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INDIVIDUAL CONSULTANT PROCUREMENT NOTICE

Date: 19 June 2020
Ref: UNDP-IC-2020-209

Country: Pakistan

Consultant: National Lead Consultant—Biodiversity Conservation and Protected Areas Management Specialist

Description of the assignment: Under the overall guidance and coordination of the PPG Team Leader and in close collaboration with other PPG Team members, the Lead National Consultant will participate in and support the PPG process, research, field mission, project development and the preparation of the Pro Doc/GEF CEO Endorsement Request package, providing country-specific inputs and expertise relevant to biodiversity conservation, protected area management and finance, and related threats and opportunities.

Project name: (00121552) Strengthening Community-managed Protected Areas for Conserving Biodiversity and Improving Local Livelihoods in Pakistan

Period of assignment/services (if applicable): July 2020 – December 2020

Please submit your Technical and Financial proposals to the following email address:

bids.pk@undp.org; no later than **3rd July 2020 at 12:30 PM Pakistan Standard Time**

Important note for email submissions: Kindly write the following on Email subject line “UNDP-IC-2020-209 - Biodiversity Conservation and Protected Areas Management Specialist

Further, our system will not accept emails those are more than 30 MB size. If required, segregate your emails to accommodate email data restrictions. For segregated emails please use sequence of emails like Email 1, Email 2 in the subject line. For attachment purposes please only use MS Word, Excel, Power Point or PDF formats.

If you request additional information, please write to pakistan.procurement.info@undp.org. The team will provide necessary information within due date. However, any delay in providing such information will not be considered a reason for extending the submission date of your proposal. All/any query regarding the submission of the proposal may be sent prior to the deadline at the e-mail/address mentioned above.

Annex- VI & V must be password protected files

Terms of Reference

National Consultant Biodiversity Conservation and Protected Areas Management Specialist

Type of Contract: Individual Contract (IC)

Duration: 12 weeks spread over from (1st July 2020 – 31st December 2020)

Location: Home based

Application Deadline: 3rd July 2020

1. Project Background:

The proposed project aims at strengthening CMPAs in Pakistan through improved governance and integrated natural resources management to promote co-management of protected areas and sustain local livelihoods in the mountain landscape of the country. It is expected that with the successful implementation of the project around 24 CMPAs covering an area of about 700,000 hectares of terrestrial ecosystems will be brought under the co-management (communities and government) regime. The project aims to address policy, planning, financial, and knowledge barriers by effectively promoting community-based conservation and sustainable use of natural resources. More importantly, the project will contribute to conservation of biodiversity of global significance, including endangered, threatened and endemic plant and animal species. Besides, making investments for improving local governance, building capacities, introducing alternate livelihood opportunities (e.g. wildlife-based tourism), and promoting INRM will ensure biodiversity conservation, environmental sustainability, political stability, peace and harmony in the region.

2. Project Goal and Objectives:

The objective of the GEF PPG is to develop the project concept into a full project. As described in the project concept (PIF), this project aims to strengthening Community-managed Protected Areas (CMPAs) in Pakistan through improved governance and Integrated Natural Resources Management (INRM) to promote co-management of protected areas and sustain local livelihoods in the mountain landscape of the country. This will be achieved through four inter-related project components listed below:

- a. **Component 1:** Enabling policy and governance framework and institutional capacity on CMPAs and INRM
- b. **Component 2:** Promote effective management of PAs and INRM in the three target landscapes in GB, KP and Punjab
- c. **Component 3:** Improve livelihood and establish community conservation funds for financial sustainability
- d. **Component 4:** Knowledge management, awareness raising, gender mainstreaming, and monitoring & evaluation

The following information is to be consulted as background for the GEF PPG phase:

- a. GEF Council approved PIF of the project
- b. SESP pre-screening (of PIF)
- c. Comments from GEF Secretariat, Council, STAP
- d. [Annotated UNDP-GEF Project Document Template](#) and associated guidance included therein
- e. [UNDP policies and procedures](#)
- f. UNDP-GEF guidance notes on GEF project development
- g. GEF policies, in particular GEF policies on: Project Cancellation; Fee Policy for GEF Partner Agencies; Project and Program Cycle; and Minimum Fiduciary Standards for GEF Partner Agency (in particular sections dealing with the required separation of oversight and execution support services). All GEF policies are available [here](#).

