REQUEST FOR PROPOSAL

Consultancy Firm to Carry out a Justice Capacity Needs and Gaps Assessment in Ten States in South Sudan.

Re-advertised

RFP No.: Q-043/20

Project: Access to Justice

Country: South Sudan

Issued on: 17 September 2020
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The United Nations Development Programme (UNDP) hereby invites you to submit a Proposal to this Request for Proposal (RFP) for the above-referenced subject.

This RFP includes the following documents and the General Terms and Conditions of Contract which is inserted in the Bid Data Sheet (BDS):

Section 1: This Letter of Invitation
Section 2: Instruction to Bidders
Section 3: Bid Data Sheet (BDS)
Section 4: Evaluation Criteria
Section 5: Terms of Reference
Section 6: Returnable Bidding Forms
  o Form A: Technical Proposal Submission Form
  o Form B: Bidder Information Form
  o Form C: Joint Venture/Consortium/Association Information Form
  o Form D: Qualification Form
  o Form E: Format of Technical Proposal
  o Form F: Financial Proposal Submission Form
  o Form G: Financial Proposal Form

If you are interested in submitting a Proposal in response to this RFP, please prepare your Proposal in accordance with the requirements and procedure as set out in this RFP and submit it by the Deadline for Submission of Proposals set out in Bid Data Sheet.

Proposals must be submitted in the online e-tendering system in the following link: https://etendering.partneragencies.org using your username and password.

**Search for BU: SSD10 Event ID: 0000007180**

If you have not registered in the system before, you can register now by logging in using the below username and password, and follow the registration steps as specified in the system user guide in https://www.undp.org/content/undp/en/home/operations/procurement/business/procurement-notices/resources/.

**Username:** event.guest

**Password:** why2change

Your Proposal must be expressed in English language, and valid for a minimum period of Ninety (120) days. You are kindly requested to indicate whether your company intends to submit a Proposal by clicking on “Accept Invitation”. This will enable you to receive amendments or updates to the RFP. Should you require further clarifications email: procurement.info.ss@undp.org, kindly communicate with the contact person/s identified in the Bid Data Sheet as the focal point for queries on this RFP.

It shall remain your responsibility to ensure that your proposal is submitted into the system by the deadline appearing on e-Tendering portal. Kindly ensure that supporting documents required are signed and in pdf format, and free from any virus or corrupted files.

The Financial Proposal and the Technical Proposal files **MUST BE COMPLETELY SEPARATE** and uploaded separately in the system and clearly named as either “TECHNICAL PROPOSAL” or “FINANCIAL PROPOSAL”, as appropriate. Each document shall include the Proposer’s name and address. The file with the
“FINANCIAL PROPOSAL” must be encrypted with a password so that it cannot be opened nor viewed until the Proposal has been found to pass the technical evaluation stage. Once a Proposal has been found to be responsive by passing the technical evaluation stage, UNDP shall request the Proposer to submit the password to open the Financial Proposal. The Proposer shall assume the responsibility for not encrypting the financial proposal. Financial proposals not encrypted with password shall be automatically disqualified.

FOR YOUR FINANCIAL PROPOSAL: INSERT ONLY 1 IN THE SYSTEM AND UPLOAD FINANCIAL PROPOSAL AS PASSWORD PROTECTED DOCUMENT. DO NOT DISCLOSE YOUR PRICE OFFER IN THE SYSTEM.

UNDP looks forward to receiving your Proposal and thank you in advance for your interest in UNDP procurement opportunities.

Issued by:

Name: Aicha A. Cherif
Title: Procurement Specialist
Date: September 17, 2020

Approved by:

Name: Blessed Chirimuta
Title: Deputy Res Rep. Operations
Date: September 17, 2020
# Section 2. Instruction to Bidders

## A. GENERAL PROVISIONS

### 1. Introduction

1.1 Bidders shall adhere to all the requirements of this RFP, including any amendments in writing by UNDP. This RFP is conducted in accordance with the UNDP Programme and Operations Policies and Procedures (POPP) on Contracts and Procurement which can be accessed at [https://popp.undp.org/SitePages/POPPBSUnit.aspx?TermID=254a9f96-b883-476a-8ef8-e81f93a2b38d](https://popp.undp.org/SitePages/POPPBSUnit.aspx?TermID=254a9f96-b883-476a-8ef8-e81f93a2b38d).

1.2 Any Proposal submitted will be regarded as an offer by the Bidder and does not constitute or imply the acceptance of the Proposal by UNDP. UNDP is under no obligation to award a contract to any Bidder as a result of this RFP.

1.3 As part of the bid, it is desired that the Bidder registers at the United Nations Global Marketplace (UNGM) website ([www.ungm.org](http://www.ungm.org)). The Bidder may still submit a bid even if not registered with the UNGM. However, if the Bidder is selected for contract award, the Bidder must register on the UNGM prior to contract signature.

### 2. Fraud & Corruption, Gifts and Hospitality

2.1 UNDP strictly enforces a policy of zero tolerance on proscribed practices, including fraud, corruption, collusion, unethical or unprofessional practices, and obstruction of UNDP vendors and requires all bidders/vendors observe the highest standard of ethics during the procurement process and contract implementation. UNDP’s Anti-Fraud Policy can be found at [http://www.undp.org/content/undp/en/home/operations/accountability/audit/office_of_audit_andinvestigation.html#anti](http://www.undp.org/content/undp/en/home/operations/accountability/audit/office_of_audit_andinvestigation.html#anti).

2.2 Bidders/vendors shall not offer gifts or hospitality of any kind to UNDP staff members including recreational trips to sporting or cultural events, theme parks or offers of holidays, transportation, or invitations to extravagant lunches or dinners.

2.3 In pursuance of this policy, UNDP

   (a) Shall reject a proposal if it determines that the selected bidder has engaged in any corrupt or fraudulent practices in competing for the contract in question;

   (b) Shall declare a vendor ineligible, either indefinitely or for a stated period of time, to be awarded a contract if at any time it determines that the vendor has engaged in any corrupt or fraudulent practices in competing for, or in executing a UNDP contract.

2.4 All Bidders must adhere to the UN Supplier Code of Conduct, which may be found at [http://www.un.org/depts/ptd/pdf/conduct_english.pdf](http://www.un.org/depts/ptd/pdf/conduct_english.pdf)

### 3. Eligibility

3.1 A vendor should not be suspended, debarred, or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization. Vendors are therefore required to disclose to UNDP whether they are subject to any sanction or temporary suspension imposed by these organizations.

3.2 It is the Bidder’s responsibility to ensure that its employees, joint venture members, sub-contractors, service providers, suppliers and/or their employees meet the eligibility requirements as established by UNDP.
## 4. Conflict of Interests

### 4.1 Bidders must strictly avoid conflicts with other assignments or their own interests, and act without consideration for future work. Bidders found to have a conflict of interest shall be disqualified. Without limitation on the generality of the above, Bidders, and any of their affiliates, shall be considered to have a conflict of interest with one or more parties in this solicitation process, if they:

- **a)** Are or have been associated in the past, with a firm or any of its affiliates which have been engaged by UNDP to provide services for the preparation of the design, specifications, Terms of Reference, cost analysis/estimation, and other documents to be used for the procurement of the goods and services in this selection process;
- **b)** Were involved in the preparation and/or design of the programme/project related to the services requested under this RFP; or
- **c)** Are found to be in conflict for any other reason, as may be established by, or at the discretion of UNDP.

### 4.2 In the event of any uncertainty in the interpretation of a potential conflict of interest, Bidders must disclose to UNDP, and seek UNDP’s confirmation on whether or not such a conflict exists.

### 4.3 Similarly, the Bidders must disclose in their proposal their knowledge of the following:

- **a)** If the owners, part-owners, officers, directors, controlling shareholders, of the bidding entity or key personnel are family members of UNDP staff involved in the procurement functions and/or the Government of the country or any Implementing Partner receiving services under this RFP; and
- **b)** All other circumstances that could potentially lead to actual or perceived conflict of interest, collusion or unfair competition practices.

Failure to disclose such an information may result in the rejection of the proposal or proposals affected by the non-disclosure.

### 4.4 The eligibility of Bidders that are wholly or partly owned by the Government shall be subject to UNDP’s further evaluation and review of various factors such as being registered, operated and managed as an independent business entity, the extent of Government ownership/share, receipt of subsidies, mandate and access to information in relation to this RFP, among others. Conditions that may lead to undue advantage against other Bidders may result in the eventual rejection of the Proposal.

## B. PREPARATION OF PROPOSALS

### 5. General Considerations

#### 5.1 In preparing the Proposal, the Bidder is expected to examine the RFP in detail. Material deficiencies in providing the information requested in the RFP may result in rejection of the Proposal.

#### 5.2 The Bidder will not be permitted to take advantage of any errors or omissions in the RFP. Should such errors or omissions be discovered, the Bidder must notify the UNDP.

### 6. Cost of Preparation of Proposal

#### 6.1 The Bidder shall bear any and all costs related to the preparation and/or submission of the Proposal, regardless of whether its Proposal was selected or not. UNDP shall not be responsible or liable for those costs, regardless of the conduct or outcome of the procurement process.

### 7. Language

#### 7.1 The Proposal, as well as any and all related correspondence exchanged by the Bidder and UNDP, shall be written in the language (s) specified in the BDS.

