United Nations Development Programme



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REQUEST FOR PROPOSAL

for Consultancy Services for Implementation of Two (2) x 200 kW Mini-Hydro Power Plants under the Project on Facilitating Renewable Energy & Energy Efficiency Applications for Greenhouse Gas Emission Reduction (FREAGER)

RFP No: RFP/PNG/005-2019

Project: Facilitating Renewable Energy and Energy Efficiency Applications for Greenhouse Emission Reduction Project (FREAGER)

Country: Papua New Guinea

Issued on: 29th August 2019

Contents

SECTION 1	. LETT	ER OF INVITATION	4
SECTION 2	. INSTR	RUCTION TO BIDDERS	5
А	. GENEF	RAL PROVISIONS	5
	1.	Introduction	
	2.	Fraud & Corruption, Gifts and Hospitality	
	3.	Eligibility	
	4.	Conflict of Interests	
В	. PREPA	RATION OF PROPOSALS	
	5.	General Considerations	
	6.	Cost of Preparation of Proposal	
	7.	Language	
	8.	Documents Comprising the Proposal	
	9.	Documents Establishing the Eligibility and Qualifications of the Bidder	
	10.	Technical Proposal Format and Content	
	11.	Financial Proposals	
	12.	Proposal Security	7
	13.	Currencies	8
	14.	Joint Venture, Consortium or Association	8
	15.	Only One Proposal	9
	16.	Proposal Validity Period	
	17.	Extension of Proposal Validity Period	9
	18.	Clarification of Proposal	9
	19.	Amendment of Proposals	9
	20.	Alternative Proposals	10
	21.	Pre-Bid Conference	10
С	. SUBM	Ission and opening of proposals	10
	22.	Submission	10
	23.	Deadline for Submission of Proposals and Late Proposals	11
	24.	Withdrawal, Substitution, and Modification of Proposals	11
	25.	Proposal Opening	12
D	. EVALU	IATION OF PROPOSALS	12
	26.	Confidentiality	12
	27.	Evaluation of Proposals	12
	28.	Preliminary Examination	12
	29.	Evaluation of Eligibility and Qualification	12
	30.	Evaluation of Technical and Financial Proposals	13
	31.	Due Diligence	13
	32.	Clarification of Proposals	
	33.	Responsiveness of Proposal	14
	34.	Nonconformities, Reparable Errors and Omissions	14
E	. AWAR	D OF CONTRACT	
	35.	Right to Accept, Reject, Any or All Proposals	
	36.	Award Criteria	-
	37.	Debriefing	
	38.	Right to Vary Requirements at the Time of Award	
	39.	Contract Signature	
	40.	Contract Type and General Terms and Conditions	
	41.	Performance Security	
	42.	Bank Guarantee for Advanced Payment	
	43.	Liquidated Damages	
	44.	Payment Provisions	
	45.	Vendor Protest	
	46.	Other Provisions	16
SECTION 3	. BID D	DATA SHEET	17

SECTION 4. EVALUATION CRITERIA	21
SECTION 5. TERMS OF REFERENCE	25
SECTION 6: RETURNABLE BIDDING FORMS / CHECKLIST	
FORM A: TECHNICAL PROPOSAL SUBMISSION FORM	
FORM B: BIDDER INFORMATION FORM	
FORM C: JOINT VENTURE/CONSORTIUM/ASSOCIATION INFORMATION FORM	
FORM D: QUALIFICATION FORM	
FORM E: FORMAT OF TECHNICAL PROPOSAL	
FORM F: FINANCIAL PROPOSAL SUBMISSION FORM	41
FORM G: FINANCIAL PROPOSAL FORM	
FORM H: FORM OF PROPOSAL SECURITY	ERROR! BOOKMARK NOT DEFINED.

The United Nations Development Programme (UNDP) hereby invites you to submit a Proposal to this Request for Proposal (RFP) for the above-referenced subject.

This RFP includes the following documents and the General Terms and Conditions of Contract which is inserted in the Bid Data Sheet (BDS):

Section 1: This Letter of Invitation

Section 2: Instruction to Bidders

Section 3: Bid Data Sheet (BDS)

Section 4: Evaluation Criteria

Section 5: Terms of Reference

Section 6: Returnable Bidding Forms

- o Form A: Technical Proposal Submission Form
- Form B: Bidder Information Form
- o Form C: Joint Venture/Consortium/Association Information Form
- Form D: Qualification Form
- Form E: Format of Technical Proposal
- Form F: Financial Proposal Submission Form
- o Form G: Financial Proposal Form

If you are interested in submitting a Proposal in response to this RFP, please prepare your Proposal in accordance with the requirements and procedure as set out in this RFP and submit it by the Deadline for Submission of Proposals set out in Bid Data Sheet.

Please acknowledge receipt of this RFP by sending an email to procurement.pg@undp.org, indicating whether you intend to submit a Proposal or otherwise. You may also utilize the "Accept Invitation" function in eTendering system, where applicable. This will enable you to receive amendments or updates to the RFP. Should you require further clarifications, kindly communicate with the contact person/s identified in the attached Bid Data Sheet as the focal point for queries on this RFP.

UNDP looks forward to receiving your Proposal and thank you in advance for your interest in UNDP procurement opportunities.

Issued by: Name: Ripana James Title: Procurement Associate Date: 29/08/2019 Approved by: Name: Tirnesh Prasad Title: Head of Procurement Date: 29/08/2019

Section 2. Instruction to Bidders

A. GENERAL PROVISIONS			
1. Introduction	1.1 Bidders shall adhere to all the requirements of this RFP, including any amendments in writing by UNDP. This RFP is conducted in accordance with the UNDP Programme and Operations Policies and Procedures (POPP) on Contracts and Procurement which can be accessed at https://popp.undp.org/SitePages/POPPBSUnit.aspx?TermID=254a9f96-b883-476a-8ef8-e81f93a2b38d		
	1.2 Any Proposal submitted will be regarded as an offer by the Bidder and does not constitute or imply the acceptance of the Proposal by UNDP. UNDP is under no obligation to award a contract to any Bidder as a result of this RFP.		
	1.3 As part of the bid, it is desired that the Bidder registers at the United Nations Global Marketplace (UNGM) website (<u>www.ungm.org</u>). The Bidder may still submit a bid even if not registered with the UNGM. However, if the Bidder is selected for contract award, the Bidder must register on the UNGM prior to contract signature.		
2. Fraud & Corruption, Gifts and Hospitality	2.1 UNDP strictly enforces a policy of zero tolerance on proscribed practices, including fraud, corruption, collusion, unethical or unprofessional practices, and obstruction of UNDP vendors and requires all bidders/vendors observe the highest standard of ethics during the procurement process and contract implementation. UNDP's Anti-Fraud Policy can be found at http://www.undp.org/content/undp/en/home/operations/accountability/audit/officeeof of audit andinvestigation.html#anti		
	2.2 Bidders/vendors shall not offer gifts or hospitality of any kind to UNDP staff members including recreational trips to sporting or cultural events, theme parks or offers of holidays, transportation, or invitations to extravagant lunches or dinners.		
	 2.3 In pursuance of this policy, UNDP (a) Shall reject a proposal if it determines that the selected bidder has engaged in any corrupt or fraudulent practices in competing for the contract in question; (b) Shall declare a vendor ineligible, either indefinitely or for a stated period of time, to be awarded a contract if at any time it determines that the vendor has engaged in any corrupt or fraudulent practices in competing for, or in executing a UNDP contract. 		
	2.4 All Bidders must adhere to the UN Supplier Code of Conduct, which may be found at <u>http://www.un.org/depts/ptd/pdf/conduct_english.pdf</u>		
3. Eligibility	3.1 A vendor should not be suspended, debarred, or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization. Vendors are therefore required to disclose to UNDP whether they are subject to any sanction or temporary suspension imposed by these organizations.		
	3.2 It is the Bidder's responsibility to ensure that its employees, joint venture members, sub-contractors, service providers, suppliers and/or their employees meet the eligibility requirements as established by UNDP.		

4. Conflict of Interests	 interests, and act w a conflict of interest of the above, Bidde conflict of interest w a) Are or have been which have been of the design, services in this b) Were involved related to the services in the discrest c) Are found to be or at the discrest. Bidders mean whether or not such services in the bidding er involved in the country or any b) If the owners, per involved in the country or any b) All other circur conflict of interest. 	y uncertainty in the interpretation of a potential conflict of ust disclose to UNDP, and seek UNDP's confirmation on a conflict exists. ers must disclose in their proposal their knowledge of the part-owners, officers, directors, controlling shareholders, of utity or key personnel are family members of UNDP staff e procurement functions and/or the Government of the Implementing Partner receiving services under this RFP; and instances that could potentially lead to actual or perceived est, collusion or unfair competition practices. uch an information may result in the rejection of the proposal
	The eligibility of Bid be subject to UNDI being registered, op extent of Governm access to informatic lead to undue adv rejection of the Pro	ders that are wholly or partly owned by the Government shall D's further evaluation and review of various factors such as berated and managed as an independent business entity, the nent ownership/share, receipt of subsidies, mandate and on in relation to this RFP, among others. Conditions that may vantage against other Bidders may result in the eventual posal.
B. PREPARATION OF	POSALS	
5. General Considerations	Material deficiencie result in rejection o	
		be permitted to take advantage of any errors or omissions in th errors or omissions be discovered, the Bidder must notify
6. Cost of Preparation of Proposal	submission of the F not. UNDP shall no	ear any and all costs related to the preparation and/or Proposal, regardless of whether its Proposal was selected or ot be responsible or liable for those costs, regardless of the e of the procurement process.
7. Language	-	ell as any and all related correspondence exchanged by the hall be written in the language (s) specified in the BDS.
8. Documents	The Proposal shall of	comprise of the following documents:

