

REQUEST FOR PROPOSAL (RFP) (For Low-Valued Services)

To all potential bidders/companies

DATE: November 24, 2020

REFERENCE: RFP/FJI10-015-2020

Dear Sir / Madam:

We kindly request you to submit your Proposal for Building the capacity of Pacific media in reporting on anti-corruption, integrity, UNCAC, SDG16 and related themes.

Please be guided by the form attached hereto as Annex 2, in preparing your Proposal.

Proposals may be submitted on or before Wednesday, December 1, 2020 via email to the address below:

United Nations Development Programme <u>etenderbox.pacific@undp.org</u> <u>Deepak Naicker</u>

Your Proposal must be expressed in the USD, and valid for a minimum period of 90 days. For queries email to procurement.fj@undp.org.

In the course of preparing your Proposal, it shall remain your responsibility to ensure that it reaches the address above on or before the deadline. Proposals that are received by UNDP after the deadline indicated above, for whatever reason, shall not be considered for evaluation. If you are submitting your Proposal by email, kindly ensure that they are signed and in the .pdf format, and free from any virus or corrupted files.

Services proposed shall be reviewed and evaluated based on completeness and compliance of the Proposal and responsiveness with the requirements of the RFP and all other annexes providing details of UNDP requirements.

The Proposal that complies with all of the requirements, meets all the evaluation criteria and offers the best value for money shall be selected and awarded the contract. Any offer that does not meet the requirements shall be rejected.

Any discrepancy between the unit price and the total price shall be re-computed by UNDP, and the unit price shall prevail and the total price shall be corrected. If the Service Provider does not accept the final price based on UNDP's re-computation and correction of errors, its Proposal will be rejected.

No price variation due to escalation, inflation, fluctuation in exchange rates, or any other market factors shall be accepted by UNDP after it has received the Proposal. At the time of Award of Contract or Purchase Order, UNDP reserves the right to vary (increase or decrease) the quantity of services and/or goods, by up to a maximum twenty five per cent (25%) of the total offer, without any change in the unit price or other terms and conditions.

Any Contract or Purchase Order that will be issued as a result of this RFP shall be subject to the General Terms and Conditions attached hereto. The mere act of submission of a Proposal implies that the Service Provider accepts without question the General Terms and Conditions of UNDP, herein attached as Annex 3.

Please be advised that UNDP is not bound to accept any Proposal, nor award a contract or Purchase Order, nor be responsible for any costs associated with a Service Providers preparation and submission of a Proposal, regardless of the outcome or the manner of conducting the selection process.

UNDP's vendor protest procedure is intended to afford an opportunity to appeal for persons or firms not awarded a Purchase Order or Contract in a competitive procurement process. In the event that you believe you have not been fairly treated, you can find detailed information about vendor protest procedures in the following link:

http://www.undp.org/content/undp/en/home/operations/procurement/protestandsanctions/

UNDP encourages every prospective Service Provider to prevent and avoid conflicts of interest, by disclosing to UNDP if you, or any of your affiliates or personnel, were involved in the preparation of the requirements, design, cost estimates, and other information used in this RFP.

UNDP implements a zero tolerance on fraud and other proscribed practices, and is committed to preventing, identifying and addressing all such acts and practices against UNDP, as well as third parties involved in UNDP activities. UNDP expects its Service Providers to adhere to the UN Supplier Code of Conduct found in this link: http://www.un.org/depts/ptd/pdf/conduct_english.pdf

Thank you and we look forward to receiving your Proposal.

