REQUEST FOR PROPOSAL

Consultancy for the feasibility studies for three mini-hydro power plants and one photovoltaic plant in São Tomé and Principe.

RFP No.: rfp-st06.2019
Project: Promotion of environmentally sustainable and climate-resilient grid/isolated grid-based hydroelectric electricity through an integrated approach in Sao Tome and Principe
Country: São Tomé and Principe

Issued on: 11 November 2019
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SECTION 1. LETTER OF INVITATION

The United Nations Development Programme (UNDP) hereby invites you to submit a Proposal to this Request for Proposal (RFP) for the above-referenced subject.

This RFP includes the following documents and the General Terms and Conditions of Contract which is inserted in the Bid Data Sheet (BDS):

Section 1: This Letter of Invitation
Section 2: Instruction to Bidders
Section 3: Bid Data Sheet (BDS)
Section 4: Evaluation Criteria
Section 5: Terms of Reference
Section 6: Returnable Bidding Forms
  o Form A: Technical Proposal Submission Form
  o Form B: Bidder Information Form
  o Form C: Joint Venture/Consortium/Association Information Form
  o Form D: Qualification Form
  o Form E: Format of Technical Proposal
  o Form F: Financial Proposal Submission Form
  o Form G: Financial Proposal Form

If you are interested in submitting a Proposal in response to this RFP, please prepare your Proposal in accordance with the requirements and procedure as set out in this RFP and submit it by the Deadline for Submission of Proposals set out in Bid Data Sheet.

Please acknowledge receipt of this RFP by sending an email toProcurement STP procurement.st@undp.org indicating whether you intend to submit a Proposal or otherwise. You may also utilize the “Accept Invitation” function in eTendering system, where applicable. This will enable you to receive amendments or updates to the RFP. Should you require further clarifications, kindly communicate with the contact person(s) identified in the attached Bid Data Sheet as the focal point for queries on this RFP.

UNDP looks forward to receiving your Proposal and thank you in advance for your interest in UNDP procurement opportunities.

Issued by: [Signature]
Name: Antonia Dao
Title: Assistant Resident Representative/Operations
Date: November 11, 2019

Approved by: [Signature]
Name: Aderito Santana
Title: OIC
Date: November 11, 2019
### SECTION 2. INSTRUCTION TO BIDDERS

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<th><strong>A. GENERAL PROVISIONS</strong></th>
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#### 1. Introduction

1.1 Bidders shall adhere to all the requirements of this RFP, including any amendments in writing by UNDP. This RFP is conducted in accordance with the UNDP Programme and Operations Policies and Procedures (POPP) on Contracts and Procurement which can be accessed at [https://popp.undp.org/SitePages/POPPBSUnit.aspx?TermID=254a9f96-b883-476a-8ef8-e81f93a2b38d](https://popp.undp.org/SitePages/POPPBSUnit.aspx?TermID=254a9f96-b883-476a-8ef8-e81f93a2b38d)

1.2 Any Proposal submitted will be regarded as an offer by the Bidder and does not constitute or imply the acceptance of the Proposal by UNDP. UNDP is under no obligation to award a contract to any Bidder as a result of this RFP.

1.3 As part of the bid, it is desired that the Bidder registers at the United Nations Global Marketplace (UNGM) website ([www.ungm.org](http://www.ungm.org)). The Bidder may still submit a bid even if not registered with the UNGM. However, if the Bidder is selected for contract award, the Bidder must register on the UNGM prior to contract signature.

#### 2. Fraud & Corruption, Gifts and Hospitality

2.1 UNDP strictly enforces a policy of zero tolerance on proscribed practices, including fraud, corruption, collusion, unethical or unprofessional practices, and obstruction of UNDP vendors and requires all bidders/vendors observe the highest standard of ethics during the procurement process and contract implementation. UNDP’s Anti-Fraud Policy can be found at [http://www.undp.org/content/undp/en/home/operations/accountability/audit/office-of-audit-and-investigation/anti.html](http://www.undp.org/content/undp/en/home/operations/accountability/audit/office-of-audit-and-investigation/anti.html)

2.2 Bidders/vendors shall not offer gifts or hospitality of any kind to UNDP staff members including recreational trips to sporting or cultural events, theme parks or offers of holidays, transportation, or invitations to extravagant lunches or dinners.

2.3 In pursuance of this policy, UNDP
   (a) Shall reject a proposal if it determines that the selected bidder has engaged in any corrupt or fraudulent practices in competing for the contract in question;
   (b) Shall declare a vendor ineligible, either indefinitely or for a stated period of time, to be awarded a contract if at any time it determines that the vendor has engaged in any corrupt or fraudulent practices in competing for, or in executing a UNDP contract.

2.4 All Bidders must adhere to the UN Supplier Code of Conduct, which may be found at [http://www.un.org/depts/ptd/pdf/conduct.english.pdf](http://www.un.org/depts/ptd/pdf/conduct.english.pdf)

#### 3. Eligibility

3.1 A vendor should not be suspended, debarred, or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization. Vendors are therefore required to disclose to UNDP whether they are subject to any sanction or temporary suspension imposed by these organizations.

3.2 It is the Bidder’s responsibility to ensure that its employees, joint venture members, sub-contractors, service providers, suppliers and/or their employees meet the eligibility requirements as established by UNDP.
4. Conflict of Interests

4.1 Bidders must strictly avoid conflicts with other assignments or their own interests, and act without consideration for future work. Bidders found to have a conflict of interest shall be disqualified. Without limitation on the generality of the above, Bidders, and any of their affiliates, shall be considered to have a conflict of interest with one or more parties in this solicitation process, if they:

a) Are or have been associated in the past, with a firm or any of its affiliates which have been engaged by UNDP to provide services for the preparation of the design, specifications, Terms of Reference, cost analysis/estimation, and other documents to be used for the procurement of the goods and services in this selection process;

b) Were involved in the preparation and/or design of the programme/project related to the services requested under this RFP; or

c) Are found to be in conflict for any other reason, as may be established by, or at the discretion of UNDP.

4.2 In the event of any uncertainty in the interpretation of a potential conflict of interest, Bidders must disclose to UNDP, and seek UNDP’s confirmation on whether or not such a conflict exists.

4.3 Similarly, the Bidders must disclose in their proposal their knowledge of the following:

a) If the owners, part-owners, officers, directors, controlling shareholders, of the bidding entity or key personnel are family members of UNDP staff involved in the procurement functions and/or the Government of the country or any Implementing Partner receiving services under this RFP; and

b) All other circumstances that could potentially lead to actual or perceived conflict of interest, collusion or unfair competition practices.

Failure to disclose such an information may result in the rejection of the proposal or proposals affected by the non-disclosure.

4.4 The eligibility of Bidders that are wholly or partly owned by the Government shall be subject to UNDP’s further evaluation and review of various factors such as being registered, operated and managed as an independent business entity, the extent of Government ownership/share, receipt of subsidies, mandate and access to information in relation to this RFP, among others. Conditions that may lead to undue advantage against other Bidders may result in the eventual rejection of the Proposal.

B. PREPARATION OF PROPOSALS

5. General Considerations

5.1 In preparing the Proposal, the Bidder is expected to examine the RFP in detail. Material deficiencies in providing the information requested in the RFP may result in rejection of the Proposal.

5.2 The Bidder will not be permitted to take advantage of any errors or omissions in the RFP. Should such errors or omissions be discovered, the Bidder must notify the UNDP.

6. Cost of Preparation of Proposal

6.1 The Bidder shall bear any and all costs related to the preparation and/or submission of the Proposal, regardless of whether its Proposal was selected or not. UNDP shall not be responsible or liable for those costs, regardless of the conduct or outcome of the procurement process.

7. Language

7.1 The Proposal, as well as any and all related correspondence exchanged by the Bidder and UNDP, shall be written in the language(s) specified in the BDS.
### 8. Documents Comprising the Proposal

8.1 The Proposal shall comprise of the following documents:

a) Documents Establishing the Eligibility and Qualifications of the Bidder;
b) Technical Proposal;
c) Financial Proposal;
d) Proposal Security, if required by BDS;
e) Any attachments and/or appendices to the Proposal.

### 9. Documents Establishing the Eligibility and Qualifications of the Bidder

9.1 The Bidder shall furnish documentary evidence of its status as an eligible and qualified vendor, using the Forms provided under Section 6 and providing documents required in those forms. In order to award a contract to a Bidder, its qualifications must be documented to UNDP’s satisfaction.

### 10. Technical Proposal Format and Content

10.1 The Bidder is required to submit a Technical Proposal using the Standard Forms and templates provided in Section 6 of the RFP.

10.2 The Technical Proposal shall not include any price or financial information. A Technical Proposal containing material financial information may be declared non-responsive.

10.3 Samples of items, when required as per Section 5, shall be provided within the time specified and unless otherwise specified by UNDP, and at no expense to UNDP.

10.4 When applicable and required as per Section 5, the Bidder shall describe the necessary training programme available for the maintenance and operation of the services and/or equipment offered as well as the cost to the UNDP. Unless otherwise specified, such training as well as training materials shall be provided in the language of the Bid as specified in the BDS.

### 11. Financial Proposals

11.1 The Financial Proposal shall be prepared using the Standard Form provided in Section 6 of the RFP. It shall list all major cost components associated with the services, and the detailed breakdown of such costs.

11.2 Any output and activities described in the Technical Proposal but not priced in the Financial Proposal, shall be assumed to be included in the prices of other activities or items, as well as in the final total price.

11.3 Prices and other financial information must not be disclosed in any other place except in the financial proposal.

### 12. Proposal Security

12.1 A Proposal Security, if required by BDS, shall be provided in the amount and form indicated in the BDS. The Proposal Security shall be valid up to thirty (30) days after the final date of validity of the Proposal.

12.2 The Proposal Security shall be included along with the Technical Proposal. If Proposal Security is required by the RFP but is not found along with the Technical Proposal, the Proposal shall be rejected.

12.3 If the Proposal Security amount or its validity period is found to be less than what is required by UNDP, JNDP shall reject the Proposal.

12.4 In the event an electronic submission is allowed in the BDS, Bidders shall include a copy of the Bid Security in their proposal and the original of the Proposal Security must be sent via courier or hand delivery as per the instructions in BDS.