### 8. Documents

#### 8.1 The Proposal shall comprise of the following documents:
| Comprising the Proposal | a) Documents Establishing the Eligibility and Qualifications of the Bidder;  
| | b) Technical Proposal;  
| | c) Financial Proposal;  
| | d) Proposal Security, if required by BDS;  
| | e) Any attachments and/or appendices to the Proposal.  

| 9. Documents Establishing the Eligibility and Qualifications of the Bidder | 9.1 The Bidder shall furnish documentary evidence of its status as an eligible and qualified vendor, using the Forms provided under Section 6 and providing documents required in those forms. In order to award a contract to a Bidder, its qualifications must be documented to UNDP’s satisfaction.  

| 10. Technical Proposal Format and Content | 10.1 The Bidder is required to submit a Technical Proposal using the Standard Forms and templates provided in Section 6 of the RFP.  
| | 10.2 The Technical Proposal shall not include any price or financial information. A Technical Proposal containing material financial information may be declared non-responsive.  
| | 10.3 Samples of items, when required as per Section 5, shall be provided within the time specified and unless otherwise specified by UNDP, and at no expense to UNDP.  
| | 10.4 When applicable and required as per Section 5, the Bidder shall describe the necessary training programme available for the maintenance and operation of the services and/or equipment offered as well as the cost to the UNDP. Unless otherwise specified, such training as well as training materials shall be provided in the language of the Bid as specified in the BDS.  

| 11. Financial Proposals | 11.1 The Financial Proposal shall be prepared using the Standard Form provided in Section 6 of the RFP. It shall list all major cost components associated with the services, and the detailed breakdown of such costs.  
| | 11.2 Any output and activities described in the Technical Proposal but not priced in the Financial Proposal, shall be assumed to be included in the prices of other activities or items, as well as in the final total price.  
| | 11.3 Prices and other financial information must not be disclosed in any other place except in the financial proposal.  

| 12. Proposal Security | 12.1 A Proposal Security, if required by BDS, shall be provided in the amount and form indicated in the BDS. The Proposal Security shall be valid up to thirty (30) days after the final date of validity of the Proposal.  
| | 12.2 The Proposal Security shall be included along with the Technical Proposal. If Proposal Security is required by the RFP but is not found along with the Technical Proposal, the Proposal shall be rejected.  
| | 12.3 If the Proposal Security amount or its validity period is found to be less than what is required by UNDP, UNDP shall reject the Proposal.  
| | 12.4 In the event an electronic submission is allowed in the BDS, Bidders shall include a copy of the Bid Security in their proposal and the original of the Proposal Security must be sent via courier or hand delivery as per the instructions in BDS.  
| | 12.5 The Proposal Security may be forfeited by UNDP, and the Proposal rejected, in the event of any one or combination, of the following conditions:  
| | a) If the Bidder withdraws its offer during the period of the Proposal Validity specified in the BDS, or;  
| | b) In the event that the successful Bidder fails:
12.6 to sign the Contract after UNDP has issued an award; or to furnish the Performance Security, insurances, or other documents that UNDP may require as a condition precedent to the effectivity of the contract that may be awarded to the Bidder.

### 13. Currencies

13.1 All prices shall be quoted in the currency or currencies indicated in the BDS. Where Proposals are quoted in different currencies, for the purposes of comparison of all Proposals:

- a) UNDP will convert the currency quoted in the Proposal into the UNDP preferred currency, in accordance with the prevailing UN operational rate of exchange on the last day of submission of Proposals; and

- b) In the event that UNDP selects a proposal for award that is quoted in a currency different from the preferred currency in the BDS, UNDP shall reserve the right to award the contract in the currency of UNDP’s preference, using the conversion method specified above.

### 14. Joint Venture, Consortium or Association

14.1 If the Bidder is a group of legal entities that will form or have formed a Joint Venture (JV), Consortium or Association for the Proposal, they shall confirm in their Proposal that:

- (i) they have designated one party to act as a lead entity, duly vested with authority to legally bind the members of the JV, Consortium or Association jointly and severally, which shall be evidenced by a duly notarized Agreement among the legal entities, and submitted with the Proposal; and

- (ii) if they are awarded the contract, the contract shall be entered into, by and between UNDP and the designated lead entity, who shall be acting for and on behalf of all the member entities comprising the joint venture.

14.2 After the Deadline for Submission of Proposal, the lead entity identified to represent the JV, Consortium or Association shall not be altered without the prior written consent of UNDP.

14.3 The lead entity and the member entities of the JV, Consortium or Association shall abide by the provisions of Clause 9 herein in respect of submitting only one proposal.

14.4 The description of the organization of the JV, Consortium or Association must clearly define the expected role of each of the entity in the joint venture in delivering the requirements of the RFP, both in the Proposal and the JV, Consortium or Association Agreement. All entities that comprise the JV, Consortium or Association shall be subject to the eligibility and qualification assessment by UNDP.

14.5 A JV, Consortium or Association in presenting its track record and experience should clearly differentiate between:

- a) Those that were undertaken together by the JV, Consortium or Association; and

- b) Those that were undertaken by the individual entities of the JV, Consortium or Association.

14.6 Previous contracts completed by individual experts working privately but who are permanently or were temporarily associated with any of the member firms cannot be claimed as the experience of the JV, Consortium or Association or those of its members, but should only be claimed by the individual experts themselves in their presentation of their individual credentials.

14.7 JV, Consortium or Associations are encouraged for high value, multi-sectoral requirements when the spectrum of expertise and resources required may not be available within one firm.
| 15. Only One Proposal | 15.1 The Bidder (including the individual members of any Joint Venture) shall submit only one Proposal, either in its own name or as part of a Joint Venture.  
15.2 Proposals submitted by two (2) or more Bidders shall all be rejected if they are found to have any of the following:  
   a) they have at least one controlling partner, director or shareholder in common; or  
   b) any one of them receive or have received any direct or indirect subsidy from the other/s; or  
   c) they have the same legal representative for purposes of this RFP; or  
   d) they have a relationship with each other, directly or through common third parties, that puts them in a position to have access to information about, or influence on the Proposal of, another Bidder regarding this RFP process;  
   e) they are subcontractors to each other’s Proposal, or a subcontractor to one Proposal also submits another Proposal under its name as lead Bidder; or  
   f) some key personnel proposed to be in the team of one Bidder participates in more than one Proposal received for this RFP process. This condition relating to the personnel, does not apply to subcontractors being included in more than one Proposal. |
| 16. Proposal Validity Period | 16.1 Proposals shall remain valid for the period specified in the BDS, commencing on the Deadline for Submission of Proposals. A Proposal valid for a shorter period may be rejected by UNDP and rendered non-responsive.  
16.2 During the Proposal validity period, the Bidder shall maintain its original Proposal without any change, including the availability of the Key Personnel, the proposed rates and the total price. |
| 17. Extension of Proposal Validity Period | 17.1 In exceptional circumstances, prior to the expiration of the proposal validity period, UNDP may request Bidders to extend the period of validity of their Proposals. The request and the responses shall be made in writing, and shall be considered integral to the Proposal.  
17.2 If the Bidder agrees to extend the validity of its Proposal, it shall be done without any change in the original Proposal.  
17.3 The Bidder has the right to refuse to extend the validity of its Proposal, and in which case, such Proposal will not be further evaluated. |
| 18. Clarification of Proposal | 18.1 Bidders may request clarifications on any of the RFP documents no later than the date indicated in the BDS. Any request for clarification must be sent in writing in the manner indicated in the BDS. If inquiries are sent other than specified channel, even if they are sent to a UNDP staff member, UNDP shall have no obligation to respond or confirm that the query was officially received.  
18.2 UNDP will provide the responses to clarifications through the method specified in the BDS.  
18.3 UNDP shall endeavor to provide responses to clarifications in an expeditious manner, but any delay in such response shall not cause an obligation on the part of UNDP to extend the submission date of the Proposals, unless UNDP deems that such an extension is justified and necessary. |
<p>| 19. Amendment of Proposals | 19.1 At any time prior to the deadline of Proposal submission, UNDP may for any reason, such as in response to a clarification requested by a Bidder, modify the RFP in the form of an amendment to the RFP. Amendments will be made available to all prospective bidders. |</p>
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<th>Section</th>
<th>Content</th>
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<td>19.2</td>
<td>If the amendment is substantial, UNDP may extend the Deadline for submission of proposal to give the Bidders reasonable time to incorporate the amendment into their Proposals.</td>
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| 20. Alternative Proposals | 20.1 Unless otherwise specified in the BDS, alternative proposals shall not be considered. If submission of alternative proposal is allowed by BDS, a Bidder may submit an alternative proposal, but only if it also submits a proposal conforming to the RFP requirements. UNDP shall only consider the alternative proposal offered by the Bidder whose conforming proposal ranked the highest as per the specified evaluation method. Where the conditions for its acceptance are met, or justifications are clearly established, UNDP reserves the right to award a contract based on an alternative proposal.  
20.2 If multiple/alternative proposals are being submitted, they must be clearly marked as “Main Proposal” and “Alternative Proposal” |
| 21. Pre-Bid Conference | 21.1 When appropriate, a Bidder’s conference will be conducted at the date, time and location specified in the BDS. All Bidders are encouraged to attend. Non-attendance, however, shall not result in disqualification of an interested Bidder. Minutes of the Bidder’s conference will be disseminated on the procurement website and shared by email or on the e-Tendering platform as specified in the BDS. No verbal statement made during the conference shall modify the terms and conditions of the RFP, unless specifically incorporated in the Minutes of the Bidder’s Conference or issued/posted as an amendment to RFP. |
| **C. SUBMISSION AND OPENING OF PROPOSALS** | |
| 22. Submission | 22.1 The Bidder shall submit a duly signed and complete Proposal comprising the documents and forms in accordance with the requirements in the BDS. The submission shall be in the manner specified in the BDS.  
22.2 The Proposal shall be signed by the Bidder or person(s) duly authorized to commit the Bidder. The authorization shall be communicated through a document evidencing such authorization issued by the legal representative of the bidding entity, or a Power of Attorney, accompanying the Proposal.  
22.3 Bidders must be aware that the mere act of submission of a Proposal, in and of itself, implies that the Bidder fully accepts the UNDP General Contract Terms and Conditions. |
| Hard copy (manual) submission | 22.4 Hard copy (manual) submission by courier or hand delivery allowed or specified in the BDS shall be governed as follows:  
a) The signed Proposal shall be marked “Original”, and its copies marked “Copy” as appropriate. The number of copies is indicated in the BDS. All copies shall be made from the signed original only. If there are discrepancies between the original and the copies, the original shall prevail.  
b) The Technical Proposal and the Financial Proposal envelopes MUST BE COMPLETELY SEPARATE and each of them must be submitted sealed individually and clearly marked on the outside as either “TECHNICAL PROPOSAL” or “FINANCIAL PROPOSAL”, as appropriate. Each envelope SHALL clearly indicate the name of the Bidder. The outer envelopes shall:  
   i. Bear the name and address of the bidder;  
   ii. Be addressed to UNDP as specified in the BDS |
### Email Submission

#### 22.5 Email submission, if allowed or specified in the BDS, shall be governed as follows:

- **a)** Electronic files that form part of the proposal must be in accordance with the format and requirements indicated in BDS;
- **b)** The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE. The financial proposal shall be encrypted with different passwords and clearly labelled. The files must be sent to the dedicated email address specified in the BDS.
- **c)** The password for opening the Financial Proposal should be provided only upon request of UNDP. UNDP will request password only from bidders whose Technical Proposal has been found to be technically responsive. Failure to provide correct password may result in the proposal being rejected.

