Expression of Interest (EOI) For

Pre-qualification of Companies for the fully restore, preserve and rebuild houses in the old historic centre of Mosul and Basra, Iraq

Ref: EOI-IRQ003/2020-UNDP IRAQ

Date: 28 July 2020

Project Background:

United Nations Development Programme (UNDP) Iraq on behalf of United Nations Educational, Scientific and Cultural Organization (UNESCO) Iraq invites interested legal firms (companies) to apply for an Expression of Interest to pre-qualify companies for the fully restore, preserve and rebuild houses in the old historic centre of Mosul and Basra, Iraq

Objectives:

The objective of the project is to fully restore, preserve and rebuild houses in the old historic centre of Mosul and Basra. Many selected houses maintain a rich historical value and character, while others are linked through a heritage route or area. UNESCO aims to restore and preserve the original character of the houses and improve the associated surrounding infrastructure, through the identification of potential firms/companies having experience in the field of Rehabilitation/ Restoration. The interested firm having similar experience in the Rehabilitation of historical houses or monuments will be further approached along with detailed BOQ and Drawings in later stage.

Scope:

The scope of the proposed action is to foster resilience, cultural identity, social cohesion and inter-community reconciliation through the creation of job opportunities and the development of skills through construction activities. This Pre-qualification process is related to the Restoration and preservation works of multiple houses located in Mosul and Basra and therefore, the Scope will be focused on the Bill of Quantities, architectural, electrical and civils drawings.

Prequalification Application Process

1. This Pre-qualification process is aimed to short-list potential Companies/Firms for its participation in the upcoming bidding process (ITB) for the fully restore, preserve and rebuild houses in the old historic centre of Mosul and Basra, Iraq. Hence, interested international/local Companies and/or Associations/Joint Ventures with required experience and qualifications are requested to complete the UNDP Pre-Qualification Application and submit relevant documentation/information as to demonstrate that they are eligible and qualified to perform such large scale Construction/Renovation/Rehabilitation works.

2. Please note that this procurement process is being conducted through the online tendering system of UNDP. Bidders who wish to submit an information must be registered in the system. Visit this page for system user guides and videos in different languages: https://www.undp.org/content/undp/en/home/operations/procurement/business/procurementnotices/resources/ If already registered, go to https://etendering.partneragencies.org and sign in using your username and password. Use "Forgotten password" link

if you do not remember your password. Do not create a new profile.

If you have never registered in the system before, you can register by visiting the above link, sign in with username below, and follow the instructions in the user guide:
Username: event.guest
Password: why2change

If you are interested to participate in this pre-qualification process it is strongly recommended that you log in and subscribe to the event using "Accept Invitation" feature so you can keep updated with Event amendments including deadline. Note that in case of inconsistencies between information in e-Tendering system and other places/documents, the information in e-Tendering system prevails. Responses received after the above deadline will not be considered.

3. Potential Companies/Firms are requested to submit EOI application with all required documents as stated in the Data Sheet (DS) through e-tendering platform no later than **7:00 hours (EDT Time) on Saturday 29 August 2020.** Applications received after the above deadline will not be considered. Documents sent by hand will not be accepted. Please ensure to submit through e-tendering platform mention EOI Reference number **[EOI-IRQ003/2020].** PREQ received not through e-tendering platform will not be considered and result in the rejection of submission.
4. At any time prior to the deadline for submission of Prequalification Document, UNDP may, for any reason, whether at its own initiative or in response to a clarification requested by Applicants, modify the Prequalification Application and its attachments by amendment, including through provision of supplementary information.

5. If you need to request additional information, please write to: dler.mohamad@undp.org cc: piero.emanuele.franceschetti@undp.org. The team will provide necessary information within due date. However, any delay in providing such information will not be considered a reason for extending the submission date of EOI. Deadline for submitting requests for clarifications of questions/queries about the contents of the Prequalification application is **Monday, 17 August 2020**. No phone calls will be accepted. Answers to questions/inquiries will be posted on e-tendering platform, UNDP web pages, and other web pages, on which this EOI is posted.
6. Completion of the Prequalification Application and submission of relevant documentation is compulsory as indicated in the Data Sheet. Applications with incomplete submissions shall be disregarded.
7. All applicants (Overseas and Iraqi) are required to comply with Local Government Regulations.

