

# REQUEST FOR PROPOSAL

Development and implementation of a Spatial Monitoring and Reporting Tool (SMART) in two pilot sites - in the Western Tien Shan and Pamir-Alai - in the Chatkal State Biosphere Reserve and Gissar State Reserve

RFP No.: RFP/004/18

UNDP-GEF and Government of Uzbekistan "Sustainable natural resource use and forest management in key mountainous areas important for globally significant biodiversity" project

**Country: UZBEKISTAN** 

Issued on: 25 May 2018

# **Contents**

A.	GENERAL PROVISIONS	
	1. Introduction	
	2. Fraud & Corruption, Gifts and Hospitality	
	3. Eligibility	
	4. Conflict of Interests	
В.		
	5. General Considerations	
	6. Cost of Preparation of Proposal	
	7. Language	
	8. Documents Comprising the Proposal	
	9. Documents Establishing the Eligibility and Qualifications of the Bidder	
	10. Technical Proposal Format and Content	
	11. Financial Proposals	
	12. Proposal Security	
	13. Currencies	
	14. Joint Venture, Consortium or Association	
	15. Only One Proposal	
	16. Proposal Validity Period	
	17. Extension of Proposal Validity Period	
	18. Clarification of Proposal	
	19. Amendment of Proposals	
	20. Alternative Proposals	
_	21. Pre-Bid Conference	
C.	SUBMISSION AND OPENING OF PROPOSALS	
	22. Submission	
	23. Deadline for Submission of Proposals and Late Proposals	
	24. Withdrawal, Substitution, and Modification of Proposals	
_	25. Proposal Opening	
υ.	EVALUATION OF PROPOSALS	
	26. Confidentiality	
	27. Evaluation of Proposals	
	28. Preliminary Examination	
	Evaluation of Eligibility and Qualification	
	30. Evaluation of Technical and Financial Proposals	
	32. Clarification of Proposals	
	33. Responsiveness of Proposal	
	34. Nonconformities, Reparable Errors and Omissions	
F	AWARD OF CONTRACT	
۲.	35. Right to Accept, Reject, Any or All Proposals	
	36. Award Criteria	
	37. Debriefing	
	38. Right to Vary Requirements at the Time of Award	
	39. Contract Signature	
	40. Contract Type and General Terms and Conditions	
	41. Performance Security	
	42. Bank Guarantee for Advanced Payment	
	43. Liquidated Damages	
	44. Payment Provisions	
	45. Vendor Protest	
	46. Other Provisions	

SECTION 5. TERMS OF REFERENCE		
SECTION 6: RETURNABLE BIDDING FORMS / CHECKLIST	33	
FORM A: TECHNICAL PROPOSAL SUBMISSION FORM	34	
FORM B: BIDDER INFORMATION FORM	35	
FORM C: JOINT VENTURE/CONSORTIUM/ASSOCIATION INFORMATION FORM	36	
FORM D: QUALIFICATION FORM	37	
FORM E: FORMAT OF TECHNICAL PROPOSAL	40	
FORM F: FINANCIAL PROPOSAL SUBMISSION FORM	43	
FORM G: FINANCIAL PROPOSAL FORM	44	

## **Section 1.** Letter of Invitation

The United Nations Development Programme (UNDP) hereby invites you to submit a Proposal to this Request for Proposal (RFP) for the above-referenced subject.

This RFP includes the following documents and the General Terms and Conditions of Contract which is inserted in the Bid Data Sheet (BDS):

Section 1: This Letter of Invitation Section 2: Instruction to Bidders Section 3: Bid Data Sheet (BDS) Section 4: Evaluation Criteria Section 5: Terms of Reference

Section 6: Returnable Bidding Forms

- o Form A: Technical Proposal Submission Form
- o Form B: Bidder Information Form
- o Form C: Joint Venture/Consortium/Association Information Form
- o Form D: Qualification Form
- o Form E: Format of Technical Proposal
- o Form F: Financial Proposal Submission Form
- o Form G: Financial Proposal Form

If you are interested in submitting a Proposal in response to this RFP, please prepare your Proposal in accordance with the requirements and procedure as set out in this RFP and submit it by the Deadline for Submission of Proposals set out in Bid Data Sheet.

Please acknowledge receipt of this RFP by sending an email to pu.uz@undp.org, indicating whether you intend to submit a Proposal or otherwise. You may also utilize the "Accept Invitation" function in eTendering system, where applicable. This will enable you to receive amendments or updates to the RFP. Should you require further clarifications, kindly communicate with the contact person/s identified in the attached Bid Data Sheet as the focal point for queries on this RFP.

UNDP looks forward to receiving your Proposal and thank you in advance for your interest in UNDP procurement opportunities.

Issued by:	Approved by:
Name: [insert name of Procurement Officer]	Name: [insert name of Procurement Reviewer]
Title: [insert title]	Title: [insert title]
Date: Select date	Date: Select date

# **Section 2.** Instruction to Bidders

A. GENERAL PROVISIONS		
1. Introduction	1.1	Bidders shall adhere to all the requirements of this RFP, including any amendments in writing by UNDP. This RFP is conducted in accordance with the UNDP Programme and Operations Policies and Procedures (POPP) on Contracts and Procurement which can be accessed at <a href="https://popp.undp.org/SitePages/POPPBSUnit.aspx?TermID=254a9f96-b883-476a-8ef8-e81f93a2b38d">https://popp.undp.org/SitePages/POPPBSUnit.aspx?TermID=254a9f96-b883-476a-8ef8-e81f93a2b38d</a>
	1.2	Any Proposal submitted will be regarded as an offer by the Bidder and does not constitute or imply the acceptance of the Proposal by UNDP. UNDP is under no obligation to award a contract to any Bidder as a result of this RFP.
	1.3	As part of the bid, it is desired that the Bidder registers at the United Nations Global Marketplace (UNGM) website ( <a href="www.ungm.org">www.ungm.org</a> ). The Bidder may still submit a bid even if not registered with the UNGM. However, if the Bidder is selected for contract award, the Bidder must register on the UNGM prior to contract signature.
2. Fraud & Corruption, Gifts and Hospitality	2.1	UNDP strictly enforces a policy of zero tolerance on proscribed practices, including fraud, corruption, collusion, unethical or unprofessional practices, and obstruction of UNDP vendors and requires all bidders/vendors observe the highest standard of ethics during the procurement process and contract implementation. UNDP's Anti-Fraud Policy can be found at <a href="http://www.undp.org/content/undp/en/home/operations/accountability/audit/officeof-audit andinvestigation.html#anti">http://www.undp.org/content/undp/en/home/operations/accountability/audit/officeof-audit andinvestigation.html#anti</a>
	2.2	Bidders/vendors shall not offer gifts or hospitality of any kind to UNDP staff members including recreational trips to sporting or cultural events, theme parks or offers of holidays, transportation, or invitations to extravagant lunches or dinners.
	2.3	In pursuance of this policy, UNDP  (a) Shall reject a proposal if it determines that the selected bidder has engaged in any corrupt or fraudulent practices in competing for the contract in question;  (b) Shall declare a vendor ineligible, either indefinitely or for a stated period of time, to be awarded a contract if at any time it determines that the vendor has engaged in any corrupt or fraudulent practices in competing for, or in executing a UNDP contract.
	2.4	All Bidders must adhere to the UN Supplier Code of Conduct, which may be found at <a href="http://www.un.org/depts/ptd/pdf/conduct_english.pdf">http://www.un.org/depts/ptd/pdf/conduct_english.pdf</a>
3. Eligibility	3.1	A vendor should not be suspended, debarred, or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization. Vendors are therefore required to disclose to UNDP whether they are subject to any sanction or temporary suspension imposed by these organizations.
	3.2	It is the Bidder's responsibility to ensure that its employees, joint venture members, sub-contractors, service providers, suppliers and/or their employees meet the eligibility requirements as established by UNDP.

#### 4. Conflict of Interests

- 4.1 Bidders must strictly avoid conflicts with other assignments or their own interests, and act without consideration for future work. Bidders found to have a conflict of interest shall be disqualified. Without limitation on the generality of the above, Bidders, and any of their affiliates, shall be considered to have a conflict of interest with one or more parties in this solicitation process, if they:
  - a) Are or have been associated in the past, with a firm or any of its affiliates which have been engaged by UNDP to provide services for the preparation of the design, specifications, Terms of Reference, cost analysis/estimation, and other documents to be used for the procurement of the goods and services in this selection process;
  - b) Were involved in the preparation and/or design of the programme/project related to the services requested under this RFP; or
  - c) Are found to be in conflict for any other reason, as may be established by, or at the discretion of UNDP.
- 4.2 In the event of any uncertainty in the interpretation of a potential conflict of interest, Bidders must disclose to UNDP, and seek UNDP's confirmation on whether or not such a conflict exists
- 4.3 Similarly, the Bidders must disclose in their proposal their knowledge of the following:
  - a) If the owners, part-owners, officers, directors, controlling shareholders, of the bidding entity or key personnel are family members of UNDP staff involved in the procurement functions and/or the Government of the country or any Implementing Partner receiving services under this RFP; and
  - b) All other circumstances that could potentially lead to actual or perceived conflict of interest, collusion or unfair competition practices.

Failure to disclose such an information may result in the rejection of the proposal or proposals affected by the non-disclosure.

4.4 The eligibility of Bidders that are wholly or partly owned by the Government shall be subject to UNDP's further evaluation and review of various factors such as being registered, operated and managed as an independent business entity, the extent of Government ownership/share, receipt of subsidies, mandate and access to information in relation to this RFP, among others. Conditions that may lead to undue advantage against other Bidders may result in the eventual rejection of the Proposal.

#### B. PREPARATION OF PROPOSALS

#### General Considerations

- 5.1 In preparing the Proposal, the Bidder is expected to examine the RFP in detail.

  Material deficiencies in providing the information requested in the RFP may result in rejection of the Proposal.
- 5.2 The Bidder will not be permitted to take advantage of any errors or omissions in the RFP. Should such errors or omissions be discovered, the Bidder must notify the UNDP

# 6. Cost of Preparation of Proposal

The Bidder shall bear any and all costs related to the preparation and/or submission of the Proposal, regardless of whether its Proposal was selected or not. UNDP shall not be responsible or liable for those costs, regardless of the conduct or outcome of the procurement process.