Sincerely yours,

Deepak Naicker Procurement Associate

24/11/2020

Description of Requirements

Requirement Building the capacity of Pacific media in reporting on anti-corruption, integrity, UNCAC, SDG16 and related themes [indicate project title or brief description of the project] Implementing Partner of UNDP Brief Description of the Required Services¹ Implementation and delivery of UN-PRAC "Strengthening media and anti-corruption reporting in the Pacific" activities and capacity building of journalists. Supporting the Pacific Anti-Corruption Journalists Network (PACJN) to further strengthen its network and through it build the capacity of its members in understanding anti-corruption issues, and undertaking reporting on integrity, UNCAC, SDG 16 and related themes. List and Description of Expected Outputs to be Delivered 1.PACJN strengthening and capacity-building activities; support to observance of 9 December IACD 2020, at least one event organized 2. Assist PACJN journalists' preparation for and reporting of regional and international fora 3. Design two UN-PRAC toolkit launches for media and create a template for future launches 4. Develop with UN-PRAC up to 2-day anti-corruption workshop including AML reporting for media 5. Develop with UN-PRAC 1.5-day anti-corruption workshop for media CEOs & owners 6. Assist 4 national media associations to undertake training/webinar on anti-corruption COVID reporting 7. Establish up to 4 grants for freelance reporters to undertake corruption investigative journalism and produce a major story Person to Supervise the Work/Performance of the Service Provider Regional Anti-Corruption Adviser, UNODC Pacific; UNDP Anti-Corruption Adviser, UNDP Pacific Office	Context of the	United Nations Pacific Regional Anti-Corruption Project (UN-PRAC)
Brief Description of the Required Services¹ Implementation and delivery of UN-PRAC "Strengthening media and anticorruption reporting in the Pacific" activities and capacity building of journalists. Supporting the Pacific Anti-Corruption Journalists Network (PACJN) to further strengthen its network and through it build the capacity of its members in understanding anti-corruption issues, and undertaking reporting on integrity, UNCAC, SDG 16 and related themes. List and Description of Expected Outputs to be Delivered 1.PACJN strengthening and capacity-building activities; support to observance of 9 December IACD 2020, at least one event organized 2 Assist PACJN journalists' preparation for and reporting of regional and international fora 3. Design two UN-PRAC toolkit launches for media and create a template for future launches 4 Develop with UN-PRAC up to 2-day anti-corruption workshop including AML reporting for media 5 Develop with UN-PRAC 1.5-day anti-corruption workshop for media CEOs & owners 6 Assist 4 national media associations to undertake training/webinar on anti-corruption COVID reporting 7 Establish up to 4 grants for freelance reporters to undertake corruption investigative journalism and produce a major story Person to Supervise the Work/Performance of the Service Provider Regional Anti-Corruption Adviser, UNODC Pacific; UNDP Anti-Corruption Adviser, UNDP Pacific Office	Requirement	integrity, UNCAC, SDG16 and related themes [indicate project title or brief
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Work/Performance of the Service Provider Regional Anti-Corruption Adviser, UNDP Pacific Office		
,	Work/Performance of the	
	Frequency of Reporting	Weekly, and as needed

 $^{-}$ A detailed TOR may be attached if the information listed in this Annex is not sufficient to fully describe the nature of the work and other details of the requirements.

Progress Reporting Requirements	At completion of each of seven deliverables.			
	☐ Exact Address/es [pls. specify]			
Location of work	☑ At Contractor's Location			
Expected duration of work	Eight months			
Target start date	14 December 20	20		
Latest completion date	15 July 2021			
Travels Expected	Destination/s	Estimated Duration	Brief Description of Purpose of the Travel	Target Date/s
	Honiara, SOL	7 days	Regional Workshop	February 2020
			(depending on COVID-19 restrictions) – this may need to be turned into an online training	
	Auckland, NZ	3 days	Regional workshop (depending on COVID-19 restrictions) — this may need to be turned into an online training	June 2020
Special Security Requirements	⊠ NA			
Facilities to be Provided by UNDP (i.e., must be excluded from Price Proposal)	None			
Implementation Schedule indicating breakdown and timing of activities/subactivities	⊠ Required			
Names and curriculum vitae of individuals who will be involved in completing the services	⊠ Required			
Currency of Proposal	⊠ United States	s Dollars		

Value Added Tax on Price Proposal ²	⊠ must be inclusiv	e of VAT and oth	er applicable i	ndirect taxes
Validity Period of Proposals (Counting for the last day of submission of quotes)	90 days In exceptional circumstances, UNDP may request the Proposer to extend the validity of the Proposal beyond what has been initially indicated in this RFP. The Proposal shall then confirm the extension in writing, without any modification whatsoever on the Proposal.			
Partial Quotes	Not permitted ■			
Payment Terms ³	Outputs 1. Support for observance of International Anti-Corruption Day (IACD 2020) with at least one event organized; PACJN strengthening and capacity-building activities; 2 Assist PACJN journalists' preparation for and reporting of regional and international fora 3. Design two	Percentage 18%	Timing By 22 December 2020 2 monthly Twice	Condition for Payment Release Within thirty (30) days from the date of meeting the following conditions: a) UNDP's written acceptance (i.e., not mere receipt) of the quality of the outputs and a report on each output; and b) Receipt of invoice from the Service Provider.
	UN-PRAC toolkit launches for media and create a template for future launches 4 Develop with UN-PRAC up to 2-day anti-	15%	By 31/12/20	

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² VAT exemption status varies from one country to another. Pls. check whatever is applicable to the UNDP CO/BU requiring the service.

³ UNDP preference is not to pay any amount in advance upon signing of contract. If the Service Provider strictly requires payment in advance, it will be limited only up to 20% of the total price quoted. For any higher percentage, or any amount advanced exceeding \$30,000, UNDP shall require the Service Provider to submit a bank guarantee or bank cheque payable to UNDP, in the same amount as the payment advanced by UNDP to the Service Provider.