Yours Sincerely



Piero Emanuele Franceschetti
Head of Service Centre
Senior Operations Manager
UNDP Iraq

A. General

Scope of Application: UNDP IRAQ on behalf of UNESCO Procuring entity (The Employer) issues this EOI for the purpose of short-listing potential international/local Companies for its participation in the upcoming bidding process for the fully restore, preserve and rebuild houses in the old historic centre of Mosul and Basra, Iraq

1. Eligible Applicants:

a) An applicant shall be a legally established or Joint Venture (JV) firm(s)/companies. Maximum allowable Joint Venture partners shall not exceed more than two partners. Natural/Physical persons are not eligible to apply in their individual capacities.

b) Construction Companies from Iraq that intend to participate in this Expression of Interest must be registered with the Ministry of Trade, Directorate of Registration of Companies in Iraq.

2. Contents of the EOI: The documentations for the EOI consist of the annexes indicated below and should be read in conjunction with any Addendum that may be issued by the UNDP later on.

- Annex 1 - Application Submission Form;
- Annex 2 – Joint Venture Declaration;
- Annex 3 – Equipment Details
- Annex 4 - General Construction Experience;
- Annex 5- Specific Construction Experience;
- Annex 6 – Litigation History
- Annex 7 – Proposed Key Personnel
- Annex 8 – Evaluation Criteria
- Annex 9 – Annexes

3. Amendment of the EOI:

a) At any time prior to the deadline for submission of applications, the UNDP may amend the Pre-qualification by issuing an addendum.

b) Any addendum issued shall be part of the EOI and shall be communicated in writing by posting the addendum to the web page(s) on which the Pre-Qualification Application is posted.

c) To give prospective applicants reasonable time to take an addendum into account in preparing their applications, UNDP may, at its discretion, extend the deadline for the submission of applications.

A. Preparation of Applications

a) Cost of Applications: The Applicant shall bear all costs associated with the preparation and submission of its application. UNDP will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.

b) Language of Application: The application prepared by the Applicant and all correspondence and documents relating to the application exchanged by the Applicant and UNDP shall be in the **English** language. In case of other language, the firms/companies should provide the English translation version along with the documents.

Late submission beyond the deadline shall be rejected.

B. Procedures of Seeking Clarifications and Evaluation of Applications

Clarifications of Applications

a) To assist in the evaluation of applications, UNDP may, at its discretion, request in writing any applicant for a clarification of its application which shall be submitted within a given reasonable period of time.

b) If an applicant does not provide clarifications of the information requested by the date and time set in UNDP's request for clarification, its application will not be considered for further evaluation.

C. Evaluation of Applications

a) UNDP shall use the factors, methods, criteria, and requirements defined in the Evaluation Criteria attached at Annex-8 to evaluate the qualification of the Applicants.

b) Pre-qualification will be based on the Applicant's Previous construction, **firms should have prior experience in restoration or preservation of historical houses or monuments.**

c) General Experience, Personnel Capabilities, Equipment Capabilities and Financial Position as demonstrated by the Applicant's responses in the forms attached to this Pre-Qualification document.

d) Solicitation Process for Prequalified Construction Companies:

a) After the notification of the results of the EOI to successful bidders, UNDP shall send the ITB documents to Pre-Qualified Companies only that have been found suitable after a very careful and thorough review of all EOI Submissions.

b) Bidders may be required to provide a Bid Security and Bank Guarantee acceptable to the UNDP in the form according to the requirement of the upcoming ITB document and the successful Bidder shall also be required to provide a Performance Security as specified in the upcoming ITB Documents.

