

### REQUEST FOR PROPOSAL (RFP) (For Low-Valued Services)

NAME & ADDRESS OF FIRM	DATE: September 7, 2020
	REFERENCE: 2020/UNDP-MMR/PN/093

#### Dear Sir / Madam:

We kindly request you to submit your Proposal for Subnational consultation workshops on the National REDD+ Investment Plan.

Please be guided by the form attached hereto as Annex 2, in preparing your Proposal.

Proposals may be submitted on or before Monday, September 21, 2020 and via email, courier mail to the address below:

## United Nations Development Programme (Myanmar) No. 6, Natmauk Road, Tamwe Township, Yangon Programme Support Team Leader bids.mm@undp.org;

Your Proposal must be expressed in the English Language, and valid for a minimum period of 120 days.

In the course of preparing your Proposal, it shall remain your responsibility to ensure that it reaches the address above on or before the deadline. Proposals that are received by UNDP after the deadline indicated above, for whatever reason, shall not be considered for evaluation. If you are submitting your Proposal by email, kindly ensure that they are signed and in the pdf format, and free from any virus or corrupted files.

Services proposed shall be reviewed and evaluated based on completeness and compliance of the Proposal and responsiveness with the requirements of the RFP and all other annexes providing details of UNDP requirements.

The Proposal that complies with all of the requirements, meets all the evaluation criteria and offers the best value for money shall be selected and awarded the contract. Any offer that does not meet the requirements shall be rejected.

Any discrepancy between the unit price and the total price shall be re-computed by UNDP, and the unit price shall prevail and the total price shall be corrected. If the Service Provider does not accept the final price based on UNDP's re-computation and correction of errors, its Proposal will be rejected.

No price variation due to escalation, inflation, fluctuation in exchange rates, or any other market factors shall be accepted by UNDP after it has received the Proposal. At the time of Award of Contract or Purchase Order, UNDP reserves the right to vary (increase or decrease) the quantity of services and/or goods, by up to a maximum twenty-five per cent (25%) of the total offer, without any change in the unit price or other terms and conditions.

Any Contract or Purchase Order that will be issued as a result of this RFP shall be subject to the General Terms and Conditions attached hereto. The mere act of submission of a Proposal implies that the Service Provider accepts without question the General Terms and Conditions of UNDP, herein attached as Annex 3.

Please be advised that UNDP is not bound to accept any Proposal, nor award a contract or Purchase Order, nor be responsible for any costs associated with a Service Providers preparation and submission of a Proposal, regardless of the outcome or the manner of conducting the selection process.

UNDP's vendor protest procedure is intended to afford an opportunity to appeal for persons or firms not awarded a Purchase Order or Contract in a competitive procurement process. In the event that you believe you have not been fairly treated, you can find detailed information about vendor protest procedures in the following link:

http://www.undp.org/content/undp/en/home/operations/procurement/protestandsanctions/

UNDP encourages every prospective Service Provider to prevent and avoid conflicts of interest, by disclosing to UNDP if you, or any of your affiliates or personnel, were involved in the preparation of the requirements, design, cost estimates, and other information used in this RFP.

UNDP implements a zero tolerance on fraud and other proscribed practices, and is committed to preventing, identifying and addressing all such acts and practices against UNDP, as well as third parties involved in UNDP activities. UNDP expects its Service Providers to adhere to the UN Supplier Code of Conduct found in this link: <a href="http://www.un.org/depts/ptd/pdf/conduct\_english.pdf">http://www.un.org/depts/ptd/pdf/conduct\_english.pdf</a>

Thank you and we look forward to receiving your Proposal.

Sincerely yours,

Payal Suri

Programme Support Team Leader 9/7/2020

#### **Description of Requirements**

#### Context of the Requiremen

Myanmar became a partner country of the UN-REDD Programme in December 2011 and received support to develop a REDD+ Readiness Roadmap that was finalized in 2013. From 2014 onwards, the Programme provided initial support to implement the widely consulted roadmap. In November 2015, the 15th Meeting of the UN-REDD Policy Board approved the country's request for a National Programme, with full implementation commencing in January 2017.