Comprising the Proposal	 a) Documents Establishing the Eligibility and Qualifications of the Bidder; b) Technical Proposal; c) Financial Proposal; d) Proposal Security, if required by BDS; e) Any attachments and/or appendices to the Proposal.
9. Documents Establishing the Eligibility and Qualifications of the Bidder	9.1 The Bidder shall furnish documentary evidence of its status as an eligible and qualified vendor, using the Forms provided under Section 6 and providing documents required in those forms. In order to award a contract to a Bidder, its qualifications must be documented to UNDP's satisfaction.
10. Technical Proposal Format and Content	10.1 The Bidder is required to submit a Technical Proposal using the Standard Forms and templates provided in Section 6 of the RFP.
	10.2 The Technical Proposal shall not include any price or financial information. A Technical Proposal containing material financial information may be declared non-responsive.
	10.3 Samples of items, when required as per Section 5, shall be provided within the time specified and unless otherwise specified by UNDP, and at no expense to UNDP
	10.4 When applicable and required as per Section 5, the Bidder shall describe the necessary training programme available for the maintenance and operation of the services and/or equipment offered as well as the cost to the UNDP. Unless otherwise specified, such training as well as training materials shall be provided in the language of the Bid as specified in the BDS.
11. Financial Proposals	11.1 The Financial Proposal shall be prepared using the Standard Form provided in Section 6 of the RFP. It shall list all major cost components associated with the services, and the detailed breakdown of such costs.
	11.2 Any output and activities described in the Technical Proposal but not priced in the Financial Proposal, shall be assumed to be included in the prices of other activities or items, as well as in the final total price.
	11.3 Prices and other financial information must not be disclosed in any other place except in the financial proposal.
12. Proposal Security	12.1 A Proposal Security, if required by BDS, shall be provided in the amount and form indicated in the BDS. The Proposal Security shall be valid up to thirty (30) days after the final date of validity of the Proposal.
	12.2 The Proposal Security shall be included along with the Technical Proposal. If Proposal Security is required by the RFP but is not found along with the Technical Proposal, the Proposal shall be rejected.
	12.3 If the Proposal Security amount or its validity period is found to be less than what is required by UNDP, UNDP shall reject the Proposal.
	12.4 In the event an electronic submission is allowed in the BDS, Bidders shall include a copy of the Bid Security in their proposal and the original of the Proposal Security must be sent via courier or hand delivery as per the instructions in BDS.
	12.5 The Proposal Security may be forfeited by UNDP, and the Proposal rejected, in the event of any one or combination, of the following conditions:
	a) If the Bidder withdraws its offer during the period of the Proposal Validity specified in the BDS, or;b) In the event that the successful Bidder fails:

		i. to sign the Contract after UNDP has issued an award; or
	12.6	to furnish the Performance Security, insurances, or other documents that UNDP may require as a condition precedent to the effectivity of the contract that may be awarded to the Bidder.
13. Currencies	13.1	All prices shall be quoted in the currency or currencies indicated in the BDS. Where Proposals are quoted in different currencies, for the purposes of comparison of all Proposals:
		a) UNDP will convert the currency quoted in the Proposal into the UNDP preferred currency, in accordance with the prevailing UN operational rate of exchange on the last day of submission of Proposals; and
		b) In the event that UNDP selects a proposal for award that is quoted in a currency different from the preferred currency in the BDS, UNDP shall reserve the right to award the contract in the currency of UNDP's preference, using the conversion method specified above.
14. Joint Venture, Consortium or Association	14.1	If the Bidder is a group of legal entities that will form or have formed a Joint Venture (JV), Consortium or Association for the Proposal, they shall confirm in their Proposal that : (i) they have designated one party to act as a lead entity, duly vested with authority to legally bind the members of the JV, Consortium or Association jointly and severally, which shall be evidenced by a duly notarized Agreement among the legal entities, and submitted with the Proposal; and (ii) if they are awarded the contract, the contract shall be entered into, by and between UNDP and the designated lead entity, who shall be acting for and on behalf of all the member entities comprising the joint venture.
	14.2	After the Deadline for Submission of Proposal, the lead entity identified to represent the JV, Consortium or Association shall not be altered without the prior written consent of UNDP.
	14.3	The lead entity and the member entities of the JV, Consortium or Association shall abide by the provisions of Clause 9 herein in respect of submitting only one proposal.
	14.4	The description of the organization of the JV, Consortium or Association must clearly define the expected role of each of the entity in the joint venture in delivering the requirements of the RFP, both in the Proposal and the JV, Consortium or Association Agreement. All entities that comprise the JV, Consortium or Association shall be subject to the eligibility and qualification assessment by UNDP.
	14.5	A JV, Consortium or Association in presenting its track record and experience should clearly differentiate between:
		a) Those that were undertaken together by the JV, Consortium or Association; and
		b) Those that were undertaken by the individual entities of the JV, Consortium or Association.
	14.6	Previous contracts completed by individual experts working privately but who are permanently or were temporarily associated with any of the member firms cannot be claimed as the experience of the JV, Consortium or Association or those of its members, but should only be claimed by the individual experts themselves in their presentation of their individual credentials.
	14.7	JV, Consortium or Associations are encouraged for high value, multi-sectoral requirements when the spectrum of expertise and resources required may not be available within one firm.

15. Only One Proposal	5.1 The Bidder (including the individual members of any Joint Venture) shall submit only one Proposal, either in its own name or as part of a Joint Venture.
	 5.2 Proposals submitted by two (2) or more Bidders shall all be rejected if they are found to have any of the following: a) they have at least one controlling partner, director or shareholder in common; or b) any one of them receive or have received any direct or indirect subsidy from the other/s; or c) they have the same legal representative for purposes of this RFP; or d) they have a relationship with each other, directly or through common third parties, that puts them in a position to have access to information about, or influence on the Proposal of, another Bidder regarding this RFP process; e) they are subcontractors to each other's Proposal, or a subcontractor to one Proposal also submits another Proposal under its name as lead Bidder; or f) some key personnel proposed to be in the team of one Bidder participates in more than one Proposal received for this RFP process. This condition relating to the personnel, does not apply to subcontractors being included in more than one Proposal.
16. Proposal Validity Period	6.1 Proposals shall remain valid for the period specified in the BDS, commencing on the Deadline for Submission of Proposals. A Proposal valid for a shorter period may be rejected by UNDP and rendered non-responsive.
	6.2 During the Proposal validity period, the Bidder shall maintain its original Proposal without any change, including the availability of the Key Personnel, the proposed rates and the total price.
17.Extension of Proposal Validity Period	7.1 In exceptional circumstances, prior to the expiration of the proposal validity period, UNDP may request Bidders to extend the period of validity of their Proposals. The request and the responses shall be made in writing, and shall be considered integral to the Proposal.
	7.2 If the Bidder agrees to extend the validity of its Proposal, it shall be done without any change in the original Proposal.
	7.3 The Bidder has the right to refuse to extend the validity of its Proposal, and in which case, such Proposal will not be further evaluated.
18. Clarification of Proposal	8.1 Bidders may request clarifications on any of the RFP documents no later than the date indicated in the BDS. Any request for clarification must be sent in writing in the manner indicated in the BDS. If inquiries are sent other than specified channel, even if they are sent to a UNDP staff member, UNDP shall have no obligation to respond or confirm that the query was officially received.
	8.2 UNDP will provide the responses to clarifications through the method specified in the BDS.
	8.3 UNDP shall endeavor to provide responses to clarifications in an expeditious manner, but any delay in such response shall not cause an obligation on the part of UNDP to extend the submission date of the Proposals, unless UNDP deems that such an extension is justified and necessary.
19. Amendment of Proposals	9.1 At any time prior to the deadline of Proposal submission, UNDP may for any reason, such as in response to a clarification requested by a Bidder, modify the RFP in the form of an amendment to the RFP. Amendments will be made available to all prospective bidders.