D. Confidentiality of information

The pre-qualification applications submitted by Contractors are not public records and are not open to public inspection. All information provided will be kept confidential to the extent permitted by law, although contents may be disclosed to third parties for the purpose of verification or investigation of substantial allegations.

E. Other

a) UNDP may carry out the verification of accuracy, correctness and authenticity of the information provided by the bidder on the documents submitted;

b) Inquiry and reference checking with Government entities with jurisdiction on the bidder, or any other entity that may have done business with the bidder; if required.

c) Inquiry and reference checking with other previous clients on the quality of performance on ongoing or previous contracts completed; if required.

d) UNDP reserves the right to check other sources available to verify information submitted in the pre-qualification applications. If an Applicant knowingly makes a misrepresentation, or an omission of a

material fact, in submitting information to UNDP, such misrepresentation or omission may be sufficient ground for denying prequalification to that Applicant, rescinding the Applicant's prequalification, rejecting an Applicant.

e) This EOI does not constitute a solicitation. UNDP reserves the right to change or cancel this procurement process or any of its requirements at any time during the process; such actions by UNDP will either be posted publicly or directly communicated to all participants, as appropriate. Invitations to participate in ITB will be called for later on and any subsequent contract will be issued in accordance with the rules and procedures of UNDP.

f) In responding to this EOI, UNDP requires all interested Companies to conduct themselves in a professional, objective and impartial manner, and they must at all times hold UNDP's interests' paramount. Potential Companies must strictly avoid conflicts with other assignments or their own interests, and act without consideration for future work. Any Company found to have a conflict of interest shall be disqualified.

Instruction to Companies/Firms:

The Applicants must submit all required documentations as indicated in the below Data Sheet:

Required Documents as indicated in the right column must be Submitted by the potential Companies to Establish Qualification and meet the evaluation criteria as mentioned under Annex-8.	☒ **Company Profile**, which should not exceed fifteen (15) pages, including printed brochures and product catalogues relevant to the goods/services being procured; ☒ Certificate of Registration of the business, Taxpayer Certificate and D & B Report: ☒ Potential Companies must complete Annexe-1 to Annex-7 below as necessary and submit with the EOI application including any other required documentations.

ANNEX - 1

Application Submission Form

(*to be printed on company letterhead, signed, dated and stamped*) Date: [insert day, month, year]

To: UNDP Iraq

We, the undersigned, apply to be prequalified for the referenced PREQ and declare that:

(a) We have examined and have no reservations to the Prequalification Application, including any Addendum (or Addenda to same effect), issued by the procuring UNDP entity in accordance with Instructions to Applicants.

(b) We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any application that you may receive nor to invite the prequalified applicants to bid for the contract subject of this prequalification, without incurring any liability to the Applicants.

(c) We are not associated in the past, directly or indirectly, with a firm or any of its affiliates which have been engaged by UNDP to provide consulting services for the preparation of the design, BOQs, specifications, and other documents to be used for the renovation/rehabilitation services to be procured.

(d) All the information and statements made in this EOI are true and we accept that any misrepresentation contained in it may lead to our disqualification;

(e) We are currently not on the removed or suspended vendor list of the UN or other such lists of other UN agencies, nor are we associated with, any company or individual appearing on the 1267/1989 list of the UN Security Council;

(f) We have no outstanding bankruptcy or pending litigation or any legal action that could impair our operation as a going concern; and we do not employ, nor anticipate employing, any person who is or was recently employed by the UN or UNDP.

(d) The following information shall be used by UNDP to notify us:

Name
Title
Address: Tel: Fax: E mail:

Best regards,

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name *[insert full name of person signing the application]*

In the Capacity of *[insert capacity of person signing the application]*

Duly authorized to sign the application for and on behalf of: Applicant's Name *[insert full name of Applicant]* Address *[insert street number/town or city/country address]*

Dated on *[insert day*

umber] day of *[insert month], [insert year]*

ANNEX - 2

JOINT VENTURE DECLARATION (If Any)

We have entered into a private joint venture in order to submit joint application for the Pre-qualification by **United Nations Development Programme (UNDP) Iraq.** If we are prequalified and awarded the contract in the future, the joint venture agreement shall be notarized and submitted to the Contracting Entity before the contract is concluded. Lead partner of our joint venture shall be …… *[Indicate name of the lead partner*] …… until the completion of work.

