 (Instruction to Bidders) and as per Section 3a (Schedule of Requirements and Technical Specifications) and Section 3b (Related Services) and data listed under Section 6 Technical Bid Form;</p> <p>Please use the list of documents included into the set of Bid Documentation in order to determine the documents necessary for submission.</p>
29	C.15.2	Latest Expected date for commencement of Contract	<b>15 February, 2017</b>
30	C.15.2	Expected duration of contract	<b>30 Days</b> from the date of receipt of Award (Purchase Order (PO)/ Contract) from UNDP
31		UNDP will award the contract to:	<input checked="" type="checkbox"/> One prospective Bidder

32	F.34	Criteria for the Award and Evaluation of Bid	<p><b>Award Criteria</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Non-discretionary "Pass" or "Fail" rating on the detailed contents of the Schedule of Requirements and Technical Specifications</li> <li><input checked="" type="checkbox"/> The lowest-priced offer among the technically compliant/responsive offers will be selected</li> <li><input checked="" type="checkbox"/> Compliance on the following qualification requirements:</li> </ul> <p><b>Bid Evaluation Criteria</b></p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Minimum 5 years of experience in similar contracts;</li> <li><input checked="" type="checkbox"/> Compliance with the detailed specifications and Technical Data Sheet(Annex-A) of the required Generator;;</li> <li><input checked="" type="checkbox"/> Quality Inspection and Testing Certificates for the Generators to be supplied;</li> <li><input checked="" type="checkbox"/> Warranty on parts and services for a minimum period of <i>[one year];Company should have local agent inside Iraq to cover any immediate maintenance issues;</i></li> <li><input checked="" type="checkbox"/> Compliance with Special and General Conditions specified by these Solicitation Documents. (bid submission form);</li> <li><input checked="" type="checkbox"/> Compliance with start-up, delivery and warrantee period set by the procuring entity (One month).</li> </ul> <p><b>UNDP has</b> the right to reject any bid if submitted by a contractor whom investigation leads to a result that he is not Financially capable and/ or had serious financial problem;</p> <p><b>Note:</b></p> <p><b>Price Deviation:</b></p> <p><b>Bidders</b> shall quote reasonable bid prices with an acceptable margin of deviation in comparison to the real local market prices at the time of bids preparation.</p>
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33	E.29	Post qualification Actions	<input checked="" type="checkbox"/> Verification of accuracy, correctness and authenticity of the information provided by the bidder on the legal, technical and financial documents submitted; <input checked="" type="checkbox"/> Validation of extent of compliance to the ITB requirements and evaluation criteria based on what has so far been found by the evaluation team; <input checked="" type="checkbox"/> Inquiry and reference checking with Government entities with jurisdiction on the bidder, or any other entity that may have done business with the bidder; <input checked="" type="checkbox"/> Inquiry and reference checking with other previous clients on the quality of performance on ongoing or previous contracts completed;
34		Conditions for Determining Contract Effectivity	<input checked="" type="checkbox"/> UNDP's receipt of Performance Bond <input checked="" type="checkbox"/> Contract signature by both UNDP and Contractor; <input checked="" type="checkbox"/> Provision of Liability Insurance as per clause 23 of the General Terms and Conditions for Works;
35		Other Information Related to the ITB	N/A
36		Documents Establishing Conformity of Equipment Incorporated into the Works	Conformity with the required specifications. Warranty coverage as per the manufacturer;

### **Section 3: Schedule of Requirements and Technical Specifications**

ITB-007/17 Supply and Installation of Soundproof Electrical Diesel Generator for Diyala Governorate, Iraq

#### **Section 3a: PART I - GENERAL TECHNICAL REQUIREMENTS**

##### **1.0 Composition**

The Diesel Generator Units (DG Unit) shall consist of the following;

- Diesel Generator Set
- Fuel Tank
- Exhaust System
- Outdoor Weather Protective and Sound Attenuating Enclosure
- Automatic Transfer Switch (ATS)

The DG Unit shall be supplied as a complete pre-integrated and pre-assembled unit.

##### **2.0 Manufacture**

The DG Unit shall be internationally reputed make. DG units shall be supplied from original suppliers and assembled units are not acceptable.

##### **3.0 Service Conditions**

The DG unit shall be designed to be operated at following worse case conditions;

<b>Altitude</b>	Up to 1000 meters above sea-level
<b>Ambient temperatures – Outdoor:</b>	
Maximum outdoor	+55 <sup>0</sup> C
Maximum outdoor daily average	+40 <sup>0</sup> C
Maximum outdoor yearly average	+30 <sup>0</sup> C
Minimum outdoor	-10 <sup>0</sup> C
Highest one day variation	+25 <sup>0</sup> C
<b>Relative Humidity:</b>	
Maximum	92 %
Minimum	12 %
Yearly average	44%

##### **4.0 System Conditions**

The DG sets shall be designed to be operated under following system parameters;

Nominal System Voltage	400 V / 230 V
Highest System Voltage	440 V / 253 V
Number of Phases	3 ph, 4 wire
Frequency	50Hz
Neutral Point	Solidly Earthed

## **Section 3a: PART II - PARTICULAR TECHNICAL REQUIREMENTS**

### **1.0 Standards**

The DG Units shall be designed, manufactured and tested in compliance with the latest versions of the following standards;

- IEC 60034 Rotating Electrical Machines
- IEC 60085 Thermal Evaluation and Classification of Electrical Insulation
- IEC 60529 Degrees of Protection provided by Enclosures (IP Code)
- ISO 10816 Specification for Mechanical Performance: Vibration
- ISO 3046 Specification for Reciprocating Internal Combustion Engines
- SI 426 European Commission (dangerous substances)  
(Classification, packing, labelling, and Notification of Regulations 1992.
- CIMAC Congress International des Machines a Combustion  
Recommendations for Diesel Engine Acceptance Tests
- ISO 9000 Quality assurance

### **2.0 Ratings:**

The DG Units shall be rated for: 400 V AC, 3 phase, 50 Hz.