	19.2 If the amendment is substantial, UNDP may extend the Deadline for submission of proposal to give the Bidders reasonable time to incorporate the amendmen into their Proposals.
20. Alternative Proposals	20.1 Unless otherwise specified in the BDS, alternative proposals shall not be considered. If submission of alternative proposal is allowed by BDS, a Bidder may submit an alternative proposal, but only if it also submits a proposal conforming to the RFP requirements. UNDP shall only consider the alternative proposal offered by the Bidder whose conforming proposal ranked the highest as per the specified evaluation method. Where the conditions for its acceptance are met or justifications are clearly established, UNDP reserves the right to award a contract based on an alternative proposal.
	20.2 If multiple/alternative proposals are being submitted, they must be clearly marked as "Main Proposal" and "Alternative Proposal"
21. Pre-Bid Conference	21.1 When appropriate, a Bidder's conference will be conducted at the date, time and location specified in the BDS. All Bidders are encouraged to attend. Non attendance, however, shall not result in disqualification of an interested Bidder Minutes of the Bidder's conference will be disseminated on the procuremen website and shared by email or on the e-Tendering platform as specified in the BDS. No verbal statement made during the conference shall modify the term and conditions of the RFP, unless specifically incorporated in the Minutes of the Bidder's Conference or issued/posted as an amendment to RFP.
C. SUBMISSION AND	PENING OF PROPOSALS
22. Submission	22.1 The Bidder shall submit a duly signed and complete Proposal comprising the documents and forms in accordance with the requirements in the BDS. The submission shall be in the manner specified in the BDS.
	22.2 The Proposal shall be signed by the Bidder or person(s) duly authorized to commit the Bidder. The authorization shall be communicated through a document evidencing such authorization issued by the legal representative of the bidding entity, or a Power of Attorney, accompanying the Proposal.
	22.3 Bidders must be aware that the mere act of submission of a Proposal, in and o itself, implies that the Bidder fully accepts the UNDP General Contract Terms and Conditions.
Hard copy (manual) submission	22.4 Hard copy (manual) submission by courier or hand delivery allowed or specified in the BDS shall be governed as follows:
	a) The signed Proposal shall be marked "Original", and its copies marked "Copy" as appropriate. The number of copies is indicated in the BDS. Al copies shall be made from the signed original only. If there are discrepancies between the original and the copies, the original shall prevail
	b) The Technical Proposal and the Financial Proposal envelopes MUST BI COMPLETELY SEPARATE and each of them must be submitted sealed individually and clearly marked on the outside as either "TECHNICA PROPOSAL" or "FINANCIAL PROPOSAL", as appropriate. Each envelope SHALL clearly indicate the name of the Bidder. The outer envelopes shall:
	i. Bear the name and address of the bidder;
	ii. Be addressed to UNDP as specified in the BDS

	iii.	Bear a warning that states "Not to be opened before the time and date for proposal opening" as specified in the BDS.
		If the envelopes and packages with the Proposal are not sealed and marked as required, UNDP shall assume no responsibility for the misplacement, loss, or premature opening of the Proposal.
Email Submission	22.5 Em	nail submission, if allowed or specified in the BDS, shall be governed as follows:
	a)	Electronic files that form part of the proposal must be in accordance with the format and requirements indicated in BDS;
	b)	The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE. The financial proposal shall be encrypted with different passwords and clearly labelled. The files must be sent to the dedicated email address specified in the BDS.
	c)	The password for opening the Financial Proposal should be provided only upon request of UNDP. UNDP will request password only from bidders whose Technical Proposal has been found to be technically responsive. Failure to provide correct password may result in the proposal being rejected.
eTendering submission		ectronic submission through eTendering, if allowed or specified in the BDS, all be governed as follows:
	a)	Electronic files that form part of the proposal must be in accordance with the format and requirements indicated in BDS;
	b)	The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE and each of them must be uploaded individually and clearly labelled.
	d)	The Financial Proposal file must be encrypted with a password so that it cannot be opened nor viewed until the password is provided. The password for opening the Financial Proposal should be provided only upon request of UNDP. UNDP will request password only from bidders whose technical proposal has been found to be technically responsive. Failure to provide the correct password may result in the proposal being rejected.
	c)	Documents which are required to be in original form (e.g. Bid Security, etc.) must be sent via courier or hand delivery as per the instructions in BDS.
	d)	Detailed instructions on how to submit, modify or cancel a bid in the eTendering system are provided in the eTendering system Bidder User Guide and Instructional videos available on this link: <u>http://www.undp.org/content/undp/en/home/operations/procurement/bu</u> <u>siness/procurement-notices/resources/</u>
23. Deadline for Submission of Proposals and Late	the	omplete Proposals must be received by UNDP in the manner, and no later than e date and time, specified in the BDS. UNDP shall only recognize the date and ne that the bid was received by UNDP
Proposals		NDP shall not consider any Proposal that is submitted after the deadline for e submission of Proposals.
24. Withdrawal, Substitution, and		Bidder may withdraw, substitute or modify its Proposal after it has been bmitted at any time prior to the deadline for submission.
Modification of Proposals	Pro	anual and Email submissions: A bidder may withdraw, substitute or modify its oposal by sending a written notice to UNDP, duly signed by an authorized oresentative, and shall include a copy of the authorization (or a Power of

	24.3 e C tt D 24.4 P (d b	Attorney). The corresponding substitution or modification of the Proposal, if any, must accompany the respective written notice. All notices must be submitted in the same manner as specified for submission of proposals, by clearly marking mem as "WITHDRAWAL" "SUBSTITUTION," or "MODIFICATION" Tendering: A Bidder may withdraw, substitute or modify its Proposal by fanceling, Editing, and re-submitting the proposal directly in the system. It is the responsibility of the Bidder to properly follow the system instructions, duly dit and submit a substitution or modification of the Proposal as needed. Detailed instructions on how to cancel or modify a Proposal directly in the system are provided in Bidder User Guide and Instructional videos. Troposals requested to be withdrawn shall be returned unopened to the Bidders only for manual submissions), except if the bid is withdrawn after the bid has een opened
25. Proposal Opening	р (2	here is no public bid opening for RFPs. UNDP shall open the Proposals in the resence of an ad-hoc committee formed by UNDP, consisting of at least two 2) members. In the case of e-Tendering submission, bidders will receive an utomatic notification once their proposal is opened.
D. EVALUATION OF F	ROPOSA	LS
26. Confidentiality	P B	nformation relating to the examination, evaluation, and comparison of roposals, and the recommendation of contract award, shall not be disclosed to idders or any other persons not officially concerned with such process, even fter publication of the contract award.
	tł d	iny effort by a Bidder or anyone on behalf of the Bidder to influence UNDP in the examination, evaluation and comparison of the Proposals or contract award ecisions may, at UNDP's decision, result in the rejection of its Proposal and may e subject to the application of prevailing UNDP's vendor sanctions procedures.
27. Evaluation of Proposals	p U	he Bidder is not permitted to alter or modify its Proposal in any way after the roposal submission deadline except as permitted under Clause 24 of this RFP. INDP will conduct the evaluation solely on the basis of the submitted Technical nd Financial Proposals.
		valuation of proposals is made of the following steps:
	aj b c) d	 Minimum Eligibility and Qualification (if pre-qualification is not done) Evaluation of Technical Proposals
28. Preliminary Examination	re b o	INDP shall examine the Proposals to determine whether they are complete with espect to minimum documentary requirements, whether the documents have een properly signed, and whether the Proposals are generally in order, among ther indicators that may be used at this stage. UNDP reserves the right to reject ny Proposal at this stage.
29. Evaluation of Eligibility and Qualification	E	ligibility and Qualification of the Bidder will be evaluated against the Minimum ligibility/Qualification requirements specified in the Section 4 (Evaluation riteria).
	q	 a) general terms, vendors that meet the following criteria may be considered ualified: a) They are not included in the UN Security Council 1267/1989 Committee's list of terrorists and terrorist financiers, and in UNDP's ineligible vendors' list; b) They have a good financial standing and have access to adequate financial

	 resources to perform the contract and all existing commercial commitments, c) They have the necessary similar experience, technical expertise, production capacity where applicable, quality certifications, quality assurance procedures and other resources applicable to the provision of the services required; d) They are able to comply fully with UNDP General Terms and Conditions of Contract; e) They do not have a consistent history of court/arbitral award decisions against the Bidder; and f) They have a record of timely and satisfactory performance with their clients.
30. Evaluation of Technical and Financial Proposals	30.1 The evaluation team shall review and evaluate the Technical Proposals on the basis of their responsiveness to the Terms of Reference and other RFP documents, applying the evaluation criteria, sub-criteria, and point system specified in the Section 4 (Evaluation Criteria). A Proposal shall be rendered non-responsive at the technical evaluation stage if it fails to achieve the minimum technical score indicated in the BDS. When necessary and if stated in the BDS, UNDP may invite technically responsive bidders for a presentation related to their technical proposals. The conditions for the presentation shall be provided in the bid document where required.
	30.2 In the second stage, only the Financial Proposals of those Bidders who achieve the minimum technical score will be opened for evaluation. The Financial Proposals corresponding to Technical Proposals that were rendered non- responsive shall remain unopened, and, in the case of manual submission, be returned to the Bidder unopened. For emailed Proposals and e-tendering submissions, UNDP will not request for the password of the Financial Proposals of bidders whose Technical Proposal were found not responsive.
	30.3 The evaluation method that applies for this RFP shall be as indicated in the BDS, which may be either of two (2) possible methods, as follows: (a) the lowest priced method which selects the lowest evaluated financial proposal of the technically responsive Bidders; or (b) the combined scoring method which will be based on a combination of the technical and financial score.
	30.4 When the BDS specifies a combined scoring method, the formula for the rating of the Proposals will be as follows:
	Rating the Technical Proposal (TP):
	TP Rating = (Total Score Obtained by the Offer / Max. Obtainable Score for TP) x 100
	Rating the Financial Proposal (FP):
	FP Rating = (Lowest Priced Offer / Price of the Offer Being Reviewed) x 100
	Total Combined Score:
	Combined Score = (TP Rating) x (Weight of TP, e.g. 70%) + (FP Rating) x (Weight of FP, e.g., 30%)
31. Due Diligence	31.1 UNDP reserves the right to undertake a due diligence exercise, also called post qualification, aimed at determining to its satisfaction, the validity of the information provided by the Bidder. Such exercise shall be fully documented and may include, but need not be limited to, all or any combination of the

