TERMS OF REFERENCE

NATIONAL INDIVIDUAL CONSULTANT – PRIVATE SECTOR ENGAGEMENT IN REDD+
IMPLEMENTATION

<table>
<thead>
<tr>
<th>Job ID/Title:</th>
<th>National Individual Consultant – Private Sector Engagement in REDD+ Implementation</th>
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<tbody>
<tr>
<td>Scope of advertisement:</td>
<td>Nationally advertised</td>
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<tr>
<td>Category (eligible applicants):</td>
<td>External¹</td>
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<tr>
<td>Brand:</td>
<td>UNDP</td>
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<tr>
<td>Practice Area:</td>
<td>Climate Change</td>
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<tr>
<td>Application Deadline:</td>
<td>Friday, 29 May 2020 by 11.59 P.M (GMT+3.00)</td>
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<tr>
<td>Type of Contract:</td>
<td>National Individual Contract</td>
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<tr>
<td>Reference:</td>
<td>KEN/IC/2020/026–Consultant – Private Sector Engagement in REDD+ Implementation</td>
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<tr>
<td>Duty Station:</td>
<td>Nairobi, Kenya</td>
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<tr>
<td>Languages Required:</td>
<td>English</td>
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<tr>
<td>Expected Duration of Assignment:</td>
<td>30 working days within a Period of Six Months</td>
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1. Specific Objectives

1. Present opportunities for engaging private sector to contribute to achieving REDD+ results, that is, by reducing the impact on forests through better regulation/legislation or economic incentives and/or possibly through private sector contribution to REDD+ finance. This entails developing an understanding of the nature, feasibility and magnitude of potential private sector REDD+ investment opportunities at national and county level in Kenya.

2. State and describe key private sector players in sectors particularly whose activities impact on deforestation and those that could be relevant in identifying solution. Sectors such as agriculture, mining, forestry, trade, energy, banking and finance amongst others,

¹ External defines as applicants external to UNDP and to the UN Common system, including UNDP non-staff.
their type of and potential interventions in the REDD+ value chain, their interests and motivations.

3. Describe and present key on-going REDD+ (related) initiatives that are and can be supported by the private sector including the form and nature of their support (e.g. corporate social responsibility, on the ground investments, clean technology).

3. Tasks
The consultant will carry out the following specific tasks, among others:

1. Conduct mapping and assessment of private actors including small scale farmers and forest-dependent communities, their respective rights and interest and the possible entry points to the REDD+ value chain while taking into consideration household incomes and small-scale farmers in relation to REDD+ policies and measures.

2. Assessment of incentives mechanism including fiscal incentives, tools and systems to enhance private sector participation in REDD+. This should include identifying innovative systems, models and initiatives for private sector engagement.

3. Assessment of impact and benefits analysis of the proposed policies actions and measures to the prevailing private sector business models including the duty of business entities to respect human rights.

4. Conduct agriculture value chain analysis of various large and small-scale commodities and products with the potential to achieve REDD+.

5. Assessment of economic and risks analysis of the effect of REDD+ to the private sector.

6. Assess the ongoing support from the private sector in Kenya towards the national strategy for achieving and maintaining over 10% forest cover by 2022.

7. Facilitate dialogue with the private sector under the auspices of relevant stakeholder engagement fora on proposed policies and measures.

4. Expected outputs

• Prepare a summary identifying, classifying and prioritising potential national and county level and private sector REDD+ investment opportunities, describing critical constraints to these investments, and recommending possible next steps.

• Produce a summary of opportunities and constraints of the Kenyan private sector support for investment for REDD+ activities.

• Provide a listing of on-going private sector contributions to REDD+ as well as an estimation of potential private sector contribution to REDD+ related programmes or initiatives, and if possible, the delivery mechanisms for the amounts provided.

• Recommend strategies that should be developed or promoted to enhance private sector engagement in REDD+ including those that may be relevant to:
  • Incentives to the private sector in reducing emissions from deforestation and forest degradation;

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2 See generally, Guidelines on Business and Human Rights
• Development of special instruments to facilitate public-private-partnerships such as risk sharing instruments, enterprise development and innovative facilities;
• Identification of priority sectors/areas that the private sector can engage in (e.g. REDD+ smart ventures, technologies and opportunities);
• Identification of potential role(s) for companies and corporations in REDD+ implementation;
• How an enabling environment can be created to enhance private sector engagement in REDD+ with considerations of the existing privileges and incentives for investments including from regulatory, policy and institutional points of view;
• How to make a strong business and economic case to the private sector to invest in REDD+;
• How to initiate or build on existing platforms to promote dialogue and partnerships around REDD+ investment opportunities;
• How private sector can practice and/or facilitate observation of REDD+ safeguards particularly in the areas of managing risks, ensuring full and effective participation of the private sector and respect for the knowledge and rights of communities; and
• A roadmap for engaging private sector including developing an agenda for a follow-on REDD+ private sector engagement that brings together a cross-sectoral group of key experts and business leaders to build momentum, discuss developing systems and approaches, and clarify next steps for REDD+ private sector investment in Kenya. This will include combining knowledge on sustainable forest management; the private sector promotion of sustainable value chains, increasing community-level benefits, lowering risk and related social, legal and institutional issues.