12.5 The Proposal Security may be forfeited by UNDP, and the Proposal rejected, in
the event of any one or combination, of the following conditions:

a) If the Bidder withdraws its offer during the period of the Proposal Validity specified in the BDS, or;

b) In the event that the successful Bidder fails:
   i. to sign the Contract after UNDP has issued an award; or

12.6 to furnish the Performance Security, insurances, or other documents that UNDP may require as a condition precedent to the effectivity of the contract that may be awarded to the Bidder.

### 13. Currencies

13.1 All prices shall be quoted in the currency or currencies indicated in the BDS. Where Proposals are quoted in different currencies, for the purposes of comparison of all Proposals:

a) UNDP will convert the currency quoted in the Proposal into the UNDP preferred currency, in accordance with the prevailing UN operational rate of exchange on the last day of submission of Proposals; and

b) In the event that UNDP selects a proposal for award that is quoted in a currency different from the preferred currency in the BDS, UNDP shall reserve the right to award the contract in the currency of UNDP’s preference, using the conversion method specified above.

### 14. Joint Venture, Consortium or Association

14.1 If the Bidder is a group of legal entities that will form or have formed a Joint Venture (JV), Consortium or Association for the Proposal, they shall confirm in their Proposal that: (i) they have designated one party to act as a lead entity, duly vested with authority to legally bind the members of the JV, Consortium or Association jointly and severally, which shall be evidenced by a duly notarized Agreement among the legal entities, and submitted with the Proposal; and (ii) if they are awarded the contract, the contract shall be entered into, by and between UNDP and the designated lead entity, who shall be acting for and on behalf of all the member entities comprising the joint venture.

14.2 After the Deadline for Submission of Proposal, the lead entity identified to represent the JV, Consortium or Association shall not be altered without the prior written consent of UNDP.

14.3 The lead entity and the member entities of the JV, Consortium or Association shall abide by the provisions of Clause 9 herein in respect of submitting only one proposal.

14.4 The description of the organization of the JV, Consortium or Association must clearly define the expected role of each of the entity in the joint venture in delivering the requirements of the RFP, both in the Proposal and the JV, Consortium or Association Agreement. All entities that comprise the JV, Consortium or Association shall be subject to the eligibility and qualification assessment by UNDP.

14.5 A JV, Consortium or Association in presenting its track record and experience should clearly differentiate between:

a) Those that were undertaken together by the JV, Consortium or Association; and

b) Those that were undertaken by the individual entities of the JV, Consortium or Association.

14.6 Previous contracts completed by individual experts working privately but who are permanently or were temporarily associated with any of the member firms
cannot be claimed as the experience of the JV, Consortium or Association or those of its members, but should only be claimed by the individual experts themselves in their presentation of their individual credentials.

14.7 JV, Consortium or Associations are encouraged for high value, multi-sectoral requirements when the spectrum of expertise and resources required may not be available within one firm.

15. **Only One Proposal**

15.1 The Bidder (including the individual members of any Joint Venture) shall submit only one Proposal, either in its own name or as part of a Joint Venture.

15.2 Proposals submitted by two (2) or more Bidders shall all be rejected if they are found to have any of the following:
   a) they have at least one controlling partner, director or shareholder in common; or
   b) any one of them receive or have received any direct or indirect subsidy from the other/s; or
   c) they have the same legal representative for purposes of this RFP; or
   d) they have a relationship with each other, directly or through common third parties, that puts them in a position to have access to information about, or influence on the Proposal of, another Bidder regarding this RFP process;
   e) they are subcontractors to each other’s Proposal, or a subcontractor to one Proposal also submits another Proposal under its name as lead Bidder; or
   f) some key personnel proposed to be in the team of one Bidder participates in more than one Proposal received for this RFP process. This condition relating to the personnel, does not apply to subcontractors being included in more than one Proposal.

16. **Proposal Validity Period**

16.1 Proposals shall remain valid for the period specified in the BDS, commencing on the Deadline for Submission of Proposals. A Proposal valid for a shorter period may be rejected by UNDP and rendered non-responsive.

16.2 During the Proposal validity period, the Bidder shall maintain its original Proposal without any change, including the availability of the Key Personnel, the proposed rates and the total price.

17. **Extension of Proposal Validity Period**

17.1 In exceptional circumstances, prior to the expiration of the proposal validity period, UNDP may request Bidders to extend the period of validity of their Proposals. The request and the responses shall be made in writing, and shall be considered integral to the Proposal.

17.2 If the Bidder agrees to extend the validity of its Proposal, it shall be done without any change in the original Proposal.

17.3 The Bidder has the right to refuse to extend the validity of its Proposal, and in which case, such Proposal will not be further evaluated.

18. **Clarification of Proposal**

18.1 Bidders may request clarifications on any of the RFP documents no later than the date indicated in the BDS. Any request for clarification must be sent in writing in the manner indicated in the BDS. If inquiries are sent other than specified channel, even if they are sent to a UNDP staff member, UNDP shall have no obligation to respond or confirm that the query was officially received.

18.2 UNDP will provide the responses to clarifications through the method specified in the BDS.
18.3 UNDP shall endeavor to provide responses to clarifications in an expeditious manner, but any delay in such response shall not cause an obligation on the part of UNDP to extend the submission date of the Proposals, unless UNDP deems that such an extension is justified and necessary.

19. Amendment of Proposals

19.1 At any time prior to the deadline of Proposal submission, UNDP may for any reason, such as in response to a clarification requested by a Bidder, modify the RFP in the form of an amendment to the RFP. Amendments will be made available to all prospective bidders.

19.2 If the amendment is substantial, UNDP may extend the Deadline for submission of proposal to give the Bidders reasonable time to incorporate the amendment into their Proposals.

20. Alternative Proposals

20.1 Unless otherwise specified in the BDS, alternative proposals shall not be considered. If submission of alternative proposal is allowed by BDS, a Bidder may submit an alternative proposal, but only if it also submits a proposal conforming to the RFP requirements. UNDP shall only consider the alternative proposal offered by the Bidder whose conforming proposal ranked the highest as per the specified evaluation method. Where the conditions for its acceptance are met, or justifications are clearly established, UNDP reserves the right to award a contract based on an alternative proposal.

20.2 If multiple/alternative proposals are being submitted, they must be clearly marked as “Main Proposal” and “Alternative Proposal”

21. Pre-Bid Conference

21.1 When appropriate, a Bidder’s conference will be conducted at the date, time and location specified in the BDS. All Bidders are encouraged to attend. Non-attendance, however, shall not result in disqualification of an interested Bidder. Minutes of the Bidder’s conference will be disseminated on the procurement website and shared by email or on the e-Tendering platform as specified in the BDS. No verbal statement made during the conference shall modify the terms and conditions of the RFP, unless specifically incorporated in the Minutes of the Bidder’s Conference or issued/posted as an amendment to RFP.

C. SUBMISSION AND OPENING OF PROPOSALS

22. Submission

22.1 The Bidder shall submit a duly signed and complete Proposal comprising the documents and forms in accordance with the requirements in the BDS. The submission shall be in the manner specified in the BDS.

22.2 The Proposal shall be signed by the Bidder or person(s) duly authorized to commit the Bidder. The authorization shall be communicated through a document evidencing such authorization issued by the legal representative of the bidding entity, or a Power of Attorney, accompanying the Proposal.

22.3 Bidders must be aware that the mere act of submission of a Proposal, in and of itself, implies that the Bidder fully accepts the UNDP General Contract Terms and Conditions.

22.4 Hard copy (manual) submission by courier or hand delivery allowed or specified in the BDS shall be governed as follows:

a) The signed Proposal shall be marked “Original”, and its copies marked “Copy” as appropriate. The number of copies is indicated in the BDS. All copies shall be made from the signed original only. If there are discrepancies between the original and the copies, the original shall prevail.
b) The Technical Proposal and the Financial Proposal envelopes MUST BE COMPLETELY SEPARATE and each of them must be submitted sealed individually and clearly marked on the outside as either “TECHNICAL PROPOSAL” or “FINANCIAL PROPOSAL”, as appropriate. Each envelope SHALL clearly indicate the name of the Bidder. The outer envelopes shall:

i. Bear the name and address of the bidder;

ii. Be addressed to UNDP as specified in the BDS

iii. Bear a warning that states “Not to be opened before the time and date for proposal opening” as specified in the BDS.

If the envelopes and packages with the Proposal are not sealed and marked as required, UNDP shall assume no responsibility for the misplacement, loss, or premature opening of the Proposal.

22.5 Email submission, if allowed or specified in the BDS, shall be governed as follows:

a) Electronic files that form part of the proposal must be in accordance with the format and requirements indicated in BDS;

b) The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE. The financial proposal shall be encrypted with different passwords and clearly labelled. The files must be sent to the dedicated email address specified in the BDS.

c) The password for opening the Financial Proposal should be provided only upon request of UNDP. UNDP will request password only from bidders whose Technical Proposal has been found to be technically responsive. Failure to provide correct password may result in the proposal being rejected.

22.6 Electronic submission through eTendering, if allowed or specified in the BDS, shall be governed as follows:

a) Electronic files that form part of the proposal must be in accordance with the format and requirements indicated in BDS;

b) The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE and each of them must be uploaded individually and clearly labelled.

d) The Financial Proposal file must be encrypted with a password so that it cannot be opened nor viewed until the password is provided. The password for opening the Financial Proposal should be provided only upon request of UNDP. UNDP will request password only from bidders whose technical proposal has been found to be technically responsive. Failure to provide the correct password may result in the proposal being rejected.

c) Documents which are required to be in original form (e.g. Bid Security, etc.) must be sent via courier or hand delivery as per the instructions in BDS.

d) Detailed instructions on how to submit, modify or cancel a bid in the eTendering system are provided in the eTendering system Bidder User Guide and Instructional videos available on this link: http://www.undp.org/content/undp/en/home/operations/procurement/business/procurement-notices/resources/

23. Deadline for Submission of

23.1 Complete Proposals must be received by UNDP in the manner, and no later than the date and time, specified in the BDS. UNDP shall only recognize the date and
Proposals and Late Proposals

23.2 UNDP shall not consider any Proposal that is submitted after the deadline for the submission of Proposals.

24. Withdrawal, Substitution, and Modification of Proposals

24.1 A Bidder may withdraw, substitute or modify its Proposal after it has been submitted at any time prior to the deadline for submission.