The UN-REDD Myanmar Programme seeks to support the development of national capacities and institutions during the readiness phase of REDD+ under the UNFCCC and includes five major outcomes:

Outcome 1: Relevant stakeholders engaged, and their capacities developed

Outcome 2: National institutions have capacity to implement effective and participatory governance arrangements for REDD+

Outcome 3: REDD+ safeguards defined, and national safeguards information system developed

Outcome 4: Development of Myanmar's national forest monitoring system

(NFMS) and preliminary forest RELs/RLs supported

Outcome 5: National REDD+ Strategy developed

The National REDD+ Strategy has been finalized and is in the process of being submitted to Cabinet for approval. The National REDD+ Investment Plan is a supporting document of the National REDD+ Strategy. It identifies projects/programmes that are already contributing to implementation of the National REDD+ Strategy, identifies gaps, describes additional investments required to fill these gaps, and proposes possible sources of investment.

This consultancy seeks a non-governmental organization that can coordinate and facilitate 2-day subnational consultation workshops in four locations, identified to facilitate participation by stakeholders from priority States/Regions, to generate ideas and feedback to improve the draft REDD+ Investment Plan.

# Brief Description of the Required Services

The 2-day consultation workshops will be conducted in four locations. The priority States/Regions identified in the draft REDD+ Investment Plan are: Chin, Kachin, Kayah, Kayin, Mon, and Sagaing. Given these priorities, the four locations proposed for consultation are:

No.	Location	States/Regions participating
1	Myitkyina	Kachin
2	Mawlamyaing	Mon, Kayin
3	Loikaw	Kayah
4	Monywa	Sagaing, Chin

Due to Covid restrictions, the total number of participants, including organizers in each event will be 30. The participants should be from:

State/Regional parliament: 2
State/Region Forest Department: 5
➤ Ethnic Armed Organizations: 3

- CSOs and NGOs: 15
- Organizers and resource persons: 5

Under the guidance of UN-REDD Programme, the contracted organization will have to undertake the following main activities.

No	Activities	Oct				Nov				
No. Activities	W1	W2	W3	W4	W1	W2	W3	W		
1	Work plan for the assignment									
2	Identification of relevant									
	stakeholders for each									
	location									
3	Subnational consultation									
	workshops (4 in total)									
4	Brief summary of key									
	feedback for each									
	consultation workshop									
5	Draft report									
6	Final report									

#### Detailed list of tasks:

In close consultation with UN-REDD Programme Management Unit (PMU), the service provider will:

- a) Identify relevant institutional stakeholders1;
- b) Conduct preparatory discussions with stakeholders from target States/Region of each location to ensure common understanding of the objectives; and
- a) Design an appropriate consultation process/agenda
- b) Facilitate each consultation; and
- c) Prepare brief summary of key feedback after each consultation workshop.

#### Prepare draft report:

- a) Document steps taken, key observations and feedback from stakeholders; and
- b) Submit to the PMU for review.

Revise draft report and prepare final report

- a) Revise report based on review comments received from the PMU; and
- (b) Submit final version, together with list of participants.

	(a) Castille initial releasing to Bettier inter-incress participantes
List and	
Description	(Please see in attached TOR)
of Expected	
Outputs to	
be	
Delivered	
Person to	
Supervise	(Please see in attached TOR)
the	
Work/Perfo	
rmance of	
the Service	
Provider	

<sup>&</sup>lt;sup>1</sup> The usage of "Institutional" in this context broadly refers to collective bodies of governmental and non-governmental stakeholders such as governments, civil society, ethnic minorities, local communities, private sector, and academia.

