#### INDIVIDUAL CONSULTANT PROCUREMENT NOTICE





# Individual Consultant to provide capacity building and the development of toolkits for local and grassroots civil society organisations in three communities

Country: Trinidad & Tobago

Description of the assignment: Civil society networks and organisations, including, those representing the full range and diversity of organisations working on ending violence against women and girls and facing multiple and intersecting forms of discrimination (e.g. women and girls from rural communities, women and girls with disabilities, women and girls living with HIV, LGBTQI persons, single mothers' groups, youth networks women-led CSOs and women's funds), should be meaningfully engaged in the implementation of the Spotlight Initiative in adherence to the leaving no one behind principle. In order to advance the implementation of the sustainable development agenda, one of the key goals of the Initiative is to shift the balance of power from international development agencies to national and, in particular, local and grassroot organizations and service providers to promote national ownership.

Support is required to build the capacity of grassroots, community-based and local CSOs, specifically those which work on ending violence against women and girls and face multiple barriers in diversifying funding streams, and gaining access to international grants and opportunities to implement larger scale projects, such as the Spotlight initiative and similar programmes.

**Project name: Trinidad and Tobago Spotlight Initiative** 

Period of assignment/services: 6 months

Proposal should be submitted at the below mentioned email address no later than: <u>Friday 16<sup>th</sup> October</u> <u>2020 at 4pm</u> AST with the subject e-mail "INDIVIDUAL CONSULTANT: to provide capacity building and the development of toolkits for local and grassroots civil society organisations in three communities "

Email for Submission: <a href="mailto:procurement.tt@undp.org">procurement.tt@undp.org</a>

Subject: INDIVIDUAL CONSULTANT: to provide capacity building and the development of toolkits for local and grassroots civil society organisations in three communities

#### 1. BACKGROUND

The European Union and the United Nations have launched the Spotlight Initiative, a multi-year programme aimed at addressing all forms of violence against women and girls (VAWG), and harmful practices. It will follow a transformative and evidence-based approach, addressing unequal power relations between men and women and focusing on gender equality and women's empowerment, as well as ending impunity for VAWG. In line with the principles of the 2030 Agenda for Sustainable Development, the Initiative will follow a human rights-based approach and take into consideration the specific needs of women and girls who experience multiple and intersecting forms of discrimination and uphold the principle of "leaving no one behind."

The Spotlight Initiative aims to support transformative change on the ground to end violence against women and girls, in numerous countries globally, including Trinidad and Tobago. The initiative comes with the highest level of commitment globally and will be governed by the UN Deputy Secretary General and the Vice President of the EU Commission.

Civil society networks and organisations, including, those representing the full range and diversity of organisations working on ending violence against women and girls and facing multiple and intersecting forms of discrimination(e.g. women and girls from rural communities, women and girls with disabilities, women and girls living with HIV, LGBTQI persons, single mothers' groups, youth networks women-led CSOs and women's funds), should be meaningfully engaged in the implementation of the Spotlight Initiative in adherence to the leaving no one behind principle. In order to advance the implementation of the sustainable development agenda, one of the key goals of the Initiative is to shift the balance of power from international development agencies to national and, in particular, local and grassroot organizations and service providers to promote national ownership.

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For detailed information, please refer to Annex 1

#### 2. SCOPE OF WORK, RESPONSIBILITIES AND DESCRIPTION OF THE PROPOSED ANALYTICAL WORK

- 1. Develop a capacity building strategy which includes but is not limited to toolkits/modules to address the needs of the CSOs identified in the UNDP CSO assessment report, and build their capacity to adequately apply for, develop, implement, manage and report on small grant programmes and implement communications and advocacy activities within the Spotlight Initiative
  - Prepare a strategy with toolkits/modules that include guidelines for good CSO governance, operations, grant application and programme implementation
  - Identify CSOs with specific operational strengths that can provide

guidance on best practices

• Create a database of vendors who provide services for CSO development

### 2. Conduct capacity building workshops with CSOs in Tunapuna/Piarco, Mayaro/Rio Claro and Tobago

- Prepare training materials based on needs assessment report
- Enhance the capacity of CSOs to receive grant funding, based on standard eligibility criteria
- Develop and facilitate workshops across the following themes: non-profit legislation, financial reporting, outreach, communications, monitoring and evaluation (M&E), audit compliance, donor funding, grant and proposal writing and other assessed needs
- Establish a CSO compatibility buddy system with workshop participants
- Assist CSOs with application process for grant funding under Spotlight Initiative

#### 3. Report on Results

- Develop and design an accountability mechanism for CSOs, In partnership with the Civil Society National Reference Group
- Conduct an evaluation to assess whether participating CSOs have increased capacity and capabilities post training
- Prepare a final report for the Spotlight team

For detailed information, please refer to Annex 1

#### 3. REQUIREMENTS FOR EXPERIENCE AND QUALIFICATIONS

#### **Education:**

A university degree (Master's degree or equivalent degree) in Social Sciences or a relevant bachelor's degree (or equivalent) with two additional years of qualifying experience. Certification in project management and organizational management is an asset

#### **Experience:**

- Minimum of five years' experience in project/programme development & management and/or capacity development/training
- Facilitation skills, including for virtual workshops/ online sessions
- Experience with the UN system is an asset
- Experience within the NGO sector

#### 4. DOCUMENTS TO BE INCLUDED WHEN SUBMITTING THE PROPOSALS.

Interested individual consultants must submit the following documents/information to demonstrate their qualifications:

- (1) Offeror's letter to UNDP (See ANNEX II);
- (2) Resume/CV. Resume /CV shall include Contact Information, Education/Qualification, Processional Certification, Employment Records /Experience and contact information and email for three referees.
- (3) Cover Letter: Explaining why you are the most suitable for this consultancy including confirmation on availability to complete the project in the proscribed period of time
- (4) FINANCIAL PROPOSAL:

Please complete the Price Submission Form (ANNEX III) attached, to express your price offer for the services required. Your price proposal would include costs such as your remuneration plus the per diem expense for each day in Trinidad & Tobago, if applicable. All prices shall be quoted in TT dollars (TTD). UNDP will not process Visas for the consultant.