The final outputs of the GEF PPG are:

- a. UNDP-GEF Project Document (Pro Doc).
- b. Mandatory annexes to the Pro Doc listed in the [Annotated UNDP-GEF Project Document Template](#).
- c. [GEF CEO Endorsement Request](#) and all mandatory annexes; and
- d. Validation Workshop report (required for projects with a high SESP categorization; as appropriate for all others).

3. Consultant's Scope of Work:

The following lists key responsibilities and deliverables that will be expected from the Lead National Consultant.

1. Preparatory Technical Studies and Reviews (PPG IP Component A): Prepare inputs and support the required analyses/studies, as agreed with the PPG Team Leader, including:
 2. Evaluate previous interventions relevant to Project Component 1 (policy, governance framework and institutional capacity strengthened for effective management of community PAs and INRM and to sustainably manage mountain landscapes that are of key importance for biodiversity conservation) and Project Component 2 (promote effective management of PAs and INRM in three targeted landscapes of GB, KP, and Punjab) that to promote co-management of CMPAs; extract lessons learned and good practices in community-based biodiversity conservation.
 3. Update the information presented in the PIF regarding: i) status of critical wildlife species and their habitat ii) update statistics/numbers on PAs, iii) develop model Terms of Partnerships for co-management of CMPAs;
 4. Provide an overview of existing CMPAs and identify concrete interventions for strengthening CMPAs based local socio-economic and natural settings in the targeted landscapes;

5. Conduct a desktop study to develop an overview of biodiversity distribution and status, as well as actions that are being undertaken to monitor/protect key endangered and endemic wildlife species.
6. Obtain baseline data on management effectiveness in the targeted community protected areas (PAs), capacity scores for PA management; suitable (proxy) indicator species and threat reduction; and baseline on community PAs system financing levels and gaps.
7. Identify other relevant existing and planned initiatives by the government, donors, and CSOs, in order to update the baseline assessment as presented in the PIF to help determine the incrementality of the GEF investment;
8. Determine entry points that will yield the highest leverage for strengthening management of community PAs in targeted geographies in GB, KP and Punjab; negotiate partnership agreements to align activities with ongoing government initiatives Ten Billion Tree Tsunami Programme and build synergies, ensuring that these are reflected in the project strategy.
9. In close cooperation with the PPG Stakeholder Engagement and Gender Mainstreaming Expert, identify, actively engage and sensitize key government authorities (e.g. at the local and provincial levels), and other relevant stakeholders (e.g. local communities, NGOs, research institutes, private sector actors, etc.) facilitating their full participation and agreement in the development of project strategies and activities.
10. Provide advice and support to the PPG Team Local Governance, Participatory Conservation and Planning Specialist in assessing policy and regulatory frameworks and institutional/technical/operational/individual capacity and capacity development needs for key stakeholders on biodiversity conservation and sustainable use of natural resources;
11. Assist and provide full support to PPG Team Leader to reassess the extent to which insufficient capacity is considered as primary barrier to biodiversity conservation; assess the extent to which valuation of biodiversity and improved legal frameworks will be sufficient to provide social and economic incentives to local communities, as well as to private sector players and natural resource users to change their practices; develop feasible capacity strengthening strategies to facilitate project implementation success as well as longer-term sustainability of project-supported interventions.
12. Based on above-mentioned assessments, finalize the proposed project sites and propose technically feasible interventions in order to achieve intended outcomes 2.1 and 3.1 of the proposed GEF project.
13. Conduct any further research and data collection as required to confirm or define suitable outcome and output-level indicators and their baseline values and end-of-project targets.
14. Contributions to the Formulation of the ProDoc, CEO Endorsement Request and Mandatory and Project Specific Annexes (Component B):
 15. Provide relevant quality text sections for the ProDoc/ GEF CEO Endorsement Request package on the aspects mentioned above.
 16. Review deliverables from other PPG team members as appropriate for alignment, integration and mutual quality assurance
 17. Provide support to PPG Team Leader and UNDP CO in securing allco-financing letters for the project
18. Validation Workshop (Component C):

- a. Lead organization of and participate in, and contribute technically and effectively to the validation workshop.
- b. Support necessary revisions that arise during the workshop, as appropriate.
- c. Lead compilation of Validation Workshop Report.