#### 7. Language

7.1 The Proposal, as well as any and all related correspondence exchanged by the Bidder and UNDP, shall be written in the language (s) specified in the BDS.

# 8. Documents Comprising the

8.1 The Proposal shall comprise of the following documents:

Proposal	<ul> <li>a) Documents Establishing the Eligibility and Qualifications of the Bidder;</li> <li>b) Technical Proposal;</li> <li>c) Financial Proposal;</li> <li>d) Proposal Security, if required by BDS;</li> <li>e) Any attachments and/or appendices to the Proposal.</li> </ul>
9. Documents Establishing the Eligibility and Qualifications of the Bidder	9.1 The Bidder shall furnish documentary evidence of its status as an eligible and qualified vendor, using the Forms provided under Section 6 and providing documents required in those forms. In order to award a contract to a Bidder, its qualifications must be documented to UNDP's satisfaction.
10.Technical Proposal Format and Content	10.1 The Bidder is required to submit a Technical Proposal using the Standard Forms and templates provided in Section 6 of the RFP.
	10.2 The Technical Proposal shall not include any price or financial information. A Technical Proposal containing material financial information may be declared non-responsive.
	10.3 Samples of items, when required as per Section 5, shall be provided within the time specified and unless otherwise specified by UNDP, and at no expense to UNDP
	10.4 When applicable and required as per Section 5, the Bidder shall describe the necessary training programme available for the maintenance and operation of the services and/or equipment offered as well as the cost to the UNDP. Unless otherwise specified, such training as well as training materials shall be provided in the language of the Bid as specified in the BDS.
11. Financial Proposals	11.1 The Financial Proposal shall be prepared using the Standard Form provided in Section 6 of the RFP. It shall list all major cost components associated with the services, and the detailed breakdown of such costs.
	11.2 Any output and activities described in the Technical Proposal but not priced in the Financial Proposal, shall be assumed to be included in the prices of other activities or items, as well as in the final total price.
	11.3 Prices and other financial information must not be disclosed in any other place except in the financial proposal.
12. Proposal Security	12.1 A Proposal Security, if required by BDS, shall be provided in the amount and form indicated in the BDS. The Proposal Security shall be valid up to thirty (30) days after the final date of validity of the Proposal.
	12.2 The Proposal Security shall be included along with the Technical Proposal. If Proposal Security is required by the RFP but is not found along with the Technical Proposal, the Proposal shall be rejected.
	12.3 If the Proposal Security amount or its validity period is found to be less than what is required by UNDP, UNDP shall reject the Proposal.
	12.4 In the event an electronic submission is allowed in the BDS, Bidders shall include a copy of the Bid Security in their proposal and the original of the Proposal Security must be sent via courier or hand delivery as per the instructions in BDS.
	12.5 The Proposal Security may be forfeited by UNDP, and the Proposal rejected, in the event of any one or combination, of the following conditions:
	<ul><li>a) If the Bidder withdraws its offer during the period of the Proposal Validity specified in the BDS, or;</li><li>b) In the event that the successful Bidder fails:</li></ul>

i. to sign the Contract after UNDP has issued an award; or 12.6 to furnish the Performance Security, insurances, or other documents that UNDP may require as a condition precedent to the effectivity of the contract that may be awarded to the Bidder. 13. Currencies 13.1 All prices shall be quoted in the currency or currencies indicated in the BDS. Where Proposals are quoted in different currencies, for the purposes of comparison of all Proposals: a) UNDP will convert the currency quoted in the Proposal into the UNDP preferred currency, in accordance with the prevailing UN operational rate of exchange on the last day of submission of Proposals; and b) In the event that UNDP selects a proposal for award that is quoted in a currency different from the preferred currency in the BDS, UNDP shall reserve the right to award the contract in the currency of UNDP's preference, using the conversion method specified above. 14. Joint Venture, 14.1 If the Bidder is a group of legal entities that will form or have formed a Joint Venture (JV), Consortium or Association for the Proposal, they shall confirm in Consortium or their Proposal that : (i) they have designated one party to act as a lead entity, Association duly vested with authority to legally bind the members of the JV, Consortium or Association jointly and severally, which shall be evidenced by a duly notarized Agreement among the legal entities, and submitted with the Proposal; and (ii) if they are awarded the contract, the contract shall be entered into, by and between UNDP and the designated lead entity, who shall be acting for and on behalf of all the member entities comprising the joint venture. 14.2 After the Deadline for Submission of Proposal, the lead entity identified to represent the JV, Consortium or Association shall not be altered without the prior written consent of UNDP. The lead entity and the member entities of the JV, Consortium or Association shall abide by the provisions of Clause 9 herein in respect of submitting only one proposal. 14.4 The description of the organization of the JV, Consortium or Association must clearly define the expected role of each of the entity in the joint venture in delivering the requirements of the RFP, both in the Proposal and the JV, Consortium or Association Agreement. All entities that comprise the JV, Consortium or Association shall be subject to the eligibility and qualification assessment by UNDP. 14.5 A JV, Consortium or Association in presenting its track record and experience should clearly differentiate between: a) Those that were undertaken together by the JV, Consortium or Association; b) Those that were undertaken by the individual entities of the JV, Consortium or Association. 14.6 Previous contracts completed by individual experts working privately but who are permanently or were temporarily associated with any of the member firms cannot be claimed as the experience of the JV, Consortium or Association or those of its members, but should only be claimed by the individual experts themselves in their presentation of their individual credentials. 14.7 JV, Consortium or Associations are encouraged for high value, multi-sectoral requirements when the spectrum of expertise and resources required may not

be available within one firm.

15. Only One Proposal	The Bidder (including the individual members of any Joint Venture) shall submit only one Proposal, either in its own name or as part of a Joint Venture.
	<ul> <li>Proposals submitted by two (2) or more Bidders shall all be rejected if they are found to have any of the following:</li> <li>a) they have at least one controlling partner, director or shareholder in common; or</li> <li>b) any one of them receive or have received any direct or indirect subsidy from the other/s; or</li> <li>c) they have the same legal representative for purposes of this RFP; or</li> <li>d) they have a relationship with each other, directly or through common third parties, that puts them in a position to have access to information about, or influence on the Proposal of, another Bidder regarding this RFP process;</li> <li>e) they are subcontractors to each other's Proposal, or a subcontractor to one Proposal also submits another Proposal under its name as lead Bidder; or</li> <li>f) some key personnel proposed to be in the team of one Bidder participates in more than one Proposal received for this RFP process. This condition relating to the personnel, does not apply to subcontractors being included</li> </ul>
	in more than one Proposal.
16. Proposal Validity Period	16.1 Proposals shall remain valid for the period specified in the BDS, commencing on the Deadline for Submission of Proposals. A Proposal valid for a shorter period may be rejected by UNDP and rendered non-responsive.
	During the Proposal validity period, the Bidder shall maintain its original Proposal without any change, including the availability of the Key Personnel, the proposed rates and the total price.
17. Extension of Proposal Validity Period	17.1 In exceptional circumstances, prior to the expiration of the proposal validity period, UNDP may request Bidders to extend the period of validity of their Proposals. The request and the responses shall be made in writing, and shall be considered integral to the Proposal.
	17.2 If the Bidder agrees to extend the validity of its Proposal, it shall be done without any change in the original Proposal.
	17.3 The Bidder has the right to refuse to extend the validity of its Proposal, and in which case, such Proposal will not be further evaluated.
18. Clarification of Proposal	Bidders may request clarifications on any of the RFP documents no later than the date indicated in the BDS. Any request for clarification must be sent in writing in the manner indicated in the BDS. If inquiries are sent other than specified channel, even if they are sent to a UNDP staff member, UNDP shall have no obligation to respond or confirm that the query was officially received.
	18.2 UNDP will provide the responses to clarifications through the method specified in the BDS.
	UNDP shall endeavor to provide responses to clarifications in an expeditious manner, but any delay in such response shall not cause an obligation on the part of UNDP to extend the submission date of the Proposals, unless UNDP deems that such an extension is justified and necessary.
19. Amendment of Proposals	19.1 At any time prior to the deadline of Proposal submission, UNDP may for any reason, such as in response to a clarification requested by a Bidder, modify the RFP in the form of an amendment to the RFP. Amendments will be made available to all prospective bidders.

	9.2 If the amendment is substantial, UNDP may extend the Deadline for submissi of proposal to give the Bidders reasonable time to incorporate the amendment into their Proposals.	
20. Alternative Proposals	Unless otherwise specified in the BDS, alternative proposals shall not considered. If submission of alternative proposal is allowed by BDS, a Bidder m submit an alternative proposal, but only if it also submits a proposal conformito the RFP requirements. UNDP shall only consider the alternative proposal fered by the Bidder whose conforming proposal ranked the highest as per to specified evaluation method. Where the conditions for its acceptance are mor justifications are clearly established, UNDP reserves the right to award contract based on an alternative proposal.	nay ing sal the net,
	0.2 If multiple/alternative proposals are being submitted, they must be clear marked as "Main Proposal" and "Alternative Proposal"	arly
21. Pre-Bid Conference	1.1 When appropriate, a Bidder's conference will be conducted at the date, time a location specified in the BDS. All Bidders are encouraged to attend. No attendance, however, shall not result in disqualification of an interested Bidde Minutes of the Bidder's conference will be disseminated on the procureme website and shared by email or on the e-Tendering platform as specified in the BDS. No verbal statement made during the conference shall modify the ter and conditions of the RFP, unless specifically incorporated in the Minutes of the Bidder's Conference or issued/posted as an amendment to RFP.	on- der. ent the ms
C. SUBMISSION AND	PENING OF PROPOSALS	
22.Submission	2.1 The Bidder shall submit a duly signed and complete Proposal comprising t documents and forms in accordance with the requirements in the BDS. T submission shall be in the manner specified in the BDS.	
	2.2 The Proposal shall be signed by the Bidder or person(s) duly authorized commit the Bidder. The authorization shall be communicated through document evidencing such authorization issued by the legal representative the bidding entity, or a Power of Attorney, accompanying the Proposal.	ı a
	2.3 Bidders must be aware that the mere act of submission of a Proposal, in and itself, implies that the Bidder fully accepts the UNDP General Contract Terms a Conditions.	
Hard copy (manual) submission	2.4 Hard copy (manual) submission by courier or hand delivery allowed or specifi in the BDS shall be governed as follows:	ied
	a) The signed Proposal shall be marked "Original", and its copies mark "Copy" as appropriate. The number of copies is indicated in the BDS. copies shall be made from the signed original only. If there a discrepancies between the original and the copies, the original shall prev	All are
	b) The Technical Proposal and the Financial Proposal envelopes MUST COMPLETELY SEPARATE and each of them must be submitted seal individually and clearly marked on the outside as either "TECHNIC PROPOSAL" or "FINANCIAL PROPOSAL", as appropriate. Each enveloped SHALL clearly indicate the name of the Bidder. The outer envelopes shall	led CAL ope
	i. Bear the name and address of the bidder;	
	ii. Be addressed to UNDP as specified in the BDS	
	iii. Bear a warning that states "Not to be opened before the time and do for proposal opening" as specified in the BDS.	ate