Frequency	[Please see in at	tached TOR]										
of Reporting												
Progress	Please see in att	ached TOR										
Reporting	i icase see iii att	acrica for										
Requiremen												
ts												
Location of												
work	Yangon with trav	angon with travel to 4 State/Regions										
Expected	3 months											
duration of												
work												
Target start	1 October 2020											
date												
Latest	31 December 20	20										
completion												
date												
Travels			Brief Description									
Expected	Destination/s	Estimated Duration	of Purpose of the	Target								
			Travel	Date/s								
	Travel to be r	proposed in line wit	h proposed metho	l Idology against	t target							
	deliverables.	noposed in line wit	n proposed metho	dology agains	target							
	deliverables.											
Special	™ NI/A											
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Requiremen												
ts												
Facilities to	☑ Not provided											
be Provided	M Not provided											
by UNDP												
(i.e., must												
be excluded												
from Price												
Proposal)												
Implementa												
tion	☑ Required											
Schedule	□ Not Required											
indicating	- Not negative											
breakdown												
and timing												
of												
activities/su												
b-activities												
Names and	Required											
curriculum	☐ Not Required											
vitae of	,											
individuals												
who will be												
involved in												

completing the services											
Currency of Proposal	☑ United States Dol ☑ Local Currency (K										
Value Added Tax on Price Proposal		must be inclusive of VAT and other applicable indirect taxes  must be exclusive of VAT and other applicable indirect taxes									
Validity Period of Proposals (Counting for the last day of submission of quotes)	validity of the Prop	osal beyond confirm th	d what has bee	quest the Proposer to n initially indicated in th writing, without any n	nis RFP. The						
Partial Quotes	☑ Not permitted										
Payment Terms	Outputs	Percentage	Timing	Condition for Payment Release							
Upon submission of the Work plan of the assignment and List of stakeholder groups to be invited to each workshop  Upon submission of 35% With	Within 2 weeks days of contracting	Within thirty (30) days from the date of meeting the following conditions:  a) UNDP's written acceptance (i.e., not mere receipt) of the									
	Upon submission of briefs summary of key feedback for each consultation workshop for 1st 2 locations	35%	Within 5 weeks of contracting								
	Upon submission of briefs summary of key feedback for each consultation workshop for 2nd 2 locations	35%	Within 9 weeks of contracting								
	Upon submission of the final report	10%	Within 12 weeks of contracting								
Person(s) to review/insp ect/ approve outputs/co mpleted services and authorize the disburseme nt of payment	Chief Technical Adv	isor, UN-REI	DD Programme								
	☑ Contract for Profe	essional Serv	vices								

Type of Contract to be Signed	
Criteria for Contract Award	☑ Highest Combined Score (based on the 70% technical offer and 30% price weight distribution)
Criteria for the Assessment of Proposal	Technical Proposal (70%)  • Expertise of the Firm: 40%  • Proposed Workplan and Approach: 30%  • Personnel: 30%
	Financial Proposal (30%)  To be computed as a ratio of the Proposal's offer to the lowest price among the proposals received by UNDP.
UNDP will award the contract to:	☑ One and only one Service Provider
Contract General Terms and Conditions	☑ General Terms and Conditions for de minimis contracts (services only, less than \$50,000)  Applicable Terms and Conditions are available at: <a href="http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html">http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html</a> Non-acceptance of the terms of the General Terms and Conditions (GTC) may be grounds for disqualification from this procurement process
Annexes to this RFP	<ul> <li>☑ Form for Submission of Proposal (Annex 2)</li> <li>☑</li> <li>☑ Detailed TOR [Annex 3]</li> <li>☐ Others [pls. specify]</li> </ul>
Contact Person for Inquiries (Written inquiries only) Other Information [pls. specify]	Kyaw Win Htun Procurement Associate Kyaw.win.htun@undp.org; Any delay in UNDP's response shall be not used as a reason for extending the deadline for submission, unless UNDP determines that such an extension is necessary and communicates a new deadline to the Proposers.  N/A

