

09 September 2020

## INDIVIDUAL CONSULTANT PROCUREMENT NOTICE

## for <u>individual consultants</u> and <u>individual consultants</u> assigned by consulting <u>firms/institutions</u>

Country:	Viet Nam
Description of the assignment:	04 National Consultants for assessment on the potentials for a green and sustainable dragon fruit supply chain in Binh Thuan Province and propose roadmap to support smallholder farmers and traders in the Province in transforming their production and business toward low carbon and sustainable practices
Period of assignment/services (if applicable):	September 2020 – 15 February 2021
Duty Station:	Vietnam
Tender reference:	T200904

1. Submissions should be sent by <u>email</u> to: <u>luu.thi.trang@undp.org</u> no later than:

23.59 hrs., 14 September 2020 (Hanoi time)

With subject line:

T200904A – An Expert on climate change value chain analysis, economic and policy analysis (Team leader)

 $T200904B - An \ Expert \ on \ sustainable \ agriculture \ extension, \ particularly \ on \ green \ extension \ technologies \ for \ dragon \ fruits, \ production \ chain \ technologies, \ standards \ and \ qualification (Team member 1)$ 

T200904C – An Expert on sustainable business planning and management (Team member 2)

T200904D – An Expert on qualification infrastructure, traceability, trade requirements, e-commerce and agricultural digitalization (Team member 3)

Submission received after that date or submission not in conformity with the requirements specified this document will not be considered.

## Note:

- Any individual employed by a company or institution who would like to submit an offer in response to this Procurement Notice must do so in their individual capacity, even if they expect their employers to sign a contract with UNDP.
- Maximum size per email is 30 MB.
- Any request for clarification must be sent in writing, or by standard electronic communication to the address or e-mail indicated above. Procurement Unit UNDP Viet Nam will respond in writing or by standard electronic mail and will send written copies of the response, including an explanation of the query without identifying the source of inquiry, to all consultants.
- After submitting proposal, bidder should send notification by email (without attachment) to: <u>procurement.vn@undp.org</u> informing that the bidder has submitted proposal. UNDP will not be responsible for the missing of proposal if the bidder does not send notification email to above address.
- Female consultants are encouraged to bid for this required service. Preference will be given to equally technically qualified female consultants.

## 2. Please find attached the relevant documents:

- <u>Individual Contract</u> & <u>General Conditions</u>..... (Annex II)
- <u>Reimbursable Loan Agreement</u> (for a consultant assigned by a firm)...... (Annex III)

- 3. Interested individual consultants must submit the following documents/information (in English, PDF Format) to demonstrate their qualifications:

## a. Technical component:

- Signed Curriculum vitae
- Signed Letter to UNDP Confirming Interest and Availability
- 02 own writing samples in English to be submitted.

## b. Financial proposal (with your signature):

- The financial proposal shall specify a total lump sum amount in <u>VND for national</u> <u>consultant and US dollar for International Consultant</u> including consultancy fees and all associated costs i.e. airfares, travel cost, meal, accommodation, tax, insurance etc. – see format of financial offer in Annex V.
- Please note that the cost of preparing a proposal and of negotiating a contract, including any related travel, is not reimbursable as a direct cost of the assignment.

- If quoted in other currency, prices shall be converted to the above currency at UN Exchange Rate at the submission deadline.

## 4. Evaluation

The technical component will be evaluated using the following criteria:

## Team Leader (TL)

Qualification	Score
Have master's degree or higher regarding economics, natural resources and environmental management, business administration, agriculture, public policy;	200
At least 10 years of experience activities related to analysis value chain and develop investment program, investment research consultancy, business plans, strategies, investment project for develop value chain, new commodities;	200
Attend at least 5 researches projects, leading consultation team in analyzing and evaluating agriculture value chain, develop plans, strategies for investment and development of value chain program, business production industry in agriculture sector;	200
Proven experience in conducting researches and policy development in the areas of climate change response and NDC related planning and implementation and applying bio/climate change related value chain analyses; Proven experiences in development of training cirriculums/materials/programs	200
Relevant experience in organizing event, monitoring and summarizing ideas to develop investment project for development of value chain in national scale;	100
Proven working experience in Vietnam in investment in agriculture and sustainable rural development sector	
Provide 02 own writing examples to prove English proficiency	100

## Team member 1 (TM1)

Qualification	
Have master's degree or higher degree regarding agriculture production and agricultural business, natural resource and environmental management;	200
At least 10 years of experience activities related to technical value chain analysis and develop investment program, technical research consultancy, strategies, investment project for develop value chain, agriculture products;	200
Attend at least 2 research projects, agronomy analysis and evaluation of crop value chains, develop plans, strategies for investment and development of agriculture-value chains program, new business production development in agriculture sector;	
At least 5 years of experience in activities related to research, evaluation of production, processing of agriculture products, agricultural planning;	

Have experience in conducting researches and policy development in the areas of climate change response and NDC related planning and implementation and applying bio/climate change related value chain analyses;	200
Have experienced in implementing, organizing, researching, evaluating technical capacity of agriculture (dragon fruits) value chains at national scale;	
Have knowledge and experiences in implementing, organizing, researching, evaluating capacity of technology of agriculture value chain, technical standards and requirement in agriculture products	100
Provide 02 own writing examples to prove English proficiency	100

## Team member 2 (TM2)

Qualification	Score
Have master's degree or higher regarding agricultural economics, financial, trade, statistics, agriculture production, agriculture and rural development	200
At least 5 years of experience in activities related to investment program, value chain research consultancy, analysis of the framework for mobilizing investment resources, ventures/co-operate to develop value chains, agricultural products in agriculture sector, especially in production, agriculture products business, eco-commodity;	200
Participate in at least 2 studies/researches related to investment resource analysis, resource mobilization frameworks for developing plan, strategy for development of value chain program on national and subnational scales	200
Have experience in implementing, monitoring and summarizing the investment resource mobilization framework, risk analysis in investment and strategies;	200
Have experience in implementing and supervising researches, assessment of market and market organization system, service system, linking market development on demand.	100
Have experience in the development of legal documents, MOU, plans / programs in the manner stipulated by the Government of Vietnam.	
Provide 02 own writing examples to prove English proficiency	100