		If the envelopes and packages with the Proposal are not sealed and marked as required, UNDP shall assume no responsibility for the misplacement, loss, or premature opening of the Proposal.
	22.5	Email submission, if allowed or specified in the BDS, shall be governed as follows:
Email Submission		a) Electronic files that form part of the proposal must be in accordance with the format and requirements indicated in BDS;
		b) The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE. The financial proposal shall be encrypted with different passwords and clearly labelled. The files must be sent to the dedicated email address specified in the BDS.
		c) The password for opening the Financial Proposal should be provided only upon request of UNDP. UNDP will request password only from bidders whose Technical Proposal has been found to be technically responsive. Failure to provide correct password may result in the proposal being rejected.
eTendering submission	22.6	Electronic submission through eTendering, if allowed or specified in the BDS, shall be governed as follows:
		a) Electronic files that form part of the proposal must be in accordance with the format and requirements indicated in BDS;
		b) The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE and each of them must be uploaded individually and clearly labelled.
		d) The Financial Proposal file must be encrypted with a password so that it cannot be opened nor viewed until the password is provided. The password for opening the Financial Proposal should be provided only upon request of UNDP. UNDP will request password only from bidders whose technical proposal has been found to be technically responsive. Failure to provide the correct password may result in the proposal being rejected.
		c) Documents which are required to be in original form (e.g. Bid Security, etc.) must be sent via courier or hand delivery as per the instructions in BDS.
		d) Detailed instructions on how to submit, modify or cancel a bid in the eTendering system are provided in the eTendering system Bidder User Guide and Instructional videos available on this link:
		http://www.undp.org/content/undp/en/home/operations/procurement/business/procurement-notices/resources/
23. Deadline for Submission of Proposals and Late	23.1	Complete Proposals must be received by UNDP in the manner, and no later than the date and time, specified in the BDS. UNDP shall only recognize the date and time that the bid was received by UNDP
Proposals	23.2	UNDP shall not consider any Proposal that is submitted after the deadline for the submission of Proposals.
24. Withdrawal, Substitution, and	24.1	A Bidder may withdraw, substitute or modify its Proposal after it has been submitted at any time prior to the deadline for submission.
Modification of Proposals	24.2	Manual and Email submissions: A bidder may withdraw, substitute or modify its Proposal by sending a written notice to UNDP, duly signed by an authorized representative, and shall include a copy of the authorization (or a Power of Attorney). The corresponding substitution or modification of the Proposal, if any, must accompany the respective written notice. All notices must be submitted in

	the same manner as specified for submission of proposals, by clearly marking them as "WITHDRAWAL" "SUBSTITUTION," or "MODIFICATION"
	eTendering: A Bidder may withdraw, substitute or modify its Proposal by Canceling, Editing, and re-submitting the proposal directly in the system. It is the responsibility of the Bidder to properly follow the system instructions, duly edit and submit a substitution or modification of the Proposal as needed. Detailed instructions on how to cancel or modify a Proposal directly in the system are provided in Bidder User Guide and Instructional videos.
	24.4 Proposals requested to be withdrawn shall be returned unopened to the Bidders (only for manual submissions), except if the bid is withdrawn after the bid has been opened
25. Proposal Opening	There is no public bid opening for RFPs. UNDP shall open the Proposals in the presence of an ad-hoc committee formed by UNDP, consisting of at least two (2) members. In the case of e-Tendering submission, bidders will receive an automatic notification once their proposal is opened.
D. EVALUATION OF F	PROPOSALS
26. Confidentiality	26.1 Information relating to the examination, evaluation, and comparison of Proposals, and the recommendation of contract award, shall not be disclosed to Bidders or any other persons not officially concerned with such process, even after publication of the contract award.
	Any effort by a Bidder or anyone on behalf of the Bidder to influence UNDP in the examination, evaluation and comparison of the Proposals or contract award decisions may, at UNDP's decision, result in the rejection of its Proposal and may be subject to the application of prevailing UNDP's vendor sanctions procedures.
27. Evaluation of Proposals	27.1 The Bidder is not permitted to alter or modify its Proposal in any way after the proposal submission deadline except as permitted under Clause 24 of this RFP. UNDP will conduct the evaluation solely on the basis of the submitted Technical and Financial Proposals.
	<ul> <li>Evaluation of proposals is made of the following steps:</li> <li>a) Preliminary Examination</li> <li>b) Minimum Eligibility and Qualification (if pre-qualification is not done)</li> <li>c) Evaluation of Technical Proposals</li> <li>d) Evaluation of Financial Proposals</li> </ul>
28. Preliminary Examination	UNDP shall examine the Proposals to determine whether they are complete with respect to minimum documentary requirements, whether the documents have been properly signed, and whether the Proposals are generally in order, among other indicators that may be used at this stage. UNDP reserves the right to reject any Proposal at this stage.
29. Evaluation of Eligibility and Qualification	29.1 Eligibility and Qualification of the Bidder will be evaluated against the Minimum Eligibility/Qualification requirements specified in the Section 4 (Evaluation Criteria).
	<ul> <li>In general terms, vendors that meet the following criteria may be considered qualified:</li> <li>a) They are not included in the UN Security Council 1267/1989 Committee's list of terrorists and terrorist financiers, and in UNDP's ineligible vendors' list;</li> <li>b) They have a good financial standing and have access to adequate financial resources to perform the contract and all existing commercial commitments,</li> </ul>

- They have the necessary similar experience, technical expertise, production capacity where applicable, quality certifications, quality assurance procedures and other resources applicable to the provision of the services required;
- d) They are able to comply fully with UNDP General Terms and Conditions of Contract;
- e) They do not have a consistent history of court/arbitral award decisions against the Bidder; and
- f) They have a record of timely and satisfactory performance with their clients.

#### 30. Evaluation of Technical and Financial Proposals

- 30.1 The evaluation team shall review and evaluate the Technical Proposals on the basis of their responsiveness to the Terms of Reference and other RFP documents, applying the evaluation criteria, sub-criteria, and point system specified in the Section 4 (Evaluation Criteria). A Proposal shall be rendered non-responsive at the technical evaluation stage if it fails to achieve the minimum technical score indicated in the BDS. When necessary and if stated in the BDS, UNDP may invite technically responsive bidders for a presentation related to their technical proposals. The conditions for the presentation shall be provided in the bid document where required.
- 30.2 In the second stage, only the Financial Proposals of those Bidders who achieve the minimum technical score will be opened for evaluation. The Financial Proposals corresponding to Technical Proposals that were rendered non-responsive shall remain unopened, and, in the case of manual submission, be returned to the Bidder unopened. For emailed Proposals and e-tendering submissions, UNDP will not request for the password of the Financial Proposals of bidders whose Technical Proposal were found not responsive.
- 30.3 The evaluation method that applies for this RFP shall be as indicated in the BDS, which may be either of two (2) possible methods, as follows: (a) the lowest priced method which selects the lowest evaluated financial proposal of the technically responsive Bidders; or (b) the combined scoring method which will be based on a combination of the technical and financial score.
- 30.4 When the BDS specifies a combined scoring method, the formula for the rating of the Proposals will be as follows:

#### Rating the Technical Proposal (TP):

**TP Rating** = (Total Score Obtained by the Offer / Max. Obtainable Score for TP) x 100

#### Rating the Financial Proposal (FP):

**FP Rating** = (Lowest Priced Offer / Price of the Offer Being Reviewed) x 100

#### **Total Combined Score:**

**Combined Score** = (TP Rating) x (Weight of TP, e.g. 70%) + (FP Rating) x (Weight of FP, e.g., 30%)

#### 31. Due Diligence

- 31.1 UNDP reserves the right to undertake a due diligence exercise, also called post qualification, aimed at determining to its satisfaction, the validity of the information provided by the Bidder. Such exercise shall be fully documented and may include, but need not be limited to, all or any combination of the following:
  - a) Verification of accuracy, correctness and authenticity of information