### eTendering Submission

#### 22.6 Electronic submission through eTendering, if allowed or specified in the BDS, shall be governed as follows:

- **a)** Electronic files that form part of the proposal must be in accordance with the format and requirements indicated in BDS;
- **b)** The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE and each of them must be uploaded individually and clearly labelled.
- **d)** The Financial Proposal file must be encrypted with a password so that it cannot be opened nor viewed until the password is provided. The password for opening the Financial Proposal should be provided only upon request of UNDP. UNDP will request password only from bidders whose technical proposal has been found to be technically responsive. Failure to provide the correct password may result in the proposal being rejected.
- **c)** Documents which are required to be in original form (e.g. Bid Security, etc.) must be sent via courier or hand delivery as per the instructions in BDS.
- **d)** Detailed instructions on how to submit, modify or cancel a bid in the eTendering system are provided in the eTendering system Bidder User Guide and Instructional videos available on this link: [http://www.undp.org/content/undp/en/home/operations/procurement/business/procurement-notices/resources/](http://www.undp.org/content/undp/en/home/operations/procurement/business/procurement-notices/resources/)

### 23. Deadline for Submission of Proposals and Late Proposals

#### 23.1 Complete Proposals must be received by UNDP in the manner, and no later than the date and time, specified in the BDS. UNDP shall only recognize the date and time that the bid was received by UNDP

#### 23.2 UNDP shall not consider any Proposal that is submitted after the deadline for the submission of Proposals.

### 24. Withdrawal, Substitution, and Modification of Proposals

#### 24.1 A Bidder may withdraw, substitute or modify its Proposal after it has been submitted at any time prior to the deadline for submission.

#### 24.2 Manual and Email submissions: A bidder may withdraw, substitute or modify its Proposal by sending a written notice to UNDP, duly signed by an authorized representative, and shall include a copy of the authorization (or a Power of
| 24.3 | eTendering: A Bidder may withdraw, substitute or modify its Proposal by Canceling, Editing, and re-submitting the proposal directly in the system. It is the responsibility of the Bidder to properly follow the system instructions, duly edit and submit a substitution or modification of the Proposal as needed. Detailed instructions on how to cancel or modify a Proposal directly in the system are provided in Bidder User Guide and Instructional videos. |
| 24.4 | Proposals requested to be withdrawn shall be returned unopened to the Bidders (only for manual submissions), except if the bid is withdrawn after the bid has been opened. |

### 25. Proposal Opening

25.1 There is no public bid opening for RFPs. UNDP shall open the Proposals in the presence of an ad-hoc committee formed by UNDP, consisting of at least two (2) members. In the case of e-Tendering submission, bidders will receive an automatic notification once their proposal is opened.

### D. EVALUATION OF PROPOSALS

#### 26. Confidentiality

26.1 Information relating to the examination, evaluation, and comparison of Proposals, and the recommendation of contract award, shall not be disclosed to Bidders or any other persons not officially concerned with such process, even after publication of the contract award.

26.2 Any effort by a Bidder or anyone on behalf of the Bidder to influence UNDP in the examination, evaluation and comparison of the Proposals or contract award decisions may, at UNDP’s decision, result in the rejection of its Proposal and may be subject to the application of prevailing UNDP’s vendor sanctions procedures.

#### 27. Evaluation of Proposals

27.1 The Bidder is not permitted to alter or modify its Proposal in any way after the proposal submission deadline except as permitted under Clause 24 of this RFP. UNDP will conduct the evaluation solely on the basis of the submitted Technical and Financial Proposals.

27.2 Evaluation of proposals is made of the following steps:

a) Preliminary Examination
b) Minimum Eligibility and Qualification (if pre-qualification is not done)
c) Evaluation of Technical Proposals
d) Evaluation of Financial Proposals

#### 28. Preliminary Examination

28.1 UNDP shall examine the Proposals to determine whether they are complete with respect to minimum documentary requirements, whether the documents have been properly signed, and whether the Proposals are generally in order, among other indicators that may be used at this stage. UNDP reserves the right to reject any Proposal at this stage.

#### 29. Evaluation of Eligibility and Qualification

29.1 Eligibility and Qualification of the Bidder will be evaluated against the Minimum Eligibility/Qualification requirements specified in the Section 4 (Evaluation Criteria).

29.2 In general terms, vendors that meet the following criteria may be considered qualified:

a) They are not included in the UN Security Council 1267/1989 Committee’s list of terrorists and terrorist financiers, and in UNDP’s ineligible vendors’ list;

b) They have a good financial standing and have access to adequate financial
resources to perform the contract and all existing commercial commitments,
c) They have the necessary similar experience, technical expertise, production capacity where applicable, quality certifications, quality assurance procedures and other resources applicable to the provision of the services required;
d) They are able to comply fully with UNDP General Terms and Conditions of Contract;
e) They do not have a consistent history of court/arbitral award decisions against the Bidder; and
f) They have a record of timely and satisfactory performance with their clients.

30. Evaluation of Technical and Financial Proposals

30.1 The evaluation team shall review and evaluate the Technical Proposals on the basis of their responsiveness to the Terms of Reference and other RFP documents, applying the evaluation criteria, sub-criteria, and point system specified in the Section 4 (Evaluation Criteria). A Proposal shall be rendered non-responsive at the technical evaluation stage if it fails to achieve the minimum technical score indicated in the BDS. When necessary and if stated in the BDS, UNDP may invite technically responsive bidders for a presentation related to their technical proposals. The conditions for the presentation shall be provided in the bid document where required.

30.2 In the second stage, only the Financial Proposals of those Bidders who achieve the minimum technical score will be opened for evaluation. The Financial Proposals corresponding to Technical Proposals that were rendered non-responsive shall remain unopened, and, in the case of manual submission, be returned to the Bidder unopened. For emailed Proposals and e-tendering submissions, UNDP will not request for the password of the Financial Proposals of bidders whose Technical Proposal were found not responsive.

30.3 The evaluation method that applies for this RFP shall be as indicated in the BDS, which may be either of two (2) possible methods, as follows: (a) the lowest priced method which selects the lowest evaluated financial proposal of the technically responsive Bidders; or (b) the combined scoring method which will be based on a combination of the technical and financial score.

30.4 When the BDS specifies a combined scoring method, the formula for the rating of the Proposals will be as follows:

\[
\text{Rating the Technical Proposal (TP):}
\]

\[
\text{TP Rating} = \left( \frac{\text{Total Score Obtained by the Offer}}{\text{Max. Obtainable Score for TP}} \right) \times 100
\]

\[
\text{Rating the Financial Proposal (FP):}
\]

\[
\text{FP Rating} = \left( \frac{\text{Lowest Priced Offer}}{\text{Price of the Offer Being Reviewed}} \right) \times 100
\]

\[
\text{Total Combined Score:}
\]

\[
\text{Combined Score} = (\text{TP Rating}) \times (\text{Weight of TP, e.g. 70%}) + (\text{FP Rating}) \times (\text{Weight of FP, e.g., 30%})
\]

31. Due Diligence

31.1 UNDP reserves the right to undertake a due diligence exercise, also called post qualification, aimed at determining to its satisfaction, the validity of the information provided by the Bidder. Such exercise shall be fully documented and may include, but need not be limited to, all or any combination of the
following:

- a) Verification of accuracy, correctness and authenticity of information provided by the Bidder;
- b) Validation of extent of compliance to the RFP requirements and evaluation criteria based on what has so far been found by the evaluation team;
- c) Inquiry and reference checking with Government entities with jurisdiction on the Bidder, or with previous clients, or any other entity that may have done business with the Bidder;
- d) Inquiry and reference checking with previous clients on the performance on on-going or contracts completed, including physical inspections of previous works, as necessary;
- e) Physical inspection of the Bidder’s offices, branches or other places where business transpires, with or without notice to the Bidder;
- f) Other means that UNDP may deem appropriate, at any stage within the selection process, prior to awarding the contract.

### 32. Clarification of Proposals

32.1 To assist in the examination, evaluation and comparison of Proposals, UNDP may, at its discretion, ask any Bidder for a clarification of its Proposal.

32.2 UNDP’s request for clarification and the response shall be in writing and no change in the prices or substance of the Proposal shall be sought, offered, or permitted, except to provide clarification, and confirm the correction of any arithmetic errors discovered by UNDP in the evaluation of the Proposals, in accordance with RFP.

32.3 Any unsolicited clarification submitted by a Bidder in respect to its Proposal, which is not a response to a request by UNDP, shall not be considered during the review and evaluation of the Proposals.

### 33. Responsiveness of Proposal

33.1 UNDP’s determination of a Proposal’s responsiveness will be based on the contents of the Proposal itself. A substantially responsive Proposal is one that conforms to all the terms, conditions, TOR and other requirements of the RFP without material deviation, reservation, or omission.

33.2 If a Proposal is not substantially responsive, it shall be rejected by UNDP and may not subsequently be made responsive by the Bidder by correction of the material deviation, reservation, or omission.

### 34. Nonconformities, Reparable Errors and Omissions

34.1 Provided that a Proposal is substantially responsive, UNDP may waive any non-conformities or omissions in the Proposal that, in the opinion of UNDP, do not constitute a material deviation.

34.2 UNDP may request the Bidder to submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities or omissions in the Proposal related to documentation requirements. Such omission shall not be related to any aspect of the price of the Proposal. Failure of the Bidder to comply with the request may result in the rejection of its Proposal.

34.3 For Financial Proposal that has been opened, UNDP shall check and correct arithmetical errors as follows:

- a) if there is a discrepancy between the unit price and the line item total that is obtained by multiplying the unit price by the quantity, the unit price shall prevail and the line item total shall be corrected, unless in the opinion of UNDP there is an obvious misplacement of the decimal point in the unit price; in which case the line item total as quoted shall govern and the unit price shall be corrected;
- b) if there is an error in a total corresponding to the addition or subtraction
of subtotals, the subtotals shall prevail and the total shall be corrected; and

c) if there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail.

