## **ADVERTIZEMENT**

# INDIVIDUAL CONSULTANT PROCUREMENT NOTICE (ICPN)

**National Consultant:** Consultancy to conduct a mapping exercise on youth entrepreneurs and businesses in Sierra Leone.

(IC - National).

Date: July 10, 2020

**Procurement Notice No.: SLE/ICPN/2020/012** 

**Country: Sierra Leone** 

**Description of the assignment: National Consultant:** Consultancy to conduct a mapping exercise on youth entrepreneurs and businesses in Sierra Leone.

Period of assignment: 30 days

Proposal should be submitted at the following address, UNDP, Fourah Close, Off Main Motor Road, Wilberforce, Freetown, Sierra Leone or by email to procure.sle@undp.org no later than 17:00 hours, on Tuesday July 21, 2020.

Any request for clarification must be sent in writing, or by standard electronic communication to the address or e-mail indicated above. UNDP Sierra Leone will respond in writing or by standard electronic mail and will send written copies of the response, including an explanation of the query without identifying the source of inquiry, to all consultants.

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#### 1. BACKGROUND

Like most countries in Africa, Sierra Leone has been experiencing rapid and substantial changes in the size of its population, particularly the youthful component. With national statistics estimating the youth population (15-35 years of age) at 34% of the national total, the evidence of a rapidly increasing youth bulge is undeniable. Although the growing youth population presents important opportunities for Sierra Leone, many challenges are attendant to such rapid rates of growth. These include high rates of unemployment and underemployment, marginalization of youth in leadership, social, economic, and civic processes, Lack of competitive technical skills, limited access to productive assets, technologies and financial resources; to name a few.

While youth entrepreneurship is growing in Sierra Leone, there does not exist a single platform where the emerging talents can be accessed and where they can mutually reinforce one another.

In December 2018 the Ministry of Youth Affairs (MOYA) formerly launched YouthConnekt in Sierra Leone. Since then, UNDP and MOYA have focused on nationwide awareness on the values and programmes of YouthConnekt, which will create multiple opportunities for skills development, entrepreneurship, access to finance for selected emerging entrepreneurs, as well as, awareness raising on issues related to youth development and promotion of youth inclusion in local and national dialogue.

#### 2. OBJECTIVES AND SCOPE OF EVALUATION

### Objective of the assignment

The objective of this assignment to undertake a part nationwide mapping exercise, to identify emerging youth entrepreneurs and businesses. The criteria will focus on innovative entrepreneurship in the climate-sensitive agriculture, fishery, arts, fashion, ICT, etc. The report will subsequently lead to the development of a web-based platform of young entrepreneurs and business owners in Sierra Leone.

### III. Functions / Key Results Expected

The consultant will map out and identify youth emerging entrepreneurs and businesses as mentioned under the objective of the assignment, ensuring there is a fair balance between male and female owned businesses.

The consultant will further engage with small medium enterprise associations, to ascertain the authenticity and existence of the entrepreneurs and businesses identified, during the mapping exercise

Full details of the emerging entrepreneurs and businesses will be recorded to capture the type of business, business registration (if any), address of business, age, sex and net worth of the business, types of financial services available in the district where the business exist, indication if the business have access to such services, educational background of the entrepreneur, year business was established etc.

Information gathered by the consultant should be developed in a detailed spreadsheet and will be later digitized as a database on a web-based platform

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## **Overall responsibilities:**

The purpose of this process is to appoint a suitably qualified individual to conduct a mapping exercise of emerging youth entrepreneurs and businesses. The required mapping exercise covers MSMEs with an equal share of both male and female entrepreneurs in the climate sensitive agribusiness, arts, fashion and ecotourism.

## Specific tasks will include but not limited to:

### 1.1. Stakeholders

The consultant is required to:

- a. Interact with local small and medium enterprise associations while gathering such information on types of businesses in each geographic location, to determine their viability and existence within the labor market.
- b. City and Local Councils present in the designated districts highlighted in the field locations of the mapping exercise.

## 2. Data gathering methods

The consultant shall detail their methodology for collecting and processing the data. The methodology can include, but not be limited to:

- a. Interviews
- b. Desk reviews
- c. Field work with structured/semi-structured interviews
  - i. The sampling methods should be defined.
  - ii. Photographs of affected areas should be provided
- d. The use of GIS data and the national statistics database is worth exploring
- e. All source data shall be referenced and cited accordingly

### **Deliverables**

Based on the scope of work outlined above, the Consultant will be expected to deliver the following outputs:

No	Deliverables	Due Date
1	Inception report prepared (including work plan,	7 days after signing of contract
	methodology and data collection tools)	
2	Prepare and present draft mapping exercise report	9 days after submitting the inception
	to the Project Management Team and UNDP	report

3	Conduct validation workshop	5 days after submitting the draft		
		mapping exercise report		
4	Submission of the final report (three hard copies and	5 days after the validation workshop		
	soft copy) to UNDP			
5	Summary version of the final report to be shared	5 days after the submission of the final		
	with UNDP senior management team and with	report		
	project stakeholders			

## 3. QUALIFICATIONS OF THE SUCCESSFUL INDIVIDUAL CONTRACTOR (IC)

**Skills required:** The assignment requires an Individual who can demonstrate adequate technical capacity and expertise in the field of Youth Employment and Empowerment, Entrepreneurship, Agriculture and multidisciplinary environmental or natural resource management as well as knowledge of youth emerging entrepreneurs in West Africa and with a good understanding of the social and economic dimensions of youth entrepreneurs.