## Team member 3 (TM3)

Qualification	Score
Have master's degree or higher regarding agricultural economics, financial, trade, statistics, computer science or business administration	
At least 5 years of experience in activities related to investment program, value chain research consultancy, traceability, agricultural digitalization, especially in high tech agriculture/ aquaculture value chain development;	200
Participate in the studies/researches related to investment resource analysis, e-	

traceability and agricultural/ aquaculture digitalization, e-commerce in agricultural	
development;	
Have experience in implementing and supervising researches, assessment of market and e-market organization system, service system, linking market development on demand;	200
Have experience in the development of training program in agriculture product traceability, digitalization and smart transformation of agriculture.	100
	400
Provide 02 own writing examples to prove English proficiency	100

A two-stage procedure is utilized in evaluating the submissions, with evaluation of the technical components being completed prior to any price proposals being opened and compared.

The price proposal will be opened only for submissions that passed the minimum technical score of 70% of the obtainable score of 1000 points in the evaluation of the technical component. The technical component is evaluated on the basis of its responsiveness to the Term of Reference (TOR). Maximum 1000 points will be given to the lowest offer and the other financial proposals will receive the points inversely proportional to their financial offers. i.e. Sf = 1000 x Fm / F, in which Sf is the financial score, Fm is the lowest price and F the price of the submission under consideration.

The weight of technical points is 70% and financial points is 30%.

Submission obtaining the highest weighted points (technical points + financial points) will be selected subject to positive reference checks on the consultant's past performance.

Interview with the candidates may be held if deemed necessary.

## 5. Contract

"Lump-sum" Individual Contract will be applied for freelance consultant (Annex II) "Lump-sum" RLA will be applied for consultant assigned by firm/institution/organization (Annex III)

Documents required before contract signing:

- International consultant whose work involves travel is required to complete the courses on BSAFE which is the new online security awareness training and submit certificate to UNDP before contract issuance.
- <u>Note</u>: In order to access the courses, please go to the following link: <u>https://training.dss.un.org</u> The training course takes around 3-4 hours to complete.
- Full medical examination and Statement of Fitness to work for consultants from and above 65 years of age and involve travel. (This is not a requirement for RLA contracts).
- Release letter in case the selected consultant is government official.

## 6. Payment

UNDP shall effect payments to the consultant (by bank transfer to the consultant's bank account provided in the vendor form upon acceptance by UNDP of the deliverables specified the TOR.

Payments are based upon outputs, i.e. upon delivery of the products specified in the TOR.

If two currencies exist, UNDP exchange rate will be applied at the day UNDP instructs the bank to effect to the payment.

7. Your proposals are received on the basis that you fully understand and accept these terms and conditions.



## **TERMS OF REFERENCE**

Services: Assessment on the potentials for a green and sustainable dragon fruit supply chain in Binh Thuan Province and propose roadmap to support smallholder farmers and traders in the Province in transforming their production and business toward low carbon and sustainable practices

**Posts:** A team of 04 National Consultants: Team Leader - Expert on climate change value chain analysis, economic and policy analysis (40 days); Team member 1: Expert on sustainable agriculture extension, particularly on green extension technologies for dragon fruits, production chain technologies, standards and qualification (25 days); Team member 2: Expert on sustainable business planning and management (25 days); Team member 3: Expert on qualification infrastructure, traceability, trade requirements, e-commerce and agricultural digitalization (25 days)

Duty station: Hanoi, with travel to Binh Thuan as required

**Duration**: From Sep 2020 – 15 Feb 2021

Supervision: UNDP Programme Officer on Climate Change and DRR/MARD Project Coordinator

**Coordination:** UNDP experts in Climate Change and Environment Team, MARD's Departments for Plantation, Crop Protection and Fishery, Provincial partners in Binh Thuan, MARD's PSAV

#### 1) GENERAL BACKGROUND

To meet its national and Paris Agreement commitments, private sector action is critical in Viet Nam. MPI estimates that 70% of Viet Nam's \$21 billion total climate change investment needs is expected to come from the private sector. However, this target is not currently on track to be met. The Nationally Determined Conditions (NDC) strategy highlights the key role that private sector finance will need to play beyond more traditional investment but green and innovative investments, such as renewable energy and climate smart business. In addition to supporting the achievement of the country's mitigation and adaptation goals, the participation of the private sector will help advance the country's sustainable development objectives, including promoting the more efficient use of natural resources, cutting costs for households and firms, and improving the competitiveness of the economy.

#### **Project background**

Agriculture plays an essential role in the economy of Viet Nam. In 2018, the agriculture sector accounted for 14.57% of Viet Nam's Gross Domestic Product (GSO, 2019), About 65% of the population of Viet Nam lives in rural areas, with 35.4% reliant on agriculture, fisheries, and forestry as their primary source of income. However, a much larger proportion is dependent on the agricultural sectors for their livelihoods, including informal labour (GSO, 2019).

Agro-businesses, particularly small and medium enterprises, play an essential role in the economy of Viet Nam. According to GSO statistics, in 2017, Viet Nam had 519,000 enterprises and the trend continues to increase in both quantity and quality. 98% of the enterprises are small and medium, among

those 2.2% are medium, 29.6% are small and 68.2% are micro enterprises. In a national survey undertaken by MPI SME Department in 2018, among 4010 enterprise participated in the survey, 80% of them are small and micro enterprises who are operating in the sectors of Agriculture (29%), forestry (26%), Aquaculture (26%) and other services and trading (20%).

