



TERMS OF REFERENCE

NATIONAL INDIVIDUAL CONSULTANT – CONSULTANT – (TEAM LEADER) REDD+ STRATEGY DEVELOPMENT AND INVESTMENT PLAN

Job ID/Title:	Consultant – (Team Leader) REDD+ Strategy development and Investment Plan
Scope of advertisement:	Nationally advertised
Category (eligible applicants):	External ¹
Brand:	UNDP
Practice Area:	Climate Change
Application Deadline:	Friday, 29 May 2020 by 11.59 P.M (GMT+3.00)
Type of Contract:	National Individual Contract
Reference:	KEN/IC/2020/023 –Consultant – (Team Leader) REDD+ Strategy development and Investment Plan
Duty Station:	Nairobi, Kenya
Languages Required:	English
Expected Duration of Assignment:	70 working days within a Period of 10 Months

1.0 Specific Objective

The team leader to provide technical, policy and leadership skills compile, write and deliver a) Issues and Options Report; and b) REDD+ Strategy and Investment Plan in Kenya (see Appendix A). This consultant will act as Team Leader for a team of consultants that will support the development of this work. The team leader will be the primary contact and lead pen throughout the drafting the REDD+ Strategy. S/he will coordinate the overall analytic effort and be responsible for organising inputs and reviewing/editing the specific reports from team members.

¹ External defines as applicants external to UNDP and to the UN Common system, including UNDP non-staff.

2.0 Tasks

The Team leader will carry out the following tasks in three phases:

Phase 1 - Issues and Options Report (15 days)

- Review and assess existing technical reports and those developed by the individual consultants, literature from relevant studies, identify gaps to be filled and key areas that will be required. identify where improvements are required to ensure that key elements are captured for the development of an issues and options report. Work in tandem with the consultants' team, key institutions and partners to draw out and synthesize information for the development of **Issues** and **Options** (IO report).
- Propose a long list of options for implementing REDD+ actions through policies and measures (PAMs) that address specific direct and indirect drivers and barriers. The report should include
- A comprehensive description of the drivers of deforestation and forest degradation and barriers to sustainable forest management and enhancement of forest carbon stocks,
- Data and information on best practices and lessons learnt from past and current programmes and projects (including REDD+ Projects) to address the main direct and underlying drivers and barriers and/or foster enabling conditions for successful REDD+ implementation;
- Relevant sectoral and cross-sectoral directives, policies, laws and regulations related to REDD+ implementation;
- Scope of REDD+ (in terms of activities to be undertaken); various approaches, such as a jurisdictional approach, including information about a nested approach;
- The institutional arrangements needed to undertake these approaches;
- Assessment of the technical feasibility, risks and sustainability of proposed interventions or options identified in the IO report;
- Assessment/ screening (using a SWOT methodology or something similar) to confirm key stakeholders and identify and assess their critical capacity needs;
- Describe the roles and activities of national and county level stakeholder interests in REDD+ with respect to the implementation of key strategic objectives and options for REDD+ implementation including national and county governments, civil society, private sector, indigenous people, Forest dependent and local communities, international organizations, donors and other relevant stakeholder groups.

In developing the IO report, existing capacity levels, to identify both potential opportunities and challenges associated with different approaches to REDD+ implementation, including recommendations on enhancing participation and contribution of indigenous peoples and local communities in implementation of REDD+ should be considered.

Phase 2 – National Strategy (15 days)

The next phase entails writing of the Kenya National REDD+ Strategy building on all the work carried out by the other consultants. A validation workshop will be organised involving a wide

range of stakeholders to consider the IO report and draw out four to five REDD+ priorities for the Strategy and Investment Plan, with inputs from work on SESA, drivers of deforestation and policy and legal studies.

The Strategy should include:

- The current role of national and county governments and institutions in the development and implementation of REDD+ and analysis of the potential to strengthen these roles.
- Summary of roles and identification of opportunities to increase inter-MDA (ministries, departments, and agencies) collaboration on climate change and other programming areas to strengthen REDD+ readiness implementation;
- Summary of planned donor program/activities and identify opportunities for integration, synergy, how such initiatives can be upscaled in a coordinated manner in order to contribute to the objective of REDD+ in Kenya and identify what coordination and/or collaboration would be useful. e.g., the Greening Kenya Initiative;
- Contain chapters on safeguards, the institutional approach, how gender and benefit sharing will be integrated, as well as chapters on safeguards, the national forest monitoring system and the forest reference level;
- Contribute, support and participate in the preparation of a Climate Change and REDD+ meeting with high level Government officials and stakeholders that endorses the policy direction and vision outlined in the REDD+ Strategy.