#### Annex 2

#### FORM FOR SUBMITTING SERVICE PROVIDER'S PROPOSAL<sup>2</sup>

(This Form must be submitted only using the Service Provider's Official Letterhead/Stationery<sup>3</sup>)

[insert: Location]. [insert: Date]

To: [insert: Name and Address of UNDP focal point]

Dear Sir/Madam:

We, the undersigned, hereby offer to render the following services to UNDP in conformity with the requirements defined in the RFP dated [specify date], and all of its attachments, as well as the provisions of the UNDP General Contract Terms and Conditions:

#### A. Qualifications of the Service Provider

The Service Provider must describe and explain how and why they are the best entity that can deliver the requirements of UNDP by indicating the following :

- a) Profile describing the nature of business, field of expertise, licenses, certifications, accreditations;
- b) Business Licenses Registration Papers, Tax Payment Certification, etc.
- c) Latest Audited Financial Statement income statement and balance sheet to indicate Its financial stability, liquidity, credit standing, and market reputation, etc.;
- Track Record list of clients for similar services as those required by UNDP, indicating description of contract scope, contract duration, contract value, contact references;
- e) Certificates and Accreditation including Quality Certificates, Patent Registrations, Environmental Sustainability Certificates, etc.
- f) Written Self-Declaration that the company is not in the UN Security Council 1267/1989 List, UN Procurement Division List or Other UN Ineligibility List.

#### B. Proposed Methodology for the Completion of Services

The Service Provider must describe how it will address/deliver the demands of the RFP; providing a detailed description of the essential performance characteristics, reporting conditions and quality assurance mechanisms that will be put in place, while demonstrating that the proposed methodology will be appropriate to the local conditions and context of the work.

#### C. Qualifications of Key Personnel

If required by the RFP, the Service Provider must provide:

a) Names and qualifications of the key personnel that will perform the services indicating who is

<sup>&</sup>lt;sup>2</sup> This serves as a guide to the Service Provider in preparing the Proposal.

<sup>&</sup>lt;sup>3</sup> Official Letterhead/Stationery must indicate contact details – addresses, email, phone and fax numbers – for verification purposes

- Team Leader, who are supporting, etc.;
- b) CVs demonstrating qualifications must be submitted if required by the RFP; and
- c) Written confirmation from each personnel that they are available for the entire duration of the contract.

#### D. Cost Breakdown per Deliverable\*

	Deliverables [list them as referred to in the RFP]	Percentage of Total Price (Weight for payment)	Price (Lump Sum, All Inclusive)
1	Deliverable 1		
2	Deliverable 2		
3			
	Total	100%	

<sup>\*</sup>This shall be the basis of the payment tranches

#### E. Cost Breakdown by Cost Component [This is only an Example]:

Description of Activity	Remuneration	<b>Total Period of</b>	No. of	<b>Total Rate</b>
	per Unit of Time	Engagement	Personnel	
I. Personnel Services				
1. Services from Home Office				
a. Expertise 1				
b. Expertise 2				
2. Services from Field Offices				
a . Expertise 1				
b. Expertise 2				
3. Services from Overseas				
a. Expertise 1				
b. Expertise 2				
II. Out of Pocket Expenses				
Travel Costs				
2. Daily Allowance				
3. Communications				
4. Reproduction				
5. Equipment Lease				
6. Others				
III. Other Related Costs				

[Name and Signature of the Service Provider's Authorized Person]
[Designation]
[Date]

#### **TERMS OF REFERENCE**

Assignment Title	Subnational consultation workshops on the National REDD+
	Investment Plan
Project	UN-REDD Programme
Type of Contract	Professional Services Agreement
Duration	30 days (Oct – Dec 2020)
Location	Yangon with travel to 4 State/Regions
Application Deadline	

#### **BACKGROUND**

Myanmar became a partner country of the UN-REDD Programme in December 2011 and received support to develop a REDD+ Readiness Roadmap that was finalized in 2013. From 2014 onwards, the Programme provided initial support to implement the widely consulted roadmap. In November 2015, the 15<sup>th</sup> Meeting of the UN-REDD Policy Board approved the country's request for a National Programme, with full implementation commencing in January 2017.