	corruption			
	workshop			
	including AML			
	reporting for			
	media			
	5 Develop with	11%	By 30/4/21	
	UN-PRAC 1.5-	11/0	By 30/4/21	
	day anti-			
	corruption			
	workshop for			
	media CEOs &			
	owners			
	6 Assist 4	16%	2 Monthly	
	national media	10/0	2 Wiondiny	
	associations to			
	undertake			
	training/webinar			
	on anti-			
	corruption			
	COVID reporting			
	7 Establish up to	11%	Twice	
	4 grants for			
	freelance			
	reporters to			
	undertake			
	corruption			
	investigative			
	journalism and			
	produce a major			
	story			
Person(s) to				
review/inspect/ approve	Regional Anti-Cor	ruption Advisei	r, UNODC Pa	acific; Anti-Corruption
outputs/completed	Adviser, UNDP Paci	fic		
services and authorize the				
disbursement of payment				
Deadline for Submission	1 December 2020 (Fiji Time)		
Type of Contract to be	☐ Contract for Professional Services			
Signed				
	☐ Highest Combined Score (based on the 70% technical offer and 30%			
Criteria for Contract	price weight distribution)			
Award	☐ Full acceptance of the UNDP Contract General Terms and Conditions			
	(GTC). This is a mandatory criteria and cannot be deleted regardless of			
	the nature of services required. Non acceptance of the GTC may be			
	grounds for the reje	ection of the Pro	posal.	

Criteria for the	Technical Proposal (70%)	
Assessment of Proposal	Section	Maximum
		score
	1. Expertise of the Firm	20
	1.1 Are applicant and partners sufficiently experienced in	5
	media project management and experience	
	partnering with UN or development agencies?	
	1.2 Does applicant and partners have sufficient	5
	professional capacities? (specific knowledge in media	
	training; corruption training in previous	
	trainings/workshops	
	1.3. Does applicant CEO have more than 5 years'	5
	experience leading similar organisation and/or	
	professional journalism experience?	
	1.4 Is applicant head-quartered in a PIC? Does applicant	5
	have presence or sub-agency presence in at least 5	
	other PICs	
	2. Methodology	40
	2.1. Does the plan of activities and proposed activities	10
	logically and practically correspond with goals and	
	outputs?	
	2.2 Are stakeholders clearly defined and strategically	5
	selected? Is the level of involvement of partners in	
	project implementation satisfactory?	_
	2.3 How consistent is the overall project design?	5
	(specially, does it reflect analysis of identified problems,	
	possible external factors)	_
	2.4 Are expected results of suggested activities	5
	institutionally sustainable? (will the structures that	
	enable project activities exist after the completion of the	
	project? Will there be a local ownership over the project	
	results?)	5
	2.5 Does the project proposal advocate for rights-based	5
	approach and does it influence vulnerable groups (e.g. minorities or people with disabilities)? Does the project	
	incorporate added value, like innovative approach and	
	good practice models?	
	2.6 Is the ratio between estimated costs and expected	5
	results satisfactory?	
	2.7 Will the project have multiple effects? (including	5
	possibility for application on the other target groups or	
	implementation at the different location and/or	
	extending the effects of the activities as well as exchange	
	of information on the experience gained through the	
	implementation of the project	
	1-1	1

	3. Management Structure and Qualification of Key Personnel	10
	3.1 Do applicant and partners have sufficient management capacities? (including staff, equipment and capacities for financial management)?	5
	3.2 Is the key implementing officer/journalist experienced in Pacific journalism for at least 5 years with links in other PICs?	5
	Financial Proposal (30%) To be computed as a ratio of the Proposal's offer to the among the proposals received by UNDP.	lowest price
UNDP will award the contract to:	☑ One and only one Service Provider	
Annexes to this RFP ⁴	 ☑ Form for Submission of Proposal (Annex 2) ☑ General Terms and Conditions / Special Conditions (Annex 2) ☑ Detailed TOR 	x 3) ⁵
Contact Person for Inquiries (Written inquiries only) ⁶	Mr. Deepak A. Naicker Procurement Associate Ph: 3312500 Email: procurement.fj@undp.org Any delay in UNDP's response shall be not used as a reason f the deadline for submission, unless UNDP determines that su extension is necessary and communicates a new deadline to Proposers.	uch an
Other Information [pls. specify]		

⁴ Where the information is available in the web, a URL for the information may simply be provided.

⁵ Service Providers are alerted that non-acceptance of the terms of the General Terms and Conditions (GTC) may be

grounds for disqualification from this procurement process.

⁶ This contact person and address is officially designated by UNDP. If inquiries are sent to other person/s or address/es, even if they are UNDP staff, UNDP shall have no obligation to respond nor can UNDP confirm that the query was received.

United Nations Pacific Regional Anti-Corruption Project (UN-PRAC)

Terms of Reference

Building the capacity of Pacific media in reporting on anti-corruption, integrity, UNCAC, SDG16

Background

The UN Pacific Regional Anti-Corruption Project (UN-PRAC) is a joint initiative between United Nations Development Programme (UNDP) and United Nations Office on Drugs and Crime (UNODC) that aims to support institutional strengthening of national integrity mechanisms of Pacific island countries to promote 'clean' governments and create an enabling environment, vibrant with business, trade, investment and sustainable development.

Based on the UN Convention Against Corruption (UNCAC) and Sustainable Development Goal (SDG) 16, the goal of the project has been to strengthen measures to prevent and fight corruption more effectively and efficiently in the Pacific.

UN-PRAC aims to build the capacity of selected national media associations and other journalists from the Pacific nations to strengthen their capacity to report on UNCAC implementation, accountability and SDG 16 integrity issues.