Phase 3 – Investment Plan (40 days)

- Develop first draft of Investment Plan
- Finalise Investment Plan
- Policy Brief

Important Note: Ensure data will be required on the summary of consultations held including dates and list of participants disaggregated by gender.

The Investment Plan will include the approaches that Kenya will take to deliver the proposed activities, outputs and outcomes for relevant packages as well as identify options to participate in market and non-market schemes that pay for Emission Reductions.

REDD+ Resource Mobilization Framework (RMF)

The NRIP should be backed by an ambitious while robust resources mobilization framework (RMF) enabling its full operationalization. The RMF should be developed in direct synergy with the NRIP, which it shall feed. It is expected to be an important tool, with the NRAP/NRIP, in supporting the policy dialogue within the government as well as between the government and the international community. It will be attached as an appendix to the NRIP or as an associated document.

This RMF should explore (i) financing opportunities from a wide array of sources (public and private finance, domestic and international) and (ii) financing instruments (grants, loans, equity, guarantees) most suited to each type of intervention. As relevant, also explore and propose options to promote financing instruments are available and accessible to all members of the targeted stakeholder group (including those more marginalized, such as women, youth and ethnic minorities), whether it be local entrepreneurs including Small Micro Enterprises (SME).

It should build on and contribute to the various existing initiatives analysing the financing needs of and aiming at mobilizing resources for the Climate Change and Green Growth Strategies.

Based on the policies and measures identified in the NRAP as well as objectives and activities detailed in the NRIP, it is expected that the RMF will include at least:

- An identification and quantification of the main existing relevant financial flows:
 - From the domestic budget
 - From ODA, doing a mapping of the main relevant interventions
 - From the private sector
- A financial and economic analysis supporting the prioritization of the most cost-effective policies and measures.
- An analysis of private (including those faced by marginalized groups, such as women, youth and ethnic minorities) and public barriers to investment.
- The definition of the detailed financial needs and expected gaps for the overall NRIP as well as of each programme/project, considering:
 - The different types of costs at the national and subnational level:
 - Running costs of the REDD+ architecture (National Forest Monitoring System (NFMS), Safeguard Information System (SIS), etc) and other additional running costs generated by the implementation of the NRIP (e.g. M&E data collection, capacity building, etc.),
 - Opportunity costs, whenever relevant for decision making and prioritization,
 - Implementation and transaction costs,
 - The identification and quantification of potential revenues from the programme (REDD+ potential)
- An analysis of the best suited financial instruments (grants, loans, equity, guarantees) to implement the various components of the NRIP and meet the financing needs required for that, as well as their legal and institutional feasibility.
- An identification of the main opportunities for financing the gaps identified:
 - From the domestic budget
 - From ODA, clearly mapping donor interests, priorities, funding windows
 - From the private sector

The definition of clear strategies, tools and actions in order to mobilize the various sources of funding identified.

3.0 Deliverables and payment schedule

Deliverables	Timeline	Payment Terms per deliverable (percentage based on the contract amount)
Phase 1 -Draft Issues and Options Report	15 days upon signing the contract	10%
Phase 2 – Draft National Strategy	15 days after Issues and Options Report is approved	20%
Phase 3-Draft Investment plan and recommendations to inform final policy brief	20days after the National Strategy is approved	30%
Submission of final deliverables 1. Final Issues and Options report 2. Final National strategy 3. Final Investment plan 4. Final Policy brief	20days after review process completed	40%

4.0 Duration

The Consultant is expected to complete the assignment in seventy (70) working days within a period of ten months. The Consultant will be paid, upon timely submission and acceptance of stipulated deliverables in 3.0.

5.0. Qualifications

Specifically, consultant with have the following profiles:

- Advanced degree in climate change, forestry, environment and land management, natural resources management or development-related subjects based on the technical requirements of the assignment.