	following:
	 a) Verification of accuracy, correctness and authenticity of information provided by the Bidder; b) Validation of extent of compliance to the RFP requirements and evaluation criteria based on what has so far been found by the evaluation team; c) Inquiry and reference checking with Government entities with jurisdiction on the Bidder, or with previous clients, or any other entity that may have done business with the Bidder; d) Inquiry and reference checking with previous clients on the performance on on-going or contracts completed, including physical inspections of previous works, as necessary; e) Physical inspection of the Bidder's offices, branches or other places where business transpires, with or without notice to the Bidder; f) Other means that UNDP may deem appropriate, at any stage within the selection process, prior to awarding the contract.
32. Clarification of Proposals	32.1 To assist in the examination, evaluation and comparison of Proposals, UNDP may, at its discretion, ask any Bidder for a clarification of its Proposal.
	32.2 UNDP's request for clarification and the response shall be in writing and no change in the prices or substance of the Proposal shall be sought, offered, or permitted, except to provide clarification, and confirm the correction of any arithmetic errors discovered by UNDP in the evaluation of the Proposals, in accordance with RFP.
	32.3 Any unsolicited clarification submitted by a Bidder in respect to its Proposal, which is not a response to a request by UNDP, shall not be considered during the review and evaluation of the Proposals.
33. Responsiveness of Proposal	33.1 UNDP's determination of a Proposal's responsiveness will be based on the contents of the Proposal itself. A substantially responsive Proposal is one that conforms to all the terms, conditions, TOR and other requirements of the RFP without material deviation, reservation, or omission.
	33.2 If a Proposal is not substantially responsive, it shall be rejected by UNDP and may not subsequently be made responsive by the Bidder by correction of the material deviation, reservation, or omission.
34. Nonconformities, Reparable Errors and Omissions	34.1 Provided that a Proposal is substantially responsive, UNDP may waive any non- conformities or omissions in the Proposal that, in the opinion of UNDP, do not constitute a material deviation.
	34.2 UNDP may request the Bidder to submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities or omissions in the Proposal related to documentation requirements. Such omission shall not be related to any aspect of the price of the Proposal. Failure of the Bidder to comply with the request may result in the rejection of its Proposal.
	34.3 For Financial Proposal that has been opened, UNDP shall check and correct arithmetical errors as follows:
	 a) if there is a discrepancy between the unit price and the line item total that is obtained by multiplying the unit price by the quantity, the unit price shall prevail and the line item total shall be corrected, unless in the opinion of UNDP there is an obvious misplacement of the decimal point in the unit price; in which case the line item total as quoted shall govern and the unit price shall be corrected;
	b) if there is an error in a total corresponding to the addition or subtraction

		of subtotals, the subtotals shall prevail and the total shall be corrected; and
		 c) if there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail.
	34.4	If the Bidder does not accept the correction of errors made by UNDP, its Proposal shall be rejected.
E. AWARD OF CONTR	RACT	
35. Right to Accept, Reject, Any or All Proposals	35.1	UNDP reserves the right to accept or reject any Proposal, to render any or all of the Proposals as non-responsive, and to reject all Proposals at any time prior to award of contract, without incurring any liability, or obligation to inform the affected Bidder(s) of the grounds for UNDP's action. UNDP shall not be obliged to award the contract to the lowest priced offer.
36. Award Criteria	36.1	Prior to expiration of the proposal validity, UNDP shall award the contract to the qualified Bidder based on the award criteria indicated in the BDS.
37. Debriefing	37.1	In the event that a Bidder is unsuccessful, the Bidder may request a debriefing from UNDP. The purpose of the debriefing is to discuss the strengths and weaknesses of the Bidder's submission, in order to assist the Bidder in improving its future proposals for UNDP procurement opportunities. The content of other proposals and how they compare to the Bidder's submission shall not be discussed.
38. Right to Vary Requirements at the Time of Award	38.1	At the time of award of Contract, UNDP reserves the right to vary the quantity of services and/or goods, by up to a maximum twenty-five per cent (25%) of the total offer, without any change in the unit price or other terms and conditions.
39. Contract Signature	39.1	Within fifteen (15) days from the date of receipt of the Contract, the successful Bidder shall sign and date the Contract and return it to UNDP. Failure to do so may constitute sufficient grounds for the annulment of the award, and forfeiture of the Proposal Security, if any, and on which event, UNDP may award the Contract to the Second Ranked Bidder or call for new Proposals.
40. Contract Type and General Terms and Conditions	40.1	The types of Contract to be signed and the applicable UNDP Contract General Terms and Conditions, as specified in BDS, can be accessed at http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html
41. Performance Security	41.1	40.1 A performance security, if required in BDS, shall be provided in the amount specified in BDS and form available at
		https://popp.undp.org/ layouts/15/WopiFrame.aspx?sourcedoc=/UNDP_POPP_ DOCUMENT_LIBRARY/Public/PSU_Solicitation_Performance%20Guarantee%20 Form.docx&action=default within fifteen (15) days of the contract signature by both parties. Where a performance security is required, the receipt of the performance security by UNDP shall be a condition for rendering the contract effective.
42. Bank Guarantee for Advanced Payment	42.1	Except when the interests of UNDP so require, it is UNDP's preference to make no advance payment(s) (i.e., payments without having received any outputs). If an advance payment is allowed as per BDS, and exceeds 20% of the total contract price, or USD 30,000, whichever is less, the Bidder shall submit a Bank Guarantee in the full amount of the advance payment in the form available at

		https://popp.undp.org/ layouts/15/WopiFrame.aspx?sourcedoc=/UNDP_POPP
43. Liquidated Damages	43.1	If specified in BDS, UNDP shall apply Liquidated Damages resulting from the Contractor's delays or breach of its obligations as per the Contract.
44. Payment Provisions	44.1	Payment will be made only upon UNDP's acceptance of the work performed. The terms of payment shall be within thirty (30) days, after receipt of invoice and certification of acceptance of work issued by the proper authority in UNDP with direct supervision of the Contractor. Payment will be effected by bank transfer in the currency of contract.
45. Vendor Protest	45.1	UNDP's vendor protest procedure provides an opportunity for appeal to those persons or firms not awarded a contract through a competitive procurement process. In the event that a Bidder believes that it was not treated fairly, the following link provides further details regarding UNDP vendor protest procedures: <u>http://www.undp.org/content/undp/en/home/operations/procurement/busine</u> <u>ss/protest-and-sanctions.html</u>
46. Other Provisions	46.1	In the event that the Bidder offers a lower price to the host Government (e.g. General Services Administration (GSA) of the federal government of the United States of America) for similar services, UNDP shall be entitled to same lower price. The UNDP General Terms and Conditions shall have precedence.
	46.2	UNDP is entitled to receive the same pricing offered by the same Contractor in contracts with the United Nations and/or its Agencies. The UNDP General Terms and Conditions shall have precedence.
	46.3	The United Nations has established restrictions on employment of (former) UN staff who have been involved in the procurement process as per bulletin ST/SGB/2006/15 http://www.un.org/en/ga/search/view_doc.asp?symbol=ST/SGB/2006/15&refererer

The following data for the services to be procured shall complement, supplement, or amend the provisions in the Request for Proposals. In the case of a conflict between the Instructions to Bidders, the Data Sheet, and other annexes or references attached to the Data Sheet, the provisions in the Data Sheet shall prevail.

BDS No.	Ref. to Section.2	Data	Specific Instructions / Requirements
1	7	Language of the Proposal	English
2		Submitting Proposals for Parts or sub-parts of the TOR (partial bids)	Not Allowed
3	20	Alternative Proposals	Shall not be considered
4	21	Pre-proposal conference	N/A
5	10	Proposal Validity Period	120 days
6	14	Bid Security	Not Required
7	41	Advanced Payment upon signing of contract	Not Allowed
8	42	Liquidated Damages	Will be imposed under the following conditions: 0.2 percent of the delivered price of the delayed services for each additional day of delay until actual delivery, up to a maximum deduction of 10 per cent of the purchase order/contract price. Once the maximum is reached, the UN purchasing authority may consider termination of the purchase order/contract
9	40	Performance Security	Not Required
10	18	Currency of Proposal	United States Dollar (USD\$) Reference date for determining UN Operational Exchange Rate: August 2019

			Currency conversion would be based on UN Operational Rate of Exchange of the bid submission date, available at http://treasury.un.org/operationalrates/OperationalRates.aspx
11	31	Deadline for submitting requests for clarifications/ questions	5 days before the submission deadline
12	31	Contact Details for submitting clarifications/questions	 Focal Person in UNDP: Ripana James Address: United Nations Development Programme P.O. Box 1041. Port Moresby, N.C.D, Papua New Guinea E-mail address: procurement.pg@undp.org (Respond to clarification question(s) will be only provided for written clarification question(s), not through verbal clarification question(s). Any delay in UNDP's response shall be not used as a reason for extending the deadline for submission, unless UNDP determines that such an extension is necessary and communicates a new deadline to the Proposers
13	18, 19 and 21	Manner of Disseminating Supplemental Information to the RFP and responses/clarifications to queries	Posted directly to eTendering
14	23	Deadline for Submission	As indicated in eTendering system. Note that system time zone is in EST/EDT (New York) time zone. Bidders should avoid attempting to register and upload just prior to the deadline as UNDP shall not be held responsible for congestion or delays in transmission. It is the Bidder's responsibility to ensure bids uploaded before the deadline.
14	22	Allowable Manner of Submitting Proposals	⊠ e-Tendering Bids may be submitted on or before the deadline indicated by UNDP in the e-tendering system.
15	22	Proposal Submission Address	Bids must be submitted in the online e-tendering system in the following link: https://etendering.partneragencies.org using your username and password. If you have not registered in the system before, you can register now by logging in using:

			Username: event.guest
			Password: why2change
			and follow the registration steps as specified in the e-tendering instruction manual or use this link to access e-tendering instruction manual:
			http://www.undp.org/content/undp/en/home/operations/procurement/ business/procurement-notices/resources/
16	22	Electronic submission (eTendering) requirements	 Format: PDF files only File names must be maximum 60 characters long and must not contain any letter or provide born store other than from Letin
		requirements	contain any letter or special character other than from Latin alphabet/keyboard.
			• All files must be free of viruses and not corrupted.
			 Max. File Size per transmission: 10MB
			 Documents which are required in original (e.g. Bid Security, Performances Security) should be sent to the below address with a PDF copy submitted as part of the electronic submission:
			The Resident Representative
			C/-UNDP, Level 14, Kina Haus,
			Douglas Street, Port Moresby
			Papua New Guinea. Attention: Tirnesh Prasad
17	27	Evaluation Method for	Combined Scoring Method, using the 70%-30% distribution for
	36	the Award of Contract	technical and financial proposals, respectively
			The minimum technical score required to pass is 70%.
18		Expected date for commencement of Contract	October 14, 2019
19		Maximum expected duration of contract	Approximately 18 months with up to 100 person days of inputs.
20	35	UNDP will award the contract to:	One Proposer Only
21	39	Type of Contract	Purchase Order and Contract for Goods and Services for UNDP
			http://www.undp.org/content/undp/en/home/procurement/business/ho w-we-buy.html
22	39	UNDP Contract Terms and Conditions that will	UNDP General Terms and Conditions for Professional Services
		apply	http://www.undp.org/content/undp/en/home/procurement/business/ho
			w-we-buy.html

23	Other Information Related to the RFP	Company Profile, which should not exceed fifteen (15) pages, including printed brochures and product catalogues relevant to the goods/services being procured
		☑ Tax Registration/Payment Certificate issued by the Internal Revenue Authority evidencing that the Bidder is updated with its tax payment obligations, or Certificate of Tax exemption, if any such privilege is enjoyed by the Bidder
		Certificate of Registration of the business, including Articles of Incorporation, or equivalent document if Bidder is not a corporation
		☑ Official Letter of Appointment as local representative, if Bidder is submitting a Bid in behalf of an entity located outside the country
		Quality Certificate (e.g., ISO, etc.) and/or other similar certificates, accreditations, awards and citations received by the Bidder, if any
		Latest Audited Financial Statement (Income Statement and Balance Sheet) including Auditor's Report for the past [2 years]
		Statement of Satisfactory Performance from the Top [3] Clients in terms of Contract Value the past [5 years]
		☑ CV's of all key personnel proposed for this tender
		All information regarding any past and current litigation during the last five (5) years, in which the bidder is involved, indicating the parties concerned, the subject of the litigation, the amounts involved, and the final resolution if already concluded.
		⊠ Signed Technical Proposal (Annex 2)
		⊠ Signed Financial Proposal (Annex 3)
		⊠ Signed Bid Submission Form (Annex 4)

Preliminary Examination Criteria

Proposals will be examined to determine whether they are complete and submitted in accordance with RFP requirements as per below criteria on a Yes/No basis:

- Appropriate signatures
- Power of Attorney
- Minimum documents provided
- Technical and Financial Proposals submitted separately
- Bid Validity
- Bid Security submitted as per RFP requirements with compliant validity period

Minimum Eligibility and Qualification Criteria

Eligibility and Qualification will be evaluated on Pass/Fail basis.

If the Proposal is submitted as a Joint Venture/Consortium/Association, each member should meet minimum criteria, unless otherwise specified in the criterion.

Subject	Criteria	Document Submission requirement
ELIGIBILITY		
Legal Status	Vendor is a legally registered entity.	Form B: Bidder Information Form
Eligibility	Vendor is not suspended, nor debarred, nor otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization in accordance with ITB clause 3.	Form A: Technical Proposal Submission Form
Conflict of Interest	No conflicts of interest in accordance with ITB clause 4.	Form A: Technical Proposal Submission Form
Bankruptcy	Not declared bankruptcy, not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against the vendor that could impair its operations in the foreseeable future.	Form A: Technical Proposal Submission Form
QUALIFICATION		
History of Non- Performing Contracts ¹	Non-performance of a contract did not occur as a result of contractor default for the last 3 years.	Form D: Qualification Form
Litigation History	No consistent history of court/arbitral award decisions against the Bidder for the last 3 years.	Form D: Qualification Form

¹ Non-performance, as decided by UNDP, shall include all contracts where (a) non-performance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Non-performance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Bidder have been exhausted.

Previous Experience	 Minumum 5 years of experience on Technical hydro power capability, including feasibility and design capability. Minumum 5 years of Experience of working with Community Groups on energy efficiency and renewable energy related projects. A proven track record of setting up similar hydro power projects, including activities such as applying for relevant regulatory licences and managing steps in the hydropower development process. 	Form D: Qualification Form	
	Minimum 1 contracts of similar value, nature and complexity implemented over the last 5 years. (For JV/Consortium/Association, all Parties cumulatively should meet requirement).	Form D: Qualification Form	
Financial Standing	Minimum average annual turnover of USD150,000 for the last 3 years. (For JV/Consortium/Association, all Parties cumulatively should meet requirement).	Form D: Qualification Form	
	Bidder must demonstrate the current soundness of its financial standing and indicate its prospective long-term profitability. (For JV/Consortium/Association, all Parties cumulatively should meet requirement).	Form D: Qualification Form	
	Any additional criteria if required		

Technical Evaluation Criteria

Summary of Technical Proposal Evaluation Forms		
1.	Bidder's qualification, capacity and experience	400
2.	Proposed Methodology, Approach and Implementation Plan	300
3.	Management Structure and Key Personnel	300
	Total	1000

Sectio	n 1. Bidder's qualification, capacity and experience	Points obtainable
1.1	Reputation of Organization and Staff Credibility / Reliability / Industry Standing	40
1.2	General Organizational Capability which is likely to affect implementation: management structure, financial stability and project financing capacity, project management controls, extent to which any work would be subcontracted	80
1.3	 Relevance of specialized knowledge and experience on similar engagements done in the region/country; Minumum 5 years of experience on Technical hydro power capability, including feasibility and design capability. Minumum 5 years of Experience of working with Community Groups on energy efficiency and renewable energy related projects. A proven track record of setting up similar hydro power projects, including activities such as applying for relevant regulatory licences and managing steps in the hydropower development process. 	220
1.4	Quality assurance procedures and risk mitigation measures	30
1.5	Organizational Commitment to Sustainability (mandatory weight) -Organization is compliant with ISO 14001 or ISO 14064 or equivalent – 20 points -Organization is a member of the UN Global Compact -5 points -Organization demonstrates significant commitment to sustainability through some other means- 5 points, for example internal company policy documents on women empowerment, renewable energies or membership of trade institutions promoting such issues	30
	Total Section 1	400

Section 2. Dreposed Methodology, Appressh and Implementation Disp	Points
Section 2. Proposed Methodology, Approach and Implementation Plan	obtainable

2.1	Understanding of the requirement: Have the important aspects of the task been addressed in sufficient detail? Are the different components of the project adequately weighted relative to one another?	30
2.2	Description of the Offeror's approach and methodology for meeting or exceeding the requirements of the Terms of Reference	40
2.3	Details on how the different service elements shall be organized, controlled and delivered	40
2.4	Description of available performance monitoring and evaluation mechanisms and tools; how they shall be adopted and used for a specific requirement	40
2.5	Assessment of the implementation plan proposed including whether the activities are properly sequenced and if these are logical and realistic	70
2.6	Demonstration of ability to plan, integrate and effectively implement sustainability measures in the execution of the contract	80
	Total Section 2	300

Sectio	n 3. Management Structure and Key Personnel		Points obtainable
3.1	Composition and structure of the team proposed. Are the proposed roles of the management and the team of key personnel suitable for the provision of the necessary services?		60
3.2	Qualifications of key personnel proposed		
3.2 a	Team Leader		150
	At least 10 years experience in developing or working in the energy sector with a particular focus on policy development, regulation and/or energy sector legislation	70	
	At least 10 years experience working on similar issues in the energy sector in emerging economies. Experience of this in the Asia-Pacific is considered a desirable	20	
	Experience in managing a range of stakeholders, including but not limited to various levels of Government (e.g. National, provincial, local), civil society, the private sector, communities.	20	
	Ability to successfully communicate complex concepts, proposals and plans clearly to a range of stakeholders.	20	
	Drafting and steering the development of national and/or provincial (or state) plans to improve energy efficiency paying attention to both demand side and supply side issues.	20	
3.2 b	Other team members		90
	Relevant professional qualitification(s) to deliver the services they have been nominated to provide.	30	
	At least five years of experience to deliver the services they have been nominated to provide	30	
	A clear role and value in the services they have been nominated to deliver under the assignment	30	
	Tota	l Section 3	300

Section 5. Terms of Reference

Consultancy Services for Implementation of Two (2) x 200 kW Mini-Hydro Power Plants under the Project on Facilitating Renewable Energy & Energy Efficiency Applications for Greenhouse Gas Emission Reduction (FREAGER)

Location:	Home based with travel to Port Moresby and Eastern Highlands Province
Type of Contract:	Professional Service Contract with a firm
Project:	Facilitating Renewable Energy and Energy Efficiency Applications for Greenhouse Emission Reduction Project (FREAGER)
Languages Required:	English
Starting Date:	October 14, 2019
Duration of Initial Contract:	Approximately 18 months.