	<ul> <li>provided by the Bidder;</li> <li>b) Validation of extent of compliance to the RFP requirements and evaluation criteria based on what has so far been found by the evaluation team;</li> <li>c) Inquiry and reference checking with Government entities with jurisdiction on the Bidder, or with previous clients, or any other entity that may have done business with the Bidder;</li> <li>d) Inquiry and reference checking with previous clients on the performance on on-going or contracts completed, including physical inspections of previous works, as necessary;</li> <li>e) Physical inspection of the Bidder's offices, branches or other places where business transpires, with or without notice to the Bidder;</li> <li>f) Other means that UNDP may deem appropriate, at any stage within the selection process, prior to awarding the contract.</li> </ul>
32. Clarification of Proposals	32.1 To assist in the examination, evaluation and comparison of Proposals, UNDP may, at its discretion, ask any Bidder for a clarification of its Proposal.
	32.2 UNDP's request for clarification and the response shall be in writing and no change in the prices or substance of the Proposal shall be sought, offered, or permitted, except to provide clarification, and confirm the correction of any arithmetic errors discovered by UNDP in the evaluation of the Proposals, in accordance with RFP.
	32.3 Any unsolicited clarification submitted by a Bidder in respect to its Proposal, which is not a response to a request by UNDP, shall not be considered during the review and evaluation of the Proposals.
33. Responsiveness of Proposal	33.1 UNDP's determination of a Proposal's responsiveness will be based on the contents of the Proposal itself. A substantially responsive Proposal is one that conforms to all the terms, conditions, TOR and other requirements of the RFP without material deviation, reservation, or omission.
	33.2 If a Proposal is not substantially responsive, it shall be rejected by UNDP and may not subsequently be made responsive by the Bidder by correction of the material deviation, reservation, or omission.
34. Nonconformities, Reparable Errors and Omissions	34.1 Provided that a Proposal is substantially responsive, UNDP may waive any non-conformities or omissions in the Proposal that, in the opinion of UNDP, do not constitute a material deviation.
	34.2 UNDP may request the Bidder to submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities or omissions in the Proposal related to documentation requirements. Such omission shall not be related to any aspect of the price of the Proposal. Failure of the Bidder to comply with the request may result in the rejection of its Proposal.
	34.3 For Financial Proposal that has been opened, UNDP shall check and correct arithmetical errors as follows:
	a) if there is a discrepancy between the unit price and the line item total that is obtained by multiplying the unit price by the quantity, the unit price shall prevail and the line item total shall be corrected, unless in the opinion of UNDP there is an obvious misplacement of the decimal point in the unit price; in which case the line item total as quoted shall govern and the unit price shall be corrected;
	<li>if there is an error in a total corresponding to the addition or subtraction of subtotals, the subtotals shall prevail and the total shall be corrected; and</li>

		c) if there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail.
	34.4	If the Bidder does not accept the correction of errors made by UNDP, its Proposal shall be rejected.
E. AWARD OF CONTR	RACT	
35. Right to Accept, Reject, Any or All Proposals	35.1	UNDP reserves the right to accept or reject any Proposal, to render any or all of the Proposals as non-responsive, and to reject all Proposals at any time prior to award of contract, without incurring any liability, or obligation to inform the affected Bidder(s) of the grounds for UNDP's action. UNDP shall not be obliged to award the contract to the lowest priced offer.
36.Award Criteria	36.1	Prior to expiration of the proposal validity, UNDP shall award the contract to the qualified Bidder based on the award criteria indicated in the BDS.
37. Debriefing	37.1	In the event that a Bidder is unsuccessful, the Bidder may request a debriefing from UNDP. The purpose of the debriefing is to discuss the strengths and weaknesses of the Bidder's submission, in order to assist the Bidder in improving its future proposals for UNDP procurement opportunities. The content of other proposals and how they compare to the Bidder's submission shall not be discussed.
38. Right to Vary Requirements at the Time of Award	38.1	At the time of award of Contract, UNDP reserves the right to vary the quantity of services and/or goods, by up to a maximum twenty-five per cent (25%) of the total offer, without any change in the unit price or other terms and conditions.
39. Contract Signature	39.1	Within fifteen (15) days from the date of receipt of the Contract, the successful Bidder shall sign and date the Contract and return it to UNDP. Failure to do so may constitute sufficient grounds for the annulment of the award, and forfeiture of the Proposal Security, if any, and on which event, UNDP may award the Contract to the Second Ranked Bidder or call for new Proposals.
40. Contract Type and General Terms and Conditions	40.1	The types of Contract to be signed and the applicable UNDP Contract General Terms and Conditions, as specified in BDS, can be accessed at <a href="http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html">http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html</a>
41. Performance Security	41.1	40.1 A performance security, if required in BDS, shall be provided in the amount specified in BDS and form available at <a href="https://popp.undp.org/layouts/15/WopiFrame.aspx?sourcedoc=/UNDP POPP_DOCUMENT LIBRARY/Public/PSU Solicitation Performance%20Guarantee%20Form.docx&amp;action=default">https://popp.undp.org/layouts/15/WopiFrame.aspx?sourcedoc=/UNDP POPP_DOCUMENT LIBRARY/Public/PSU Solicitation Performance%20Guarantee%20Form.docx&amp;action=default</a> within fifteen (15) days of the contract signature by both parties. Where a performance security is required, the receipt of the performance security by UNDP shall be a condition for rendering the contract
42. Bank Guarantee for	42.1	effective.  Except when the interests of UNDP so require, it is UNDP's preference to make
Advanced Payment	.2.1	no advance payment(s) (i.e., payments without having received any outputs). If an advance payment is allowed as per BDS, and exceeds 20% of the total contract price, or USD 30,000, whichever is less, the Bidder shall submit a Bank Guarantee in the full amount of the advance payment in the form available at

		<u>fault</u>
43. Liquidated Damages	43.1	If specified in BDS, UNDP shall apply Liquidated Damages resulting from the Contractor's delays or breach of its obligations as per the Contract.
44. Payment Provisions	44.1	Payment will be made only upon UNDP's acceptance of the work performed. The terms of payment shall be within thirty (30) days, after receipt of invoice and certification of acceptance of work issued by the proper authority in UNDP with direct supervision of the Contractor. Payment will be effected by bank transfer in the currency of contract.
45. Vendor Protest	45.1	UNDP's vendor protest procedure provides an opportunity for appeal to those persons or firms not awarded a contract through a competitive procurement process. In the event that a Bidder believes that it was not treated fairly, the following link provides further details regarding UNDP vendor protest procedures: <a href="http://www.undp.org/content/undp/en/home/operations/procurement/business/protest-and-sanctions.html">http://www.undp.org/content/undp/en/home/operations/procurement/business/protest-and-sanctions.html</a>
46. Other Provisions	46.1	In the event that the Bidder offers a lower price to the host Government (e.g. General Services Administration (GSA) of the federal government of the United States of America) for similar services, UNDP shall be entitled to same lower price. The UNDP General Terms and Conditions shall have precedence.
	46.2	UNDP is entitled to receive the same pricing offered by the same Contractor in contracts with the United Nations and/or its Agencies. The UNDP General Terms and Conditions shall have precedence.
	46.3	The United Nations has established restrictions on employment of (former) UN staff who have been involved in the procurement process as per bulletin ST/SGB/2006/15 <a href="http://www.un.org/en/ga/search/view_doc.asp?symbol=ST/SGB/2006/15&amp;referer">http://www.un.org/en/ga/search/view_doc.asp?symbol=ST/SGB/2006/15&amp;referer</a>

# Section 3. Bid Data Sheet

The following data for the services to be procured shall complement, supplement, or amend the provisions in the Request for Proposals. In the case of a conflict between the Instructions to Bidders, the Data Sheet, and other annexes or references attached to the Data Sheet, the provisions in the Data Sheet shall prevail.

BDS No.	Ref. to Section.2	Data	Specific Instructions / Requirements
1	7	Language of the Proposal	English
2		Submitting Proposals for Parts or sub-parts of the TOR (partial bids)	Not Allowed
3	20	Alternative Proposals	Shall not be considered
4	21	Pre-proposal conference	Will not be conducted
5	10	Proposal Validity Period	90 days
6	14	Bid Security	Not Required
7	41	Advanced Payment upon signing of contract	Allowed up to a maximum of 15% of contract value <sup>1</sup>
8	42	Liquidated Damages	Will be imposed as follows:  Percentage of contract price per day of delay: 0.5  Max. number of days of delay 30, after which UNDP may terminate the contract.
9	40	Performance Security	Not Required
10	18	Currency of Proposal	United States Dollar Local Currency Uzbekistan Soums (UZS)

<sup>&</sup>lt;sup>1</sup>15% advance payment is envisaged if payment to local vendors (registered in Uzbekistan). If the advanced payment that the Bidder will submit will exceed 20% of the Price Offer, or will exceed the amount of USD 30,000, the Bidder must submit an Advanced Payment Security in the same amount as the advanced payment, using the form and contents of the document in Section 10

		Reference date for determining UN Operational Exchange Rate : as per UNORE set on financial proposals opening date
31	Deadline for submitting requests for clarifications/ questions	5 days before the submission deadline
31	Contact Details for submitting clarifications/questions <sup>2</sup>	Focal Person in UNDP: Procurement Unit Address: 4, Taras Shevchenko Str, Tashkent, 100029, Uzbekistan E-mail address: pu.uz@undp.org
18, 19 and 21	Manner of Disseminating Supplemental Information to the RFP and responses/clarifications to queries	Direct communication to prospective Proposers by email and posting on the websites http://www.uz.undp.org/procurement, www.ungm.org, www.undp.org
23	Deadline for Submission	June 08, 2018 17:00 Tashkent time
22	Allowable Manner of Submitting Proposals	<ul> <li>☑ Courier/Hand Delivery</li> <li>☑ Submission by email<sup>3</sup></li> <li>☐ e-Tendering</li> </ul>
22	Proposal Submission Address	4, Taras Shevchenko Str, Tashkent, 100029, Uzbekistan Mandatory subject: RFP/004/18 - Development and implementation of a Spatial Monitoring and Reporting Tool (SMART) in two pilot sites - in the Western Tien Shan and Pamir-Alai - in the Chatkal State Biosphere Reserve and Gissar State Reserve  for proposals in sealed envelope and  bids.uz@undp.org for proposals via email
	31 18, 19 and 21 23	requests for clarifications/ questions  31

<sup>&</sup>lt;sup>2</sup> This contact person and address is officially designated by UNDP. If inquiries are sent to other person/s or address/es, even if they are UNDP staff, UNDP shall have no obligation to respond nor can UNDP confirm that the query was officially received.

<sup>&</sup>lt;sup>3</sup> If this will be allowed, security features (e.g., encryption, authentication, digital signatures, etc.) are strictly required and must be enforced to ensure confidentiality and integrity of contents.