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preliminary forest RELs/RLs supported

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This consultancy seeks a non-governmental organization that can coordinate and facilitate 2-day subnational consultation workshops in four locations, identified to facilitate participation by stakeholders from priority States/Regions, to generate ideas and feedback to improve the draft REDD+ Investment Plan.

#### SCOPE OF WORK, OUTPUTS AND DELIVERABLES

The 2-day consultation workshops will be conducted in four locations. The priority States/Regions identified in the draft REDD+ Investment Plan are: Chin, Kachin, Kayah, Kayin, Mon, and Sagaing. Given these priorities, the four locations proposed for consultation are:

No.	Location	States/Regions participating
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3	Loikaw	Kayah
4	Monywa	Sagaing, Chin

Due to Covid restrictions, the total number of participants, including organizers in each event will be 30. The participants should be from:

State/Regional parliament: 2

State/Region Forest Department: 5

> Ethnic Armed Organizations: 3

CSOs and NGOs: 15

Organizers and resource persons: 5

Under the guidance of UN-REDD Programme, the contracted organization will have to undertake the following main activities.

			Oct		Nov				Dec				
No.	Activities	W	W	W	W	W1	W	W	W	W	W	W	W
		1	2	3	4		2	3	4	1	2	3	4
1	Work plan for the assignment												
2	Identification of relevant												
	stakeholders for each location												
3	Subnational consultation												
	workshops (4 in total)												
4	Brief summary of key feedback												
	for each consultation workshop												
5	Draft report												
6	Final report												

#### **Detailed list of tasks:**

In close consultation with UN-REDD Programme Management Unit (PMU), the service provider will:

- c) Identify relevant institutional stakeholders<sup>4</sup>;
- d) Conduct preparatory discussions with stakeholders from target States/Region of each location to ensure common understanding of the objectives; and
- d) Design an appropriate consultation process/agenda
- e) Facilitate each consultation; and
- f) Prepare brief summary of key feedback after each consultation workshop.

#### Prepare draft report:

- c) Document steps taken, key observations and feedback from stakeholders; and
- d) Submit to the PMU for review.

#### Revise draft report and prepare final report

- b) Revise report based on review comments received from the PMU; and
- (b) Submit final version, together with list of participants.

#### **Key Deliverables**

The following deliverables need to be provided during and at the end of the prescribed period of duration.

No.	Expected delivery/outputs	Time Frame	
1	Work plan for the assignment	Within one week of contracting	
2	Draft list of relevant stakeholders for each location	Within 2 weeks of contracting	

<sup>&</sup>lt;sup>4</sup> The usage of "Institutional" in this context broadly refers to collective bodies of governmental and non-governmental stakeholders such as governments, civil society, ethnic minorities, local communities, private sector, and academia.

3,4	Brief summary of key feedback after each consultation workshop	
	<ul> <li>For 1<sup>st</sup> 2 locations</li> <li>For 2<sup>nd</sup> 2 locations</li> </ul>	Within 5 weeks of contracting Within 9 weeks of contracting
5	Draft report	After 10 weeks of contracting
6	Final report	After 12 weeks of contracting

#### **EXPERTISE AND QUALIFICATIONS REQUIRED**

The contracted NGO shall meet the following criteria:

- Registered with the Government of Myanmar (GoM);
- Demonstrated experience in natural resource management and REDD+;
- Demonstrated good working experience with the GOM, CSO and Ethnic Nationalities organizations; and
- Good networking, communication and facilitation skills.

#### **MANAGEMENT AND IMPLEMENTATION ARRANGEMENTS**

The work will be conducted under the supervision of Chief Technical Advisor of the UN-REDD Programme and in close consultation with the UN-REDD Programme Management Unit (PMU). The UN-REDD PMU will provide technical advice on the methodological approach throughout the process.