#### A. FINANCIAL PROPOSAL

#### Lump sum contract

The financial proposal will specify the daily fee, travel expenses and per diems quoted in separate line items, and payments are made to the Individual Consultant based on the number of days worked.

#### **Travel**

No travel costs should be included. Should any travel be required from the consultant, it will be paid separately. Plane ticket, Terminal expenses and Daily Subsistence allowance as per UNDP rules, will be provided for the Consultant's required travels. In general, UNDP should not accept travel costs exceeding those of an economy class ticket.

#### 6. EVALUATION

Method: Highest total score of weighted desk review and financial criteria: The price proposals of all consultants, who have attained a minimum 70% score at the Desk Review, will be compared. UNDP will award a contract to the individual who receives the highest score out of a predetermined weighted, Desk Review and Financial criteria as follows: 70% Desk Review criteria, 30% Financial criteria.

	Shortlisting Criteria		
1	Advanced university degree (Master's degree or equivalent degree) in Social Sciences or Bachelor's degree (or equivalent) with additional two years of experience	20	
2	A minimum of five years of experience in supporting project/programme development and management and monitoring & evaluation	30	
3	Experience in partnerships and working with civil society organisations	15	
4	Experience in preparing financial reports and undergoing audits	15	
5	Experience in the planning, organising workshops, meetings and consultations with civil society organizations.	20	
	Total	100	

Once the candidates have attained a score of at least 70% on the desk review, based on the requirements in the Terms of Reference, only then, their financial proposals to be evaluated.

The final evaluation process is based on a 70:30 weighting, with 30 points being allocated to the financial component.

The following formula (cumulative analysis) is used to determine the financial scoring:  $p = y (\mu/z)$ , Where:

- p = points for the financial proposal being evaluated
- y = maximum number of points for the financial proposal
- $\mu$  = price of the lowest priced proposal
- z = price of the proposal being evaluated

#### **ANNEXES**

**ANNEX I- TERMS OF REFERENCES (TOR)** 

ANNEX II- OFFEROR'S LETTER TO UNDP CONFIRMING INTEREST AND AVAILABILITY FOR THE INDIVIDUAL CONTRACTOR (IC) ASSIGNMENT

ANNEX III – FINANCIAL PROPOSAL (PRICE SUBMISSION FORM)

ANNEX IV – INDIVIDUAL CONSULTANT GENERAL TERMS AND CONDITIONS

ANNEX V- INDIVIDUAL CONSULTANT CONTRACT TEMPLATE

#### **TIMELINES**

ACTIVITY	DUE ON
DEADLINE FOR RECEIPT OF OFFERS	Friday 16 <sup>th</sup> October, 2020 at 4pm AST
EXPECTED START DATE FOR SUCCESSFUL CANDIDATE	November 2020

#### **ANNEX 1**



### TERMS OF REFERENCE (ToR) FOR THE RECRUITMENT OF AN INDIVIDUAL CONSULTANT (IC)

**GENERAL INFORMATION** 

Services/Work Description: To provide capacity building and the development of toolkits for

local and grassroots civil society organisations in three

communities

**Project/Program Title:** Trinidad & Tobago Spotlight Initiative

Consultant Level: Individual Consultant

**Duty Station:** Port of Spain, Trinidad and Tobago

**Expected Places of Travel:** Trinidad and Tobago

**Duration:** 6 months

**Expected Start Date:** As contained in Individual Consultant Contract

#### I. BACKGROUND / PROJECT DESCRIPTION

The European Union and the United Nations have launched the Spotlight Initiative, a multi-year programme aimed at addressing all forms of violence against women and girls (VAWG), and harmful practices. It will follow a transformative and evidence-based approach, addressing unequal power relations between men and women and focusing on gender equality and women's empowerment, as well as ending impunity for VAWG. In line with the principles of the 2030 Agenda for Sustainable Development, the Initiative will follow a human rights-based approach and take into consideration the specific needs of women and girls who experience multiple and intersecting forms of discrimination and uphold the principle of "leaving no one behind."

The Spotlight Initiative aims to support transformative change on the ground to end violence against women and girls, in numerous countries globally, including Trinidad and Tobago. The initiative comes with the highest level of commitment globally and will be governed by the UN Deputy Secretary General and the Vice President of the EU Commission.

Civil society networks and organisations, including, those representing the full range and diversity of organisations working on ending violence against women and girls and facing multiple and intersecting forms of discrimination( e.g. women and girls from rural communities, women and girls with disabilities, women and girls living with HIV, LGBTQI persons, single mothers' groups, youth networks women-led CSOs and women's funds), should be meaningfully engaged in the implementation of the Spotlight Initiative in adherence to the leaving no one behind principle. In order to advance the implementation of

the sustainable development agenda, one of the key goals of the Initiative is to shift the balance of power from international development agencies to national and, in particular, local and grassroot organizations and service providers to promote national ownership.

Support is required to build the capacity of grassroots, community-based and local CSOs, specifically those which work on ending violence against women and girls and face multiple barriers in diversifying funding streams, and gaining access to international grants and opportunities to implement larger scale projects, such as the Spotlight initiative and similar programmes.

#### II. FUNCTIONS/DUTIES TO BE PERFORMED BY THE CONSULTANT

- 4. Develop a capacity building strategy which includes but is not limited to toolkits/modules to address the needs of the CSOs identified in the UNDP CSO assessment report, and build their capacity to adequately apply for, develop, implement, manage and report on small grant programmes and implement communications and advocacy activities within the Spotlight Initiative
  - Prepare a strategy with toolkits/modules that include guidelines for good CSO governance, operations, grant application and programme implementation
  - Identify CSOs with specific operational strengths that can provide guidance on best practices
  - Create a database of vendors who provide services for CSO development

### 5. Conduct capacity building workshops with CSOs in Tunapuna/Piarco, Mayaro/Rio Claro and Tobago

- Prepare training materials based on needs assessment report
- Enhance the capacity of CSOs to receive grant funding, based on standard eligibility criteria
- Develop and facilitate workshops across the following themes: non-profit legislation, financial reporting, outreach, communications, monitoring and evaluation (M&E), audit compliance, donor funding, grant and proposal writing and other assessed needs
- Establish a CSO compatibility buddy system with workshop participants
- Assist CSOs with application process for grant funding under Spotlight Initiative