4. Deliverables:

The key products to be delivered are as follows:

Sr.	Deliverables/ Outputs	Payment Percentage	Review and Approvals Required
1.	Submission of Report summarizing technical studies as described above.	15%	Assistant Resident Representative
2.	Landscape profile for demonstration sites	20%	
3.	Capacity Development Scorecards	20%	
4.	Management Effectiveness Tracking Tool (METT) prepared for the selected PAs	20%	
5.	Inputs provided into the Final Project Document and GEF CEO Endorsement Request, as agreed with PPG Team Leader and approved by UNDP CO.	15%	

5. Responsibility for Managing the People and the Work Plan

The principal responsibility for managing the consultant will lie with the Head of Environment & Climate Change Unit of UNDP Pakistan. ***“SSAFE training is mandatory for National Consultant once onboard; UNDP will bear cost of the training and schedule will be shared with consultant once contract awarded.***

6. Requirements for experience and qualifications:

UNDP is looking for an experienced international expert in GEF Project Development Specialist with the following qualifications.

7. Education and Experience:

- a. Advanced university degree in a relevant field, such as in biological science, wildlife biology, ecology, nature conservation, forestry, or environmental management;

- b. Minimum of 10 years of demonstrated relevant professional experience.
- c. Experience in designing and working with UNDP and/or GEF projects would be an added advantage.
- d. Demonstrated understanding of the GEF programming rationale and procedures, and demonstrated experience in formulation of development project proposals, using the logical framework and the results-based management approaches and previous experience with GEF funded project proposal will be an asset;
- e. Experience in carrying out METT and UNDP Capacity Scorecards;
- f. Excellent written and oral communication skills in English;
 - Knowledge of and working experience in community-based conservation or PA management or related initiatives highly desired.
 - Ability to work under pressure and stressful situations and manage heavy workloads

g. Responsibility for expenses and their reimbursement

- h. The National Consultant will be responsible for all personal administrative expenses associated with the assignment which includes all printing, stationary, telephone and electronic communications, and report copies included in this assignment.

i. DOCUMENTS TO BE INCLUDED WHEN SUBMITTING THE PROPOSALS.

Interested individual consultants must submit the following documents/information to demonstrate their qualifications:

- a. Proposal:
 - (i) Explaining why you are the most suitable for the work; and
 - (ii) Provide a brief methodology on how you will approach and conduct the work.
- b. Financial proposal (in a sealed envelope).
- c. Personal CV including past experience in similar projects and at least 3 references.
- d. Confirming Interest and Availability
- e. P-11 Form duly completed and signed.

j. FINANCIAL PROPOSAL

The financial proposal shall specify a total lump sum amount, and payment terms around specific and measurable (qualitative and quantitative) deliverables (i.e. whether payments fall in installments or upon completion of the entire contract). Payments are based upon output, i.e. upon delivery of the services specified in the TORs. In order to assist in the comparison of financial proposals, the financial proposal will include a breakdown of this lump sum amount (number of anticipated working days, administrative cost minus travel cost since travel will be processed by CO on actual basis).

k. Travel:

The travel will be arranged by UNDP CO as deemed appropriate therefore the consultant should not quote the travel cost in the financial proposal. The UNDP CO will bear the cost of the travel on actual basis.

I. EVALUATION

The award of the contract should be made to the individual consultant whose offer has been evaluated and determined as:

- a) responsive/compliant/acceptable; and
- b) Having received the highest score out of a pre-determined set of weighted technical and financial criteria specific to the solicitation.

* Technical Criteria weight; [70%]

* Financial Criteria weight; [30%]

Only candidates obtaining a minimum of 70% technical score would be considered for the Financial Evaluation.

Criteria	Weight	Max. Point
Technical Competencies	70	
Advanced university degree in a relevant field, such as in biological science, wildlife biology, ecology, nature conservation, forestry, or environmental management;	10	
Minimum of 10 years of demonstrated relevant professional experience	20	
Methodology: clearly defined and elaborated steps and work plan	30	
Experience in project development	10	
<u>Financial (Lower Offer/Offer*100)</u>		
<u>Total Score</u>	<i>Technical score 70 + 30 Financial</i>	