UNDP Viet Nam envisions its action contributing primarily to global NDC programme and its global NDC Support Programme with financial support from BMU and the EU. In Viet Nam, UNDP aims to advance the private sector engagement in Agriculture and Energy sectors, as the most prioritized areas to implement Viet Nam climate commitments.

The overall objective of the project is to advance the private sector engagement to finance, support and implement technically sound and financially viable risk-informed mitigation and adaptation actions in Viet Nam NDC. Specifically, the changes are made through delivering of the four expected outcomes

- Outcome 1: Productivity and Competitiveness of Agriculture Sectors and its supply chains are advanced with sustainable, resilient and low emission innovations;
- Outcome 2: Strengthening Platform for Private Sector Innovation and Investments to support technology transfer to track contributions of NDC targets;
- Outcome 3: Sustainable finance mechanisms established to scale up NDC mitigation and adaptation actions;
- Outcome 4: Policies and Incentives created to advance private sector engagement in investment opportunities in national plans.

## Agriculture development in the context of climate

The project will support the Ministry of Agriculture and Rural Development (MARD) in its process of updating the Agriculture component in the NDC and its implementation of the Sector's Action Plan in response to Climate Change in the period from 2021-2030. The MARD's climate change targets will be the important foundation to direct Vietnam population and business in making a strategic shift from intensive use of input, short-term economic driven and less climate responsive production toward greener, environmentally friendly and low carbon practices.

To support MARD accelerating its progress in implementing the sector's NDC targets and exploring different potentials for public-private partnership in taking climate actions, the Project will focus its support in two targeted supply chains of dragon fruits and shrimps as proposed by MARD. The project particularly emphasizes support to strengthening the supply chain coalition/partnership in transforming practices for reducing GHG emissions and enhanced adaptation in the two agriculture commodities. The business cases of the two commodities will inform MARD and provinces in future transformation of climate actions in the entire supply chains of all relevant agriculture commodities.

According to the General Department of Customs, dragon fruit accounts for 32% of the total export value of vegetables and fruits - Vietnam. The export value of dragon fruits (~US\$230 million) is nearly double the export value of the two following fruits - longan (US\$121 million) and mango (US\$104 million). Dragon fruit export also outperformed vegetables with export value of US\$ 143.8 million and processed products with a turnover of US\$ 143.6 million.<sup>1</sup>

<sup>1.</sup> Hoat, T. X., Van Quan, M., Hien, N. T. T., Ngoc, N. T. B., Minh, H., & Thanh, N. V. L. (2018, June 28) Dragon Fruit

Production in Vietnam: Achievements and Challenges. Food & Fertilizer Technology Center Agricultural Policy Platform.

With the recent approved EU - Vietnam Free Trade Agreement (EV-FTA) more opportunities will be expected for dragon fruits export to the EU. However, the EU has very high standards for food imports. In addition to traditional markets for dragon fruit exports such as China, Thailand, Indonesia, Malaysia, Netherlands and Taiwan, dragon fruits are also exported to fastidious markets like the US, Australia, New Zealand, Chile, EU, Japan, Korea, Singapore and India. All of the markets demand high standards and qualifications for the dragon fruit products, including high qualification certification and traceability for climate, environment and social compliances of the exported fruits.

#### Business case of for green and sustainable dragon fruits production

Vietnam is the country with the largest production areas of dragon fruits in Asia and is also the leading dragon fruit exporter in the world. In Vietnam, dragon fruit plantation arears increased rapidly from 5,512 ha in 2000 to 55,419 ha planting dragon fruit with a total output of about 1,074,242 tons in 2018. Dragon fruit is currently grown in most provinces and cities. However, the large-scale specialized farming areas concentrated in provinces such as Binh Thuan, Tien Giang, and Long An. The dragon fruit area of these three provinces accounts for 92% of the total area and 96% of the country's production.

Binh Thuan has the largest area and production of dragon fruits, accounting for 52.82% of the area and 55.11% of the national production, followed by Long An (accounting for 20.35% of the area and 24.51% of the production) and third place is Tien Giang (accounting for 14.28% of area and 15.04% of production). Dragon fruits are highly productive and generate revolving income for people. With the application of advance technologies, dragon fruits are planted all year round and highly flexible to meet different demands for export. Therefore, dragon fruits are economically efficient and highly competitive than many other crops.

Dragon fruit products circulated in the market are mainly in the form of fresh fruits, in which the domestic market accounts for 15-20% of the output; 80-85% of the remaining output is exported but the main market is China. However, the dragon fruits are exported to about 40 different countries and territories.

#### Covid 19 and the impacts on agriculture/aquaculture businesses

Farming households in the Mekong Delta face a 'double threat' from drought/saltwater intrusion and COVID-19 economic impacts. Drought and saltwater intrusion worsened from September 2019 and by January 2020, saltwater had intruded up to 100 km in some sites, surpassing previous maximum limits in 2015-2016. Rapid assessment in January 2020 by UNDP and other partners confirmed impacts of Covid-19 on the operationalization of small holder farmers and micro, small and medium enterprises (MSMEs) in the region.

In adapting to the new normal due to Covid, farmers and MSMEs in the Mekong is currently shifting their operation toward digital solutions. These digital transformations including e-payments and e-services and diversification of products and markets including virtual markets, digital certification and traceability for household businesses, MSMEs and cooperatives. It open opportunities for MSMEs or businesses headed by/employing women, PWD and ethnic minorities, and youth, to access to timely information and access to new markets and technologies. This ensure that these MSMEs and the most vulnerable groups are not left behind and can recover and build forward better in the IR4.0 context and the emerging 'new normal' of COVID-19.

Under the Outcome 1 of the Project and in the context of Covid19, UNDP and MARD are looking for a team of national experts to undertake an Assessment on the potentials for a green and sustainable dragon fruits supply chain in Binh Thuan Province and propose roadmap to support smallholder dragon fruits farmers and traders in the Province in transforming their production and business toward low-carbon and sustainable practices in the next 5 years.