#### 6. Report on Results

- Develop and design an accountability mechanism for CSOs, In partnership with the Civil Society National Reference Group
- Conduct an evaluation to assess whether participating CSOs have increased capacity and capabilities post training
- Prepare a final report for the Spotlight team

#### III. EXPECTED, DELIVERABLES, PAYMENT MILESTONES AND DURATION

#	Deliverable/Output	Payment Milestone	Timeline
1	Develop strategy and work plan	20%	Three weeks from Contract signing
2	Develop capacity building curriculum, including toolkits/modules, database and other training materials	20%	Four weeks from strategy approval
3	Conduct workshops, share toolkits, establish buddy system and assist CSOs with applications	40%	Three months from development of curriculum/toolkits
4	Submission of draft final report	10%	Two weeks from close of workshops
5	Submission of final report	10%	Two weeks from receipt of feedback on first draft

#### IV. INSTITUTIONAL ARRANGEMENT / REPORTING RELATIONSHIPS

The Consultant will report to the UN Resident Coordinator or a nominee of her choice

#### V. QUALIFICATIONS OF THE SUCCESSFUL INDIVIDUAL CONSULTANT (IC)

#### **Corporate Competencies:**

- Demonstrated awareness and sensitivity regarding gender issues;
- Demonstrates integrity by modelling the UN's values and ethical standards;
- Displays cultural, gender, religious, racial, nationality and age sensitivity and adaptability; and
- Treats all people fairly without prejudice.

#### **Functional Competencies:**

- Awareness and sensitivity to gender issues and Human-Rights-based approaches
- Proven skills in the areas of project management, proposal writing, implementation and monitoring and evaluation;
- Strong awareness of non-profit legislation, financial and audit compliance
- Ability to adapt and manage resources and deliverables in a changing environment
- Ability to think and act quickly, sometimes under pressure, yet remaining calm and effective;
- Ability to lead in the formation of strategies and their implementation;
- Ability to build and sustain effective partnerships with civil society organisations
- Ability to coordinate and advocate effectively, communicate sensitively across different constituencies;
- Ability to identify and analyse trends, opportunities and threats to resource mobilisation and fundraising
- Demonstrated excellent written and oral communication and advocacy skills;
- Ability to work in a multicultural environment and in a team;
- Proactive, flexible, resourceful and able to work independently with limited supervision, with demonstrated creative problem solving;
- Consistently approaches work with energy and a positive, constructive attitude;
- Remains calm, in control and good humoured even under pressure;
- Demonstrates openness to change and ability to manage complexities; and
- Responds positively to critical feedback and differing points of view.

#### 1. Education

A university degree (Master's degree or equivalent degree) in Social Sciences or a relevant bachelor's degree (or equivalent) with two additional years of qualifying experience. Certification in project management and organizational management is an asset

#### 2. Work Experience

- Minimum of five years' experience in project/programme development & management and/or capacity development/training
- Facilitation skills, including for virtual workshops/ online sessions
- Experience with the UN system is an asset
- Experience within the NGO sector

#### VI. CRITERIA FOR SELECTING THE BEST OFFER

Method: Highest total score of weighted desk review and financial criteria: The price proposals of all consultants, who have attained a minimum 70% score at the Desk Review, will be compared. UNDP will award a contract to the individual who receives the highest score out of a predetermined weighted, Desk Review and Financial criteria as follows: 70% Desk Review criteria, 30% Financial criteria.

Desk Review Criteria		
1	Advanced university degree (Master's degree or equivalent degree) in Social Sciences or Bachelor's degree (or equivalent) with additional two years of experience	20
2	A minimum of five years of experience in supporting project/programme development and management and monitoring & evaluation	30
3	Experience in partnerships and working with civil society organisations	15
4	Experience in preparing financial reports and undergoing audits	15
5	Experience in the planning, organising workshops, meetings and consultations with civil society organizations.	20
	TOTAL	100

Once the candidates have attained a score of at least 70% on the desk review, based on the requirements in the Terms of Reference, only then, will their financial proposals be evaluated. The final evaluation process is based on a 70:30 weighting, with 30 points being allocated to the financial component.

The following formula (cumulative analysis) is used to determine the financial scoring:  $p = y (\mu/z)$ , Where:

p = points for the financial proposal being evaluated

y = maximum number of points for the financial proposal

 $\mu$  = price of the lowest priced proposal

z = price of the proposal being evaluated

#### 3. Payment Terms:

Payment shall be made upon certification of satisfactory performance by the Spotlight Coordination Unit within the Resident Coordinator's Office.

#### VII. GUIDELINE FOR SUBMISSION

#### **DOCUMENTS TO BE INCLUDED:**

Interested prospective individual consultants must submit the following documents/information to demonstrate their suitability:

- **Cover Letter:** Explaining why you are the most suitable for this consultancy including confirmation on availability to complete the project in the proscribed period of time;
- **Personal Information:** (Personal History Form/CV) including past experience in similar projects;
- **Financial Proposal:** (in TTD, specifying the total lump sum amount as well as the requested amount of the fee per day).

#### VIII. ADDITIONAL REQUIREMENTS FOR THE RECOMMENDED CONTRACTOR

The recommended Individual contractor below age 65 is required to submit a statement of good health and a copy of his/her medical insurance prior to commencement of services in any offices or premises of UNDP, or before engaging in any travel required by UNDP or connected with the performance of the contract. Medical examination is not required.

The recommended Individual contractor aged 65 and older is required to submit a statement of good health signed by a recognized physician and a copy of his/her medical insurance prior to commencement of services in any offices or premises of UNDP, or before engaging in any travel required by UNDP, or connected with the performance of the contract. The medical examination shall be paid by the consultant

#### IX. CONFIDENTIALITY AND PROPRIETARY INTERESTS

The Individual Consultant shall not either during the term or after termination of the assignment, disclose any proprietary or confidential information related to the consultancy service without prior written consent. Proprietary interests on all materials and documents prepared by the consultants under the assignment shall become and remain the property of the UN.

