

# **REQUEST FOR PROPOSAL (RFP)**

NAME & ADDRESS OF FIRM	DATE: April 29, 2020
	REFERENCE: RFP-CHN-2020-003

Dear Sir / Madam:

We kindly request you to submit your Proposal for *Research institute Training in Capacity Building and Awareness Raising of Access to and Benefit Sharing of Genetic Resources.* 

Please be guided by the form attached hereto as Annex 2, in preparing your Proposal.

Proposals may be submitted no later than **12PM**, Wednesday, May 20, 2020 and via email to bids.china@undp.org.

Your Proposal must be expressed in **English**, and valid for a minimum period of **120** days.

In the course of preparing your Proposal, it shall remain your responsibility to ensure that it reaches the address above on or before the deadline. Proposals that are received by UNDP after the deadline indicated above, for whatever reason, shall not be considered for evaluation. If you are submitting your Proposal by email, kindly ensure that they are signed and in the .pdf format, and free from any virus or corrupted files.

Services proposed shall be reviewed and evaluated based on completeness and compliance of the Proposal and responsiveness with the requirements of the RFP and all other annexes providing details of UNDP requirements.

The Proposal that complies with all of the requirements, meets all the evaluation criteria and offers the best value for money shall be selected and awarded the contract. Any offer that does not meet the requirements shall be rejected.

Any discrepancy between the unit price and the total price shall be re-computed by UNDP, and the unit price shall prevail, and the total price shall be corrected. If the Service

Provider does not accept the final price based on UNDP's re-computation and correction of errors, its Proposal will be rejected.

No price variation due to escalation, inflation, fluctuation in exchange rates, or any other market factors shall be accepted by UNDP after it has received the Proposal. At the time of Award of Contract or Purchase Order, UNDP reserves the right to vary (increase or decrease) the quantity of services and/or goods, by up to a maximum twenty-five per cent (25%) of the total offer, without any change in the unit price or other terms and conditions.

Any Contract or Purchase Order that will be issued as a result of this RFP shall be subject to the General Terms and Conditions attached hereto. The mere act of submission of a Proposal implies that the Service Provider accepts without question the General Terms and Conditions of UNDP, herein attached as Annex 3.

Please be advised that UNDP is not bound to accept any Proposal, nor award a contract or Purchase Order, nor be responsible for any costs associated with a Service Providers preparation and submission of a Proposal, regardless of the outcome or the manner of conducting the selection process.

UNDP's vendor protest procedure is intended to afford an opportunity to appeal for persons or firms not awarded a Purchase Order or Contract in a competitive procurement process. In the event that you believe you have not been fairly treated, you can find detailed information about vendor protest procedures in the following link:

http://www.undp.org/content/undp/en/home/operations/procurement/business/protestand-sanctions.html

UNDP encourages every prospective Service Provider to prevent and avoid conflicts of interest, by disclosing to UNDP if you, or any of your affiliates or personnel, were involved in the preparation of the requirements, design, cost estimates, and other information used in this RFP.

UNDP implements a zero tolerance on fraud and other proscribed practices, and is committed to preventing, identifying and addressing all such acts and practices against UNDP, as well as third parties involved in UNDP activities. UNDP expects its Service Providers to adhere to the UN Supplier Code of Conduct found in this link : <u>https://www.un.org/Depts/ptd/sites/www.un.org.Depts.ptd/files/files/attachment/page/pd</u> <u>f/unscc/conduct\_english.pdf</u>

Thank you and we look forward to receiving your Proposal.

Sincerely yours,

Ge Yunyan

# **Description of Requirements**

Context of the	Research institute	Training in Capac	tity Building and Aware	eness Raising
Requirement	of Access to and Be	enefit Sharing of C	Genetic Resources	
Brief	Please find detailed	d information in T	OR.	
Description of				
the Required				
Services <sup>1</sup>				
List and	Please find detailed	d information in T	OR.	
Description of				
Expected				
Outputs to be				
Delivered				
Person to	Programme Manag	ger of the GEF-AB	S project	
Supervise the				
Work/Performa				
nce of the				
Service Provider				
Frequency of	Completion of each	n output		
Reporting				
Progress	A final report base	d on the training	results and ABS awar	eness survey
Reporting	must be provided.			
Requirements				
Expected	From June 2020 to	March 2021		
duration of				
work				
Target start	1 June 2020			
date				
Latest	31 March 2021			
completion date				
		1	1	
Travels			Brief Description of	_
Expected	Destination/s	Estimated	Purpose of the Travel	Target
	Two training	Duration	Training for at least 30	Date/s
	sessions in Beijing	One day for each training	participants	August 2020 –
	One training	cach training		December
	session in Yunnan			2020
	province			
	One training			
	session Hunan			
	province			
	One training			
	session in Guangxi			
	province			
Implementation				
Schedule	🗷 Required			