34.4 If the Bidder does not accept the correction of errors made by UNDP, its Proposal shall be rejected.

**E. AWARD OF CONTRACT**

<table>
<thead>
<tr>
<th>35. Right to Accept, Reject, Any or All Proposals</th>
</tr>
</thead>
<tbody>
<tr>
<td>35.1 UNDP reserves the right to accept or reject any Proposal, to render any or all of the Proposals as non-responsive, and to reject all Proposals at any time prior to award of contract, without incurring any liability, or obligation to inform the affected Bidder(s) of the grounds for UNDP’s action. UNDP shall not be obliged to award the contract to the lowest priced offer.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>36. Award Criteria</th>
</tr>
</thead>
<tbody>
<tr>
<td>36.1 Prior to expiration of the proposal validity, UNDP shall award the contract to the qualified Bidder based on the award criteria indicated in the BDS.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>37. Debriefing</th>
</tr>
</thead>
<tbody>
<tr>
<td>37.1 In the event that a Bidder is unsuccessful, the Bidder may request a debriefing from UNDP. The purpose of the debriefing is to discuss the strengths and weaknesses of the Bidder’s submission, in order to assist the Bidder in improving its future proposals for UNDP procurement opportunities. The content of other proposals and how they compare to the Bidder’s submission shall not be discussed.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>38. Right to Vary Requirements at the Time of Award</th>
</tr>
</thead>
<tbody>
<tr>
<td>38.1 At the time of award of Contract, UNDP reserves the right to vary the quantity of services and/or goods, by up to a maximum twenty-five per cent (25%) of the total offer, without any change in the unit price or other terms and conditions.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>39. Contract Signature</th>
</tr>
</thead>
<tbody>
<tr>
<td>39.1 Within fifteen (15) days from the date of receipt of the Contract, the successful Bidder shall sign and date the Contract and return it to UNDP. Failure to do so may constitute sufficient grounds for the annulment of the award, and forfeiture of the Proposal Security, if any, and on which event, UNDP may award the Contract to the Second Ranked Bidder or call for new Proposals.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>40. Contract Type and General Terms and Conditions</th>
</tr>
</thead>
<tbody>
<tr>
<td>40.1 The types of Contract to be signed and the applicable UNDP Contract General Terms and Conditions, as specified in BDS, can be accessed at <a href="http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html">http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html</a></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>41. Performance Security</th>
</tr>
</thead>
<tbody>
<tr>
<td>41.1 40.1 A performance security, if required in BDS, shall be provided in the amount specified in BDS and form available at <a href="https://popp.undp.org/_layouts/15/WopiFrame.aspx?sourcedoc=/UNDP_POPP_DOCUMENT_LIBRARY/Public/PSU_Solicitation_Performance%20Guarantee%20Form.docx&amp;action=default">https://popp.undp.org/_layouts/15/WopiFrame.aspx?sourcedoc=/UNDP_POPP_DOCUMENT_LIBRARY/Public/PSU_Solicitation_Performance%20Guarantee%20Form.docx&amp;action=default</a> within fifteen (15) days of the contract signature by both parties. Where a performance security is required, the receipt of the performance security by UNDP shall be a condition for rendering the contract effective.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>42. Bank Guarantee for Advanced Payment</th>
</tr>
</thead>
<tbody>
<tr>
<td>42.1 Except when the interests of UNDP so require, it is UNDP’s preference to make no advance payment(s) (i.e., payments without having received any outputs). If an advance payment is allowed as per BDS, and exceeds 20% of the total contract price, or USD 30,000, whichever is less, the Bidder shall submit a Bank Guarantee in the full amount of the advance payment in the form available at <a href="https://popp.undp.org/_layouts/15/WopiFrame.aspx?sourcedoc=/UNDP_POPP_DOCUMENT_LIBRARY/Public/PSU_Solicitation_Performance%20Guarantee%20Form.docx&amp;action=default">https://popp.undp.org/_layouts/15/WopiFrame.aspx?sourcedoc=/UNDP_POPP_DOCUMENT_LIBRARY/Public/PSU_Solicitation_Performance%20Guarantee%20Form.docx&amp;action=default</a> within fifteen (15) days of the contract signature by both parties. Where a performance security is required, the receipt of the performance security by UNDP shall be a condition for rendering the contract effective.</td>
</tr>
<tr>
<td>Section</td>
</tr>
<tr>
<td>---------</td>
</tr>
<tr>
<td>43. Liquidated Damages</td>
</tr>
<tr>
<td>44. Payment Provisions</td>
</tr>
<tr>
<td>45. Vendor Protest</td>
</tr>
<tr>
<td>46. Other Provisions</td>
</tr>
<tr>
<td></td>
</tr>
</tbody>
</table>
Section 3. Bid Data Sheet

The following data for the services to be procured shall complement, supplement, or amend the provisions in the Request for Proposals. In the case of a conflict between the Instructions to Bidders, the Data Sheet, and other annexes or references attached to the Data Sheet, the provisions in the Data Sheet shall prevail.

<table>
<thead>
<tr>
<th>BDS No.</th>
<th>Ref. to Section.2</th>
<th>Data</th>
<th>Specific Instructions / Requirements</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>7</td>
<td>Language of the Proposal</td>
<td>English</td>
</tr>
<tr>
<td>2</td>
<td></td>
<td>Submitting Proposals for Parts or sub-parts of the TOR (partial bids)</td>
<td>Not Allowed</td>
</tr>
<tr>
<td>3</td>
<td>20</td>
<td>Alternative Proposals</td>
<td>Shall not be considered</td>
</tr>
<tr>
<td>4</td>
<td>21</td>
<td>Pre-proposal conference</td>
<td>Will not be conducted</td>
</tr>
<tr>
<td>5</td>
<td>10</td>
<td>Proposal Validity Period</td>
<td>120 days</td>
</tr>
<tr>
<td>6</td>
<td>14</td>
<td>Bid Security</td>
<td>Not Required</td>
</tr>
<tr>
<td>7</td>
<td>41</td>
<td>Advanced Payment upon signing of contract</td>
<td>Not Allowed</td>
</tr>
<tr>
<td>8</td>
<td>42</td>
<td>Liquidated Damages</td>
<td>Will be imposed as follows:</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Percentage of contract price per day of delay: 5%</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Max. number of days of delay 30, after which UNDP may terminate the contract.</td>
</tr>
<tr>
<td>9</td>
<td>40</td>
<td>Performance Security</td>
<td>Not Required</td>
</tr>
<tr>
<td>10</td>
<td>18</td>
<td>Currency of Proposal</td>
<td>United States Dollar</td>
</tr>
<tr>
<td>11</td>
<td>31</td>
<td>Deadline for submitting requests for clarifications/ questions</td>
<td>5 days before the submission deadline</td>
</tr>
</tbody>
</table>
| 12 | 31 | Contact Details for submitting clarifications/questions | Focal Person in UNDP: Head of Procurement  
E-mail address: procurement.info.ss@undp.org |
| 13 | 18, 19 and 21 | Manner of Disseminating Supplemental Information to the RFP and responses/clarifications to queries | Posted directly to etendering |
| 14 | 23 | Deadline for Submission | Deadline time is as specified in etendering system.  
**Note:**  
- The time zone in etendering system is in EST/EDT (New York) time zone.  
- Bid Submission deadline appearing on e-Tendering portal will be FINAL and prevail on deadlines appearing on other websites. |
| 14 | 22 | Allowable Manner of Submitting Proposals | e-Tendering |
| 15 | 22 | Proposal Submission Address | [https://etendering.partneragencies.org](https://etendering.partneragencies.org)  
**BU Code:** SSD10  
**Event ID:** 0000007180 |
| 16 | 22 | Electronic submission (email or eTendering) requirements |  
- Format: PDF files only  
- File names must be maximum 60 characters long and must not contain any letter or special character other than from Latin alphabet/keyboard.  
- All files must be free of viruses and not corrupted.  
- Do not password encrypt your technical proposal.  
- Financial proposals MUST be encrypted with password.  
- Password for financial proposal must not be provided to UNDP until requested for by UNDP.  
- Max. File Size to Upload in etendering: 50MB |
| 17 | 27 36 | Evaluation Method for the Award of Contract | Combined Scoring Method, using the 70%-30% distribution for technical and financial proposals respectively  
The minimum technical score required to pass is 70%. |
<table>
<thead>
<tr>
<th></th>
<th>Expected date for commencement of Contract</th>
<th>October 1, 2020</th>
</tr>
</thead>
<tbody>
<tr>
<td>19</td>
<td>Maximum expected duration of contract</td>
<td>8 Months</td>
</tr>
<tr>
<td>20</td>
<td>UNDP will award the contract to:</td>
<td>One Proposer Only</td>
</tr>
<tr>
<td>23</td>
<td>Other Information Related to the RFP</td>
<td>N/A</td>
</tr>
</tbody>
</table>
## Section 4. Evaluation Criteria

### Preliminary Examination Criteria

Proposals will be examined to determine whether they are complete and submitted in accordance with RFP requirements as per below criteria on a Yes/No basis:

- Appropriate signatures
- Minimum documents provided
- Previous experience
- Financial Standing
- Bid Validity of 120 days

### Minimum Eligibility and Qualification Criteria

Eligibility and Qualification will be evaluated on Pass/Fail basis.

If the Proposal is submitted as a Joint Venture/Consortium/Association, each member should meet minimum criteria, unless otherwise specified in the criterion.

