REQUEST FOR PROPOSAL

Capacity assessment of the State-owned enterprise “Medical Procurements of Ukraine”

RFP No.: 530-2019-UNDP-UKR-RFP-MOH

Project: UNDP Country Office

Country: Ukraine

Issued on: 3 September 2019
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Section 1. Letter of Invitation

The United Nations Development Programme (UNDP) hereby invites you to submit a Proposal to this Request for Proposal (RFP) for the above-referenced subject.

This RFP includes the following documents and the General Terms and Conditions of Contract which is inserted in the Bid Data Sheet (BDS):

Section 1: This Letter of Invitation
Section 2: Instruction to Bidders
Section 3: Bid Data Sheet (BDS)
Section 4: Evaluation Criteria
Section 5: Terms of Reference
Section 6: Returnable Bidding Forms
  o Form A: Technical Proposal Submission Form
  o Form B: Bidder Information Form
  o Form C: Joint Venture/Consortium/Association Information Form
  o Form D: Qualification Form
  o Form E: Format of Technical Proposal
  o Form F: Financial Proposal Submission Form
  o Form G: Financial Proposal Form

If you are interested in submitting a Proposal in response to this RFP, please prepare your Proposal in accordance with the requirements and procedure as set out in this RFP and submit it by the Deadline for Submission of Proposals set out in Bid Data Sheet.

Please acknowledge receipt of this RFP by sending an email to procurement.ua@undp.org, indicating whether you intend to submit a Proposal or otherwise. You may also utilize the “Accept Invitation” function in e-Tendering system, where applicable. This will enable you to receive amendments or updates to the RFP. Should you require further clarifications, kindly communicate with the contact person/s identified in the attached Bid Data Sheet as the focal point for queries on this RFP.

UNDP looks forward to receiving your Proposal and thank you in advance for your interest in UNDP procurement opportunities.

Issued by:  
Name: Denys Shliapkin  
Title: Procurement assistant  
Date: September 3, 2019

Approved by:  
Name: Sukhrob Kakharov  
Title: Operations manager, UNDP  
Date: September 3, 2019
# Section 2. Instruction to Bidders

## A. GENERAL PROVISIONS

### 1. Introduction

1.1 Bidders shall adhere to all the requirements of this RFP, including any amendments in writing by UNDP. This RFP is conducted in accordance with the UNDP Programme and Operations Policies and Procedures (POPP) on Contracts and Procurement which can be accessed at https://popp.undp.org/SitePages/POPPBSUnit.aspx?TermID=254a9f96-b883-476a-8ef8-e81f93a2b38d

1.2 Any Proposal submitted will be regarded as an offer by the Bidder and does not constitute or imply the acceptance of the Proposal by UNDP. UNDP is under no obligation to award a contract to any Bidder as a result of this RFP.

1.3 As part of the bid, it is desired that the Bidder registers at the United Nations Global Marketplace (UNGM) website (www.ungm.org). The Bidder may still submit a bid even if not registered with the UNGM. However, if the Bidder is selected for contract award, the Bidder must register on the UNGM prior to contract signature.

### 2. Fraud & Corruption, Gifts and Hospitality

2.1 UNDP strictly enforces a policy of zero tolerance on proscribed practices, including fraud, corruption, collusion, unethical or unprofessional practices, and obstruction of UNDP vendors and requires all bidders/vendors observe the highest standard of ethics during the procurement process and contract implementation. UNDP’s Anti-Fraud Policy can be found at http://www.undp.org/content/undp/en/home/operations/accountability/audit/office_of_audit_andinvestigation.html#anti

2.2 Bidders/vendors shall not offer gifts or hospitality of any kind to UNDP staff members including recreational trips to sporting or cultural events, theme parks or offers of holidays, transportation, or invitations to extravagant lunches or dinners.

2.3 In pursuance of this policy, UNDP

(a) Shall reject a proposal if it determines that the selected bidder has engaged in any corrupt or fraudulent practices in competing for the contract in question;

(b) Shall declare a vendor ineligible, either indefinitely or for a stated period of time, to be awarded a contract if at any time it determines that the vendor has engaged in any corrupt or fraudulent practices in competing for, or in executing a UNDP contract.

2.4 All Bidders must adhere to the UN Supplier Code of Conduct, which may be found at http://www.un.org/depts/ptd/pdf/conduct_english.pdf

### 3. Eligibility

3.1 A vendor should not be suspended, debarred, or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization. Vendors are therefore required to disclose to UNDP whether they are subject to any sanction or temporary suspension imposed by these organizations.

3.2 It is the Bidder’s responsibility to ensure that its employees, joint venture members, sub-contractors, service providers, suppliers and/or their employees meet the eligibility requirements as established by UNDP.
4. Conflict of Interests

4.1 Bidders must strictly avoid conflicts with other assignments or their own interests, and act without consideration for future work. Bidders found to have a conflict of interest shall be disqualified. Without limitation on the generality of the above, Bidders, and any of their affiliates, shall be considered to have a conflict of interest with one or more parties in this solicitation process, if they:

a) Are or have been associated in the past, with a firm or any of its affiliates which have been engaged by UNDP to provide services for the preparation of the design, specifications, Terms of Reference, cost analysis/estimation, and other documents to be used for the procurement of the goods and services in this selection process;

b) Were involved in the preparation and/or design of the programme/project related to the services requested under this RFP; or

c) Are found to be in conflict for any other reason, as may be established by, or at the discretion of UNDP.

4.2 In the event of any uncertainty in the interpretation of a potential conflict of interest, Bidders must disclose to UNDP, and seek UNDP’s confirmation on whether or not such a conflict exists.

4.3 Similarly, the Bidders must disclose in their proposal their knowledge of the following:

a) If the owners, part-owners, officers, directors, controlling shareholders, of the bidding entity or key personnel are family members of UNDP staff involved in the procurement functions and/or the Government of the country or any Implementing Partner receiving services under this RFP; and

b) All other circumstances that could potentially lead to actual or perceived conflict of interest, collusion or unfair competition practices.

Failure to disclose such an information may result in the rejection of the proposal or proposals affected by the non-disclosure.

4.4 The eligibility of Bidders that are wholly or partly owned by the Government shall be subject to UNDP’s further evaluation and review of various factors such as being registered, operated and managed as an independent business entity, the extent of Government ownership/share, receipt of subsidies, mandate and access to information in relation to this RFP, among others. Conditions that may lead to undue advantage against other Bidders may result in the eventual rejection of the Proposal.

B. PREPARATION OF PROPOSALS

5. General Considerations

5.1 In preparing the Proposal, the Bidder is expected to examine the RFP in detail. Material deficiencies in providing the information requested in the RFP may result in rejection of the Proposal.

5.2 The Bidder will not be permitted to take advantage of any errors or omissions in the RFP. Should such errors or omissions be discovered, the Bidder must notify the UNDP.

6. Cost of Preparation of Proposal

6.1 The Bidder shall bear any and all costs related to the preparation and/or submission of the Proposal, regardless of whether its Proposal was selected or not. UNDP shall not be responsible or liable for those costs, regardless of the conduct or outcome of the procurement process.

7. Language

7.1 The Proposal, as well as any and all related correspondence exchanged by the Bidder and UNDP, shall be written in the language (s) specified in the BDS.

8. Documents

8.1 The Proposal shall comprise of the following documents:
| Comprising the Proposal | a) Documents Establishing the Eligibility and Qualifications of the Bidder;  
|                        | b) Technical Proposal;  
|                        | c) Financial Proposal;  
|                        | d) Proposal Security, if required by BDS;  
|                        | e) Any attachments and/or appendices to the Proposal.  |

### 9. Documents Establishing the Eligibility and Qualifications of the Bidder

9.1 The Bidder shall furnish documentary evidence of its status as an eligible and qualified vendor, using the Forms provided under Section 6 and providing documents required in those forms. In order to award a contract to a Bidder, its qualifications must be documented to UNDP’s satisfaction.

### 10. Technical Proposal Format and Content

10.1 The Bidder is required to submit a Technical Proposal using the Standard Forms and templates provided in Section 6 of the RFP.

10.2 The Technical Proposal shall not include any price or financial information. A Technical Proposal containing material financial information may be declared non-responsive.

10.3 Samples of items, when required as per Section 5, shall be provided within the time specified and unless otherwise specified by UNDP, and at no expense to UNDP

10.4 When applicable and required as per Section 5, the Bidder shall describe the necessary training programme available for the maintenance and operation of the services and/or equipment offered as well as the cost to the UNDP. Unless otherwise specified, such training as well as training materials shall be provided in the language of the Bid as specified in the BDS.

### 11. Financial Proposals

11.1 The Financial Proposal shall be prepared using the Standard Form provided in Section 6 of the RFP. It shall list all major cost components associated with the services, and the detailed breakdown of such costs.

11.2 Any output and activities described in the Technical Proposal but not priced in the Financial Proposal, shall be assumed to be included in the prices of other activities or items, as well as in the final total price.

11.3 Prices and other financial information must not be disclosed in any other place except in the financial proposal.

### 12. Proposal Security

12.1 A Proposal Security, if required by BDS, shall be provided in the amount and form indicated in the BDS. The Proposal Security shall be valid up to thirty (30) days after the final date of validity of the Proposal.

12.2 The Proposal Security shall be included along with the Technical Proposal. If Proposal Security is required by the RFP but is not found along with the Technical Proposal, the Proposal shall be rejected.

12.3 If the Proposal Security amount or its validity period is found to be less than what is required by UNDP, UNDP shall reject the Proposal.

12.4 In the event an electronic submission is allowed in the BDS, Bidders shall include a copy of the Bid Security in their proposal and the original of the Proposal Security must be sent via courier or hand delivery as per the instructions in BDS.