If we are awarded the contract as a result of the joint tender that we submit, we hereby declare, accept and guarantee that the contract shall be signed by all partners and our partner indicated as the lead partner shall have the full power to act for and on behalf of our joint venture in respect of all issues concerning the contract. Please note that the maximum JV partners shall not exceed more than two.

No	**Name of the Partner in the JV**	**Percentage Share**[1]
1		%
2		%

	Lead Partner	**Partner**
Name		
Date		
Signature		
Stamp		

Particular Experience Record of Lead Partner: (Renovation & Rehabilitation)

S.No.	Description of Projects	Lead partner	Amount	Year

Particular Experience Record of Partner: (Renovation & Rehabilitation)

S.No.	Description of Projects	Lead partner	Amount	Year

To prequalify, each partner in JV shall be required to pass the specified Minimum Qualifying Criteria applicable to this form, as set out in this document. The partner having less qualification/resources will not be considered.

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name *[insert full name of person signing the application]*

In the Capacity of *[insert capacity of person signing the application]*

Duly authorized to sign the application for and on behalf of: Applicant's Name *[insert full name of Applicant]*
Address *[insert street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month], [insert year]*

Note for Joint Venture: If any potential Overseas/Iraqi Construction company intends to make a joint venture with each other, the lead Firm must have all contractual obligations and bindings to implement the Contract with UNDP.

ANNEX -3

List of Equipment Owned/Rented by the Company

Name of Applicant or Partner of a Joint Venture(if any)

The Applicant shall provide adequate information to demonstrate clearly that it has the capability to meet the requirements for all items of equipment listed in this Annex – 3.
Note: Please fill out this Form for all Equipment the bidders have owned/rented as indicated below:

S.No.	Description of Equipment	On Company's strength	Model/Power/Year of manufacture	Current Location	Current Status
i)					☐ Owned ☐ Rented
ii)					☐ Owned ☐ Rented
iii)					☐ Owned ☐ Rented
iv)					☐ Owned ☐ Rented
v)					☐ Owned ☐ Rented
vi)					☐ Owned ☐ Rented
vii)					☐ Owned ☐ Rented
viii)					☐ Owned ☐ Rented
ix)					☐ Owned ☐ Rented
(x)					☐ Owned ☐ Rented

Annex-4

Previous experience in restoration or preservation of historical houses or monuments

Shall be filled in for the Bidder and partner of a Joint Venture (if any)

General Construction Experience				
Starting Month/ Year	Ending Month/ Year	Years	- Contract Identification and Name - Name and Address of Employer - Brief Description of the Works Executed by the Bidder	Amount

Annex-5

Specific Experience

(For the experience in restoration or preservation of historical houses or monuments)

Shall be filled in for the Bidder and partner of a Joint Venture

Contract of Similar Size and Nature			
Contract No . . . . . of	**Contract Identification**		
Award Date		**Completion Date**	
Role in Contract	**Contractor**	**Management Contractor**	**Subcontractor**
Total Contract Amount	**USD**		
If partner in the JV or subcontractor, specify participation of total contract amount	**Percent of Total**	**Amount**	
Employer's Name **Address** **Telephone/Fax Number** **E-mail**			
Description of the similarity in accordance with Criteria of specific experience mentioned above.			

ANNEX - 6
LITIGATION HISTORY

Name of Applicant or Partner of a Joint Venture

Applicants, including the partners of a joint venture, should provide information on any history of litigation or arbitration resulting from contracts executed in the last three years or currently under execution. A separate sheet should be used for each partner of joint venture.