<sup>1</sup> A detailed TOR may be attached if the information listed in this Annex is not sufficient to fully describe the nature of the work and other details of the requirements.

	1				
indicating breakdown and timing of activities/sub- activities					
Names and curriculum vitae of individuals who will be involved in completing the services	I Required				
Currency of Proposal	☑ Local Currer	ncy, Chinese Yuar	(CNY)		
Value Added Tax on Price Proposal <sup>2</sup>	I must be inc	lusive of VAT and	other applicable indi	rect taxes	
Validity Period of Proposals (Counting for the last day of submission of quotes)	■ 120 days In exceptional circumstances, UNDP may request the Proposer to extend the validity of the Proposal beyond what has been initially indicated in this RFP. The Proposal shall then confirm the extension in writing, without any modification whatsoever on the Proposal.				
Partial Quotes	🗷 Not permit	ted			
Payment Terms <sup>3</sup>	Outputs	Percentage	Timing	Condition for Payment Release	
	Output 1	30%	Within two weeks after contract- signing	Within thirty (30) days from the date of meeting the following	
	Output 2	40%	Within four months after contract-signing	conditions: a) UNDP's written acceptance	
	Output 3 and 4	30%	Paid after the submitting output 4 and after	(i.e., not mere receipt) of	

<sup>2</sup> VAT exemption status varies from one country to another. Pls. check whatever is applicable to the UNDP CO/BU requiring the service.

<sup>3</sup> UNDP preference is not to pay any amount in advance upon signing of contract. If the Service Provider strictly requires payment in advance, it will be limited only up to 20% of the total price quoted. For any higher percentage, or any amount advanced exceeding \$30,000, UNDP shall require the Service Provider to submit a bank guarantee or bank cheque payable to UNDP, in the same amount as the payment advanced by UNDP to the Service Provider.

			receiving approval from the project management team.	b)	the quality of the outputs; and Receipt of invoice from the Service Provider.	
Person(s) to review/inspect/ approve outputs/comple ted services and authorize the disbursement of payment	Programme M	anager, UNDP				
Type of Contract to be Signed	Contract for	Professional Serv	vices			
Criteria for Contract Award	<ul> <li>Highest Combined Score (based on the 70% technical offer and 30% price weight distribution)</li> <li>Full acceptance of the UNDP Contract General Terms and Conditions (GTC). This is a mandatory criterion and cannot be deleted regardless of the nature of services required. Non-acceptance of the GTC may be grounds for the rejection of the Proposal.</li> </ul>					
Criteria for the Assessment of Proposal	I Management Financial Prop To be comput	the Firm 30% gy for the Comple nt Structure and C osal (30%)	tion of Services 40% Qualification of Key Pe the Proposal's offer t by UNDP.			
UNDP will award the contract to:	I One and on	ly one Service Pro	vider			
Contract General Terms and Conditions <sup>4</sup>	services)		ons for contracts (g		s and/or	
		undp.org/conter	it/undp/en/home/p		<u>rement/busi</u>	

<sup>&</sup>lt;sup>4</sup> Service Providers are alerted that non-acceptance of the terms of the General Terms and Conditions (GTC) may be grounds for disqualification from this procurement process.

Annexes to this RFP <sup>5</sup>	<ul> <li>Form for Submission of Proposal (Annex 2)</li> <li>Detailed TOR (Annex 3)</li> </ul>
Contact Person for Inquiries (Written inquiries only) <sup>6</sup>	Han Xu Operations Assistant bids.china@undp.org Any delay in UNDP's response shall be not used as a reason for extending the deadline for submission, unless UNDP determines that such an extension is necessary and communicates a new deadline to the Proposers.
Special note	Your proposal must be encrypted and sent via email to <u>bids.china@undp.org</u> no later than 12PM, Wednesday, 20 May, 2020 Please provide your password to <u>bids.china@undp.org</u> at 10AM on 21 May 2020, the scheduled time for bid opening.