12.5 The Proposal Security may be forfeited by UNDP, and the Proposal rejected, in the event of any one or combination, of the following conditions:

a) If the Bidder withdraws its offer during the period of the Proposal Validity specified in the BDS, or;

b) In the event that the successful Bidder fails:
12.6 To sign the Contract after UNDP has issued an award; or to furnish the Performance Security, insurances, or other documents that UNDP may require as a condition precedent to the effectivity of the contract that may be awarded to the Bidder.

### 13. Currencies

13.1 All prices shall be quoted in the currency or currencies indicated in the BDS. Where Proposals are quoted in different currencies, for the purposes of comparison of all Proposals:

- **a)** UNDP will convert the currency quoted in the Proposal into the UNDP preferred currency, in accordance with the prevailing UN operational rate of exchange on the last day of submission of Proposals; and
- **b)** In the event that UNDP selects a proposal for award that is quoted in a currency different from the preferred currency in the BDS, UNDP shall reserve the right to award the contract in the currency of UNDP’s preference, using the conversion method specified above.

### 14. Joint Venture, Consortium or Association

14.1 If the Bidder is a group of legal entities that will form or have formed a Joint Venture (JV), Consortium or Association for the Proposal, they shall confirm in their Proposal that: (i) they have designated one party to act as a lead entity, duly vested with authority to legally bind the members of the JV, Consortium or Association jointly and severally, which shall be evidenced by a duly notarized Agreement among the legal entities, and submitted with the Proposal; and (ii) if they are awarded the contract, the contract shall be entered into, by and between UNDP and the designated lead entity, who shall be acting for and on behalf of all the member entities comprising the joint venture.

14.2 After the Deadline for Submission of Proposal, the lead entity identified to represent the JV, Consortium or Association shall not be altered without the prior written consent of UNDP.

14.3 The lead entity and the member entities of the JV, Consortium or Association shall abide by the provisions of Clause 9 herein in respect of submitting only one proposal.

14.4 The description of the organization of the JV, Consortium or Association must clearly define the expected role of each of the entity in the joint venture in delivering the requirements of the RFP, both in the Proposal and the JV, Consortium or Association Agreement. All entities that comprise the JV, Consortium or Association shall be subject to the eligibility and qualification assessment by UNDP.

14.5 A JV, Consortium or Association in presenting its track record and experience should clearly differentiate between:

- **a)** Those that were undertaken together by the JV, Consortium or Association; and
- **b)** Those that were undertaken by the individual entities of the JV, Consortium or Association.

14.6 Previous contracts completed by individual experts working privately but who are permanently or were temporarily associated with any of the member firms cannot be claimed as the experience of the JV, Consortium or Association or those of its members, but should only be claimed by the individual experts themselves in their presentation of their individual credentials.

14.7 JV, Consortium or Associations are encouraged for high value, multi-sectoral requirements when the spectrum of expertise and resources required may not be available within one firm.
| 15. Only One Proposal | 15.1 The Bidder (including the individual members of any Joint Venture) shall submit only one Proposal, either in its own name or as part of a Joint Venture.  
15.2 Proposals submitted by two (2) or more Bidders shall all be rejected if they are found to have any of the following:  
   a) they have at least one controlling partner, director or shareholder in common; or  
   b) any one of them receive or have received any direct or indirect subsidy from the other/s; or  
   c) they have the same legal representative for purposes of this RFP; or  
   d) they have a relationship with each other, directly or through common third parties, that puts them in a position to have access to information about, or influence on the Proposal of, another Bidder regarding this RFP process;  
   e) they are subcontractors to each other’s Proposal, or a subcontractor to one Proposal also submits another Proposal under its name as lead Bidder; or  
   f) some key personnel proposed to be in the team of one Bidder participates in more than one Proposal received for this RFP process. This condition relating to the personnel, does not apply to subcontractors being included in more than one Proposal. |
| 16. Proposal Validity Period | 16.1 Proposals shall remain valid for the period specified in the BDS, commencing on the Deadline for Submission of Proposals. A Proposal valid for a shorter period may be rejected by UNDP and rendered non-responsive.  
16.2 During the Proposal validity period, the Bidder shall maintain its original Proposal without any change, including the availability of the Key Personnel, the proposed rates and the total price. |
| 17. Extension of Proposal Validity Period | 17.1 In exceptional circumstances, prior to the expiration of the proposal validity period, UNDP may request Bidders to extend the period of validity of their Proposals. The request and the responses shall be made in writing, and shall be considered integral to the Proposal.  
17.2 If the Bidder agrees to extend the validity of its Proposal, it shall be done without any change in the original Proposal.  
17.3 The Bidder has the right to refuse to extend the validity of its Proposal, and in which case, such Proposal will not be further evaluated. |
| 18. Clarification of Proposal | 18.1 Bidders may request clarifications on any of the RFP documents no later than the date indicated in the BDS. Any request for clarification must be sent in writing in the manner indicated in the BDS. If inquiries are sent other than specified channel, even if they are sent to a UNDP staff member, UNDP shall have no obligation to respond or confirm that the query was officially received.  
18.2 UNDP will provide the responses to clarifications through the method specified in the BDS.  
18.3 UNDP shall endeavor to provide responses to clarifications in an expeditious manner, but any delay in such response shall not cause an obligation on the part of UNDP to extend the submission date of the Proposals, unless UNDP deems that such an extension is justified and necessary. |
<p>| 19. Amendment of Proposals | 19.1 At any time prior to the deadline of Proposal submission, UNDP may for any reason, such as in response to a clarification requested by a Bidder, modify the RFP in the form of an amendment to the RFP. Amendments will be made available to all prospective bidders. |</p>
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<th>Section</th>
<th>Description</th>
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<tr>
<td>19.2</td>
<td>If the amendment is substantial, UNDP may extend the Deadline for submission of proposal to give the Bidders reasonable time to incorporate the amendment into their Proposals.</td>
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<td><strong>20. Alternative Proposals</strong></td>
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<td>20.1</td>
<td>Unless otherwise specified in the BDS, alternative proposals shall not be considered. If submission of alternative proposal is allowed by BDS, a Bidder may submit an alternative proposal, but only if it also submits a proposal conforming to the RFP requirements. UNDP shall only consider the alternative proposal offered by the Bidder whose conforming proposal ranked the highest as per the specified evaluation method. Where the conditions for its acceptance are met, or justifications are clearly established, UNDP reserves the right to award a contract based on an alternative proposal.</td>
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<td>20.2</td>
<td>If multiple/alternative proposals are being submitted, they must be clearly marked as “Main Proposal” and “Alternative Proposal”</td>
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<td><strong>21. Pre-Bid Conference</strong></td>
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<td>21.1</td>
<td>When appropriate, a Bidder’s conference will be conducted at the date, time and location specified in the BDS. All Bidders are encouraged to attend. Non-attendance, however, shall not result in disqualification of an interested Bidder. Minutes of the Bidder’s conference will be disseminated on the procurement website and shared by email or on the e-Tendering platform as specified in the BDS. No verbal statement made during the conference shall modify the terms and conditions of the RFP, unless specifically incorporated in the Minutes of the Bidder’s Conference or issued/posted as an amendment to RFP.</td>
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<td><strong>C. SUBMISSION AND OPENING OF PROPOSALS</strong></td>
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<td><strong>22. Submission</strong></td>
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<td>22.1</td>
<td>The Bidder shall submit a duly signed and complete Proposal comprising the documents and forms in accordance with the requirements in the BDS. The submission shall be in the manner specified in the BDS.</td>
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<td>22.2</td>
<td>The Proposal shall be signed by the Bidder or person(s) duly authorized to commit the Bidder. The authorization shall be communicated through a document evidencing such authorization issued by the legal representative of the bidding entity, or a Power of Attorney, accompanying the Proposal.</td>
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<td>22.3</td>
<td>Bidders must be aware that the mere act of submission of a Proposal, in and of itself, implies that the Bidder fully accepts the UNDP General Contract Terms and Conditions.</td>
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<td><strong>Hard copy (manual) submission</strong></td>
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<td>22.4</td>
<td>Hard copy (manual) submission by courier or hand delivery allowed or specified in the BDS shall be governed as follows:</td>
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<td>a)</td>
<td>The signed Proposal shall be marked “Original”, and its copies marked “Copy” as appropriate. The number of copies is indicated in the BDS. All copies shall be made from the signed original only. If there are discrepancies between the original and the copies, the original shall prevail.</td>
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<td>b)</td>
<td>The Technical Proposal and the Financial Proposal envelopes MUST BE COMPLETELY SEPARATE and each of them must be submitted sealed individually and clearly marked on the outside as either &quot;TECHNICAL PROPOSAL&quot; or &quot;FINANCIAL PROPOSAL&quot;, as appropriate. Each envelope SHALL clearly indicate the name of the Bidder. The outer envelopes shall:</td>
</tr>
<tr>
<td>i.</td>
<td>Bear the name and address of the bidder;</td>
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<tr>
<td>ii.</td>
<td>Be addressed to UNDP as specified in the BDS</td>
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iii. Bear a warning that states “Not to be opened before the time and date for proposal opening” as specified in the BDS.

If the envelopes and packages with the Proposal are not sealed and marked as required, UNDP shall assume no responsibility for the misplacement, loss, or premature opening of the Proposal.

### Email Submission

22.5 Email submission, if allowed or specified in the BDS, shall be governed as follows:

a) Electronic files that form part of the proposal must be in accordance with the format and requirements indicated in BDS;

b) The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE. The financial proposal shall be encrypted with different passwords and clearly labelled. The files must be sent to the dedicated email address specified in the BDS.

c) The password for opening the Financial Proposal should be provided only upon request of UNDP. UNDP will request password only from bidders whose Technical Proposal has been found to be technically responsive. Failure to provide correct password may result in the proposal being rejected.

