

# INVITATION TO PREQUALIFY TO BID ON UPCOMING DESIGN AND CONSTRUCTION FOR THE EXTENSION OF MORPHOU WASTEWATER TREATMENT PLANT IN NORTHERN PART OF CYPRUS

REF: ITP-001-EID-8297-2021

**JANUARY 2021** 

EMPLOYER:
UNITED NATIONS DEVELOPMENT PROGRAMME (UNDP)
LOCAL INFRUSTRUCTURE FACILITY (LIF) PROJECT
CYPRUS

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#### 1. LETTER OF INVITATION

The United Nations Development Programme (UNDP) Local Infrastructure Facility (LIF) Project hereby invites qualified and reputable companies to submit their applications expressing interest and providing documentation in order to be prequalified (shortlisted) to participate in the upcoming tender/ Invitation to bid (ITB) aimed at establishing contract for design and construction for the extension of Morphou Waste Water Treatment Plant (WWTP) in northern part of Cyprus.

In the upcoming ITB process, only prequalified companies from this Pre-Qualification (PQ) process will be invited to bid and will be evaluated accordingly.

If you are interested in submitting an application in response to this PQ, please prepare your submission in accordance with the requirements and procedure as set out in this PQ document and submit it through the online e-tendering system by the deadline for submission set out in the respective event.

The complete pre-qualification (PQ) documents can be examined and obtained free of charge from the following website: <a href="https://etendering.partneragencies.org">https://etendering.partneragencies.org</a>

Business Unit: CYP10 Event ID: 0000008297

In case your company is not registered in the e-tendering system https://etendering.partneragencies.org/ please use the following temporary username and password to register your company / firm.

User name: event.guest Password: why2change

And then follow the registration steps as specified in the system user guide.

You can find detailed user guides and videos on how to use thee-tendering system by following this link: http://www.undp.org/content/undp/en/home/operations/procurement/business/procurement-notices/resources/. Applicants will be able to access and download the PQ documents once registered.

You may also utilize the "Accept Invitation" function in eTendering system, where applicable. This will enable you to receive amendments or updates to this PQ. Should you require further clarifications, kindly communicate through the email specified in this PQ.

Please note that this invitation is not to be construed in any way as an offer to contract with your firm.

This invitation to prequalification does not entail any commitment on the part of UNDP, either financial or otherwise. UNDP reserve the right to accept or reject any or all submission without incurring any obligation to inform the affected applicant/s of the acceptance/rejection ground.

UNDP Looks forward to receiving your application and thank you in advance for your interest in UNDP Procurement opportunities.

Yours sincerely Project Manager/OiC UNDP Cyprus

#### 2. BACKGROUND:

The United Nations Development Programme (UNDP) under its Local Infrastructure Facility (LIF) project in Cyprus is soliciting Invitation to Prequalification (ITP) to source and pre-qualify experienced construction/consultancy/engineering companies or consortia of companies to undertake its upcoming Design and Build Project for the Extension of Waste Water Treatment Plant (WWTP) in Morphou/Güzelyurt located in the Turkish Cypriot community, some 30 km west from Nicosia.

The LIF project is a European Union funded project based on a long-standing partnership between the EU and UNDP, and UNDP previous experience in implementing large and medium-size EU funded urban upgrading and infrastructure projects in Cyprus. The LIF will invest in infrastructure related to water, wastewater, domestic and industrial wastes, and the rehabilitation of natural environment and water bodies as well as social infrastructure such as community centers, etc.

The existing WWTP has been completed in 2013 for the design Stage 1 at a nominal design capacity of 10,750 P.E. In preparation for Stage 2 a capacity increase was projected and in line with this necessary land was reserved and concept projects were also prepared. Given the increased students population in the area and subsequent increased need for accommodations, the local community predicts that the WWTP will exceed its capacity in the near future. Therefore, there is a need to construct the second phase of the WWTP which will allow more wastewater to be treated.

The Employers Requirements for extension of the wastewater treatment plant – Stage 2 are based on the former Contractors as-built design (as per O&M documentation, refer to Section 5 of this Tender Dossier) and the former Consultants1 feasibility study.

All tenderers shall prepare a tender proposal in accordance with planning principles presented in the Employers Requirements (ER's). It shall be based on the proposed concept for plant extension of the Stage 1 as-built design. Tenderers may offer alternative technological proposals conditionally based on the activated sludge process for wastewater treatment and the process of anaerobic digestion with energy recovery by biogas reuse for sludge treatment. Implementation of mainly chemical-based treatment technologies is not allowed under this contract.

Effluent polishing shall be upgraded from sand filters to micro-screening or membrane filtration. Final disinfection with chlorine or chlorine-based compounds is mandatory.