A. Litigation History

Year	Award FOR or AGAINST Applicant	Name of client, cause of litigation, and matter in dispute	Disputed amount (current value US$ or equivalent)	Blacklist status (if any)

B. **Pending litigation: (**All pending litigation shall in total not be more than 10% of the average annual turnover and shall be treated as resolved against the Applicant)

Year	Name of Company/Organization	Disputed value in US$	Current Status,	Remarks

C. History of Non-Performing Contracts (Non-performance on a contract did not occur in the last 10 years)

Year	Name of Company/Organization	Amount of the Contract	Duration of the Contract (From-To)	Status

This is to certify that our firm is neither in the Consolidated list of Individuals and Entities with Association to Terrorist Organizations nor in the List of Vendors Suspended or Removed from the UN Secretariat Procurement Division Vendor Roster

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name *[insert full name of person signing the application]*

In the Capacity of *[insert capacity of person signing the application]*

Duly authorized to sign the application for and on behalf of: Applicant's Name *[insert full name of Applicant]*
Address *[insert street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month], [insert year]*

Annex-7

Proposed Personnel

The Bidder should provide the names of suitably qualified personnel to meet the specified requirements. The data on their qualification and experience should be supplied in the CVs.

1.	**Qualified Architect Engineer:**
	Name
2.	**Qualified Civil Engineer:**
	Name
3.	**Qualified Electric Engineer:**
	Name
4.	**Qualified Mechanic Engineer:**
	Name
5.	**Qualified Site foreman :**
	Name
6.	**Qualified Quantity Surveyor :**
	Name

Note: CVs of all required personnel should be provided with the application

Annex – 8

Evaluation Criteria for the Pre-Qualification Process of Companies for the fully restore, preserve and rebuild houses in the old historic centre of Mosul and Basra, Iraq

Criteria	Requirements		Required Documents	Score
Conflict of Interest	The Bidder must submit a disclosure that it has no conflict of interest with this Project related issues.		A signed disclosure must be submitted by the authorized official of the company.	Pass/Fail
Historical Contract Non-Performance	History of non-performing contracts: Non-performance of a contract did not occur within the last (10) years prior to the deadline for application submission, based on all information on fully settled disputes or litigation. A fully settled dispute or litigation is one that has been resolved in accordance with the Dispute Resolution Mechanism under the respective contract, and where all appeal instances available to the bidder have been exhausted.	- Must meet requirements. - In case of JV, each partner of the existing or intended JV must meet requirement by itself or as a partner to past or existing JV.	Annex-6 must be completed and signed with stamp.	Pass/Fail
	Pending Litigation: All pending litigation shall in total not represent more than (10%) of the Bidder's net worth and shall be treated as resolved against the Bidder.	- Must meet requirements. - In case of JV, each partner of the existing or intended JV must meet requirement by itself or as a partner to past or existing JV.	Annex-6 must be completed and signed with stamp.	Pass/Fail
Financial Situation	Historical Financial Performance: Submission of audited balance sheets or other Audited Financial Statements acceptable to the Employer, for the Last Five (5) years to demonstrate the current soundness of the bidder's financial position and its prospective long-term profitability.	- Must meet requirements - In case of JV, each partner of the existing or intended JV must meet requirement.	Last five years Audited Financial Statement (Income Statement and Balance Sheet) including Auditor's Report for the past five years (2015, 2016, 2017, 2018 & 2019).	Pass/Fail

Criteria	Requirements		Required Documents	Score
	Minimum annual turnover of US$2,500,000 in any single year for the last five (5) years.	- Must meet requirements - In case of JV, The Lead Firm must meet the requirement.	Required documents must be submitted.	Pass/Fail
D & B Report	Submission of most recent D&B Report that represents sound financial standing of the Company /JV Partner	- Must meet requirements	D & B Report must be submitted.	Pass/Fail
Experience	General Experience: Minimum of 10 years of overall experience in the field of Rehabilitation, Renovation, restore, preserve and rebuild historical houses. Company Profile must be submitted.	- Must meet the requirements. - In case of JV the lead partner must have 10 years of overall experience in Rehabilitation, Renovation, restore, preserve and rebuild historical houses.	Annex-4 must be completed & submitted for the General Experience.	Pass/Fail
	Specific Experience: Minimum of 2 similar contracts for rehabilitation, renovation, construction, restore, preserve and rebuild historical houses over the last 7 years. Minimum one of above indicated contracts equals or above US$2,500,000 successfully implemented during the last 7 years.	- Must meet the requirement by the company/Lead Firm in case of JV.	Annex-5 must be completed & submitted for the Specific Experience.	Pass/Fail