## OFFEROR'S LETTER TO UNDP CONFIRMING INTEREST AND AVAILABILITY FOR THE INDIVIDUAL CONTRACTOR (IC) ASSIGNMENT

	Date
Reside United UN Ho 3A Cha Port o	ancery Lane f Spain
Dear S	ir/Madam:
I herel	by declare that:
A)	I have read, understood and hereby accept the Terms of Reference describing the duties and responsibilities of Individual Consultant: to provide capacity building and the development of toolkits for local and grassroots civil society organizations in three communities
B)	I have also read, understood and hereby accept UNDP's General Conditions of Contract for the Services of the Individual Contractors;
C)	I hereby propose my services and I confirm my interest in performing the assignment through the submission of my CV which I have duly signed and attached hereto as Annex 1;
D)	I hereby propose to complete the services based on the following payment rate: [please check the box corresponding to the preferred option]:
[	An all-inclusive daily fee of [state amount in words and in numbers indicating currency]
[	A total lump sum of [state amount in words and in numbers, indicating exact currency], payable in the manner described in the Terms of Reference.
E)	For your evaluation, the breakdown of the abovementioned all-inclusive amount is attached bereto as Appey III:

F) I recognize that the payment of the abovementioned amounts due to me shall be based on my delivery of outputs within the timeframe specified in the TOR, which shall be subject to UNDP's

review, acceptance and payment certification procedures;

G)		fer shall remain valid sion deadline;	for a total perio	d of days	[minimum of 90	Odays] after the
H)	brothe	r or sister) currently	employed with	tive (mother, father, so n any UN agency or of e, and the relationship i	fice [disclose t	he name of the
I)	If I am	selected for this assig	gnment, I shall [ˌ	please check the approp	oriate box]:	
]	Re a		[state name of c greement (RLA)	company/organization/i , for and on my behalf.		_
	] A	engagement with any	submission, I Business Unit o	have no active Individ		
		Assignment	Contract Type	UNDP Business Unit / Name of Institution/Company	Contract Duration	Contract Amount
		am also anticipating vhich I have submitte		e following work from U	JNDP and/or o	ther entities for
		Assignment	Contract Type	Name of Institution/ Company	Contract Duration	Contract Amount

K) I fully understand and recognize that UNDP is not bound to accept this proposal, and I also understand and accept that I shall bear all costs associated with its preparation and submission and that UNDP will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the selection process.

L)	to you	re a former staff member of the United the Interest of the Int	ave complied with the mi	
M		ully understand that, if I am engag ments whatsoever to be re-instat		
N)	interna	y of your relatives employed by itional organization?  NO fthe answer is "yes"	·	
		Name	Relationship	Name of International
				Organization
P) A Q) R	YES .re you n	ow, or have you ever been a pern  NO If answer is "yes",  CES: List three persons, not rela	nanent civil servant in you WHEN?	Ir government's employ?
		Full Name	Full Address	Business or Occupation
	r convict	been arrested, indicted, or sumned, fined or imprisoned for the vi	olation of any law (exclud	ing minor traffic violations)?
corre omiss	ct to the sion mad	the statements made by me in any best of my knowledge and beline on a Personal History form or oution of the service contract or specifical.	ef. I understand that any other document requested	y misrepresentation or material d by the Organization may result
	DATE:		SIGNATURE:	

NB. You will be requested to supply documentary evidence which support the statements you have made above. Do not, however, send any documentary evidence until you have been asked to do so and, in any event, do not submit the original texts of references or testimonials unless they have been obtained for the sole use of UNDP.

Annexes [please check all that applies]:	
CV shall include Contact Information, Education/Qualification, Processional C Employment Records /Experience	Certification
Breakdown of Costs Supporting the Final All-Inclusive Price as per Template	
Brief Description of Approach to Work (if required by the TOR)	

#### **ANNEX III**



#### **Price Submission Form**

### Resident Representative United Nations Development Programme, Trinidad and Tobago

Having examined the Solicitation Documents, the receipt of which is hereby duly acknowledged, I, the undersigned, offer to provide (the requested services) for the total sum of (indicate amount in number and letters) including applicable taxes, plus the per diem expenses as may be ascertained in accordance with the Price Schedule described below and made part of this Offer.

#### A. **Breakdown of Cost by Components:**

Cost Components	Unit Cost per day TT\$	Quantity	Total Rate for the Contract Duration TT\$
I. Personnel Costs			
Professional Fees			
Life Insurance			
Medical Insurance			
Communications			
Land Transportation			
Others (pls. specify)			

#### B. Breakdown of Cost by Deliverables\*

<sup>\*</sup>Basis for payment tranches

No.	Deliverables	Percentage of Total Price (Weight for payment)	Amount TT\$
1.	Develop strategy and work plan	20%	
2.	Develop capacity building curriculum, including toolkits/modules, database and other training materials	20%	
3.	Conduct workshops, share toolkits, establish buddy system and assist CSOs with applications	40%	
4.	Submission of draft final report	10%	
5.	Submission of final report	10%	
	Total	100%	TT\$

I undertake, if my Offer is accepted, to commence and complete delivery of all services specified in the contract within the time frame stipulated.

I agree to abide by this Offer for a period of 90 days from the date fixed for receiving of Offers in the Request for Quotation, and it shall remain binding upon me and may be accepted at any time before the expiration of that period.

I understand that you are not bound to accept any Offer you may receive.

Date:

Name and signature:

#### **ANNEX IV**

### UNITED NATIONS DEVELOPMENT PROGRAMME GENERAL CONDITIONS FOR THE SERVICES OF INDIVIDUAL CONTRACTORS

#### 1. LEGAL STATUS

The Individual contractor shall have the legal status of an independent contractor vis-à-vis the United Nations Development Programme (UNDP), and shall not be regarded, for any purposes, as being either a "staff member" of UNDP, under the UN Staff Regulations and Rules, or an "official" of UNDP, for purposes of the Convention on the Privileges and Immunities of the United Nations, adopted by the General Assembly of the United Nations on 13 February 1946. Accordingly, nothing within or relating to the Contract shall establish the relationship of employer and employee, or of principal and agent, between UNDP and the Individual contractor. The officials, representatives, employees or subcontractors of UNDP and of the Individual contractor, if any, shall not be considered in any respect as being the employees or agents of the other, and UNDP and the Individual contractor shall be solely responsible for all claims arising out of or relating to their engagement of such persons or entities.

