Terms of Reference for Key Expert on Individual and Institutional Capacity Building (KE3)
Within the Scope of Strengthening the Civilian Oversight of Internal Security Forces Phase III
Project (aka CO III)

## 1 Background

The Project for the Civilian Oversight of Internal Security Sector Phase I, which was implemented jointly by Ministry of Interior (MoI) and UNDP in 2007-2010, aimed to raise the awareness of the MoI about the concept of civilian oversight. The 1<sup>st</sup> Phase also assessed the gaps vis-à-vis EU standards in MoI and developed the concept of "local governance of ISFs". The 2<sup>nd</sup> Phase of the Project was implemented in 2012-2014 and designed to expand and institutionalise the introduced approaches and piloted structures of 1st Phase.

Second Phase was implemented from the perspective of improving (1) the capacity of MoI staff and provincial-sub provincial administrators to exercise oversight of policing and the homogeneity of the laws regulating the internal security forces; (2) the coherence of oversight arrangements that govern interactions between, on the one hand, the civilian administrators at provincial levels, sub provincial levels and, on the other hand, the Police and the Gendarmerie and Coast Guards; and, (3) the temporary coordination and consultation mechanisms by the Governors and District Governors so that the current oversight systems can expand rights and freedoms enjoyed by citizens.

The two phases of the Project have identified the main requirements for an effective and human centred civilian oversight mechanism over the internal security sector. Building on the recommendations and results of the former phases, the 3<sup>rd</sup> Phase of the Project at hand is designed to deepen Phase I and II results in terms of organisational changes and put pilot local boards into practice in most provinces of Turkey. This new Phase will also support the Government in establishing the best model for ISF and its effective functioning under MoI in line with international principles and best practices in the EU. This new Phase is also in conformity with the priorities of the Accession Partnership and EU/Commission policies by supporting the ongoing work of Turkey. Assessment of various progress reports of the European Commission along with the policy endeavours of Turkey to address gaps in the civilian oversight and the outputs of the partnership of Turkey jointly achieved with UNDP and the Delegation of the European Union to Turkey (EUD) are the basis of the Project. The Project (CO III) is accepted under the 2014 Action Document for Fundamental Rights Sub-Field prepared by the Directorate for EU Affairs (DEUA) Department of Political Affairs under the Ministry of Foreign Affairs as the Lead Institution. The target groups are the Ministry of Interior, including provincial administrations and internal security forces and the Grand National Assembly of Turkey. The MoI General Directorate for Provincial Administrations is the main beneficiary of the Project, whereas the UNDP will provide technical assistance to the MoI for efficient and effective implementation of the Project. The Project is composed of 4 components:

**Component A - Legislative and Institutional Framework:** This component aims to improve, the training curriculum, strategies and the basic legislative and regulatory framework governing the Police, Gendarmerie and Coast Guard as well as the Local Security System in the light of civilian/democratic oversight and accountability principles. It is also planned that the performance evaluation system of MoI over ISFs will be strengthened through the Project.

**Component B - Parliamentary Oversight:** This component aims to develop a strategy to systematically oversee the work of ISFs through the work of relevant Commissions of the Grand National Assembly of Turkey.

**Component C - Scaling Up of the Pilot Security Governance Structures:** This component aims to scale up the pilot security governance structures nationwide and support their effective functioning through capacity development and technical assistance.

**Component D - Individual and Institutional Capacity Building:** This component aims to build institutional and individual capacities of the Governors, District Governors and citizens to enhance the understanding and internalisation of citizen-focused security services.

With a view to implement the project activities in an effective and efficient way and achieve the expected results of the Project, composed of a Chief Technical Advisor (CTA) and 2 (two) Key Experts; a Technical Assistance Team (TAT) will be established within the scope of the Project. The CTA holds the overall responsibility for development of the project results whereas the key experts will mainly be responsible for component-based activities. A Project Manager, a Project Associate and a Project Assistant will also be mobilized within the scope of the Project for delivery of project outputs in a timely and effective manner.