24.2 Manual and Email submissions: A bidder may withdraw, substitute or modify its Proposal by sending a written notice to UNDP, duly signed by an authorized representative, and shall include a copy of the authorization (or a Power of Attorney). The corresponding substitution or modification of the Proposal, if any, must accompany the respective written notice. All notices must be submitted in the same manner as specified for submission of proposals, by clearly marking them as "WITHDRAWAL" "SUBSTITUTION," or "MODIFICATION"

24.3 eTendering: A Bidder may withdraw, substitute or modify its Proposal by Canceling, Editing, and re-submitting the proposal directly in the system. It is the responsibility of the Bidder to properly follow the system instructions, duly edit and submit a substitution or modification of the Proposal as needed. Detailed instructions on how to cancel or modify a Proposal directly in the system are provided in Bidder User Guide and Instructional videos.

24.4 Proposals requested to be withdrawn shall be returned unopened to the Bidders (only for manual submissions), except if the bid is withdrawn after the bid has been opened.

25. Proposal Opening

25.1 There is no public bid opening for RFPs. UNDP shall open the Proposals in the presence of an ad-hoc committee formed by UNDP, consisting of at least two (2) members. In the case of e-Tendering submission, bidders will receive an automatic notification once their proposal is opened.

D. EVALUATION OF PROPOSALS

26. Confidentiality

26.1 Information relating to the examination, evaluation, and comparison of Proposals, and the recommendation of contract award, shall not be disclosed to Bidders or any other persons not officially concerned with such process, even after publication of the contract award.

26.2 Any effort by a Bidder or anyone on behalf of the Bidder to influence UNDP in the examination, evaluation and comparison of the Proposals or contract award decisions may, at UNDP’s decision, result in the rejection of its Proposal and may be subject to the application of prevailing UNDP’s vendor sanctions procedures.

27. Evaluation of Proposals

27.1 The Bidder is not permitted to alter or modify its Proposal in any way after the proposal submission deadline except as permitted under Clause 24 of this RFP. UNDP will conduct the evaluation solely on the basis of the submitted Technical and Financial Proposals.

27.2 Evaluation of proposals is made of the following steps:
   a) Preliminary Examination
   b) Minimum Eligibility and Qualification (if pre-qualification is not done)
   c) Evaluation of Technical Proposals
   d) Evaluation of Financial Proposals

28. Preliminary Examination

28.1 UNDP shall examine the Proposals to determine whether they are complete with respect to minimum documentary requirements, whether the documents have been properly signed, and whether the Proposals are generally in order, among
other indicators that may be used at this stage. UNDP reserves the right to reject any Proposal at this stage.

29. Evaluation of Eligibility and Qualification

29.1 Eligibility and Qualification of the Bidder will be evaluated against the Minimum Eligibility/Qualification requirements specified in the Section 4 (Evaluation Criteria).

29.2 In general terms, vendors that meet the following criteria may be considered qualified:
   a) They are not included in the UN Security Council 1267/1989 Committee’s list of terrorists and terrorist financiers, and in UNDP’s ineligible vendors’ list;
   b) They have a good financial standing and have access to adequate financial resources to perform the contract and all existing commercial commitments,
   c) They have the necessary similar experience, technical expertise, production capacity where applicable, quality certifications, quality assurance procedures and other resources applicable to the provision of the services required;
   d) They are able to comply fully with UNDP General Terms and Conditions of Contract;
   e) They do not have a consistent history of court/arbitral award decisions against the Bidder; and
   f) They have a record of timely and satisfactory performance with their clients.

30. Evaluation of Technical and Financial Proposals

30.1 The evaluation team shall review and evaluate the Technical Proposals on the basis of their responsiveness to the Terms of Reference and other RFP documents, applying the evaluation criteria, sub-criteria, and point system specified in the Section 4 (Evaluation Criteria). A Proposal shall be rendered non-responsive at the technical evaluation stage if it fails to achieve the minimum technical score indicated in the BDS. When necessary and if stated in the BDS, UNDP may invite technically responsive bidders for a presentation related to their technical proposals. The conditions for the presentation shall be provided in the bid document where required.

30.2 In the second stage, only the Financial Proposals of those Bidders who achieve the minimum technical score will be opened for evaluation. The Financial Proposals corresponding to Technical Proposals that were rendered non-responsive shall remain unopened, and, in the case of manual submission, be returned to the Bidder unopened. For emailed Proposals and e-tendering submissions, UNDP will not request for the password of the Financial Proposals of bidders whose Technical Proposal were found not responsive.

30.3 The evaluation method that applies for this RFP shall be as indicated in the BDS, which may be either of two (2) possible methods, as follows: (a) the lowest priced method which selects the lowest evaluated financial proposal of the technically responsive Bidders; or (b) the combined scoring method which will be based on a combination of the technical and financial score.

30.4 When the BDS specifies a combined scoring method, the formula for the rating of the Proposals will be as follows:

Rating the Technical Proposal (TP):

\[
\text{TP Rating} = \left( \frac{\text{Total Score Obtained by the Offer}}{\text{Max. Obtainable Score for TP}} \right) \times 100
\]
31. **Due Diligence**

31.1 UNDP reserves the right to undertake a due diligence exercise, also called post qualification, aimed at determining to its satisfaction, the validity of the information provided by the Bidder. Such exercise shall be fully documented and may include, but need not be limited to, all or any combination of the following:

a) Verification of accuracy, correctness and authenticity of information provided by the Bidder;

b) Validation of extent of compliance to the RFP requirements and evaluation criteria based on what has so far been found by the evaluation team;

c) Inquiry and reference checking with Government entities with jurisdiction on the Bidder, or with previous clients, or any other entity that may have done business with the Bidder;

d) Inquiry and reference checking with previous clients on the performance on on-going or contracts completed, including physical inspections of previous works, as necessary;

e) Physical inspection of the Bidder's offices, branches or other places where business transpires, with or without notice to the Bidder;

f) Other means that UNDP may deem appropriate, at any stage within the selection process, prior to awarding the contract.

32. **Clarification of Proposals**

32.1 To assist in the examination, evaluation and comparison of Proposals, UNDP may, at its discretion, ask any Bidder for a clarification of its Proposal.

32.2 UNDP's request for clarification and the response shall be in writing and no change in the prices or substance of the Proposal shall be sought, offered, or permitted, except to provide clarification, and confirm the correction of any arithmetic errors discovered by UNDP in the evaluation of the Proposals, in accordance with RFP.

32.3 Any unsolicited clarification submitted by a Bidder in respect to its Proposal, which is not a response to a request by UNDP, shall not be considered during the review and evaluation of the Proposals.

33. **Responsiveness of Proposal**

33.1 UNDP's determination of a Proposal's responsiveness will be based on the contents of the Proposal itself. A substantially responsive Proposal is one that conforms to all the terms, conditions, TOR and other requirements of the RFP without material deviation, reservation, or omission.

33.2 If a Proposal is not substantially responsive, it shall be rejected by UNDP and may not subsequently be made responsive by the Bidder by correction of the material deviation, reservation, or omission.

34. **Nonconformities, Reparable Errors and Omissions**

34.1 Provided that a Proposal is substantially responsive, UNDP may waive any non-conformities or omissions in the Proposal that, in the opinion of UNDP, do not constitute a material deviation.

34.2 UNDP may request the Bidder to submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial
nonconformities or omissions in the Proposal related to documentation requirements. Such omission shall not be related to any aspect of the price of the Proposal. Failure of the Bidder to comply with the request may result in the rejection of its Proposal.

34.3 For Financial Proposal that has been opened, UNDP shall check and correct arithmetical errors as follows:

a) if there is a discrepancy between the unit price and the line item total that is obtained by multiplying the unit price by the quantity, the unit price shall prevail and the line item total shall be corrected, unless in the opinion of UNDP there is an obvious misplacement of the decimal point in the unit price; in which case the line item total as quoted shall govern and the unit price shall be corrected;

b) if there is an error in a total corresponding to the addition or subtraction of subtotals, the subtotals shall prevail and the total shall be corrected; and

c) if there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail.

34.4 If the Bidder does not accept the correction of errors made by UNDP, its Proposal shall be rejected.

**E. AWARD OF CONTRACT**

| 35. Right to Accept, Reject, Any or All Proposals | 35.1 UNDP reserves the right to accept or reject any Proposal, to render any or all of the Proposals as non-responsive, and to reject all Proposals at any time prior to award of contract, without incurring any liability, or obligation to inform the affected Bidder(s) of the grounds for UNDP’s action. UNDP shall not be obliged to award the contract to the lowest priced offer. |
| 36. Award Criteria | 36.1 Prior to expiration of the proposal validity, UNDP shall award the contract to the qualified Bidder based on the award criteria indicated in the BDS. |
| 37. Debriefing | 37.1 In the event that a Bidder is unsuccessful, the Bidder may request a debriefing from UNDP. The purpose of the debriefing is to discuss the strengths and weaknesses of the Bidder’s submission, in order to assist the Bidder in improving its future proposals for UNDP procurement opportunities. The content of other proposals and how they compare to the Bidder’s submission shall not be discussed. |
| 38. Right to Vary Requirements at the Time of Award | 38.1 At the time of award of Contract, UNDP reserves the right to vary the quantity of services and/or goods, by up to a maximum twenty-five per cent (25%) of the total offer, without any change in the unit price or other terms and conditions. |
| 39. Contract Signature | 39.1 Within fifteen (15) days from the date of receipt of the Contract, the successful Bidder shall sign and date the Contract and return it to UNDP. Failure to do so may constitute sufficient grounds for the annulment of the award, and forfeiture of the Proposal Security, if any, and on which event, UNDP may award the Contract to the Second Ranked Bidder or call for new Proposals. |
| 40. Contract Type and General Terms and Conditions | 40.1 The types of Contract to be signed and the applicable UNDP Contract General Terms and Conditions, as specified in BDS, can be accessed at [http://www.undp.org/content/undp/en/home/procurement/business/how-we-](http://www.undp.org/content/undp/en/home/procurement/business/how-we-). |
### 41. Performance Security

41.1 A performance security, if required in BDS, shall be provided in the amount specified in BDS and form available at

DOCUMENT_LIBRARY/Public/PSU_Solicitation_Performance%20Guarantee%20
Form.docx&action=default within fifteen (15) days of the contract signature by
both parties. Where a performance security is required, the receipt of the
performance security by UNDP shall be a condition for rendering the contract
effective.