To ensure competition, technical specification conditions are set in a manner that would allow a variety of technological solutions and equipment. Requirements described in certain chapters shall be deemed minimum obligatory requirements that ensure minimum quality of technological process of the project and fair competition.

The tenderer has to present his own technological process of the project. He is fully responsible for this project and start-up operation of WWTP. The tenderer must pay attention to the fact that influent sewerage quantities and pollution load varies throughout the year and throughout the day.

If a tenderer determines that another technological solution than the one indicated in these technical specifications is required, he has to present a detailed explanation for this. Irrespective of the technology used, the future Contractor has to guarantee that the proposed technological solution will reach the required effluent standards. The contracting authority reserves the right to reject any technological solution which is not compliant with this technical specification and mandatory Employers Requirements.

The future Contractor shall provide detailed design, construct, test, commission, perform staff training in acc. with the Conditions of Contract (FIDIC Plant and Design-Build) and as specified in this ER's. The future Contractor can choose his method of organizing the processes of planning and constructing in compliance with the required conditions made by the local stakeholders and the contracting authority.

The future Contractor shall demonstrate how he will organize the required work for project implementation in acc. with the ER's and Conditions of Contract. The sequence of construction works has to be presented in the technical project and it has to be approved by the Employer.

Subject of this Tender shall be:

• Stage 2 (final design capacity): the capacity of the biological treatment shall be upgraded to 2,694 m³/d or 21,500 P.E.

The Tenderers design proposal must be prepared for the final plant capacity. In the following sections the final design capacity of the WWTP is presented, unless specified otherwise.

For the purpose of this tender procedure, if not below otherwise stated, all components shall be implemented in stage 1 for the final plant capacity, i.e. for a capacity of 2,694 m<sup>3</sup>/d.

It shall be noted that the administration and blower building, other central structures and buildings, all distribution channels, and the central air distribution system as well as the pre-treatment/sludge handling/final disinfection units should have been completed under Stage 1 for Stage 2 requirements, which has to be verified by the Tenderer.

#### 3. SCOPE OF WORK:

UNDP Cyprus is searching the local and international markets to source availability of potential construction and design engineering companies delivering high quality, cost effective and efficient projects and having experience in FIDIC Design and Build Projects of Wastewater Treatment Plants (WWTP).

The purpose of this ITP is to gather the appropriate information and prepare a list of pre-qualified potential companies for upcoming Design and Build Project for the extension of the WWTP. UNDP will send the solicitation documents e.g. an Invitation to Bid (ITB) only to pre-qualified list of companies and solicit bids from them.

This process is not to solicit for bids/proposals. The information will be solely used to pre-qualify companies for Design and Build project for the extension of the WWTP and also to enhance UNDP's knowledge of the local and international market, leverage commercial and local best practices, availability of potential construction and design consultancy companies in order to determine the optimal solutions for future similar projects in Cyprus.

As the upcoming Contract modality shall be FIDIC Yellow Book – (Design & Build), forming Joint Venture or Consortium among international or local construction companies are highly encouraged for successful implementation. Maximum allowable Joint Venture partners shall not exceed more than three partners. Real persons are not eligible to apply in their individual capacities.

#### 4. PREQUALIFICATION APPLICATION PROCESS:

This Pre-qualification process is aimed to short-list potential Companies for its participation in the
upcoming bidding process (ITB) for the Design and Build Project for the extension of Waste Water
Treatment Plant. Hence, interested international/local Companies and/or Consortiums/Joint
Ventures with required experience and qualifications are requested to complete the UNDP Pre-

Qualification Application and submit relevant documentation/information as to demonstrate that they are eligible and qualified to perform such medium-large scale Design and Build Projects. This Pre-qualification will remain valid for the next one year after its date of qualification. If any other similar requirement of Design and Build projects arises within the aforesaid one-year period, the outcome of this Process will also be used for conducting the bidding process, where relevant.

- 2. Potential Companies are requested to submit their application with all required documents as stated in the Data Sheet (DS) through UNDP E-Tendering, as per the instructions below by no later than **09:00 AM EST/EDT New York Time on Friday, 19**<sup>th</sup> **February 2021.**
- 3. At any time prior to the deadline for submission of Prequalification Document, UNDP may, for any reason, whether at its own initiative or in response to a clarification requested by Applicants, modify the Prequalification Application and its attachments by amendment, including through provision of supplementary information. The amendment will be posted on UNDP E-Tendering portal.
- 4. A non-mandatory Pre-ITP Meeting will be held virtually via Zoom, Skype or Microsoft Teams in order to address Applicants Q&A and to provide a better picture of the projects requirement in order to come up with realistic applications. Interested applicants should send their requests via email to <a href="mailto:solicitations.lif.cy@undp.org">solicitations.lif.cy@undp.org</a> latest by 04 February 2021, 04:00 pm Cyprus local time.