## 2 Objective(s) of the Assignment

Overall objective of this assignment is to perform as the Key Expert (KE3) of the Technical Assistance Team of the Strengthening the Civilian Oversight of Internal Security Forces Phase III Project and contribute to the effective delivery of the project outputs at the highest potential quality in a timely manner. KE3 will be working under the coordination of CTA and providing technical expertise for the achievement of the results under Component D (Individual and Institutional Capacity Building) of the Project. S/he will provide technical inputs, guidance and support for conduct of all Component D activities, coordinate work of related short-term experts, act in collaboration and continuous communication with project partners, TAT and UNDP. KE3 is also expected to provide technical inputs to the other activities of the Project through injecting lessons learned to other components and vice versa.

# 3 Duties and Responsibilities

The Key Expert on Individual and Institutional Capacity Building (KE3) will be mobilized under the Project between 1 March 2020 and 20 December 2020 with Individual Contract (IC) modality. KE3 will be a member of the Technical Assistance Team and is expected to perform the following tasks under the coordination of Chief Technical Advisor (CTA):

Plan, initiate, coordinate and contribute to the preparation and the conduct of overall activity schedule, calendar, scope of all activities and deliverables of Component D of the Project both in Ankara and in the field and reporting outlines and formats in collaboration with CTA and other key experts, related short-term experts and UNDP Project Team and ensure smooth implementation of all Component D activities defined within the scope of the Project Document

Specifically, KE3 will be responsible for the below listed activities and outputs of the Project:

- D.1 Preparation, periodical update and conduct of a training module for public officials employed in Local Prevention and Security Boards.
  - D.1.1. Develop tailor-made training modules on crime prevention incorporating the concept of civilian/democratic oversight from fundamental rights perspective.
  - D.1.2. Deliver trainings for 500 professionals of MoI and ISFs on civilian/democratic oversight of ISFs and human-focused security services on crime prevention incorporating the perspective of civilian/democratic oversight to Governorates and District Governorates.

Outputs: Training Modules and Materials + Training of 500 professionals of MoI and ISFs in form of ToTs

D.2. Development and periodical implementation of awareness raising programs for civil society and public at large on civilian and democratic oversight and citizen-centered security.

- D.2.1. Conduct an opinion poll at the national level to measure the level of confidence and satisfaction of the citizens with the work of ISFs.
- D.2.2. Develop tailor-made training modules on citizen-centered security and civilian/democratic oversight targeting civil society and media.
- D.2.3. Deliver trainings on human centered security and civilian/democratic oversight to civil society and media. It is planned that 300 participants will attend the training program. 10 two-day training programs will be held in selected pilot districts/provinces.
- D.2.4. Develop the implementation strategy of the awareness raising programs. The programs will be developed by PT with support of communication experts based on the results of the outreach activities conducted in Phase II of the Project. The methods for awareness-raising will include community meetings, development of promotional materials and innovation camps, which will bring together stakeholders to produce innovative solutions and ideas to diversified and complex challenges of civilian/democratic oversight from fundamental rights perspective, etc. They will be identified in the strategy in detail. The awareness raising programs will continue throughout the Project implementation in pilot districts/provinces.

Outputs: National opinion poll results + Training Modules and Materials + Training of 300 civil society and media representatives in form of ToTs + Well-structured awareness raising programs

- D.3 Evaluation and update of training programs, which are implemented by the Ministry of Interior for district governors from the perspective of civilian/democratic oversight.
  - D.3.1. Review the training curriculum of District Governors in cooperation with the Training Department of the MoI in relation to civilian/democratic oversight.
  - D.3.2. Organise 3 two-day workshops in Ankara to identify the bottlenecks and positive aspects of the training curriculum of District Governors based on a human-centered security concept.
  - D.3.3. Develop a needs assessment and evaluation report and recommendations for improvement in the training curriculum of District Governors. The report will also include proposals for revisions in relevant sections of the training curriculum of District Governors.