<sup>&</sup>lt;sup>5</sup> Where the information is available in the web, a URL for the information may simply be provided. <sup>6</sup> This contact person and address is officially designated by UNDP. If inquiries are sent to other person/s or address/es, even if they are UNDP staff, UNDP shall have no obligation to respond nor can UNDP confirm that the query was received.

Annex 2

## FORM FOR SUBMITTING SERVICE PROVIDER'S PROPOSAL<sup>7</sup>

(This Form must be submitted only using the Service Provider's Official Letterhead/Stationery<sup>8</sup>)

> [insert: Location]. [insert: Date]

To: Bids China Office

Dear Sir/Madam:

We, the undersigned, hereby offer to render the following services to UNDP in conformity with the requirements defined in the RFP dated [specify date], and all of its attachments, as well as the provisions of the UNDP General Contract Terms and Conditions :

#### A. Qualifications of the Service Provider/Expertise of the Firm -30%

*The Service Provider must describe and explain how and why they are the best entity that can deliver the requirements of UNDP by indicating the following :* 

a) Profile – describing the nature of business, field of expertise, licenses, certifications, accreditations;

- b) Business Licenses Registration Papers, etc.
- c) Track Record list of clients for similar services as those required by UNDP, indicating description of contract scope, contract duration, contract value, contact references;
- The Service Provider should have more than five years of experience in the implementation

of biodiversity conservation, natural resource management, or public policy research projects, etc.;

- The Service Provider should have experience in undertaking relevant training and promotion projects of biodiversity conservation.

d) Written Self-Declaration that the company is not in the UN Security Council 1267/1989 List, UN Procurement Division List or Other UN Ineligibility List.

<sup>&</sup>lt;sup>7</sup> This serves as a guide to the Service Provider in preparing the Proposal.

<sup>&</sup>lt;sup>8</sup> Official Letterhead/Stationery must indicate contact details – addresses, email, phone and fax numbers – for verification purposes

#### B. Proposed Methodology for the Completion of Services-40%

The Service Provider must describe how it will address/deliver the demands of the RFP; providing a detailed description of the essential performance characteristics, reporting conditions and quality assurance mechanisms that will be put in place, while demonstrating that the proposed methodology will be appropriate to the local conditions and context of the work.

#### C. Qualifications of Key Personnel-30%

*If required by the RFP, the Service Provider must provide:* 

- a) Names and qualifications of the key personnel that will perform the services indicating who is Team Leader, who are supporting, etc.;
- The head of the project should:

1) have worked on biodiversity conservation, law, public management or natural resource management and other relevant fields for more than 5 years;

2) preferably have organized and implemented relevant training and promotional projects of biodiversity conservation.

- Trainings lecturers should have titles above the deputy senior professional level. The entity undertaking the project may hire external experts as lecturers with the approval of UNDP. Other lecturers should have titles above the intermediate professional level and rich training experience.

- Chinese is working language, but some tasks may require English to be accomplished.
- b) CVs demonstrating qualifications must be submitted
- *c)* Written confirmation from the service provider that these key personnel are available for the entire duration of the contract.

### D. Cost Breakdown per Output

	Output [list them as referred to in the RFP]	Specification	Unit price: CNY	Quantit y	Sub-total: CNY
1	<b>Output 1</b> : Master implementation plan of the task				
2	Output 2:				
	- Training instruction				
	- Training PowerPoint				
	- Training Brochure				
	- Questionnaire for ABS awareness				
	- Promotional materials				
3	Output 3:				
	a) Two training sessions for Beijing-based research and development institutes				

	dedicated to biogenetic			
	resources			
	- Standard meeting fee for each			
	participant			
	- Other, please specify			
	b) One training session for			
	research and development			
	institutes dedicated to			
	biogenetic resources in Yunnan			
	province			
	- Standard meeting fee for each			
	participant			
	- Other, please specify			
	c) One training session for			
	research and development			
	institutes dedicated to			
	biogenetic resources in Hunan			
	province			
	- Standard meeting fee for each			
	participant			
	- Other, please specify			
	d) One training session for			
	research and development			
	institutes dedicated to			
	biogenetic resources in Guangxi			
L	province			
	- Standard meeting fee for each			
	participant			
<u> </u>	- Other, please specify			
4	Output 4:			
	Final Training report			
	Final training materials			
		Т	otal: CNY	