16	22	Electronic submission (email or eTendering) requirements	contain any lea alphabet/keyl All files must Password for until the date Password for until requeste Max. File Size Mandatory su implementation Documents w Security) should submitted as	ust be maxing the recovery control of the control o	num 60 characters lor al character other that ruses and not corrupt oposal <u>must</u> not be pro- in No. 14 (for email sposal <u>must</u> not be pro- ssion: 8 MB wil: RFP/004/18 - Dever in two pilot sites uired in original (e.g. to the below address when the companies of the below address when the companies of the sand the companies of the below address when the companies of the compani	ed. rovided to UNDP submission only) ovided to UNDP elopment and Proposal with a PDF copy
17	27 36	Evaluation Method for the Award of Contract	Proposals		Technically Responsions of the Responsion of the	
18		Expected date for commencement of Contract	July 15, 2018			
19		Maximum expected duration of contract	3 years. Please see	TOR require	ements	
20	35	UNDP will award the contract to:	One Proposer Onl	у		
21	39 39	Type of Contract  UNDP Contract Terms and Conditions that will	http://www.undp.o w-we-buy.html UNDP General Ter	rg/content/u rms and Con	or Goods and Service  ndp/en/home/procure  ditions for Profession	ement/business/ho nal Services
		apply	w-we-buy.html		ndp/en/home/procure	
23		Travels Expected	Destination/s  Kashkadarya	Estimated Duration  15 days	Brief Description of Purpose of the Travel Conducting detailed	Target Date/s  2-4 months
			region/Gissar State Reserve	13 uays	situational analysis. Analysis of existent equipment for the use in the SMART patrol system and preparation of the	from the signature date of contract by both parties

		1	
		detailed specification for an equipment.	
Kashkadarya region/Gissar State Reserve	20 days	Adaptation and installation of software (free access) to create a SMART patrol system, including an application for gpsenabled data collection devices. Conducting of trainings (at least two for each of the 2 pilot PAs) for the inspection staff (covering not less than 80% of the total number of inspectors from each of the PAs) and data processing specialists (at least one from each pilot PAs). Launch of Smart patrol system implementation in two pilot protected areas.	8-16 months from the signature date of contract by both parties
Kashkadarya region/Gissar State Reserve	15 days	Monitoring of implementation. Conducting presentation on smart patrol system implementation experience delivered. Presentation of recommendations for expansion of the gained experience to other protected areas of the country.	20-36 months from the signature date of contract by both parties
Tashkent region/Chatkal State	15 days	Conducting detailed situational analysis. Analysis of existent equipment for the use in the SMART patrol system and preparation of the detailed	2-4 months from the signature date of contract by both parties

region/Chatkal State  installation of software (free access) to create a SMART patrol system, including an application for gpsenabled data collection devices. Conducting of trainings (at least two for each of the 2 pilot PAs) for the inspection staff (covering not less than 80% of the total number of inspectors from each of the PAs) and data processing specialists (at least one from each pilot PAs). Launch of Smart patrol system implementation in two pilot protected areas.  Tashkent region/Chatkal State Biosphere Reserve  Tashkent region/Chatkal State Biosphere Reserve  Tashkent region/Chatkal State Biosphere Reserve  To define the patrol of the parties of contract of the parties of the partie			specification for an equipment.				
region/Chatkal State Biosphere Reserve  Reserve  implementation. Conducting signature day presentation on smart patrol system implementation experience delivered. Presentation of recommendations for expansion of the			region/Chatkal State  installation of software (free access) to create a SMART patrol system, including an application for gpsenabled data collection devices. Conducting of trainings (at least two for each of the 2 pilot PAs) for the inspection staff (covering not less than 80% of the total number of inspectors from each of the PAs) and data processing specialists (at least one from each pilot PAs). Launch of Smart patrol system implementation in two pilot protected areas.				
to other protected areas of the country.			region/Chatkal State Biosphere Reserve  implementation. Conducting presentation on smart patrol system implementation experience delivered. Presentation of recommendations for expansion of the gained experience to other protected				
· · · · · · · · · · · · · · · · · · ·	24	Other terms	☑ Office space (working table, notebook, internet and office equipment) during the visit to Tashkent and Kashkadarya and office working hours				

Financial proposal shall take into account all related costs, except provided by UNDP above, but include air fares, travel costs (including local) and stay in Uzbekistan covered by the contractor.

Payment will be released in 10 (ten) installments below upon timely submission of respective deliverables and their acceptance by the Supervisor/Project Manager and UNDP CO within thirty (30) days, after receipt of invoice and certification of acceptance of each respective work issued. Payment will be effected by bank transfer in the currency of contract. Local companies are paid only in local currency and foreign companies only in USD or Euro.

1st (10% of the total contract amount) to be made upon achievement of Deliverable 1

2<sup>nd</sup> (5% of the total contract amount) to be made upon achievement of Deliverable 2

3<sup>rd</sup> (5% of the total contract amount) to be made upon achievement of Deliverable 3

 $4^{\text{th}}$  (5% of the total contract amount) to be made upon achievement of Deliverable 4

5<sup>th</sup> (5% of the total contract amount) to be made upon achievement of Deliverable 5

6<sup>th</sup> (15 % of the total contract amount) to be made upon achievement of Deliverable 6 and 7

7<sup>th</sup> (20 % of the total contract amount) to be made upon achievement of Deliverable 8

8<sup>th</sup> (5% of the total contract amount) to be made upon achievement of Deliverable 9

9<sup>th</sup> (20% of the total contract amount) to be made upon achievement of Deliverable 10

10<sup>th</sup> (10% of the total contract amount) to be made upon achievement of Deliverable 11

## Section 4. Evaluation Criteria

#### **Preliminary Examination Criteria**

Proposals will be examined to determine whether they are complete and submitted in accordance with RFP requirements as per below criteria on a Yes/No basis:

- Appropriate signatures
- Power of Attorney
- Minimum documents provided
- Technical and Financial Proposals submitted separately
- Bid Validity
- Proposal submitted before deadline for submission

#### **Minimum Eligibility and Qualification Criteria**

Eligibility and Qualification will be evaluated on Pass/Fail basis.

If the Proposal is submitted as a Joint Venture/Consortium/Association, each member should meet minimum criteria, unless otherwise specified in the criterion.

Subject	Criteria	Document Submission requirement
ELIGIBILITY		
Legal Status	Vendor is a legally registered entity.	Form B: Bidder Information Form
Eligibility	Vendor is not suspended, nor debarred, nor otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization in accordance with ITB clause 3.	Form A: Technical Proposal Submission Form
Conflict of Interest	No conflicts of interest in accordance with ITB clause 4.	Form A: Technical Proposal Submission Form
Bankruptcy	Not declared bankruptcy, not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against the vendor that could impair its operations in the foreseeable future.	Form A: Technical Proposal Submission Form
QUALIFICATION		
History of Non- Performing Contracts <sup>4</sup>	Non-performance of a contract did not occur as a result of contractor default for the last 3 years.	Form D: Qualification Form
Litigation History	No consistent history of court/arbitral award decisions against the Bidder for the last 3 years.	Form D: Qualification Form

<sup>&</sup>lt;sup>4</sup> Non-performance, as decided by UNDP, shall include all contracts where (a) non-performance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Non-performance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Bidder have been exhausted.

Previous	Minimum 5 years of relevant experience.	Form D: Qualification Form
Experience	Minimum 3 contracts of similar value, nature and complexity implemented over the last 3 years.	Form D: Qualification Form
	(For JV/Consortium/Association, all Parties cumulatively should meet requirement).	
Financial Standing	Minimum average annual turnover of USD 200,000 for the last 3 years.  (For JV/Consortium/Association, all Parties cumulatively should meet requirement).	Form D: Qualification Form
	Bidder must demonstrate the current soundness of its financial standing and indicate its prospective long-term profitability. Liquidity ratio above 1.0.	Form D: Qualification Form
	(For JV/Consortium/Association, all Parties cumulatively should meet requirement).	

## **Technical Evaluation Criteria**

Summary of Technical Proposal Evaluation Forms		Points Obtainable
1.	Bidder's qualification, capacity and experience	45
2.	Proposed Methodology, Approach and Implementation Plan	30
3.	Management Structure and Key Personnel	25
	Total	100

Sectio	n 1. Bidder's qualification, capacity and experience	Points obtainable
1.1	Reputation of Organization and Staff Credibility / Reliability / Industry Standing	9
1.2	General Organizational Capability which is likely to affect implementation: management structure, financial stability and project financing capacity, project management controls, extent to which any work would be subcontracted	12
1.3	Relevance of specialized knowledge and experience on similar engagements done in the region/country	12
1.4	Quality assurance procedures and risk mitigation measures	6
1.5	Organizational Commitment to Sustainability (mandatory weight) -Organization is compliant with ISO 14001 or ISO 14064 or equivalent – 3 points -Organization is a member of the UN Global Compact -1 point -Organization demonstrates significant commitment to sustainability through some other means- 1 point, for example internal company policy documents on women empowerment, renewable energies or membership of trade institutions promoting such issues	6
	Total Section 1	45

Sectio	n 2. Proposed Methodology, Approach and Implementation Plan	Points obtainable
2.1	Understanding of the requirement: Have the important aspects of the task been addressed in sufficient detail? Are the different components of the project adequately weighted relative to one another?	5
2.2	Description of the Offeror's approach and methodology for meeting or exceeding the requirements of the Terms of Reference	5
2.3	Details on how the different service elements shall be organized, controlled and delivered	5
2.4	Description of available performance monitoring and evaluation mechanisms and tools; how they shall be adopted and used for a specific requirement	5

2.5	Assessment of the implementation plan proposed including whether the activities are properly sequenced and if these are logical and realistic	5
2.6	Demonstration of ability to plan, integrate and effectively implement sustainability measures in the execution of the contract	5
	Total Section 2	30

Section 3. Management Structure and Key Personnel			Points obtainable
3.1	Composition and structure of the team proposed. Are the proposed roles of the management and the team of key personnel suitable for the provision of the necessary services?		5
3.2	Qualifications of key personnel proposed		
3.2 a	Specialist/s – Team Leader on introduction of SMART patrol system		8
	- General Experience	2	
	- Specific Experience relevant to the assignment	3	
	- Regional/International experience	2	
	- Language Qualifications	1	
3.2 b	Specialist/s on introduction of Smart patrol system		6
	- General Experience	1	
	- Specific Experience relevant to the assignment	2	
	- Regional/International experience	2	
	- Language Qualifications	1	
3.2 c	Specialist/s on preparation of programs and conducting trainings		6
	- General Experience	1	
	- Specific Experience relevant to the assignment	2	
	- Regional/International experience	2	
	- Language Qualifications	1	
	Tota	I Section 3	25

### **Section 5.** Terms of Reference

#### **Project Description**

Uzbekistan is globally and regionally important in terms of its biodiversity due to its location between the European, Middle Eastern, and Asian biogeographical regions. Its varying landscapes of high mountain ranges, wide steppes, deserts, riparian wetlands, and the Aral Sea has results in a wide diversity of habitats. UNDP, for many years, have supported the Government of Uzbekistan in tackling environmental challenges, including in the area of biodiversity.