Outputs: Evaluation reports, updated training curriculum

- Provide technical expertise and inputs for the achievement of the results under Component D of the Project through (i) design, execution and monitoring of the Component and its activities as well as (ii) development of technical project reports, (iii) assuring the quality of all technical outputs developed within the scope of Component D (iv) securing submission of all Component related activity reports/outputs in a quality and timely manner.
- Identify the short-term expert needs of the Component D activities, develop Terms of References and quality check the deliverables produced by the short-term experts to be mobilized under Component D.
- Provide inputs to administrative reports such as inception report, progress reports and final report.
- Prepare ad hoc reports, briefs and/or presentations on demand by UNDP.
- Coordinate and provide necessary inputs for the work of CTA, Key Expert 2 and other experts of the Project; participate in and contribute to technical meetings between experts and project partners when needed.
- Contribute to the preparation and organization of Opening and Closure Events of the Project, Project management meetings including Steering Committee Meetings, participate and present Component D progress to participants in collaboration with CTA, Key Expert 2 and other experts.

- Contribute to communication and awareness raising related activities of the project in general in collaboration with CTA, Key Expert 2, short-term experts and UNDP Project Team.
- Ensure collaboration with UNDP Inclusive and Democratic Governance Portfolio and the Project Team and work closely and in collaboration with the other experts of the Project.
- Ensure human rights standards are applied, and gender equality is prioritized as an ethical principle within all actions.
- Specifically, KE3 is expected to:
  - o Provide specific technical inputs for preparation, ensuring quality and contribution to the conduct of the training programme for professionals of MoI and ISFs.
  - o Provide specific technical inputs for preparation, methodology, sampling, questions design and ensure quality of the conduct of opinion poll at the national level targeting the work of ISFs.
  - O Provide specific technical inputs for the preparation, ensuring quality and contribution to the conduct of the training programme for civil society and media on citizen centered security and civilian and democratic oversight.
  - o Provide specific technical inputs with the perspective of civilian/democratic oversight and work in close cooperation with MoI for evaluation and update of its training programs for district governors.

The above listed activities are subject to further revision with the consent of UNDP, in consultation with MoI, in line with the emerging requirements of the Project. If required by UNDP, the IC may provide additional consultancy services related to her/his competencies and expertise, in line with the Duties and Responsibilities stipulated in this Terms of Reference.

The KE3 shall be the technical leader of Component D and will provide overall guidance for the successful implementation of the Project in general and Component D in particular. S/he shall be responsible for providing technical inputs to the Project, Component D and all related reporting and documentation. His/her functions do not include managerial, supervisory and/or representative functions vis-a-vis UNDP Staff/Personnel. KE3 will work under overall guidance of the UNDP Legal and Human Rights Specialist and in close consultation and cooperation with the Project Lead Consultant for issues concerning the design and planning of activities along with the implementation strategy. The KE3 shall work in close cooperation with Chief Technical Advisor and the Civilian Oversight of Internal Security Forces Phase III Project Manager contracted by UNDP and other Project Staff/Personnel and will report to UNDP Inclusive and Democratic Governance Portfolio Manager. KE3 will also be closely working with the Focal Points designated by the Ministry of Interior (MoI), in design and implementation of the project activities.

## **4** Expected Outputs and Deliverables

The KE3 shall deliver to UNDP "Monthly Progress Reports" as deliverable pertaining to execution of abovementioned tasks for 10 months as detailed below

No.	Deliverables	Due Dates	Estimated Number of Person/days to be Invested by the Individual Consultant
1	Monthly Progress Reports covering the progress of the month in implementation of the Project. These monthly reports should include all the outputs generated in line with the related Activities.	Within the last 2 working days of the respective month between March 2020 and December 2020 (both inclusive).	Maximum 200 person/days  (Estimated 20 person/days x 10 months from 1 March 2020 to 20 December 2020)

## 5 Timing and Duration

Individual Consultant is expected to start providing services in March 2020 and provide services until the end of December 2020. The IC is expected to invest (at maximum) 200 person/days (20 person/days x 10 months) to fulfill the required tasks detailed in this Terms of Reference throughout the Contract duration.