Building on the previous media work by UNPRAC, this request for proposals aims to further strengthen the Pacific Anti-Corruption Journalists Network (PACJN), established with UN-PRAC at the 2019 Pacific Islands News Association (PINA) CEOs Leadership Summit, so as Pacific journalists are more sustainably trained and prepared and have ownership of their engagement in integrity and anti-corruption reporting.

PACJN is now represented in eight Pacific countries with close links to the active national media associations, with a membership drive still ongoing, closely linked to UN-PRAC media training undertaken in-country during 2019 and 2020. The aim is to have member journalists in all Pacific countries.

PACJN intends to play a major role in the region, ensuring that their members (i.e. Pacific based journalists/freelancers who have a passion and interest about anti-corruption), are provided with the appropriate support and guidance to report on events affecting Pacific people. It is imperative that to carry out this plan, PACJN must endeavor to work with all regional and international organizations including the United Nations. The partnership between UN-PRAC and Pacific media is forged on the understanding and belief that local Pacific media and story-tellers are best-placed to tell and write Pacific stories. It is therefore essential to develop our journalists so that they are able to tell the integrity stories that would move the world to take action and help our region.

PACJN will also act to value-add Pacific integrity reporting - linking journalists and sharing their stories through the different mediums available to use - including using cross border opportunities that would promote the stories further to more readership and viewers.

GOALS

The overall objective is to support the Pacific Anti-Corruption Journalists Network (PACJN) to further strengthen its network and through it build the capacity of its members in understanding anti-corruption issues, and undertaking reporting on integrity, UNCAC, SDG16 and related themes.

The three specific results that are expected are as follows:

- 1. Strengthened organizational capacity for PACJN focusing on functional capacity and service delivery;
- 2. PACJN members improve their capacity and knowledge including networking based particularly in terms of anti-corruption reporting including the impact on COVID-related funding; and
- 3. Media reporting expanded in terms of corruption impact particularly on disadvantaged groups and women.

Methodology

UN-PRAC is requesting a proposal methodology based on the objectives and deliverables for implementation of UN-PRAC "Strengthening media and anti-corruption reporting in the Pacific" activities and capacity building. The proposed methodology should respond to the following deliverables:

Deliverables

- 1. Support for observance of International Anti-Corruption Day (IACD 2020) with at least one event organized; PACJN strengthening and capacity-building activities;
- 2 Assist PACJN journalists' preparation for and reporting of regional and international fora
- 3. Design two UN-PRAC toolkit launches for media and create a template for future launches
- 4 Develop with UN-PRAC up to 2-day anti-corruption workshop including AML reporting for media
- 5 Develop with UN-PRAC 1.5-day anti-corruption workshop for media CEOs & owners
- 6 Assist 4 national media associations to undertake training/webinar on anti-corruption COVID reporting
- 7 Establish up to 4 grants for freelance reporters to undertake corruption investigative journalism and produce a major story

3. SELECTION CRITERIA AND SCORING

SELECTION CRITERIA

	Selection Criteria	Score
1	Expertise of the Firm	Max. 20 points
2	Methodology, Its Appropriateness to the Condition and Timeliness of the Implementation Plan	Max. 40 points
3	Management Structure and Qualification of Key Personnel	Max. 10 points
TO	TAL	70 Points

Evaluation Criteria

Section	Maximum score
1. Expertise of the Firm	20
1.1 Are applicant and partners sufficiently experienced in media project	5
management and experience partnering with UN or development agencies?	
1.2 Does applicant and partners have sufficient professional capacities ?	5
(specific knowledge in media training; corruption training in previous	
trainings/workshops	
1.3. Does applicant CEO have more than 5 years' experience leading similar	5
organisation and/or professional journalism experience?	
1.4 Is applicant head-quartered in a PIC? Does applicant have presence or sub-	5
agency presence in at least 5 other PICs	
2. Methodology	40
2.1. Does the plan of activities and proposed activities logically and practically	10
correspond with goals and outputs?	
2.2 Are stakeholders clearly defined and strategically selected? Is the level of	5
involvement of partners in project implementation satisfactory?	
2.3 How consistent is the overall project design? (specially, does it reflect	5
analysis of identified problems, possible external factors)	
2.4 Are expected results of suggested activities institutionally sustainable? (will	5
the structures that enable project activities exist after the completion of the	
project? Will there be a local ownership over the project results?)	
2.5 Does the project proposal advocate for rights-based approach and does it	5
influence vulnerable groups (e.g. minorities or people with disabilities)? Does	
the project incorporate added value, like innovative approach and good	
practice models?	
2.6 Is the ratio between estimated costs and expected results satisfactory?	5
2.7 Will the project have multiple effects? (including possibility for application	5
on the other target groups or implementation at the different location and/or	
extending the effects of the activities as well as exchange of information on the	
experience gained through the implementation of the project	
3. Management Structure and Qualification of Key Personnel	10
3.1 Do applicant and partners have sufficient management capacities?	5
(including staff, equipment and capacities for financial management)?	
3.2 Is the key implementing officer/journalist experienced in Pacific journalism	5
for at least 5 years with links in other PICs?	