Required rated Prime Power Capacities (kVA) at an outdoor operating temperature of 55 deg is given below.

1000 KVA

**Bidder should propose generator's capacity (KVA) to obtain required prime KVA rating specified above, at the maximum outdoor operating temperature of 55 deg. Bidder should also provide calculations and reference graphs, etc., to confirm that the proposed generators are capable of providing the required KVA ratings.**

### **3.0 Performance**

The DG sets shall be capable of delivering rated kVA indicated under item 6 at Prime Power under the service conditions specified in section 3.0.

Voltage regulation:  $\pm 0.5\%$

Frequency regulation: Random frequency variation with any steady load from no load to full load shall not exceed  $\pm 0.25\%$ .

### **4.0 Diesel Engine**

The diesel engine shall comply with the specified International IEC Standards or an equivalent international standard and shall be of the four-stroke, multi-cylinder, water-cooled, cold start, direct fuel injection, compression ignition, and preferably turbo-charged type. The crankshaft speed shall not exceed 1500 r.p.m.

**Speed Governor:**

The diesel engine shall be fitted with a speed governor capable of accuracy to Class A2 of ISO 3046/IV. The governor is to be fitted with speed control facilities to enable the engine speed to be adjusted from the local control panel.

**Shutdown System:**

The engine shall be fitted with a mechanically operated device which will shut off the fuel supply to engine when any of the specified alarm conditions occur.

**Cooling System:**

The cooling system shall be filled with chemically treated water mixture by the equipment supplier. Rotating parts shall be guarded against accidental contact in accordance with standard requirements.

A vertical fan cooled sectional radiator, rated for the tropical site conditions shall be mounted at the end of the combined under base and driven from the diesel engine. The radiator shall be arranged to cool the engine jacket water and lubricating oil. The radiator must be generously sized to permit operation at full load and overload in the specified ambient conditions. The radiator shall be integral with the generating set. The radiator shall be provided complete with fan claw and guards.

**Pumps :**

Cooling water, lubricating and fuel oil pressurizing pumps shall be provided and mounted on the engine and shall be gear driven from the crankshaft.

**Lubrication :**

Lubrication shall be by means of an engine-driven gear pump and the system shall include full flow oil filters with replaceable elements.

**Safety Guards :**

All moving parts shall be adequately guarded, in order to prevent danger to personnel.

**Fuel :**

The engine shall be designed for operation on diesel fuel.

**Lubricating and Fuel Oil Filters :**

The lubricating and fuel oil filters shall be of the full flow type.

**Air Filters :**

Air filters shall be suitable for use in the environmental conditions which are likely to arise locally and the service conditions described in Part 1.

**Starting System :**

The set shall be supplied with a completely self-contained starting system consisting of an engine driven dynamo, a lead acid battery and battery charger.

The starting system shall be designed such that at engine speeds in excess of the minimum firing speed it shall be impossible to complete the starting circuit. The starting system shall preclude excessive consecutive starting attempts.

**Exhaust System :**

The engine shall be efficiently silenced and be complete with primary and terminal silencer arrangements.

## **5.0 Alternator**

The alternator shall be synchronous, four pole and brushless excitation type and shall comply with the relevant requirements of Specification IEC 60034 or an equivalent international standard.

The alternator shall be designed for operation of 10% engine overload at any power factor between unity and rated power factor for a maximum period of one hour in any 12 hour period as permitted by ISO 3046/II.

The alternator shall be rated for IP-23 protection. The insulation of the winding shall be class H. All winding shall be tropicalized and suitably impregnated to withstand the site ambient conditions.

The alternator shall be complete with all necessary cooling fans, excitation and voltage regulating equipment. The alternator shall be capable of maintaining its continuous maximum rated output when operating within + 5% of rated voltage and at rated power factor.

The alternator shall be brushless rotating field, self-exciting and self-regulating type complete with permanent magnets and fully connected damper windings. The stator winding shall be star-connected and shall be brought out together with the neutral point to terminals located in a sheet steel box mounted on top of the generator to facilitate connection of a power cable of suitable capacity.

The following protection shall be provided for the alternator:

- a. Over Current Protection
- b. Earth Fault Protection.

## **6.0 Automatic Transfer Switch (ATS)**

The ATS shall comply with IEC 60947 or equivalent international standard. The separately mounted generator control cubicle and ATS panel shall be of sheet steel vermin proof with lockable hinged front doors.

A Four pole circuit breaker and auto transfer switch should be provided rated for full load of the current (+ 10% overload).

The ATS equipment shall be of 3 attempt type and capable of sensing single phase and three phase failure of main supply or any variation in main supply voltage. The main supply and generator supply contactors or Solenoid/Motor operated change over switch shall be of fool proof design with mechanical and electrical interlock.

## **7.0 Mounting**

Complete unit to be mounted on robust skid frame. Vibration mountings to be used where required. Skid frame to be dimensioned to accommodate generator/alternator assembly, all accessories, sound proof canopy. Skid frame to be of rigid construction suitable for locating on level ground surfaces ranging from compacted earth, crushed rock or a concrete pad.

## **8.0 Fuel Tanks**

Built-in Fuel Tank: A minimum capacity of not less than 8-10 hours full running time built in fuel tank shall be provided. Design shall be capable of preventing accidental spilling of fuel and hand pump feeding on emergencies is possible.

## **9.0 Outdoor Weather Protective Sound Attenuating Enclosure**

The generator set shall be provided with a sound attenuated housing which allows the generator set to operate at full rated load in the ambient conditions. The enclosure shall reduce the sound level of the generator set while operating at full rated load to below 95 dBA at 1 meter from the generator set. Housing configuration and materials used may be of any suitable design which meets application needs, except that acoustic materials used shall be oil and water resistant. No foam materials shall be used.