### 42. Bank Guarantee for Advanced Payment

42.1 Except when the interests of UNDP so require, it is UNDP’s preference to make
no advance payment(s) (i.e., payments without having received any outputs). If
an advance payment is allowed as per BDS, and exceeds 20% of the total
contract price, or USD 30,000, whichever is less, the Bidder shall submit a Bank
Guarantee in the full amount of the advance payment in the form available at
DOCUMENT_LIBRARY/Public/PSU_Contract%20Management%20Payment%20
and%20Taxes_Advanced%20Payment%20Guarantee%20Form.docx&action=default

### 43. Liquidated Damages

43.1 If specified in BDS, UNDP shall apply Liquidated Damages resulting from the
Contractor’s delays or breach of its obligations as per the Contract.

### 44. Payment Provisions

44.1 Payment will be made only upon UNDP’s acceptance of the work performed.
The terms of payment shall be within thirty (30) days, after receipt of invoice
and certification of acceptance of work issued by the proper authority in UNDP
with direct supervision of the Contractor. Payment will be effected by bank
transfer in the currency of contract.

### 45. Vendor Protest

45.1 UNDP’s vendor protest procedure provides an opportunity for appeal to those
persons or firms not awarded a contract through a competitive procurement
process. In the event that a Bidder believes that it was not treated fairly, the
following link provides further details regarding UNDP vendor protest
procedures:


### 46. Other Provisions

46.1 In the event that the Bidder offers a lower price to the host Government (e.g.
General Services Administration (GSA) of the federal government of the United
States of America) for similar services, UNDP shall be entitled to same lower
price. The UNDP General Terms and Conditions shall have precedence.

46.2 UNDP is entitled to receive the same pricing offered by the same Contractor in
contracts with the United Nations and/or its Agencies. The UNDP General Terms
and Conditions shall have precedence.

46.3 The United Nations has established restrictions on employment of (former) UN
staff who have been involved in the procurement process as per bulletin
ST/SG8/2006/15

SECTION 3. BID DATA SHEET

The following data for the services to be procured shall complement, supplement, or amend the provisions in the Request for Proposals. In the case of a conflict between the Instructions to Bidders, the Data Sheet, and other annexes or references attached to the Data Sheet, the provisions in the Data Sheet shall prevail.

<table>
<thead>
<tr>
<th>BDS No.</th>
<th>Ref. to Section.2</th>
<th>Data</th>
<th>Specific Instructions / Requirements</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>7</td>
<td>Language of the Proposal</td>
<td>Portuguese or English</td>
</tr>
<tr>
<td>2</td>
<td></td>
<td>Submitting Proposals for Parts or sub-parts of the TOR (partial bids)</td>
<td>Not Allowed</td>
</tr>
<tr>
<td>3</td>
<td>20</td>
<td>Alternative Proposals</td>
<td>Shall not be considered</td>
</tr>
</tbody>
</table>
| 4       | 21                | Pre-proposal conference | Choose an item.  
Time: 12H00 GMT  
Date: November 22, 2019 12:00 AM  
Venue: skype conference connection to ID: lamaitemendi  
The UNDP focal point for the arrangement is: Claudio Vicente  
Telephone: 00239 2241000  
E-mail: claudio.vicente@undp.org |
<p>| 5       | 10                | Proposal Validity Period | 60 days |
| 6       | 14                | Bid Security | Not Required |
| 7       | 41                | Advanced Payment upon signing of contract | Not Allowed |
| 8       | 42                | Liquidated Damages | Will not be imposed |
| 9       | 40                | Performance Security | Not Required |
| 10      | 18                | Currency of Proposal | United States Dollar |
| 11      | 31                | Deadline for submitting requests for clarifications/ questions | 5 days before the submission deadline |</p>
<table>
<thead>
<tr>
<th>Page</th>
<th>Line</th>
<th>Column</th>
<th>Text</th>
</tr>
</thead>
<tbody>
<tr>
<td>12</td>
<td>31</td>
<td>Contact Details for submitting clarifications/questions</td>
<td>Focal Person in UNDP: Maria Teresa Mendizabal E-mail address: <a href="mailto:maria.mendizabal@undp.org">maria.mendizabal@undp.org</a></td>
</tr>
<tr>
<td>13</td>
<td>18, 19 and 21</td>
<td>Manner of Disseminating Supplemental Information to the RFP and responses/clarifications to queries</td>
<td>Posted directly to eTendering</td>
</tr>
<tr>
<td>14</td>
<td>22</td>
<td>Deadline for Submission</td>
<td>27th November 2019 COB For eTendering submission - as indicated in eTendering system. Note that system time zone is in EST/EDT (New York) time zone.</td>
</tr>
<tr>
<td>15</td>
<td>22</td>
<td>Allowable Manner of Submitting Proposals</td>
<td>e-Tendering</td>
</tr>
<tr>
<td>16</td>
<td>22</td>
<td>Proposal Submission Address</td>
<td>[For eTendering method, keep link below and insert Event ID information] <a href="https://etendering.partneragencies.org">https://etendering.partneragencies.org</a> Insert BU Code: STP10 and Event ID number: 0000004857</td>
</tr>
<tr>
<td>17</td>
<td>27, 36</td>
<td>Electronic submission (email or eTendering) requirements</td>
<td>Format: PDF files only File names must be maximum 60 characters long and must not contain any letter or special character other than from Latin alphabet/keyboard •All files must be free of viruses and not corrupted. •Password for financial proposal must not be provided to UNDP until requested by UNDP •Max. File Size per transmission: 50 MB</td>
</tr>
<tr>
<td>18</td>
<td></td>
<td>Evaluation Method for the Award of Contract</td>
<td>Combined Scoring Method, using the 70%-30% distribution for technical and financial proposals respectively The minimum technical score required to pass is 70%.</td>
</tr>
<tr>
<td>19</td>
<td></td>
<td>Expected date for commencement of Contract</td>
<td>December 26, 2019</td>
</tr>
<tr>
<td>20</td>
<td>35</td>
<td>Maximum expected duration of contract</td>
<td>6 MONTHS</td>
</tr>
<tr>
<td>20</td>
<td>35</td>
<td>UNDP will award the contract to:</td>
<td>One Proposer Only</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Type of Contract</td>
<td>Purchase Order and Contract for Goods and Services for UNDP</td>
</tr>
<tr>
<td>---</td>
<td>---</td>
<td>-----------------</td>
<td>----------------------------------------------------------</td>
</tr>
<tr>
<td></td>
<td></td>
<td>UNDP Contract Terms and Conditions that will apply</td>
<td>UNDP General Terms and Conditions for Professional Services</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Other Information Related to the RFP</td>
<td>N/A</td>
</tr>
</tbody>
</table>


SECTION 4. EVALUATION CRITERIA

Preliminary Examination Criteria

Proposals will be examined to determine whether they are complete and submitted in accordance with RFP requirements as per below criteria on a Yes/No basis:

- Appropriate signatures
- Power of Attorney
- Minimum documents provided
- Technical and Financial Proposals submitted separately
- Bid Validity

Minimum Eligibility and Qualification Criteria

Eligibility and Qualification will be evaluated on Pass/Fail basis.

If the Proposal is submitted as a Joint Venture/Consortium/Association, each member should meet minimum criteria, unless otherwise specified in the criterion.

<table>
<thead>
<tr>
<th>Subject</th>
<th>Criteria</th>
<th>Document Submission requirement</th>
</tr>
</thead>
<tbody>
<tr>
<td>ELIGIBILITY</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Legal Status</td>
<td>Vendor is a legally registered entity.</td>
<td>Form B: Bidder Information Form</td>
</tr>
<tr>
<td>Eligibility</td>
<td>Vendor is not suspended, nor debarred, nor otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization in accordance with ITB clause 3.</td>
<td>Form A: Technical Proposal Submission Form</td>
</tr>
<tr>
<td>Conflict of Interest</td>
<td>No conflicts of interest in accordance with ITB clause 4.</td>
<td>Form A: Technical Proposal Submission Form</td>
</tr>
<tr>
<td>Bankruptcy</td>
<td>Not declared bankruptcy, not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against the vendor that could impair its operations in the foreseeable future.</td>
<td>Form A: Technical Proposal Submission Form</td>
</tr>
<tr>
<td>QUALIFICATION</td>
<td></td>
<td></td>
</tr>
<tr>
<td>History of Non-Performing Contracts¹</td>
<td>Non-performance of a contract did not occur as a result of contractor default for the last 3 years.</td>
<td>Form D: Qualification Form</td>
</tr>
<tr>
<td>Litigation History</td>
<td>No consistent history of court/arbitral award decisions against the Bidder for the last 3 years.</td>
<td>Form D: Qualification Form</td>
</tr>
<tr>
<td>Previous Experience</td>
<td>Relevant experience- Minimum 3 contracts of similar value, nature and complexity implemented over the last 5 years. (For JV/Consortium/Association, all Parties cumulatively should</td>
<td>Form D: Qualification Form</td>
</tr>
</tbody>
</table>

¹ Non-performance, as decided by UNDP, shall include all contracts where (a) non-performance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Non-performance shall not include contracts where Employers decision was overturned by the dispute resolution mechanism. Non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Bidder have been exhausted.
Financial Standing

n.a.