In accordance with expected outputs and deliverables, the Contractor submits reports to UN-REDD Chief Technical Advisor for reviewing outputs, comments, and certifying approval/acceptance of works afterwards. In case of any delays to achieve the expected outputs, the Contractor should notify the UN-REDD Chief Technical Advisor in advance to take necessary steps.

#### **DUTY STATION AND DURATION OF ASSIGNMENT**

The duty station for this assignment is Yangon with travel to Myitkyina, Mawlamyaing, Loikaw and Monywa. The work will be undertaken over a period of up to 3 months, starting in October 2020.

#### **PAYMENT ARRANGMENT**

Payment for contracted organization will be made upon certification of work accomplished and delivered by the contracted organization. The payment instalments will be as follows:

No.	Deliverable			
1	Upon submission of the	20%		
	- Work plan of the assignment			
	- List of stakeholder groups to be invited to each workshop			
2,3	Upon submission of briefs summary of key feedback for each consultation			
	workshop			
	- For 1 <sup>st</sup> 2 locations	35%		
	- For 2 <sup>nd</sup> 2 locations	35%		
4	Final report	10%		

#### **RECOMMENDED PRESENTATION OF OFFER**

The organizations are invited to submit technical and financial proposals. The technical proposals should contain the following information:

- 1) Short description of the proposed methodology and approach to accomplish the outputs of the project;
- 2) Scope of work including specific activities and outputs to be undertaken completing the sets of deliverables;

- 3) Expertise that will constitute the proposed team that will undertake the assignment, together with the team management structure, with clear specification of the roles of individual personnel;
- 4) Capacity statement of the individuals, team/organization, supported by documentation of research papers;
- 5) Curriculum vitae of key team members; and
- 6) Work plan including time allocations for major activities.

The financial proposal shall contain the information on budget management and detail budget allocation for those tasks that are needed for the assignment. Possible budget heading may include costs for the personnel, materials, travel, per diem, communications, logistics, administration, stationeries, equipment rental, administrative overheads, etc.

Organizations are requested to provide registration certificates/ documents and firms'/organizations' detailed bank information with the proposals.

#### **CRITERIA FOR SELECTION OF THE BEST OFFER**

Organization will be evaluated based on the following methodology:

**Cumulative Analysis** 

The award of the contract shall be made to the organizations whose offer has been evaluated and determined as;

- 1) Responsive/compliant/acceptable, and
- 2) Having received the highest score
  - · Technical Criteria weight: 70 %
  - · Financial Criteria weight: 30%

The technical proposals will be evaluated as per the following criteria.

- · Expertise of organizations: 40%
- · Proposed Work Plan and Approach: 30%
- · Personnel: 30%

No.		Description	Score
1		Expertise of Organization	40
	1.1	Experience of the organization in undertaking similar activities	25
		(please submit a list of similar projects with information on location,	
		timelines, beneficiaries and value of the project)	
	1.2	General organizational capability to deliver the requirements of this	15
		ToRs	
2		Proposed Work plan and Approach	30
	2.1	Understanding the task	5
	2.2	Appropriate conceptual framework and methodology used	10
	2.3	Defined scope of tasks	10
	2.4	Work plan	5
3		Management Structure and qualifications of key personnel	30
	3.1	Completeness of the team composition	15
	3.2	Professionals' qualifications and competence for the assignment	15
		Total	100

Only those organizations obtaining a minimum of 70% in the technical evaluation will be considered for the financial evaluation.

#### **Financial Evaluation of Proposals:**

The financial proposals of all the applicants who pass the technical evaluation will be scored.

The maximum 30 points will be allotted to the lowest financial bid, and all other bids shall receive points in inverse proportion to the lowest fee e.g. [30 Points] x [USD lowest] / [USD other] = points for other proposer's fees.

The contract shall be awarded to the applicant who receives the highest cumulative score.