1. PROJECT DESCRIPTION

Approximately 15 percent of PNG's population has access to electricity. This presents an opportunity to leapfrog old technologies and move toward renewable energy and energy efficiency technologies. Aside from the potential to reduce current and future greenhouse gas emissions, these technologies may hold viable solutions to address PNG's power-short situation.

PNG's energy sector is characterized by a substantial production and consumption of petroleum. Crude oil production, while declining from its peak in 2007, in the past few years has again increased and now surpasses that mark. Natural gas production is growing rapidly in response to Government policy. Projected compound annual growth in primary energy consumption between 2013 and 2040 is roughly six percent. The greatest share of primary energy consumption is represented by petroleum oil products, which make up approximately 57 percent of domestic consumption.

The industrial sector is PNG's largest end user of energy. Industrial energy consumption is expected to continue to grow at a substantial rate. The second largest user of energy in PNG is the transportation sector. With agriculture and residential consumption making up a smaller share of end use. As the economy grows however, that share is expected to increase.

UNDP in partnership with the Global Environment Facility is delivering the *Facilitating Renewable Energy* and Energy Efficiency Applications for Greenhouse Emission Reduction Project or FREAGER (the 'Project'). An ambitious endeavour, it aims to demonstrate the potential of renewable and energy efficient technologies in PNG. It will be delivered under four components. These components are:

- 1. Analysis, assessment and improvements in energy policy and regulations.
- The demonstration of the benefits of renewable energy and energy efficiency technology through pilot projects.
- 3. The development of models to better finance renewable energy and energy efficiency solutions among communities.

4. Efforts to increase normative change on the use of renewable energy and greater energy efficiency among decisions makers.

Together they will aim to demonstrate a range of renewable energy and energy efficient technologies to encourage their broader replication and up-take.

2. SERVICES REQUIRED

This assignment requires a range of services that will facilitate the procurement, delivery and installation of two mini-hydro facilities in Eastern Highlands Province. Each is expected to produce up to 200 kW of power. These facilities will be delivered in Gotomi and Miruma respectively. These will require the completion of, as a minimum:

- i. Detailed Feasibility Studies.
- ii. Social and environmental impact assessments.
- iii. Engineering designs.
- iv. Procurement of equipment and services.
- v. Construction, installation and commissioning of two facilities.

UNDP requires a consulting firm with suitable technical expertise and experience with similar assignments to deliver the required tasks in collaboration with national and subnational stakeholders.

The Project schedule for the two mini hydropower projects is expected to be implemented within eighteen (18) calendar months from signing of the contract.

The successful delivery of this assignment will require the following demonstrated technical and administrative expertise:

- A strong understanding of mini/micro hydropower generation and distribution.
- Application of innovative engineering designs that provide commercially viable mini-hydro power plants and grid systems.
- Stakeholder management and negotiation skills.
- Administrative, project management and time management skills.
- Strong written and oral communication skills that support the conveying of messages and concepts clearly and to various audiences.
- Ability to source high quality and value for money mini-hydro power equipment.Demonstrated understanding of installing and commissioning mini-hydro power equipment

3. SCOPE OF WORKS AND OUTPUTS

The objective of this assignment is to successfully conduct feasibility studies, design, cost and implement two (2) mini hydro power plants (with capacities of up to 200 kW); one in Gotomi and one in Miruma, Eastern Highland Province, Papua New Guinea. The feasibility will include site specific environmental and socio-economic impact assessments. The designs and installations will comply with regulatory standards. The procurement plan will identify appropriate equipment with relevant costings associated with the identified equipment and where to source them. Equipment identified during the design should be specific to the context and take into consideration value for money, ease of maintenance and sustainability. The price proposal should also cover the procurement, installation, testing, commissioning and defects liability covering a minimum of 12 months from the certified completion of equipment installation.

Services will also include capacity building of Government officials, community groups and PNG Power Limited (PPL) in areas relating to renewable energy and energy efficiency relevant to this assignment.

The scope of work shall comprise the following tasks as a minimum:

- Review of previous work carried out in the local area or similar environment of the same nature (desk-based research, consultations with community group members, local authority planning database, pre-feasibility study findings and recommendations, project documents etc) and develop a work plan to achieve the objective of the assignment.
- Conduct feasibility studies at Gotomi and Miruma on the potential of commercially viable minihydro systems to inform designs at each location that address as a minimum;
 - Catchment and hydrology, estimates of water resource availability (and generation of flow duration curve) and head measurements (including use of any appropriate software as required and with information on testing and validation of the software to be used).
 - Site visit(s) (walk-overs) to evaluate potential hydropower locations in Gotomi and Miruma.
 - Review of construction access to the sites.
 - Outline scheme layouts (equipment requirements), construction requirements for each hydro power site (intake, weir, powerhouse, penstock route etc).
 - Identification of suitable grid infrastructure in the local vicinity (and initial discussions with the communities in consultation with local governments/ CCDA/PPL/UNDP as required).
 - Evaluation of estimated annual energy generation and CO2 emissions offset in relation to the two mini hydro power projects.
- A preliminary financial analysis with cost estimates (capital cost estimates and operations and maintenance) and revenue clearly noting method of estimation, details of relevant assumptions and uncertainty of estimates.
- An initial understanding of the following, with future recommendations should be provided:
 - Planning and consents (e.g. planning permission, Conservation and Environment Protection Authority environment permit requirements, landowner holdings etc.), this should consider the Environment Act 2000 and associated regulations in relation to runof-river hydropower schemes where available.
 - Environmental impacts on the local area (e.g. Designated protected areas).
 - Risks of developing a mini hydropower plant at the site and how these risks are best mitigated in the future. .
- An evaluation of each of the mini hydropower plant sites are reviewed and preferred options are presented. This should as the minimum:
 - o Consider technical and physical constraints of mini hydropower plant development..
 - Estimates of mini hydro power plant characteristics and key assumptions (head, design flow rate, design power output, annual energy yield).
 - Potential permitting and environmental constraints.
 - Account for most recent feed-in-tariff rates that may influence the sizing of the scheme and sizing to optimise benefit from the feed-in tariff.
 - Budget cost estimates (capital costs and operation and maintenance) and revenue.

The evaluation report will summarise the different mini hydro power plant options for the community in terms of the site/s available, the scale of generation, the likely income from the establishment of mini hydro power plants, key assumptions made, variations of costs etc. It should also include a high-level risk assessment based on the identified options and provide clear recommendations for CCDA/PPL/UNDP on how best to develop the project and any specific requirements for later project phases.

- Identification and provision of a list of equipment required to be procured for the installation of the specified mini hydro power plants. The list of equipment should include a cost and advice on where to procure. It is preferred that the full cost of the installation of these mini hydro power plants be made available as part of the design package.
- Obtain all relevant approvals to procure equipment and services to construct and install the mini hydro power plants at Gotomi and Miruma.
- Construct and install the two mini hydro power plants as per the approved plans.
- Commission the two mini hydro power plants and develop operations and maintenance training material for use by the operators.
- Conduct operations and maintenance training for operators for both projects and for PPL staff in Goroka and nominated local operators from Gotomi and Miruma.

4. METHODOLOGY

Consultants should specify how they intend to approach the delivery of the requirements set out above. The following activities are to be included:

- An introductory meeting with CCDA/PPL/UNDP and the Provincial Government to assess needs, preferences and aspirations, as well as to agree ways of working, timeframe, logistics, etc..
- Confirm the scope of works following the introductory meeting with any amendments / adjustments to the proposal highlighted and issued. It is anticipated there will be minimal changes where necessary.
- o Site visits to Gotomi and Miruma to conduct walk-over surveys and data collection.
- Regularly submit monthly progress reports to CCDA/PPL/UNDP for quick decisions and adjustments as and where necessary.
- Presentation to CCDA/PPL/UNDP of the findings and draft reports, with opportunities for discussions and questions. The outcome of this will be used to finalise the reports.

5. EXPECTED OUTPUTS

The expected outputs or deliverables are as follow:

- An inception report outlining the findings of the analysis conducted and work plan for achieving the objective of this assignment.
- A report based on the feasibility studies conducted for Gotomi and Miruma should be provided electronically, outlining and analysing the findings including risks, constraints and risks with possible mitigation measures and recommendations as well as highlighting the site/s with the greatest potential. These sites will be agreed with CCDA/PPL/UNDP and Provincial Government for construction of the mini hydro power plants.
- The report covering the design of up to 200 kW mini hydro power plants, one (1) for Gotomi and one (1) for Miruma. The design should also identify and include the list containing the type of equipment that are easily maintained with detailed specification and where to source them by

taking into account value for money and sustainability after the installation and commissioning of the mini hydro power plant facilities. In addition, the costing for the equipment as well as the overall cost of construction based on the design should be made available as part of this reporting.

- A meeting with CCDA/PPL/UNDP, the Provincial Government and any other parties invited by should be held to present the draft report and receive comments and suggestions for the final report.
- A report covering the procurement and construction plan of the approved designs for Gotomi and Miruma projects indicating timelines and resources required to complete the installation and commissioning of the two projects. This should also identifyg risks and a risk management strategy.
- A final report of summarising the status of the two mini-hydro projects implementation, recommendations for operations and maintenance. This report should include copies of the commissioning approvals/certification and all other regulatory approvals necessary for an official handover and as cleared by the relevant authorities.