### **Qualification and Experience**

### **Education:**

Advanced university degree (Master's degree minimum or its equivalent) in Youth Empowerment, Entrepreneurship, Agriculture or related discipline.

The individual consultant must have

- Previous experience in undertaking feasibility and mapping exercise on young emerging entrepreneurs and the impact of their businesses on the socio-economic well being
- Minimum 5 years professional experience in Youth Employment and Empowerment, Entrepreneurship,
   Agriculture including familiarity with impacts of businesses climate change and variability.
- Staff with specific expertise and technical background in one or more of the following areas: Climate Change, agriculture, Environmental Sciences, Natural Resource Management or related discipline.
- Demonstrated understanding of sustainable development issues and challenges in the natural sectors.
- Knowledge and professional experience in conducting feasibility and mapping exercise to support the recognition
  of young people especially emerging entrepreneurs

## VII. How to Apply

Qualified consultants are hereby requested to apply to the call by submitting the following through UNDP career website:

- CV of technical experts indicating relevant professional experience
- Description of similar assignment undertaken by the consultant with relevant documents

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- Technical Proposal detailing description (of the proposed methodology on how to complete the assignment.
- Financial proposal that indicates the all-inclusive fixed total contract price supported by a breakdown of costs (including professional fee, and specified other costs if applicable, including travel costs and DSA).

#### Note:

- The information in the breakdown of the offered lump sum amount provided by the offer will be used as the basis for determining best value for money, and as reference for any amendments of the contract.
- The agreed contract amount will remain fixed regardless of any factors causing an increase in the cost of any of the components in the breakdown that are not directly attributable to UNDP.

Please note that applications will only be considered if they include ALL of the items listed above.

### 4. DOCUMENTS TO BE INCLUDED WHEN SUBMITTING THE PROPOSALS.

### APPLICATION PROCESS<sup>1</sup>

## **Recommended Presentation of Proposal:**

- a) Letter of Confirmation of Interest and Availability using the <u>template</u><sup>2</sup> provided by UNDP;
- b) CV and a Personal History Form (P11 form<sup>3</sup>);
- c) **Brief description of approach to work/technical proposal** of why the individual considers him/herself as the most suitable for the assignment, and a proposed methodology on how they will approach and complete the assignment; (max 1 page)
- d) **Financial Proposal** that indicates the all-inclusive fixed total contract price and all other travel related costs (such as flight ticket, per diem, etc), supported by a breakdown of costs,
  - e) as per template attached to the Letter of Confirmation of Interest template. If an applicant is employed by an organization/company/institution, and he/she expects his/her employer to charge a management fee in the process of releasing him/her to UNDP under Reimbursable Loan Agreement (RLA), the applicant must indicate at this point, and ensure that all such costs are duly incorporated in the financial proposal submitted to UNDP.

All application materials should be submitted to the address Fourah Bay Close, Off Main Motor Road, Wilberforce, Freetown in a sealed envelope indicating the following reference "To support review and amendment of the human Rights Commission of Sierra Leon(HRCSL) Act, 2004 to meet modern demands of National Human Rights Institution: 4904 or by email at the following address ONLY: <a href="mailto:procure.sle@undp.org">procure.sle@undp.org</a> by the dead line as advertised. Incomplete applications will be excluded from further consideration.

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SLE/ICPN/2020/012: National Consultant, Consultancy to conduct a mapping exercise on youth entrepreneurs and businesses in Sierra Leone.

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<sup>&</sup>lt;sup>1</sup> Engagement of the consultants should be done in line with guidelines for hiring consultants in the POPP: <a href="https://info.undp.org/global/popp/Pages/default.aspx">https://info.undp.org/global/popp/Pages/default.aspx</a>

 $<sup>\</sup>frac{\text{https://intranet.undp.org/unit/bom/pso/Support\%20documents\%20on\%20IC\%20Guidelines/Template\%20for\%20Confirmation\%20of\%20Interest\%20and\%20Submission\%20of\%20Financial\%20Proposal.docx}{\text{https://intranet.undp.org/unit/bom/pso/Support\%20documents\%20on\%20ICW20Guidelines/Template\%20for%20Confirmation\%20of%20Interest\%20and\%20Submission\%20of%20Financial\%20Proposal.docx}{\text{https://intranet.undp.org/unit/bom/pso/Support\%20documents\%20on%20ICW20Guidelines/Template%20for%20Confirmation\%20of%20Interest\%20and\%20Submission%20of%20Financial%20Proposal.docx}{\text{https://intranet.undp.org/unit/bom/pso/Support\%20Interest\%20and\%20Submission%20of%20Financial%20Proposal.docx}{\text{https://intranet.undp.org/unit/bom/pso/Support\%20Interest\%20and\%20Submission%20of%20Financial%20Proposal.docx}{\text{https://intranet.undp.org/unit/bom/pso/Support\%20Interest\%20and\%20Submission%20of%20Financial%20Proposal.docx}{\text{https://intranet.undp.org/unit/bom/pso/Support\%20Interest\%20And\%20Submission%20of%20Financial%20Proposal.docx}{\text{https://intranet.undp.org/unit/bom/pso/Support\%20Interest\%20And\%20Submission%20Of%20Financial%20Proposal.docx}{\text{https://intranet.undp.org/unit/bom/pso/Support\%20Interest\%20And\%20Submission%20And\%20Interest\%20And\%20Submission%20And\%20Interest\%20And\%20Submission%20And\%20And\%20Submission%20And\%2$ 