To undertake the work, a group of 04 consultants will be mobilized by UNDP and MARD in carrying out the activities, including

- 1. Team Leader (TL) Expert on climate change value chain analysis, economic and policy analysis (40 days);
- 2. Team member 1 (TM1): Expert on sustainable agriculture extension, including dragon fruits extension, dragon fruits production chain technologies, dragon fruits standards and qualification (25 days)
- 3. Team member 2 (TM2): Expert on sustainable business planning and management (25 days);
- 4. Team member 3 (TM3): Consultant on qualification infrastructure, traceability, trade requirement-e-commerce and agricultural digitalization (25 days)

The results of this study will be a key foundation for the project in piloting relevant interventions in the next 3 years to transform a targeted dragon fruits region into a green sustainable and climate responsible production region for Binh Thuan. The assessment will inform dragon fruits businesses in Binh Thuan on options to improve its domestic market access as well as its upward linkages to international markets and integration into new green and sustainable dragon fruits supply chains such as EU, US, Australia, New Zealand, etc.

## 2) OBJECTIVES OF THE ASSIGNMENT

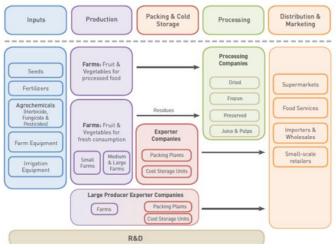
Overall, the team is expected to study and undertake a comprehensive assessment on the potentials for a green and sustainable dragon fruits supply chain in Binh Thuan Province and propose a roadmap to support smallholder dragon fruits farmers and traders in the target supply chain in transforming their production and business toward low-carbon and sustainable practices in the next 5 years.

Specific objectives:

- 1. To conduct a comprehensive mapping and develop an inventory of dragon fruits production in Binh Thuan and its upward linkages to the prominent dragon fruits supply chains, including but not limit to (i) the current and potential technologies (production, processing, transporting, packaging, trading etc.), (ii) current qualification and standards of the dragon fruits products, (iii) existing rules and regulations for production and trading, (iv) traceability, (v) logistics arrangements, (vi) existing climate change response (mitigation and adaptation) along different actors in the supply chains, (vii) on-going support from the state management of the agriculture sector.
- 2. In-depth evaluation on the potentials and opportunities for the farmers and businesses in Binh Thuan to shift toward green and sustainable dragon fruits supply chain. The evaluation will

identify key climate risks, mitigation and adaptation potentials and a list of pioneer firms<sup>2</sup> in the dragon fruit supply chain in Binh Thuan that will commit to improve their business models with low carbon resilient technology options.

- **3.** Propose relevant supporting facilities/training packages to improve low carbon and climate smart solutions for those pioneer firms in the next 5 years with the support from the project, provinces and MARD.
- **4.** Develop a roadmap for smallholder dragon fruits farmers and traders in transforming their dragon fruits production and businesses toward low-carbon and sustainable practices, including a traceability system for climate responsive and sustainable dragon fruits production.



Example of a fruit supply chain map analysis

#### **3) SCOPE OF WORK**

Overall, the team of consultants is expected to work collectively to carry out the following tasks

#	Tasks	Lead	Support
1	Specifically assess the roles, technological, carbon footprint, e- traceability readiness and financial capacity and stakeholder partnerships involved in the existing dragon fruits supply chain (from input-output- production / processing of dragon fruitss - logistic systems - trade - consumers), considerating constraints in linkage regimes, technological and investment capacities, production efficiency, constraints, and bottlenecks elements in the chain;	TL	TM1, TM2, TM3
2	Analyze economic efficiency, sustainability, linkage, investment cooperation, benefit sharing, possible GHG emission/emission reduction possibility and risk of each element in the chain and the whole chain;	TM2/TM3	TL TM3

<sup>&</sup>lt;sup>2</sup> The pioneer firms will be identified throughout the whole chain, from inputs to production to packaging, processing and distribution and marketing described in figure 1 below. from input materials - cultivating - harvesting processing - product lines - trade – market (from farm to table)

3	Assessment of the availability of climate response practices/technologies (potential GHG emission reduction, CSA adaptive capacity), business information needs and requirements (trade standards, requirements, market outlook etc.) for the various product lines as well as the capacity of management, quality control, supply chain organization and marketability of the different actors in the dragon fruits chain, especially potential of eco (climate responsible) dragon fruits supply chain (domestic and international)	TM1/TM2	TL TM3
4	Policies and regulations that promote / impede the development of eco/ climate responsible dragon fruits supply chain in Vietnam and in the Province: incentive policies, constraints, opportunities and challenges in developing this eco/ climate responsible dragon fruits supply chain;	TL/TM3	TM2 TM3
5	Analyze the impact of policies, regulations, standards, traceably/product, labelling procedure, chain data reporting/recording etc., that affect each stage of the supply chain (incentive and impediment / incentive policies, digitalization possibility. ), and investment efficiency throughout the chain;	All	All
6	Mapping Logistics system along the dragon fruits supply chain from the production farms / fields to primary collection – processing- markets-consumers;	All	All
7	The service system along the supply chain (inspection, evaluation, traceability, farm ID, PUC, monitoring the raising practices, digitalization and recognition of product quality, agent system, linking extension system, farmer / cooperative/association/enterprises);	TM3 TM2	TL/TM1
8	Conduct a cost and benefit analysis to evaluate the investment efficiency of each component in the chain to propose improvements and priorities for develop investment the viable and effective of eco (climate responsible) dragon fruits supply chains.	TM2/TL	TM1, TM3
9	Analyze potential risks (finance, production, markets, etc.) and propose risk mitigation strategies.	TM2, TM1	TL, TM3
10	Analyze the chain management system and the institutional linkage system, investment partnerships of the chain actors and the whole supply chain;	TM2	
11	Draft a training plan for the supply chain stakeholders on key capacities	All	All