<table>
<thead>
<tr>
<th>Subject</th>
<th>Criteria</th>
<th>Document Submission requirement</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>ELIGIBILITY</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Legal Status</td>
<td>Vendor is a legally registered entity.</td>
<td>Form B: Bidder Information Form</td>
</tr>
<tr>
<td>Eligibility</td>
<td>Vendor is not suspended, nor debarred, nor otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization in accordance with ITB clause 3.</td>
<td>Form A: Technical Proposal Submission Form</td>
</tr>
<tr>
<td>Conflict of Interest</td>
<td>No conflicts of interest in accordance with RFP clause 4.</td>
<td>Form A: Technical Proposal Submission Form</td>
</tr>
<tr>
<td>Bankruptcy</td>
<td>Not declared bankruptcy, not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against the vendor that could impair its operations in the foreseeable future.</td>
<td>Form A: Technical Proposal Submission Form</td>
</tr>
</tbody>
</table>

| **QUALIFICATION**                             |                                                                                                                                                                                                       |                                                    |
| History of Non-Performing Contracts¹         | Non-performance of a contract did not occur as a result of contractor default for the last 3 years.                                                                                                      | Form D: Qualification Form                         |

¹ Non-performance, as decided by UNDP, shall include all contracts where (a) non-performance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Non-performance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Bidder have been exhausted.
<table>
<thead>
<tr>
<th><strong>Litigation History</strong></th>
<th>No consistent history of court/arbitral award decisions against the Bidder for the last 3 years.</th>
<th>Form D: Qualification Form</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Previous Experience</strong></td>
<td>Minimum 3 years of relevant experience.</td>
<td>Form D: Qualification Form</td>
</tr>
<tr>
<td></td>
<td><strong>Minimum 3 contracts of similar value, nature and complexity implemented over the last 3 years.</strong></td>
<td>Form D: Qualification Form</td>
</tr>
<tr>
<td></td>
<td><em>(For JV/Consortium/Association, all Parties cumulatively should meet requirement).</em></td>
<td>Form D: Qualification Form</td>
</tr>
<tr>
<td><strong>Financial Standing</strong></td>
<td><strong>Minimum average annual turnover of USD300,000 for the last 3 years.</strong></td>
<td>Form D: Qualification Form</td>
</tr>
<tr>
<td></td>
<td><em>(For JV/Consortium/Association, all Parties cumulatively should meet requirement).</em></td>
<td>Form D: Qualification Form</td>
</tr>
<tr>
<td></td>
<td>Bidder must demonstrate the current soundness of its financial standing and indicate its prospective long-term profitability.</td>
<td>Form D: Qualification Form</td>
</tr>
<tr>
<td></td>
<td><em>(For JV/Consortium/Association, all Parties cumulatively should meet requirement).</em></td>
<td>Form D: Qualification Form</td>
</tr>
<tr>
<td></td>
<td>Any additional criteria if required</td>
<td>Form D: Qualification Form</td>
</tr>
</tbody>
</table>
## Technical Evaluation Criteria

<table>
<thead>
<tr>
<th>Summary of Technical Proposal Evaluation Forms</th>
<th>Points Obtainable</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Bidder’s qualification, capacity and experience</td>
<td>350</td>
</tr>
<tr>
<td>2. Proposed Methodology, Approach and Implementation Plan</td>
<td>400</td>
</tr>
<tr>
<td>3. Management Structure and Key Personnel</td>
<td>250</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>1000</strong></td>
</tr>
</tbody>
</table>

### Section 1. Bidder’s qualification, capacity and experience

<table>
<thead>
<tr>
<th>Points obtainable</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1.1</td>
<td>Reputation of Organization and Staff Credibility / Reliability / Industry Standing</td>
<td>30</td>
</tr>
<tr>
<td>1.2</td>
<td>Demonstrated relevant previous skills in conducting justice related research, capacity assessments, perception surveys and producing qualitative and analytical reports</td>
<td>50</td>
</tr>
<tr>
<td>1.3</td>
<td>Proven skills in managing and delivering user-friendly justice and real justice transformation grounded in the realities in sub-Saharan Africa including post conflict settings.</td>
<td>60</td>
</tr>
<tr>
<td>1.4</td>
<td>A strong understanding of and written works on the impact of global public health epidemics on the delivery of people-centered justice and the need for real-time data about justice needs and responses in the corona pandemic.</td>
<td>60</td>
</tr>
<tr>
<td>1.5</td>
<td>A team of minimum of three personnel of demonstrated justice transformation experts is preferred. The team leader should have demonstrated good leadership skills with a proven role on similar assignment undertaken. (Provide details on key personnel to be involved on the project).</td>
<td>60</td>
</tr>
<tr>
<td>1.6</td>
<td>Minimum of 7 years of proven international and national experience in the justice field and with specific experience and stature in transformative justice initiatives and good understanding of pandemics on the justice sector.</td>
<td>60</td>
</tr>
<tr>
<td>1.7</td>
<td>At least 6 years’ experience in conducting assessments and surveys that involve and consult with (including questionnaire preparation) stakeholders that work in broad justice areas</td>
<td>30</td>
</tr>
</tbody>
</table>

**Total Section 1** 350

### Section 2. Proposed Methodology, Approach and Implementation Plan

<table>
<thead>
<tr>
<th>Points obtainable</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>2.1</td>
<td>Understanding of the requirement: Have the important aspects of the task been addressed in sufficient detail? Are the different components of the project adequately weighted relative to one another?</td>
<td>75</td>
</tr>
<tr>
<td>2.2</td>
<td>Description of the Offeror’s approach and methodology for meeting or exceeding the requirements of the Terms of Reference</td>
<td>100</td>
</tr>
<tr>
<td>2.3</td>
<td>Details on how the different service elements shall be organized, controlled and delivered</td>
<td>75</td>
</tr>
<tr>
<td>Section</td>
<td>Description of available performance monitoring and evaluation mechanisms and tools; how they shall be adopted and used for a specific requirement</td>
<td>50</td>
</tr>
<tr>
<td>---</td>
<td>---</td>
<td>---</td>
</tr>
<tr>
<td>2.5</td>
<td>Assessment of the implementation plan proposed including whether the activities are properly sequenced and if these are logical and realistic</td>
<td>50</td>
</tr>
<tr>
<td>2.6</td>
<td>Demonstration of ability to plan, integrate and effectively implement sustainability measures in the execution of the contract</td>
<td>50</td>
</tr>
<tr>
<td><strong>Total Section 2</strong></td>
<td></td>
<td><strong>400</strong></td>
</tr>
</tbody>
</table>

**Section 3. Management Structure and Key Personnel**

| 3.1 | Composition and structure of the team proposed. Are the proposed roles of the management and the team of key personnel suitable for the provision of the necessary services? | 50 |
| 3.2 | Qualifications of key personnel proposed | |
| 3.2 a | Team Leader | 90 |
| - General Experience | 20 |
| - Specific Experience relevant to the assignment | 40 |
| - Regional/International experience | 20 |
| - Language Qualifications | 10 |
| 3.2 b | Senior Expert | 70 |
| - General Experience | 20 |
| - Specific Experience relevant to the assignment | 30 |
| - Regional/International experience | 10 |
| - Language Qualifications | 10 |
| 3.2 c | Junior Expert | 40 |
| - General Experience | 10 |
| - Specific Experience relevant to the assignment | 20 |
| - Regional/International experience | 5 |
| - Language Qualifications | 5 |
| **Total Section 3** | | **250** |
Section 5. Terms of Reference

Services/Work Description: Firm Level Consultancy Service to carry out a justice capacity needs and gaps assessment in ten States in South Sudan
Project Title: Access to Justice, Security and Human Rights Strengthening, 2020 to 2023
Donor: Netherlands: TRAC and JSB2019
Location of Consultancy: Juba and other States of South Sudan
Contract Type: Professional services contract
Duration: 225 days
Expected State Date: 1 October 2020

I. BACKGROUND

Strengthening access to justice, rule of law and promoting human rights are cornerstones of UNDP’s work to achieve sustainable human development. The UNDP South Sudan is implementing a new phase of its program on access to justice and rule of law. The program supports access to justice for the most vulnerable communities and groups that have been affected by South Sudan’s long conflict through empowering people to demand their human rights and access to justice and security services. Efforts to empower these population groups is accompanied by support to strengthening the rule of law institutions with essential capacities to deliver accountable, effective and equitable services. Equally, this support seeks to advance community justice and security, as well as transitional justice.

Since the signing of the Revitalized Agreement on the Resolution of Conflict in the Republic of South Sudan (R-ARCSS) in September 2018, there has been a steady hold of the ceasefire and relative peace. With the formation of the Revitalized Transitional Government of National Unity (R-TGoNU) in February 2020, South Sudan has embarked on a 50-month transitional period. However, the onset of the COVID-19 pandemic merely weeks after the R-TGoNU was established, brought an unprecedented challenge to an already fragile justice and rule of law situation. The disruption to justice services, increase in violence against women and girls and risks to persons in detention are widening the justice gap and access to protection for already marginalized groups such as women, children, elderly and IDPs and refugees. The new phase of the program aims to support both the implementation of the R-ARCSS which outlines several areas for justice and security reforms as well as the preexisting and post COVID19 impacts on justice.

Currently, there is no comprehensive data nor systematic analysis of access to justice and security in South Sudan. Data collection and analysis across the justice sector is the foundation of an evidence-based approach to any justice reform and contributes to overall good governance in several ways. Through promoting a culture of accountability and transparency using a systems approach, an access to justice assessment can provide a strong evidence base to inform planning and budget allocation, will enable the interlocking needs and interdependent nature of the parts and stages of the system to be seen as part of a greater whole, and enable an understanding of people’s justice story including the experiences and needs of vulnerable groups.

Furthermore the assessment can provide a clear understanding of a range of issues, including an understanding of peoples justice needs; establishing how people experience the justice system; a view of people’s perceptions of justice and fulfilment of their justice needs or lack thereof; identify existing mechanisms available to meet people’s justice needs (formal and informal); gaps in the availability of such mechanisms; gaps in existing mechanisms that are utilized; barriers faced by people in accessing justice and meeting their justice needs; and specific obstacles and barriers faced by particular groups of people, noting the assessment will also include a participatory and integrated analysis.

2 Unless terminated or extended based on mutual agreement between the consultant and UNDP (Access to Justice, Security and Human Rights Strengthening, 2020 to 2023)
Such an assessment responds to a 2019 evaluation of UNDP’s project on Access to Justice and Rule of Law (2018-2020). The evaluation recommended that UNDP should carry out such exercises to help inform its capacity-building approach to make it more responsive to the conditions in the country. Aside, the Agenda 2030 for Sustainable Development advances the importance of credible data and analysis to support the achievement of the global goals. The assessment will enhance R-TGoNU’s capacity through the adhoc Judicial Committee to make evidence-based decision on the justice reforms its tasked to lead. It will further provide data on how the pandemic disproportionately affects already disadvantaged population groups and their ability to access justice and police services, and policy measures to tackle that.

The Justice Assessment will be conducted using a combination of tools such as broad-based public surveys, expert surveys, focus groups discussions, expert group discussions and document reviews to ascertain quantitative and qualitative data. Public surveys and focus groups are particularly effective methods of collecting data to explain the needs and opinions of diverse and under-served groups, which includes the poor and most vulnerable. A participatory rights-based, gender responsive, disability inclusive, child and conflict sensitive analysis will also be incorporated into this process. The Access to Justice Assessment will also be utilized to establish baselines and importantly inform the detail of future program activities.