6. **REPORTING MILESTONES**

Output Schedule

Reporting period and key milestones	Indicative date due	Payment Percentage	Review and Approvals
Payment upon submission and acceptance of inception report outlining the findings of the desk analysis conducted and work plan for achieving the objective of this assignment.	11 November 2019	10%	Senior Climate Change Advisor
Payment upon submission and acceptance of a report based on the feasibility studies conducted for Gotomi and Miruma; outlining and analysing the findings including risks, constraints and risks with possible mitigation measures and recommendations as well as highlighting the site/s with the greatest potential. These sites will be agreed with CCDA/PPL/UNDP for construction of the mini hydro power plants.	29 June 2020	25%	Senior Climate Change Advisor
Payment upon submission and acceptances of a report covering the design of up to 200 kW mini hydro power plants, one (1) for Gotomi and one (1) for Miruma. The design should also identify and include the list containing the type of equipment that are easily maintained with	30 August 2020	30%	Senior Climate Change Advisor

detailed specification and where to source them by taking into account value for money and sustainability after the installation and commissioning of the mini hydro power plant facilities. In addition, the costing for the equipment as well as the overall cost of construction based on the design should be made available as part of this reporting.			
Submission and Acceptance of a report on outcomes of a meeting with CCDA/PPL/UNDP, and any other parties invited by CCDA/PPL/UNDP, held to present the draft report and receive comments and suggestions for the final report.	20 September 2020	5%	Senior Climate Change Advisor
Submission and acceptance of a report covering the procurement and construction plan of the approved designs for Gotomi and Miruma projects indicating timelines and resources required to complete the installation and commissioning of the two projects. Also identifying the risk and risk management strategy involved.	7 October 2020	20%	Senior Climate Change Advisor
Submission and acceptance of a final report of summarising the status of the two mini-hydro projects implementation, recommendations for O&M. This report should include copies of the commissioning approvals/certification and all other regulatory approvals necessary for an official handover and as cleared by the relevant authorities	30 April 2021	10%	Senior Climate Change Advisor

7. GOVERNANCE ARRANGEMENTS

The Service Provider will be engaged by UNDP. All deliverables will be submitted to UNDP in the first instance and the Service Provider's contract will be managed by UNDP. The Service Provider will however be required to work closely with PNG Power Limited and the Government of Papua New Guinea's Climate Change and Development Authority, the Eastern Highlands Provincial Government and other stakeholders as required. The Service Provider will remain responsible for individual deadlines and the timeliness and quality of all outputs as detailed in this Terms of Reference and associated contract.

8. RESOURCES PROVIDED

The Service Provider will be provided with office space from which to work at Development Authority Office in Port Moresby.

Where the Service Provider will (or may) be required to travel, transport arrangements, accommodation and security will be managed by the Service Provider. The Service Provider's flights to and from PNG will be managed by the Service Provider.

The costs of workshops, stakeholder consultations etc. will be met by UNDP external to the fees and costs presented in the Service Provider's price proposal to deliver this assignment.

9. DUTY STATION

This assignment will require the Service Provider is able to work in Port Moresby and selected provinces in PNG. The Service Provider may be able to provide some inputs towards this assignment from their home destination.

10. QUALIFICATIONS, TEAM COMPOSITION AND EXPERIENCE

The successful Service Provider will be required to demonstrate the following as a minimum:

- At least 10 years experience in developing or working in the energy sector with a particular focus on policy development, regulation and/or energy sector legislation.
- At least 10 years experience working on similar issues in the energy sector in emerging economies. Experience of this in the Asia-Pacific is considered a desirable.
- Experience in managing a range of stakeholders, including but not limited to various levels of Government (e.g. National, provincial, local), civil society, the private sector, communities.
- Ability to successfully communicate complex concepts, proposals and plans clearly to a range of stakeholders.
- Drafting and steering the development of national and/or provincial (or state) plans to improve energy efficiency paying attention to both demand side and supply side issues.

11. SPECIFIED PERSONAL

The proposed team's composition to deliver this assignment will be made at the discretion of the Service Provider. The Service Provider must however specify a Team Leader for the purposes of this assignment.

The Team Leader should, as a minimum:

- Hold a masters level qualification in energy related disciplines, engineering, development, economics, humanities, management or an associated filed.
- Demonstrate at least 10 years experience working on energy sector issues, particularly in relation to energy efficiency and/or renewable energy issues.
- Successfully demonstrate delivering energy sector or energy related initiatives in developing countries and/or emerging economies.

Other Team members should, as a minimum be able to demonstate:

- Relevant professional qualitification(s) to deliver the services they have been nominated to provide.
- At least five years of experience to deliver the services they have been nominated to provide.
- A clear role and value in the services they have been nominated to deliver under the assignment.

Section 6: Returnable Bidding Forms / Checklist

Technical Proposal Envelope:

Have you duly completed all the Returnable Bidding Forms?	
 Form A: Technical Proposal Submission Form 	
 Form B: Bidder Information Form 	
 Form C: Joint Venture/Consortium/ Association Information Form 	
 Form D: Qualification Form 	
Form E: Format of Technical Proposal	
Form H: Proposal Security Form	
 [Add other forms as necessary] 	
Have you provided the required documents to establish compliance with the evaluation criteria in Section 4?	

Financial Proposal Envelope

(Must be submitted in a separate sealed envelope/password protected email)

Form F: Financial Proposal Submission Form	
Form G: Financial Proposal Form	

Form A: Technical Proposal Submission Form

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

We, the undersigned, offer to provide the services for [Insert Title of services] in accordance with your Request for Proposal No. [Insert RFP Reference Number] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and our Financial Proposal sealed under a separate envelope.

We hereby declare that our firm, its affiliates or subsidiaries or employees, including any JV/Consortium /Association members or subcontractors or suppliers for any part of the contract:

- a) is not under procurement prohibition by the United Nations, including but not limited to prohibitions derived from the Compendium of United Nations Security Council Sanctions Lists;
- b) have not been suspended, debarred, sanctioned or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization;
- c) have no conflict of interest in accordance with Instruction to Bidders Clause 4;
- d) do not employ, or anticipate employing, any person(s) who is, or has been a UN staff member within the last year, if said UN staff member has or had prior professional dealings with our firm in his/her capacity as UN staff member within the last three years of service with the UN (in accordance with UN post-employment restrictions published in ST/SGB/2006/15);
- e) have not declared bankruptcy, are not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against them that could impair their operations in the foreseeable future;
- f) undertake not to engage in proscribed practices, including but not limited to corruption, fraud, coercion, collusion, obstruction, or any other unethical practice, with the UN or any other party, and to conduct business in a manner that averts any financial, operational, reputational or other undue risk to the UN and we embrace the principles of the United Nations Supplier Code of Conduct and adhere to the principles of the United Nations Global Compact.

We declare that all the information and statements made in this Proposal are true and we accept that any misinterpretation or misrepresentation contained in this Proposal may lead to our disqualification and/or sanctioning by the UNDP.

We offer to provide services in conformity with the Bidding documents, including the UNDP General Conditions of Contract and in accordance with the Terms of Reference

Our Proposal shall be valid and remain binding upon us for the period of time specified in the Bid Data Sheet.

We understand and recognize that you are not bound to accept any Proposal you receive.

I, the undersigned, certify that I am duly authorized by [Insert Name of Bidder] to sign this Proposal and bind it should UNDP accept this Proposal.

Name: _	
Title:	
Date: _	
Signature: _	

[Stamp with official stamp of the Bidder]

Form B: Bidder Information Form

Legal name of Bidder	[Complete]
Legal address	[Complete]
Year of registration	[Complete]
Bidder's Authorized Representative Information	Name and Title: [Complete] Telephone numbers: [Complete] Email: [Complete]
Are you a UNGM registered vendor?	□ Yes □ No If yes, [insert UGNM vendor number]
Are you a UNDP vendor?	□ Yes □ No If yes, [insert UNDP vendor number]
Countries of operation	[Complete]
No. of full-time employees	[Complete]
Quality Assurance Certification (e.g. ISO 9000 or Equivalent) (<i>If yes, provide</i> <i>a Copy of the valid Certificate):</i>	[Complete]
Does your Company hold any accreditation such as ISO 14001 related to the environment? (If yes, provide a Copy of the valid Certificate):	[Complete]
Does your Company have a written Statement of its Environmental Policy? (If yes, provide a Copy)	[Complete]
Contact person UNDP may contact for requests for clarification during Proposal evaluation	Name and Title: [Complete] Telephone numbers: [Complete] Email: [Complete]
Please attach the following documents:	 Company Profile, which should <u>not</u> exceed fifteen (15) pages, including printed brochures and product catalogues relevant to the goods/services being procured Certificate of Incorporation/ Business Registration Tax Registration/Payment Certificate issued by the Internal Revenue Authority evidencing that the Bidder is updated with its tax payment obligations, or Certificate of Tax exemption, if any such privilege is enjoyed by the Bidder Trade name registration papers, if applicable Local Government permit to locate and operate in assignment location, if applicable Official Letter of Appointment as local representative, if Bidder is submitting a Bid in behalf of an entity located outside the country Power of Attorney

Form C: Joint Venture/Consortium/Association Information Form

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

To be completed and returned with your Proposal if the Proposal is submitted as a Joint Venture/Consortium/Association.