Bidder must demonstrate the current soundness of its financial standing and indicate its prospective long-term profitability. *(For JV/Consortium/Association, all Parties cumulatively should meet requirement).*
## Technical Evaluation Criteria

### Summary of Technical Proposal Evaluation Forms

<table>
<thead>
<tr>
<th>Points Obtainable</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>300</td>
<td>1. Bidder’s qualification, capacity and experience</td>
</tr>
<tr>
<td>400</td>
<td>2. Proposed Methodology, Approach and Implementation Plan</td>
</tr>
<tr>
<td>300</td>
<td>3. Management Structure and Key Personnel</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>1000</strong></td>
</tr>
</tbody>
</table>

### Section 1. Bidder’s qualification, capacity and experience

<table>
<thead>
<tr>
<th>Points obtainable</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>50</td>
<td>1.1 Reputation of Organization and Staff Credibility / Reliability / Industry Standing</td>
</tr>
<tr>
<td>90</td>
<td>1.2 General Organizational Capability which is likely to affect implementation: management structure, financial stability and project financing capacity, project management controls, extent to which any work would be subcontracted</td>
</tr>
<tr>
<td>70</td>
<td>1.3 Relevance of specialized knowledge and experience on similar engagements done in the region/country. Relevant work experience in the context of Small Island Developing States (SIDS) and in developing countries would be an added value.</td>
</tr>
<tr>
<td>60</td>
<td>1.4 Quality assurance procedures and risk mitigation measures</td>
</tr>
<tr>
<td>30</td>
<td>1.5 Experience and knowledge of São Tomé e Príncipe’s energy resources sector; the inclusion of national cadres in the team is recommended</td>
</tr>
<tr>
<td><strong>Total Section 1</strong></td>
<td><strong>300</strong></td>
</tr>
</tbody>
</table>

### Section 2. Proposed Methodology, Approach and Implementation Plan

<table>
<thead>
<tr>
<th>Points obtainable</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>80</td>
<td>2.1 Understanding of the requirement: Have the important aspects of the task been addressed in sufficient detail? Are the different components of the project adequately weighted relative to one another?</td>
</tr>
<tr>
<td>100</td>
<td>2.2 Description of the Offeror’s approach and methodology for meeting or exceeding the requirements of the Terms of Reference</td>
</tr>
<tr>
<td>50</td>
<td>2.3 Details on how the different service elements shall be organized, controlled and delivered</td>
</tr>
<tr>
<td>50</td>
<td>2.4 Description of available performance monitoring and evaluation mechanisms and tools; how they shall be adopted and used for a specific requirement</td>
</tr>
<tr>
<td>70</td>
<td>2.5 Assessment of the implementation plan proposed including whether the activities are properly sequenced and if these are logical and realistic</td>
</tr>
<tr>
<td>50</td>
<td>2.6 Demonstration of ability to plan, integrate and effectively implement sustainability measures in the execution of the contract</td>
</tr>
</tbody>
</table>
### Section 3. Management Structure and Key Personnel

<table>
<thead>
<tr>
<th>3.1</th>
<th>Composition and structure of the team proposed. Are the proposed roles of the management and the team of key personnel suitable for the provision of the necessary services?</th>
<th>30</th>
</tr>
</thead>
<tbody>
<tr>
<td>3.2</td>
<td>Qualifications of key personnel proposed</td>
<td></td>
</tr>
<tr>
<td>3.2 a</td>
<td>Team Leader</td>
<td>70</td>
</tr>
<tr>
<td>- General Experience</td>
<td>10</td>
<td></td>
</tr>
<tr>
<td>- Specific Experience relevant to the assignment</td>
<td>60</td>
<td></td>
</tr>
<tr>
<td>- Regional/International experience</td>
<td>20</td>
<td></td>
</tr>
<tr>
<td>- Language Qualifications</td>
<td>10</td>
<td></td>
</tr>
<tr>
<td>3.2 b</td>
<td>Team member 1</td>
<td>60</td>
</tr>
<tr>
<td>- General Experience</td>
<td>10</td>
<td></td>
</tr>
<tr>
<td>- Specific Experience relevant to the assignment</td>
<td>30</td>
<td></td>
</tr>
<tr>
<td>- Regional/International experience</td>
<td>10</td>
<td></td>
</tr>
<tr>
<td>- Language Qualifications</td>
<td>10</td>
<td></td>
</tr>
<tr>
<td>3.2 c</td>
<td>Team member 2</td>
<td>40</td>
</tr>
<tr>
<td>- General Experience</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>- Specific Experience relevant to the assignment</td>
<td>25</td>
<td></td>
</tr>
<tr>
<td>- Regional/International experience</td>
<td>10</td>
<td></td>
</tr>
<tr>
<td>- Language Qualifications</td>
<td>10</td>
<td></td>
</tr>
<tr>
<td>3.2 d</td>
<td>Team member 3</td>
<td>50</td>
</tr>
<tr>
<td>- General Experience</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>- Specific Experience relevant to the assignment</td>
<td>35</td>
<td></td>
</tr>
<tr>
<td>- Regional/International experience</td>
<td>10</td>
<td></td>
</tr>
<tr>
<td>- Language Qualifications</td>
<td>10</td>
<td></td>
</tr>
<tr>
<td>3.2 e</td>
<td>Team member 4: National Expert</td>
<td>25</td>
</tr>
<tr>
<td>- General Experience</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>- Specific Experience relevant to the assignment</td>
<td>15</td>
<td></td>
</tr>
<tr>
<td>- Language Qualifications</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>3.2 f</td>
<td>Team member 5: National Expert</td>
<td>25</td>
</tr>
<tr>
<td>- General Experience</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>- Specific Experience relevant to the assignment</td>
<td>15</td>
<td></td>
</tr>
<tr>
<td>- Language Qualifications</td>
<td>5</td>
<td></td>
</tr>
</tbody>
</table>

**Total Section 3** | 300 |
Section 5. Terms of Reference

TERMS OF REFERENCE

Post Title: Conducting feasibility studies for three mini-hydro power plants and one photovoltaic plant in São Tomé and Príncipe

Project Title: 94537 - Promotion of sustainable hydroelectric energy resilient to climate change through the integrated management of land and forests

Duration: 6 (six) months

Work Site: São Tomé Island, São Tomé e Príncipe

Start Date: Upon signature of the contract
1. COUNTRY CONTEXT AND FRAMEWORK

Sao Tome and Principe's current energy system requires urgent transformative changes to more sustainable and inclusive standards. The current heavy reliance on imported fossil fuels for electricity generation and transportation represents a high cost to the country's economy, with negative impact on both the population's productivity as well as the living standards. Fossil fuel imports increase national debt, endanger energy security and defies successful development and adaptation to climate change. Current electricity tariffs do not cover generation costs and require high public subsidies for fossil fuels, which undermines the more economical renewable energy sources.

About 25% of the rural population has no access to electricity and 70% do not have access to modern kitchen appliances. The electricity generation system in São Tomé e Príncipe (STP) is powered by diesel (95%) and hydro (5%) generation. Yet, the overall efficiency of the power system is very low, as technical and commercial transmission and distribution losses are estimated at around 40%. End-user efficiency is very low due to the use of inefficient handsets and low consumer awareness. Because of strong reliance on traditional biomass and coal, the overall efficiency of the food cooking process also remains very low and leads to land degradation and health problems.

In addition, the energy sector is the largest emitter of greenhouse gases in the country, contributing over 80% of total emissions in the country. According to the report on the greenhouse gas emissions inventory, issued within the framework of the Third National Dialogue on Climate Change, greenhouse gas emissions from electricity generation are 111 GgCO2, another major cause for increasing the vulnerability of the country and the effects of climate change.

Despite recent public and private efforts, the STP renewable energy and energy efficiency market has not achieved economies of scale. Most hydroelectric power stations from the colonial period are out of service. The deployment of renewable energy and energy efficiency solutions continues to be hampered by barriers related to weak energy policies, incomplete legal and regulatory framework, insufficient incentives, complex technical constraints, stiff economics, lack of financing, innovation and public awareness. In addition, the lack of clarity of the different institutions involved in the electricity sector management (namely the utility - EMAE, the Directorate-General for Natural Resources and Energy as main political agency, and the regulatory institution -AGER), a small market with few large consumers.

All these restrictions have reduced the country's capacities to keep up with developments in
the sector. The impact of all such restrictions on investment in the sector is further reinforced by the absence of a clear national strategy on energy transition and the high cost of scarce financing. The utility's inefficiency in the generation and distribution of electricity is intensified by the absence of up-to-date national studies on the potential for power generation from sources other than thermal. Hence, preliminary studies show that there is potential for electricity generation from renewable sources, namely hydro, solar, biomass, etc. This potential has been little exploited however, due to the various abovementioned barriers.

In recent times, the Government has undertaken several investment actions with the support of different partners to structure and promote a better functioning of the electricity sector. In 2014, Decree-Law no. 26/2014 was issued. This document defines the Legal Regime of the Electricity Sector, mainly liberalizing the electricity generation. The Electricity Sector Rehabilitation Project has been under implementation since 2015, funded by the World Bank (WB) and the European Investment Bank (EIB). This project has two main objectives. The first is focused on the reform of the Public Water and Electricity Service (EMAE) and the General Regulatory Authority (AGER), aiming at the improvement and modernization of the commercial and technical aspects of both agencies. The second objective is to rehabilitate and increase the production capacity of the only operating hydroelectric power station, Contador Central, which dates from colonial times and still produces 1.9MW. The said Electricity Sector Rehabilitation Project has recently funded the development of the Low Cost Development Plan, a Government guidance document for the planning of the electricity sector for a period of twenty years.

In 2016, the project "94537 Promoting climate resilient and environmentally sustainable hydropower through an approach that integrates land and forest management", was launched by UNDP, with a GEF funding (Global Environment Facility). Currently, this project focuses on reducing barriers and mitigating risks for possible private and/or public investments in the energy sector in Sao Tome and Principe, through some investment de-risking measures. Actions implemented so far have strengthened the legal and regulatory framework through the development of a set of industry regulations. The project commissioned studies on hydrological and wind potential on the islands of Sao Tome and Principe and adopted a dynamic approach to sustainable land management and reforestation, operating in various districts of the country.

The project "Promotion for Investment in Renewable Energy and Energy Efficiency" started in 2019, through the United Nations Industrial Development Organization (UNIDO), funded by GEF and implemented by the Directorate-General for Natural Resources and Energy. This project, in conjunction with other ongoing projects, aims to support DGRNE'S Energy Directorate in the implementation of the Low Cost Energy Sector Development Plan. The project is conceived with the intention to provide clear short, medium and long term guidance for the
development of renewable and non-renewable energy. Issues related to energy production, consumption and energy efficiency are also considered. The resulting policies are expected to provide a framework to guide decisions regarding the energy development in STP, and will include a National Renewable Energy and Energy Efficiency Action Plan.

The absence so far of a National Renewable Energy and Energy Efficiency Action Plan remains an obstacle to further alignment and dialogue between Government and private investors and donors as there is a lack of clear and concise renewable energy priorities and targets in the country. The plan should provide a systematic and detailed overview, harmonized with the Low Cost Development Plan for the electricity sector (2019-2035), starting with a study of the potential for electricity generation from different renewable energy sources according to the identified needs of socioeconomic development. It should provide a progressive implementation plan aiming at an effective increase of renewables in the country’s energy mix.

The country’s NDCs (Nationally Determined Contributions) include the energy sector as a major contributor to Greenhouse Gas emissions and focus much of their attention on reaching the internationally committed target of 47% renewable energy penetration by 2030. The elaboration of this action plan will be part of the program activities for the implementation of the NDC plan.

2. SCOPE OF SERVICES

2.1 OBJECTIVE

To conduct four feasibility studies for three mini-hydro plants and one photovoltaic plant with sufficient detail to support investment decisions.