UNDP/GEF and Republic of Uzbekistan project on "Sustainable natural resource use and forest management in key mountainous areas important for globally significant biodiversity" will further support the country in addressing biodiversity conservation challenges, specifically with focusing on mountain ecosystems such as the western-most outliers of the western Tian Shan (Chatkal, Pskem, Ugam and Kuramin ranges) and Pamir-Alai (Gissar, Turkestan and Zaravshan ranges), which are home to the endangered snow leopard, and provide important habitat for its key prey species.

The project objective is 'To enhance the conservation, and sustainable use, of natural resources in the biodiverse high-altitude mountain ecosystems of Uzbekistan', and the project is structured into four components, with each component comprising a complementary suite of two to three outputs:

- i) Component 1: Landscape level planning and management decision-making;
- ii) Component 2: Strengthening key biodiversity areas;
- iii) Component 3: Sustainable economic development incentives for communities;
- iv) Component 4: Promoting cooperation and collaboration.

Within the framework of the implementation of component 2 "Strengthening key biodiversity areas", it is planned to develop and implement a smart patrol system in two pilot sites - in the Western Tien Shan and Pamir-Alai - in the <u>Chatkal State Biosphere Reserve</u> and <u>Gissar State Reserve</u>.

In this regard, UNDP is looking for a highly qualified national or international company or NGO that will develop a smart patrol system based on relevant knowledge and experience, conduct appropriate training for protected area staff to use it, test it, assist in implementing the system and assess the effectiveness of its use.

The company to develop, test and implement a smart patrol system in the snow leopard landscapes, will carry out its activities under the overall supervision of the project manager, in close cooperation with the international technical adviser and the direct supervision of the project field coordinator on protected areas.

#### Scope of Work

#### Situational analysis and smart patrol system development.

- 1. Carry out analysis of best practices in organization and functioning of the smart patrol system around the globe, with particular focus on countries with analogous legislation and/or similar environmental conditions and socio-economic development.
- 2. Analyze the needs and priorities for establishment and implementation of a smart patrol system in the PAs targeted by the project, including clearly defining the clear and specific objectives to be achieved through use of a smart patrol system, and the expected benefits from implementation of a smart patrol system through defined quantitative performance indicators (i.e. % reduction in poaching or illegal

- activities, % improved monitoring coverage of patrolling, increased rigorousness and extent of biodiversity monitoring data, etc.)
- 3. Study the respective enabling conditions for implementation of a smart patrol system, including the legislation context, potential limited use of various communication means (i.e. inadequate cellular networks in remote areas, etc.), including the radio communication and internet for the smart patrol system implementation, in close cooperation with the project team.
- 4. Conduct analysis of physical and geographical (terrain features) and technical (internet availability, mobile communication, etc.) conditions of project territories (Chatkal State Biosphere Reserve and Gissar State Reserve) for use in smart-patrolling, including conditions for the potential use of unmanned aerial devices.
- 5. Analyze existing capacity of pilot protected areas and the PA staff for identification of opportunities and limitations in use of various types of smart patrol systems and development of a respective comprehensive smart patrol ranger training programme (including patrol planning, mapping, GPS technology, data collection, animal and plant identification, search and arrest, data processing and storage, etc.) based on the analysis.
- 6. Collect existing data on inspection work (i.e. current level of patrolling effort per hectare, cost per unit time/area of patrolling, etc.) for baseline identification and for further identification of the effectiveness of the smart patrol system (for tracking the progress).
- 7. Develop list of data categories that will be used in smart patrol system such as type of illegal incidents, ways of conducting inspection work (inspecting on-foot, horses, vehicles), animal species and traces of their activities, etc., in close cooperation with the project team, protected area staff and the inspection service on control and use of biodiversity and protected areas of the State Committee of the Republic of Uzbekistan for Ecology and Environmental Protection.
- 8. Prepare possible scenarios of smart patrol system introduction, including detailed description of proposed scenarios for Ugam Chatkal State Biosphere Reserve and Gissar State Reserve, based on analysis and consultations. Smart patrol system should include the following, apart from others:
  - a. Detailed description of data collection, fixing and transfer of data for storage and processing;
  - b. Prompt processing of data, their storage, access and sharing amongst stakeholders, and further use and analysis;
  - c. Mechanism of adaptive management of nature protection activities in accordance with the data obtained as a result of smart patrolling;
  - d. Mechanism of assessment of the system performance ("success indicators" of using the system);
- 9. Develop the respective list of necessary equipment (hardware) with detailed technical specifications for the selected smart patrol system.
- 10. Develop technical specifications for organization and work of the smart patrol center.
- 11. Provide the relevant software for the smart patrol system which is adapted to local conditions (interface language, cartographic materials, etc.), including development of an application for the GPS-enabled data collection devices to capture the spatial and attribute data collected by patrolling rangers.
- 12. Develop the detailed programmes:
  - a. For training of inspectors to conduct smart patrolling (knowledge/educational and hand-out materials, etc.);
  - b. For training of trainers, who will further be able to independently conduct training courses for inspectors on smart patrol system.
- 13. Develop detailed plan of smart patrol system work at least during project life-cycle (on reporting, conducting analysis, dissemination of practices, etc.).
- 14. Develop financial plans on smart patrol implementation and its maintenance of for long-term conditions and sustainability.

- 1. Develop (and reach agreement) the detailed plan of smart patrol system introduction and implementation.
- 2. Conduct trainings for inspectors and trainers on smart patrol, as well as for the staff on data analysis and processing.
- 3. Facilitate, in case of need, regular meetings and/or workshops between managers, ranger patrol staff, communities and other stakeholders in and around the reserve to discuss and analyze smart patrol data outputs, and collaboratively identify ways to address ongoing threats.
- 4. Provide technical inputs and guidance to procurement of technical equipment necessary for smart patrol system, and for installing and setting up equipment in field-based working conditions
- 5. Install and implement the smart patrol system in Chatkal State Biosphere Reserve and Gissar State Reserve.
- 6. Provide assistance and advice to pilot protected areas inspection on smart patrol system use.
- 7. Conduct initial monitoring and analysis of smart patrol system implementation and make additions and corrections to the system as necessary.
- 8. Conduct analysis of smart patrol system effectiveness based on the monitoring.

#### Recommendations and further actions.

Based on the monitoring and analysis of introduced smart patrol system performance:

- 1. Document the experience and practice, develop feasibility assessment for replication at a national level, as appropriate, and provide support to the project in replication and scaling-up of this good practice, as appropriate and feasible.
- 2. Provide support to the project, in technical aspects, in organization and conducting of a national workshop or other appropriate advocacy events for promotion of the smart patrol system at national level.
- 3. Develop a respective guidebook for protected area staff on introduction and implementation of a smart patrol system based on lessons learnt and good practices.

#### Work planning and progress reporting

- 1. Prepare (and consult with the project team) detailed plan of actions on development, introduction and implementation of smart patrol system in snow leopard landscapes (within the pilot protected areas).
- 2. Prepare annual workplans based on the detailed plan of actions.
- 3. Provide reports on results within an agreeable template and timeframe based on the annual workplan and other inputs as may be required by the project for reporting.
- 4. Provide information and inputs to the project on progress for project events such as conferences, workshops, in case of need.
- 5. Maintain regular and adequate communication with the project team, as required

#### **Expected outputs**

- 1. Detailed report on analysis of:
  - a. Existing experience on smart patrol system organization and implementation;
  - b. Policy context (legislative and regulatory conditions) affecting smart patrol system implementation in Uzbekistan;
  - c. Physical, geographic and technical conditions of two (Chatkal State Biosphere Reserve and Gissar State Reserve) pilot protected areas;
  - d. Indicators of inspection work of two pilot protected areas for identification of baseline;

- e. Existing capacity of two pilot protected areas (Chatkal State Biosphere Reserve and Gissar State Reserve), including the Ugam Chatkal State National Nature Park and Ugam-Chatkal Reserve (overall 4 protected areas).
- 2. Detailed proposals for the organization of a smart patrol system in two pilot areas, including but not limited to
  - a. Possible scenarios for implementing a smart patrol system in two pilot areas (with a detailed description of each of the proposed scenarios),
  - b. Expected results from implementation of smart patrol system in terms of performance indicators relative to baseline levels
- 3. Detailed plan for the organization of activities and monitoring of the smart patrol system until the end of the project and for the long-term period, and the financial plans/calculations to maintain the system in working order.
- 4. List of equipment for organizing a smart patrol system, including detailed specifications for the selected smart patrol system and technical specifications for the smart patrol center.
- 5. A set of adapted software for a smart patrol system, including the application for the GPS- enabled data collection devices.
- 6. Detailed training programmes for rangers/inspectors, data processing specialists and trainers.
- 7. Trainings conducted based on the programme for two pilot protected areas (Chatkal State Biosphere Reserve and Gissar State Reserve), including the Ugam Chatkal State National Nature Park and Ugam-Chatkal Reserve (overall 4 protected areas).
- 8. Smart patrol system implementation launched in Chatkal State Biosphere Reserve and Gissar State Reserve.
- 9. Report on monitoring of smart patrol system implementation.
- 10. Presentation on smart patrol system implementation experience at a national workshop.
- 11. Guidebook on smart patrol system introduction and implementation for protected areas.
- 12. Final report on smart patrol system implementation, including on gained experience and practices, analysis of its effectiveness and recommendations for expansion of the gained experience to other protected areas of the country.

#### **Duty Station**

This is a desk work with field trips to Tashkent and Kashkadarya regions of Uzbekistan.

#### Scope of bid price and schedule of outputs

Duration of contract is 36 months. Following is the deliverables and timeframe, including at least 6 missions to Uzbekistan, including to two pilot Protected Areas.