<sup>&</sup>lt;sup>3</sup> http://www.undp.org/content/dam/undp/library/corporate/Careers/P11\_Personal\_history\_form.doc

### Note:

- The information in the breakdown of the offered lump sum amount provided by the offeror will be used as the basis for determining best value for money, and as reference for any amendments of the contract.
- The agreed contract amount will remain fixed regardless of any factors causing an increase in the cost of any of the components in the breakdown that are not directly attributable to UNDP.
- Approved local travel related to this assignment will be arranged and paid by UNDP Sierra Leone.

Please note that applications will only be considered if they include ALL of the items listed above. Also note that the UNDP job portal website only allows for one document to be uploaded, so please combine all of the abovementioned items into one single Word or PDF document before uploading.

## 5. Payment Modality

Payment to the Consultant will be done as follows

- 20% paid upon submission of inception report including work plan, methodology and data collection tools)
- 60% upon submission of draft mapping exercise report after completion of validation workshop
- 20% paid upon incorporation of comment and submission of all final reports

## **Reviewer/Approval of Deliverables**

The final deliverable is a detailed mapping exercise in word and pdf format with the results as described in sections 3.1 to 3.5. The mapping exercise report shall also include all annexure's and source data from which the analysis was derived. Provisions should be made for at least two commenting and updating periods during the finalisation of the mapping exercise.

The consultant shall also make provision for engaging with entities who have done similar mapping exercises in the past

#### 6. EVALUATION

The UNDP Sierra Leone will choose among one of these two evaluation methods prior to submit the Individual Consultant Procurement Notice. Once the evaluation method has been selected the other one shall be deleted to avoid any misunderstanding

Criteria	Weight	Max. Point
Technical Competence (based on CV, Proposal and interview (if required)		
	70%	700
Education: (Maximum Points: 100).		100
Advanced University degree in social sciences	10%	
Experience: (Maximum Points: 150).		
Must have undertaken mapping studies in Sierra Leone and or the		

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region relating to youth entrepreneurship, youth employment and empowerment and the promotion of youth entrepreneurs and businesses in the last ten years  Must be familiar with emerging SMEs especially those owned by youths in the fields of smart climate agriculture, arts, fashion, eco-	15%	150
tourism and other related viable fields  Demonstrated understanding of policies relating to youth, enterprise development and innovation		
<ul> <li>The Consultant must have in-depth understanding of the youth sector landscape in Sierra Leone</li> </ul>		
<ul> <li>Experience in supporting policy development is an added asset</li> </ul>		
Clear understanding of the assignment: Clear understanding of the assignment as demonstrated in the proposal, overall proposed methodology (comprehensiveness and completeness) time frame, feedback/validation workshop.	10%	100
Analytical capabilities		
<ul> <li>Strategic vision, strong technical and analytical capabilities and demonstrated ability to collect, analyze and interpret data.</li> <li>Quantitative and qualitative data management skills</li> </ul>	10%	100
Competence in the use of collective intelligence will be an advantage		
<ul> <li>Interpersonal and communication skills:</li> <li>Strong interpersonal skills and communication skills,</li> <li>Proven ability to work in a team, develop synergies and establish effective working relations within MDAs, with persons of different UN Agencies, government counterparts, donors and NGOs</li> <li>Strong written communication skills to produce external communication materials.</li> <li>Demonstrated ability to train and build capacity of others</li> </ul>	5%	50
Integrity		
<ul> <li>Demonstrates integrity by modelling the UN's values and ethical standards</li> </ul>	5%	50
<ul> <li>Managing complexity</li> <li>Demonstrates openness to change and ability to receive/integrate feedback;</li> <li>Negotiating skills, and the ability to cope with situations which may pose conflict,</li> <li>Ability to solve complex problems with minimal supervision</li> <li>Ability to work with small multi-disciplinary, multi-national teams to deliver quality products in high stress, short deadline situations.</li> </ul>	10%	100
Results-orientation  > Demonstrated understanding of results-based management.	5%	50
Ability to prioritize.		
Use of results language for communication		
Writing and communication will be in English and must have		

excellent communication his/her own computing ed	skills in English. The consultant must bring quipment.		
Financial (Lower Offer/Offer*100			
Financial evaluation (total 30 poi	30%	300	
All technically qualified proposals			
formula provided below. The max	imum points (30) will be assigned to the		
lowest financial proposal. All other	er proposals receive points according to		
the following formula: $p = y (\mu/z)$			
proposal being evaluated; y = max			
proposal; $\mu$ = price of the lowest $\mu$			
being evaluated.			
Total Score	Technical Score * 70% + Financial Score *	30%	

UNDP is committed to achieving workforce diversity in terms of gender, nationality and culture. Individuals from minority groups, indigenous groups and persons with disabilities are equally encouraged to apply. All applications will be treated with the strictest confidence.