The Access to Justice Assessment will also become a key tool in verifying the expected project results. This specific knowledge product also has the potential to be linked and utilized for designs of other interventions across the sector. There is further additional potential to be utilized as part of other reporting such as South Sudan’s Sustainable Development Goals reporting, in particular SDGs 16 and 5.

Against this backdrop, UNDP, through a procurement process, will engage an international company to design and implement the initial assessment commencing in 2020.

II. OBJECTIVES

To design and implement a comprehensive Justice Gap Assessment for South Sudan to establish baselines (with disaggregated data and a participatory rights-based, gender responsive, disability inclusive, child and conflict sensitive analysis), identify priority access to justice issues, and provide recommendations for government, civil society, international stakeholders, and the people in South Sudan, and address those issues in line with both individual and institutional needs.

III. EXPECTED OUTPUTS AND DELIVERABLES

The successful bidder will submit the following key deliverables:

(i) An inception report including the methodology and a detailed work plan of the assignment
(ii) Approved Research Tools
(iii) Field Data Collected in Target Locations
(iv) Draft Report Completed and Submitted for Validation
(v) Final Report Completed providing analysis of collected data and tabular review

IV. SCOPE

The successful company will:
- Develop the overall strategy, final methodology and key tools for the Assessment, in close consultation and collaboration with key stakeholders;
- Carry out research, data and information collection using a justice transformation methodology and tools in line with the spirit of the Agenda for 2020 and goal 16 in particular,
• Provide ongoing progress reports; and
• Draft and submit final analytical report identifying and analyzing key access to justice needs, gaps, and targeted recommendations for key stakeholders.

(i) Develop the overall strategy, final methodology and key tools for the Assessment, in close consultation and collaboration with key stakeholders
• With key project stakeholders, develop the overall strategy for the Assessment, including identifying substantive issues and reaching disadvantaged groups (IDPs, refugees, women and children);
• Provide an inception report detailing the proposed methodology – including, inter alia, proposed workplan, research targets, locations, and any specific concerns, barriers, or successes;
• Develop and finalize the overall methodology for the Assessment in close collaboration with key stakeholders;
• Develop specific research tools to generate qualitative and quantitative disaggregated data to identify needs of the justice system, people’s justice needs, and people’s perceptions of justice;

(ii) Carry out research, data and information collection using agreed methodology and tools
• In line with the agreed methodology, lead desk and field research and information gathering using research tools;
• Manage, compile and analyze all data collected, including analysis of qualitative and quantitative data - results of opinion polls gathered in the survey will be used and reference to similar surveys undertaken in the past should be made where applicable;
• Ensure disaggregation of data and related analysis according to agreed categories;
• Undertake a participatory analysis that is rights-based, gender responsive, disability inclusive, child and conflict sensitive.

(iii) Provide ongoing and final progress reports; and
• The successful bidder will provide monthly progress reports on implemented activities/deliverables using a format and including information to be agreed subsequently;
• An accompanying final project report will also be provided (separate from the analytical report)

(iv) Draft and submit final analytical report identifying and analyzing key access to justice needs, gaps, and targeted recommendations for key stakeholders that will lead to justice transformation interventions and action.
• Produce an initial working draft of the report, synthesizing all data gathered;
• UNDP will prepare a limited release and validation session – in the session, the successful bidder will present preliminary results to key stakeholders (a closed workshop with PowerPoint presentation with question and answer session) to solicit inputs prior to finalizing the report
• Draft final report. The contractor will produce a data source and final report of the highest international methodological standards that will provide a basis for rigorous academic, social and legal research and that can be used in planning of justice, rule of law and human rights activities and interventions for multiple stakeholders in South Sudan.

V. METHODOLOGY AND APPROACH OF SERVICE

The methodology, while subject to consultation with stakeholders, should include:

• A quality desk review of the applicable/relevant legal framework and existing literature/tools/surveys/etc. related to access to justice in South Sudan
• Interviews and consultations with key justice stakeholders, experts and expert focus groups (government, civil society, academic experts, international organizations, bilateral partners, etc.) in South Sudan to validate and inform desk review results;
• Collect and review existing case data in the justice system to generate quantitative data on types, location, gender, trajectory, and timing – to the extent possible;
• Identify and review capacity relevant structural factors, institutional and public sector wide factors to produce information about the core justice institutions on their availability by location, accessibility, staffing, decentralization, governance and accountability mechanisms;
• Develop, validate and test data gathering tools to be utilized during the Assessment, including, but not limited to, surveys, questionnaires, semi-structured interview scripts, and gender analysis tools;
• Conduct information gathering based on developed tools;
  o **Survey & Questionnaires**: to ensure a representative sample, work will be organized across all ten States of South Sudan, collecting data from a representative sample of the population of South Sudan. Respondents will be older than 15 and will be placed in the following categories: urban/rural population; level of education; employment status; gender; status (government officials/CSOs/Business sector); vulnerable groups; age etc.
  o **Focus Group Discussions**: conduct information gathering based on focus groups discussions. The contractor will organize consultations with the following sample focus groups: inter alia, youth, women, victims, vulnerable population etc. Each focus group should include approximately 15 participants and include locations in across South Sudan. Particular attention should be provided to solicit the views of women, children, persons with disabilities, populations of concerns and host communities.
  o **Other tools proposed by the bidder**.
• Conduct full analysis of both quantitative and qualitative data gathered – with particular regard to disaggregating to the full extent possible;
• Development of overall recommendations based on findings, and specific and targeted recommendations for key project stakeholders, drawing on inputs gathered in the validation workshop.

VI. INSTITUTIONAL ARRANGEMENT AND REPORTING RELATIONSHIP

• The Service provider will be supervised by the UNDP Chief Technical Advisor and Program Manager for Rule of Law, to whom the Service provider will be directly responsible to, report to, and seek approval from, and obtain certification of acceptance of each output.
• The service provider will work in close cooperation with key justice stakeholders through a Ministry of Justice led advisory/steering mechanism that will be developed.
• The service provider will provide brief research updates and reports on implemented activities/deliverables on a monthly basis to UNDP’s Chief Technical Advisor and Program Manager for Rule of Law, with meetings/calls arranged as required;
• The service provider will be required to incorporate feedback received from UNDP and its key justice partners – as relevant – on deliverables and outputs;
• Data collection and field travel: Service provider will handle all aspects of the data collection process including travel arrangements (with support from UNDP where necessary), venue arrangements, purchase of equipment and other purchases, and any other required process, upon approval of proposed logistics arrangements for each session by the service provider;
• The service provider may not (sub) contract (partial or total) production of (some or all) deliverables under this contract without express agreement of UNDP South Sudan’s Chief Technical Advisor and Program Manager for Rule of Law;
• When sub-contracts are entered into within the scope of this contract, with the express agreement of UNDP's Chief Technical Advisor and Program Manager for Rule of Law, the service provider will be solely responsible for the implementation of such subcontracts and the impact of any failures of sub-contractors to implement these.

The successful bidder will provide monthly progress report on implemented activities/deliverables using a format and including information to be agreed to subsequently.

VII. COMPETENCES AND QUALIFICATIONS OF THE SUCCESSFUL CONTRACTOR INCLUDING KEY PERSONNEL

Skills
(i) Demonstrated relevant previous skills in conducting justice related research, capacity assessments, perception surveys and producing qualitative and analytical reports
(ii) Proven skills in managing and delivering user-friendly justice and real justice transformation grounded in the realities in sub-Saharan Africa including post conflict settings.
(iii) A strong understanding of and written works on the impact of global public health epidemics on the delivery of people-centered justice and the need for real-time data about justice needs and responses in the corona pandemic.
(iv) A team of minimum of three personnel of demonstrated justice transformation experts is preferred. The team leader should have demonstrated good leadership skills with a proven role on similar assignment undertaken. (Provide details on key personnel to be involved on the project).

Professional experience:
(v) Minimum of 7 years of proven international and national experience in the justice field and with specific experience and stature in transformative justice initiatives and good understanding of pandemics on the justice sector.
(vi) At least 6 years’ experience in conducting assessments and surveys that involve and consult with (including questionnaire preparation) stakeholders that work in broad justice areas.
(vii) At least 4 years’ experience in implementing user-friendly justice and real justice transformation initiatives. Experience of this in sub-Saharan is an added advantage.
(viii) Experience of carrying gout research, assessments and surveys countrywide in sub-Saharan Africa and ability to manage huge data is required.
(ix) Confirmed capacity to complete the assessment in 8 months after signing the contract.

Academic Qualifications
(x) All team members should have a degree from a recognized University, preferably in statistics, sociology, psychology, anthropology and/or law (other relevant degree areas will also be considered as required).

Language
(xi) Fluency in English is required for all team members.

VIII. RECOMMENDED PRESENTATION OF OFFER

All proposals should include:
(i) A covering letter confirming interest and availability, as well as outlining why the contractor considers itself most suited to the assignment. If relevant, the letter may include an explanation on how the Consultant will approach and complete the assignment (the methodology).

(ii) Professional company profile/CV indicating all past experiences from seminal projects, and including three (3) professional references and copies of at least five assessments/public survey in the field of access to justice in five different countries, to be demonstrated by provision of reports in the English language.

(iii) Financial proposal indicating either (a) an inclusive fixed total contract price; or (ii) an all-inclusive daily rate, along with a proposed workplan.

### IX. COMPENSATION, SCOPE OF PRICE AND SCHEDULE OF PAYMENTS

Unless prior written approval is provided or specifically permitted by the terms of an applicable work statement, all expenses incurred by the consultant shall be the sole responsibility of the consultant. The consultant is required to submit invoices as proof of work accomplishments against the outlined deliverables. The consultant is solely liable to pay all applicable taxes and the United Nations will not make any deductions such as income tax, or for pension plans, insurance etc.

Prospective Service Provider will indicate the cost of services for each deliverable in US dollars when applying for this consultancy. The Proposer will be paid only after UNDP’s Chief Technical Advisor and Program Manager confirms the successful completion of each deliverable as stipulated hereunder. In accordance with UNDP rules, the lump sum contract amount to be offered should consider all costs related to implementation of this contract, including professional fees, travel, living allowances, communications, taxes, out of pocket expenses, and all other costs.