	for development of an eco- (climate responsible) dragon fruits supply chain;		
12	Draft MOU for a pioneer farms to join a partnerships in the Investment in eco/Climate Responsible Dragon fruits supply chain Development and sharing business data for an effective Climate Smart Agriculture Transformation towards sustainable and climate responsible sector of Vietnam.	TL	TM1, 2, 3
13	Propose relevant supporting facilities/training packages to improve low carbon and climate smart solutions for those pioneer firms in the targeted dragon fruits supply chain in the next 5 years with the support from the project, provinces and MARD.	TL	TM1, 2, 3
14	Develop a roadmap for smallholder dragon fruits farmers and traders in transforming their dragon fruits production and businesses toward low- carbon and sustainable practices, including a traceability system for climate responsive and sustainable dragon fruits production.	TL	TM1, 2, 3

## To deliver tasks, the Team Leader will be primary responsible for the following

- Lead in the preparation of the overall work plan of the team, and lead discussions with UNDP on the scope, methodology, timeframe, table of contents for the report and detail expectations of technical/thematic inputs from team members.
- Provide technical guidance on the methodology, review the surveys and questionnaire process
- Guide the team members throughout the process and share the responsibility of analysis of collected data across members
- Participate in the field visits and field consultation
- Tentatively take lead or support in the list of the indicative tasks (see table above)
- Participate in technical and policy consultations with MARD and UNDP
- Review the thematic reports, analyze the findings and outcomes of the consultations with supply chain stakeholders.
- Lead in the draft and finalization of the report and develop a 5-pager policy paper upon acceptance of the final report by UNDP and partners.
- Present the results of the research during a consultation with high-level policymakers and development partners as required.

The three team members, under the technical guidance of the team leader, will be responsible for

- Support the team leader in the preparation of the overall work plan and get agreement on related detail expectations of technical/thematic inputs to the main report's chapters.
- Provide inputs on technical guidance on the methodology, review the surveys and questionnaire process
- Lead in collection of existing information, data and plans, policies and practices for green and sustainable dragon fruits production and supply chains, particularly in the areas of the team member expertise
- Provide direct inputs into the drafting chapters of the team reports as outlined/specified by the team leaders, including undertaking necessary analysis in the areas of expertise

- Tentatively take lead or support in the list of the indicative tasks (see table above)
- Participate in the field visits and field consultation
- Support in technical and policy consultations with MARD and UNDP as required.
- Review the thematic reports, analyses the findings and outcomes of the consultations with supply chain stakeholders.

### 4) DURATION OF ASSIGNMENT, DUTY STATION AND EXPECTED PLACES OF TRAVEL

The supply chain research will be based on the preliminary field survey results to select a number of existing dragon fruits supply chain actors for in-depth study in concentrated dragon fruits production areas in Binh Thuan, especially eco/climate change vulnerable dragon fruits production region.

This assessment will be compimentary with on-going VCCI study. The VCCI will work in close cooperation and coordination with the project to conduct a survey on the current status of businesses and enterprises involved in dragon fruits supply chain operation in Viet Nam. VCCI will primary suport with on-line surveys and their targeted audience will be mostly upward actors of the dragon fruits supply chains. The results from the research will also be use to assess the potential linkages to current and future green markets for sustainable dragon fruits production in Viet Nam.

Deliverables	Activity	Time	Location
1	Inception Report and Update Detailed Implementation Plan and methodology	End Sep 2020	Hanoi
2	A draft/final TOR for a service provider to develop E-traceability being piloted on the value chain; A draft/final TOR for development and improvement of database system for dragon fruits (connecting national/provincial, business/producer/farm level).	Mid Oct – Mid Nov 2020	Hanoi
3	01 Report that provides comprehensive mapping of dragon fruits production in Binh Thuan and its upward linkages to the prominent dragon fruit supply chains	Mid Nov Oct 2020	Ha Noi and Binh Thuan
4	01 report that provides in-depth evaluation on the potentials and opportunities for the farmers and businesses in Binh Thuan to shift toward green and sustainable dragon fruits supply chain.	Mid Nov 2020	Hanoi
5	Propose relevant supporting facilities/training packages to improve low carbon and climate smart solutions for those pioneer firms in the targeted dragon fruits supply chain in the next 5 years with the support from the project, provinces and MARD	Dec 2020	Hanoi
6	A roadmap for smallholder dragon fruits farmers and traders in transforming their dragon fruits	Jan 2021	Hanoi

The duration of this consultancy will be from Sep 2020 to Feb 2021.

	production and businesses toward low-carbon and sustainable practices, including a traceability system for climate responsive and sustainable dragon fruits production.
7	Final research report in both English andFeb 2021HanoiVietnamese

## 6) PROVISION OF MONITORING AND PROGRESS CONTROLS

The selected consultant group will develop and propose methods, technical standards and support personnel to complete the task. As soon as the contract is signed, the consultant will provide a detailed work plan and inception report to update the methodology and technical standards stated in the TOR.

The consultants, under the guidance of MARD ICD and UNDP, will be responsible for the following:

- 1. Develop a plan and methodology for the research, analysis and evaluation of typical dragon fruits supply chains: traditional chains, commercial-export chains etc.;
- 2. Conduct field survey, analysis and evaluation of dragon fruits supply chains including relevant survey, questionnaires and consultation.
- 3. Plan meetings / workshops to discuss and consult the results of the assessment with Provinces, MARD, UNDP and relevant stakeholders.
- 4. Provide progress update and reporting upon deliverables

The consultants will work in close collaboration with the Programme Officer in charge in the UNDP Climate Change and Environment team for the detail workplan and reporting upon deliverables. Payments will be made upon satisfactory acceptance by MARD ICD and UNDP Programme Officer in charge of the project.