Weight per Technical Competence	
Weak: Below 70%	The individual consultant/contractor has demonstrated a WEAK capacity for the analyzed competence
Satisfactory : 70-75%	The individual consultant/contractor has demonstrated a SATISFACTORY capacity for the analyzed competence
Good: 76-85%	The individual consultant/contractor has demonstrated a GOOD capacity for the analyzed competence
Very Good: 86-95%	The individual consultant/contractor has demonstrated a VERY GOOD

	capacity for the analyzed competence
Outstanding: 96-100%	The individual consultant/contractor has demonstrated a OUTSATNDING capacity for the analyzed competence

ANNEX:

- ANNEX-I: TORs**
ANNEX-II: GENERAL CONDITIONS OF CONTRACT FOR THE SERVICES OF INDIVIDUAL CONTRACTORS
ANNEX-III: PROPOSAL SUBMISSION FORM
ANNEX-IV: CONFIRMING INTEREST AND AVAILABILITY
ANNEX- V: FINANCIAL PROPOSAL
Annex-VI: Statement of Health



**GENERAL CONDITIONS OF CONTRACT
FOR THE SERVICES OF INDIVIDUAL CONTRACTORS**

1. **LEGAL STATUS:** The Individual contractor shall have the legal status of an independent contractor vis-à-vis the United Nations Development Programme (UNDP), and shall not be regarded, for any purposes, as being either a “staff member” of UNDP, under the UN’ Staff Regulations and Rules, or an “official” of UNDP, for purposes of the Convention on the Privileges and Immunities of the United Nations, adopted by the General Assembly of the United Nations on 13 February 1946. Accordingly, nothing within or relating to the Contract shall establish the relationship of employer and employee, or of principal and agent, between UNDP and the Individual contractor. The officials, representatives, employees or subcontractors of UNDP and of the Individual contractor, if any, shall not be considered in any respect as being the employees or agents of the other, and UNDP and the Individual contractor shall be solely responsible for all claims arising out of or relating to its engagement of such persons or entities.

2. **STANDARDS OF CONDUCT:** In General: The Individual contractor shall neither seek nor accept instructions from any authority external to UNDP in connection with the performance of its obligations under the Contract. Should any authority external to UNDP seek to impose any instructions on the Contract regarding the Individual contractor’s performance under the Contract, the Individual contractor shall promptly notify UNDP and shall provide all reasonable assistance required by UNDP. The Individual contractor shall not take any action in respect of its performance of the Contract or otherwise related to its obligations under the Contract that may adversely affect the interests of UNDP, and the Individual contractor shall perform its obligations under the Contract with the fullest regard to the interests of UNDP. The Individual contractor warrants that it has not and shall not offer any direct or indirect benefit arising from or related to the performance of the Contract or the award thereof to any representative, official, employee or other agent of UNDP. The Individual contractor shall comply with all laws, ordinances, rules and regulations bearing upon the performance of its obligations under the Contract. In the performance of the Contract the Individual contractor shall comply with the standards of conduct set in the Secretary General’s Bulletin ST/SGB/2002/9 of 18 June 2002, entitled “Regulations Governing the Status, Basic Rights and Duties of Officials other than Secretariat Officials, and Expert on Mission”. The individual contractor must comply with all Security Directives issued by UNDP. Failure to comply with such security directives is grounds for termination of the Individual contractor for cause.

Prohibition of Sexual Exploitation and Abuse: In the performance of the Contract, the Individual contractor shall comply with the standards of conduct set forth in the Secretary-General's bulletin ST/SGB/2003/13 of 9 October 2003, concerning "Special measures for protection from sexual exploitation and sexual abuse". In particular, the Individual contractor shall not engage in any conduct that would constitute sexual exploitation or sexual abuse, as defined in that bulletin.

The Individual contractor acknowledges and agrees that any breach of any of the provisions hereof shall constitute a breach of an essential term of the Contract, and, in addition to any other legal rights or remedies available to any person, shall give rise to grounds for termination of the Contract. In addition, nothing herein shall limit the right of UNDP to refer any alleged breach of the foregoing standards of conduct to the relevant national authorities for appropriate legal action.

3. TITLE RIGHTS, COPYRIGHTS, PATENTS AND OTHER PROPRIETARY RIGHTS: Title to any equipment and supplies that may be furnished by UNDP to the Individual contractor for the performance of any obligations under the Contract shall rest with UNDP, and any such equipment shall be returned to UNDP at the conclusion of the Contract or when no longer needed by the Individual contractor. Such equipment, when returned to UNDP, shall be in the same condition as when delivered to the Individual contractor, subject to normal wear and tear, and the Individual contractor shall be liable to compensate UNDP for any damage or degradation of the equipment that is beyond normal wear and tear.