The Pre-ITP Meeting will be held on 05<sup>th</sup> of February 2021 at 11:00 am Cyprus Local Time.

- 5. If you need to request additional information, please write to <a href="solicitations.lif.cy@undp.org">solicitations.lif.cy@undp.org</a>. If inquiries are sent to other person/s or address/es, even if they are UNDP staff, UNDP shall have no obligation to respond nor can UNDP confirm that the query was received. No phone calls will be accepted. The team will provide necessary information within due date. However, any delay in providing such information will not be considered a reason for extending the submission date of ITP. Deadline for submitting requests for clarifications of questions/queries about the contents of the Prequalification application is 09:00 AM EST/EDT New York Time on 16<sup>th</sup> February 2021. Answers to questions/inquiries will be posted on UNDP E-Tendering portal.
- Completion of the Prequalification Application and submission of relevant documentation is compulsory as indicated in the Data Sheet. Applications with incomplete submissions shall be disregarded.
- 7. All applicants (Overseas and Cypriots) are required to comply with Local Government Regulations.

#### 5. GENERAL INSTRUCTIONS:

#### **Scope of Application:**

UNDP Cyprus Procuring entity (The Employer) issues this ITP for the purpose of pre-qualifying
potential international/local Companies for its participation in the upcoming bidding process
for the Design and Construction Project for Extension of a Wastewater Treatment Plant
(WWTP) using FIDIC Yellow book contract conditions.

#### 6. Contents of the ITP:

• The documentations for the ITP consist of the annexes indicated below and should be read in conjunction with any Addendum that may be issued by the UNDP, later if needed.

- Annex 1 Application Submission Form;
- Annex 2 Applicant Information Form;
- Annex 3 Joint Venture Form;
- Annex 4 General Experiences Form;
- Annex 5 Specific Experiences Form;
- Annex 6 Format of CVs for Personnel;
- Annex 7 Litigation History Form; and
- Annex 8 Financial History Form.

#### 7. Amendment of the ITP:

- At any time prior to the deadline for submission of applications, the UNDP may amend the Pre-qualification by issuing an addendum.
- Any addendum issued shall be part of the ITP and will be communicated in writing by posting the addendum to the UNDP E-Tendering portal.
- To give prospective applicants reasonable time to take an addendum into account in preparing their applications, UNDP may, at its discretion, extend the deadline for the submission of applications.

# 8. Preparation of Applications:

- Cost of Applications: The Applicant shall bear all costs associated with the preparation
  and submission of its application. UNDP will in no case be responsible or liable for those
  costs, regardless of the conduct or outcome of the prequalification process.
- Language of Application: The application prepared by the Applicant and all
  correspondence and documents relating to the application exchanged by the Applicant
  and UNDP shall be in the English language. In case of other language, the
  firms/companies should provide the English translation version along with the
  documents.

#### 9. Procedures of Seeking Clarifications and Evaluation of Applications:

Clarifications of Applications: To assist in the evaluation of applications, UNDP may, at
its discretion, request in writing any applicant for a clarification of its application which
shall be submitted within a given reasonable period of time. If an applicant does not
provide clarifications of the information requested by the date and time set in UNDP's
request for clarification, its application will not be considered for further evaluation.

# **10. Evaluation of Applications:**

UNDP shall use the factors, methods, criteria, and requirements defined in the

Evaluation Criteria below to evaluate the qualification of the Applicants.

- Pre-qualification will be based on the Applicant's General Experience, Personnel Capabilities and Financial Position as demonstrated by the Applicant's responses in the forms attached to this Pre-Qualification document. UNDP reserves the right to waive minor deviations, if these don't materially affect the capability of an applicant to perform the contract.
- Interested Companies must complete forms Annex-1 to Annex-8 and submit with the ITP application including any other required documentations to establish eligibility and qualification requirements.

#### 11. Solicitation Process for Pregualified Construction Companies:

• After the notification of the results of the Prequalification to successful bidders, UNDP Cyprus shall send the ITB documents to Pre-Qualified Companies only.

#### 12. Confidentiality of information:

 The pre-qualification applications submitted by Contractors are not public records and are not open to public inspection. All information provided will be kept confidential to the extent permitted by law, although contents may be disclosed to third parties for the purpose of verification or investigation of substantial allegations.