The enclosure shall include hinged doors for access to both sides of the engine and alternator, and the control equipment. A panel viewing window shall be provided. Key locking door latches shall be provided for all doors. Door hinges shall be stainless steel.

The enclosure shall be provided with an exhaust silencer, which is mounted outside of the enclosure, and allows the generator set package to meet specified sound level requirements. Silencer and exhaust shall include a rain cap and rain shield.

## **10.0 Fire detection**

Diesel generators housing shall have fire detection system suitable for use in an area containing diesel fuel. The fire detection system shall cut off the fuel supply from the tank in the event of a fire. The fire detection system shall operate an alarm system. The system shall meet the requirements of Iraqi fire regulations.

## **11.0 Programme of work**

The supplier shall submit a detailed programme covering the design, manufacture and testing of the diesel generators within two (2) weeks of receipt of the contract award. The reports shall subsequently be submitted on monthly basis, outlining progress and, if necessary explaining deviations from the programme.

## **12.0 Type tests**

Type test reports/certificates of generators need to be submitted with the bid.

Type tests shall be carried out at an independent laboratory or witnessed by a representative of such laboratory.

## **13.0 Testing at manufacture's works**

The complete diesel generator sets shall be subjected to routing tests as per the standards specified, at the manufacturer's Works to verify that its performance is in accordance with the specifications.

The supplier shall submit full details of the methods of testing including connection diagrams for approval at least one (1) month before testing.

The supplier shall give a minimum of six (6) weeks' notice that the generators are ready for testing.

All costs in connection with the testing shall be borne by the supplier who shall provide UNDP with all the facilities free of charge.

The UNDP may nominate representative to witness the factory tests. Certified copies of test reports shall be provided for each unit.

#### **14.0 Other requirements**

- (a) Generator set shall have the following facility.  
Forklift Pockets within Base Frame (up to 350 kW)
- (b) The control panel shall have the following provisions for the control of DG Set:
- Master engine control which for OFF/AUTO/MANUAL/TEST with a facility for starting and stopping of the set.
  - Selectable Multifunction meter
  - Engine control monitor.
  - Alternator voltage monitor.
  - Engine hours run counter.
  - Voltmeter and Ammeter
  - Combined frequency and tachometer
- (c) The diesel generator shall automatically shut down under following conditions.
- Low Oil Pressure
  - High Engine Temperature
  - Low Fuel Level
  - Over/Under Speed
  - Battery Charge Fail
  - Fire alarm
- (d) Earthing studs need to be provided.

#### **15.0 Warranty-**

Warranty period shall be one year /1000 hours operation whichever ever occur first

## Annex – A, Technical Data Sheet

**The following Technical data shall be furnished and filled by the bidders:**

### 1-General - 1000 KVA generator

#	Description	UNDP specifications	Offered specification
1-	<b>Make:</b>	Original manufacturer (assembled gen sets not acceptable)	
2	<b>Name of manufacturer</b>		
3-	<b>Country of origin</b>		
4-	<b>Reference standards:</b>	IEC/ISO	
5-	<b>Ambient temperature :</b>	Maximum:55/C Minimum:-10/C	
6-	<b>Relative Humidity :</b>	92% maximum	
7-	<b>Altitude:</b>	1000M above MSL	
8-	<b>System Voltage :</b>	400V	
9-	<b>No of phase :</b>	3PH	
10	<b>Frequency:</b>	50Hz	
11-	<b>Guarantee:</b>	One year ,1000HR / operation	
12-	<b>Generator output Range</b>	1000 KVA prime load at 55 deg	
13	<b>Maine MCCB breaker</b>	4pole A...	
14-	<b>Daily base tank</b>	Minimum 8 -10 hour fuel capacity	
15-	<b>Submit Manufacturer certification :</b>		

### 2-ENGINE

	Description	UNDP specifications	Offered specification
1-	<b>Make / Model :</b>	Original manufacturer (Renovated, Engine not acceptable)	
2-	<b>Name of manufacturer</b>		
3-	<b>Country of Origin</b>		
4-	<b>Reference standards:</b>	ISO	
5-	<b>RPM:</b>	Maximum 1500	
6-	<b>Prime</b>	1000 KVA prime load at 55 deg	
7-	<b>Type of Governor:</b>	Electronic	
8-	<b>Overload rating:</b>	As per International standard	
9-	<b>Fuel filter :</b>	Standard water separator filter	
10-	<b>Type of fuel :</b>	Diesel	
11	<b>Type of starting</b>	24Volt DC	
12-	<b>Type of cooling:</b>	Radiator–fan cooled–fan to be driven by the engine shaft	
13-	<b>Indicator</b>	Turbocharge	
14-	<b>Submit :Operation and maintenance manual</b>		
15-	<b>Submit detail drawings, brochures and catalogues</b>		
16-	<b>Submit type test certificates or reports</b>		

### 3-Alternator

Description	UNDP specifications	Offered specification
Make/Model :		
Name of manufacturer:		
Country of Origin		
Reference standards:	IEC	
Rated Voltage :	0.4 KV	
Prime power at 55 deg C:	1000KVA	
Rated power factor :	Between 0.8 and 1	
No. of Phase :	3Ph	
Frequency:	50Hz	
Type of excitation:	Brushless, self-regulation	
Percentage voltage regulation :	+ -5%	
IP :	Not less than 23	
Insulation type :	Class H	
AVR type :	Digital	
Submit detail drawings, brochures and catalogues		
Submit type test certificates or reports		