[Name and Signature of the Service Provider's Authorized Person] [Designation] [Date]

Annex 3

## 生物遗传资源获取与惠益分享能力建设与意识提升 科研单位培训任务工作大纲

## TOR of Research institute Training in Capacity Building and Awareness Raising of Access to and Benefit Sharing of Genetic Resources

#### I. Background

To fairly and equitably share the benefits arising from the use of biological and genetic resources is one of the three major goals of the Convention on Biological Diversity (Convention), which entered into force in 1993. To help realize this goal, the tenth meeting of the Conference of the Parties adopted the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization to the Convention on Biological Diversity (Nagoya Protocol) in 2010. Nagoya Protocol went into force in October 2014. On September 6, 2016, China officially became its contracting party.

With the approval of GEF the project, Developing and Implementing the National Framework on Access to and Benefit Sharing of Genetic Resources and Associated Traditional Knowledge (hereinafter referred as the "GEF-ABS project"), is jointly developed and implemented by the Foreign Environmental Cooperation Office of the Ministry of Ecology and Environment and UNDP with the authorization from GEF since April 2016. The project aims to develop and implement China's national ABS framework of genetic resources and associated traditional knowledge in accordance with provisions of the CBD and the Protocol. The main tasks of the five-year GEF-ABS project include Establishment of the National Regulatory and Institutional Framework on ABS, ABS capacity building and awareness raising and Pilot demonstrations on ABS.

Since the implementation, the GEF-ABS project has supported a series of research, including the research on prospects and countermeasures prior to ABS legislation, ABS procedures, ABS agreements, management systems of associated traditional knowledge of genetic resources, and financial and tax schemes for benefit sharing, which has provided strong support for the development of the national ABS regulatory framework. Meanwhile, the GEF-ABS project has established pilots in Hunan, Guangxi and Yunnan, attempting to establish institutional arrangements and foundations of legal procedures for the supervision of the ABS in the three pilot provinces/regions. According to the schedule of the project, the nation is going to summarize and refine the results of the previous stage to promote the ABS concept and experience to the stakeholders of the ABS of biological genetic resources on a larger scale, and strengthen the capacity building and awareness raising of providers, users and management departments at all levels.

### 任务背景

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公平公正地分享因利用生物遗传资源 产生的惠益是 1993 年生效的《生物多样 性公约》(以下简称《公约》)的三大目 标之一。为推动惠益分享目标的实现, 2010 年《公约》第十次缔约方大会通过 了《〈生物多样性公约〉关于获取遗传资 源和公正公平分享其利用所产生惠益的名 古屋议定书》(以下简称《名古屋议定 书》),《名古屋议定书》于 2014 年 10 月正式生效。2016 年 9 月 6 日,我国正 式成为《名古屋议定书》)的缔约方。

2016年4月,生态环境部对外合作 与交流中心和联合国开发计划署 (UNDP)共同开发的"建立和实施遗传 资源及其相关传统知识获取与惠益分享的 国家框架项目"(以下简称"GEF-ABS 项目")在GEF的批准下正式开始实 施,旨在根据公约和议定书的要求建立和 实施中国遗传资源和相关传统知识获取与 惠益分享(ABS)国家框架。GEF-ABS 项目为期五年,主要任务包括:建立 ABS国内监管制度框架,开展能力建设 和意识提升,及ABS示范试点。

自实施以来,GEF-ABS项目支持开 展了一系列研究,包括ABS立法实施前 景及对策研究、ABS程序研究、ABS协 议研究、遗传资源相关传统知识管理制度 研究、惠益分享财税机制研究等,为国家 制定ABS监管制度框架提供了有力支 撑。同时,GEF-ABS项目在湖南、广西 和云南三省建立试点,尝试为试点省区建 立监管获取与惠益分享的制度安排和程序 法律基础。按照项目进度安排,现拟在前 期成果基础上进行总结和提炼,在更大范 围内向生物遗传资源获取与惠益分享的各 利益相关方推广ABS概念和经验,加强 提供者、使用者和各级管理部门能力建设 和意识提升。