FORM FOR SUBMITTING SERVICE PROVIDER'S PROPOSAL⁷

(This Form must be submitted only using the Service Provider's Official Letterhead/Stationery8)

Kadavu House, Suva December 1, 2020

To: Procurement Analyst

UNDP Pacific Office in Fiji

Dear Sir/Madam:

We, the undersigned, hereby offer to render the following services to UNDP in conformity with the requirements defined in the RFP dated [specify date], and all of its attachments, as well as the provisions of the UNDP General Contract Terms and Conditions:

A. Qualifications of the Service Provider

The Service Provider must describe and explain how and why they are the best entity that can deliver the requirements of UNDP by indicating the following:

- a) Profile describing the nature of business, field of expertise, licenses, certifications, accreditations;
- b) Business Licenses Registration Papers, Tax Payment Certification, etc.
- c) Latest Audited Financial Statement income statement and balance sheet to indicate Its financial stability, liquidity, credit standing, and market reputation, etc.;
- d) Track Record list of clients for similar services as those required by UNDP, indicating description of contract scope, contract duration, contract value, contact references;
- e) Certificates and Accreditation including Quality Certificates, Patent Registrations, Environmental Sustainability Certificates, etc.
- f) Written Self-Declaration that the company is not in the UN Security Council 1267/1989 List, UN Procurement Division List or Other UN Ineligibility List.

B. Proposed Methodology for the Completion of Services

The Service Provider must describe how it will address/deliver the demands of the RFP; providing a detailed description of the essential performance characteristics, reporting conditions and quality assurance mechanisms that will be put in place, while demonstrating that the proposed methodology will be appropriate to the local conditions and context of the work.

C. Qualifications of Key Personnel

⁷ This serves as a guide to the Service Provider in preparing the Proposal.

⁸ Official Letterhead/Stationery must indicate contact details – addresses, email, phone and fax numbers – for verification purposes

If required by the RFP, the Service Provider must provide:

- a) Names and qualifications of the key personnel that will perform the services indicating who is Team Leader, who are supporting, etc.;
- b) CVs demonstrating qualifications must be submitted if required by the RFP; and
- c) Written confirmation from each personnel that they are available for the entire duration of the contract.

D. Cost Breakdown per Deliverable*

	Deliverables [list them as referred to in the RFP]	Percentage of Total Price (Weight for payment)	Price (Lump Sum, All Inclusive)
1	Deliverable 1		
2	Deliverable 2		
3	Deliverable 3		
4	Deliverable 4		
5	Deliverable 5		
6	Deliverable 6		
7	Deliverable 7		
	Total	100%	

^{*}This shall be the basis of the payment tranches

E. Cost Breakdown by Cost Component [This is only an Example]:

Description of Activity	Remuneration per Unit of Time	Total Period of	No. of Personnel	Total Rate
I. Personnel Services	per offic of Time	Engagement	Personner	
1. Services from Home Office				
a. Expertise 1				
b. Expertise 2				
2. Services from Field Offices				
a . Expertise 1				
b. Expertise 2				
3. Services from Overseas				
a. Expertise 1				
b. Expertise 2				
II. Out of Pocket Expenses				
1. Travel Costs				
2. Daily Allowance				
3. Communications				
4. Reproduction				
5. Equipment Lease				
6. Others				
III. Other Related Costs				

[Name and Signature of the Service Provider's Authorized Person]
[Designation]
[Date]

General Terms and Conditions for Services

1.0 LEGAL STATUS:

The Contractor shall be considered as having the legal status of an independent contractor vis-à-vis the United Nations Development Programme (UNDP). The Contractor's personnel and sub-contractors shall not be considered in any respect as being the employees or agents of UNDP or the United Nations.

2.0 SOURCE OF INSTRUCTIONS:

The Contractor shall neither seek nor accept instructions from any authority external to UNDP in connection with the performance of its services under this Contract. The Contractor shall refrain from any action that may adversely affect UNDP or the United Nations and shall fulfill its commitments with the fullest regard to the interests of UNDP.

3.0 CONTRACTOR'S RESPONSIBILITY FOR EMPLOYEES:

The Contractor shall be responsible for the professional and technical competence of its employees and will select, for work under this Contract, reliable individuals who will perform effectively in the implementation of this Contract, respect the local customs, and conform to a high standard of moral and ethical conduct.

4.0 ASSIGNMENT:

The Contractor shall not assign, transfer, pledge or make other disposition of this Contract or any part thereof, or any of the Contractor's rights, claims or obligations under this Contract except with the prior written consent of UNDP.

5.0 SUB-CONTRACTING:

In the event the Contractor requires the services of sub-contractors, the Contractor shall obtain the prior written approval and clearance of UNDP for all sub-contractors. The approval of UNDP of a sub-contractor shall not relieve the Contractor of any of its obligations under this Contract. The terms of any sub-contract shall be subject to and conform to the provisions of this Contract.