### eTendering submission

22.6 Electronic submission through eTendering, if allowed or specified in the BDS, shall be governed as follows:

a) Electronic files that form part of the proposal must be in accordance with the format and requirements indicated in BDS;

b) The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE and each of them must be uploaded individually and clearly labelled.

d) The Financial Proposal file must be encrypted with a password so that it cannot be opened nor viewed until the password is provided. The password for opening the Financial Proposal should be provided only upon request of UNDP. UNDP will request password only from bidders whose technical proposal has been found to be technically responsive. Failure to provide the correct password may result in the proposal being rejected.

c) Documents which are required to be in original form (e.g. Bid Security, etc.) must be sent via courier or hand delivery as per the instructions in BDS.

d) Detailed instructions on how to submit, modify or cancel a bid in the eTendering system are provided in the eTendering system Bidder User Guide and Instructional videos available on this link: [http://www.undp.org/content/undp/en/home/operations/procurement/business/procurement-notices/resources/](http://www.undp.org/content/undp/en/home/operations/procurement/business/procurement-notices/resources/)

### 23. Deadline for Submission of Proposals and Late Proposals

23.1 Complete Proposals must be received by UNDP in the manner, and no later than the date and time, specified in the BDS. UNDP shall only recognize the date and time that the bid was received by UNDP

23.2 UNDP shall not consider any Proposal that is submitted after the deadline for the submission of Proposals.

### 24. Withdrawal, Substitution, and Modification of Proposals

24.1 A Bidder may withdraw, substitute or modify its Proposal after it has been submitted at any time prior to the deadline for submission.

24.2 Manual and Email submissions: A bidder may withdraw, substitute or modify its Proposal by sending a written notice to UNDP, duly signed by an authorized representative, and shall include a copy of the authorization (or a Power of
The corresponding substitution or modification of the Proposal, if any, must accompany the respective written notice. All notices must be submitted in the same manner as specified for submission of proposals, by clearly marking them as “WITHDRAWAL” “SUBSTITUTION,” or “MODIFICATION”.

24.3 eTendering: A Bidder may withdraw, substitute or modify its Proposal by Canceling, Editing, and re-submitting the proposal directly in the system. It is the responsibility of the Bidder to properly follow the system instructions, duly edit and submit a substitution or modification of the Proposal as needed. Detailed instructions on how to cancel or modify a Proposal directly in the system are provided in Bidder User Guide and Instructional videos.

24.4 Proposals requested to be withdrawn shall be returned unopened to the Bidders (only for manual submissions), except if the bid is withdrawn after the bid has been opened.

25. Proposal Opening

25.1 There is no public bid opening for RFPs. UNDP shall open the Proposals in the presence of an ad-hoc committee formed by UNDP, consisting of at least two (2) members. In the case of e-Tendering submission, bidders will receive an automatic notification once their proposal is opened.

D. EVALUATION OF PROPOSALS

26. Confidentiality

26.1 Information relating to the examination, evaluation, and comparison of Proposals, and the recommendation of contract award, shall not be disclosed to Bidders or any other persons not officially concerned with such process, even after publication of the contract award.

26.2 Any effort by a Bidder or anyone on behalf of the Bidder to influence UNDP in the examination, evaluation and comparison of the Proposals or contract award decisions may, at UNDP’s decision, result in the rejection of its Proposal and may be subject to the application of prevailing UNDP’s vendor sanctions procedures.

27. Evaluation of Proposals

27.1 The Bidder is not permitted to alter or modify its Proposal in any way after the proposal submission deadline except as permitted under Clause 24 of this RFP. UNDP will conduct the evaluation solely on the basis of the submitted Technical and Financial Proposals.

27.2 Evaluation of proposals is made of the following steps:

a) Preliminary Examination
b) Minimum Eligibility and Qualification (if pre-qualification is not done)
c) Evaluation of Technical Proposals
d) Evaluation of Financial Proposals

28. Preliminary Examination

28.1 UNDP shall examine the Proposals to determine whether they are complete with respect to minimum documentary requirements, whether the documents have been properly signed, and whether the Proposals are generally in order, among other indicators that may be used at this stage. UNDP reserves the right to reject any Proposal at this stage.

29. Evaluation of Eligibility and Qualification

29.1 Eligibility and Qualification of the Bidder will be evaluated against the Minimum Eligibility/Qualification requirements specified in the Section 4 (Evaluation Criteria).

29.2 In general terms, vendors that meet the following criteria may be considered qualified:

a) They are not included in the UN Security Council 1267/1989 Committee’s list of terrorists and terrorist financiers, and in UNDP’s ineligible vendors’ list;
b) They have a good financial standing and have access to adequate financial
resources to perform the contract and all existing commercial commitments,
c) They have the necessary similar experience, technical expertise, production
capacity where applicable, quality certifications, quality assurance
procedures and other resources applicable to the provision of the services
required;
d) They are able to comply fully with UNDP General Terms and Conditions of
Contract;
e) They do not have a consistent history of court/arbitral award decisions
against the Bidder; and
f) They have a record of timely and satisfactory performance with their clients.

### 30. Evaluation of Technical and Financial Proposals

#### 30.1
The evaluation team shall review and evaluate the Technical Proposals on the
basis of their responsiveness to the Terms of Reference and other RFP
documents, applying the evaluation criteria, sub-criteria, and point system
specified in the Section 4 (Evaluation Criteria). A Proposal shall be rendered non-
responsive at the technical evaluation stage if it fails to achieve the minimum
technical score indicated in the BDS. When necessary and if stated in the BDS,
UNDP may invite technically responsive bidders for a presentation related to
their technical proposals. The conditions for the presentation shall be provided
in the bid document where required.

#### 30.2
In the second stage, only the Financial Proposals of those Bidders who achieve
the minimum technical score will be opened for evaluation. The Financial
Proposals corresponding to Technical Proposals that were rendered non-
responsive shall remain unopened, and, in the case of manual submission, be
returned to the Bidder unopened. For emailed Proposals and e-tendering
submissions, UNDP will not request for the password of the Financial Proposals
of bidders whose Technical Proposal were found not responsive.

#### 30.3
The evaluation method that applies for this RFP shall be as indicated in the BDS,
which may be either of two (2) possible methods, as follows: (a) the lowest priced
method which selects the lowest evaluated financial proposal of the technically
responsive Bidders; or (b) the combined scoring method which will be based on
a combination of the technical and financial score.

#### 30.4
When the BDS specifies a combined scoring method, the formula for the rating
of the Proposals will be as follows:

<table>
<thead>
<tr>
<th>Rating the Technical Proposal (TP):</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>TP Rating</strong> = (Total Score Obtained by the Offer / Max. Obtainable Score for TP) x 100</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Rating the Financial Proposal (FP):</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>FP Rating</strong> = (Lowest Priced Offer / Price of the Offer Being Reviewed) x 100</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Total Combined Score:</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Combined Score</strong> = (TP Rating) x (Weight of TP, e.g. 70%) + (FP Rating) x (Weight of FP, e.g., 30%)</td>
</tr>
</tbody>
</table>

### 31. Due Diligence

#### 31.1
UNDP reserves the right to undertake a due diligence exercise, also called post
qualification, aimed at determining to its satisfaction, the validity of the
information provided by the Bidder. Such exercise shall be fully documented
and may include, but need not be limited to, all or any combination of the
following:
   a) Verification of accuracy, correctness and authenticity of information provided by the Bidder;
   b) Validation of extent of compliance to the RFP requirements and evaluation criteria based on what has so far been found by the evaluation team;
   c) Inquiry and reference checking with Government entities with jurisdiction on the Bidder, or with previous clients, or any other entity that may have done business with the Bidder;
   d) Inquiry and reference checking with previous clients on the performance on on-going or contracts completed, including physical inspections of previous works, as necessary;
   e) Physical inspection of the Bidder’s offices, branches or other places where business transpires, with or without notice to the Bidder;
   f) Other means that UNDP may deem appropriate, at any stage within the selection process, prior to awarding the contract.

### 32. Clarification of Proposals

<table>
<thead>
<tr>
<th>Section</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>32.1</td>
<td>To assist in the examination, evaluation and comparison of Proposals, UNDP may, at its discretion, ask any Bidder for a clarification of its Proposal.</td>
</tr>
<tr>
<td>32.2</td>
<td>UNDP’s request for clarification and the response shall be in writing and no change in the prices or substance of the Proposal shall be sought, offered, or permitted, except to provide clarification, and confirm the correction of any arithmetic errors discovered by UNDP in the evaluation of the Proposals, in accordance with RFP.</td>
</tr>
<tr>
<td>32.3</td>
<td>Any unsolicited clarification submitted by a Bidder in respect to its Proposal, which is not a response to a request by UNDP, shall not be considered during the review and evaluation of the Proposals.</td>
</tr>
</tbody>
</table>

### 33. Responsiveness of Proposal

<table>
<thead>
<tr>
<th>Section</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>33.1</td>
<td>UNDP’s determination of a Proposal’s responsiveness will be based on the contents of the Proposal itself. A substantially responsive Proposal is one that conforms to all the terms, conditions, TOR and other requirements of the RFP without material deviation, reservation, or omission.</td>
</tr>
<tr>
<td>33.2</td>
<td>If a Proposal is not substantially responsive, it shall be rejected by UNDP and may not subsequently be made responsive by the Bidder by correction of the material deviation, reservation, or omission.</td>
</tr>
</tbody>
</table>