UNDP shall be entitled to all intellectual property and other proprietary rights, including, but not limited to, patents, copyrights and trademarks, with regard to products, processes, inventions, ideas, know-how or documents and other materials which the Individual contractor has developed for UNDP under the Contract and which bear a direct relation to or are produced or prepared or collected in consequence of, or during the course of, the performance of the Contract, and the Individual contractor acknowledges and agrees that such products, documents and other materials constitute works made for hire for UNDP. However, to the extent that any such intellectual property or other proprietary rights consist of any intellectual property or other proprietary rights of the Individual contractor: (a) that pre-existed the performance by the Individual contractor of its obligations under the Contract, or (b) that the Individual contractor may develop or acquire, or may have developed or acquired, independently of the performance of its obligations under the Contract, UNDP does not and shall not claim any ownership interest thereto, and the Individual contractor grants to UNDP a perpetual licence to use such intellectual property or other proprietary right solely for the purposes of and in accordance with the requirements of the Contract. At the request of UNDP, the Individual contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring or licensing them to UNDP in compliance with the requirements of the applicable law and of the Contract. Subject to the foregoing provisions, all maps, drawings, photographs, mosaics, plans, reports, estimates, recommendations, documents and all other data compiled by or received by the Individual contractor under the Contract shall be the property of UNDP, shall be made available for use or inspection by UNDP at reasonable times and in reasonable places, shall be treated as confidential and shall be delivered only to UNDP authorized officials on completion of work under the Contract

4. CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION: Information and data that are considered proprietary by either UNDP or the Individual contractor or that are delivered or disclosed by one of them ("Discloser") to the other ("Recipient") during the course of performance of the Contract, and that are designated as confidential ("Information"), shall be held in confidence and shall be handled as follows. The Recipient of such Information shall use the same care and discretion to avoid disclosure, publication or dissemination of the Discloser's Information as it uses with its own similar information that it does not wish to disclose, publish or disseminate, and the Recipient may otherwise use the Discloser's Information solely for the purpose for which it was disclosed. The Recipient may disclose confidential Information to any other party with the Discloser's prior written consent, as well as to the Recipient's employees, officials, representatives and agents who have a need to know such confidential Information solely for purposes of performing obligations under the Contract. Subject to and without any waiver of the privileges and immunities of UNDP, the Individual contractor may disclose Information to the extent required by law, *provided that* the Individual contractor will give UNDP sufficient prior notice of a request for the disclosure of Information in order to allow UNDP to have a reasonable opportunity to take protective measures or such other action as may be appropriate before any such disclosure is made. UNDP may disclose Information to the extent as required pursuant to the Charter of the United Nations, resolutions or regulations of the General Assembly or its other governing bodies, or rules promulgated by the Secretary-General. The Recipient shall not be precluded from disclosing Information that is obtained by the Recipient from a third party without restriction, is disclosed by the Discloser to a third party without any obligation of confidentiality, is previously known by the Recipient, or at any time is developed by the Recipient completely independently of any disclosures hereunder. These obligations and restrictions of confidentiality shall be effective during the term of the Contract, including any extension thereof, and, unless otherwise provided in the Contract, shall remain effective following any termination of the Contract.

5. TRAVEL, MEDICAL CLEARANCE AND SERVICE INCURRED DEATH, INJURY OR ILLNESS: If the Individual contractor is required by UNDP to travel beyond commuting distance from the Individual contractor's usual place of residence, and upon prior written agreement, such travel shall be at the expense of UNDP. Such travel shall be at economy care when by air.

UNDP may require the Individual contractor to submit a Statement of Good Health from a recognized physician prior to commencement of work in any offices or premises of UNDP or before engaging in any travel required by UNDP or connected with the performance of the Contract. The Individual contractor shall provide such a Statement of Good Health as soon as practicable following such request, and prior to engaging in any such travel, and the Individual contractor warrants the accuracy of any such Statement, including, but not limited to, confirmation that the Individual contractor has been fully informed regarding the requirements for inoculations for the country or countries to which travel may be authorized.