Yonali Samo

Yonah Samo Procurement Specialist

## <u>ANNEX</u>

**ANNEX 1- TERMS OF REFERENCES (TOR)** 

**ANNEX 2- INDIVIDUAL CONSULTANT GENERAL TERMS AND CONDITIONS** 



#### UNITED NATIONS DEVELOPMENT PROGRAMME

#### **Terms of Reference**

## **I. Position Information**

Job Code Title: Consultancy to conduct a mapping exercise on youth entrepreneurs and businesses in Sierra

Leone

Duty Station: Freetown, Sierra Leone with field visits to Moyamba, Pujehun, Koinadugu, Karena, Falaba and

Kambia

**Contract Modality:** National Consultant or Consulting Firm

**Duration:** 30 days

# II. Background

Like most countries in Africa, Sierra Leone has been experiencing rapid and substantial changes in the size of its population, particularly the youthful component. With national statistics estimating the youth population (15-35 years of age) at 34% of the national total, the evidence of a rapidly increasing youth bulge is undeniable. Although the growing youth population presents important opportunities for Sierra Leone, many challenges are attendant to such rapid rates of growth. These include high rates of unemployment and underemployment, marginalization of youth in leadership, social, economic, and civic processes, Lack of competitive technical skills, limited access to productive assets, technologies and financial resources; to name a few.

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## Objective of the assignment

The objective of this assignment to undertake a part nationwide mapping exercise, to identify emerging youth entrepreneurs and businesses. The criteria will focus on innovative entrepreneurship in the climate-sensitive agriculture, fishery, arts, fashion, ICT, etc. The report will subsequently lead to the development of a web-based platform of young entrepreneurs and business owners in Sierra Leone.

# III. Functions / Key Results Expected

The consultant will map out and identify youth emerging entrepreneurs and businesses as mentioned under the objective of the assignment, ensuring there is a fair balance between male and female owned businesses.

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Full details of the emerging entrepreneurs and businesses will be recorded to capture the type of business, business registration (if any), address of business, age, sex and net worth of the business, types of financial services available in the district where the business exist, indication if the business have access to such services, educational background of the entrepreneur, year business was established etc.

Information gathered by the consultant should be developed in a detailed spreadsheet and will be later digitized as a database on a web-based platform

## **Overall responsibilities:**

The purpose of this process is to appoint a suitably qualified individual to conduct a mapping exercise of emerging youth entrepreneurs and businesses. The required mapping exercise covers MSMEs with an equal share of both male and female entrepreneurs in the climate sensitive agribusiness, arts, fashion and ecotourism.

## Specific tasks will include but not limited to:

## 2.1. **Stakeholders**

The consultant is required to:

- c. Interact with local small and medium enterprise associations while gathering such information on types of businesses in each geographic location, to determine their viability and existence within the labor market.
- d. City and Local Councils present in the designated districts highlighted in the field locations of the mapping exercise.

## 3. Data gathering methods

The consultant shall detail their methodology for collecting and processing the data. The methodology can include, but not be limited to:

- f. Interviews
- g. Desk reviews
- h. Field work with structured/semi-structured interviews
  - i. The sampling methods should be defined.
  - ii. Photographs of affected areas should be provided
- i. The use of GIS data and the national statistics database is worth exploring
- j. All source data shall be referenced and cited accordingly

### **IV. Deliverables**

Based on the scope of work outlined above, the Consultant will be expected to deliver the following outputs:

No	Deliverables	Due Date
1	Inception report prepared (including work plan, methodology and data collection tools)	7 days after signing of contract
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3	Conduct validation workshop	5 days after submitting the draft mapping exercise report

4	Submission of the final report (three hard copies and	d 5 days after the validation workshop	
	soft copy) to UNDP		
5	Summary version of the final report to be shared	5 days after the submission of the final	
	with UNDP senior management team and with	report	
	project stakeholders		

### V. Payment Modalities

Payment to the Consultant will be done as follows

- 20% paid upon submission of inception report including work plan, methodology and data collection tools)
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The consultant shall also make provision for engaging with entities who have done similar mapping exercises in the past

### VI. Qualifications

**Skills required:** The assignment requires an Individual who can demonstrate adequate technical capacity and expertise in the field of Youth Employment and Empowerment, Entrepreneurship, Agriculture and multidisciplinary environmental or natural resource management as well as knowledge of youth emerging entrepreneurs in West Africa and with a good understanding of the social and economic dimensions of youth entrepreneurs.

### **Qualification and Experience**

#### **Education:**

Advanced university degree (Master's degree minimum or its equivalent) in Youth Empowerment, Entrepreneurship, Agriculture or related discipline.