### 34. Nonconformities, Reparable Errors and Omissions

<table>
<thead>
<tr>
<th>Section</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>34.1</td>
<td>Provided that a Proposal is substantially responsive, UNDP may waive any nonconformities or omissions in the Proposal that, in the opinion of UNDP, do not constitute a material deviation.</td>
</tr>
<tr>
<td>34.2</td>
<td>UNDP may request the Bidder to submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities or omissions in the Proposal related to documentation requirements. Such omission shall not be related to any aspect of the price of the Proposal. Failure of the Bidder to comply with the request may result in the rejection of its Proposal.</td>
</tr>
</tbody>
</table>
| 34.3    | For Financial Proposal that has been opened, UNDP shall check and correct arithmetical errors as follows:

   a) if there is a discrepancy between the unit price and the line item total that is obtained by multiplying the unit price by the quantity, the unit price shall prevail and the line item total shall be corrected, unless in the opinion of UNDP there is an obvious misplacement of the decimal point in the unit price; in which case the line item total as quoted shall govern and the unit price shall be corrected;

   b) if there is an error in a total corresponding to the addition or subtraction
<table>
<thead>
<tr>
<th>Section</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>E. AWARD OF CONTRACT</strong></td>
<td></td>
</tr>
<tr>
<td><strong>35. Right to Accept, Reject, Any or All Proposals</strong></td>
<td>UNDP reserves the right to accept or reject any Proposal, to render any or all of the Proposals as non-responsive, and to reject all Proposals at any time prior to award of contract, without incurring any liability, or obligation to inform the affected Bidder(s) of the grounds for UNDP’s action. UNDP shall not be obliged to award the contract to the lowest priced offer.</td>
</tr>
<tr>
<td><strong>36. Award Criteria</strong></td>
<td>Prior to expiration of the proposal validity, UNDP shall award the contract to the qualified Bidder based on the award criteria indicated in the BDS.</td>
</tr>
<tr>
<td><strong>37. Debriefing</strong></td>
<td>In the event that a Bidder is unsuccessful, the Bidder may request a debriefing from UNDP. The purpose of the debriefing is to discuss the strengths and weaknesses of the Bidder’s submission, in order to assist the Bidder in improving its future proposals for UNDP procurement opportunities. The content of other proposals and how they compare to the Bidder’s submission shall not be discussed.</td>
</tr>
<tr>
<td><strong>38. Right to Vary Requirements at the Time of Award</strong></td>
<td>At the time of award of Contract, UNDP reserves the right to vary the quantity of services and/or goods, by up to a maximum twenty-five per cent (25%) of the total offer, without any change in the unit price or other terms and conditions.</td>
</tr>
<tr>
<td><strong>39. Contract Signature</strong></td>
<td>Within fifteen (15) days from the date of receipt of the Contract, the successful Bidder shall sign and date the Contract and return it to UNDP. Failure to do so may constitute sufficient grounds for the annulment of the award, and forfeiture of the Proposal Security, if any, and on which event, UNDP may award the Contract to the Second Ranked Bidder or call for new Proposals.</td>
</tr>
<tr>
<td><strong>40. Contract Type and General Terms and Conditions</strong></td>
<td>The types of Contract to be signed and the applicable UNDP Contract General Terms and Conditions, as specified in BDS, can be accessed at <a href="http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html">http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html</a></td>
</tr>
<tr>
<td><strong>41. Performance Security</strong></td>
<td>A performance security, if required in BDS, shall be provided in the amount specified in BDS and form available at <a href="https://popp.undp.org/_layouts/15/WopiFrame.aspx?sourcedoc=/UNDP_POPP_DOCUMENT_LIBRARY/Public/PSU_Solicitation_Performance%20Guarantee%20Form.docx&amp;action=default">https://popp.undp.org/_layouts/15/WopiFrame.aspx?sourcedoc=/UNDP_POPP_DOCUMENT_LIBRARY/Public/PSU_Solicitation_Performance%20Guarantee%20Form.docx&amp;action=default</a> within fifteen (15) days of the contract signature by both parties. Where a performance security is required, the receipt of the performance security by UNDP shall be a condition for rendering the contract effective.</td>
</tr>
<tr>
<td><strong>42. Bank Guarantee for Advanced Payment</strong></td>
<td>Except when the interests of UNDP so require, it is UNDP’s preference to make no advance payment(s) (i.e., payments without having received any outputs). If an advance payment is allowed as per BDS, and exceeds 20% of the total contract price, or USD 30,000, whichever is less, the Bidder shall submit a Bank Guarantee in the full amount of the advance payment in the form available at</td>
</tr>
<tr>
<td>43. Liquidated Damages</td>
<td>43.1 If specified in BDS, UNDP shall apply Liquidated Damages resulting from the Contractor's delays or breach of its obligations as per the Contract.</td>
</tr>
<tr>
<td>44. Payment Provisions</td>
<td>44.1 Payment will be made only upon UNDP's acceptance of the work performed. The terms of payment shall be within thirty (30) days, after receipt of invoice and certification of acceptance of work issued by the proper authority in UNDP with direct supervision of the Contractor. Payment will be effected by bank transfer in the currency of contract.</td>
</tr>
<tr>
<td>45. Vendor Protest</td>
<td>45.1 UNDP's vendor protest procedure provides an opportunity for appeal to those persons or firms not awarded a contract through a competitive procurement process. In the event that a Bidder believes that it was not treated fairly, the following link provides further details regarding UNDP vendor protest procedures: <a href="http://www.undp.org/content/undp/en/home/operations/procurement/business/protest-and-sanctions.html">http://www.undp.org/content/undp/en/home/operations/procurement/business/protest-and-sanctions.html</a></td>
</tr>
<tr>
<td>46. Other Provisions</td>
<td>46.1 In the event that the Bidder offers a lower price to the host Government (e.g. General Services Administration (GSA) of the federal government of the United States of America) for similar services, UNDP shall be entitled to same lower price. The UNDP General Terms and Conditions shall have precedence.</td>
</tr>
<tr>
<td></td>
<td>46.2 UNDP is entitled to receive the same pricing offered by the same Contractor in contracts with the United Nations and/or its Agencies. The UNDP General Terms and Conditions shall have precedence.</td>
</tr>
</tbody>
</table>
Section 3. Bid Data Sheet

The following data for the services to be procured shall complement, supplement, or amend the provisions in the Request for Proposals. In the case of a conflict between the Instructions to Bidders, the Data Sheet, and other annexes or references attached to the Data Sheet, the provisions in the Data Sheet shall prevail.

<table>
<thead>
<tr>
<th>BDS No.</th>
<th>Ref. to Section.2</th>
<th>Data</th>
<th>Specific Instructions / Requirements</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>7</td>
<td>Language of the Proposal</td>
<td>Ukrainian, English, Russian</td>
</tr>
<tr>
<td>2</td>
<td></td>
<td>Submitting Proposals for Parts or sub-parts of the TOR (partial bids)</td>
<td>Not Allowed</td>
</tr>
<tr>
<td>3</td>
<td>20</td>
<td>Alternative Proposals</td>
<td>Shall not be considered</td>
</tr>
<tr>
<td>4</td>
<td>21</td>
<td>Pre-proposal conference</td>
<td>Will be Conducted 09.09.2019, 11:30 Address: 1, Klovsky Uzviz, 01021 Kyiv, Ukraine “Alexanyan” meeting room Tel. No.: +38 044 253-93-63 To express your interest to take part please send notification to e-mail <a href="mailto:procurement.ua@undp.org">procurement.ua@undp.org</a> point out the title of the company, names and positions of the representatives, contact details</td>
</tr>
<tr>
<td>5</td>
<td>10</td>
<td>Proposal Validity Period</td>
<td>60 days</td>
</tr>
<tr>
<td>6</td>
<td>14</td>
<td>Bid Security</td>
<td>Not Required</td>
</tr>
<tr>
<td>7</td>
<td>41</td>
<td>Advanced Payment upon signing of contract</td>
<td>Not Allowed</td>
</tr>
<tr>
<td>8</td>
<td>42</td>
<td>Liquidated Damages</td>
<td>Will not be imposed</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>---</td>
<td>---</td>
<td>---</td>
<td>---</td>
</tr>
<tr>
<td>9</td>
<td>40</td>
<td>Performance Security</td>
<td>Not Required</td>
</tr>
<tr>
<td>10</td>
<td>18</td>
<td>Currency of Proposal</td>
<td>United States Dollar</td>
</tr>
<tr>
<td></td>
<td></td>
<td>☒ Local Currency – can be considered.</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Reference date for determining UN Operational Exchange Rate: September 2019, please refer to treasury.un.org</td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>31</td>
<td>Deadline for submitting requests for clarifications/ questions</td>
<td>5 days before the submission deadline</td>
</tr>
<tr>
<td>12</td>
<td>31</td>
<td>Contact Details for submitting clarifications/ questions</td>
<td>Focal Person in UNDP: Procurement Unit Address: 1, Klovsy Uzviz, 01021 Kyiv, Ukraine Tel. No. :+ 38 044 253-93-63 E-mail address dedicated for this purpose: <a href="mailto:procurement.ua@undp.org">procurement.ua@undp.org</a></td>
</tr>
<tr>
<td>13</td>
<td>18, 19 and 21</td>
<td>Manner of Disseminating Supplemental Information to the RFP and responses/clarifications to queries</td>
<td>Direct communication to prospective Proposers by email and Posting on the website <a href="http://procurement-notices.undp.org">http://procurement-notices.undp.org</a></td>
</tr>
<tr>
<td>14</td>
<td>23</td>
<td>Deadline for Submission</td>
<td>16.09.2019, 23:59 local time</td>
</tr>
<tr>
<td>14</td>
<td>22</td>
<td>Allowable Manner of Submitting Proposals</td>
<td>Submission by email</td>
</tr>
<tr>
<td>15</td>
<td>22</td>
<td>Proposal Submission Address</td>
<td><a href="mailto:tenders.ua@undp.org">tenders.ua@undp.org</a></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Please note that bids received through any other address will not be considered.</td>
<td></td>
</tr>
</tbody>
</table>
| 16 | 22 | Electronic submission (email or eTendering) requirements | - Format: PDF files, ZIP archives only
- File names must be maximum 60 characters long and must not contain any letter or special character other than from Latin alphabet/keyboard.
- All files must be free of viruses and not corrupted.
- Password for financial proposal must not be provided to UNDP until requested by UNDP |
- Time Zone to be Recognized: [Kyiv +2]
- Max. File Size per transmission: 5 MB
  - Mandatory subject of email: 530-2019-UNDP-UKR-RFP-MOH Capacity assessment
  - Other conditions: Proposers are solely responsible for ensuring that any and all files sent to UNDP are readable, that is, uncorrupted, in the indicated electronic format, and free from viruses and malware. Failure to provide readable files will result in the proposal being rejected.