#### 2. STANDARDS OF CONDUCT

In General: The Individual contractor shall neither seek nor accept instructions from any authority external to UNDP in connection with the performance of his or her obligations under the Contract. Should any authority external to UNDP seek to impose any instructions regarding the Individual contractor's performance under the Contract, the Individual contractor shall promptly notify UNDP and shall provide all reasonable assistance required by UNDP. The Individual contractor shall not take any action in respect of his or her performance of the Contract or otherwise related to his or her obligations under the Contract that may adversely affect the interests of UNDP. The Individual contractor shall perform his or her obligations under the Contract with the fullest regard to the interests of UNDP. The Individual contractor warrants that she or he has not and shall not offer any direct or indirect benefit arising from or related to the performance of the Contract or the award thereof to any representative, official, employee or other agent of UNDP. The Individual contractor shall comply with all laws, ordinances, rules and regulations bearing upon the performance of his or her obligations under the Contract. In the performance of the Contract the Individual contractor shall comply with the standards of conduct set in the Secretary General's Bulletin ST/SGB/2002/9 of 18 June 2002, entitled "Regulations Governing the Status, Basic Rights and Duties of Officials other than Secretariat Officials, and Expert on Mission". The Individual contractor must comply with all security directives issued by UNDP.

**Prohibition of Sexual Exploitation and Abuse**: In the performance of the Contract, the Individual contractor shall comply with the standards of conduct set forth in the Secretary-General's bulletin ST/SGB/2003/13 of 9 October 2003, concerning "Special measures for protection from sexual exploitation and sexual abuse". In particular, the Individual contractor shall not engage in any conduct that would constitute sexual exploitation or sexual abuse, as defined in that bulletin.

The Individual contractor acknowledges and agrees that any breach of any of the provisions hereof shall constitute a breach of an essential term of the Contract, and, in addition to any other legal rights or remedies available to any person, shall give rise to grounds for suspension or termination of the Contract. In addition, nothing herein shall limit the right of UNDP to refer any alleged breach of the foregoing

standards of conduct or any other terms of the Contract to the relevant national authorities for appropriate legal action.

#### 3. TITLE RIGHTS, COPYRIGHTS, PATENTS AND OTHER PROPRIETARY RIGHTS

Title to any equipment and supplies that may be furnished by UNDP to the Individual contractor for the performance of any obligations under the Contract shall rest with UNDP, and any such equipment and supplies shall be returned to UNDP at the conclusion of the Contract or when no longer needed by the Individual contractor. Such equipment and supplies, when returned to UNDP, shall be in the same condition as when delivered to the Individual contractor, subject to normal wear and tear, and the Individual contractor shall be liable to compensate UNDP for any damage or degradation of the equipment and supplies that is beyond normal wear and tear.

UNDP shall be entitled to all intellectual property and other proprietary rights, including, but not limited to, patents, copyrights and trademarks, with regard to products, processes, inventions, ideas, know-how or documents and other materials which the Individual contractor has developed for UNDP under the Contract and which bear a direct relation to, or are produced or prepared or collected in consequence of, or during the course of, the performance of the Contract, and the Individual contractor acknowledges and agrees that such products, documents and other materials constitute works made for hire for UNDP. However, to the extent that any such intellectual property or other proprietary rights consist of any intellectual property or other proprietary rights of the Individual contractor: (a) that pre-existed the performance by the Individual contractor of his or her obligations under the Contract, or (b) that the Individual contractor may develop or acquire, or may have developed or acquired, independently of the performance of his or her obligations under the Contract, UNDP does not and shall not claim any ownership interest thereto, and the Individual contractor grants to UNDP a perpetual license to use such intellectual property or other proprietary right solely for the purposes of and in accordance with the requirements of the Contract.

At the request of UNDP, the Individual contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring or licensing them to UNDP in compliance with the requirements of the applicable law and of the Contract. Subject to the foregoing provisions, all maps, drawings, photographs, mosaics, plans, reports, estimates, recommendations, documents and all other data compiled by or received by the Individual contractor under the Contract shall be the property of UNDP, shall be made available for use or inspection by UNDP at reasonable times and in reasonable places, shall be treated as confidential and shall be delivered only to UNDP authorized officials on completion of services under the Contract.

#### 4. CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION

Information and data that are considered proprietary by either UNDP or the Individual contractor or that are delivered or disclosed by one of them ("Discloser") to the other ("Recipient") during the course of performance of the Contract, and that are designated as confidential ("Information"), shall be held in confidence and shall be handled as follows. The Recipient of such Information shall use the same care and discretion to avoid disclosure, publication or dissemination of the Discloser's Information as it uses with its own similar information that it does not wish to disclose, publish or disseminate, and the Recipient may otherwise use the Discloser's Information solely for the purpose for which it was disclosed. The Recipient may disclose confidential Information to any other party with the Discloser's prior written consent, as well as to the Recipient's officials, representatives, employees, subcontractors and agents who have a need to know such confidential Information solely for purposes of performing obligations under the Contract.

Subject to and without any waiver of the privileges and immunities of UNDP, the Individual contractor may disclose Information to the extent required by law, provided that the Individual contractor will give UNDP sufficient prior notice of a request for the disclosure of Information in order to allow UNDP to have a reasonable opportunity to take protective measures or such other action as may be appropriate before any such disclosure is made. UNDP may disclose Information to the extent required pursuant to the Charter of the United Nations, resolutions or regulations of the General Assembly or its other governing bodies, or rules promulgated by the Secretary-General. The Recipient shall not be precluded from disclosing Information that is obtained by the Recipient from a third party without restriction, is disclosed by the Discloser to a third party without any obligation of confidentiality, is previously known by the Recipient, or at any time is developed by the Recipient completely independently of any disclosures hereunder. These obligations and restrictions of confidentiality shall be effective during the term of the Contract, including any extension thereof, and, unless otherwise provided in the Contract, shall remain effective following any termination of the Contract. Notwithstanding the foregoing, the Individual contractor acknowledges that UNDP may, in its sole discretion, disclose the purpose, type, scope, duration and value of the Contract, the name of the Individual contractor, and any relevant information related to the award of the Contract.