## 7) DEGREE OF EXPERTISE AND QUALIFICATIONS

- 1. Team Leader (TL) Expert on climate change value chain analysis, economic and policy analysis (40 days);
- Have master's degree or higher regarding economics, natural resources and environmental management, business administration, agriculture, public policy;
- At least 10 years of experience activities related to analysis value chain and develop investment program, investment research consultancy, business plans, strategies, investment project for develop value chain, new commodities;
- Attend at least 5 researches projects, leading consultation team in analyzing and evaluating agriculture value chain, develop plans, strategies for investment and development of value chain program, business production industry in agriculture sector;
- Have experience in conducting researches and policy development in the areas of climate change response and NDC related planning and implementation and applying bio/climate change related value chain analyses;

- Have experience in organizing event, monitoring and summarizing ideas to develop investment project for development of value chain in national scale;
- Having experiences in development of training cirriculums/materials/programs
- Have working experience in Vietnam, in investment in agriculture and sustainable rural development sector
  - 2. Team member 1 (TM1): Expert on sustainable agriculture extension, including dragon fruits extension, dragon fruits production chain technologies, dragon fruits standards and qualification (25 days)
- Have master's degree or higher degree regarding agriculture production and fisheries agricultural business, natural resource and environmental management;
- At least 10 years of experience activities related to technical value chain analysis and develop investment program, technical research consultancy, strategies, investment project for develop value chain, agriculture products;
- Attend at least 2 research projects, agronomy analysis and evaluation of crop value chains, develop plans, strategies for investment and development of agriculture-value chains program, new business production development in agriculture sector;
- At least 5 years of experience in activities related to research, evaluation of production, processing of agriculture products, agricultural planning;
- Have experience in conducting researches and policy development in the areas of climate change response and NDC related planning and implementation and applying bio/climate change related value chain analyses;
- Have experienced in implementing, organizing, researching, evaluating technical capacity of agriculture (dragon fruits) value chains at national scale;
- Have knowledge and experiences in implementing, organizing, researching, evaluating capacity of technology of agriculture value chain, technical standards and requirement in agriculture products

## **3.** Team member 2 (TM2): Expert on sustainable business planning and management (25 days);

- Have master's degree or higher regarding agricultural economics, financial, trade, statistics, agriculture production, agriculture and rural development
- At least 5 years of experience in activities related to investment program, value chain research consultancy, analysis of the framework for mobilizing investment resources, ventures/co-operate to develop value chains, agricultural products in agriculture sector, especially in production, agriculture products business, eco-commodity;
- Participate in at least 2 studies/researches related to investment resource analysis, resource mobilization frameworks for developing plan, strategy for development of value chain program on national and subnational scales;
- Have experience in implementing, monitoring and summarizing the investment resource mobilization framework, risk analysis in investment and strategies;
- Have experience in implementing and supervising researches, assessment of market and market

organization system, service system, linking market development on demand.

- Have experience in the development of legal documents, MOU, plans / programs in the manner stipulated by the Government of Vietnam.

## 4. Team member 3 (TM3): Consultant on qualification infrastructure, traceability, trade requirement-e-commerce and agricultural digitalization

- Have master's degree or higher regarding agricultural economics, financial, trade, statistics, computer science or business administration
- At least 5 years of experience in activities related to investment program, value chain research consultancy, traceability, agricultural digitalization, especially in high tech agriculture/ aquaculture value chain development;
- Participate in the studies/researches related to investment resource analysis, e-traceability and agricultural/ agriculture digitalization, e-commerce in agricultural development;
- Have experience in implementing and supervising researches, assessment of market and e-market organization system, service system, linking market development on demand;
- Have experience in the development of training program in agriculture product traceability, digitalization and smart transformation of agriculture.

## 8) Admin support and reference documents

"2017 EU-UNDP Guidelines for Financing Local Costs in Development Cooperation with Viet Nam" will be applied for national consultancy rate and travel cost.

An indicative of <u>5 days field mission</u> is expected by all members to Binh Thuan, including 01 consultation workshop/briefing meeting with the province. The consultants are expected to include this field mission costs in their financial offer (air fare or car rental, accommodation, daily allowance, etc.).

The travel cost (flight ticket, terminal fee, accommodation...) to join 1 one-day consultation workshop (in a selected Province) will be covered separately by UNDP, excluding the travel days for the consultancy services.

## 9) REVIEW TIME REQUIRED AND PAYMENT TERM

- 1<sup>st</sup> installment: 20% of contract amount upon the deliverable of the workplan for the full assignment Deliverable 1
- 2<sup>nd</sup> installment: 40% of contract amount upon receiving and acceptance of Deliverable 2, 3,4
- Final installment: 40% of contract amount shall be paid upon satisfactory completion of all deliverables 5, 6, 7 as specified in the TORs.

Payments will be made upon satisfactory acceptance by MARD ICD and UNDP Programme Officer in charge of the project

## 10) CONSULTANT PRESENCE REQUIRED ON DUTY STATION/UNDP PREMISES

 $\square PARTIAL \qquad \square INTERMITTENT \qquad \square FULL-TIME$ 

## EVALUATION CRITERIA WITH ASSIGNED SCORES

Team Leader (TL) - Expert on climate change value chain analysis, economic and policy analysis (40 days);

Qualification	Score
Have master's degree or higher regarding economics, natural resources and environmental management, business administration, agriculture, public policy;	200
At least 10 years of experience activities related to analysis value chain and develop investment program, investment research consultancy, business plans, strategies, investment project for develop value chain, new commodities;	200
Attend at least 5 researches projects, leading consultation team in analyzing and evaluating agriculture value chain, develop plans, strategies for investment and development of value chain program, business production industry in agriculture sector;	200
Proven experience in conducting researches and policy development in the areas of climate change response and NDC related planning and implementation and applying bio/climate change related value chain analyses; Proven experiences in development of training cirriculums/materials/programs	200
Relevant experience in organizing event, monitoring and summarizing ideas to develop investment project for development of value chain in national scale; Proven working experience in Vietnam in investment in agriculture and sustainable rural development sector	100
Provide 02 own writing examples to prove English proficiency	100