2.2 GENERAL TECHNICAL SPECIFICATIONS

2.2.1 All project components require specific skills and approaches for their execution. Competencies in the respective specialties, such as hydrology, electrical and mechanical engineering, should be reinforced by relevant experiences in similar projects and by the range of actions to be deployed under the projects to be developed.

2.2.2 The conclusions of the Feasibility Studies will have to be supported by objective analysis processes and supported by data from known and reliable sources according to methodologies clearly traceable. In particular, forecast data on generation, caital
investment, operation and maintenance costs will have to be supported by detailed information and formulas and calculation processes leading to such values.

2.2.3 The provision of services should be preceded by a detailed plan that, in addition to the general schedule, must outline each of its major activities indicating the allocation of resources, methodological aspects and expected results.

2.2.4 The working and writing language of all intermediate and final documentation will be Portuguese. Intermediate summaries in English can be required by the client. The Final Reports must also be delivered in Portuguese and in English.

2.3 PARTICULAR TECHNICAL SPECIFICATIONS

2.3.1 Within the scope of this service provision is the development of the four pre-identified electrical generation projects: one 2 MW photovoltaic plant, and three mini-hydro plants, two of 2 MW and one of 1.15 MW.

2.3.2 The projects to be developed under this service are already identified and characterized. Related information will be made available to the successful bidder under references C7, C12, C14 and C15. In the case of the hydroelectric projects, preliminary studies will be made available and, where existing, hydrological information and various design solutions.

2.3.3 For the photovoltaic plant, the project should be based on the available solar radiation sources, and remain open until the results of actual measurements are obtained. These measurements are expected to take place in parallel. This external on-site measurement, aiming to reduce uncertainty to a minimum, is expected to occur during the development phase of the feasibility study. If a discrepancy between the estimated radiation in the Feasibility Study and the actual measurements is found, the Feasibility Study shall be revised accordingly under the responsibility of the successful bidder, provided that such request for revision occurs within six months after its remittance.

2.3.4 The feasibility study of the photovoltaic plant should include the delimitation of the geographical area for its implantation and the evaluation of the real costs associated with its use over a period of 20 years, including access, civil works and drainage, as well as the cost of maintenance and the grid connection lines. The production forecast shall be supported by radiation data, certified technical characteristics of the recommended panels, installation and maintenance conditions, annual yield degradation and estimated unavailability rate. The investment forecast must be supported by a bill of quantities with units, subtotal and total prices sufficiently broken down and delineated and supported by cost forecasts. The bill of quantities should include all costs except local taxes.
2.3.5 Specificities of the feasibility studies of the hydroelectric projects

i- The objective of the hydroelectric projects is the same as that of the photovoltaic plant, but the scope requires specific work related to this technology.

ii- Since the most complex critical parameter for projecting the capacity of a hydroelectric facility is the knowledge of the available flows, the quality of the hydrological study to be performed is a fundamental requirement for the studies to be carried out. Other important factors such as the storage capacity result from the design options, but the availability of water flow is a natural condition that will need to be assessed from the already available database. As mentioned above, there is significant information on hydrology in São Tomé and Príncipe, to which in some places there is added knowledge of hydroelectric generation in old power plants existing on-site or within the basin. This amount and diversity of data is a positive factor, which requires, on the other hand, that flow studies be carried out by a senior hydrology expert, capable of assessing the proposed model, cross-checking data, identifying inconsistencies and determining values based on scientific, practical and empirical references, capable of fixing the rated flow curve in each of the three locations, as accurately as possible.

iii- With a view to alternative project design solutions, the work will require site inspection and, where no reliable topographic data is available, confirmation must be made by qualified experts, based on adequate methodologies and technical processes.

iv- After gathering knowledge of the physical conditions of the site, flow, topographic and geological data, technically feasible solutions should be evaluated and the recommended solution to be developed must be selected.

v- In support of the recommended solution, the appropriate studies and calculations should be undertaken with a sufficient degree of accuracy: the dimensions and levels of the dam, headrace and main elements, as well as the gross and net head. The accuracy in the calculation of these levels gets more important as the available head gets lower.

vi- Although this is not yet the implementation project (for example, geological surveys or structural calculations are not foreseen), the level of development of the study will have to be sufficient to estimate and / or calculate excavation, landfill, reinforced concrete volumes, and all the elements necessary to ensure the availability of a reliable bill of quantities.
vii- The bill of quantities cannot, for example, only indicate the purchase prices of the equipment if the assembly, warranty and eventual interface management costs are not clearly identified and quantified.

viii- Access costs, land and interconnection line (this is an isolated item, as its construction may not be included in the project of the plant) need to be considered.

ix- Investment cost forecasting is naturally subject to market fluctuations; however, omission or lack of support in the estimation of costs will be avoided.

x- Since hydroelectric projects have very long operating lives and much longer than other renewable technologies, their economic viability should be analyzed in terms compatible with this characteristic and therefore the study should project the annual maintenance and operating costs to include major maintenance, which are normally required at 10 years intervals, depending on the equipment or construction work.

xi- Production in average, wet and dry years shall be simulated. The projection of production in an average year should also include production simulation with several hypotheses of reserve flow rates when these are not accurately known.

xii- A common requirement of the four studies is the need to present the necessary decision-making factors for various model options to promote their implementation, including possible varying periods of concession time to be determined according to their profitability.

2.4 CONTRACT TERMS

The deadline for the completion of the work will be 6 months from the date of signature of the contract and according to the following key milestones.

<table>
<thead>
<tr>
<th>DELIVERABLES</th>
<th>DESCRIPTION</th>
<th>DUE DATE</th>
<th>PAYMENT TERMS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Periodic information and discussion of results</td>
<td>The contractor shall provide at the end of each month a progress report. In this monthly report the contractor will identify all actions that require the intervention of the client (Government of STP or UNDP).</td>
<td>At the end of every month, and everytime it is necessary.</td>
<td></td>
</tr>
<tr>
<td>Inception report</td>
<td>The contractor shall provide an inception report, presenting its understanding of the work to be undertaken, and describing the methodology to be followed for the realization of each deliverable. Comments and observations by UNDP will be scrupulously taken into account for the execution of the expected deliverables.</td>
<td>Within a period of 20 days from the date of signature of the contract.</td>
<td>10% of the contract</td>
</tr>
<tr>
<td>DELIVERABLES</td>
<td>DESCRIPTION</td>
<td>DUE DATE</td>
<td>PAYMENT TERMS</td>
</tr>
<tr>
<td>--------------------------------------------------</td>
<td>-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
<td>-----------------------------------------------</td>
<td>-------------------------------------</td>
</tr>
<tr>
<td>Preliminary studies</td>
<td>Document that identifies the base elements, such as flow regime, hydraulic circuit (and alternatives when more than one solution is to be developed), with identification of the dimensions of the main elements, and preliminary estimations of power and production.</td>
<td>60 (sixty) days from the date of signature of the contract.</td>
<td></td>
</tr>
<tr>
<td>Preliminary feasibility studies</td>
<td>Preliminary versions of each study should be submitted for discussion with the Project Unit, in Word format, with the supporting schemes, diagrammes and illustrations in paper and electronic formats. [UNDp will comment the Preliminary feasibility studies, within 20 days]</td>
<td>90 days for the hydroelectric studies, from the date of signature of the contract. 90 days for the photovoltaic plants, from the date of signature of the contract.</td>
<td>40% of the contract</td>
</tr>
<tr>
<td>Presentation of the Final drafts of the feasibility studies</td>
<td>The Consulting firm will prepare a structured presentation to key sector institutions, Minister and Prime Minister, to be used by UNDP. The Consulting firm will submit to UNDP 4 final drafts of the feasibility studies, in Word format, with the supporting schemes, diagrammes and illustrations in paper and electronic formats. [UNDp will comment the final drafts of the feasibility studies]</td>
<td>5 months from the date of signature of the contract.</td>
<td></td>
</tr>
<tr>
<td>Remittance of the final versions of the feasibility studies, based on the comments and observations by the client (STP Government and UNDP)</td>
<td>The Consulting firm will prepare a structured presentation to key sector institutions, Minister and Prime Minister, to be used by UNDP. The Consulting firm will submit 4 final versions of the feasibility studies in Word format, with the supporting schemes, diagrammes and illustrations in paper and electronic formats.</td>
<td>6 months after the signature of the contract.</td>
<td>50% of the contract</td>
</tr>
</tbody>
</table>
2.5 ELIGIBILITY REQUIREMENTS

a. The Firm should consist of 6 team members (4 International and 2 national). The team will be led by a Head of Mission who must hold a degree in Electrical Engineering, Electro technical Engineering or specialization in Renewable Energy with at least ten (10) years' experience in similar projects.

b. Besides, the proposed firm should include highly qualified professionals in the fields of:
   1. Team member 1- Energy Engineering, with qualifications and at least 5 years' experience in Renewable Energy projects (Hydro-electric and / or photovoltaic installations);
   2. Team member 2- Environmental Engineering, with qualifications and at least 5 years' experience in Environmental Impact Assessment of similar projects;
   3. Team member 3- Law, Management / Economics and related fields, with at least 5 years' experience in feasibility studies and financial appraisal of energy projects.
   4. Team members 4 & 5: National consultants knowledgeable of the STP energy sector, and related policies, with proven competence in energy planning and data collection and management.

The firm should also prove:

a. Experience in similar projects and in similar country contexts (small islands: their development and institutional environment).

b. Experience in similar projects, characteristics and capacity, should include projects implemented, information and means available for eventual confirmation, regarding the deviations between the projected and the commissioned ones in terms of investment and production.

c. Experience in economic and financial analysis of renewable energy projects, including tariff analysis and installation cost per technology.

d. Experience and knowledge of the Sao Tome and Principe energy sector (participation of national consultants is highly recommended).

2.6 LANGUAGE REQUIREMENTS

- Cumulatively, the team shall be able to fluently communicate in Portuguese for field activities and exchanges with national partners
- Cumulatively, the team shall be able to submit high quality reports in both Portuguese and English.
2.7 WORK DURATION

The consultancy should be undertaken during 125 work/days over a period of six months (26 December 2019 to 30 June 2020), distributed by output, as follows (the allocation of time among the various team members is to be proposed at the discretion of the Consulting firm with a breakdown by team member and deliverable):

<table>
<thead>
<tr>
<th>Output</th>
<th>N. of work days International experts</th>
<th>N. of work days National experts</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inception report</td>
<td>10</td>
<td>8</td>
</tr>
<tr>
<td>Feasibility studies mini-hydro 1, 2, 3</td>
<td>50</td>
<td>20</td>
</tr>
<tr>
<td>Feasibility study photovoltaic</td>
<td>25</td>
<td>12</td>
</tr>
<tr>
<td>Total</td>
<td>85</td>
<td>40</td>
</tr>
</tbody>
</table>

Field mission in São Tomé and Príncipe days are expected not to exceed 50 work/days in total for the international experts. National consultants are supposed to work from their home-base, with local missions of 15 days in total.