6.0 OFFICIALS NOT TO BENEFIT:

The Contractor warrants that no official of UNDP or the United Nations has received or will be offered by the Contractor any direct or indirect benefit arising from this Contract or the award thereof. The Contractor agrees that breach of this provision is a breach of an essential term of this Contract.

7.0 INDEMNIFICATION:

The Contractor shall indemnify, hold and save harmless, and defend, at its own expense, UNDP, its officials, agents, servants and employees from and against all suits, claims, demands, and liability of any nature or kind, including their costs and expenses, arising out of acts or omissions of the Contractor, or the Contractor's employees, officers, agents or sub-contractors, in the performance of this Contract. This

provision shall extend, inter alia, to claims and liability in the nature of workmen's compensation, products liability and liability arising out of the use of patented inventions or devices, copyrighted material or other intellectual property by the Contractor, its employees, officers, agents, servants or sub-contractors. The obligations under this Article do not lapse upon termination of this Contract.

8.0 INSURANCE AND LIABILITIES TO THIRD PARTIES:

- **8.1** The Contractor shall provide and thereafter maintain insurance against all risks in respect of its property and any equipment used for the execution of this Contract.
- **8.2** The Contractor shall provide and thereafter maintain all appropriate workmen's compensation insurance, or the equivalent, with respect to its employees to cover claims for personal injury or death in connection with this Contract.
- **8.3** The Contractor shall also provide and thereafter maintain liability insurance in an adequate amount to cover third party claims for death or bodily injury, or loss of or damage to property, arising from or in connection with the provision of services under this Contract or the operation of any vehicles, boats, airplanes or other equipment owned or leased by the Contractor or its agents, servants, employees or sub-contractors performing work or services in connection with this Contract.
- **8.4** Except for the workmen's compensation insurance, the insurance policies under this Article shall:
 - **8.4.1** Name UNDP as additional insured;
 - **8.4.2** Include a waiver of subrogation of the Contractor's rights to the insurance carrier against the UNDP:
 - **8.4.3** Provide that the UNDP shall receive thirty (30) days written notice from the insurers prior to any cancellation or change of coverage.
 - **8.5** The Contractor shall, upon request, provide the UNDP with satisfactory evidence of the insurance required under this Article.

9.0 ENCUMBRANCES/LIENS:

The Contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with the UNDP against any monies due or to become due for any work done or materials furnished under this Contract, or by reason of any other claim or demand against the Contractor.

10.0 TITLE TO EQUIPMENT:

Title to any equipment and supplies that may be furnished by UNDP shall rest with UNDP and any such equipment shall be returned to UNDP at the conclusion of this Contract or when no longer needed by the Contractor. Such equipment, when returned to UNDP, shall be in the same condition as when delivered to the Contractor, subject to normal wear and tear. The Contractor shall be liable to compensate UNDP for equipment determined to be damaged or degraded beyond normal wear and tear.

11.0 COPYRIGHT, PATENTS AND OTHER PROPRIETARY RIGHTS:

11.1 Except as is otherwise expressly provided in writing in the Contract, the UNDP shall be entitled to all intellectual property and other proprietary rights including, but not limited to, patents, copyrights, and trademarks, with regard to products, processes, inventions, ideas, know-how, or documents and other materials which the Contractor has developed for the UNDP under the Contract and which bear a direct relation to or are produced or prepared or collected in consequence of, or during the course of, the performance of the Contract, and the Contractor

acknowledges and agrees that such products, documents and other materials constitute works made for hire for the UNDP.

- 11.2 To the extent that any such intellectual property or other proprietary rights consist of any intellectual property or other proprietary rights of the Contractor: (i) that pre-existed the performance by the Contractor of its obligations under the Contract, or (ii) that the Contractor may develop or acquire, or may have developed or acquired, independently of the performance of its obligations under the Contract, the UNDP does not and shall not claim any ownership interest thereto, and the Contractor grants to the UNDP a perpetual license to use such intellectual property or other proprietary right solely for the purposes of and in accordance with the requirements of the Contract.
- 11.3 At the request of the UNDP; the Contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring or licensing them to the UNDP in compliance with the requirements of the applicable law and of the Contract.
- Subject to the foregoing provisions, all maps, drawings, photographs, mosaics, plans, reports, estimates, recommendations, documents, and all other data compiled by or received by the Contractor under the Contract shall be the property of the UNDP, shall be made available for use or inspection by the UNDP at reasonable times and in reasonable places, shall be treated as confidential, and shall be delivered only to UNDP authorized officials on completion of work under the Contract.

12.0 USE OF NAME, EMBLEM OR OFFICIAL SEAL OF UNDP OR THE UNITED NATIONS:

The Contractor shall not advertise or otherwise make public the fact that it is a Contractor with UNDP, nor shall the Contractor, in any manner whatsoever use the name, emblem or official seal of UNDP or THE United Nations, or any abbreviation of the name of UNDP or United Nations in connection with its business or otherwise.