Criteria	Requirements		Required Documents	Score
	Satisfactory performance: Statement of Satisfactory Performance from the Top two (2) Clients on similar works - rehabilitation, renovation, construction, restore, preserve and rebuild historical houses completed during the last 7 years.	- Must meet the requirement by the company/Lead Firm in case of JV.	Must meet the requirement	Pass/Fail
Personnel	1.1- Company Structural Organization (organogram) 1.2- Project Key Staff: 1.2.1- One Qualified Architect Engineer, A minimum of (10) years previous experience on similar scale restore, preserve and rebuild historical houses. Should have B.SC relevant engineering degree. 1.2.2- One Qualified Civil engineer, A minimum of (10) years previous experience on similar scale restore, preserve and rebuild historical houses. Should have B.SC relevant engineering degree. 1.2.3- One Qualified Electric Engineer, A minimum of (5) years previous experience on similar scale restore, preserve and rebuild historical houses. Should have B.SC relevant engineering degree. 1.2.4- One Qualified Mechanical Engineer, A minimum of (5) years previous experience on similar scale restore, preserve and rebuild historical houses. Should have B.SC relevant engineering degree. 1.2.5- One Qualified Site foreman, (10) years' onsite experience on similar scale restore, preserve and rebuild historical houses. Should have a minimum of a High School diploma. Preferable to have a first aid certification.		Must meet the requirement. CVs highlighting each key personnel's relevant experience in the restore, preserve and rebuild historical houses with Letter of Commitment for each key personnel required. Annex-7 must be completed and submitted.	Pass/Fail

Criteria	Requirements	Required Documents	Score
	1.2.6- One Qualified Quantity Surveyor, A minimum of (5) years previous experience on similar scale construction school projects. Should have B.SC relevant building surveying degree.		
Equipment	The Bidder must demonstrate that it has the key equipment proposed for executing and completion of works.	- Must meet the requirement - Annex- 3 must be completed and submitted.	Pass/Fail
Documents Arrangement	Registration Certificate: A Potential Company must have valid Registration & Trade License as an entity issued by its own Government. Any Overseas Company willing to participate in the Pre-Qualification process must submit a Letter of Confirmation that it has the ability to obtain necessary registration certificate/work-permit to operate inside Iraq.	- Must meet the requirement - The Bidders must submit a Letter of confirmation.	Pass/Fail
Health & Safety record	Provide explanation on how the bidder deal with Corona virus (COVID-19) situation, describe health and safety measures that your company put in place.	- Required documents must be submitted.	

Annex-9

Annexes:

This is to pre-qualify contractors with good previous relevant experience. Contractors will then be pre-qualified into a consortium of Pre-approved contractors who will be eligible to bid on all future tenders related to housing restoration, preservation or rehabilitation. The first initial batch of 30 houses and infrastructure works will be released directly to suitable contactors. Further houses will be released in the future, number and location of future tendered houses to be determined.

Plan attached of first 30 houses for first tender.



Rev:	Date:	Notes:
		**DO NOT SCALE FROM THIS DRAWING. DIMENSIONS TO BE DETERMINED ONSITE BY MAIN CONTRACTOR.

Drawn by: JH

Client: UNESCO
European Union Project

Project: Reviving the Spirit of Mosul

Drawing Title: Site Plan
House reconstruction

Date: June 2020

Scale @ A4: N/A

Revision: -

United Nations Educational, Scientific and Cultural Organization
منظمة الأمم المتحدة للتربية والعلم والثقافة
Iraq Office
مكتب العراق

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مشروع ممول من الاتحاد الأوروبي