In the event of the death, injury or illness of the Individual contractor which is attributable to the performance of services on behalf of UNDP under the terms of the Contract while the Individual contractor is traveling at UNDP expense or is performing any services under the Contract in any offices or premises of UNDP, the Individual contractor or the Individual contractor's dependants, as

appropriate, shall be entitled to compensation equivalent to that provided under the UNDP insurance policy, available upon request.

6. PROHIBITION ON ASSIGNMENT; MODIFICATIONS: The Individual contractor may not assign, delegate, transfer, pledge or make any other disposition of the Contract, of any part thereof, or of any of the rights, claims or obligations under the Contract except with the prior written authorization of UNDP, and any attempt to do so shall be null and void. The terms or conditions of any supplemental undertakings, licences or other forms of Contract concerning any goods or services to be provided under the Contract shall not be valid and enforceable against UNDP nor in any way shall constitute an Contract by UNDP thereto, unless any such undertakings, licences or other forms of Contract are the subject of a valid written undertaking by UNDP. No modification or change in the Contract shall be valid and enforceable against UNDP unless provided by means of a valid written amendment to the Contract signed by the Individual contractor and an authorized official or appropriate contracting authority of UNDP.

7. SUBCONTRACTORS: In the event that the Individual contractor requires the services of subcontractors to perform any obligations under the Contract, the Individual contractor shall obtain the prior written approval of UNDP for any such subcontractors. UNDP may, in its sole discretion, reject any proposed subcontractor or require such subcontractor's removal without having to give any justification therefore, and such rejection shall not entitle the Individual contractor to claim any delays in the performance, or to assert any excuses for the non-performance, of any of its obligations under the Contract. The Individual contractor shall be solely responsible for all services and obligations performed by its subcontractors. The terms of any subcontract shall be subject to, and shall be construed in a manner that is fully in accordance with, all of the terms and conditions of the Contract.

8. USE OF NAME, EMBLEM OR OFFICIAL SEAL OF THE UNITED NATIONS: The Individual contractor shall not advertise or otherwise make public for purposes of commercial advantage or goodwill that it has a contractual relationship with UNDP, nor shall the Individual contractor, in any manner whatsoever, use the name, emblem or official seal of UNDP, or any abbreviation of the name of UNDP, in connection with its business or otherwise without the written permission of UNDP.

9. INDEMNIFICATION: The Individual contractor shall indemnify, defend, and hold and save harmless UNDP, and its officials, agents and employees, from and against all suits, proceedings, claims, demands, losses and liability of any kind or nature, including, but not limited to, all litigation costs and expenses, attorney's fees, settlement payments and damages, based on, arising from, or relating to: (a) allegations or claims that the use by UNDP of any patented device, any copyrighted material or any other goods or services provided to UNDP for its use under the terms of the Contract, in whole or in part, separately or in combination, constitutes an infringement of any patent, copyright, trademark or other intellectual property right of any third party; or (b) any acts or omissions of the Individual contractor, or of any subcontractor or anyone directly or indirectly employed by them in the performance of the Contract, which give rise to legal liability to anyone not a party to the Contract, including, without limitation, claims and liability in the nature of a claim for workers' compensation.

10. INSURANCE: The Individual contractor shall pay UNDP promptly for all loss, destruction or damage to the property of UNDP caused by the Individual contractor, or of any subcontractor, or anyone directly or indirectly employed by them in the performance of the Contract. The Individual contractor shall be solely responsible for taking out and for maintaining adequate insurance required to meet any of its obligations under the Contract, as well as for arranging, at the Individual contractor's sole expense, such life, health and other forms of insurance as the Individual contractor may consider to be appropriate to cover the period during which the Individual contractor provides services under the Contract. The Individual contractor acknowledges and agrees that none of the insurance arrangements the Individual contractor may make shall, in any way, be construed to limit the Individual contractor's liability arising under or relating to the Contract.

11. ENCUMBRANCES AND LIENS: The Individual contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with UNDP against any monies due to the Individual contractor or to become due for any work donor or against any goods supplied or materials furnished under the Contract, or by reason of any other claim or demand against the Individual contractor.