**II.** Tasks and Objectives

二. 任务目标

<ul> <li>The project seeks to develop training and promotional materials that meet the needs of research institutes, and organize training and promotion activities to facilitate staff from these research institutes involved to:</li> <li>Understand the status quo and development trends of ABS-related international law;</li> <li>Understand the currently effective ABS-related laws, regulations and policies in China;</li> <li>Understand the meaning and form of mutually agreed terms;</li> <li>Understand ABS control measures in typical countries and regions;</li> <li>Raise the awareness of the protection of biogenetic resources</li> </ul>	<ul> <li>通过开发符合科研教育单位需求的培训和宣传材料,并组织开展培训与宣传活动,使参与培训的科研教育单位人员:</li> <li>▶ 了解 ABS 相关国际法现状与发展 趋势:</li> <li>▶ 了解国内 ABS 相关法律法规和政策;</li> <li>▶ 了解共同商定条件的内涵与形式;</li> <li>▶ 了解域外典型国家和地区 ABS 管制措施;</li> <li>▶ 提高生物遗传资源保护意识。</li> </ul>
III. Main Contents	三. 主要任务内容
<ol> <li>Develop training and promotional materials based on the results in the previous stage of the GEF-ABS project in combination with the cases in the results. The development of and preparation for the materials should meet the needs of research institutes for the ABS of biogenetic resources, and focus at least on the following five topics:         <ul> <li>ABS-related international conventions and the progress of China's ABS performance;</li> <li>ABS legislative progress of the country and pilot provinces (regions);</li> <li>China's current ABS-related management measures;</li> <li>Legislative and management measures in typical countries and regions, with one example from Africa, Latin America and Southeast Asia respectively;</li> <li>Manuals of benefit-sharing agreements. The output should primarily include the following forms and contents:</li></ul></li></ol>	<ol> <li>基于 GEF-ABS 项目前期相关成 果,结合成果中案例,开发制作 培训和宣传材料。材料开发编制 应聚焦科研教育单位的生物遗传 资源获取与惠益分享需求,至少 围绕以下五个主题展开:</li> <li>ABS 相关国际公约及中国履约 进程;</li> <li>ABS 国家及试点省(区)立法 进展;</li> <li>国内现行 ABS 相关管理措施;</li> <li>域外典型国家和地区立法与管 理措施,非洲、拉美、东南亚 各1个;</li> <li>惠益分享协议指导手册。 产出应主要包括以下形式和内容:</li> <li>1) 培训教案:包括课程目标、课 程大纲设计及说明、教学方法 说明、活动设计、课程材料准 备、教学建议等内容;</li> <li>2) 培训课件:根据上述内容与课 程大纲,设计制作内容详尽、 图文并茂、案例生动的系列课 件不少于 5 份,每项内容不少 于1个课时(60min);</li> <li>3) ABS 能力建设与意识提升调查 表:参考 UNDP-ABS 能力计分</li> </ol>
<ul> <li>UNDP-ABS Capacity Scorecard.</li> <li>d) Promotional materials: The brochure should refine the above contents, and offer detailed and logical information for relevant research institutes</li> </ul>	<ul> <li>未,设计针对科研院所的 ABS</li> <li>能力建设与意识提升调查表。</li> <li>4) <b>宣传材料</b>:精炼上述内容,设</li> </ul>
Togical information for relevant research institutes	