No	Name of Partner and contact information (address, telephone numbers, fax numbers, e-mail address)	Proposed proportion of responsibilities (in %) and type of services to be performed
1	[Complete]	[Complete]
2	[Complete]	[Complete]
3	[Complete]	[Complete]

Name of leading partner
(with authority to bind the JV, Consortium, Association during the RFP process and, in the event a Contract is awarded, during contract execution)

We have attached a copy of the below document signed by every partner, which details the likely legal structure of and the confirmation of joint and severable liability of the members of the said joint venture:

□ Letter of intent to form a joint venture

OR UJV/Consortium/Association agreement

We hereby confirm that if the contract is awarded, all parties of the Joint Venture/Consortium/Association shall be jointly and severally liable to UNDP for the fulfillment of the provisions of the Contract.

Name of partner:	Name of partner:
Signature: Date:	Signature: Date:
Name of partner:	Name of partner:
Signature:	Signature:
Date:	Date:

Form D: Qualification Form

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

If JV/Consortium/Association, to be completed by each partner.

Historical Contract Non-Performance

\Box Contract non-performance did not occur for the last 3 years			
	t(s) not performed fo	or the last 3 years	
Year	Non- performed portion of contract	Contract Identification	Total Contract Amount (current value in US\$)
		Name of Client: Address of Client: Reason(s) for non-performance:	

Litigation History (including pending litigation)

🗆 No litiga	ation history for the	ast 3 years	
🗆 Litigatio	on History as indicate	d below	
Year of dispute	Amount in dispute (in US\$)	Contract Identification	Total Contract Amount (current value in US\$)
		Name of Client:	
		Address of Client:	
		Matter in dispute:	
		Party who initiated the dispute:	
		Status of dispute:	
		Party awarded if resolved:	

Previous Relevant Experience

Please list only previous similar assignments successfully completed in the last 3 years.

List only those assignments for which the Bidder was legally contracted or sub-contracted by the Client as a company or was one of the Consortium/JV partners. Assignments completed by the Bidder's individual experts working privately or through other firms cannot be claimed as the relevant experience of the Bidder, or that of the Bidder's partners or sub-consultants, but can be claimed by the Experts themselves in their CVs. The Bidder should be prepared to substantiate the claimed experience by presenting copies of relevant documents and references if so requested by UNDP.

Project name & Country of Assignment	Client & Reference Contact Details	Contract Value	Period of activity and status	Types of activities undertaken

Bidders may also attach their own Project Data Sheets with more details for assignments above.

□ Attached are the Statements of Satisfactory Performance from the Top 3 (three) Clients or more.

Financial Standing

Annual Turnover for the last 3 years	Year Year Year	USD USD USD
Latest Credit Rating (if any), indicate the source		

Financial information (in US\$ equivalent)	Historic information for the last 3 years		
	Year 1	Year 2	Year 3
	Inf	formation from Balance She	eet
Total Assets (TA)			
Total Liabilities (TL)			
Current Assets (CA)			
Current Liabilities (CL)			
	Infor	mation from Income State	ment
Total / Gross Revenue (TR)			
Profits Before Taxes (PBT)			
Net Profit			

Current Ratio		

□ Attached are copies of the audited financial statements (balance sheets, including all related notes, and income statements) for the years required above complying with the following condition:

- a) Must reflect the financial situation of the Bidder or party to a JV, and not sister or parent companies;
- b) Historic financial statements must be audited by a certified public accountant;
- c) Historic financial statements must correspond to accounting periods already completed and audited. No statements for partial periods shall be accepted.

Form E: Format of Technical Proposal

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

The Bidder's proposal should be organized to follow this format of Technical Proposal. Where the bidder is presented with a requirement or asked to use a specific approach, the bidder must not only state its acceptance, but also describe how it intends to comply with the requirements. Where a descriptive response is requested, failure to provide the same will be viewed as non-responsive.

SECTION 1: Bidder's qualification, capacity and expertise

- 1.1 Brief description of the organization, including the year and country of incorporation, and types of activities undertaken.
- 1.2 General organizational capability which is likely to affect implementation: management structure, financial stability and project financing capacity, project management controls, extent to which any work would be subcontracted (if so, provide details).
- 1.3 Relevance of specialized knowledge and experience on similar engagements done in the region/country.
- 1.4 Quality assurance procedures and risk mitigation measures.
- 1.5 Organization's commitment to sustainability.

SECTION 2: Proposed Methodology, Approach and Implementation Plan

This section should demonstrate the bidder's responsiveness to the TOR by identifying the specific components proposed, addressing the requirements, providing a detailed description of the essential performance characteristics proposed and demonstrating how the proposed approach and methodology meets or exceeds the requirements. All important aspects should be addressed in sufficient detail and different components of the project should be adequately weighted relative to one another.

- 2.1 A detailed description of the approach and methodology for how the Bidder will achieve the Terms of Reference of the project, keeping in mind the appropriateness to local conditions and project environment. Details how the different service elements shall be organized, controlled and delivered.
- 2.2 The methodology shall also include details of the Bidder's internal technical and quality assurance review mechanisms.
- 2.3 Explain whether any work would be subcontracted, to whom, how much percentage of the work, the rationale for such, and the roles of the proposed sub-contractors and how everyone will function as a team.
- 2.4 Description of available performance monitoring and evaluation mechanisms and tools; how they shall be adopted and used for a specific requirement.
- 2.5 Implementation plan including a Gantt Chart or Project Schedule indicating the detailed sequence of activities that will be undertaken and their corresponding timing.
- 2.6 Demonstrate how you plan to integrate sustainability measures in the execution of the contract.
- 2.7 Any other comments or information regarding the project approach and methodology that will be adopted.

SECTION 2A: Bidder's Comments and Suggestions on the Terms of Reference

Provide comments and suggestions on the Terms of Reference, or additional services that will be rendered beyond the requirements of the TOR, if any.

SECTION 3: Management Structure and Key Personnel

- 3.1 Describe the overall management approach toward planning and implementing the project. Include an organization chart for the management of the project describing the relationship of key positions and designations. Provide a spreadsheet to show the activities of each personnel and the time allocated for his/her involvement.
- 3.2 Provide CVs for key personnel that will be provided to support the implementation of this project using the format below. CVs should demonstrate qualifications in areas relevant to the Scope of Services.

Name of Personnel	[Insert]
Position for this assignment	[Insert]
Nationality	[Insert]
Language proficiency	[Insert]
Education/	[Summarize college/university and other specialized education of personnel member, giving names of schools, dates attended, and degrees/qualifications obtained.]
Qualifications	[Insert]
	[Provide details of professional certifications relevant to the scope of services]
Professional certifications	Name of institution: [Insert]Date of certification: [Insert]
Employment Record/ Experience	[List all positions held by personnel (starting with present position, list in reverse order), giving dates, names of employing organization, title of position held and location of employment. For experience in last five years, detail the type of activities performed, degree of responsibilities, location of assignments and any other information or professional experience considered pertinent for this assignment.]
	[Insert]
	[Provide names, addresses, phone and email contact information for two (2) references]
References	Reference 1: [Insert]
	Reference 2: [Insert]

Format for CV of Proposed Key Personnel

I, the undersigned, certify that to the best of my knowledge and belief, these data correctly describe my qualifications, my experiences, and other relevant information about myself.

Form F: Financial Proposal Submission Form

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

We, the undersigned, offer to provide the services for [Insert Title of services] in accordance with your Request for Proposal No. [Insert RFP Reference Number] and our Proposal. We are hereby submitting our Financial Proposal in the format provided in the RFP.

Our attached Financial Proposal is for the sum of [Insert amount in words and figures].

Our Proposal shall be valid and remain binding upon us for the period of time specified in the Bid Data Sheet.

We understand you are not bound to accept any Proposal you receive.

Name:	
Title:	
Date:	
Signature:	

[Stamp with official stamp of the Bidder]

Form G: Financial Proposal Form

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

The Bidder is required to prepare the Financial Proposal following the below format and submit it in an envelope separate from the Technical Proposal as indicated in the Instruction to Bidders. Any Financial information provided in the Technical Proposal shall lead to Bidder's disqualification.

The Financial Proposal should align with the requirements in the Terms of Reference and the Bidder's Technical Proposal.

Currency of the proposal: [Insert Currency]

Table 1: Summary of Overall Prices

	Amount(s)
Professional Fees (from Table 2)	
Other Costs (from Table 3)	
Total Amount of Financial Proposal	

Table 2: Breakdown of Professional Fees

Name	Position	Fee Rate	No. of Days/months/ hours	Total Amount
		A	В	C=A+B
In-Country				
Team Leader				
Other specialist (if				
applicable)				
Home Based				
Team Leader				
Other specialist (if				
applicable)				
Subtotal Professional Fees:				

Table 3: Breakdown of Other Costs

Description	UOM	Quantity	Unit Price	Total Amount
International flights	Trip			
Subsistence allowance	Day			
Miscellaneous travel expenses	Trip			
Local transportation costs	Lump Sum			
Out-of-Pocket Expenses				
Other Costs: (please specify)				
Subtotal Other Costs:				

Table 4: Breakdown of Price per Deliverable/Activity

Deliverable/ Activity description	Time (person days)	Professional Fees	Other Costs	Total
Deliverable 1				
Deliverable 2				
Deliverable 3				