Team member 1 (TM1): Expert on sustainable agriculture extension, including dragon fruits extension, dragon fruits production chain technologies, dragon fruits standards and qualification (25 days)

Qualification	Score
Have master's degree or higher degree regarding agriculture production and agricultural business, natural resource and environmental management;	200
At least 10 years of experience activities related to technical value chain analysis and develop investment program, technical research consultancy, strategies, investment project for develop value chain, agriculture products;	200
Attend at least 2 research projects, agronomy analysis and evaluation of crop value chains, develop plans, strategies for investment and development of agriculture-value chains program, new business production development in agriculture sector;	200
At least 5 years of experience in activities related to research, evaluation of production, processing of agriculture products, agricultural planning;	
Have experience in conducting researches and policy development in the areas of climate change response and NDC related planning and implementation and	200

<ul> <li>applying bio/climate change related value chain analyses;</li> <li>Have experienced in implementing, organizing, researching, evaluating technical capacity of agriculture (dragon fruits) value chains at national scale;</li> <li>Have knowledge and experiences in implementing, organizing, researching, evaluating capacity of technology of agriculture value chain, technical standards and requirement in agriculture products</li> </ul>	100
Provide 02 own writing examples to prove English proficiency	100

## Team member 2 (TM2): Expert on sustainable business planning and management (25 days);

Qualification	Score
Have master's degree or higher regarding agricultural economics, financial, trade, statistics, agriculture production, agriculture and rural development	200
At least 5 years of experience in activities related to investment program, value chain research consultancy, analysis of the framework for mobilizing investment resources, ventures/co-operate to develop value chains, agricultural products in agriculture sector, especially in production, agriculture products business, eco-commodity;	200
Participate in at least 2 studies/researches related to investment resource analysis, resource mobilization frameworks for developing plan, strategy for development of value chain program on national and subnational scales	200
Have experience in implementing, monitoring and summarizing the investment resource mobilization framework, risk analysis in investment and strategies;	200
Have experience in implementing and supervising researches, assessment of market and market organization system, service system, linking market development on demand.	100
Have experience in the development of legal documents, MOU, plans / programs in the manner stipulated by the Government of Vietnam.	
Provide 02 own writing examples to prove English proficiency	100

# Team member 3 (TM3): Consultant on qualification infrastructure, traceability, trade requirement, e-commercial and agricultural digitalization

Qualification	Score
Have master's degree or higher regarding agricultural economics, financial, trade, statistics, computer science or business administration	200
At least 5 years of experience in activities related to investment program, value chain research consultancy, traceability, agricultural digitalization, especially in high tech agriculture/ aquaculture value chain development;	200
Participate in the studies/researches related to investment resource analysis, e-	200

traceability and agricultural/ aquaculture digitalization, e-commerce in agricultural development;	
Have experience in implementing and supervising researches, assessment of market and e-market organization system, service system, linking market development on demand;	
Have experience in the development of training program in agriculture product traceability, digitalization and smart transformation of agriculture.	100
Provide 02 own writing examples to prove English proficiency	100

#### **OFFEROR'S LETTER TO UNDP**

#### CONFIRMING INTEREST AND AVAILABILITY FOR THE INDIVIDUAL CONTRACTOR (IC) ASSIGNMENT

Date \_\_\_\_\_

(Name of Resident Representative/Bureau Director) United Nations Development Programme (Specify complete office address)

Dear Sir/Madam:

I hereby declare that:

- A) I have read, understood and hereby accept the Terms of Reference describing the duties and responsibilities of [*indicate title of assignment*] under the [*state project title*];
- B) I have also read, understood and hereby accept UNDP's General Conditions of Contract for the Services of the Individual Contractors;
- C) I hereby propose my services and I confirm my interest in performing the assignment through the submission of my CV which I have duly signed and attached hereto as Annex 1;
- D) In compliance with the requirements of the Terms of Reference, I hereby confirm that I am available for the entire duration of the assignment, and I shall perform the services in the manner described in my proposed approach/methodology which I have attached hereto as Annex 3 [delete this item if the TOR does not require submission of this document];
- E) I hereby propose to complete the services based on the following payment rate: [please check the box corresponding to the preferred option]:

An all-inclusive daily fee of [*state amount in words and in numbers indicating currency*]

A total lump sum of [*state amount in words and in numbers, indicating exact currency*], payable in the manner described in the Terms of Reference.

- F) For your evaluation, the breakdown of the abovementioned all-inclusive amount is attached hereto as Annex V;
- G) I recognize that the payment of the abovementioned amounts due to me shall be based on my delivery of outputs within the timeframe specified in the TOR, which shall be subject to UNDP's review, acceptance and payment certification procedures;
- H) This offer shall remain valid for a total period of \_\_\_\_\_ days [*minimum of 90 days*] after the submission deadline;

- I) I confirm that I have no first degree relative (mother, father, son, daughter, spouse/partner, brother or sister) currently employed with any UN agency or office [disclose the name of the relative, the UN office employing the relative, and the relationship if, any such relationship exists];
- J) If I am selected for this assignment, I shall [please check the appropriate box]:



Sign an Individual Contract with UNDP;

Request my employer *[state name of company/organization/institution]* to sign with UNDP a Reimbursable Loan Agreement (RLA), for and on my behalf. The contact person and details of my employer for this purpose are as follows:

- K) I hereby confirm that *[check all that applies]*:
  - At the time of this submission, I have no active Individual Contract or any form of engagement with any Business Unit of UNDP;