### 4-CONTROL PANEL

Description	UNDP specifications	Offered specification
Make / Model :		
	<p><b>CONTROLLER INFORMATION</b></p> <p><b>Instrumentation</b></p> <ul style="list-style-type: none"> <li>☐ Voltmeter and Ammeter</li> <li>☐ Combined frequency and tachometer</li> <li>☐ Hours run counter</li> <li>☐ Voltmeter phase selector switch</li> <li>☐ Ammeter phase selector switch</li> </ul> <p><b>SHUTDOWNS</b></p> <ul style="list-style-type: none"> <li>☐ Low Oil Press.</li> <li>☐ High Eng. Temperature</li> <li>☐ Low Fuel Level</li> <li>☐ Over/Under Speed</li> <li>☐ Batt Charge Fail</li> <li>☐ Fire alarm</li> </ul>	
Submit detail drawings, brochures and catalogues		

### 5-Automatic Transfer switch

Description	UNDP specifications	Offered specification
<b>Make:</b>	Original manufacturer (assembled ATC not acceptable)	
<b>Name of manufacturer:</b>		
<b>Country of Origin:</b>		
<b>Reference standards:</b>	IEC 60947	
<b>Rated voltage :</b>	0.4 kV	
<b>Rate current :</b>	10% more than the full load current	
<b>Submit detail drawings, brochures and catalogues</b>		

### 6-Enclosure

Description	UNDP specifications	Offered specification
<b>Make:</b>	Original manufacturer (assembled enclosure not acceptable)	
<b>Noise Level :</b>	Below 95 dB at a distance of 1meter.	

### TERMS OF DELIVERY

The delivery should be completed within **30 Calendar Days** from the date of contract award (PO/Contract)

### Section 3b: Related Services

S. No.	Item/s to be Supplied <sup>4</sup>	Qty.	Description/ Specifications of Goods	Related Services	Delivery lead time
1	<b>Supply, Install &amp; Operate Soundproof Electrical Diesel Generator for Diyala Governorate, Iraq</b>	1	As per Specification sheet	As per Specification sheet	<b>Within 30 Days</b>

Further to the Schedule of Requirements in the preceding Table, Bidders are requested to take note of the following additional requirements, conditions, and related services pertaining to the fulfillment of the requirements:

Delivery Terms of the Equipment	DAP
Exact Address	Diyala Governorate - Iraq
Testing Requirements	<input checked="" type="checkbox"/> Required
Technical Support Requirements	As per the Specifications sheet
Duration of Contract	30 days
Payment Terms (max advanced payment is 20% of total price as per UNDP policy) upon receipt of Bank Guarantee	Payment within 30 days upon UNDP's acceptance of the goods as based on written acceptance certificate of completion of goods.
Conditions for Release of Payment	Satisfactory completion of the required delivery of Generator as per the specifications duly certified by the UNDP Project and Project Manager, FFIS UNDP

## **Section 4: Bid Submission Form**

*(This should be written in the Letterhead of the Bidder. Except for indicated fields, no changes may be made in this template.)*

Insert: Location  
Insert: Date

To:

Dear Sir/Madam:

We, the undersigned, hereby offer to supply the goods and related services required for “**IRQ- ITB-007/17 Supply, Install & Operate Soundproof Electrical Diesel Generator for Diyala Governorate, Iraq**” in accordance with your Invitation to Bid dated on January 12, 2017, We are hereby submitting our Bid, which includes the Technical Bid and Price Schedule.

We hereby declare that:

- a) All the information and statements made in this Bid are true and we accept that any misrepresentation contained in it may lead to our disqualification;
- b) We are currently not on the removed or suspended vendor list of the UN or other such lists of other UN agencies, nor are we associated with, any company or individual appearing on the 1267/1989 list of the UN Security Council;
- c) We have no outstanding bankruptcy or pending litigation or any legal action that could impair our operation as a going concern; and
- d) We do not employ, nor anticipate employing, any person who is or was recently employed by the UN or UNDP.

We confirm that we have read, understood and hereby fully accept the Schedule of Requirements and Technical Specifications describing the duties and responsibilities required of us in this ITB, and the General Terms and Conditions of UNDP’s Standard Contract for this ITB.

We agree to abide by this Bid for **120** days.

We undertake, if our Bid is accepted, to initiate the supply of goods and provision of related services not later than the date indicated in the Data Sheet.

We fully understand and recognize that UNDP is not bound to accept this Bid that we shall bear all costs associated with its preparation and submission, and that UNDP will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the evaluation.

We remain, yours

sincerely,

Authorized	Signature	[In	full	and	initials]: _____
Name and	Title		of		Signatory: _____
Name of					Firm: _____
Contact Details:					

Pls. mark this with your corporate seal

*5 No deletion or modification may be made in this form. Any such deletion or modification may lead to the rejection of the Bid.*

## Section 5: Documents Establishing the Eligibility and Qualifications of the Bidder

Bidder Information Form<sup>6</sup>

Date: *[insert date (as day, month and year) of Bid Submission]*

ITB No.: *[insert number of bidding process]*

Page \_\_\_\_\_ of \_\_\_\_\_ pages

1. Bidder's Legal Name <i>[insert Bidder's legal name]</i>		
2. In case of Joint Venture (JV), legal name of each party: <i>[insert legal name of each party in JV]</i>		
3. Actual or intended Country/ies of Registration/Operation: <i>[insert actual or intended Country of Registration]</i>		
4. Year of Registration in its Location: <i>[insert Bidder's year of registration]</i>		
5. Countries of Operation	6. No. of staff in each Country	7. Years of Operation in each Country
8. Legal Address/es in Country/ies of Registration/Operation: <i>[insert Bidder's legal address in country of registration]</i>		
9. Value and Description of Top three (3) Biggest Contract for the past five (5) years		
10. Latest Credit Rating (Score and Source, if any)		
11. Brief description of litigation history (disputes, arbitration, claims, etc.), indicating current status and outcomes, if already resolved.		
12. Bidder's Authorized Representative Information  Name: <i>[insert Authorized Representative's name]</i> Address: <i>[insert Authorized Representative's Address]</i> Telephone/Fax numbers: <i>[insert Authorized Representative's telephone/fax numbers]</i> Email Address: <i>[insert Authorized Representative's email address]</i>		
13. Are you in the UNPD List 1267.1989 or UN Ineligibility List? <input type="checkbox"/> YES or <input type="checkbox"/> NO		
14. Attached are copies of original documents of:  <input type="checkbox"/> All eligibility document requirements listed in the Data Sheet <input type="checkbox"/> If Joint Venture/Consortium – copy of the Memorandum of Understanding/Agreement or Letter of Intent to form a JV/Consortium, or Registration of JV/Consortium, if registered <input type="checkbox"/> If case of Government corporation or Government-owned/controlled entity, documents Establishing legal and financial autonomy and compliance with commercial law.		