# 13. Other:

- UNDP may carry out the verification of accuracy, correctness and authenticity of the information provided by the bidder on the documents submitted;
- Inquiry and reference checking with other previous clients on the quality of performance on ongoing or previous contracts completed; if required.
- UNDP reserves the right to check other sources available to verify information submitted in the
  pre-qualification applications. If an Applicant knowingly makes a misrepresentation, or an
  omission of a material fact, in submitting information to UNDP, such misrepresentation or
  omission may be sufficient ground for disqualifying an Applicant.
- This ITP does not constitute a solicitation. UNDP reserves the right to change or cancel this
  procurement process or any of its requirements at any time during the process; such actions by
  UNDP will either be posted publicly or directly communicated to all participants, as appropriate.
  Invitations to participate in ITB will be called for later and any subsequent contract will be issued
  in accordance with the rules and procedures of UNDP.
- In responding to this ITP, UNDP requires all interested Companies to conduct themselves in a

professional, objective and impartial manner, and they must always hold UNDP's interests' paramount. Potential Companies must strictly avoid conflicts with other assignments or their own interests, and act without consideration for future work. Any Company found to have a conflict of interest shall be disqualified.

# **Evaluation Criteria**

	0.11.170	6. 1	Join	t Venture/Consort	tium	Document Submission
Subject	Criteria/Requirement	Single entity	All combined	Each partner	One partner	requirement
ELIGIBILITY						
Legal Status	Applicant shall be a legally established entity or Joint Venture (JV)/Consortium firm(s)/companies.	Must meet Requirement	Must meet Requirement	Must meet Requirement	N/A	Copy of Valid Registration certificate.  Annex 3 – JV Declaration Form or Copy of JV Agreement
Eligibility	Vendor is not suspended, nor debarred, nor otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization	Must meet Requirement	Must meet Requirement	Must meet Requirement	N/A	Annex 1 - Application Submission Form
Conflict of Interest	No conflicts of interest.  Bidders must strictly avoid conflicts with other assignments or their own interests, and act without consideration for future work. Without limitation on the generality of the above, Bidders, and any of their affiliates, shall be considered to have a conflict of interest with one or more parties in this solicitation process, if they:	Must meet Requirement	Must meet Requirement	Must meet Requirement	N/A	Annex 1 - Application Submission Form
	<ul> <li>a) Are or have been associated in the past, with a firm or any of its affiliates which have been engaged by UNDP to provide services for the preparation of the design, specifications, cost analysis/estimation, and other documents to be used for the procurement of the goods and services in this selection process;</li> <li>b) Were involved in the preparation and/or design of the programme/project related to the goods and/or services requested; or</li> </ul>					

Bankruptcy	<ul> <li>c) Are found to be in conflict for any other reason, as may be established by, or at the discretion of UNDP.</li> <li>Has not declared bankruptcy, is not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against the vendor that could impair its operations in the foreseeable future.</li> </ul>	Must meet Requirement	Must meet Requirement	Must meet Requirement	Must meet Requirement	Annex 1: Application Submission Form
Certificates and Licenses	Valid License for construction works or another legal document confirming the authorization for execution of civil works in the country.  Note: In accordance with the particular conditions to FIDIC Conditions of Contract for Plant and Design Build (1999 Edition) Subclause 2.2, "It is the sole responsibility of the Contractor to obtain, in due time, all other necessary permissions, licenses or approvals from the related authorities for construction and operation	Must meet Requirement	Must meet Requirement	N/A	Must meet Requirement	Copy of license /authorization or a Letter of Confirmation that i) it has the ability to obtain necessary registration certificate/work- permit to operate in the project's area and ii) how they are going to operate in the project's area.
QUALIFICATION						
History of Non- Performing Contracts <sup>2</sup>	Non-performance of a contract did not occur within the last (10) years prior to the deadline for application submission.	Must meet Requirement	N/A	Must meet Requirement	N/A	Annex-7 Litigation History Form
Litigation History	No consistent history of court/arbitral award decisions against the Bidder for the last 3 years. The Value of all pending litigations shall not be more than the Applicant's current assets minus inventory.	Must meet Requirement	Must meet Requirement	Must meet Requirement	Must meet Requirement	Annex-7 Litigation History Form
General Construction Experience	Minimum 10 years of experience under construction contracts in the role of contractor, subcontractor, or management contractor	Must meet requirement	N/A	Must meet requirement	N/A	Annex 4 – General Experience Form

<sup>&</sup>lt;sup>2</sup> Non-performance, as decided by UNDP, shall include all contracts where (a) non-performance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Non-performance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Bidder have been exhausted.