5. Deliverables

1. Present an inception report including a work plan for this assignment (incl. methodology, time frame, key stakeholders, indicative outline of each deliverable, and delivery schedule.

2. Deliver a draft report to stakeholders on the findings, conclusions, and preliminary recommendations of the analytical work at a workshop including outputs as stipulate in section 4.

3. Submit final report

4. Prepare a Policy Brief on Private Sector Engagement and incentives.

   Important Note: Ensure that each report should be accompanied by a summary of consultations held including dates and list of participants disaggregated by gender.
6. Deliverables and Payment Schedule

<table>
<thead>
<tr>
<th>Deliverables</th>
<th>Timeline</th>
<th>Payment Terms per deliverable (percentage based on the contract amount)</th>
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</thead>
<tbody>
<tr>
<td>Inception report</td>
<td>5 days upon signing the contract</td>
<td>10%</td>
</tr>
<tr>
<td>Submission of first draft report and draft policy Brief on Private Sector Engagement and incentives</td>
<td>10 days after the inception report is approved</td>
<td>30%</td>
</tr>
<tr>
<td>Submission of final report/s and a Final Policy Brief on Private Sector Engagement and incentives</td>
<td>15 days after review process completed</td>
<td>60%</td>
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6. Duration

This assignment will be completed within a period of thirty (30) working days within six months.

7. Qualifications

- Advanced degree in a relevant social and natural science, economics, finance public or business administration or a development-related subject.
- Extensive experience in developing and strengthening small- and medium-size agricultural and forest product enterprises and producer groups, including community-level farm and forest enterprise.
- At least 10 years of experience in the private sector, carbon finance and markets, investment analysis and development of Public-Private-Partnerships (PPPs).
- Experience in natural resource management, environmental policies and/or forest-climate linkages is highly valuable.
- Proven track record of working with governmental, non-governmental institutions as well with private sector entities.
- Work experience with the United Nations system will be considered an advantage
- Proven experience in drafting private sector strategies, and action plans.

7. Evaluation

The consultant’s offer will be evaluated based on the following criteria:
Combined Scoring method – where the technical qualifications will be weighted a maximum of 70% and combined with the price offer which will be weighted a max of 30%. Only candidates obtaining a minimum of 70 points (100 maximum points) would be considered for the financial criteria.

The key criteria for rating the qualifications are as follows:

- Understanding of the scope of work (SOW); comprehensive of the methodology/approach; and organization and completeness of the proposal (15 points);
- Advanced degree in a relevant social and natural science, economics, finance, public or business administration or a development-related subject. (15 points);
- At least 10 years of experience in the private sector, carbon finance and markets, investment analysis and development of Public-Private-Partnerships (PPPs). (15 points);
- Extensive experience in developing and strengthening small- and medium-size agricultural, forest product enterprises and producer groups, including community-level farm and forest enterprise with linkage to natural resource management, environmental policies and/or forest-climate linkages is highly valuable. (30 points)
- Familiarity with fiscal incentives, tools and systems in, climate change and development policies and climate change finance, carbon markets and post-Paris climate finance schemes. Proven track record of working with governmental, non-governmental institutions private sector entities and proven track record in drafting private sector strategies, and action plans. (25 points)

Financial Evaluation (30% of total evaluation; maximum 30 points).

Submission of the Financial Proposal

Applicants are instructed to submit their all-inclusive fee proposal in KSH using the financial proposal template provided (Offerors letter to UNDP)

The financial proposal should be all-inclusive and include a breakdown. The term ‘all-inclusive” implies that all costs (professional fees, travel related expenses, communications, utilities, consumables, insurance, etc.) that could possibly be incurred by the Contractor are already factored into the financial proposal.

Financial evaluation (maximum 30 points):

The following formula will be used to evaluate financial proposal:

\[ p = \gamma \left( \frac{\mu}{z} \right), \]

where

- \( p \) = points for the financial proposal being evaluated
- \( \gamma \) = maximum number of points for the financial proposal
- \( \mu \) = price of the lowest priced proposal
- \( z \) = price of the proposal being evaluated
Duration of the Work

40 working days within a period of 6 Months

Application process.

Interested and qualified candidates should submit their applications which should include the following:

1. Detailed Curriculum Vitae
2. Proposal for implementing the assignment – template provided
3. Offerors letter to UNDP – template provided

Note: The successful applicant will be required to complete a UNDP Personal History Form (P11) form prior to contracting.

Firms are not eligible for this consultancy assignment. Open to national individual consultants only.

Incomplete applications will be disqualified automatically.

All applications must be submitted through the UNDP eTendering portal.

- If already registered, please go to https://etendering.partneragencies.org and sign in using your username and password, and search for the event:
  Business Unit: UNDP1
  Event ID:

- If you do not remember your password, please use the “Forgotten password” link. Do not create a new profile.

- If you have never registered in the system before, please complete a one-time registration process first by visiting https://etendering.partneragencies.org and using the below generic credentials:
  Username: event.guest
  Password: why2change

Detailed user guide on how to register in the system and submit the proposal can be found at:

Email submission of applications will not be accepted. Queries about the position can be directed to
undp.kenya.procurement@undp.org