<sup>6</sup> The Bidder shall fill in this Form in accordance with the instructions. Apart from providing additional information, no alterations to its format shall be permitted and no substitutions shall be accepted.

Joint Venture Partner Information Form (if Registered)<sup>7</sup>

Date: *[insert date (as day, month and year) of Bid Submission]*

ITB No.: *[insert number of bidding process]*

Page \_\_\_\_\_ of \_\_\_\_\_ pages

1. Bidder's Legal Name: <i>[insert Bidder's legal name]</i>		
2. JV's Party legal name: <i>[insert JV's Party legal name]</i>		
3. JV's Party Country of Registration: <i>[insert JV's Party country of registration]</i>		
4. Year of Registration: <i>[insert Party's year of registration]</i>		
5. Countries of Operation	6. No. of staff in each Country	7. Years of Operation in each Country
8. Legal Address/es in Country/ies of Registration/Operation: <i>[insert Party's legal address in country of registration]</i>		
9. Value and Description of Top three (3) Biggest Contract for the past five (5) years		
10. Latest Credit Rating (if any): <a href="#">Click here to enter text.</a>		
1. Brief description of litigation history (disputes, arbitration, claims, etc.), indicating current status and outcomes, if already resolved. <a href="#">Click here to enter text.</a>		
13. JV's Party Authorized Representative Information Name: <i>[insert name of JV's Party authorized representative]</i> Address: <i>[insert address of JV's Party authorized representative]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers of JV's Party authorized representative]</i> Email Address: <i>[insert email address of JV's Party authorized representative]</i>		
14. Attached are copies of original documents of: <i>[check the box(es) of the attached original documents]</i>  <input type="checkbox"/> All eligibility document requirements listed in the Data Sheet <input type="checkbox"/> Articles of Incorporation or Registration of firm named in 2. <input type="checkbox"/> In case of government owned entity, documents establishing legal and financial autonomy and compliance with commercial law.		

<sup>7</sup> The Bidder shall fill in this Form in accordance with the instructions. Apart from providing additional information, **No alterations to its format shall be permitted and no substitutions shall be accepted.**

## Section 6: Technical Bid Form

### IRQ-ITB-007/17 Supply, Install & Operate Soundproof Electrical Diesel Generator for Diyala Governorate, Iraq

<b>Name of Bidding Organization / Firm:</b>	
<b>Country of Registration:</b>	
<b>Name of Contact Person for this Bid:</b>	
<b>Address:</b>	
<b>Phone / Fax:</b>	
<b>Email:</b>	

#### SECTION 1: EXPERTISE OF FIRM/ ORGANISATION

*This section should fully explain the Bidder's resources in terms of personnel and facilities necessary for the performance of this requirement.*

**1.1 Brief Description of Bidder as an Entity:** Provide a brief description of the organization / firm submitting the Bid, its legal mandates/authorized business activities, the year and country of incorporation, and approximate annual budget, etc. Include reference to reputation, or any history of litigation and arbitration in which the organization / firm has been involved that could adversely affect or impact the delivery of goods and/or performance of related services, indicating the status/result of such litigation/arbitration.

**1.2.Financial Capacity:** Based on the latest Audited Financial Statement (Income Statement and Balance Sheet) describe the financial capacity (liquidity, stand-by credit lines, etc.) of the bidder to engage into the contract. Include any indication of credit rating, industry rating, etc.

**1.3.Track Record and Experiences:** Provide the following information regarding corporate experience within at least the last five (5) years which are related or relevant to those required for this Contract.

Name of project	Client	Contract Value	Period of activity	Types of activities undertaken	Status or Date Completed	References Contact Details (Name, Phone, Email)

<sup>8</sup> Technical Bids not submitted in this format may be rejected.

## SECTION 2 - SCOPE OF SUPPLY, TECHNICAL SPECIFICATIONS, AND RELATED SERVICES

*This section should demonstrate the Bidder's responsiveness to the specification by identifying the specific components proposed, addressing the requirements, as specified, point by point; providing a detailed description of the essential performance characteristics proposed; and demonstrating how the proposed bid meets or exceeds the specifications.*

**2.1.Scope of Supply:** Please provide a detailed description of the goods to be supplied, indicating clearly how they comply with the technical specifications required by the ITB (see below table); describe how the organization/firm will supply the goods and any related services, keeping in mind the appropriateness to local conditions and project environment.

Item No	Description/ Specification of Goods	Source/ Manufacturer	Country of Origin	Qty.	Quality Certificate/ Export Licenses, etc. (indicate all that applies and if attached)

*A supporting document with full details may be annexed to this section*

**2.2.Technical Quality Assurance Mechanisms:** The bid shall also include details of the Bidder's internal technical and quality assurance review mechanisms, all the appropriate quality certificates, export licenses and other documents attesting to the superiority of the quality of the goods and technologies to be supplied.

**2.3.Reporting and Monitoring:** Please provide a brief description of the mechanisms proposed for this project for reporting to the UNDP and partners, including a reporting schedule.

**2.4.Subcontracting:** Explain whether any work would be subcontracted, to whom, how much percentage of the work, the rationale for such, and the roles of the proposed sub-contractors. Special attention should be given to providing a clear picture of the role of each entity and how everyone will function as a team.