The individual consultant must have

- Previous experience in undertaking feasibility and mapping exercise on young emerging entrepreneurs and the impact of their businesses on the socio-economic well being
- Minimum 5 years professional experience in Youth Employment and Empowerment, Entrepreneurship, Agriculture including familiarity with impacts of businesses climate change and variability.
- Staff with specific expertise and technical background in one or more of the following areas: Climate Change, agriculture, Environmental Sciences, Natural Resource Management or related discipline.
- Demonstrated understanding of sustainable development issues and challenges in the natural sectors.
- Knowledge and professional experience in conducting feasibility and mapping exercise to support the recognition of young people especially emerging entrepreneurs

#### VII. How to Apply

Qualified consultants are hereby requested to apply to the call by submitting the following through UNDP career website:

- CV of technical experts indicating relevant professional experience
- Description of similar assignment undertaken by the consultant with relevant documents

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- Technical Proposal detailing description (of the proposed methodology on how to complete the assignment.
- Financial proposal that indicates the all-inclusive fixed total contract price supported by a breakdown of costs (including professional fee, and specified other costs if applicable, including travel costs and DSA).

Deadline for application is not later than **two weeks** after the advert.

#### Note:

- The information in the breakdown of the offered lump sum amount provided by the offer will be used as the basis for determining best value for money, and as reference for any amendments of the contract.
- The agreed contract amount will remain fixed regardless of any factors causing an increase in the cost of any of the components in the breakdown that are not directly attributable to UNDP.

Please note that applications will only be considered if they include ALL of the items listed above.

## IX. Evaluation criteria

Criteria	Weight	Max. Point	
Technical Competence (based on CV, Proposal and interview (if required)	70%	700	
Education: (Maximum Points: 100).		100	
Advanced University degree in social sciences	10%		
Experience: (Maximum Points: 150).			
Must have undertaken mapping studies in Sierra Leone and or the region relating to youth entrepreneurship, youth employment and empowerment and the promotion of youth entrepreneurs and businesses in the last ten years	15%	150	
<ul> <li>Must be familiar with emerging SMEs especially those owned by youths in the fields of smart climate agriculture, arts, fashion, ecotourism and other related viable fields</li> <li>Demonstrated understanding of policies relating to youth,</li> </ul>			
enterprise development and innovation			
The Consultant must have in-depth understanding of the youth sector landscape in Sierra Leone			
Experience in supporting policy development is an added asset			
Clear understanding of the assignment: Clear understanding of the assignment as demonstrated in the proposal, overall proposed methodology (comprehensiveness and completeness) time frame, feedback/validation workshop.	10%	100	
Analytical capabilities			
<ul> <li>Strategic vision, strong technical and analytical capabilities and demonstrated ability to collect, analyze and interpret data.</li> <li>Quantitative and qualitative data management skills</li> </ul>	10%	100	
Competence in the use of collective intelligence will be an advantage			
Interpersonal and communication skills:  Strong interpersonal skills and communication skills,	5%	50	

effective working relation	a team, develop synergies and establish as within MDAs, with persons of different			
	t counterparts, donors and NGOs unication skills to produce external			
Demonstrated ability to to	rain and build capacity of others			
Integrity				
<ul> <li>Demonstrates integrity by</li> </ul>	modelling the UN's values and ethical	5%	50	
standards				
Managing complexity		10%	100	
Demonstrates openness	to change and ability to receive/integrate			
feedback;				
Negotiating skills, and the pose conflict,	e ability to cope with situations which may			
<b> </b>	roblems with minimal supervision			
,	multi-disciplinary, multi-national teams to			
	n high stress, short deadline situations.			
Results-orientation		5%	50	
	ding of results-based management.			
Ability to prioritize.				
Use of results language for				
_	tion will be in English and must have			
	excellent communication skills in English. The consultant must bring			
his/her own computing ed				
Financial (Lower Offer/Offer*100		200/	200	
Financial evaluation (total 30 points)	nts):	30%	300	
All technically qualified proposals	will be scored out 30 based on the			
formula provided below. The max				
lowest financial proposal. All other				
the following formula: $p = y (\mu/z)$				
proposal being evaluated; y = max				
proposal; $\mu$ = price of the lowest $\mu$				
being evaluated.				
Total Score	30%			



### **UNDP**

## **GENERAL CONDITIONS OF CONTRACT FOR SERVICES**

#### 1.0 LEGAL STATUS:

The Contractor shall be considered as having the legal status of an independent contractor vis-à-vis the United Nations Development Programme (UNDP). The Contractor's personnel and sub-contractors shall not be considered in any respect as being the employees or agents of UNDP or the United Nations.

#### 2.0 SOURCE OF INSTRUCTIONS:

The Contractor shall neither seek nor accept instructions from any authority external to UNDP in connection with the performance of its services under this Contract. The Contractor shall refrain from any action that may adversely affect UNDP or the United Nations and shall fulfill its commitments with the fullest regard to the interests of UNDP.

#### 3.0 CONTRACTOR'S RESPONSIBILITY FOR EMPLOYEES:

The Contractor shall be responsible for the professional and technical competence of its employees and will select, for work under this Contract, reliable individuals who will perform effectively in the implementation of this Contract, respect the local customs, and conform to a high standard of moral and ethical conduct.

#### 4.0 ASSIGNMENT:

The Contractor shall not assign, transfer, pledge or make other disposition of this Contract or any part thereof, or any of the Contractor's rights, claims or obligations under this Contract except with the prior written consent of UNDP.