Consultant may invest less/more than expected number of person/days in each month. The payments shall be affected to the IC on the basis of actual number of person/days invested in each respective month, which will be verified through the Monthly Progress Reports. Payments to be affected to the Consultant within the scope of this Assignment cannot exceed equivalent of 200 person/days.

## 6 Institutional Arrangement

UNDP Turkey CO will;

- Provide the Consultant with relevant documents and full support in any further analysis of the data where necessary,
- Facilitate communication with Project partners and other stakeholders,
- Give feedback to the draft, final reports and all other deliverables prepared by the Consultant,
- Provide an office space for the work of the IC with technical equipment including computer, printer, land telephone lines, internet connection etc.

All reports should be submitted in English.

All documents and data provided to the consultant are confidential and cannot be used for any other purposes or shared with a third party without any written approval from UNDP.

#### 7 Place of Work

Place of work for the assignment is Ankara, Turkey. The costs to arise regarding flight tickets to be acquired by the Individual Consultant in order to join and leave the Duty Station shall be borne by the Individual Consultant. The prospective Individual Consultants are expected to take this into consideration whilst determining their Daily Fee. The assignment may require travels to other provinces within Turkey with respect to project needs and the duties and responsibilities of the consultant stated above.

The travel, accommodation costs (bed and breakfast) and living costs (terminal expenses, intra-city travel costs, lunch, dinner, etc.) of the missions to other provinces will be borne by UNDP. UNDP will arrange flight tickets through its contracted travel agency.

In case of need of additional travels that are unforeseen in the ToR, the costs of the respective travels of the Consultant may either be;

- Arranged and covered by UNDP from the respective budget without making any reimbursements to the Consultant, through UNDP's official Travel Agency or,
- Reimbursed to the Consultant upon the submission of the receipts/invoices of the expenses by the Consultant and approval of the UNDP. The reimbursement of each cost item is subject to the following constraints/conditions provided in below table or,
- Covered by the combination of both options.

The following guidance on travel compensation is provided as per UNDP practice:

Cost item	Constraints	Conditions of
		Reimbursement
Travel (intercity transportation)	Full-fare economy class tickets	1- Approval by UNDP of the cost items before the
Accommodation	Up to 50% of the effective DSA rate of	initiation of travel
	UNDP for the respective location	2- Submission of the
Breakfast	Up to 6% of the effective DSA rate of	invoices/receipts, etc. by the
	UNDP for the respective location	consultant with the UNDP's
Lunch	Up to 12% of the effective DSA rate of	F-10 Form
	UNDP for the respective location	3- Acceptance and Approval
Dinner	Up to 12% of the effective DSA rate of	by UNDP of the invoices
	UNDP for the respective location	and F-10 Form.
Other Expenses (intra city	Up to 20% of effective DSA rate of	
transportations, transfer cost from	UNDP for the respective location	
/to terminals, etc.)		

# **8 Required Qualifications**

	Minimum Qualification Requirements	Assets
General Qualifications	<ul> <li>Bachelor's Degree in the fields of political sciences, public administration, law, security studies, educational sciences or any other relevant field</li> <li>Good command of spoken and written English</li> <li>Full computer literacy</li> </ul>	<ul> <li>Advanced degree in the fields of political sciences, public administration, educational sciences and/or communications, law, security studies or public relations</li> <li>Good command of spoken and written Turkish</li> </ul>
General Professional Experience	<ul> <li>Minimum 10 (ten) years of professional experience</li> <li>Minimum 3 (three) years of professional experience in working for/with international institutions/organizations and/or international projects and/or taking part within international projects as staff/personnel of partners (including donors and beneficiaries)</li> <li>Knowledge of international human rights framework</li> </ul>	<ul> <li>More than 10 (ten) years of professional experience</li> <li>Former experience in EU funded projects</li> <li>Former experience in UN projects</li> </ul>
Specific Professional Experience	<ul> <li>At least 5 (five) years of specific working/academic experience in</li> </ul>	Experience in at least 1 (one) project as a senior expert on Turkey's internal security issues and/or fundamental rights