**2.5.Risks / Mitigation Measures:** Please describe the potential risks for the implementation of this project that may impact achievement and timely completion of expected results as well as their quality. Describe measures that will be put in place to mitigate these risks.

**2.6 Implementation Timelines:** The Bidder shall submit a Gantt chart or Project Schedule indicating the detailed sequence of activities that will be undertaken and their corresponding timing.

**2.7.Partnerships (Optional):** Explain any partnerships with local, international or other organizations that are planned for the implementation of the project. Special attention should be given to providing a clear picture of the role of each entity and how everyone will function as a team. Letters of commitment from partners and an indication of whether some or all have successfully worked together on other previous projects is encouraged.

**2.8.Anti-Corruption Strategy (Optional):** Define the anti-corruption strategy that will be applied in this project to prevent the misuse of funds. Describe the financial controls that will be put in place.

**2.9Statement of Full Disclosure:** This is intended to disclose any potential conflict in accordance with the definition of "conflict" under Section 4 of this document, if any.

**2.10Other:** Any other comments or information regarding the bid and its implementation.

### SECTION 3: PERSONNEL

**3.1 Management Structure:** Describe the overall management approach toward planning and implementing the contract. Include an organization chart for the management of the contract, if awarded.

**3.2 Staff Time Allocation:** Provide a spreadsheet will be included to show the activities of each personnel involved in the implementation of the contract. Where the expertise of the personnel is critical to the success of the contract, UNDP will not allow substitution of personnel whose qualifications had been reviewed and accepted during the bid evaluation. (If substitution of such some personnel is unavoidable, substitution or replacement will be subject to the approval of UNDP. No increase in costs will be considered as a result of any substitution).

**3.3 Qualifications of Key Personnel.** Provide the CVs for key personnel (Team Leader, Managerial and general staff) that will be provided to support the implementation of this project. CVs should demonstrate qualifications in area of expertise relevant to the Contract. Please use the format below:

<b>Name:</b>		
<b>Role in Contract Implementation:</b>		
<b>Nationality:</b>		
<b>Contact information:</b>		
<b>Countries of Relevant Work Experience:</b>		
<b>Language Skills:</b>		
<b>Education and other Qualifications:</b>		
<b>Summary of Experience:</b> <i>Highlight experience in the region and on similar projects.</i>		
<b>Relevant Experience (From most recent):</b>		
<b>Period: From – To</b>	<b>Name of activity/ Project/ funding organization, if applicable:</b>	<b>Job Title and Activities undertaken/Description of actual role performed:</b>
<i>e.g.</i>		
<i>Etc.</i>		
<i>Etc.</i>		
<b>References (minimum of 3):</b>	<i>Name Designation Organization Contact Information – Address; Phone; Email; etc.</i>	
<b>Declaration:</b>		
<p>I confirm my intention to serve in the stated position and present availability to serve for the term of the proposed contract. I also understand that any willful misstatement described above may lead to my disqualification, before or during my engagement.</p> <p>_____</p> <p>_____</p>		
Signature of the Nominated Team Leader/Member		Date Signed

## Section 7: Price Schedule Form

The Bidder is required to prepare the Price Schedule as indicated in the Instruction to Bidders. The Price Schedule must provide a detailed breakdown of costs for the delivery of the Generator from unit price to total prices. The potential Company may submit the price with both the following options, with custom duty and without custom duty.

### Section 7: Price Schedule Form IRQ-ITB-007/17

ITB-007/17 Supply, Install & Operate Soundproof Electrical Diesel Generator for Diyala Governorate, Iraq					
A	Item Description	Qty	Delivery lead time	Unit Price \$	Total Price \$
1	Supply and installation of Sound proof electrical Diesel generator with prime rated power 1000 Kva, 1500 rpm, 50 Hz from best origin and compliant specifications, with a complete work requirements & according to the attached technical details. The Price includes supply and connect cable 120+240x3 mm2 with suitable Change Over Switch 100rpm with complete connection and commissioning requirements to maintain perfect operation.  تجهيز وربط مولدة كاتم نوعية جيدة قدرة 1000 كي في اي ويشمل العمل ربط وتشغيل المولدة مع كل ما يتطلبه العمل وبموجب المواصفات الفنية	1			
Total Cost \$					

Delivery Time: 30 Calendar Days

Agree: Yes



Company Stamp and Signature: -----

Official E-mails of the Company: -----

Delivery Term: DAP, Diyala Governorate, Iraq

Date: -----

## Section 8: FORM FOR PERFORMANCE SECURITY<sup>1</sup>

***(This must be finalized using the official letterhead of the Issuing Bank. Except for indicated fields, no changes may be made in this template.)***

---

To: Resident Representative, UNDP  
*[Insert contact information as provided in Data Sheet]*

WHEREAS *[name and address of Contractor]* (hereinafter called "the Contractor") has undertaken, in pursuance of Contract No. *Click to enter* dated *Click to enter*, to deliver the goods and execute related services *Click here to enter text*. (hereinafter called "the Contract"):

AND WHEREAS it has been stipulated by you in the said Contract that the Contractor shall furnish you with a Bank Guarantee by a recognized bank for the sum specified therein as security for compliance with his obligations in accordance with the Contract:

AND WHEREAS we have agreed to give the Contractor such a Bank Guarantee:

NOW THEREFORE we hereby affirm that we are the Guarantor and responsible to you, on behalf of the Contractor, up to a total of *[amount of guarantee]* *[in words and numbers]*, such sum being payable in the types and proportions of currencies in which the Contract Price is payable, and we undertake to pay you, upon your first written demand and without cavil or argument, any sum or sums within the limits of *[amount of guarantee as aforesaid]* without your needing to prove or to show grounds or reasons for your demand for the sum specified therein.

This guarantee shall be valid until a date 30 days from the date of issue by UNDP of a certificate of satisfactory performance (certificate of final completion) and full completion of services by the Contractor.

### **SIGNATURE AND SEAL OF THE GUARANTOR BANK**

Date .....