#### 5. TRAVEL, MEDICAL CLEARANCE AND SERVICE INCURRED DEATH, INJURY OR ILLNESS

If the Individual contractor is required by UNDP to travel beyond commuting distance from the Individual contractor's usual place of residence, and upon prior written agreement, such travel shall be at the expense of UNDP. Such travel shall be at economy fare when by air.

UNDP may require the Individual contractor to submit a "statement of good health" from a recognized physician prior to commencement of services in any offices or premises of UNDP, or before engaging in any travel required by UNDP, or connected with the performance of the Contract. The Individual contractor shall provide such a statement as soon as practicable following such request, and prior to engaging in any such travel, and the Individual contractor warrants the accuracy of any such statement, including, but not limited to, confirmation that the Individual contractor has been fully informed regarding the requirements for inoculations for the country or countries to which travel may be authorized.

In the event of death, injury or illness of the Individual contractor which is attributable to the performance of services on behalf of UNDP under the terms of the Contract while the Individual contractor is traveling at UNDP expense or is performing any services under the Contract in any offices or premises of UNDP, the Individual contractor or the Individual contractor's dependents, as appropriate, shall be entitled to compensation equivalent to that provided under the UNDP insurance policy, available upon request.

#### 6. PROHIBITION ON ASSIGNMENT; MODIFICATIONS

The Individual contractor may not assign, delegate, transfer, pledge or make any other disposition of the Contract, of any part thereof, or of any of the rights, claims or obligations under the Contract except with the prior written authorization of UNDP, and any attempt to do so shall be null and void. The terms or conditions of any supplemental undertakings, licenses or other forms of Contract concerning any goods or services to be provided under the Contract shall not be valid and enforceable against UNDP nor in any way shall constitute a contract by UNDP thereto, unless any such undertakings, licenses or other forms of contract are the subject of a valid written undertaking by UNDP. No modification or change in the Contract shall be valid and enforceable against UNDP unless provided by means of a valid written amendment to the Contract signed by the Individual contractor and an authorized official or appropriate contracting authority of UNDP.

#### 7. SUBCONTRACTORS

In the event that the Individual contractor requires the services of subcontractors to perform any obligations under the Contract, the Individual contractor shall obtain the prior written approval of UNDP for any such subcontractors. UNDP may, in its sole discretion, reject any proposed subcontractor or require such subcontractor's removal without having to give any justification therefore, and such rejection shall not entitle the Individual contractor to claim any delays in the performance, or to assert any excuses for the non-performance, of any of his or her obligations under the Contract. The Individual contractor shall be solely responsible for all services and obligations performed by his or her subcontractors. The terms of any subcontract shall be subject to, and shall be construed in a manner that is fully in accordance with, all of the terms and conditions of the Contract.

#### 8. USE OF NAME, EMBLEM OR OFFICIAL SEAL OF THE UNITED NATIONS

The Individual contractor shall not advertise or otherwise make public for purposes of commercial advantage or goodwill that it has a contractual relationship with UNDP, nor shall the Individual contractor, in any manner whatsoever, use the name, emblem or official seal of UNDP, or any abbreviation of the name of UNDP, in connection with his or her business or otherwise without the written permission of UNDP.

#### 9. INDEMNIFICATION

The Individual contractor shall indemnify, defend, and hold and save harmless UNDP, and its officials, agents and employees, from and against all suits, proceedings, claims, demands, losses and liability of any kind or nature, including, but not limited to, all litigation costs and expenses, attorney's fees, settlement payments and damages, based on, arising from, or relating to: (a) allegations or claims that the use by UNDP of any patented device, any copyrighted material or any other goods or services provided to UNDP for its use under the terms of the Contract, in whole or in part, separately or in combination, constitutes an infringement of any patent, copyright, trademark or other intellectual property right of any third party; or (b) any acts or omissions of the Individual contractor, or of any subcontractor or anyone directly or indirectly employed by them in the performance of the Contract, which give rise to legal liability to anyone not a party to the Contract, including, without limitation, claims and liability in the nature of a claim for workers' compensation.

#### 10. **INSURANCE**:

The Individual contractor shall pay UNDP promptly for all loss, destruction or damage to the property of UNDP caused by the Individual contractor, or of any subcontractor, or anyone directly or indirectly employed by them in the performance of the Contract.

The Individual contractor shall be solely responsible for taking out and for maintaining adequate insurance required to meet any of his or her obligations under the Contract, as well as for arranging, at the Individual contractor's sole expense, such life, health and other forms of insurance as the Individual contractor may consider to be appropriate to cover the period during which the Individual contractor provides services under the Contract.

The Individual contractor acknowledges and agrees that none of the insurance arrangements the Individual contractor shall, in any way, be construed to limit the Individual contractor's liability arising under or relating to the Contract.

#### 11. ENCUMBRANCES AND LIENS

The Individual contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with UNDP against any monies due to the Individual contractor or to become due for any work donor or against any goods supplied or materials furnished under the Contract, or by reason of any other claim or demand against the Individual contractor.

#### 12. FORCE MAJEURE; OTHER CHANGES IN CONDITIONS

In the event of and as soon as possible after the occurrence of any cause constituting *force majeure*, the Individual contractor shall give notice and full particulars in writing to UNDP of such occurrence or cause if the Individual contractor is thereby rendered unable, wholly or in part, to perform his or her obligations and meet his or her responsibilities under the Contract. The Individual contractor shall also notify UNDP of any other changes in conditions or the occurrence of any event, which interferes or threatens to interfere with the performance of the Contract. Not more than fifteen (15) days following the provision of such notice of *force majeure* or other changes in conditions or occurrence, the Individual contractor shall also submit a statement to UNDP of estimated expenditures that will likely be incurred for the duration of the change in conditions or the event. On receipt of the notice or notices required hereunder, UNDP shall take such action as it considers, in its sole discretion, to be appropriate or necessary in the circumstances, including the granting to the Individual contractor of a reasonable extension of time in which to perform any obligations under the Contract or suspension thereof.