<table>
<thead>
<tr>
<th>Page</th>
<th>Subject</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>17</td>
<td>27</td>
<td>Evaluation Method for the Award of Contract</td>
</tr>
<tr>
<td></td>
<td>36</td>
<td>Combined Scoring Method, using the 70%-30% distribution for technical and financial proposals respectively. The minimum technical score required to pass is 70% of the obtainable score of 700 points.</td>
</tr>
<tr>
<td>18</td>
<td></td>
<td>Expected date for commencement of Contract</td>
</tr>
<tr>
<td></td>
<td></td>
<td>September 26, 2019</td>
</tr>
<tr>
<td>19</td>
<td></td>
<td>Maximum expected duration of contract</td>
</tr>
<tr>
<td></td>
<td></td>
<td>2 months</td>
</tr>
<tr>
<td>20</td>
<td>35</td>
<td>UNDP will award the contract to:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>One Proposer Only</td>
</tr>
<tr>
<td>21</td>
<td>39</td>
<td>Type of Contract</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Purchase Order and Contract for Goods and Services for UNDP</td>
</tr>
<tr>
<td>22</td>
<td>39</td>
<td>UNDP Contract Terms and Conditions that will apply</td>
</tr>
<tr>
<td>23</td>
<td></td>
<td>Other Information Related to the RFP</td>
</tr>
</tbody>
</table>
Section 4. Evaluation Criteria

Required documents

- Company Profile, which should not exceed ten (10) pages, including printed brochures and product catalogues relevant to the goods/services being procured;
- Tax Registration/Payment Certificate issued by the Internal Revenue Authority evidencing that the Bidder is updated with its tax payment obligations, or Certificate of Tax exemption, if any such privilege is enjoyed by the Bidder;
- Certificate of Registration of the business, including Articles of Incorporation, or equivalent document if Bidder is not a corporation;
- Assessment methodology, detailed work plan;
- CVs of the proposed implementation team and their functions: Team Leader and Assessment Analyst; as per Form E, Section 3.
- Latest Audited Financial Statement (Income Statement and Balance Sheet) including Auditor’s Report for the past 2 years
- Samples of at least three (3) analytic reports in similar subjects or field. The link to electronic version of the documents may be provided;
- Duly signed Technical and financial proposals as per Forms A, B, F and G. Financial proposal must be in a separate file and password protected.
- List of corporate clients highlighting similar contracts for clients of comparable business nature and/or size as UNDP/UN;
- Statement of Satisfactory Performance from the Top 3 Clients in terms of Contract Value the past 3 years

Preliminary Examination Criteria

Submitted offers will be reviewed on “Pass” or “Fail” basis to determine compliance with the below formal criteria/requirements:

Offers must be submitted within the stipulated deadline

Offers must meet required Offer Validity

Offers have been signed by the proper authority

Offers include requested company documentation, including documentation regarding the company’s legal status and registration

All relevant licenses / certificates and agreements with state authorized landfills or waste treatment facilities for garbage disposal/utilization must be provided

Offers must comply with general administrative requirements:

a) The Company/Organization should be officially registered in Ukraine;

b) At least 3 (three) years of international or national experience designing and carrying out relevant assessments and studies in the field of public procurement, economics, health, market research, business consultancy or other (3 analytical reports prepared earlier on similar subjects or field);

Other information is available on http://www.undp.org.ua/en/tenders; For the information, please contact procurement@undp.org.ua
### Minimum Eligibility and Qualification Criteria

Eligibility and Qualification will be evaluated on Pass/Fail basis.

If the Proposal is submitted as a Joint Venture/Consortium/Association, each member should meet minimum criteria, unless otherwise specified in the criterion.

<table>
<thead>
<tr>
<th>Subject</th>
<th>Criteria</th>
<th>Document Submission requirement</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>ELIGIBILITY</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Legal Status</td>
<td>Vendor is a legally registered entity.</td>
<td>Form B: Bidder Information Form</td>
</tr>
<tr>
<td>Eligibility</td>
<td>Vendor is not suspended, nor debarred, nor otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization in accordance with ITB clause 3.</td>
<td>Form A: Technical Proposal Submission Form</td>
</tr>
<tr>
<td>Conflict of Interest</td>
<td>No conflicts of interest in accordance with ITB clause 4.</td>
<td>Form A: Technical Proposal Submission Form</td>
</tr>
<tr>
<td>Bankruptcy</td>
<td>Not declared bankruptcy, not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against the vendor that could impair its operations in the foreseeable future.</td>
<td>Form A: Technical Proposal Submission Form</td>
</tr>
<tr>
<td><strong>QUALIFICATION</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>History of Non-Performing Contracts(^1)</td>
<td>Non-performance of a contract did not occur as a result of contractor default for the last 3 years.</td>
<td>Form D: Qualification Form</td>
</tr>
<tr>
<td>Litigation History</td>
<td>No consistent history of court/arbital award decisions against the Bidder for the last 3 years.</td>
<td>Form D: Qualification Form</td>
</tr>
</tbody>
</table>
| Previous Experience   | • Proven experience of international or national experience designing and carrying out relevant assessments and studies in the field of public procurement, economics, health, market research, business consultancy or other;  
                         • Previous experience of conducting capacity assessment of government or non-government organizations;  
                         • Experience of working in organizational development, strategic planning capacity building in the specified area.  
                         • Experience of working with national/regional authorities and nonprofit organizations | Form D: Qualification Form                  |

\(^1\) Non-performance, as decided by UNDP, shall include all contracts where (a) non-performance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Non-performance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Bidder have been exhausted.
| **Financial Standing** | Bidder must demonstrate the current soundness of its financial standing and indicate its prospective long-term profitability. *(For JV/Consortium/Association, all Parties cumulatively should meet requirement).* | Form D: Qualification Form |
## Technical Evaluation Criteria

### Summary of Technical Proposal Evaluation form

<table>
<thead>
<tr>
<th>#</th>
<th>Experience of company/organization that submits proposals</th>
<th>Points Obtainable</th>
<th>Company/Organization</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>50%</td>
<td>350</td>
<td>A</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>B</td>
</tr>
<tr>
<td>2</td>
<td>Proposed methodology</td>
<td>140</td>
<td>C</td>
</tr>
<tr>
<td>3</td>
<td>Key personnel</td>
<td>210</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Total</td>
<td>700</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Notes</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Points Obtainable: 350**

### Technical Proposal Evaluation Form 1

#### Expertise of Company/Organization that Submits a Proposal

<table>
<thead>
<tr>
<th>#</th>
<th>Description</th>
<th>Points Obtainable</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.1.</td>
<td>Company’s profile; (company profile is related but not focused on conducting evaluations and assessments – 40 pts; (company’s profile is highly related to conducting evaluations and assessment - 60 pts)</td>
<td>60</td>
</tr>
<tr>
<td>1.2.</td>
<td>Financial sustainability of Company / Organization; (The financial statement (past 2 years) shows that organization is not declared bankruptcy, is not involved in bankruptcy or receivership proceedings – 50 points)</td>
<td>50</td>
</tr>
<tr>
<td>1.3.</td>
<td>At least three years of international or national experience of carrying out project/programme evaluations, economic and cost-benefit assessments and similar (3 years of experience 50 pts, 4-5 years – 70 pts, 6 and more years – 90 pts)</td>
<td>90</td>
</tr>
<tr>
<td>1.4.</td>
<td>Experience in working with national/regional authorities and nonprofit organizations 3 reference letters from previous clients - 50 pts.; 4 and more reference letters from previous clients - 40 pts.</td>
<td>90</td>
</tr>
<tr>
<td>1.5.</td>
<td>Quality of analytical reports produced (minimum three examples should be provided in the Proposal); (clarity and consistence of the information – up to 20 pts; relevance of research approach – up to 20 pts; quality of analysis – up to 20 pts)</td>
<td>60</td>
</tr>
</tbody>
</table>

**Total for Form 1 (min. 245 points): 350**

### Technical Proposal Evaluation Form 2

#### Proposed evaluation methodology

<table>
<thead>
<tr>
<th>#</th>
<th>Description</th>
<th>Points Obtainable</th>
</tr>
</thead>
<tbody>
<tr>
<td>2.1.</td>
<td>The proposed evaluation methodology:</td>
<td>140</td>
</tr>
<tr>
<td></td>
<td>- describes the approach that meets the purpose and objectives of the assessment - 20 pts;</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- demonstrates understanding of the evaluation - 50 pts;</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- describes clear sample and randomization of key respondents – 10 pts;</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- overviews tools for data collection - 30 pts;</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- states a realistic timeframe of the evaluation – 30 pts.</td>
<td></td>
</tr>
</tbody>
</table>

**Total for Form 2 (min. 98 points): 140**

### Technical Proposal Evaluation Form 3

#### Key Personnel

**Evaluation Team Leader**
### Section 5. Terms of Reference

#### Project name:
Procurement support services for the Ministry of Health of Ukraine

#### Services required:
Capacity assessment of the State-owned enterprise "Medical Procurements of Ukraine"

#### Country/place of implementation:
Ukraine, national
Starting date of assignment: September 2019
Duration of the contract: Up to 2 months
Supervisor’s name and functional post: Health Programme Specialist with cross-functional reporting responsibilities to Chief Technical Advisor
Payment arrangements: 30 days net upon provision of deliverables, duly certified by UNDP

1. Background:
The United Nations Development Programme (UNDP) is the UN’s global development network, advocating for change and connecting countries to knowledge, experience and resources to help people build a better life, as envisaged by 2030 Agenda for Sustainable Development. UNDP is on the ground in more than 170 countries and territories, working with governments and people on their own solutions to global and national development challenges. As they develop local capacity, they draw on the people of UNDP and a wide range of partners that can bring about results.