I am currently engaged with UNDP and/or other entities for the following work:

Assignment	Contract Type	UNDP Business Unit / Name of Institution/Company	Contract Duration	Contract Amount

I am also anticipating conclusion of the following work from UNDP and/or other entities for which I have submitted a proposal:

Assignment	Contract Type	Name of Institution/ Company	Contract Duration	Contract Amount

- L) I fully understand and recognize that UNDP is not bound to accept this proposal, and I also understand and accept that I shall bear all costs associated with its preparation and submission and that UNDP will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the selection process.
- M) *If you are a former staff member of the United Nations recently separated, please add this section to your letter:* I hereby confirm that I have complied with the minimum break in service required before I can be eligible for an Individual Contract.
- N) I also fully understand that, if I am engaged as an Individual Contractor, I have no expectations nor entitlements whatsoever to be re-instated or re-employed as a staff member.

O) Are any of your relatives employed by UNDP, any other UN organization or any other public international organization?

YES  $\square$  NO  $\square$  If the answer is "yes", give the following information:

Name	Relationship	Name of International Organization

- P) Do you have any objections to our making enquiries of your present employer? YES NO
- Q) Are you now, or have you ever been a permanent civil servant in your government's employ?

YES		NO	If answer is	s "ves".	WHEN?
ILO I	_		II answer is	s yes,	VVIILIN :

R) REFERENCES: List three persons, not related to you, who are familiar with your character and qualifications.

Full Name	Full Address	<b>Business or Occupation</b>

S) Have you been arrested, indicted, or summoned into court as a defendant in a criminal proceeding, or convicted, fined or imprisoned for the violation of any law (excluding minor traffic violations)?

YES  $\square$  NO  $\square$  If "yes", give full particulars of each case in an attached statement.

I certify that the statements made by me in answer to the foregoing questions are true, complete and correct to the best of my knowledge and belief. I understand that any misrepresentation or material omission made on a Personal History form or other document requested by the Organization may result in the termination of the service contract or special services agreement without notice.

DATE: \_\_\_\_\_

SIGNATURE: \_\_\_\_\_

NB. You will be requested to supply documentary evidence which support the statements you have made above. Do not, however, send any documentary evidence until you have been asked to do so and, in any event, do not submit the original texts of references or testimonials unless they have been obtained for the sole use of UNDP.

Annexes [please check all that applies]:

Breakdown of Costs Supporting the Final All-Inclusive Price as per Template

CV shall include Education/Qualification, Processional Certification, Employment Records /Experience

## **GUIDELINES FOR CV PREPARATION**

WE REQUEST THAT YOU USE THE FOLLOWING CHECKLIST WHEN PREPARING Your CV: Limit the CV to 3 or 4 pages NAME (First, Middle Initial, Family Name) Address: City, Region/State, Province, Postal Code Country: Telephone, Facsimile and other numbers Internet Address: Sex, Date of Birth, Nationality, Other Citizenship, Marital Status Company associated with (if applicable, include company name, contact person and phone number)

#### SUMMARY OF EXPERTISE

Field(s) of expertise (be as specific as possible) Particular development competencies-thematic (e.g. Women in Development, NGOs, Privatization, Sustainable Development) or technical (e.g. project design/evaluation) Credentials/education/training, relevant to the expertise

<u>LANGUAGES</u> Mother Tongue: Indicate written and verbal proficiency of your English:

#### SUMMARY OF RELEVANT WORK EXPERIENCE

Provide an overview of work history in reverse chronological order. Provide dates, your function/title, the area of work and the major accomplishments include honorarium/salary. References (name and contact email address) must be provided for each assignment undertaken by the consultant that UNDP may contact.

#### **UN SYSTEM EXPERIENCE**

If applicable, provide details of work done for the UN System including WB. Provide names and email address of UN staff who were your main contacts. Include honorarium/salary.

#### **UNIVERSITY DEGREES**

List the degree(s) and major area of study. Indicate the date (in reverse chronological order) and the name of the institution where the degree was obtained.

#### PUBLICATIONS

Provide total number of Publications and list the titles of 5 major publications (if any)

#### MISCELLANEOUS

Indicate the minimum and maximum time you would be available for consultancies and any other factors, including impediments or restrictions that should be taken into account in connection with your work with this assignment.

## Annex V

### FINANCIAL OFFER

Having examined the Solicitation Documents, I, the undersigned, offer to provide all the services in the TOR for the sum of ..... (VND for National Consultant and USD for International Consultant).

This is a lump sum offer covering all associated costs for the required service (fee, meal, accommodation, travel, taxes etc).

No.	Description	Quantity	Unit Rate	Total
1	Consultancy fee			
2	Out of pocket expenses			
2.1	Travel			
2.2	Per diem			
2.3	Full medical examination and Statement of Fitness to work for consultants from and above 65 years of age and involve travel – (required before issuing contract). *			
2.4	Others (pls. specify)			
2.5	VAT** if applicable (in case your company signs the contract)			
	Total			

\* Individual Consultants/Contractors who are over 65 years of age with assignments that require travel and are required, <u>at their own cost</u>, to undergo a full medical examination including x-rays and obtaining medical clearance from <u>an UN-approved doctor</u> prior to taking up their assignment.

\*\* Individual Consultants/Contractors who request their employer to sign a Reimbursable Loan Agreement (RLA) with UNDP for their behalves are reminded to add the Value Added Tax into the total lump sum of the Financial Offer if applicable.

I undertake, if my proposal is accepted, to commence and complete delivery of all services specified in the contract within the time frame stipulated.

I agree to abide by this proposal for a period of 120 days from the submission deadline of the proposals.

Dated this day /month

of year

Signature

(The costs should only cover the requirements identified in the Terms of Reference (TOR) Travel expenses are not required if the consultant will be working from home).