12. FORCE MAJEURE; OTHER CHANGES IN CONDITIONS: In the event of and as soon as possible after the occurrence of any cause constituting *force majeure*, the Individual contractor shall give notice and full particulars in writing to UNDP of such occurrence or cause if the Individual contractor is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under the Contract. The Individual contractor shall also notify UNDP of any other changes in conditions or the occurrence of any event, which interferes or threatens to interfere with its performance of the Contract. Not more than fifteen (15) days following the provision of such notice of *force majeure* or other changes in conditions or occurrence, the Individual contractor shall also submit a statement to UNDP of estimated expenditures that will likely be incurred for the duration of the change in conditions or the event. On receipt of the notice or notices required hereunder, UNDP shall take such action as it considers, in its sole discretion, to be appropriate or necessary in the circumstances, including the granting to the Individual contractor of a reasonable extension of time in which to perform any obligations under the Contract.

In the event of and as soon as possible after the occurrence of any cause constituting *force majeure*, the Individual contractor shall give notice and full particulars in writing to UNDP of such occurrence or cause if the Individual contractor is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under the Contract. The Individual contractor shall also notify UNDP of any other changes in conditions or the occurrence of any event, which interferes or threatens to interfere with its performance of the Contract. Not more than fifteen (15) days following the provision of such notice of *force majeure* or other changes in conditions or occurrence, the Individual contractor shall also submit a statement to UNDP of estimated expenditures that will likely be incurred for the duration of the change in conditions or the event. On receipt of the notice or notices required hereunder, UNDP shall take such action as it considers, in its sole discretion, to be appropriate or necessary in the circumstances, including the granting to the Individual contractor of a reasonable extension of time in which to perform any obligations under the Contract.

Force majeure as used herein means any unforeseeable and irresistible act of nature, any act of war (whether declared or not), invasion, revolution, insurrection, or any other acts of a similar nature or force, *provided that* such acts arise from causes beyond the control and without the fault or negligence of the Individual contractor. The Individual contractor acknowledges and agrees that, with respect to any obligations under the Contract that the Individual contractor must perform in or for any areas in which UNDP is engaged in, preparing to engage in, or disengaging from any peacekeeping, humanitarian or similar operations, any delay or failure to perform such obligations arising from or relating to harsh conditions within such areas or to any incidents of civil unrest occurring in such areas shall not, in and of itself, constitute *force majeure* under the Contract

13. TERMINATION: Either party may terminate the Contract, in whole or in part, upon giving written notice to the other party. The period of notice shall be five (5) days in the case of Contracts for a total period of less than two (2) months and fourteen (14) days in the case of contracts for a longer period. The initiation of conciliation or arbitral proceedings, as provided below, shall not be deemed to be a “cause” for or otherwise to be in itself a termination of the Contract. UNDP may, without prejudice to any other right or remedy available to it, terminate the Contract forthwith in the event that: (a) the Individual contractor is adjudged bankrupt, or is liquidated, or becomes insolvent, applies for moratorium or stay on any payment or repayment obligations, or applies to be declared insolvent; (b) the Individual contractor is granted a moratorium or a stay or is declared insolvent; the Individual contractor makes an assignment for the benefit of one or more of its creditors; (c) a Receiver is appointed on account of the insolvency of the Individual contractor ; (d) the Individual contractor offers a settlement in lieu of bankruptcy or receivership; or (e) UNDP reasonably determines that the Individual contractor has become subject to a materially adverse change in its financial condition that threatens to endanger or otherwise substantially affect the ability of the Individual contractor to perform any of its obligations under the Contract.

In the event of any termination of the Contract, upon receipt of notice of termination by UNDP, the Individual contractor shall, except as may be directed by UNDP in the notice of termination or otherwise in writing: (a) take immediate steps to bring the performance of any obligations under the Contract to a close in a prompt and orderly manner, and in doing so, reduce expenses to a minimum; (b) refrain from undertaking any further or additional commitments under the Contract as of and following the date of receipt of such notice; (c) deliver all completed or partially completed plans, drawings, information and other property that, if the Contract had been completed, would be required to be furnished to UNDP thereunder; (d) complete performance of the work not terminated; and (e) take any other action that may be necessary, or that UNDP may direct in writing, for the protection and preservation of any property, whether tangible or intangible, related to the Contract that is in the possession of the Individual contractor and in which UNDP has or may be reasonably expected to acquire an interest.