						Company Profile, which should not exceed fifteen (15) pages, including printed brochures and product catalogues relevant to the goods/services/Works being procured	
Specific Construction Experience	Experience in construction, process design, monitoring of, installation of process equipment and commissioning of municipal wastewater treatment plants (WWTPs) amongst which:  At least two contracts to meet at least the standards laid down in Annex 1 of the Council Directive 271/91/EEC for sensitive areas; each with a value of at least EUR 2.5 million that have been successfully and substantially completed.	Must meet requirement	Must meet Requirement	N/A	Must meet Requirement	Annex 5 – Specific Experience Form  Satisfactory performance certificates from the clients	
	Minimum 2 (Two) design-build or turnkey contracts as prime contractor within the last 5 years, each incorporating activated sludge treatment technology including both nitrogen and enhanced biological phosphorus removal (i.e. Biological Nutrient Removal) with a total combined daily average capacity of not less than 5,000m3/day. One of the WWTP shall have had a daily average capacity not less than 2,500 m3/day.	Must meet Requirement	Must meet Requirement	N/A	Must meet Requirement		
	Amongst the above two contracts, at least 1 (one) contract should have incorporated anaerobic mesophilic sludge digesters, built to handle mainly waste activated sludge with total design capacity of the sludge digesters of at least 1,000 tons DS of sludge per year.	Must meet Requirement	Must meet Requirement	N/A	Must meet Requirement		

#### REF: ITP-001-EID-8297-2021

Financial Standing	Minimum average annual turnover of EUR 3 Million for the last 3 (three) years (2017, 2018 and 2019) as per the submitted audited financial statements.	Must meet Requirement	Must meet Requirement	N/A	N/A	Annex-8 - Financial Situation Form (for each party of JV/Consortium)
	Bidder must demonstrate the current soundness of its financial standing and indicate its prospective long-term profitability.  (a) Average Current ratio for the last 3 years should not be less than 1.1.  If CR is between 1 and 1.1; UNDP shall verify financial capacity of the bidder and has the authority to seek references from concerned parties & banks on the bidder' financial standing.  (b) capacity to have a cash flow amount of EUR 250,000	Must meet Requirement Must meet Requirement	N/A  Must meet Requirement	Must meet Requirement N/A	N/A	Audited /Certified Financial Statements for the past most recent 3 Years (for each party of JV/Consortium)  Dun & Bradstreet (D&B) Reports for the last 3 years 2017, 2018 and 2019 — if available.  Upon UNDP's official request — Proof of access to lines of credit (An Official letter from bidder's bank certifying the actual approved credit facilities ceiling and balances of all active accounts within the bank)

Experience of the Key Personnel	Project Key Personnel Full Time:  Project Manager/Contractor's Representative –10 years of post-education experience; 8 years of site supervision experience; at least 3 supervision of similar works in terms of Value, Scope and Complexity and fluent in English  Process Specialist (wastewater treatment line) - 10 years of post-education experience in design of WWTPs. Minimum 3 references (over the last 10 years) having prepared process designs for Biological Nutrient Removal of municipal WWTP; total capacity (summed together) not less than 20,000 m³/d, out of which two are not less than 2,500 m3/d, fluency in English.  Process Specialist (sludge handling line) - 10 years of post-education experience in design of sludge handling facilities of WWTPs. Minimum 3 references (over the last 10 years) in preparation of process designs for anaerobic sludge digestion at municipal WWTPs, and 1 including CHP; total capacity (summed together) not less than 1,000 ton DS/year, out of which two are not less than 1,000 ton DS/year, fluency in English.	Must meet Requirement	Must meet Requirement	N/A	N/A	Annex 6 - Proposed Key Personnel  Company Structural Organization (organogram)  CVs highlighting each key personnel's relevant experience in Design and Construction of WWTP with Letter of Commitment for each key personnel.
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At this stage of Prequalification, applicants are not required to submit the below CVs. Later during the ITB stage, the bidders selected through this ITP will be asked to submit CVs for the following personnel:

Architect - 10 years of post-education experience; 8 years of designs experience; at least 2 designs of industrial buildings/facilities and fluent in English.

**Civil/Structural Engineer -** 10 years of post-education experience; 8 years of designs experience; at least 2 designs of industrial buildings/facilities and fluent in English.

**Electrical/SCADA designer engineer** - 10 years of post-education experience; 8 years of designs experience; at least 2 designs of industrial buildings/facilities and fluent in English.

Mechanical designer engineer - 10 years of post-education experience; 8 years of designs experience; at least 2 designs of industrial buildings/facilities and fluent in English.

**Site Architect** - 10 years of post-education experience; 8 years of site supervision experience; at least 2 site supervision experiences of industrial buildings/facilities and fluent in English.

**Site Civil Engineer** - 10 years of post-education experience; 8 years and site supervision experience; at least 2 site supervision of industrial buildings/facilities and fluent in English.

**Site Electrical/SCADA engineer -** 10 years of post-education experience; 8 years of site supervision experience; at least 2 site supervision experiences of industrial buildings/facilities and fluent in English.