13.0 CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION:

Information and data that is considered proprietary by either Party and that is delivered or disclosed by one Party ("Discloser") to the other Party ("Recipient") during the course of performance of the Contract, and that is designated as confidential ("Information"), shall be held in confidence by that Party and shall be handled as follows:

- **13.1** The recipient ("Recipient") of such information shall:
 - **13.1.1** use the same care and discretion to avoid disclosure, publication or dissemination of the Discloser's Information as it uses with its own similar information that it does not wish to disclose, publish or disseminate; and,
 - **13.1.2** use the Discloser's Information solely for the purpose for which it was disclosed.
- Provided that the Recipient has a written agreement with the following persons or entities requiring them to treat the Information confidential in accordance with the Contract and this Article 13, the Recipient may disclose Information to:
 - **13.2.1** any other party with the Discloser's prior written consent; and,
 - the Recipient's employees, officials, representatives and agents who have a need to know such Information for purposes of performing obligations under the Contract, and employees officials, representatives and agents of any legal entity that it controls controls it, or with which it is under common control, who have a need to know such Information

for purposes of performing obligations under the Contract, provided that, for these purposes a controlled legal entity means:

- **13.2.2.1** a corporate entity in which the Party owns or otherwise controls, whether directly or indirectly, over fifty percent (50%) of voting shares thereof; or,
- 13.2.2.2 any entity over which the Party exercises effective managerial control; or,
- **13.2.2.3** for the UNDP, an affiliated Fund such as UNCDF, UNIFEM and UNV.
- 13.3 The Contractor may disclose Information to the extent required by law, provided that, subject to and without any waiver of the privileges and immunities of the United Nations, the Contractor will give the UNDP sufficient prior notice of a request for the disclosure of Information in order to allow the UNDP to have a reasonable opportunity to take protective measures or such other action as may be appropriate before any such disclosure is made.
- 13.4 The UNDP may disclose Information to the extent as required pursuant to the Charter of the UN, resolutions or regulations of the General Assembly, or rules promulgated by the Secretary-General.
- 13.5 The Recipient shall not be precluded from disclosing Information that is obtained by the Recipient from a third party without restriction, is disclosed by the Discloser to a third party without any obligation of confidentiality, is previously known by the Recipient, or at any time is developed by the Recipient completely independently of any disclosures hereunder.
- 13.6 These obligations and restrictions of confidentiality shall be effective during the term of the Contract, including any extension thereof, and, unless otherwise provided in the Contract, shall remain effective following any termination of the Contract.

14.0 FORCE MAJEURE; OTHER CHANGES IN CONDITIONS

- 14.1 In the event of and as soon as possible after the occurrence of any cause constituting force majeure, the Contractor shall give notice and full particulars in writing to the UNDP, of such occurrence or change if the Contractor is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under this Contract. The Contractor shall also notify the UNDP of any other changes in conditions or the occurrence of any event that interferes or threatens to interfere with its performance of this Contract. On receipt of the notice required under this Article, the UNDP shall take such action as, in its sole discretion; it considers to be appropriate or necessary in the circumstances, including the granting to the Contractor of a reasonable extension of time in which to perform its obligations under this Contract.
- 14.2 If the Contractor is rendered permanently unable, wholly, or in part, by reason of force majeure to perform its obligations and meet its responsibilities under this Contract, the UNDP shall have the right to suspend or terminate this Contract on the same terms and conditions as are provided for in Article 15, "Termination", except that the period of notice shall be seven (7) days instead of thirty (30) days.
- **14.3** Force majeure as used in this Article means acts of God, war (whether declared or not), invasion, revolution, insurrection, or other acts of a similar nature or force.
- 14.4 The Contractor acknowledges and agrees that, with respect to any obligations under the Contract that the Contractor must perform in or for any areas in which the UNDP is engaged in, preparing to engage in, or disengaging from any peacekeeping, humanitarian or similar operations, any delays or failure to perform such obligations arising from or relating to harsh conditions within such areas or to any incidents of civil unrest occurring in such areas shall not, in and of itself, constitute force majeure under the Contract..

15.0 TERMINATION

- 15.1 Either party may terminate this Contract for cause, in whole or in part, upon thirty (30) days' notice, in writing, to the other party. The initiation of arbitral proceedings in accordance with Article 16.2 ("Arbitration"), below, shall not be deemed a termination of this Contract.
- 15.2 UNDP reserves the right to terminate without cause this Contract at any time upon 15 days prior written notice to the Contractor, in which case UNDP shall reimburse the Contractor for all reasonable costs incurred by the Contractor prior to receipt of the notice of termination.
- 15.3 In the event of any termination by UNDP under this Article, no payment shall be due from UNDP to the Contractor except for work and services satisfactorily performed in conformity with the express terms of this Contract.
- 15.4 Should the Contractor be adjudged bankrupt, or be liquidated or become insolvent, or should the Contractor make an assignment for the benefit of its creditors, or should a Receiver be appointed on account of the insolvency of the Contractor, the UNDP may, without prejudice to any other right or remedy it may have under the terms of these conditions, terminate this Contract forthwith. The Contractor shall immediately inform the UNDP of the occurrence of any of the above events.