### 5.0 SUB-CONTRACTING:

In the event the Contractor requires the services of sub-contractors, the Contractor shall obtain the prior written approval and clearance of UNDP for all sub-contractors. The approval of UNDP of a sub-

contractor shall not relieve the Contractor of any of its obligations under this Contract. The terms of any sub-contract shall be subject to and conform to the provisions of this Contract.

#### 6.0 OFFICIALS NOT TO BENEFIT:

The Contractor warrants that no official of UNDP or the United Nations has received or will be offered by the Contractor any direct or indirect benefit arising from this Contract or the award thereof. The Contractor agrees that breach of this provision is a breach of an essential term of this Contract.

### 7.0 INDEMNIFICATION:

The Contractor shall indemnify, hold and save harmless, and defend, at its own expense, UNDP, its officials, agents, servants and employees from and against all suits, claims, demands, and liability of any nature or kind, including their costs and expenses, arising out of acts or omissions of the Contractor, or the Contractor's employees, officers, agents or sub-contractors, in the performance of this Contract. This provision shall extend, inter alia, to claims and liability in the nature of workmen's compensation, products liability and liability arising out of the use of patented inventions or devices, copyrighted material or other intellectual property by the Contractor, its employees, officers, agents, servants or sub-contractors. The obligations under this Article do not lapse upon termination of this Contract.

#### 8.0 INSURANCE AND LIABILITIES TO THIRD PARTIES:

- **8.1** The Contractor shall provide and thereafter maintain insurance against all risks in respect of its property and any equipment used for the execution of this Contract.
- **8.2** The Contractor shall provide and thereafter maintain all appropriate workmen's compensation insurance, or the equivalent, with respect to its employees to cover claims for personal injury or death in connection with this Contract.
- **8.3** The Contractor shall also provide and thereafter maintain liability insurance in an adequate amount to cover third party claims for death or bodily injury, or loss of or damage to property, arising from or in connection with the provision of services under this Contract or the operation of any vehicles, boats, airplanes or other equipment owned or leased by the Contractor or its agents, servants, employees or sub-contractors performing work or services in connection with this Contract.
- **8.4** Except for the workmen's compensation insurance, the insurance policies under this Article shall:
- **8.4.1** Name UNDP as additional insured;
- **8.4.2** Include a waiver of subrogation of the Contractor's rights to the insurance carrier against the UNDP;
- **8.4.3** Provide that the UNDP shall receive thirty (30) days written notice from the insurers prior to any cancellation or change of coverage.

**8.5** The Contractor shall, upon request, provide the UNDP with satisfactory evidence of the insurance required under this Article.

### 9.0 ENCUMBRANCES/LIENS:

The Contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with the UNDP against any monies due or to become due for any work done or materials furnished under this Contract, or by reason of any other claim or demand against the Contractor.

**10.0 TITLE TO EQUIPMENT:** Title to any equipment and supplies that may be furnished by UNDP shall rest with UNDP and any such equipment shall be returned to UNDP at the conclusion of this Contract or when no longer needed by the Contractor. Such equipment, when returned to UNDP, shall be in the same condition as when delivered to the Contractor, subject to normal wear and tear. The Contractor shall be liable to compensate UNDP for equipment determined to be damaged or degraded beyond normal wear and tear.

## 11.0 COPYRIGHT, PATENTS AND OTHER PROPRIETARY RIGHTS:

- 11.1 Except as is otherwise expressly provided in writing in the Contract, the UNDP shall be entitled to all intellectual property and other proprietary rights including, but not limited to, patents, copyrights, and trademarks, with regard to products, processes, inventions, ideas, know-how, or documents and other materials which the Contractor has developed for the UNDP under the Contract and which bear a direct relation to or are produced or prepared or collected in consequence of, or during the course of, the performance of the Contract, and the Contractor acknowledges and agrees that such products, documents and other materials constitute works made for hire for the UNDP.
- 11.2 To the extent that any such intellectual property or other proprietary rights consist of any intellectual property or other proprietary rights of the Contractor: (i) that pre-existed the performance by the Contractor of its obligations under the Contract, or (ii) that the Contractor may develop or acquire, or may have developed or acquired, independently of the performance of its obligations under the Contract, the UNDP does not and shall not claim any ownership interest thereto, and the Contractor grants to the UNDP a perpetual license to use such intellectual property or other proprietary right solely for the purposes of and in accordance with the requirements of the Contract.
- **11.3** At the request of the UNDP; the Contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring or licensing them to the UNDP in compliance with the requirements of the applicable law and of the Contract.
- **11.4** Subject to the foregoing provisions, all maps, drawings, photographs, mosaics, plans, reports, estimates, recommendations, documents, and all other data compiled by or received by the Contractor under the Contract shall be the property of the UNDP, shall be made available for use or inspection by

the UNDP at reasonable times and in reasonable places, shall be treated as confidential, and shall be delivered only to UNDP authorized officials on completion of work under the Contract.

# 12.0 USE OF NAME, EMBLEM OR OFFICIAL SEAL OF UNDP OR THE UNITED NATIONS:

The Contractor shall not advertise or otherwise make public the fact that it is a Contractor with UNDP, nor shall the Contractor, in any manner whatsoever use the name, emblem or official seal of UNDP or THE United Nations, or any abbreviation of the name of UNDP or United Nations in connection with its business or otherwise.