The requirements of "Process Design Engineer for the wastewater treatment Line" and "Process Design Engineer for the Sludge handling Line" can be covered by one person if he/she possesses the requested proven experience records for both positions.

The rest of the other main personnel (i.e. Mechanical Design and Site Engineer(s), Electrical/ SCADA Design and Site Engineer(s), Structural Design and Site Engineer(s), Works Foreman, O&M Trainer) shall have at least 8 years proven relevant experience and experience in two projects technically and financially similar to the one subject to this tender procedure in the water and wastewater sector.

# **Application Forms**

ANNEX - 1

# **Application Submission Form**

(to be printed on company <u>letterhead</u>, <u>signed</u>, <u>dated</u> and <u>stamped</u>)

Name of Contractor:	[Insert Name of Applicant]	Date:	Select date
ITP reference:	ITP-001-EID-8297-2021		

#### To: UNDP Cyprus

We, the undersigned, apply to be prequalified for the referenced prequalification and declare that our firm, its affiliates or subsidiaries or employees, including any JV/Consortium /Association members or subcontractors or suppliers for any part of the contract:

- (a) are not under procurement prohibition by the United Nations, including but not limited to prohibitions derived from the Compendium of United Nations Security Council Sanctions Lists;
- (b) have not been suspended, debarred, sanctioned or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization;
- (c) are not associated in the past, directly or indirectly, with a firm or any of its affiliates which have been engaged by UNDP to provide consulting services for the preparation of the design, BOQs, specifications, and other documents of the Works that are the subject of this prequalification.
- (d) do not employ, or anticipate employing, any person(s) who is, or has been a UN staff member within the last year, if said UN staff member has or had prior professional dealings with our firm in his/her capacity as UN staff member within the last three years of service with the UN (in accordance with UN post-employment restrictions published in ST/SGB/2006/15);
- (e) have not declared bankruptcy, are not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against them that could impair their operations in the foreseeable future;
- (f) undertake not to engage in proscribed practices, including but not limited to corruption, fraud, coercion, collusion, obstruction, or any other unethical practice, with the UN or any other party, and to conduct business in a manner that averts any financial, operational, reputational or other undue risk to the UN and we embrace the principles of the United Nations Supplier Code of Conduct and adhere to the principles of the United Nations Global Compact.
- (g) have examined and have no reservations to the Prequalification Application, including any Addendum, issued by the procuring UNDP entity in accordance with Instructions to Applicants.

All the information and statements made in this ITP are true and we accept that any misrepresentation contained in it may lead to our disqualification;

We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any application that you may receive nor to invite the prequalified applicants to bid for the contract subject of this prequalification, without incurring any liability to the Applicants.

Best regards,

**Signed:** [insert signature(s) of an authorized representative(s) of the Applicant]

Name: [insert full name of person signing the application]

**In the Capacity of:** [insert capacity of person signing the application]

**Duly authorized to sign the application for and on behalf of:** Applicant's Name [insert full name of Applicant] **Address:** [insert street number/town or city/country address]

**Dated on:** [insert day number] day of [insert month], [insert year]

# **ANNEX 2**

# **Applicant Information Form**

Name of Contractor:	[Insert Name of Applicant]	Date:	Select date
ITP reference:	ITP-001-EID-8297-2021		

Legal name of Bidder	[Complete]			
Legal address	[Complete]			
Year of registration	[Complete]			
Bidder's Authorized Representative Information	Name and Title: [Complete] Telephone numbers: [Complete] Email: [Complete]			
Are you a UNGM registered vendor?	□ Yes □ No	If yes, [insert UGNM vendor number]		
Are you a UNDP vendor?	□ Yes □ No	If yes, [insert UNDP vendor number]		
Countries of operation	[Complete]			
No. of full-time employees	[Complete]			
Quality Assurance Certification (e.g. ISO 9000 or Equivalent) (If yes, provide a Copy of the valid Certificate):	[Complete]			
Does your Company hold any accreditation such as ISO 14001 or ISO 14064 or equivalent related to the environment? (If yes, provide a Copy of the valid Certificate):	[Complete]			
Does your Company have a written Statement of its Environmental Policy? (If yes, provide a Copy)	[Complete]			
Does your organization demonstrates significant commitment to sustainability through some other means, for example internal company policy documents on women empowerment, renewable energies or membership of trade institutions promoting such issues	[Complete]			
Is your company a member of the UN Global Compact	[Complete]			