Starting from 2015, the UNDP Health and Transparency Programme (H&T Programme) is partnered with the Ministry of Health (MoH) of Ukraine to support the procurement of medicines and medical products on behalf of the Ukrainian State Medicines Programmes. Recognizing UNDP’s unique capacity as a health development partner and its proven track record in supporting public health institutions, the H&T Programme is fully committed to support the Ministry of Health of Ukraine in its mid- and long-term efforts to reform its procurement and supply management system by bringing its extensive expertise in establishing the procurement system that corresponds to the highest standards of transparency, accountability, cost-effectiveness, equity and sustainability.

In August 2017 the Cabinet of Ministers of Ukraine approved an official Concept Paper for reforming the public procurement in the healthcare sector envisaging establishment of an independent state-owned enterprise “Medical Procurements of Ukraine” (MPU). As soon as the designated entity under the Ministry of Health reaches the required capacity to manage a fully-functioning national medical procurement system, including effective and accountable supply chain management, appropriately trained personnel and efficient administration processes that meet international standards to guarantee that healthcare needs of all Ukrainians are met with integrity, transparency and accountability, UNDP will progressively hand over the procurement of medicines and medical devices to the MPU. This is expected to happen by the end of 2020.

In line with this, UNDP Ukraine is looking for an experienced organisation/company to conduct capacity assessment of the MPU to accelerate the hand over process and to ensure the evidence-based approach to the capacity building and knowledge transfer.

2. Main objective of the assignment
This assignment aims to conduct capacity assessment of the MPU to enable the MPU staff and managers to formulate a shared image of capacity constraints and capacity development opportunities, to prepare an action plan for ongoing MPU capacity development to enable efficient and sustainable development of the state procurement entity.

Specifically, the capacity assessment should analyze:

1. Leadership and political landscape of medical procurement that may impact the process of gradual transfer of medical procurement activities to MPU
2. Knowledge of MPU staff, in particular:

- Assess the current level of knowledge of international and national health procurement principles and procedures;
- Assess the current level of knowledge on quality standards of health products and minimal tender requirements for ensuring the quality of procured goods;
- Identify current strengths and weaknesses of the MPU staff capacity to create awareness among staff and stakeholders about possible needs for development and learning;
- Formulate an evidence-based baseline with SMART indicators for monitoring staff capacity development;
- Formulate a capacity development plan to be used for the next two years and to cost all activities in the plan;
- Accountability of MPU in terms of transparency and anti-corruption as per the modus operandi/SOPs of the MPU

**Scope of work:**
The company will be responsible for conducting the following preliminary activities using a mixed set of qualitative and quantitative assessment methods and tools: desk review, staff surveys (questionnaires), in-depth interviews, direct surveillance (key informant, including respondents from outside of the MPU, interviews/focus group discussions) and other as necessary, based on the approved assessment methodology:

- Analyse through documents review and interviews and present in a narrative form a description of the current leadership of MPU, decision-making process and political landscape that may affect the transfer of activities from UNDP to MPU and suggest appropriate interventions that UNDP may perform, to organically fit the transfer process into the MoH plans;
- Conduct a baseline capacity assessment through individual anonymous closed-book test of the MPU to evaluate knowledge of basic procurement principles and procurement of medicines and medical products by MPU core staff members (up to 15 specialists). The test should be developed in such a way that it could be used as a comparative tool by administering it again in future and the results indicating the change (positive or negative) in the level of expertise;
- Propose a methodology and tools for the collection of data for in-depth capacity assessment of the MPU;
- Conduct in-depth capacity assessment of the MPU based on the approved methodology and tools to include but not limited to:
  ✓ defining appropriate quality requirements for medicines and medical devices
  ✓ quantifying needs
  ✓ sourcing, planning and conducting procurement processes
  ✓ storing and distributing medicines and other health products while controlling their quality
  ✓ establishing information systems for the monitoring of stocks, and consumption
  ✓ designing solutions for “last-mile” delivery to the most hard-to-reach populations;
- Analyse through a structural and operational review the accountability of MPU in terms of transparency and anti-corruption as per the modus operandi/SOPs
- Identify the activities of other involved agencies/organisations regarding capacity building of MPU
- Prepare a report (up to 30 pages without annexes) based on the review of international and national guidelines, policies and with the capacity assessment findings containing recommendations and a detailed costed plan with SMART indicators for the effective capacity building and knowledge transfer;
- Validate the draft report with national and international experts;
- Prepare a presentation on findings and recommendations from the capacity assessment results for UNDP Ukraine and the MPU teams.
- **Deliverables:**
The research Company is expected to perform activities within the scope of work:

<table>
<thead>
<tr>
<th>Deliverable #</th>
<th>Task description</th>
<th>Timeframe</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Detailed assessment methodology and tools for the collection of data for capacity assessment of MPU and draft structure of the report (Ukrainian and English)</td>
<td>No later than 15 working days after signing the contract</td>
</tr>
<tr>
<td>2</td>
<td>Conducted the assessment and prepared a draft assessment report and a capacity development plan (Ukrainian and English)</td>
<td>No later than 25 working days after signing the contract</td>
</tr>
<tr>
<td>3</td>
<td>Addressed comments and submitted a final version of the assessment including an Executive summary in Ukrainian and English (up to 5 pages)</td>
<td>No later than 35 working days after signing the contract</td>
</tr>
<tr>
<td>4</td>
<td>Prepared and delivered a stand-alone Power Point presentation on the outcomes of the capacity assessment (max 40 of pages in Ukrainian and English languages) for UNDP Ukraine and MPU.</td>
<td>No later than 40 working days after signing the contract</td>
</tr>
</tbody>
</table>

**Copyright**
The title rights, copyrights and all other rights whatsoever nature in any material produced under the provisions of this TOR will be vested exclusively in UNDP.

- **Proposed payment schedule**
  **Payment Method:** 30 days net
  Payments will be made in 3 tranches according to the following payment schedule:

  - First payment - upon satisfactory submission and approval of Deliverables #1 and #2 (30%);  
  - Second payment - upon satisfactory submission and approval of Deliverable #3 (40 %);  
  - Third payment - upon satisfactory submission and approval of Deliverable #4 and #5 (30%).

- **Management Arrangements**
The Company shall be responsible for managing the process of the work implementation, its resources, logistics and expenditures related to the tasks in timely and accurate manner.

- **Monitoring/Reporting requirements**
The research company will work under the overall guidance of Health Programme Specialist and Chief Technical Advisor.

The research company has to consult with UNDP on all steps of the process and proceed to the next step only upon obtaining approval on the accomplished step from the Health Program Specialist after consultation with the Central Procurement Agency. The final approval instance is UNDP.
The company should arrange its activities based on the principle of constructive co-operation. It is mandatory to take into account all proposals of the UNDP Ukraine team and the state-owned enterprise "Medical Procurements of Ukraine" team.

The electronic version of the final report should be prepared and submitted for clearance to the Health Programme Specialist not later than abovementioned fixed dates.

**UNDP requirements to analytical reports**

It is mandatory for the report structure to include: a cover page, table of contents, glossary of terms, introduction, and executive summary, scope and methodology, assessment findings, conclusions, recommendations, lessons learned and annexes.

The conclusions should be comprehensive and balanced, be well substantiated by the evidence and logically linked to the assessment findings. The recommendations need to be supported by an evidential basis, be credible, practical, action-oriented, and define who is responsible for the action - to have potential to be used in decision-making.

The annexes should be duly numbered; all tables and figures should contain references to sources and be numbered; there should be references to them in the text of the report. The report should contain a bibliography and list of Web-resources, if relevant.

The final report should take into account UNDP analytical standards and standards for writing reports. The report format (layout, text borders, format of charts and tables, format of titles, subtitles and main text, etc.) should provide for a convenient reading of the document and be in line with basic requirements to design of such kind of documents.

The report should be logical and understandable and have a limited number of specialized terms. It should also have a clear structure and be broken into sections (subsections).

The assessment shall be carried out objectively without consideration of interests of any parties. All points of view as regards the events and processes shall be provided and compared.

The Company shall provide the report in the electronic form (.doc format, initial materials and annexes .doc, *.xls formats).

- **Experience and qualifications requirement**

  **a. General requirements:**
  - Officially registered organization (provide certificate of company's registration);
  - At least three years of international or national experience designing and carrying out relevant assessments and studies in the field of public procurement, economics, health, market research, business consultancy or other (3 analytical reports prepared earlier on similar subjects or field);
  - Experience conducting capacity assessment of government or non-government organizations (at least two assessments conducted);
  - Experience of working in organizational development, strategic planning capacity building in the specified area;
  - Availability of experienced staff to ensure top-quality and timely completion of the assignment;
  - Experience of working with national/regional authorities and nonprofit organizations (3 reference letters from previous clients);
● Experience in working with international organizations and UN agencies is an asset.

b. Requirements for the Company Team members:
   **Team Leader:**
   ● At least Master’s degree or equivalent in Social Science, Economics, Management, Public Administration, Data analysis, Health Economics or other relevant area;
   ● At least five years of professional experience in capacity development, strategic planning, organization consulting, assessment and research including methodology design (surveys, questionnaires) (3 analytical reports prepared earlier on similar subjects or spheres);
   ● At least three years of professional experience leading teams, managing assessments, studies and projects (3 recommendation letters from previous employers);
   ● Fluency in Ukrainian and English;

   **Assessment Analyst:**
   ● Master’s or equivalent degree in Social Science, Economics, Management, Public Administration, Data analysis, Health Economics or other relevant area;
   ● At least three years of professional experience of analytical working and working with data collection (conducting interviews/surveys) (3 recommendation letters from previous employers);
   ● Fluency in Ukrainian;
   ● Working knowledge of English

3. **Documents to be submitted in technical proposal**
   UNDP Ukraine is inviting institutions and organizations interested in and capable of performing this assignment, to participate in the competition and submit an application, specifying the following information:
   ✚ General information on Organization: name of organization; address (legal and mailing), telephone and fax numbers, contact persons, e-mail addresses, certificate of company’s registration; financial statement that shows that organization is not declared bankruptcy, is not involved in bankruptcy or receivership proceedings
   ✚ General description of Organization resources and capacities (information should not exceed 2 pages), including date of establishment, information about activities of the organization, professional expertise, experience, number of employees;
   ✚ Description of assessment processes, number of employees planned to be involved in the assessment, their functions and CVs: Team Leader and Assessment Analyst
   ✚ Detailed budget of the proposal;
   ✚ Copies of analytical reports (not less than THREE), prepared earlier on similar subjects or spheres. It is allowed to provide a link or electronic copy instead of a hard one), preferably in English;
   ✚ Technical proposal, describing methodology and detailed working plan;
   ✚ At least 3 reference letters from the major clients concerning provision of same nature of services.
Section 6: Returnable Bidding Forms / Checklist

This form serves as a checklist for preparation of your Proposal. Please complete the Returnable Bidding Forms in accordance with the instructions in the forms and return them as part of your Proposal submission. No alteration to format of forms shall be permitted and no substitution shall be accepted.