#	Deliverables	Timeframe
1	Detailed situational analysis report submitted and agreed with the project and partners.	3 months from the signing of contract by both parties
2	Proposals on smart patrol system organization (scenarios) in two pilot protected areas (Chatkal State Biosphere Reserve and Gissar State Reserve) developed and agreed with UNDP, national implementing agency and pilot protected areas as well as the detailed plan for the organization of activities and monitoring of the smart patrol system until the end of the	5 months from the signing of contract by both parties

	project and for the long-term period, and the financial plans/calculations to maintain the system in working order.	
3	The analysis of existent equipment for the use in the SMART patrol system is conducted and the detailed specification for an equipment is prepared (without pointing of particular brands).	5 months from the signing of contract by both parties
4	Existing software (free access) to create a SMART patrol system, including an application for gps-enabled data collection devices, adapted and installed.	8 months from the signing of contract by both parties or 1 month after procurement supply of required equipment by UNDP (depending on supply of UNDP
		equipment)
5	Detailed plan on organization and monitoring of smart patrol system as well as the financial calculations/plans for smart patrol system maintenance prepared and submitted.	9 months from the signing of contract by both parties
6	A comprehensive smart patrol ranger training programme developed and submitted.	10 months from the signing of contract by both parties
7	Trainings (at least two for each of the 2 pilot PAs) for the inspection staff (covering not less than 80% of the total number of inspectors from each of the PAs) and data processing specialists (at least one from each pilot PAs) conducted	12 months from the signing of contract by both parties
8	Smart patrol system implementation in two pilot protected areas (Chatkal State Biosphere Reserve and Gissar State Reserve) launched.	16 months from the signing of contract by both parties
9	Monitoring report of smart patrol system implementation prepared and submitted.	November 2019 20 months from the signing of contract by both parties
10	A guidebook on smart patrol system introduction and implementation for protected areas developed and a presentation on smart patrol system implementation experience delivered at a national workshop.	28 months from the signing of contract by both parties
11	Final report on smart patrol system implementation/use in Uzbekistan prepared and submitted as well as the recommendations for expansion of the gained experience to other protected areas of the country.	36 months from the signing of contract by both parties

#### **Payment Conditions**

This is a lump sum contract that should include costs of consultancy and international travel costs (and incountry air ticket costs), accommodation and meal (DSA or per diems in Tashkent and Kashkadarya regions) and visa costs required to produce the above deliverables.

Payment will be released in 10 (ten) installments below upon timely submission of respective deliverables and their acceptance by the Supervisor and UNDP CO.

1st (10% of the total contract amount) to be made upon achievement of Deliverable 1

2<sup>nd</sup> (5% of the total contract amount) to be made upon achievement of Deliverable 2

3<sup>rd</sup> (5% of the total contract amount) to be made upon achievement of Deliverable 3

4<sup>th</sup> (5% of the total contract amount) to be made upon achievement of Deliverable 4

5<sup>th</sup> (5% of the total contract amount) to be made upon achievement of Deliverable 5

 $6^{\text{th}}$  (15 % of the total contract amount) to be made upon achievement of Deliverable 6 and 7

7<sup>th</sup> (20 % of the total contract amount) to be made upon achievement of Deliverable 8

8th (5% of the total contract amount) to be made upon achievement of Deliverable 9

9<sup>th</sup> (20% of the total contract amount) to be made upon achievement of Deliverable 10

10<sup>th</sup> (10% of the total contract amount) to be made upon achievement of Deliverable 11

#### **Qualifications of the Successful Contractor**

- 1. Proven practical work experience on the subject not less than 5 years:
  - a. Smart patrol system installation and implementation, including adapted to local conditions;
  - b. Work experience with protected areas;
  - c. Experience on smart patrol training programme development and implementation;
- 2. Working knowledge of English is required, and knowledge of Russian and/or Uzbek is an advantage.
- 3. Good understanding of local policies and practices in protected areas management;
- 4. Outstanding time-management, organizational and interpersonal skills.

The company (team) to achieve the results in accordance with the terms of reference should have the following specialists as part of a team:

- 1. A leader with relevant experience in team management, organizational skills, planning skills, analytical work and reporting.
- Specialist on introduction of Smart patrol system, having practical experience and knowledge of the organization of the work of Smart patrol system and having a relative qualification on adaptation and installation of software.
- 3. Specialist (specialists) in the preparation of programs and conducting trainings, having considerable experience in conducting trainings for workers of protected areas and other environmental organizations.

The company will also be responsible for providing oral and written translations into Russian required within the frames of implementation, if required

# **Section 6:** Returnable Bidding Forms / Checklist

This form serves as a checklist for preparation of your Proposal. Please complete the Returnable Bidding Forms in accordance with the instructions in the forms and return them as part of your Proposal submission. No alteration to format of forms shall be permitted and no substitution shall be accepted.

Before submitting your Proposal, please ensure compliance with the Proposal Submission instructions of the BDS 22.

## **Technical Proposal Envelope:**

Have you duly completed all the Returnable Bidding Forms?	
<ul> <li>Form A: Technical Proposal Submission Form</li> </ul>	
<ul> <li>Form B: Bidder Information Form</li> </ul>	
<ul> <li>Form C: Joint Venture/Consortium/ Association Information Form</li> </ul>	
<ul> <li>Form D: Qualification Form</li> </ul>	
<ul> <li>Form E: Format of Technical Proposal</li> </ul>	
[Add other forms as necessary]	
Have you provided the required documents to establish compliance with the evaluation criteria in Section 4?	

## **Financial Proposal Envelope**

(Must be submitted in a separate sealed envelope/password protected email)

Form F: Financial Proposal Submission Form	
Form G: Financial Proposal Form	

### Form A: Technical Proposal Submission Form

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

We, the undersigned, offer to provide the services for [Insert Title of services] in accordance with your Request for Proposal No. [Insert RFP Reference Number] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and our Financial Proposal sealed under a separate envelope.

We hereby declare that our firm, its affiliates or subsidiaries or employees, including any JV/Consortium /Association members or subcontractors or suppliers for any part of the contract:

- a) is not under procurement prohibition by the United Nations, including but not limited to prohibitions derived from the Compendium of United Nations Security Council Sanctions Lists;
- b) have not been suspended, debarred, sanctioned or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization;
- c) have no conflict of interest in accordance with Instruction to Bidders Clause 4;
- do not employ, or anticipate employing, any person(s) who is, or has been a UN staff member within the last year, if said UN staff member has or had prior professional dealings with our firm in his/her capacity as UN staff member within the last three years of service with the UN (in accordance with UN post-employment restrictions published in ST/SGB/2006/15);
- e) have not declared bankruptcy, are not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against them that could impair their operations in the foreseeable future;
- f) undertake not to engage in proscribed practices, including but not limited to corruption, fraud, coercion, collusion, obstruction, or any other unethical practice, with the UN or any other party, and to conduct business in a manner that averts any financial, operational, reputational or other undue risk to the UN and we embrace the principles of the United Nations Supplier Code of Conduct and adhere to the principles of the United Nations Global Compact.

We declare that all the information and statements made in this Proposal are true and we accept that any misinterpretation or misrepresentation contained in this Proposal may lead to our disqualification and/or sanctioning by the UNDP.

We offer to provide services in conformity with the Bidding documents, including the UNDP General Conditions of Contract and in accordance with the Terms of Reference

Our Proposal shall be valid and remain binding upon us for the period of time specified in the Bid Data Sheet.

We understand and recognize that you are not bound to accept any Proposal you receive.

I, the undersigned, certify that I am duly authorized by [Insert Name of Bidder] to sign this Proposal and bind it should UNDP accept this Proposal.

Name:	 	 
Title:		
Date:		
Signature:		
- <b>J</b>		

[Stamp with official stamp of the Bidder]

# Form B: Bidder Information Form

Legal name of Bidder	[Complete]
Legal address	[Complete]
Year of registration	[Complete]
Bidder's Authorized Representative Information	Name and Title: [Complete] Telephone numbers: [Complete] Email: [Complete]
Are you a UNGM registered vendor?	$\square$ Yes $\square$ No If yes, [insert UGNM vendor number]
Are you a UNDP vendor?	$\square$ Yes $\square$ No If yes, [insert UNDP vendor number]
Countries of operation	[Complete]
No. of full-time employees	[Complete]
Quality Assurance Certification (e.g. ISO 9000 or Equivalent) (If yes, provide a Copy of the valid Certificate):	[Complete]
Does your Company hold any accreditation such as ISO 14001 related to the environment? (If yes, provide a Copy of the valid Certificate):	[Complete]
Does your Company have a written Statement of its Environmental Policy? (If yes, provide a Copy)	[Complete]
Contact person UNDP may contact for requests for clarification during Proposal evaluation  Please attach the following documents:	<ul> <li>Name and Title: [Complete]</li> <li>Telephone numbers: [Complete]</li> <li>Email: [Complete]</li> <li>Form of submitting proposal</li> <li>Company Profile, which should not exceed fifteen (15) pages, including printed brochures and product catalogues relevant to the goods/services being procured</li> <li>Certificate of Incorporation/ Business Registration</li> <li>Verified copy of the latest Income Statement and Balance Sheet for the last 2 years – 2016, 2017; The latest Income Statement and Balance Sheet should be duly certified by a Public Accountant or with authentication of receiving by the Government's Internal Revenue Authority. Include any indication of credit rating, industry rating, etc.</li> <li>List of corporate clients for similar services as those required by UNDP</li> <li>Statement of Satisfactory Performance from the Top 3 Clients in terms of Contract value and nature in the past 3 years</li> </ul>

Tax Registration/Payment Certificate issued by the Internal Revenue Authority evidencing that the Bidder is updated with its tax payment obligations, or Certificate of Tax exemption, if any such privilege is enjoyed by the Bidder Trade name registration papers, if applicable Verified copy of Quality Certificate (e.g., ISO, etc.) and/or other similar certificates, accreditations, awards and citations received by the Bidder, if any Official Letter of Appointment as local representative, if Bidder is submitting a Bid in behalf of an entity located outside the country CV/Resume of specialists to be involved in the project. Each CV/Resume must be signed by respective specialist proposed for the team using provided template Form C: Joint Venture/Consortium/Association Information Form Name of Bidder: Select date [Insert Name of Bidder] Date: RFP reference: [Insert RFP Reference Number] To be completed and returned with your Proposal if the Proposal is submitted as a Joint Venture/Consortium/Association. No Name of Partner and contact information Proposed proportion of responsibilities (in (address, telephone numbers, fax numbers, e-mail address) %) and type of services to be performed 1 [Complete] [Complete] 2 [Complete] [Complete] 3 [Complete] [Complete] Name of leading partner (with authority to bind the JV, Consortium, [Complete] Association during the RFP process and, in the event a Contract is awarded, during contract execution) We have attached a copy of the below document signed by every partner, which details the likely legal structure of and the confirmation of joint and severable liability of the members of the said joint venture: ☐ Letter of intent to form a joint venture OR ☐ JV/Consortium/Association agreement