#### 13.0 CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION:

Information and data that is considered proprietary by either Party and that is delivered or disclosed by one Party ("Discloser") to the other Party ("Recipient") during the course of performance of the Contract, and that is designated as confidential ("Information"), shall be held in confidence by that Party and shall be handled as follows:

- **13.1** The recipient ("Recipient") of such information shall:
- **13.1.1** use the same care and discretion to avoid disclosure, publication or dissemination of the Discloser's Information as it uses with its own similar information that it does not wish to disclose, publish or disseminate; and,
- **13.1.2** use the Discloser's Information solely for the purpose for which it was disclosed.
- **13.2** Provided that the Recipient has a written agreement with the following persons or entities requiring them to treat the Information confidential in accordance with the Contract and this Article 13, the Recipient may disclose Information to:
- 13.2.1 Any other party with the Discloser's prior written consent; and,
- **13.2.2** the Recipient's employees, officials, representatives and agents who have a need to know such Information for purposes of performing obligations under the Contract, and employees officials, representatives and agents of any legal entity that it controls, controls it, or with which it is under common control, who have a need to know such Information for purposes of performing obligations under the Contract, provided that, for these purposes a controlled legal entity means:
- **13.2.2.1** A corporate entity in which the Party owns or otherwise controls, whether directly or indirectly, over fifty percent (50%) of voting shares thereof; or,
- 13.2.2.2 Any entity over which the Party exercises effective managerial control; or,
- **13.2.2.3** for the UNDP, an affiliated Fund such as UNCDF, UNIFEM and UNV.
- **13.3** The Contractor may disclose Information to the extent required by law, provided that, subject to and without any waiver of the privileges and immunities of the United Nations, the Contractor will give

the UNDP sufficient prior notice of a request for the disclosure of Information in order to allow the UNDP to have a reasonable opportunity to take protective measures or such other action as may be appropriate before any such disclosure is made.

- **13.4** The UNDP may disclose Information to the extent as required pursuant to the Charter of the UN, resolutions or regulations of the General Assembly, or rules promulgated by the Secretary-General.
- 13.5 The Recipient shall not be precluded from disclosing Information that is obtained by the Recipient from a third party without restriction, is disclosed by the Discloser to a third party without any obligation of confidentiality, is previously known by the Recipient, or at any time is developed by the Recipient completely independently of any disclosures hereunder.
- 13.6 These obligations and restrictions of confidentiality shall be effective during the term of the Contract, including any extension thereof, and, unless otherwise provided in the Contract, shall remain effective following any termination of the Contract.

## 14.0 FORCE MAJEURE; OTHER CHANGES IN CONDITIONS

- 14.1 In the event of and as soon as possible after the occurrence of any cause constituting force majeure, the Contractor shall give notice and full particulars in writing to the UNDP, of such occurrence or change if the Contractor is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under this Contract. The Contractor shall also notify the UNDP of any other changes in conditions or the occurrence of any event that interferes or threatens to interfere with its performance of this Contract. On receipt of the notice required under this Article, the UNDP shall take such action as, in its sole discretion; it considers to be appropriate or necessary in the circumstances, including the granting to the Contractor of a reasonable extension of time in which to perform its obligations under this Contract.
- 14.2 If the Contractor is rendered permanently unable, wholly, or in part, by reason of force majeure to perform its obligations and meet its responsibilities under this Contract, the UNDP shall have the right to suspend or terminate this Contract on the same terms and conditions as are provided for in Article 15, "Termination", except that the period of notice shall be seven (7) days instead of thirty (30) days.
- **14.3** Force majeure as used in this Article means acts of God, war (whether declared or not), invasion, revolution, insurrection, or other acts of a similar nature or force.
- 14.4 The Contractor acknowledges and agrees that, with respect to any obligations under the Contract that the Contractor must perform in or for any areas in which the UNDP is engaged in, preparing to engage in, or disengaging from any peacekeeping, humanitarian or similar operations, any delays or failure to perform such obligations arising from or relating to harsh conditions within such

areas or to any incidents of civil unrest occurring in such areas shall not, in and of itself, constitute force majeure under the Contract..

#### 15.0 TERMINATION

- **15.1** Either party may terminate this Contract for cause, in whole or in part, upon thirty (30) days notice, in writing, to the other party. The initiation of arbitral proceedings in accordance with Article 16.2 ("Arbitration"), below, shall not be deemed a termination of this Contract.
- **15.2** UNDP reserves the right to terminate without cause this Contract at any time upon 15 days prior written notice to the Contractor, in which case UNDP shall reimburse the Contractor for all reasonable costs incurred by the Contractor prior to receipt of the notice of termination.
- 15.3 In the event of any termination by UNDP under this Article, no payment shall be due from UNDP to the Contractor except for work and services satisfactorily performed in conformity with the express terms of this Contract.
- 15.4 Should the Contractor be adjudged bankrupt, or be liquidated or become insolvent, or should the Contractor make an assignment for the benefit of its creditors, or should a Receiver be appointed on account of the insolvency of the Contractor, the UNDP may, without prejudice to any other right or remedy it may have under the terms of these conditions, terminate this Contract forthwith. The Contractor shall immediately inform the UNDP of the occurrence of any of the above events.