Before submitting your Proposal, please ensure compliance with the Proposal Submission instructions of the BDS 22.

Technical Proposal Envelope:

<table>
<thead>
<tr>
<th>Have you duly completed all the Returnable Bidding Forms?</th>
</tr>
</thead>
<tbody>
<tr>
<td>▪ Form A: Technical Proposal Submission Form</td>
</tr>
<tr>
<td>▪ Form B: Bidder Information Form</td>
</tr>
<tr>
<td>▪ Form C: Joint Venture/Consortium/ Association Information Form</td>
</tr>
<tr>
<td>▪ Form D: Qualification Form</td>
</tr>
<tr>
<td>▪ Form E: Format of Technical Proposal</td>
</tr>
</tbody>
</table>

Have you provided the required documents to establish compliance with the evaluation criteria in Section 4?

Financial Proposal Envelope

(Must be submitted in password protected file via email. Electronic format of submission only)

<table>
<thead>
<tr>
<th>Have you duly completed all the Financial Proposal Forms?</th>
</tr>
</thead>
<tbody>
<tr>
<td>▪ Form F: Financial Proposal Submission Form</td>
</tr>
<tr>
<td>▪ Form G: Financial Proposal Form</td>
</tr>
</tbody>
</table>
**Form A: Technical Proposal Submission Form**

<table>
<thead>
<tr>
<th>Name of Bidder:</th>
<th>[Insert Name of Bidder]</th>
</tr>
</thead>
<tbody>
<tr>
<td>RFP reference:</td>
<td>[Insert RFP Reference Number]</td>
</tr>
</tbody>
</table>

We, the undersigned, offer to provide the services for [Insert Title of services] in accordance with your Request for Proposal No. [Insert RFP Reference Number] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and our Financial Proposal sealed under a separate envelope.

We hereby declare that our firm, its affiliates or subsidiaries or employees, including any JV/Consortium/Association members or subcontractors or suppliers for any part of the contract:

a) is not under procurement prohibition by the United Nations, including but not limited to prohibitions derived from the Compendium of United Nations Security Council Sanctions Lists;

b) have not been suspended, debarred, sanctioned or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization;

c) have no conflict of interest in accordance with Instruction to Bidders Clause 4;

d) do not employ, or anticipate employing, any person(s) who is, or has been a UN staff member within the last year, if said UN staff member has or had prior professional dealings with our firm in his/her capacity as UN staff member within the last three years of service with the UN (in accordance with UN post-employment restrictions published in ST/SGB/2006/15);

e) have not declared bankruptcy, are not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against them that could impair their operations in the foreseeable future;

f) undertake not to engage in proscribed practices, including but not limited to corruption, fraud, coercion, collusion, obstruction, or any other unethical practice, with the UN or any other party, and to conduct business in a manner that averts any financial, operational, reputational or other undue risk to the UN and we embrace the principles of the United Nations Supplier Code of Conduct and adhere to the principles of the United Nations Global Compact.

We declare that all the information and statements made in this Proposal are true and we accept that any misinterpretation or misrepresentation contained in this Proposal may lead to our disqualification and/or sanctioning by the UNDP.

We offer to provide services in conformity with the Bidding documents, including the UNDP General Conditions of Contract and in accordance with the Terms of Reference.

Our Proposal shall be valid and remain binding upon us for the period of time specified in the Bid Data Sheet.

We understand and recognize that you are not bound to accept any Proposal you receive.

I, the undersigned, certify that I am duly authorized by [Insert Name of Bidder] to sign this Proposal and bind it should UNDP accept this Proposal.

Name: ____________________________________________________

Title: ____________________________________________________

Date: ____________________________________________________

Signature: ________________________________________________

[Stamp with official stamp of the Bidder]
Form B: Bidder Information Form

<table>
<thead>
<tr>
<th>Legal name of Bidder</th>
<th>[Complete]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Legal address</td>
<td>[Complete]</td>
</tr>
<tr>
<td>Year of registration</td>
<td>[Complete]</td>
</tr>
</tbody>
</table>
| Bidder’s Authorized Representative Information | Name and Title: [Complete]  
Telephone numbers: [Complete]  
Email: [Complete] |
| Are you a UNGM registered vendor? | ☐ Yes ☐ No  
If yes, [insert UGNM vendor number] |
| Are you a UNDP vendor? | ☐ Yes ☐ No  
If yes, [insert UNDP vendor number] |
| Countries of operation | [Complete] |
| No. of full-time employees | [Complete] |
| Quality Assurance Certification (e.g. ISO 9000 or Equivalent) (If yes, provide a Copy of the valid Certificate): | [Complete] |
| Does your Company hold any accreditation such as ISO 14001 related to the environment? (If yes, provide a Copy of the valid Certificate): | [Complete] |
| Does your Company have a written Statement of its Environmental Policy? (If yes, provide a Copy) | [Complete] |
| Contact person UNDP may contact for requests for clarification during Proposal evaluation | Name and Title: [Complete]  
Telephone numbers: [Complete]  
Email: [Complete] |
| Please attach the following documents: | • Company Profile, which should not exceed ten (10) pages, including printed brochures and product catalogues relevant to the goods/services being procured;  
• Tax Registration/Payment Certificate issued by the Internal Revenue Authority evidencing that the Bidder is updated with its tax payment obligations, or Certificate of Tax exemption, if any such privilege is enjoyed by the Bidder;  
• Certificate of Registration of the business, including Articles of Incorporation, or equivalent document if Bidder is not a corporation;  
• Assessment methodology, detailed work plan;  
• CVs of the proposed implementation team and their functions: Team Leader and Assessment Analyst; as per Form E, Section 3. |
- Latest Audited Financial Statement (Income Statement and Balance Sheet) including Auditor’s Report for the past 2 years
- Samples of at least three (3) analytic reports in similar subjects or field. The link to electronic version of the documents may be provided;
- Duly signed Technical and financial proposals as per Forms A, B, F and G. Financial proposal must be in a separate file and password protected.
- List of corporate clients highlighting similar contracts for clients of comparable business nature and/or size as UNDP/UN;
- Statement of Satisfactory Performance from the Top 3 Clients in terms of Contract Value the past 3 years
Form C: Joint Venture/Consortium/Association Information Form

<table>
<thead>
<tr>
<th>Name of Bidder:</th>
<th>[Insert Name of Bidder]</th>
<th>Date:</th>
<th>Select date</th>
</tr>
</thead>
<tbody>
<tr>
<td>RFP reference:</td>
<td>[Insert RFP Reference Number]</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

To be completed and returned with your Proposal if the Proposal is submitted as a Joint Venture/Consortium/Association.

<table>
<thead>
<tr>
<th>No</th>
<th>Name of Partner and contact information (address, telephone numbers, fax numbers, e-mail address)</th>
<th>Proposed proportion of responsibilities (in %) and type of services to be performed</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>[Complete]</td>
<td>[Complete]</td>
</tr>
<tr>
<td>2</td>
<td>[Complete]</td>
<td>[Complete]</td>
</tr>
<tr>
<td>3</td>
<td>[Complete]</td>
<td>[Complete]</td>
</tr>
</tbody>
</table>

Name of leading partner
(with authority to bind the JV, Consortium, Association during the RFP process and, in the event a Contract is awarded, during contract execution)

[Complete]

We have attached a copy of the below document signed by every partner, which details the likely legal structure of and the confirmation of joint and severable liability of the members of the said joint venture:

☐ Letter of intent to form a joint venture OR ☐ JV/Consortium/Association agreement

We hereby confirm that if the contract is awarded, all parties of the Joint Venture/Consortium/Association shall be jointly and severally liable to UNDP for the fulfillment of the provisions of the Contract.

Name of partner: ____________________________  Name of partner: ____________________________

Signature: ____________________________  Signature: ____________________________

Date: ____________________________  Date: ____________________________

Name of partner: ____________________________  Name of partner: ____________________________

Signature: ____________________________  Signature: ____________________________

Date: ____________________________  Date: ____________________________

Name of partner: ____________________________  Name of partner: ____________________________

Signature: ____________________________  Signature: ____________________________

Date: ____________________________  Date: ____________________________
**Form D: Qualification Form**

<table>
<thead>
<tr>
<th>Name of Bidder:</th>
<th>[Insert Name of Bidder]</th>
<th>Date:</th>
<th>Select date</th>
</tr>
</thead>
<tbody>
<tr>
<td>RFP reference:</td>
<td>[Insert RFP Reference Number]</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

If JV/Consortium/Association, to be completed by each partner.