We hereby confirm that if the contract is awarded, all parties of the Joint Venture/Consortium/Association shall be jointly and severally liable to UNDP for the fulfillment of the provisions of the Contract.

	porti cont	ry for the I	Contract I  Name of Client:  Address of Client:  Reason(s) for non-policy  ding pending litigation ast 3 years			Total Contract Amount (current value in US\$)
Year Litigatio	porti cont	on of tract	Contract I  Name of Client:  Address of Client:  Reason(s) for non-pe	erformance:		
Year	porti	on of tract	Contract I  Name of Client:  Address of Client:  Reason(s) for non-po	erformance:		
	porti	on of	Contract I  Name of Client:  Address of Client:			
	porti	on of	Contract I  Name of Client:  Address of Client:			
	porti	on of	Contract I  Name of Client:	dentification		
	porti	on of	Contract I	dentification		
	porti	on of	•	dentification		
	Non- pe	rformed	•	dentification		Total Contract Amount
Cantur	us) not pe	mormea to				
			lid not occur for the la	asi s years		
			on-Performanc			
lf JV/Consor	tium/Asso	ciation, to	be completed by eac	h partner.		
RFP referer	nce:	[Insert RF	P Reference Number			
Name of Bi	idder:	[Insert Na	ame of Bidder]		Date:	Select date
Form D:						
Date:				Date:		
Signature:				Signature:		
Name of p	artner:			Name of partner: _		
	Date:			Date:		
Date:	Signature:		Signature:			

Name of Client:
Address of Client:
Matter in dispute:
Party who initiated the dispute:
Status of dispute:
Party awarded if resolved:

## **Previous Relevant Experience**

Please list only previous similar assignments successfully completed in the last 3 years.

List only those assignments for which the Bidder was legally contracted or sub-contracted by the Client as a company or was one of the Consortium/JV partners. Assignments completed by the Bidder's individual experts working privately or through other firms cannot be claimed as the relevant experience of the Bidder, or that of the Bidder's partners or sub-consultants, but can be claimed by the Experts themselves in their CVs. The Bidder should be prepared to substantiate the claimed experience by presenting copies of relevant documents and references if so requested by UNDP.

Project name & Country of Assignment	Client & Reference Contact Details	Contract Value	Period of activity and status	Types of activities undertaken

Bidders may also attach their own Project Data Sheets with more details for assignments above.

	Attached are the Staten	nants of Satisfactory	, Parformance from	the Ton 3 (three)	Clients or more
1 1	Attached are the Staten	nenis oi sausiacion	v Periormance from	me rob 3 umreel	i Cilents of more.

# **Financial Standing**

Annual Turnover for the last 3 years	Year Year Year	USD USD USD	
Latest Credit Rating (if any), indicate the source	i cai	030	

Financial information (in US\$ equivalent)	Historic information for the last 3 years			
	Year 1	Year 2	Year 3	
	In	formation from Balance Sh	eet	
Total Assets (TA)				
Total Liabilities (TL)				
Current Assets (CA)				
Current Liabilities (CL)				
	Info	rmation from Income State	ment	
Total / Gross Revenue (TR)				
Profits Before Taxes (PBT)				
Net Profit				
Current Ratio				

☐ Attached are copies of the audited financial statements (balance sheets, including all related notes, and income statements) for the years required above complying with the following condition:

- a) Must reflect the financial situation of the Bidder or party to a JV, and not sister or parent companies;
- b) Historic financial statements must be audited by a certified public accountant;
- c) Historic financial statements must correspond to accounting periods already completed and audited. No statements for partial periods shall be accepted.

### Form E: Format of Technical Proposal

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

The Bidder's proposal should be organized to follow this format of Technical Proposal. Where the bidder is presented with a requirement or asked to use a specific approach, the bidder must not only state its acceptance, but also describe how it intends to comply with the requirements. Where a descriptive response is requested, failure to provide the same will be viewed as non-responsive.

#### SECTION 1: Bidder's qualification, capacity and expertise

- 1.1 Brief description of the organization, including the year and country of incorporation, and types of activities undertaken.
- 1.2 General organizational capability which is likely to affect implementation: management structure, financial stability and project financing capacity, project management controls, extent to which any work would be subcontracted (if so, provide details).
- 1.3 Relevance of specialized knowledge and experience on similar engagements done in the region/country.
- 1.4 Quality assurance procedures and risk mitigation measures.
- 1.5 Organization's commitment to sustainability.

#### **SECTION 2: Proposed Methodology, Approach and Implementation Plan**

This section should demonstrate the bidder's responsiveness to the TOR by identifying the specific components proposed, addressing the requirements, providing a detailed description of the essential performance characteristics proposed and demonstrating how the proposed approach and methodology meets or exceeds the requirements. All important aspects should be addressed in sufficient detail and different components of the project should be adequately weighted relative to one another.

- 2.1 A detailed description of the approach and methodology for how the Bidder will achieve the Terms of Reference of the project, keeping in mind the appropriateness to local conditions and project environment. Details how the different service elements shall be organized, controlled and delivered.
- 2.2 The methodology shall also include details of the Bidder's internal technical and quality assurance review mechanisms.
- 2.3 Explain whether any work would be subcontracted, to whom, how much percentage of the work, the rationale for such, and the roles of the proposed sub-contractors and how everyone will function as a team.
- 2.4 Description of available performance monitoring and evaluation mechanisms and tools; how they shall be adopted and used for a specific requirement.
- 2.5 Implementation plan including a Gantt Chart or Project Schedule indicating the detailed sequence of activities that will be undertaken and their corresponding timing.
- 2.6 Demonstrate how you plan to integrate sustainability measures in the execution of the contract.
- 2.7 Any other comments or information regarding the project approach and methodology that will be adopted.

#### SECTION 2A: Bidder's Comments and Suggestions on the Terms of Reference

Provide comments and suggestions on the Terms of Reference, or additional services that will be rendered beyond the requirements of the TOR, if any.

#### **SECTION 3: Management Structure and Key Personnel**

- 3.1 Describe the overall management approach toward planning and implementing the project. Include an organization chart for the management of the project describing the relationship of key positions and designations. Provide a spreadsheet to show the activities of each personnel and the time allocated for his/her involvement.
- 3.2 Provide CVs for key personnel that will be provided to support the implementation of this project using the format below. CVs should demonstrate qualifications in areas relevant to the Scope of Services.

### **Format for CV of Proposed Key Personnel**

Name of Personnel	[Insert]
Position for this assignment	[Insert]
Nationality	[Insert]
Language proficiency	[Insert]
Education/	[Summarize college/university and other specialized education of personnel member, giving names of schools, dates attended, and degrees/qualifications obtained.]
Qualifications	[Insert]
	[Provide details of professional certifications relevant to the scope of services]
Professional certifications	<ul><li>Name of institution: [Insert]</li><li>Date of certification: [Insert]</li></ul>
Employment Record/ Experience	[List all positions held by personnel (starting with present position, list in reverse order), giving dates, names of employing organization, title of position held and location of employment. For experience in last five years, detail the type of activities performed, degree of responsibilities, location of assignments and any other information or professional experience considered pertinent for this assignment.]
	[Insert]
	[Provide names, addresses, phone and email contact information for two (2) references]
References	Reference 1: [Insert]
	Reference 2: [Insert]

I, the undersigned, certify that to the best of my knowledge and belief, these data correctly describe my qualifications, my experiences, and other relevant information about myself.

Signature of Personnel	Date (Day/Month/Year)

# Form F: Financial Proposal Submission Form

Name of Bidder:	[Insert Name of Bidder]		Select date
RFP reference:	[Insert RFP Reference Number]		

We, the undersigned, offer to provide the services for [Insert Title of services] in accordance with your Request for Proposal No. [Insert RFP Reference Number] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and our Financial Proposal sealed under a separate envelope.

Our attached Financial Proposal is for the sum of [Insert amount in words and figures].

Our Proposal shall be valid and remain binding upon us for the period of time specified in the Bid Data Sheet.

We understand you are not bound to accept any Proposal you receive.

Name:	
Title:	
Date:	
Signature:	
•	

[Stamp with official stamp of the Bidder]

# Form G: Financial Proposal Form

Name of Bidder:	[Insert Name of Bidder]		Select date
RFP reference:	[Insert RFP Reference Number]		

The Bidder is required to prepare the Financial Proposal following the below format and submit it in an envelope separate from the Technical Proposal as indicated in the Instruction to Bidders. Any Financial information provided in the Technical Proposal shall lead to Bidder's disqualification.

The Financial Proposal should align with the requirements in the Terms of Reference and the Bidder's Technical Proposal.

**Currency of the proposal:** [Insert Currency]

**Table 1: Summary of Overall Prices** 

	Amount(s)
Professional Fees (from Table 2)	
Other Costs (from Table 3)	
Total Amount of Financial Proposal	

**Table 2: Breakdown of Professional Fees** 

Name	Position	Fee Rate	No. of Days/months/ hours	Total Amount
		Α	В	C=A+B
In-Country				
Home Based				
		Subtotal Pi	rofessional Fees:	

**Table 3: Breakdown of Other Costs** 

Description	иом	Quantity	Unit Price	Total Amount
International flights	Trip			

Subsistence allowance	Day			
Miscellaneous travel expenses	Trip			
Local transportation costs	Lump Sum			
Out-of-Pocket Expenses				
Other Costs: (please specify)				
		Sub	total Other Costs:	

# **Table 4: Breakdown of Price per Deliverable/Activity**

Deliverable/ Activity description	<b>Time</b> (person days)	Professional Fees	Other Costs	Total
Deliverable 1				
Deliverable 2				
Deliverable 3				