# 16.0 SETTLEMENT OF DISPUTES

- **16.1** Amicable Settlement: The Parties shall use their best efforts to settle amicably any dispute, controversy or claim arising out of this Contract or the breach, termination or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the UNCITRAL Conciliation Rules then obtaining, or according to such other procedure as may be agreed between the parties.
- 16.2 Arbitration: Any dispute, controversy, or claim between the Parties arising out of the Contract or the breach, termination, or invalidity thereof, unless settled amicably under Article 16.1, above, within sixty (60) days after receipt by one Party of the other Party's written request for such amicable settlement, shall be referred by either Party to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The decisions of the arbitral tribunal shall be based on general principles of international commercial law. For all evidentiary questions, the arbitral tribunal shall be guided by the Supplementary Rules Governing the Presentation and Reception of Evidence in International Commercial Arbitration of the International Bar Association, 28 May 1983 edition. The arbitral tribunal shall be empowered to order the return or destruction of goods or any property, whether tangible or intangible, or of any confidential information provided under the Contract, order the termination of the Contract, or order that any other protective measures be taken with respect to the goods, services or any other property, whether tangible or intangible, or of any confidential information provided under the Contract, as appropriate, all in accordance with the authority of the arbitral tribunal pursuant to

Article 26 ("Interim Measures of Protection") and Article 32 ("Form and Effect of the Award") of the UNCITRAL Arbitration Rules. The arbitral tribunal shall have no authority to award punitive damages. In addition, unless otherwise expressly provided in the Contract, the arbitral tribunal shall have no authority to award interest in excess of the London Inter-Bank Offered Rate ("LIBOR") then prevailing, and any such interest shall be simple interest only. The Parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such dispute, controversy, or claim.

#### 17.0 PRIVILEGES AND IMMUNITIES:

Nothing in or relating to this Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.

### **18.0 TAX EXEMPTION**

- **18.1** Section 7 of the Convention on the Privileges and Immunities of the United Nations provides, inter-alia that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the United Nations exemption from such taxes, duties or charges, the Contractor shall immediately consult with the UNDP to determine a mutually acceptable procedure.
- **18.2** Accordingly, the Contractor authorizes UNDP to deduct from the Contractor's invoice any amount representing such taxes, duties or charges, unless the Contractor has consulted with the UNDP before the payment thereof and the UNDP has, in each instance, specifically authorized the Contractor to pay such taxes, duties or charges under protest. In that event, the Contractor shall provide the UNDP with written evidence that payment of such taxes, duties or charges has been made and appropriately authorized.

#### 19.0 CHILD LABOUR

- 19.1 The Contractor represents and warrants that neither it, nor any of its suppliers is engaged in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child, including Article 32 thereof, which, inter alia, requires that a child shall be protected from performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical mental, spiritual, moral or social development.
- **19.2** Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, at no cost to UNDP.

#### **20.0 MINES:**

**20.1** The Contractor represents and warrants that neither it nor any of its suppliers is actively and directly engaged in patent activities, development, assembly, production, trade or manufacture of mines

or in such activities in respect of components primarily utilized in the manufacture of Mines. The term "Mines" means those devices defined in Article 2, Paragraphs 1, 4 and 5 of Protocol II annexed to the Convention on Prohibitions and Restrictions on the Use of Certain Conventional Weapons Which May Be Deemed to Be Excessively Injurious or to Have Indiscriminate Effects of 1980.

20.2 Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind of UNDP.

#### 21.0 OBSERVANCE OF THE LAW:

The Contractor shall comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the terms of this Contract.

#### 22.0 SEXUAL EXPLOITATION:

- 22.1 The Contractor shall take all appropriate measures to prevent sexual exploitation or abuse of anyone by it or by any of its employees or any other persons who may be engaged by the Contractor to perform any services under the Contract. For these purposes, sexual activity with any person less than eighteen years of age, regardless of any laws relating to consent, shall constitute the sexual exploitation and abuse of such person. In addition, the Contractor shall refrain from, and shall take all appropriate measures to prohibit its employees or other persons engaged by it from, exchanging any money, goods, services, offers of employment or other things of value, for sexual favors or activities, or from engaging in any sexual activities that are exploitive or degrading to any person. The Contractor acknowledges and agrees that the provisions hereof constitute an essential term of the Contract and that any breach of this representation and warranty shall entitle UNDP to terminate the Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind.
- **22.2** The UNDP shall not apply the foregoing standard relating to age in any case in which the Contractor's personnel or any other person who may be engaged by the Contractor to perform any services under the Contract is married to the person less than the age of eighteen years with whom sexual activity has occurred and in which such marriage is recognized as valid under the laws of the country of citizenship of such Contractor's personnel or such other person who may be engaged by the Contractor to perform any services under the Contract.

### 23.0 AUTHORITY TO MODIFY:

Pursuant to the Financial Regulations and Rules of UNDP, only the UNDP Authorized Official possesses the authority to agree on behalf of UNDP to any modification of or change in this Agreement, to a waiver of any of its provisions or to any additional contractual relationship of any kind with the Contractor. Accordingly, no modification or change in this Contract shall be valid and enforceable against UNDP unless provided by an amendment to this Agreement signed by the Contractor and jointly by the UNDP Authorized Official.