A winning Proposer shall then be paid the lump-sum contract amount upon certification of the completed tasks satisfactorily, as per the following payment schedule:

<table>
<thead>
<tr>
<th>Payment Schedule</th>
<th>Deliverables or documents to be delivered</th>
<th>Approved from</th>
<th>Payment Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>1(^{st}) installment</td>
<td>Preparation of Inception Report/Finalized Methodology</td>
<td>Chief Technical Advisor and Program Manager</td>
<td>30%</td>
</tr>
<tr>
<td>2(^{nd}) installment</td>
<td>Submission of draft report for validation</td>
<td>Chief Technical Advisor and Program Manager</td>
<td>35%</td>
</tr>
<tr>
<td>3(^{rd}) installment</td>
<td>Final Report Completed and Dissemination</td>
<td>Chief Technical Advisor and Program Manager</td>
<td>35%</td>
</tr>
</tbody>
</table>

### CONTRACTOR STATUS

The consultant is neither an employee nor an agent of the United Nations. The consultant shall have sole control and discretion over the manner and method of performance of services, subject to compliance with the terms of agreement.
X. DELIVERABLES

UNDP shall be the sole and exclusive owner of all deliverables. Except with prior written consent from UNDP South Sudan, the consultant will not communicate, disclose or publicize information related to this project, other than to the limited extent necessary to perform the services.

XI. CRITERIA FOR SELECTION OF THE BEST OFFER:

Proposals will be evaluated using the cumulative analysis method with a split 70% technical and 30% financial scoring. The proposal with the highest cumulative scoring will be awarded the contract.
Section 6: Returnable Bidding Forms / Checklist

This form serves as a checklist for preparation of your Proposal. Please complete the Returnable Bidding Forms in accordance with the instructions in the forms and return them as part of your Proposal submission. No alteration to format of forms shall be permitted and no substitution shall be accepted.

Before submitting your Proposal, please ensure compliance with the Proposal Submission instructions of the BDS 22.

Technical Proposal Envelope:

<table>
<thead>
<tr>
<th>Have you duly completed all the Returnable Bidding Forms?</th>
</tr>
</thead>
<tbody>
<tr>
<td>▪ Form A: Technical Proposal Submission Form ☐</td>
</tr>
<tr>
<td>▪ Form B: Bidder Information Form ☐</td>
</tr>
<tr>
<td>▪ Form C: Joint Venture/Consortium/ Association Information Form ☐</td>
</tr>
<tr>
<td>▪ Form D: Qualification Form ☐</td>
</tr>
<tr>
<td>▪ Form E: Format of Technical Proposal ☐</td>
</tr>
<tr>
<td>▪ Form F: Financial Proposal Submission Form ☐</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Have you provided the required documents to establish compliance with the evaluation criteria in Section 4?</th>
</tr>
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<tbody>
<tr>
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</table>

Financial Proposal Envelope
(Must be submitted in a separate sealed envelope/password protected email)

<table>
<thead>
<tr>
<th>Form F: Financial Proposal Submission Form ☐</th>
</tr>
</thead>
<tbody>
<tr>
<td>Form G: Financial Proposal Form ☐</td>
</tr>
</tbody>
</table>
Form A: Technical Proposal Submission Form

<table>
<thead>
<tr>
<th>Name of Bidder:</th>
<th>[Insert Name of Bidder]</th>
<th>Date:</th>
<th>Select date</th>
</tr>
</thead>
<tbody>
<tr>
<td>RFP reference:</td>
<td>Q-043/20: Consultancy Firm to carry out a justice capacity needs and gaps assessment in ten States in South Sudan</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

We, the undersigned, offer to provide the services for [Insert Title of services] in accordance with your Request for Proposal No. [Insert RFP Reference Number] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and our Financial Proposal sealed under a separate envelope.

We hereby declare that our firm, its affiliates or subsidiaries or employees, including any JV/Consortium/Association members or subcontractors or suppliers for any part of the contract:

a) is not under procurement prohibition by the United Nations, including but not limited to prohibitions derived from the Compendium of United Nations Security Council Sanctions Lists;

b) have not been suspended, debarred, sanctioned or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization;

c) have no conflict of interest in accordance with Instruction to Bidders Clause 4;

d) do not employ, or anticipate employing, any person(s) who is, or has been a UN staff member within the last year, if said UN staff member has or had prior professional dealings with our firm in his/her capacity as UN staff member within the last three years of service with the UN (in accordance with UN post-employment restrictions published in ST/SGB/2006/15);

e) have not declared bankruptcy, are not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against them that could impair their operations in the foreseeable future;

f) undertake not to engage in proscribed practices, including but not limited to corruption, fraud, coercion, collusion, obstruction, or any other unethical practice, with the UN or any other party, and to conduct business in a manner that averts any financial, operational, reputational or other undue risk to the UN and we embrace the principles of the United Nations Supplier Code of Conduct and adhere to the principles of the United Nations Global Compact.

We declare that all the information and statements made in this Proposal are true and we accept that any misinterpretation or misrepresentation contained in this Proposal may lead to our disqualification and/or sanctioning by the UNDP.

We offer to provide services in conformity with the Bidding documents, including the UNDP General Conditions of Contract and in accordance with the Terms of Reference.

Our Proposal shall be valid and remain binding upon us for the period of time specified in the Bid Data Sheet.

We understand and recognize that you are not bound to accept any Proposal you receive.

I, the undersigned, certify that I am duly authorized by [Insert Name of Bidder] to sign this Proposal and bind it should UNDP accept this Proposal.

Name: __________________________________________

Title: __________________________________________

Date: __________________________________________

Signature: ______________________________________

[Stamp with official stamp of the Bidder]
### Form B: Bidder Information Form

<table>
<thead>
<tr>
<th><strong>Legal name of Bidder</strong></th>
<th>[Complete]</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Legal address</strong></td>
<td>[Complete]</td>
</tr>
<tr>
<td><strong>Year of registration</strong></td>
<td>[Complete]</td>
</tr>
</tbody>
</table>
| **Bidder’s Authorized Representative Information** | Name and Title: [Complete]  
Telephone numbers: [Complete]  
Email: [Complete] |
| **Are you a UNGM registered vendor?** | ☐ Yes ☐ No  If yes, [insert UNGM vendor number] |
| **Are you a UNDP vendor?**   | ☐ Yes ☐ No  If yes, [insert UNDP vendor number] |
| **Countries of operation**  | [Complete]          |
| **No. of full-time employees** | [Complete]          |
| **Quality Assurance Certification (e.g. ISO 9000 or Equivalent)** | (If yes, provide a Copy of the valid Certificate): [Complete] |
| **Does your Company hold any accreditation such as ISO 14001 related to the environment?** | (If yes, provide a Copy of the valid Certificate): [Complete] |
| **Does your Company have a written Statement of its Environmental Policy?** | (If yes, provide a Copy): [Complete] |
| **Contact person UNDP may contact for requests for clarification during Proposal evaluation** | Name and Title: [Complete]  
Telephone numbers: [Complete]  
Email: [Complete] |
| **Please attach the following documents:** |  
- Company Profile, which should not exceed fifteen (15) pages, including printed brochures and product catalogues relevant to the goods/services being procured  
- Certificate of Incorporation/ Business Registration  
- Tax Registration/Payment Certificate issued by the Internal Revenue Authority evidencing that the Bidder is updated with its tax payment obligations, or Certificate of Tax exemption, if any such privilege is enjoyed by the Bidder  
- Trade name registration papers, if applicable  
- Local Government permit to locate and operate in assignment location, if applicable  
- Official Letter of Appointment as local representative, if Bidder is submitting a Bid in behalf of an entity located outside the country  
- Power of Attorney |
Form C: Joint Venture/Consortium/Association Information Form

<table>
<thead>
<tr>
<th>No</th>
<th>Name of Partner and contact information (address, telephone numbers, fax numbers, e-mail address)</th>
<th>Proposed proportion of responsibilities (in %) and type of services to be performed</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>[Complete]</td>
<td>[Complete]</td>
</tr>
<tr>
<td>2</td>
<td>[Complete]</td>
<td>[Complete]</td>
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<tr>
<td>3</td>
<td>[Complete]</td>
<td>[Complete]</td>
</tr>
</tbody>
</table>

**Name of leading partner**
(with authority to bind the JV, Consortium, Association during the RFP process and, in the event a Contract is awarded, during contract execution)

[Complete]

We have attached a copy of the below document signed by every partner, which details the likely legal structure of and the confirmation of joint and severable liability of the members of the said joint venture:

☐ Letter of intent to form a joint venture OR ☐ JV/Consortium/Association agreement

We hereby confirm that if the contract is awarded, all parties of the Joint Venture/Consortium/Association shall be jointly and severally liable to UNDP for the fulfillment of the provisions of the Contract.

Name of partner: ____________________________  Name of partner: ____________________________
Signature: ________________________________  Signature: ________________________________
Date: ________________________________  Date: ________________________________

Name of partner: ____________________________  Name of partner: ____________________________
Signature: ________________________________  Signature: ________________________________
Date: ________________________________  Date: ________________________________

Name of partner: ____________________________  Name of partner: ____________________________
Signature: ________________________________  Signature: ________________________________
Date: ________________________________  Date: ________________________________
Form D: Qualification Form

Name of Bidder: [Insert Name of Bidder]  
Date: 
RFP reference: [Insert RFP Reference Number]

If JV/Consortium/Association, to be completed by each partner.

Historical Contract Non-Performance

☐ Contract non-performance did not occur for the last 3 years

☐ Contract(s) not performed for the last 3 years

<table>
<thead>
<tr>
<th>Year</th>
<th>Non-performed portion of contract</th>
<th>Contract Identification</th>
<th>Total Contract Amount (current value in US$)</th>
</tr>
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<tbody>
<tr>
<td></td>
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<tr>
<td></td>
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<td>Name of Client:</td>
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<td></td>
<td>Address of Client:</td>
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<tr>
<td></td>
<td></td>
<td>Reason(s) for non-performance:</td>
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</tbody>
</table>

Litigation History (including pending litigation)

☐ No litigation history for the last 3 years

☐ Litigation History as indicated below

<table>
<thead>
<tr>
<th>Year of dispute</th>
<th>Amount in dispute (in US$)</th>
<th>Contract Identification</th>
<th>Total Contract Amount (current value in US$)</th>
</tr>
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<tbody>
<tr>
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<td>Name of Client:</td>
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<td></td>
<td></td>
<td>Address of Client:</td>
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<td>Matter in dispute:</td>
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<td>Party who initiated the dispute:</td>
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<td></td>
<td></td>
<td>Status of dispute:</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Party awarded if resolved:</td>
<td></td>
</tr>
</tbody>
</table>
Previous Relevant Experience

Please list only previous similar assignments successfully completed in the last 3 years.