Name of Bank .....

Address .....

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<sup>1</sup> If the RFP requires the submission of a Performance Security, which shall be made a condition to the signing and effectivity of the contract, the Performance Security that the Bidder's Bank will issue shall use the contents of this template

**Section 9: FORM FOR BID SECURITY (Not Required for this ITB)**  
***(This must be finalized using the official letterhead of the Issuing Bank. Except for indicated fields, no changes may be made in this template.)***

---

To: The Resident Representative, UNDP  
*[Insert contact information as provided in Data Sheet]*

WHEREAS *[name and address of Contractor]* (hereinafter called "the Bidder") has submitted a Bid to UNDP dated [Click here to enter a date.](#) , to deliver goods and execute related services for *[indicate ITB title]* (hereinafter called "the Bid"):

AND WHEREAS it has been stipulated by you that the Bidder shall furnish you with a Bank Guarantee by a recognized bank for the sum specified therein as security in the event that the Bidder:

- a) Fails to sign the Contract after UNDP has awarded it;
- b) Withdraws its Bid after the date of the opening of the Bid;
- c) Fails to comply with UNDP's variation of requirement, as per ITB Section F.3; or
- d) Fails to furnish Performance Security, insurances, or other documents that UNDP may require as a condition to rendering the contract effective.

AND WHEREAS we have agreed to give the Bidder such this Bank Guarantee:

NOW THEREFORE we hereby affirm that we are the Guarantor and responsible to you, on behalf of the Bidder, up to a total of *[amount of guarantee]* *[in words and numbers]*, such sum being payable in the types and proportions of currencies in which the Price Bid is payable, and we undertake to pay you, upon your first written demand and without cavil or argument, any sum or sums within the limits of *[amount of guarantee as aforesaid]* without your needing to prove or to show grounds or reasons for your demand for the sum specified therein.

This guarantee shall be valid until 30 days after the date of validity of the bids.

**SIGNATURE AND SEAL OF THE GUARANTOR BANK**

Date .....

## Section 10:



### General Terms and Conditions for Goods

#### 1. ACCEPTANCE OF THE PURCHASE ORDER

This Purchase Order may only be accepted by the Supplier's signing and returning an acknowledgement copy of it or by timely delivery of the goods in accordance with the terms of this Purchase Order, as herein specified. Acceptance of this Purchase Order shall effect a contract between the Parties under which the rights and obligations of the Parties shall be governed solely by the terms and conditions of this Purchase Order, including these General Conditions. No additional or inconsistent provisions proposed by the Supplier shall bind UNDP unless agreed to in writing by a duly authorized official of UNDP.

#### 2. PAYMENT

- 2.1.1 UNDP shall, on fulfillment of the Delivery Terms, unless otherwise provided in this Purchase Order, make payment within 30 days of receipt of the Supplier's invoice for the goods and copies of the shipping documents specified in this Purchase Order.
- 2.1.2 Payment against the invoice referred to above will reflect any discount shown under the payment terms of this Purchase Order, provided payment is made within the period required by such payment terms.
- 2.1.3 Unless authorized by UNDP, the Supplier shall submit one invoice in respect of this Purchase Order, and such invoice must indicate the Purchase Order's identification number.
- 2.1.4 The prices shown in this Purchase Order may not be increased except by express written agreement of UNDP.

#### 3. TAX EXEMPTION

3.1 Section 7 of the Convention on the Privileges and Immunities of the United Nations provides, inter alia, that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for utilities services, and is exempt from customs duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize UNDP's exemption from such taxes, duties or charges, the Supplier shall immediately consult with UNDP to determine a mutually acceptable procedure.

3.2 Accordingly, the Supplier authorizes UNDP to deduct from the Supplier's invoice any amount representing such taxes, duties or charges, unless the Supplier has consulted with UNDP before the payment thereof and UNDP has, in each instance, specifically authorized the Supplier to pay such taxes, duties or charges under protest. In that event, the Supplier shall provide UNDP with written evidence that payment of such taxes, duties or charges has been made and appropriately authorized.

#### 4. RISK OF LOSS

Risk of loss, damage to or destruction of the goods shall be governed in accordance with DDU Incoterms 2000, unless otherwise agreed upon by the Parties on the front side of this Purchase Order.

## **5. EXPORT LICENCES**

Notwithstanding any INCOTERM 2000 used in this Purchase Order, the Supplier shall obtain any export licences required for the goods.

## **6. FITNESS OF GOODS/PACKAGING**

The Supplier warrants that the goods, including packaging, conform to the specifications for the goods ordered under this Purchase Order and are fit for the purposes for which such goods are ordinarily used and for purposes expressly made known to the Supplier by UNDP, and are free from defects in workmanship and materials. The Supplier also warrants that the goods are contained or packaged adequately to protect the goods.

## **7. INSPECTION**

1. UNDP shall have a reasonable time after delivery of the goods to inspect them and to reject and refuse acceptance of goods not conforming to this Purchase Order; payment for goods pursuant to this Purchase Order shall not be deemed an acceptance of the goods.

2. Inspection prior to shipment does not relieve the Supplier from any of its contractual obligations.

## **8. INTELLECTUAL PROPERTY INFRINGEMENT**

The Supplier warrants that the use or supply by UNDP of the goods sold under this Purchase Order does not infringe any patent, design, trade-name or trade-mark. In addition, the Supplier shall, pursuant to this warranty, indemnify, defend and hold UNDP and the United Nations harmless from any actions or claims brought against UNDP or the United Nations pertaining to the alleged infringement of a patent, design, trade-name or trade-mark arising in connection with the goods sold under this Purchase Order.