2.8 INSTITUTIONAL ARRANGEMENTS

The team will work under the supervision of UNDP-STP and the Project Management Unit, and benefit from the guidance and support of the Energy Director (of the Directorate General of Natural Resources and Energy, Ministry of Infrastructures, Natural Resources and Energy). UNDP-STP will facilitate the Consulting firm’s access to information and documents available in the country, albeit this task is the company’s entire responsibility.

The project’s Technical Committee will provide technical support for the success of this work.
SECTION 6: RETURNABLE BIDDING FORMS / CHECKLIST

This form serves as a checklist for preparation of your Proposal. Please complete the Returnable Bidding Forms in accordance with the instructions in the forms and return them as part of your Proposal submission. No alteration to format of forms shall be permitted and no substitution shall be accepted. Before submitting your Proposal, please ensure compliance with the Proposal Submission instructions of the BDS 22.

Technical Proposal Envelope:

<table>
<thead>
<tr>
<th>Have you duly completed all the Returnable Bidding Forms?</th>
</tr>
</thead>
<tbody>
<tr>
<td>▪ Form A: Technical Proposal Submission Form</td>
</tr>
<tr>
<td>▪ Form B: Bidder Information Form</td>
</tr>
<tr>
<td>▪ Form C: Joint Venture/Consortium/ Association Information Form</td>
</tr>
<tr>
<td>▪ Form D: Qualification Form</td>
</tr>
<tr>
<td>▪ Form E: Format of Technical Proposal</td>
</tr>
<tr>
<td>▪ Form H: Proposal Security Form</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Have you provided the required documents to establish compliance with the evaluation criteria in Section 4?</th>
</tr>
</thead>
<tbody>
<tr>
<td>□</td>
</tr>
</tbody>
</table>

Financial Proposal Envelope
(Must be submitted in a separate sealed envelope/password protected email)

| ▪ Form F: Financial Proposal Submission Form |
| ▪ Form G: Financial Proposal Form            |

|                                                                 |
| □                                                                 |
2.9 **FORM A: TECHNICAL PROPOSAL SUBMISSION FORM**

<table>
<thead>
<tr>
<th>Name of Bidder:</th>
<th>[Insert Name of Bidder]</th>
<th>Date:</th>
<th>Select date</th>
</tr>
</thead>
<tbody>
<tr>
<td>RFP reference:</td>
<td>[Insert RFP Reference Number]</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

We, the undersigned, offer to provide the services for [Insert Title of services] in accordance with your Request for Proposal No. [Insert RFP Reference Number] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and our Financial Proposal sealed under a separate envelope.

We hereby declare that our firm, its affiliates or subsidiaries or employees, including any JV/Consortium /Association members or subcontractors or suppliers for any part of the contract:

a) is not under procurement prohibition by the United Nations, including but not limited to prohibitions derived from the Compendium of United Nations Security Council Sanctions Lists;

b) have not been suspended, debarred, sanctioned or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization;

c) have no conflict of interest in accordance with Instruction to Bidders Clause 4;

d) do not employ, or anticipate employing, any person(s) who is, or has been a UN staff member within the last year, if said UN staff member has or had prior professional dealings with our firm in his/her capacity as UN staff member within the last three years of service with the UN (in accordance with UN post-employment restrictions published in ST/SGB/2006/15);

e) have not declared bankruptcy, are not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against them that could impair their operations in the foreseeable future;

f) undertake not to engage in proscribed practices, including but not limited to corruption, fraud, coercion, collusion, obstruction, or any other unethical practice, with the UN or any other party, and to conduct business in a manner that averts any financial, operational, reputational or other undue risk to the UN and we embrace the principles of the United Nations Supplier Code of Conduct and adhere to the principles of the United Nations Global Compact.

We declare that all the information and statements made in this Proposal are true and we accept that any misinterpretation or misrepresentation contained in this Proposal may lead to our disqualification and/or sanctioning by the UNDP.

We offer to provide services in conformity with the Bidding documents, including the UNDP General Conditions of Contract and in accordance with the Terms of Reference

Our Proposal shall be valid and remain binding upon us for the period of time specified in the Bid Data Sheet.

We understand and recognize that you are not bound to accept any Proposal you receive.

I, the undersigned, certify that I am duly authorized by [Insert Name of Bidder] to sign this Proposal and bind it should UNDP accept this Proposal.

<table>
<thead>
<tr>
<th>Name:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Title:</td>
<td></td>
</tr>
<tr>
<td>Date:</td>
<td></td>
</tr>
<tr>
<td>Signature:</td>
<td></td>
</tr>
</tbody>
</table>

[Stamp with official stamp of the Bidder]
## 2.10 FORM B: BIDDER INFORMATION FORM

<table>
<thead>
<tr>
<th><strong>Legal name of Bidder</strong></th>
<th>[Complete]</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Legal address</strong></td>
<td>[Complete]</td>
</tr>
<tr>
<td><strong>Year of registration</strong></td>
<td>[Complete]</td>
</tr>
</tbody>
</table>
| **Bidder’s Authorized Representative Information** | Name and Title: [Complete]  
Telephone numbers: [Complete]  
Email: [Complete] |
| **Are you a UNGM registered vendor?** |  
☐ Yes  ☐ No  If yes, [insert UNGM vendor number] |
| **Are you a UNDP vendor?** |  
☐ Yes  ☐ No  If yes, [insert UNDP vendor number] |
| **Countries of operation** | [Complete] |
| **No. of full-time employees** | [Complete] |
| **Quality Assurance Certification (e.g. ISO 9000 or Equivalent)** (If yes, provide a Copy of the valid Certificate): | [Complete] |
| **Does your Company hold any accreditation such as ISO 14001 related to the environment?** (If yes, provide a Copy of the valid Certificate): | [Complete] |
| **Does your Company have a written Statement of its Environmental Policy?** (If yes, provide a Copy) | [Complete] |
| **Contact person UNDP may contact for requests for clarification during Proposal evaluation** | |
| **Please attach the following documents:** |  
- Company Profile, which should not exceed fifteen (15) pages, including printed brochures and product catalogues relevant to the goods/services being procured  
- Certificate of Incorporation/ Business Registration  
- Tax Registration/Payment Certificate issued by the Internal Revenue Authority evidencing that the Bidder is updated with its tax payment obligations, or Certificate of Tax exemption, if any such privilege is enjoyed by the Bidder  
- Trade name registration papers, if applicable  
- Local Government permit to locate and operate in assignment location, if applicable  
- Official Letter of Appointment as local representative, if Bidder is submitting a Bid in behalf of an entity located outside the country |
2.11 **FORM C: JOINT VENTURE/CONSORTIUM/ASSOCIATION INFORMATION FORM**

Name of Bidder: [Insert Name of Bidder]  
Date: [Select date]  
RFP reference: [Insert RFP Reference Number]

To be completed and returned with your Proposal if the Proposal is submitted as a Joint Venture/Consortium/Association.

<table>
<thead>
<tr>
<th>No</th>
<th>Name of Partner and contact information (address, telephone numbers, fax numbers, e-mail address)</th>
<th>Proposed proportion of responsibilities (in %) and type of services to be performed</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>[Complete]</td>
<td>[Complete]</td>
</tr>
<tr>
<td>2</td>
<td>[Complete]</td>
<td>[Complete]</td>
</tr>
<tr>
<td>3</td>
<td>[Complete]</td>
<td>[Complete]</td>
</tr>
</tbody>
</table>

**Name of leading partner**  
(with authority to bind the JV, Consortium, Association during the RFP process and, in the event a Contract is awarded, during contract execution)  
[Complete]

We have attached a copy of the below document signed by every partner, which details the likely legal structure of and the confirmation of joint and severable liability of the members of the said joint venture:

☐ Letter of intent to form a joint venture  
☐ JV/Consortium/Association agreement

We hereby confirm that if the contract is awarded, all parties of the Joint Venture/Consortium/Association shall be jointly and severally liable to UNDP for the fulfillment of the provisions of the Contract.

Name of partner: ____________________________  
Signature: ____________________________  
Date: ____________________________

Name of partner: ____________________________  
Signature: ____________________________  
Date: ____________________________

Name of partner: ____________________________  
Signature: ____________________________  
Date: ____________________________
2.12 FORM D: QUALIFICATION FORM

Name of Bidder: [Insert Name of Bidder]  Date: Select date
RFP reference: [Insert RFP Reference Number]

If JV/Consortium/Association, to be completed by each partner.

**Historical Contract Non-Performance**

☐ Contract non-performance did not occur for the last 3 years
☐ Contract(s) not performed for the last 3 years

<table>
<thead>
<tr>
<th>Year</th>
<th>Non-performed portion of contract</th>
<th>Contract Identification</th>
<th>Total Contract Amount (current value in US$)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Name of Client:</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Address of Client:</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Reason(s) for non-performance:</td>
<td></td>
</tr>
</tbody>
</table>

**Litigation History** (including pending litigation)

☐ No litigation history for the last 3 years
☐ Litigation History as indicated below

<table>
<thead>
<tr>
<th>Year of dispute</th>
<th>Amount in dispute (in US$)</th>
<th>Contract Identification</th>
<th>Total Contract Amount (current value in US$)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Name of Client:</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Address of Client:</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Matter in dispute:</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Party who initiated the dispute:</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Status of dispute:</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Party awarded if resolved:</td>
<td></td>
</tr>
</tbody>
</table>

**Previous Relevant Experience**

Please list only previous similar assignments successfully completed in the last 3 years.
List only those assignments for which the Bidder was legally contracted or sub-contracted by the Client as a company or was one of the Consortium/JV partners. Assignments completed by the Bidder’s individual experts working privately or through other firms cannot be claimed as the relevant experience of the Bidder, or that of the Bidder’s partners or sub-consultants, but can be claimed by the Experts themselves in their CVs. The Bidder should be prepared to substantiate the claimed experience by presenting copies of relevant documents and references if so requested by UNDP.