Contact person that UNDP may contact for requests for clarifications during evaluation of application	Name and Title: [Complete] Telephone numbers: [Complete] Email: [Complete]
Please attach the following documents to Form B:	<ul> <li>Company Profile, which should not exceed fifteen (15) pages, including printed brochures and product catalogues relevant to the goods/services being procured</li> <li>General Information with official registration documents of the Bidder from the companies registrar, such as the legal status, place &amp; registration of the office, shareholders and board of directors.</li> <li>Quality Certificate (e.g., ISO, etc.) and/or other similar certificates, accreditations, awards and citations received by the Bidder, if any</li> <li>Certificate of Incorporation/ Business Registration</li> <li>Tax registration document for Lead Company and JV Partner (s);</li> <li>Official Letter of Appointment as local representative, if Bidder is submitting a Bid in behalf of an entity located outside the country</li> <li>Power of Attorney – if applicable</li> </ul>

# **ANNEX - 3**

Date: Select date

# Joint Venture/Consortium/Association Information Form (if applicable)

(to be printed on company <u>letterhead</u>, <u>signed</u>, <u>dated</u> and <u>stamped</u>)

	Nai	me of Contractor:	[Insert Name of Applicant]			Date:	Select date		
	ITP	reference:	ITP-001-E	ID-8297-202	1				
То	To be completed and returned with your Bid if the Bid is submitted as a Joint Venture/Consortium/Association.								
	No Name of Partner and contact information (address, telephone numbers, fax numbers, e-mail address)			Proposed proportion of responsibilities (in %) and type of goods and/or services to be performed					
	1	[Complete]				[Complete]			
	2	[Complete]				[Complete]			
	3	[Complete]				[Complete]			
(Y	Name of leading partner (with authority to bind the JV, Consortium, Association during the ITB process and, in the event a Contract is awarded, during contract execution)  We have attached a copy of the below referenced document signed by every partner, which details the likely legal structure of and the confirmation of joint and severable liability of the members of the said joint venture:  Letter of intent to form a joint venture OR JV/Consortium/Association agreement  We hereby confirm that if the contract is awarded, all parties of the Joint Venture/Consortium/Association shall be jointly and severally liable to UNDP for the fulfillment of the provisions of the Contract.								
N	lame	of partner:			Name	of partner:			
S	ignat	rure:			Signa	ture:		<del></del>	
C	Date: Date:								
Name of partner:				Name of partner:					
Signature: Signa			Signa	gnature:					
C	ate:				Date:				

<sup>&</sup>lt;sup>1</sup> Lead partner's share must not be less than 50%

# 14. Specific experiences and added value that the lead partner is bringing:

No.	Description of Projects	Amount	Year

# 15. Specific experiences and added value that the other partners are bringing:

	Description of Projects	Partner Name	Amount	Year
No.				

**Signed:** [insert signature(s) of an authorized representative(s) of the Applicant]

Name: [insert full name of person signing the application]

**In the Capacity of:** [insert capacity of person signing the application]

**Duly authorized to sign the application for and on behalf of**: Applicant's Name [insert full name of Applicant] **Address:** [insert street number/town or city/country address]

**Dated on:** [insert day number] day of [insert month], [insert year]

**Note for Joint Venture:** If any potential companies intends to make a joint venture with each other, both partners must have equal contractual obligations and bindings to implement the Contract with UNDP. However, the payment shall be made to the leading partner.

# Annex-4

# **General Experience Form**

(to be printed on company <u>letterhead</u>, <u>signed</u>, <u>dated</u> and <u>stamped</u>)

Shall be filled in for the Bidder and for each partner of a Joint Venture (if any)

Name of Contractor:	[Insert Name of Contractor]	Date:	Select date
ITP reference:	ITP-001-EID-8297-2021		

No	Name of the Employer / Client (Address and contact details)	or Partners (Please specify)	and	Year	Project start and completion Dates	Project type/Nature/ Description	Project Amount or Contract Price (Euro)
1							
2							
3							
4							
5							

- Certificate/Contract/ for three major executed projects to be provided by Lead Company and Partner (s).
- Submission of three satisfactory performance certificates from the top clients to be provided by Lead Company and Partner (s).

Name of Contractor:

Annex-5

Select date

Date:

# Specific Experience Form (For the Design & Construction of WWTP)

(to be printed on company letterhead, signed, dated and stamped)

[Insert Name of Contractor]

ITP reference:	ITP-001-EID-8297-2021					
Shall be filled in for the Bidder and for each partner of a Joint Venture						
	Contract of Similar	Size and Nature				
Contract No of	Contract Identification					
Commencement date		Completion Date				
Role in Contract	Leading or Par	tner				
<b>Total Contract Amount</b>		Euro				
If partner in the JV specify participation of total contramount		Amount				
Employer's Name						
Address						
Telephone/Fax Number						
E-mail						
Description of the similarity in accordance with Criteria of specific experience mentioned above.						
bescription of the similar	anty-in-accordance with Ci	nerta or specific experience mentioned above.				