Force majeure as used herein means any unforeseeable and irresistible act of nature, any act of war (whether declared or not), invasion, revolution, insurrection, or any other acts of a similar nature or force, provided that such acts arise from causes beyond the control and without the fault or negligence of the Individual contractor. The Individual contractor acknowledges and agrees that, with respect to any obligations under the Contract that the Individual contractor must perform in or for any areas in which UNDP is engaged in, preparing to engage in, or disengaging from any peacekeeping, humanitarian or similar operations, any delay or failure to perform such obligations arising from or relating to harsh conditions within such areas or to any incidents of civil unrest occurring in such areas shall not, in and of itself, constitute force majeure under the Contract

#### 13. TERMINATION

Either party may terminate the Contract, in whole or in part, upon giving written notice to the other party. The period of notice shall be five (5) days in the case of contracts for a total period of less than two (2) months and fourteen (14) days in the case of contracts for a longer period. The initiation of conciliation or arbitral proceedings, as provided below, shall not be deemed to be a "cause" for or otherwise to be in itself a termination of the Contract. UNDP may, without prejudice to any other right or remedy available to it, terminate the Contract forthwith in the event that: (a) the Individual contractor is adjudged bankrupt, or is liquidated, or becomes insolvent, applies for moratorium or stay on any payment or repayment obligations, or applies to be declared insolvent; (b) the Individual contractor is granted a moratorium or a stay or is declared insolvent; (c) the Individual contractor makes an assignment for the benefit of one or more of his or her creditors; (d) a Receiver is appointed on account of the insolvency of the Individual contractor; (e) the Individual contractor offers a settlement in lieu of bankruptcy or receivership; or (f) UNDP reasonably determines that the Individual contractor has become subject to a materially adverse change in financial condition that threatens to endanger or otherwise substantially affect the ability of the Individual contractor to perform any of the obligations under the Contract.

In the event of any termination of the Contract, upon receipt of notice of termination by UNDP, the Individual contractor shall, except as may be directed by UNDP in the notice of termination or otherwise in writing: (a) take immediate steps to bring the performance of any obligations under the Contract to a close in a prompt and orderly manner, and in doing so, reduce expenses to a minimum; (b) refrain from undertaking any further or additional commitments under the Contract as of and following the date of receipt of such notice; (c) deliver all completed or partially completed plans, drawings, information and other property that, if the Contract had been completed, would be required to be furnished to UNDP thereunder; (d) complete performance of the services not terminated; and (e) take any other action that may be necessary, or that UNDP may direct in writing, for the protection and preservation of any property, whether tangible or intangible, related to the Contract that is in the possession of the Individual contractor and in which UNDP has or may be reasonably expected to acquire an interest.

In the event of any termination of the Contract, UNDP shall only be liable to pay the Individual contractor compensation on a pro rata basis for no more than the actual amount of work performed to the satisfaction of UNDP in accordance with the requirements of the Contract. Additional costs incurred by UNDP as a result of termination of the Contract by the Individual contractor may be withheld from any amount otherwise due to the Individual contractor by UNDP.

#### 14. NON-EXCLUSIVITY

UNDP shall have no obligation respecting, and no limitations on, its right to obtain goods of the same kind, quality and quantity, or to obtain any services of the kind described in the Contract, from any other source at any time.

#### 15. TAXATION:

Article II, section 7, of the Convention on the Privileges and Immunities of the United Nations provides, *inter alia*, that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs restrictions, duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the exemptions of the United Nations from such taxes, restrictions, duties or charges, the Individual contractor shall immediately consult with UNDP to determine a mutually acceptable procedure. UNDP shall have no liability for taxes, duties or other similar

charges payable by the Individual contractor in respect of any amounts paid to the Individual contractor under this Contract, and the Individual contractor acknowledges that UNDP will not issue any statements of earnings to the Individual contractor in respect of any such payments.

#### **16. AUDITS AND INVESTIGATIONS**

Each invoice paid by UNDP shall be subject to a post-payment audit by auditors, whether internal or external, of UNDP or by other authorized and qualified agents of UNDP. The Individual contractor acknowledges and agrees that UNDP may conduct investigations relating to any aspect of the Contract or the award thereof, and the obligations performed thereunder.

The Individual contractor shall provide full and timely cooperation with any post-payment audits or investigations hereunder. Such cooperation shall include, but shall not be limited to, the Individual contractor's obligation to make available any relevant documentation and information for the purposes of a post-payment audit or an investigation at reasonable times and on reasonable conditions. The Individual contractor shall require his or her employees, subcontractors and agents, if any, including, but not limited to, the Individual contractor's attorneys, accountants or other advisers, to reasonably cooperate with any post-payment audits or investigations carried out by UNDP hereunder.

If the findings or circumstances of a post-payment audit or investigation so warrant, UNDP may, in its sole discretion, take any measures that may be appropriate or necessary, including, but not limited to, suspension of the Contract, with no liability whatsoever to UNDP.

The Individual contractor shall refund to UNDP any amounts shown by a post-payment audit or investigation to have been paid by UNDP other than in accordance with the terms and conditions of the Contract. Such amount may be deducted by UNDP from any payment due to the Individual contractor under the Contract.

The right of UNDP to conduct a post-payment audit or an investigation and the Individual contractor's obligation to comply with such shall not lapse upon expiration or prior termination of the Contract.

#### **17. SETTLEMENT OF DISPUTES:**

Amicable Settlement: UNDP and the Individual contractor shall use their best efforts to amicably settle any dispute, controversy or claim arising out of the Contract or the breach, termination or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the Conciliation Rules then obtaining of the United Nations Commission on International Trade Law ("UNCITRAL"), or according to such other procedure as may be agreed between the parties in writing.