<table>
<thead>
<tr>
<th>Project name &amp; Country of Assignment</th>
<th>Client &amp; Reference Contact Details</th>
<th>Contract Value</th>
<th>Period of activity and status</th>
<th>Types of activities undertaken</th>
</tr>
</thead>
</table>

38
Bidders may also attach their own Project Data Sheets with more details for assignments above.

☐ Attached are the Statements of Satisfactory Performance from the Top 3 (three) Clients or more.

---

**Financial Standing**

<table>
<thead>
<tr>
<th>Annual Turnover for the last 3 years</th>
<th>Year</th>
<th>USD</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Latest Credit Rating (if any), indicate the source</td>
<td>Year</td>
<td>USD</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Financial information (in US$ equivalent)</th>
<th>Historic information for the last 3 years</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Year 1</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>Total Assets (TA)</td>
<td></td>
</tr>
<tr>
<td>Total Liabilities (TL)</td>
<td></td>
</tr>
<tr>
<td>Current Assets (CA)</td>
<td></td>
</tr>
<tr>
<td>Current Liabilities (CL)</td>
<td></td>
</tr>
</tbody>
</table>

*Information from Balance Sheet*

| Total / Gross Revenue (TR) |        |        |        |
|----------------------------|        |        |        |
| Profits Before Taxes (PBT) |        |        |        |
| Net Profit                 |        |        |        |
| Current Ratio              |        |        |        |

*Information from Income Statement*

☐ Attached are copies of the audited financial statements (balance sheets, including all related notes, and income statements) for the years required above complying with the following condition:

a) Must reflect the financial situation of the Bidder or party to a JV, and not sister or parent companies;

b) Historic financial statements must be audited by a certified public accountant;

c) Historic financial statements must correspond to accounting periods already completed and audited. No statements for partial periods shall be accepted.
2.13 **FORM E: FORMAT OF TECHNICAL PROPOSAL**

**Note to UNDP [MUST BE DELETED BEFORE POSTING]:**

Please ensure that the information below is adapted in accordance with the technical evaluation criteria included in Section 4. The below sections correspond to the sample criteria included in this template RFP in Section 4.

<table>
<thead>
<tr>
<th>Name of Bidder:</th>
<th>[Insert Name of Bidder]</th>
<th>Date:</th>
<th>Select date</th>
</tr>
</thead>
<tbody>
<tr>
<td>RFP reference:</td>
<td>[Insert RFP Reference Number]</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

The Bidder's proposal should be organized to follow this format of Technical Proposal. Where the bidder is presented with a requirement or asked to use a specific approach, the bidder must not only state its acceptance, but also describe how it intends to comply with the requirements. Where a descriptive response is requested, failure to provide the same will be viewed as non-responsive.

**SECTION 1: Bidder's qualification, capacity and expertise**

1.1 Brief description of the organization, including the year and country of incorporation, and types of activities undertaken.

1.2 General organizational capability which is likely to affect implementation: management structure, financial stability and project financing capacity, project management controls, extent to which any work would be subcontracted (if so, provide details).

1.3 Relevance of specialized knowledge and experience on similar engagements done in the region/country, in the context of Small Island Developing States (SIDS) and in developing countries

1.4 Quality assurance procedures and risk mitigation measures.

1.5 Experience and knowledge of São Tomé e Príncipe's water resources sector.

**SECTION 2: Proposed Methodology, Approach and Implementation Plan**

This section should demonstrate the bidder's responsiveness to the TOR by identifying the specific components proposed, addressing the requirements, providing a detailed description of the essential performance characteristics proposed and demonstrating how the proposed approach and methodology meets or exceeds the requirements. All important aspects should be addressed in sufficient detail and different components of the project should be adequately weighted relative to one another.

2.1 A detailed description of the approach and methodology for how the Bidder will achieve the Terms of Reference of the project, keeping in mind the appropriateness to local conditions and project environment. Details how the different service elements shall be organized, controlled and delivered.

2.2 The methodology shall also include details of the Bidder's internal technical and quality assurance review mechanisms.

2.3 Explain whether any work would be subcontracted, to whom, how much percentage of the work, the rationale for such, and the roles of the proposed sub-contractors and how everyone will function as a team.

2.4 Description of available performance monitoring and evaluation mechanisms and tools; how they shall be adopted and used for a specific requirement.

2.5 Implementation plan including a Gantt Chart or Project Schedule indicating the detailed sequence of activities that will be undertaken and their corresponding timing.

2.6 Demonstrate how you plan to integrate sustainability measures in the execution of the contract.
2.7 Any other comments or information regarding the project approach and methodology that will be adopted.

SECTION 2A: Bidder’s Comments and Suggestions on the Terms of Reference

Provide comments and suggestions on the Terms of Reference, or additional services that will be rendered beyond the requirements of the TOR, if any.

SECTION 3: Management Structure and Key Personnel

3.1 Describe the overall management approach toward planning and implementing the project. Include an organization chart for the management of the project describing the relationship of key positions and designations. Provide a spreadsheet to show the activities of each personnel and the time allocated for his/her involvement.

3.2 Provide CVs for key personnel that will be provided to support the implementation of this project using the format below. CVs should demonstrate qualifications in areas relevant to the Scope of Services.

Format for CV of Proposed Key Personnel
NAME OF PERSONNEL

[INSERT]

POSITION FOR THIS ASSIGNMENT

[INSERT]

NATIONALITY

[INSERT]

LANGUAGE PROFICIENCY

[INSERT]

EDUCATION/QUALIFICATIONS

[SUMMARIZE COLLEGE/UNIVERSITY AND OTHER SPECIALIZED EDUCATION OF PERSONNEL MEMBER, GIVING NAMES OF SCHOOLS, DATES ATTENDED, AND DEGREES/QUALIFICATIONS OBTAINED.]
[PROVIDE DETAILS OF PROFESSIONAL CERTIFICATIONS RELEVANT TO THE SCOPE OF SERVICES]

- NAME OF INSTITUTION: [INSERT]
- DATE OF CERTIFICATION: [INSERT]

[LIST ALL POSITIONS HELD BY PERSONNEL (STARTING WITH PRESENT POSITION, LIST IN REVERSE ORDER), GIVING DATES, NAMES OF EMPLOYING ORGANIZATION, TITLE OF POSITION HELD AND LOCATION OF EMPLOYMENT. FOR EXPERIENCE IN LAST FIVE YEARS, DETAIL THE TYPE OF ACTIVITIES PERFORMED, DEGREE OF RESPONSIBILITIES, LOCATION OF ASSIGNMENTS AND ANY OTHER INFORMATION OR PROFESSIONAL EXPERIENCE CONSIDERED PERTINENT FOR THIS ASSIGNMENT.]

[INSERT]
[PROVIDE NAMES, ADDRESSES, PHONE AND EMAIL CONTACT INFORMATION FOR TWO (2) REFERENCES]
I, the undersigned, certify that to the best of my knowledge and belief, these data correctly describe my qualifications, my experiences, and other relevant information about myself.
<table>
<thead>
<tr>
<th>Signature of Personnel</th>
<th>Date (Day/Month/Year)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>
2.14 **FORM F: FINANCIAL PROPOSAL SUBMISSION FORM**

<table>
<thead>
<tr>
<th>Name of Bidder:</th>
<th>[Insert Name of Bidder]</th>
<th>Date:</th>
<th>Select date</th>
</tr>
</thead>
<tbody>
<tr>
<td>RFP reference:</td>
<td>[Insert RFP Reference Number]</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

We, the undersigned, offer to provide the services for [Insert Title of services] in accordance with your Request for Proposal No. [Insert RFP Reference Number] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and our Financial Proposal sealed under a separate envelope.

Our attached Financial Proposal is for the sum of [Insert amount in words and figures].

*Our Proposal shall be valid and remain binding upon us for the period of time specified in the Bid Data Sheet.*

We understand you are not bound to accept any Proposal you receive.

| Name: | ____________________________ |
|-------|______________________________|
| Title:| ____________________________ |
| Date: | ____________________________ |
| Signature: | ____________________________ |

[Stamp with official stamp of the Bidder]
2.15 **FORM G: FINANCIAL PROPOSAL FORM**

| Name of Bidder: | [Insert Name of Bidder] | Date: | Select date |
| RFP reference: | [Insert RFP Reference Number] |

The Bidder is required to prepare the Financial Proposal following the below format and submit it in an envelope separate from the Technical Proposal as indicated in the Instruction to Bidders. Any Financial information provided in the Technical Proposal shall lead to Bidder's disqualification.

The Financial Proposal should align with the requirements in the Terms of Reference and the Bidder's Technical Proposal.

**Currency of the proposal:** [Insert Currency]

### Table 1: Summary of Overall Prices

<table>
<thead>
<tr>
<th>Amount(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Professional Fees (from Table 2)</td>
</tr>
<tr>
<td>Other Costs (from Table 3)</td>
</tr>
<tr>
<td>Total Amount of Financial Proposal</td>
</tr>
</tbody>
</table>

### Table 2: Breakdown of Professional Fees

<table>
<thead>
<tr>
<th>Name</th>
<th>Position</th>
<th>Fee Rate</th>
<th>No. of Days/months/hours</th>
<th>Total Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>A</td>
<td>B</td>
</tr>
<tr>
<td>In-Country</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Home Based</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Subtotal Professional Fees:**

### Table 3: Breakdown of Other Costs

<table>
<thead>
<tr>
<th>Description</th>
<th>UOM</th>
<th>Quantity</th>
<th>Unit Price</th>
<th>Total Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>International flights</td>
<td>Trip</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Subsistence allowance</td>
<td>Day</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Miscellaneous travel expenses</td>
<td>Trip</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>-----------------------------</td>
<td>------</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Local transportation costs</td>
<td>Lump Sum</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Out-of-Pocket Expenses</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other Costs: (please specify)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Subtotal Other Costs:**

**Table 4: Breakdown of Price per Deliverable/Activity**

<table>
<thead>
<tr>
<th>Deliverable/Activity description</th>
<th>Time (person days)</th>
<th>Professional Fees</th>
<th>Other Costs</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Deliverable 1: Preliminary feasibility studies</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Deliverable 2: Presentation of the Final drafts of the feasibility studies</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Deliverable 3: Final versions of the feasibility studies, based on the comments and observations by the client (STP Government and UNDP)</td>
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<td>......</td>
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</table>