## **9. RIGHTS OF UNDP**

In case of failure by the Supplier to fulfil its obligations under the terms and conditions of this Purchase Order, including but not limited to failure to obtain necessary export licences, or to make delivery of all or part of the goods by the agreed delivery date or dates, UNDP may, after giving the Supplier reasonable notice to perform and without prejudice to any other rights or remedies, exercise one or more of the following rights:

- a) Procure all or part of the goods from other sources, in which event UNDP may hold the Supplier responsible for any excess cost occasioned thereby.
- b) Refuse to accept delivery of all or part of the goods.
- c) Cancel this Purchase Order without any liability for termination charges or any other liability of any kind of UNDP.

## **10. LATE DELIVERY**

Without limiting any other rights or obligations of the parties hereunder, if the Supplier will be unable to deliver the goods by the delivery date(s) stipulated in this Purchase Order, the Supplier shall (i) immediately consult with UNDP to determine the most expeditious means for delivering the goods and (ii) use an expedited means of delivery, at the Supplier's cost (unless the delay is due to Force Majeure), if reasonably so requested by UNDP.

## **11. ASSIGNMENT AND INSOLVENCY**

- 11.1. The Supplier shall not, except after obtaining the written consent of UNDP, assign, transfer, pledge or make other disposition of this Purchase Order, or any part thereof, or any of the Supplier's rights or obligations under this Purchase Order.
- 11.2. Should the Supplier become insolvent or should control of the Supplier change by virtue of insolvency, UNDP may, without prejudice to any other rights or remedies, immediately terminate this Purchase Order by giving the Supplier written notice of termination.

## **12. USE OF UNDP OR UNITED NATIONS NAME OR EMBLEM**

The Supplier shall not use the name, emblem or official seal of UNDP or the United Nations for any purpose.

## **13. PROHIBITION ON ADVERTISING**

The Supplier shall not advertise or otherwise make public that it is furnishing goods or services to UNDP without specific permission of UNDP in each instance.

## **14. CHILD LABOUR**

The Supplier represents and warrants that neither it nor any of its affiliates is engaged in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child, including Article 32 thereof, which, inter alia, requires that a child shall be protected from performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical, mental, spiritual, moral or social development.

Any breach of this representation and warranty shall entitle UNDP to terminate this Purchase Order immediately upon notice to the Supplier, without any liability for termination charges or any other liability of any kind of UNDP.

## **15. MINES**

The Supplier represents and warrants that neither it nor any of its affiliates is actively and directly engaged in patent activities, development, assembly, production, trade or manufacture of mines or in such activities in respect of components primarily utilized in the manufacture of Mines. The term "Mines" means those devices defined in Article 2, Paragraphs 1, 4 and 5 of Protocol II annexed to the Convention on Prohibitions and Restrictions on the Use of Certain Conventional Weapons Which May Be Deemed to Be Excessively Injurious or to Have Indiscriminate Effects of 1980.

Any breach of this representation and warranty shall entitle UNDP to terminate this Purchase Order immediately upon notice to the Supplier, without any liability for termination charges or any other liability of any kind of UNDP.

## **16. SETTLEMENT OF DISPUTES**

### **16.1 Amicable Settlement**

The Parties shall use their best efforts to settle amicably any dispute, controversy or claim arising out of, or relating to this Purchase Order or the breach, termination or invalidity thereof. Where the Parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the UNCITRAL Conciliation Rules then obtaining, or according to such other procedure as may be agreed between the Parties.

### **16.2 Arbitration**

Unless, any such dispute, controversy or claim between the Parties arising out of or relating to this Purchase Order or the breach, termination or invalidity thereof is settled amicably under the preceding paragraph of this Section within sixty (60) days after receipt by one Party of the other Party's request for such amicable settlement, such dispute, controversy or claim shall be referred by either Party to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining, including its provisions on applicable law. The arbitral tribunal shall have no authority to award punitive damages. The Parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such controversy, claim or dispute.

## **17. PRIVILEGES AND IMMUNITIES**

Nothing in or related to these General Terms and Conditions or this Purchase Order shall be deemed a waiver of any of the privileges and immunities of the United Nations, including its subsidiary organs.

## **18. SEXUAL EXPLOITATION:**

18.1 The Contractor shall take all appropriate measures to prevent sexual exploitation or abuse of anyone by it or by any of its employees or any other persons who may be engaged by the Contractor to perform any services under the Contract. For these purposes, sexual activity with any person less than eighteen years of age, regardless of any laws relating to consent, shall constitute the sexual exploitation and abuse of such person. In addition, the Contractor shall refrain from, and shall take all appropriate measures to prohibit its employees or other persons engaged by it from, exchanging any money, goods, services, offers of employment or other things of value, for sexual favors or activities, or from engaging in any sexual activities that are exploitive or degrading to any person. The Contractor acknowledges and agrees that the provisions hereof constitute an essential term of the Contract and that any breach of this representation and warranty shall entitle UNDP to terminate the Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind.

18.2 UNDP shall not apply the foregoing standard relating to age in any case in which the Contractor's personnel or any other person who may be engaged by the Contractor to perform any services under the Contract is married to the person less than the age of eighteen years with whom sexual activity has occurred and in which such marriage is recognized as valid under the laws of the country of citizenship of such Contractor's personnel or such other person who may be engaged by the Contractor to perform any services under the Contract.

## **19.0 OFFICIALS NOT TO BENEFIT:**

The Contractor warrants that no official of UNDP or the United Nations has received or will be offered by the Contractor any direct or indirect benefit arising from this Contract or the award thereof. The Contractor agrees that breach of this provision is a breach of an essential term of this Contract.

**20. AUTHORITY TO MODIFY:**

Pursuant to the Financial Regulations and Rules of UNDP, only the UNDP Authorized Official possess the authority to agree on behalf of UNDP to any modification of or change in this Agreement, to a waiver of any of its provisions or to any additional contractual relationship of any kind with the Contractor. Accordingly, no modification or change in this Contract shall be valid and enforceable against UNDP unless provided by an amendment to this Agreement signed by the Contractor and jointly by the UNDP Authorized Official.