Arbitration: Any dispute, controversy or claim between the parties arising out of the Contract, or the breach, termination, or invalidity thereof, unless settled amicably, as provided above, shall be referred by either of the parties to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The decisions of the arbitral tribunal shall be based on general principles of international commercial law. For all evidentiary questions, the arbitral tribunal shall be guided by the Supplementary Rules Governing the Presentation and Reception of Evidence in International Commercial Arbitration of the International Bar Association, 28 May 1983 edition. The arbitral tribunal shall be empowered to order the return or destruction of goods or any property, whether tangible or intangible, or of any confidential information provided under the Contract, order the termination of the Contract, or order that any other protective measures be taken with respect to the goods, services or any other property, whether tangible or intangible, or of any confidential information provided under the Contract, as appropriate, all in accordance with the authority of the arbitral tribunal pursuant to Article 26 ("Interim Measures of

Protection") and Article 32 ("Form and Effect of the Award") of the UNCITRAL Arbitration Rules. The arbitral tribunal shall have no authority to award punitive damages. In addition, unless otherwise expressly provided in the Contract, the arbitral tribunal shall have no authority to award interest in excess of the London Inter-Bank Offered Rate ("LIBOR") then prevailing, and any such interest shall be simple interest only. The parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such dispute, controversy or claim.

#### 18. LIMITATION ON ACTIONS

Except with respect to any indemnification obligations in Article 9, above, or as are otherwise set forth in the Contract, any arbitral proceedings in accordance with Article 17, above, arising out of the Contract must be commenced within three (3) years after the cause of action has accrued.

The Parties further acknowledge and agree that, for these purposes, a cause of action shall accrue when the breach actually occurs, or, in the case of latent defects, when the injured Party knew or should have known all of the essential elements of the cause of action, or in the case of a breach of warranty, when tender of delivery is made, except that, if a warranty extends to future performance of the goods or any process or system and the discovery of the breach consequently must await the time when such goods or other process or system is ready to perform in accordance with the requirements of the Contract, the cause of action accrues when such time of future performance actually begins,

#### 19. PRIVILEGES AND IMMUNITIES

Nothing in or relating to the Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.

#### **ANNEX V**

#### **UNITED NATIONS DEVELOPMENT PROGRAMME**



#### Contract for the services of an Individual Contractor

No

(hereir	after refe	rred to as "U	NDP") and		d Nations Deve	(hereinafter ref	
to	as	"the	Individual	Contractor")	whose	address	is
	EAS UNDP		ngage the services	of the Individual Cor	ntractor on the	terms and cond	itions
	EAS the In and condit		tractor is ready a	nd willing to accept t	his Contract w	rith UNDP on the	e saic
NOW,	THEREFOR	E, the Partie	s hereby agree as	follows:			
The Inc		ntractor sha	•	vices as described in ched hereto as <i>Anne</i>			

#### 3. Consideration

www.undp.org/procurement and are attached hereto as Annex II.

As full consideration for the services performed by the Individual Contractor under the terms of this Contract, including, unless otherwise specified, his/her travel to and from the Duty Station(s), any other travel required in the fulfillment of the Terms of Reference in Annex I, and living expenses in the Duty Station(s), UNDP shall pay the Individual Contractor a total of [currency] -------- in accordance with the table set forth below<sup>1</sup>. Payments shall be made following certification by UNDP that the services related to each Deliverable, as described below, have been satisfactorily performed and the Deliverables have been achieved by or before the due dates specified below, if any.

This Individual Contract shall commence on [insert date] and shall expire upon satisfactory completion of the services described in the Terms of Reference mentioned above, but not later than [insert date], unless sooner terminated in accordance with the terms of this Contract. This Contract is subject to the General Conditions of Contract for Individual contractors which are available on UNDP website at

<sup>&</sup>lt;sup>1</sup> For payments which are not output-based lump sum, indicate the maximum number of working days/hours/units, any out of pocket expense (travel, per diem...) and the corresponding fee/cost in the Deliverable (s) table.

DELIVERABLE	DUE DATE	AMOUNT IN [CURRENCY]

If unforeseen travel outside the Duty Station not required by the Terms of Reference is requested by UNDP, and upon prior written agreement, such travel shall be at UNDP's expense and the Individual Contractor shall receive a *per diem* not to exceed United Nations daily subsistence allowance rate in such other location(s).

Where two currencies are involved, the rate of exchange shall be the official rate applied by the United Nations on the day the UNDP instructs its bank to effect the payment(s).

#### 1. Rights and Obligations of the Individual contractor

The rights and obligations of the Individual Contractor are strictly limited to the terms and conditions of this Contract, including its Annexes. Accordingly, the Individual Contractor shall not be entitled to any benefit, payment, subsidy, compensation or entitlement, except as expressly provided in this Contract. The Individual Contractor shall be solely liable for claims by third parties arising from the Individual Contractor's own acts or omissions in the course of performing this Contract, and under no circumstances shall UNDP be held liable for such claims by third parties.

2. Beneficiary		
The Individual Contractor selects	as beneficiary of any amounts owed under this	
Contract in the event of death of the Individual Contractor while performing services hereunder. This includes the payment of any service-incurred liability insurance attributable to the performance of the services for UNDP.		
Mailing address, email address and phone number	r of beneficiary:	
Mailing address, email address and phone number	r of emergency contact (if different from beneficiary):	

IN WITNESS WHEREOF, the Parties hereto have executed this Contract.

By signing below, I, the Individual Contractor, acknowledge and agree that I have read and accept the terms of this Contract, including the General Conditions of Contracts for Individual contractors available on UNDP website at www.undp.org/procurement and attached hereto in Annex II which form an integral part of this Contract, and that I have read and understood, and agree to abide by the standards of conduct set forth in the Secretary-General's bulletins ST/SGB/2003/13 of 9 October 2003, entitled

"Special Measures for Protection from Sexual Exploitation and Sexual Abuse" and ST/SGB/2002/9 of 18 June 2002, entitled "Regulations Governing the Status, Basic Rights and Duties of Officials other than Secretariat Officials, and Experts on Mission".

☐ The Individual Contractor has submitted a Statement of Good I	Health and confirmation of immunization.
AUTHORIZING OFFICER: United Nations Development Programme	INDIVIDUAL CONTRACTOR:
Name;	Name;
Signature;	Signature;
Date;	Date;