- Certificate/ Contract for three similar executed projects to be provided by Lead Company and Partner (s).
- Submission of three satisfactory performance certificates from the top clients to be provided by provided by Lead Company and Partner (s).

#### Annex-6

# **Format of CVs for Key Personnel**

# (to be printed on company letterhead, signed, dated and stamped)

Name of Contractor:	[Insert Name of Contractor]	Date:	Select date
ITP reference:	ITP-001-EID-8297-2021		

The Bidder should provide the names of suitably qualified personnel to meet the specified requirements. At minimum company should provide the CVs of personnel for the below core disciplines.

The proposed personnel must contain at least 50% women considering gender balance.

**Note:** that the CV should be signed and dated by the proposed key personnel.

Position*:					
Personnel Information	Name Date of Birth:				
information	University Degree*:				
Professional Qualifications:					
Present	Name of the Employer				
Employment	Address of the Employer				
	Telephone	Contact Person:			
	Fax	Email:			
	Job Title	Years with present Employer:			

Summarize professional experience in reverse chronological order. Indicate particular technical and managerial experience relevant to the project. Add/delete rows as applicable.

From *	To*	Company, Project, Position, and Technical and Management Experience*

I, the undersigned, certify that to the best of my knowledge and belief, these data correctly describe me, my qualifications and my experience. I confirm my intention to serve within the proposed capacity and my availability to perform the duties as per the requirements.

Signature and Date: [signature of the proposed personnel and the date of signature].

ANNEX - 7

#### LITIGATION HISTORY FORM

Name of Contractor:	[Insert Name of Contractor]	Date:	Select date
ITP reference:	ITP-001-EID-8297-2021		

Applicants, including each of the partners of a joint venture, should provide information on any history of litigation or arbitration resulting from contracts executed in the **last five years** or currently under execution. A separate sheet should be used for each partner of joint venture. The Value of all pending litigations **shall not be more than** the Applicant's **current assets minus inventory**. If the company's all pending litigation amounts in question found to be more than their current assets minus inventory, their submission will automatically be excluded from further evaluation.

# A. Litigation History

Year	Award FOR or AGAINST Applicant	Name of client, cause of litigation, and matter in dispute	Disputed amount (current value Euro or equivalent)	Blacklist status (if any)

**B.** Pending litigation: (All pending litigation shall in total not be more than 10% of the average annual turnover and shall be treated as resolved against the Applicant)

Year	Name of	Disputed value in	Current Status,	Remarks
	Company/Organization	Euro		

**C. History of Non-Performing Contracts (**Non-performance on a contract did not occur in the last 10 years)

Year	Name of Company/Organization	Amount of the Contract	Duration of the Contract (From-To)	Status

This is to certify that our firm is neither in the Consolidated list of Individuals and Entities with Association to Terrorist Organizations nor in the List of Vendors Suspended or Removed from the UN

Secretariat Procurement Division Vendor Roster.

Signed [insert signature(s) of an authorized representative(s) of the Applicant]

Name [insert full name of person signing the application]

In the Capacity of [insert capacity of person signing the application]

Duly authorized to sign the application for and on behalf of: Applicant's Name [insert full name of Applicant] Address [insert street number/town or city/country address]

Dated on [insert day number] day of [insert month], [insert year]

# Financial Situation Form (to be printed on company letterhead, signed, dated and stamped)

Name of Applicant:	[Insert Name of Applicant]	Date:	Select date
ITP reference:	ITP-001-EID-8297-2021		

Financial information in Euro <sup>2</sup> Information from Balance Sheet					
Total Assets (TA)					
Total Liabilities (TL)					
TA/TL Ratio					
Net Worth (NW)					
Current Assets (CA)					
Current Liabilities (CL)					
CA/CL Ratio					
Information from Income Statement			<b>1</b>		
Total Revenue (TR)					
Profits Before Taxes (PBT)					

# **Applicants Turnover on Design and Constructions Projects:**

Year	Turnover Amount in Euro
2017	
2018	
2019	

Attached are copies of financial statements (balance sheets, including all related notes, and income statements) for the years required above complying with the following conditions:

- Must reflect the financial situation of the Applicant
- Historical financial statements must be audited/certified by a certified accountant
- Historical financial statements must be complete, including all notes to the financial statements
- Historical financial statements must correspond to accounting periods already completed and audited

Note: Companies/Firms applying through Joint Venture are requested to please provide the Audited/Certified Account for both partners.

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<sup>&</sup>lt;sup>2</sup> For conversion purposes please use average annual conversion rate