- public administration, human rights and/or security sector
- Specific knowledge of functioning of public sector or/and security sector in EU Member States and/or in Turkey
- Proven experience in design and implementation of capacity building/training programs targeting internal security sector
- Proven experience in curriculum development in the field of democratic security governance
- Proven experience in development and implementation of awareness raising programs on security/public safety and/or civilian oversight
- Proven experience in design and implementation of large-scale opinion polls and design of awareness raising strategies by using its results
- Proven experience in development and implementation of tailor-made training programs for civil society and media on public safety
- Proven knowledge on the Turkish administrative structure and specifically the security role and functions of Ministry of Interior
- Planning and conduct of capacity-building programs for security sector in Turkey

## **Notes:**

- Internships (paid/unpaid) are not considered professional experience.
- Obligatory military service is not considered professional experience.
- Professional experience gained in an international setting is considered international experience.
- Experience gained prior to completion of undergraduate studies is not considered professional experience.

# 9 Competencies

Individual Consultants need to have below competencies.

## **United Nations Development Programme**

- Ability to design and develop time plans and resource schedules for complex tasks and task groups.
- Ability to work in multi-disciplinary and multi-cultural teams.
- Ability to work under pressure against strict deadlines.
- Ability to think out-of-the-box.
- Ability to present complex issues persuasively and simply.
- Ability to contextualize global trends in accordance with the dynamics of the operating (working) environment.
- Ability to collaborate with UNDP Turkey CO Inclusive and Democratic Governance Portfolio and the Project Team and work closely and in collaboration with the other experts of the Project.
- Ensuring gender disaggregated data and gender analysis which will be conducted in gender responsive research methods and technics.
- Ensuring gender responsive questions, use of gender responsive language in written and oral presentations, reports and handout etc.
- Ensuring human rights standards are applied, and gender equality is prioritized as an ethical principle within all actions.

## 10 Payments

Payments will be made within 30 days upon approval of "Monthly Progress Report" and UNDP Certificate of Payment Form (COP) for each calendar-month. The Monthly Progress Report shall indicate the activities/outputs completed as well as the actual number of person/days invested in that respective month. The basis of payment for each calendar-month shall be the actual number of person/days invested by the Consultant in that month. The maximum total amount to be paid to the Consultant within the scope of this assignment cannot exceed equivalent of 200 person/days.

If any of the deliverables mentioned in Article 3 and Article 4 of this Terms of Reference are not produced and delivered by the expert in due time and to the satisfaction of UNDP, no payment will be made even if the expert has invested person/days to produce and deliver such deliverables.

The consultant shall be paid in US\$ if he/she resides in a country different than Turkey. If he/she resides in Turkey, the payment shall be realized in TRY through conversion of the US\$ amount by the official UN exchange rate valid on the date of money transfer.

The daily fee to be paid to the Consultant is fixed regardless of changes in the cost components. The daily fee amount should be indicated in gross terms and hence should be inclusive of costs related to tax, social security premium, pension, visa (if needed) etc. UNDP will not make any further clarification on costs related to tax, social security premium, pension, visa etc. It is the applicants' responsibility to make necessary inquiries on these matters.

<u>Tax Obligations:</u> The IC is solely responsible for all taxation or other assessments on any income derived from UNDP. UNDP will not make any withholding from payments for the purposes of income tax. UNDP is exempt from any liabilities regarding taxation and will not reimburse any such taxation to the IC.