to read and study independently.	计制作内容翔实、逻辑清晰的
2. Five training and promotion activities should be	宣传手册,供相关科研院所在
organized: The training and promotion activities will	其单位分发学习。
be organized specifically for research institutes with these training and promotional materials. The	2. 组织开展 5 次培训和宣传活动:
requirements are as below:	
a) Five trainings:	利用培训和宣传材料,组织开展
• Two training sessions for Beijing-based research	针对科研院所的培训和宣传活
and development institutes dedicated to biogenetic	动,具体要求如下:
resources. The training targets should include	1) 5次培训活动分别:
management staff, active researchers, teaching	• 面向驻京生物遗传资源相关研
faculty and students of research and education	发机构的培训 2 次,培训对象
institutes. Each training should involve ten entities.	
• One training session for research and development institutes dedicated to biogenetic resources in each	应包括科研教育单位的管理人
pilot province (region), namely Yunnan, Hunan	员、一线研究和教育人员、学
and Guangxi. The training targets should include	生等,每次参加单位不少于
management staff, active researchers, teaching	10家。
faculty and students of research and education	• 面向驻云南、湖南、广西三个
institutes in these provinces (regions). Each	试点省(区)的生物遗传资源
training should involve ten entities.	相关研发机构的培训各1次,
b) The questionnaire for ABS capacity building and	
awareness raising should be filled out before and after the training, and a comparative analysis	培训对象包括试点省(区)科
should be included in the final report;	研教育单位的管理人员、一线
c) Each training should have more than 30	研究和教育人员、学生等,每
participants, and distribute no less than 30	次参加单位不少于10家。
questionnaires for ABS capacity building and	2) 培训前与培训后应填写 ABS 能
awareness raising;	力建设与意识提升调查表,
d) 4) More than 200 copies of promotional materials	
should be distributed each time.	并对比分析后纳入结题报
	告;
	3) 每次培训应不少于 30 人,发
	放 ABS 能力建设与意识提升调
	查表不少于 30 份;
	<ul><li>4) 每次发放宣传材料不少于 200</li></ul>
	份。
	vi •

四.	活动、产出及时间表 (注	:所有产出和报告的语言均为中文,	产出一和产出四需提交英文概要)
<b>—</b> ·			

活动	产出	地点	预计启动时间	产出完成截止日 期	预算说明
<b>活动 1</b> :编制并提交任务总 体实施方案	<b>产出 1</b> :任务总体实施方案	不限	合同签署日	2020/6/30	培训材料开发设计
<b>活动 2</b> ∶开发和制作培训、 宣传材料	<ul> <li>产出 2:</li> <li>- 培训教案</li> <li>- 培训课件</li> <li>- ABS 意识调查表</li> <li>- 宣传材料</li> </ul>	不限	2020/7/1	2020/8/31	
<b>活动 3:</b> 组织开展 5 次培训 和宣传活动	产出 3: 面向驻京生物遗传资源相关研 发机构的培训 2 次	北京	2020/9/1	2020/12/31	<ul> <li>前往培训地点的 差旅费</li> <li>外聘专家差旅及 讲课费</li> </ul>
	产出 3: 面向驻三个试点省区的生物遗 传资源相关研发机构的培训各 1 次	长沙 南宁 昆明	2020/9/1	2020/12/31	- 会议场地费 - 培训材料印制费 用
活动 4: 根据培训效果和 ABS 意识调查,修订完善培 训和宣传材料、编制结题报 告	<ul><li>产出 4: :</li><li>- 培训结题报告</li><li>- 培训材料终稿</li></ul>	不限	2021/1/1	2021/3/31	

Activity	Output	Location	Expected Starting Time	Output Deadline	Budget Description
Activity 1: Prepare and submit the master implementation plan of the task	<b>Output 1</b> : Master implementation plan of the task	Unspecified	Date of contract signing	2020/6/30	Development and design of training materials
Activity 2: Development and production of training and promotional materials	Output 2: - Training instruction - Training PowerPoint - Questionnaire for ABS awareness - Promotional materials	Unspecified	2020/7/1	2020/8/31	
Activity 3: Organize five training and promotion activities	<b>Output 3</b> : Two training sessions for Beijing-based research and development institutes dedicated to biogenetic resources	Beijing	2020/9/1	2020/12/31	<ul> <li>Travel expenses to training locations</li> <li>Travel and lecture fees for external experts</li> <li>Venue costs</li> </ul>
	<b>Output 3</b> : One training session for research and development institutes dedicated to biogenetic resources in each pilot province/region.	Changsha Nanning Kunming	2020/9/1	2020/12/31	<ul> <li>Printing expenses of training materials</li> </ul>
Activity 4: Revise and improve training and promotional materials and prepare the final report based on the training results and ABS awareness survey	Output 4: - Final training report - Final training materials	Unspecified	2021/1/1	2021/3/31	

IV. Activities, Outputs and Timetable (Note: All the training sessions, training materials, and reports must be delivered in Chinese. English overview of Output 1 and Output 4 are required.)