16.0 SETTLEMENT OF DISPUTES

- **16.1 Amicable Settlement**: The Parties shall use their best efforts to settle amicably any dispute, controversy or claim arising out of this Contract or the breach, termination or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the UNCITRAL Conciliation Rules then obtaining, or according to such other procedure as may be agreed between the parties.
- 16.2 **Arbitration:** Any dispute, controversy, or claim between the Parties arising out of the Contract or the breach, termination, or invalidity thereof, unless settled amicably under Article 16.1, above, within sixty (60) days after receipt by one Party of the other Party's written request for such amicable settlement, shall be referred by either Party to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The decisions of the arbitral tribunal shall be based on general principles of international commercial law. For all evidentiary questions, the arbitral tribunal shall be guided by the Supplementary Rules Governing the Presentation and Reception of Evidence in International Commercial Arbitration of the International Bar Association, 28 May 1983 edition. The arbitral tribunal shall be empowered to order the return or destruction of goods or any property, whether tangible or intangible, or of any confidential information provided under the Contract, order the termination of the Contract, or order that any other protective measures be taken with respect to the goods, services or any other property, whether tangible or intangible, or of any confidential information provided under the Contract, as appropriate, all in accordance with the authority of the arbitral tribunal pursuant to Article 26 ("Interim Measures of Protection") and Article 32 ("Form and Effect of the Award") of the UNCITRAL Arbitration Rules. The arbitral tribunal shall have no authority to award punitive damages. In addition, unless otherwise expressly provided in the Contract, the arbitral tribunal shall have no authority to award interest in excess of the London Inter-Bank Offered Rate ("LIBOR") then prevailing, and any such interest shall be simple interest only. The Parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such dispute, controversy, or claim.

17.0 PRIVILEGES AND IMMUNITIES:

Nothing in or relating to this Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.

18.0 TAX EXEMPTION

- 18.1 Section 7 of the Convention on the Privileges and Immunities of the United Nations provides, interalia that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the United Nations exemption from such taxes, duties or charges, the Contractor shall immediately consult with the UNDP to determine a mutually acceptable procedure.
- Accordingly, the Contractor authorizes UNDP to deduct from the Contractor's invoice any amount representing such taxes, duties or charges, unless the Contractor has consulted with the UNDP before the payment thereof and the UNDP has, in each instance, specifically authorized the Contractor to pay such taxes, duties or charges under protest. In that event, the Contractor shall provide the UNDP with written evidence that payment of such taxes, duties or charges has been made and appropriately authorized.

19.0 CHILD LABOUR

- 19.1 The Contractor represents and warrants that neither it, nor any of its suppliers is engaged in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child, including Article 32 thereof, which, inter alia, requires that a child shall be protected from performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical mental, spiritual, moral or social development.
- Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, at no cost to UNDP.

20.0 MINES:

- 20.1 The Contractor represents and warrants that neither it nor any of its suppliers is actively and directly engaged in patent activities, development, assembly, production, trade or manufacture of mines or in such activities in respect of components primarily utilized in the manufacture of Mines. The term "Mines" means those devices defined in Article 2, Paragraphs 1, 4 and 5 of Protocol II annexed to the Convention on Prohibitions and Restrictions on the Use of Certain Conventional Weapons Which May Be Deemed to Be Excessively Injurious or to Have Indiscriminate Effects of 1980.
- 20.2 Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind of UNDP.

21.0 OBSERVANCE OF THE LAW:

The Contractor shall comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the terms of this Contract.

22.0 SEXUAL EXPLOITATION:

- 22.1 The Contractor shall take all appropriate measures to prevent sexual exploitation or abuse of anyone by it or by any of its employees or any other persons who may be engaged by the Contractor to perform any services under the Contract. For these purposes, sexual activity with any person less than eighteen years of age, regardless of any laws relating to consent, shall constitute the sexual exploitation and abuse of such person. In addition, the Contractor shall refrain from, and shall take all appropriate measures to prohibit its employees or other persons engaged by it from, exchanging any money, goods, services, offers of employment or other things of value, for sexual favors or activities, or from engaging in any sexual activities that are exploitive or degrading to any person. The Contractor acknowledges and agrees that the provisions hereof constitute an essential term of the Contract and that any breach of this representation and warranty shall entitle UNDP to terminate the Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind.
- 22.2 The UNDP shall not apply the foregoing standard relating to age in any case in which the Contractor's personnel or any other person who may be engaged by the Contractor to perform any services under the Contract is married to the person less than the age of eighteen years with whom sexual activity has occurred and in which such marriage is recognized as valid under the laws of the country of citizenship of such Contractor's personnel or such other person who may be engaged by the Contractor to perform any services under the Contract.

23.0 AUTHORITY TO MODIFY:

Pursuant to the Financial Regulations and Rules of UNDP, only the UNDP Authorized Official possesses the authority to agree on behalf of UNDP to any modification of or change in this Contract, to a waiver of any of its provisions or to any additional contractual relationship of any kind with the Contractor. Accordingly, no modification or change in this Contract shall be valid and enforceable against UNDP unless provided by an amendment to this Contract signed by the Contractor and jointly by the UNDP Authorized Official.