**Historical Contract Non-Performance**

- [ ] Contract non-performance did not occur for the last 3 years
- [ ] Contract(s) not performed for the last 3 years

<table>
<thead>
<tr>
<th>Year</th>
<th>Non-performed portion of contract</th>
<th>Contract Identification</th>
<th>Total Contract Amount (current value in US$)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Name of Client:</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Address of Client:</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Reason(s) for non-performance:</td>
<td></td>
</tr>
</tbody>
</table>

**Litigation History** (including pending litigation)

- [ ] No litigation history for the last 3 years
- [ ] Litigation History as indicated below

<table>
<thead>
<tr>
<th>Year of dispute</th>
<th>Amount in dispute (in US$)</th>
<th>Contract Identification</th>
<th>Total Contract Amount (current value in US$)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Name of Client:</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Address of Client:</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Matter in dispute:</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Party who initiated the dispute:</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Status of dispute:</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Party awarded if resolved:</td>
<td></td>
</tr>
</tbody>
</table>
**Previous Relevant Experience**

Please list only previous similar assignments successfully completed in the last 3 years.

List only those assignments for which the Bidder was legally contracted or sub-contracted by the Client as a company or was one of the Consortium/JV partners. Assignments completed by the Bidder’s individual experts working privately or through other firms cannot be claimed as the relevant experience of the Bidder, or that of the Bidder’s partners or sub-consultants, but can be claimed by the Experts themselves in their CVs. The Bidder should be prepared to substantiate the claimed experience by presenting copies of relevant documents and references if so requested by UNDP.

<table>
<thead>
<tr>
<th>Project name &amp; Country of Assignment</th>
<th>Client &amp; Reference Contact Details</th>
<th>Contract Value</th>
<th>Period of activity and status</th>
<th>Types of activities undertaken</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

*Bidders may also attach their own Project Data Sheets with more details for assignments above.*

☐ Attached are the Statements of Satisfactory Performance from the Top 3 (three) Clients or more.

**Financial Standing**

<table>
<thead>
<tr>
<th>Annual Turnover for the last 3 years</th>
<th>Value (USD)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Year 1</td>
<td></td>
</tr>
<tr>
<td>Year 2</td>
<td></td>
</tr>
<tr>
<td>Year 3</td>
<td></td>
</tr>
</tbody>
</table>

Latest Credit Rating (if any), indicate the source

**Financial information (in US$ equivalent)**

Information from Balance Sheet

| Total Assets (TA) |             |
| Total Liabilities (TL) |           |
| Current Assets (CA) |             |
| Current Liabilities (CL) |          |

Information from Income Statement

| Total / Gross Revenue (TR) |             |
| Profits Before Taxes (PBT) |             |
| Net Profit                |             |
Attached are copies of the audited financial statements (balance sheets, including all related notes, and income statements) for the years required above complying with the following condition:

a) Must reflect the financial situation of the Bidder or party to a JV, and not sister or parent companies;

b) Historic financial statements must be audited by a certified public accountant;

c) Historic financial statements must correspond to accounting periods already completed and audited. No statements for partial periods shall be accepted.
Form E: Format of Technical Proposal

<table>
<thead>
<tr>
<th>Name of Bidder:</th>
<th>[Insert Name of Bidder]</th>
<th>Date:</th>
<th>Select date</th>
</tr>
</thead>
<tbody>
<tr>
<td>RFP reference:</td>
<td>[Insert RFP Reference Number]</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

The Bidder’s proposal should be organized to follow this format of Technical Proposal. Where the bidder is presented with a requirement or asked to use a specific approach, the bidder must not only state its acceptance, but also describe how it intends to comply with the requirements. Where a descriptive response is requested, failure to provide the same will be viewed as non-responsive.

SECTION 1: Bidder’s experience and reputation on the market

1.1 Brief description of the organization, including the year and country of incorporation, and types of activities undertaken.
1.2 General organizational capability which is likely to affect implementation: management structure, financial stability and project financing capacity, project management controls, extent to which any work would be subcontracted (if so, provide details).
1.3 Relevant certificates and association membership confirming documents.
1.4 Quality assurance procedures and risk mitigation measures.
1.5 Organization’s commitment to sustainability.

SECTION 2: Bidder’s capability and expertise

This section should demonstrate the bidder’s responsiveness to the TOR by identifying the specific components proposed, addressing the requirements, providing a detailed description of the essential performance characteristics proposed and demonstrating how the proposed approach and methodology meets or exceeds the requirements. All important aspects should be addressed in sufficient detail and different components of the project should be adequately weighted relative to one another.

2.1 Detailed organizational structure of the Company, including quantity of qualified personal available.
2.2 Information about sales volumes past 3 years.
2.3 Description of booking system in use.
2.4 Quality assurance and service support provision information.
2.5 Explain whether any work would be subcontracted, to whom, how much percentage of the work, the rationale for such, and the roles of the proposed sub-contractors and how everyone will function as a team.
2.6 Description of available performance monitoring and evaluation mechanisms and tools; how they shall be adopted and used for a specific requirement.
2.7 Demonstrate how you plan to integrate sustainability measures in the execution of the contract.
2.8 Any other comments or information regarding the project approach and methodology that will be adopted.

SECTION 3: Management Structure and Key Personnel

3.1 Describe the overall management approach toward planning and implementing the project. Include an organization chart for the management of the project describing the relationship of key positions and designations. Provide a spreadsheet to show the activities of each personnel and the time allocated for his/her involvement.
3.2 Provide CVs for key personnel that will be provided to support the implementation of this project using the format below. CVs should demonstrate qualifications in areas relevant to the Scope of Services.
# Format for CV of Proposed Key Personnel

<table>
<thead>
<tr>
<th>Name of Personnel</th>
<th>[Insert]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Position for this assignment</td>
<td>[Insert]</td>
</tr>
<tr>
<td>Nationality</td>
<td>[Insert]</td>
</tr>
<tr>
<td>Language proficiency</td>
<td>[Insert]</td>
</tr>
</tbody>
</table>

**Education/Qualifications**

[Summarize college/university and other specialized education of personnel member, giving names of schools, dates attended, and degrees/qualifications obtained.]

[Insert]

**Professional certifications**

[Provide details of professional certifications relevant to the scope of services]

- Name of institution: [Insert]
- Date of certification: [Insert]

**Employment Record/Experience**

[List all positions held by personnel (starting with present position, list in reverse order), giving dates, names of employing organization, title of position held and location of employment. For experience in last five years, detail the type of activities performed, degree of responsibilities, location of assignments and any other information or professional experience considered pertinent for this assignment.]

[Insert]

**References**

[Provide names, addresses, phone and email contact information for two (2) references]

Reference 1: [Insert]

Reference 2: [Insert]

I, the undersigned, certify that to the best of my knowledge and belief, these data correctly describe my qualifications, my experiences, and other relevant information about myself.

________________________________________  __________________
Signature of Personnel  Date (Day/Month/Year)
Form F: Financial Proposal Submission Form

<table>
<thead>
<tr>
<th>Name of Bidder:</th>
<th>[Insert Name of Bidder]</th>
<th>Date:</th>
<th>Select date</th>
</tr>
</thead>
<tbody>
<tr>
<td>RFP reference:</td>
<td>[Insert RFP Reference Number]</td>
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</tbody>
</table>

We, the undersigned, offer to provide the services for [Insert Title of services] in accordance with your Request for Proposal No. [Insert RFP Reference Number] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and our Financial Proposal sealed under a separate envelope.

Our attached Financial Proposal is for the sum of [Insert amount in words and figures].

Our Proposal shall be valid and remain binding upon us for the period of time specified in the Bid Data Sheet.

We understand you are not bound to accept any Proposal you receive.

Name: __________________________________________________________
Title: __________________________________________________________
Date: __________________________________________________________
Signature: ________________________________________________________

[Stamp with official stamp of the Bidder]
The Bidder is required to prepare the Financial Proposal following the below format and submit it in a file separate from the Technical Proposal as indicated in the Instruction to Bidders. Any Financial information provided in the Technical Proposal shall lead to Bidder’s disqualification.

The Financial Proposal should align with the requirements in the Terms of Reference and the Bidder’s Technical Proposal.

Currency of proposal may be UAH or USD, though USD is highly recommended as the price of the contract will be based on the proposal and its currency and will not be changed in its duration. Thus, USD price proposal provides better option for currency risk mitigation.

The Financial proposal should be submitted in a separate sealed envelope and include the following categories of costs.

<table>
<thead>
<tr>
<th>Activity/Costs</th>
<th>Unit</th>
<th>Number of Units</th>
<th>Cost per Unit</th>
<th>Amount excluding VAT</th>
<th>VAT</th>
<th>Amount including VAT</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Cost breakdown by components</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 Personnel:</td>
<td></td>
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<tr>
<td>Team Leader</td>
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<tr>
<td>Assessment Analyst</td>
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<tr>
<td>Other team members:</td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 Travel costs</td>
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<td></td>
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<td></td>
</tr>
<tr>
<td>3 Other costs <em>(if any – to define clearly activities/costs)</em></td>
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<td></td>
</tr>
<tr>
<td><strong>Cost breakdown by deliverable</strong></td>
<td></td>
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</tr>
<tr>
<td>1 Inception report (with detailed description of the methodology and evaluation matrix in Ukrainian and English) submitted</td>
<td>Desk Review</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 Field work conducted. Initial Findings discussed in a wrap-up session</td>
<td>Field work</td>
<td></td>
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<tr>
<td>3 Draft report in English and Ukrainian submitted.</td>
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</tbody>
</table>
4   PP presentation prepared and delivered (in English and Ukrainian)

5   Final evaluation report and PP presentation submitted.

6   Other costs *(if any – to define clearly activities/costs)*

<p>| | | | | | | | |</p>
<table>
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</thead>
</table>

**TOTAL**

*NB Amounts in both tables must be equal as they represent the same financial proposal from different aspects.*

“Duly authorized to sign the proposal for and on behalf of” ____________________________

(Name of Organisation): __________________________

Signature/Stamp of Entity/Date: __________________________

Name of representative: __________________________

Address: __________________________

Telephone/Fax: __________________________

Email: __________________________