In the event of any termination of the Contract, UNDP shall only be liable to pay the Individual contractor compensation on a pro rata basis for no more than the actual amount of work performed to the satisfaction of UNDP in accordance with the requirements of the Contract. Additional costs incurred by UNDP resulting from the termination of the Contract by the Individual contractor may be withheld from any amount otherwise due to the Individual contractor from UNDP..

14. **NON-EXCLUSIVITY:** UNDP shall have no obligation respecting, and no limitations on, its right to obtain goods of the same kind, quality and quantity, or to obtain any services of the kind described in the Contract, from any other source at any time.

15. **TAXATION:** Article II, section 7, of the Convention on the Privileges and Immunities of the United Nations provides, *inter alia*, that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs restrictions, duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the exemptions of the United Nations from such taxes, restrictions, duties or charges, the Individual contractor shall immediately consult with UNDP to determine a mutually acceptable procedure. UNDP shall have no liability for taxes, duties or other similar charges payable by the Individual contractor in respect of any amounts paid to the Individual contractor under this Contract, and the Individual contractor acknowledges that UNDP will not issue any statements of earnings to the Individual contractor in respect of any such payments.

16. AUDITS AND INVESTIGATIONS:

Each invoice paid by UNDP shall be subject to a post-payment audit by auditors, whether internal or external, of UNDP or by other authorized and qualified agents of UNDP at any time during the term of the Contract and for a period of two (2) years following the expiration or prior termination of the Contract. UNDP shall be entitled to a refund from the Individual contractor for any amounts shown by such audits to have been paid by UNDP other than in accordance with the terms and conditions of the Contract.

The Individual contractor acknowledges and agrees that, from time to time, UNDP may conduct investigations relating to any aspect of the Contract or the award thereof, the obligations performed under the Contract, and the operations of the Individual contractor generally relating to performance of the Contract. The right of UNDP to conduct an investigation and the Individual contractor's obligation to comply with such an investigation shall not lapse upon expiration or prior termination of the Contract. The Individual contractor shall provide its full and timely cooperation with any such inspections, post-payment audits or investigations. Such cooperation shall include, but shall not be limited to, the Individual contractor's obligation to make available its personnel and any relevant documentation for such purposes at reasonable times and on reasonable conditions and to grant to UNDP access to the Individual contractor's premises at reasonable times and on reasonable conditions in connection with such access to the Individual contractor's personnel and relevant documentation. The Individual contractor shall require its agents, including, but not limited to, the Individual contractor's attorneys, accountants or other advisers, to reasonably cooperate with any inspections, post-payment audits or investigations carried out by UNDP hereunder.

17. SETTLEMENT OF DISPUTES:

AMICABLE SETTLEMENT: UNDP and the Individual contractor shall use their best efforts to amicably settle any dispute, controversy or claim arising out of the Contract or the breach, termination or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the Conciliation Rules then obtaining of the United Nations Commission on International Trade Law ("UNCITRAL"), or according to such other procedure as may be agreed between the parties in writing.

ARBITRATION: Any dispute, controversy or claim between the parties arising out of the Contract, or the breach, termination, or invalidity thereof, unless settled amicably, as provided above, shall be referred by either of the parties to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The decisions of the arbitral tribunal shall be based on general principles of international commercial law. For all evidentiary questions, the arbitral tribunal shall be guided by the Supplementary Rules Governing the Presentation and Reception of Evidence in International Commercial Arbitration of the International Bar Association, 28 May 1983 edition. The arbitral tribunal shall be empowered to order the return or destruction of goods or any property, whether tangible or intangible, or of any confidential information provided under the Contract, order the termination of the Contract, or order that any other protective measures be taken with respect to the goods, services or any other property, whether tangible or intangible, or of any confidential information provided under the Contract, as appropriate, all in accordance with the authority of the arbitral tribunal pursuant to Article 26 ("Interim Measures of Protection") and Article 32 ("Form and Effect of the Award") of the UNCITRAL Arbitration Rules. The arbitral tribunal shall have no authority to award punitive damages. In addition, unless otherwise expressly provided in the Contract, the arbitral tribunal shall have no authority to award interest in excess of the London Inter-Bank Offered Rate ("LIBOR") then prevailing, and any such interest shall be simple interest only. The parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such dispute, controversy or claim.

18. **PRIVILEGES AND IMMUNITIES:** Nothing in or relating to the Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.

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