

UNDP Policies and Practices on Grant Making

Presentation to Prospective Partners under Democracy Strengthening in Zambia (DSZ) Project 11 March 2021





- UNDP collaborates and engages civil society through provision of financial, technical & "diplomatic" assistance and partnerships- as a 2-way relationship.
- Civil society actors defined in a very broad way to include NGOs, FBOs, Academia, Trade Unions, Media associations etc.
- Grants present one of the various forms of financial assistance



- Low value grants- cash awards (not exceeding \$150,000 in one award or \$300,000 in a Programme cycle) to Civil society and NGO partners to generate and solicit development solutions for which no repayment is typically required
- **High value grants**: cash awards exceeding limits of low value grants; will require more stringent assessments and controls
- Innovative challenge grants: cash prizes (max \$40,000) awarded to solicit innovative ideas and solutions to address development challenges which cannot be achieved through traditional solicitation processes
- Individual grants: cash awards to persons under individual contracts (not exceeding \$5,000) under exceptional circumstances

Instruments for grants to CSOs



Project Cooperation Agreements (PCA)

- Issued to NGO as Implementing partner
- NGO assumes full responsibility for UNDP resources and delivery

Responsible Party Agreements (RPA)

- Issued to NGOs as responsible parties
- RPs assume responsibility for specific activities or components of a project

Low Value Grants Agreement (LVGA)

- Issued to NGOs with limited capacity
- Grantees assume responsibility for providing a development solution

Selection Process- Implementing Partners



select Implementing Partner based on criteria

assess



Capacities -----if over \$300K per programme cycle If </= \$300,000, no assessment

IP/HACT Capacity Assessment

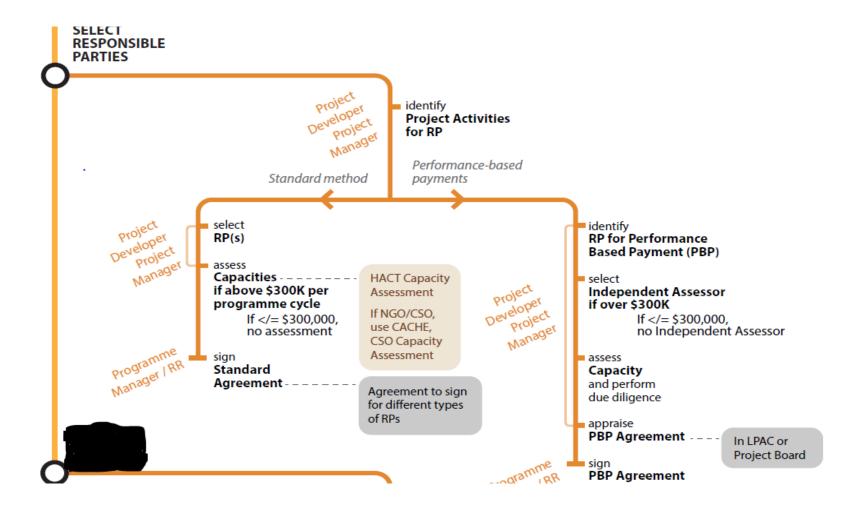
If NGO/CSO, use CACHE, CSO Capacity Assessment



Incorporate into Project Document

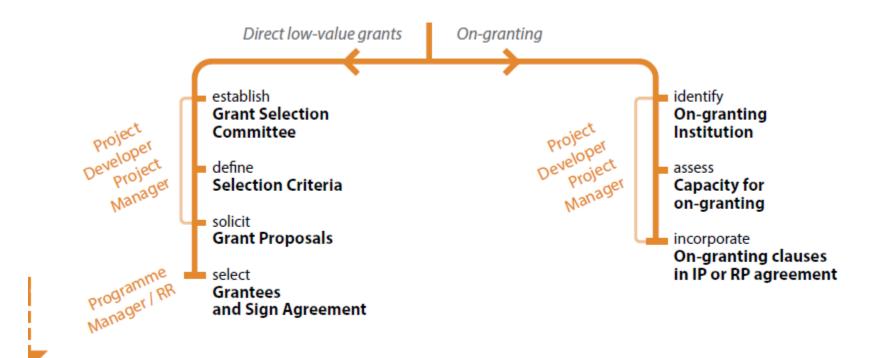
Selection Process: Responsible Parties





UNITED NATIONS DEVELOPMENT PROGRAMME

Selection Process: LVG Grantees







Key issues to check for selection

- Confirm eligibility of the applicant:
 - CSO requirements- legal status/age/
 - Comparative advantage
 - Compatibility of CSO with UN/DP's mandate/principles
- Ensure relevance of Proposal/Application with focus of Call for Proposal
- Capacity Assessments are risk-based; not necessarily exclusionary
- Selection process as a capacity- building process
- Uphold UN principles in selection process