List only those assignments for which the Bidder was legally contracted or sub-contracted by the Client as a company or was one of the Consortium/JV partners. Assignments completed by the Bidder’s individual experts working privately or through other firms cannot be claimed as the relevant experience of the Bidder, or that of the Bidder’s partners or sub-consultants, but can be claimed by the Experts themselves in their CVs. The Bidder should be prepared to substantiate the claimed experience by presenting copies of relevant documents and references if so requested by UNDP.

<table>
<thead>
<tr>
<th>Project name &amp; Country of Assignment</th>
<th>Client &amp; Reference Contact Details</th>
<th>Contract Value</th>
<th>Period of activity and status</th>
<th>Types of activities undertaken</th>
</tr>
</thead>
<tbody>
<tr>
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</tbody>
</table>

*Bidders may also attach their own Project Data Sheets with more details for assignments above.*

☐ Attached are the Statements of Satisfactory Performance from the Top 3 (three) Clients or more.

---

Financial Standing

**Annual Turnover for the last 3 years**

- Year 2018 USD
- Year 2017 USD
- Year 2016 USD

**Latest Credit Rating (if any), indicate the source**

---

**Financial information (in US$ equivalent)**

<table>
<thead>
<tr>
<th>Year 1</th>
<th>Year 2</th>
<th>Year 3</th>
</tr>
</thead>
</table>

*Information from Balance Sheet*

- Total Assets (TA)
- Total Liabilities (TL)
- Current Assets (CA)
- Current Liabilities (CL)

*Information from Income Statement*

- Total / Gross Revenue (TR)
- Profits Before Taxes (PBT)
- Net Profit
Attached are copies of the audited financial statements (balance sheets, including all related notes, and income statements) for the years required above complying with the following condition:

a) Must reflect the financial situation of the Bidder or party to a JV, and not sister or parent companies;
b) Historic financial statements must be audited by a certified public accountant;
c) Historic financial statements must correspond to accounting periods already completed and audited. No statements for partial periods shall be accepted.
**Form E: Format of Technical Proposal**

<table>
<thead>
<tr>
<th>Name of Bidder:</th>
<th>[Insert Name of Bidder]</th>
<th>Date:</th>
<th>Select date</th>
</tr>
</thead>
<tbody>
<tr>
<td>RFP reference:</td>
<td>[Insert RFP Reference Number]</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

The Bidder’s proposal should be organized to follow this format of Technical Proposal. Where the bidder is presented with a requirement or asked to use a specific approach, the bidder must not only state its acceptance, but also describe how it intends to comply with the requirements. Where a descriptive response is requested, failure to provide the same will be viewed as non-responsive.

**SECTION 1: Bidder’s qualification, capacity and expertise**

1.1 Brief description of the organization, including the year and country of incorporation, and types of activities undertaken.

1.2 General organizational capability which is likely to affect implementation: management structure, financial stability and project financing capacity, project management controls, extent to which any work would be subcontracted (if so, provide details).

1.3 Relevance of specialized knowledge and experience on similar engagements done in the region/country.

1.4 Quality assurance procedures and risk mitigation measures.

1.5 Organization’s commitment to sustainability.

**SECTION 2: Proposed Methodology, Approach and Implementation Plan**

This section should demonstrate the bidder’s responsiveness to the TOR by identifying the specific components proposed, addressing the requirements, providing a detailed description of the essential performance characteristics proposed and demonstrating how the proposed approach and methodology meets or exceeds the requirements. All important aspects should be addressed in sufficient detail and different components of the project should be adequately weighted relative to one another.

2.1 A detailed description of the approach and methodology for how the Bidder will achieve the Terms of Reference of the project, keeping in mind the appropriateness to local conditions and project environment. Details how the different service elements shall be organized, controlled and delivered.

2.2 The methodology shall also include details of the Bidder’s internal technical and quality assurance review mechanisms.

2.3 Explain whether any work would be subcontracted, to whom, how much percentage of the work, the rationale for such, and the roles of the proposed sub-contractors and how everyone will function as a team.

2.4 Description of available performance monitoring and evaluation mechanisms and tools; how they shall be adopted and used for a specific requirement.

2.5 Implementation plan including a Gantt Chart or Project Schedule indicating the detailed sequence of activities that will be undertaken and their corresponding timing.

2.6 Demonstrate how you plan to integrate sustainability measures in the execution of the contract.

2.7 Any other comments or information regarding the project approach and methodology that will be adopted.
SECTION 2A: Bidder’s Comments and Suggestions on the Terms of Reference

Provide comments and suggestions on the Terms of Reference, or additional services that will be rendered beyond the requirements of the TOR, if any.

SECTION 3: Management Structure and Key Personnel

3.1 Describe the overall management approach toward planning and implementing the project. Include an organization chart for the management of the project describing the relationship of key positions and designations. Provide a spreadsheet to show the activities of each personnel and the time allocated for his/her involvement.

3.2 Provide CVs for key personnel that will be provided to support the implementation of this project using the format below. CVs should demonstrate qualifications in areas relevant to the Scope of Services.

Format for CV of Proposed Key Personnel

<table>
<thead>
<tr>
<th>Name of Personnel</th>
<th>[Insert]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Position for this assignment</td>
<td>[Insert]</td>
</tr>
<tr>
<td>Nationality</td>
<td>[Insert]</td>
</tr>
<tr>
<td>Language proficiency</td>
<td>[Insert]</td>
</tr>
<tr>
<td>Education/Qualifications</td>
<td>[Summarize college/university and other specialized education of personnel member, giving names of schools, dates attended, and degrees/qualifications obtained.]</td>
</tr>
<tr>
<td>Professional certifications</td>
<td>[Provide details of professional certifications relevant to the scope of services]</td>
</tr>
<tr>
<td>▪ Name of institution: [Insert]</td>
<td></td>
</tr>
<tr>
<td>▪ Date of certification: [Insert]</td>
<td></td>
</tr>
<tr>
<td>Employment Record/Experience</td>
<td>[List all positions held by personnel (starting with present position, list in reverse order), giving dates, names of employing organization, title of position held and location of employment. For experience in last five years, detail the type of activities performed, degree of responsibilities, location of assignments and any other information or professional experience considered pertinent for this assignment.]</td>
</tr>
<tr>
<td>References</td>
<td>[Provide names, addresses, phone and email contact information for two (2) references]</td>
</tr>
<tr>
<td>Reference 1:</td>
<td>[Insert]</td>
</tr>
<tr>
<td>Reference 2:</td>
<td>[Insert]</td>
</tr>
</tbody>
</table>

I, the undersigned, certify that to the best of my knowledge and belief, these data correctly describe my qualifications, my experiences, and other relevant information about myself.

________________________________________  __________________
Signature of Personnel                Date (Day/Month/Year)
Form F: Financial Proposal Submission Form

<table>
<thead>
<tr>
<th>Name of Bidder:</th>
<th>[Insert Name of Bidder]</th>
<th>Date:</th>
<th>Select date</th>
</tr>
</thead>
<tbody>
<tr>
<td>RFP reference:</td>
<td>[Insert RFP Reference Number]</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

We, the undersigned, offer to provide the services for [Insert Title of services] in accordance with your Request for Proposal No. [Insert RFP Reference Number] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and our Financial Proposal sealed under a separate envelope.

Our attached Financial Proposal is for the sum of [Insert amount in words and figures].

Our Proposal shall be valid and remain binding upon us for the period of time specified in the Bid Data Sheet.

We understand you are not bound to accept any Proposal you receive.

Name: ________________________________
Title: ________________________________
Date: ________________________________
Signature: ____________________________

[Stamp with official stamp of the Bidder]
**Form G: Financial Proposal Form**

<table>
<thead>
<tr>
<th>Name of Bidder:</th>
<th>[Insert Name of Bidder]</th>
<th>Date:</th>
<th>Select date</th>
</tr>
</thead>
<tbody>
<tr>
<td>RFP reference:</td>
<td>[Insert RFP Reference Number]</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

The Bidder is required to prepare the Financial Proposal following the below format and submit it in an envelope separate from the Technical Proposal as indicated in the Instruction to Bidders. Any Financial information provided in the Technical Proposal shall lead to Bidder’s disqualification.

The Financial Proposal should align with the requirements in the Terms of Reference and the Bidder's Technical Proposal.

**Currency of the proposal:** [Insert Currency]

**Table 1: Summary of Overall Prices**

<table>
<thead>
<tr>
<th></th>
<th>Amount(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Professional Fees</strong> (from Table 2)</td>
<td></td>
</tr>
<tr>
<td><strong>Other Costs</strong> (from Table 3)</td>
<td></td>
</tr>
<tr>
<td><strong>Total Amount of Financial Proposal</strong></td>
<td></td>
</tr>
</tbody>
</table>

**Table 2: Breakdown of Professional Fees**

<table>
<thead>
<tr>
<th>Name</th>
<th>Position</th>
<th>Fee Rate/day</th>
<th>No. of Days</th>
<th>Total Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>A</td>
<td>B</td>
</tr>
<tr>
<td>In-Country</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Home Base</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Subtotal Professional Fees:**
### Table 3: Breakdown of Other Costs

<table>
<thead>
<tr>
<th>Description</th>
<th>UOM</th>
<th>Quantity</th>
<th>Unit Price</th>
<th>Total Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Flights (round trip economy ticket)</td>
<td>Trip</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Subsistence allowance (DSA)</td>
<td>Day</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Communication</td>
<td>Lump Sum</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Local transportation costs</td>
<td>Lump Sum</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other Costs: (please specify)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Subtotal Other Costs:**

### Table 4: Breakdown of Price per Deliverable/Activity

<table>
<thead>
<tr>
<th>Deliverable/ Activity description</th>
<th>Time (person days)</th>
<th>Professional Fees</th>
<th>Other Costs</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Preparation of Inception Report/Finalized Methodology</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Submission of draft report for validation</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Final Report Completed and Dissemination</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Total Amount (Must equal the total in table 1 above)**