- At least 10 years of experience in successfully managing assessments of similar scope and scale related to natural resource management, Environment/Climate Change.
- In-depth knowledge and understanding of climate change, REDD+ process, especially the mitigation policies, technologies and climate finance as well as analytical skills and experience in preparing technical and/or policy-relevant reports and papers.
- Traceable records of similar consultancies.
- International experience, and knowledge of the REDD+ mechanism, and preferable evidence of similar work in other countries in Africa.
- Work experience with the United Nations system is an added advantage.
- Good interpersonal skills.
- Proven experience in drafting reports, strategies and actions plans will be required by submitting sample of similar work.

7.Evaluation

The consultant's offer will be evaluated based on the following criteria:

Combined Scoring method – where the technical qualifications will be weighted a maximum of 70% and combined with the price offer which will be weighted a max of 30%. Only candidates obtaining a minimum of 70 points (100 maximum points) would be considered for the financial criteria.

The key criteria for rating the qualifications are as follows

- Understanding of the scope of work (SOW); comprehensive of the methodology /approach; and organization and completeness of the proposal (10 points)
- Advanced degree in climate change, forestry, environment and land management, natural resources management or development-related subjects based on the technical requirements of the assignment (10 points).
- At least 10 years of experience in successfully managing assessments of similar scope and scale including policy, legislative regimes and institutional analytical work in relation to natural resource management, forestry, environment/Climate Change; (10points)
- In-depth knowledge and understanding of climate change, REDD+ process, especially the mitigation policies, technologies and climate finance; track record of analytical experience in use of political, economic, social, technological, environmental and legal (PESTEL) approach in similar technical area; (20 points)
- Familiarity and understanding of UNFCCC and climate change finance requirements particularly with regards to national REDD+ strategy/plan and climate and/or agriculture, forestry and land use nexus; track record of similar work in other countries in Africa; proven experience in drafting technical reports, strategies, policy briefs/papers, investment plans , resource mobilization plans or frameworks.(20 points)

Financial Evaluation (30% of total evaluation; maximum 30 points).

Submission of the Financial Proposal

Applicants are instructed to submit their all-inclusive fee proposal in KSH using the financial proposal template provided (Offerors letter to UNDP)

The financial proposal should be all-inclusive and include a breakdown. The term ‘all-inclusive’ implies that all costs (professional fees, travel related expenses, communications, utilities, consumables, insurance, etc.) that could possibly be incurred by the Contractor are already factored into the financial proposal.

Financial evaluation (maximum 30 points):

The following formula will be used to evaluate financial proposal:

$p = y (\mu/z)$, where

p = points for the financial proposal being evaluated

y = maximum number of points for the financial proposal

μ = price of the lowest priced proposal

z = price of the proposal being evaluated

Duration of the Work

70 working days within a period of 10 Months

Application process.

Interested and qualified candidates should submit their applications which should include the following:

1. Detailed Curriculum Vitae
2. Proposal for implementing the assignment – template provided
3. Offerors letter to UNDP – template provided

Note: The successful applicant will be required to complete a UNDP Personal History Form (P11) form prior to contracting.

Please quote **“KEN/IC/2020/023 – Consultant – (Team Leader) REDD+ Strategy development and Investment Plan** on the subject line.

Firms are not eligible for this consultancy assignment. Open to **national** individual consultants only.

Incomplete applications will be disqualified automatically.

All applications must be submitted through the UNDP eTendering portal.

- If already registered, please go to <https://etendering.partneragencies.org> and sign in using your username and password, and search for the **event**:
Business Unit: **UNDP1**
Event ID:
- If you do not remember your password, please use the “Forgotten password” link. Do not create a new profile.
- If you have never registered in the system before, please complete a one-time registration process first by visiting <https://etendering.partneragencies.org> and using the below generic credentials:

Username: **event.guest**

Password: **why2change**

Detailed user guide on how to register in the system and submit the proposal can be found at:

<https://www.undp.org/content/undp/en/home/operations/procurement/business/procurement-notices/resources/>

Email submission of applications will not be accepted. Queries about the position can be directed to

undp.kenya.procurement@undp.org
