INDIVIDUAL CONSULTANT PROCUREMENT NOTICE



Date: 25th March 2021 Ref #: UNDP-IC-2021-114

JTN: 14884

Country: <u>Pakistan</u>

Description of the assignment: Individual Contract: *National Consultant "Inclusive and Risk Finance Diagnostics"*

Project name: Disaster Risk Reduction in Pakistan (DRRiP).

Period of assignment/services (if applicable): The duration of the assignment will be **40 working days** spread over **4 months** with effect from the date of signing of the contract.

Important Note: Final selected IC will be required to provide a statement of health certificate along with proof of health insurance.

Duty Station: Islamabad and Home based

Please submit your Technical and Financial proposals via email to the following address:

<u>bids.pk@undp.org</u> no later than 8th April 2021 at 12:30 PM (Pakistan Standard Time). Hand Delivery is not acceptable.

Important note for email submissions: Please put <u>"UNDP-IC-2021-114</u>- National Consultant "Inclusive and Risk Finance Diagnostics" in the subject line. Further, our system will not accept emails those are more than 30 MB size. If required, segregate your emails to accommodate email data restrictions. For segregated emails please use sequence of emails like Email 1, Email 2 in the subject line. For attachment purposes please only use MS Word, Excel, Power Point or PDF formats.

If you request additional information, please write to pakistan.procurement.info@undp.org. The team will provide necessary information within due date. However, any delay in providing such information will not be considered a reason for extending the submission date of your proposal. All/any query regarding the submission of the proposal may be sent prior to the deadline at the e-mail/address mentioned above.

Important Note: Your financial proposal must be password protected file. You will be requested to provide the password of your financial proposal if you pass technical evaluation with minimum 70% score.

Background:

The United Nations Development Programme (UNDP) is a global organization with 17,000 staff working in approximately 170 offices globally towards supporting governments in developing strong policies, institutions and partnerships to achieve the 2030 Agenda for Sustainable Development and its 17 Sustainable Development Goals.

UNDP's policy work carried out at HQ, Regional and Country Office levels, forms a contiguous spectrum of deep local knowledge to cutting-edge global perspectives and advocacy.

In order to streamline its financial and investment engagement, UNDP launched the Finance Sector Hub, a finance and innovation platform, that draws on a critical mass of UNDP expertise, initiatives, and partnerships to support the mobilization and leveraging of resources for the SDGs and lead the implementation of the new UNDP private sector strategy and other initiatives.

UNDP's Work on Insurance and Risk Financing

The collaboration between the insurance industry and the development sector has grown significantly over the last five years. Key initiatives, such as the Insurance Development Forum, InsuResilience Global Partnership and the Ocean Risk and Resilience Action Alliance, have evolved into significant policy and standard setting partnerships, bringing together countries, the development sector, and the private and mutual/cooperative sectors of industry. Increasingly, these partnerships are moving beyond policy to programming, with a strong focus on not only delivering insurance and risk financing solutions to countries and communities, but also on long-term transformational change of insurance markets.

UNDP's growing work in this space has led to the creation of a flagship initiative on insurance and risk finance, and the creation of a dedicated facility for supporting UNDP Country Offices and country partners worldwide, within the newly created Finance Sector Hub. This work covers a range of critical areas where insurance and risk transfer solutions and collaboration with the industry can add significantly to achieving and delivering on the Sustainable Development Goals (SDGs.) Covering inclusive insurance, sovereign risk financing, insurance investments, natural capital, health, SME development and more, UNDP will work towards developing and delivering insurance solutions together with countries and communities, as

well as collaborating with partners to transform insurance markets through enhancing legislation, regulation and institutional capacity.

Diagnostics for Insurance and Risk Finance

As part of the increasing operationalization of the work of the Facility - in part through the start of the work of the Tripartite Agreement between UNDP, the Insurance Development Forum and the German government — there is a need to prepare a full diagnostic review of all issues relevant to the Insurance and Risk Finance environment in each country of focus. This diagnostic will examine supply and demand issues, everything from the legislative and regulatory environment through to community needs, from insurance and sovereign risk, through to insurance investments and natural capital.

C. Description of Assignment:

UNDP Pakistan is looking for a Insurance and Risk Finance Consultant who will work under the supervision of Assistant Resident Representative. The main purpose of this assignment is to provide assistance to the UNDP country office (CO) Pakistan to deliver a diagnostic report for inclusive insurance and risk finance, complete with full quantitative and qualitative analysis, and from that prepare a baseline for future UNDP engagement and progress through the life of project implementation.

Guided by the UNDP Institutional Advisor, the National Consultant will ensure that the CO has all the information needed to complete a diagnostic for inclusive insurance and risk finance. This will be achieved by connecting the CO to all important stakeholders in the insurance industry, guiding the CO on data and information to be collected, providing insurance technical advice to CO, and assisting CO with stakeholders' interview. Generated inputs and insights will then be used for the preparation of the report.

List of Stakeholders for consultations:

- National Disaster Management Authority;
- Insurance regulators and supervisors;
- Ministry of Finance;
- Ministry of Commerce;
- Other Government Ministries responsible for disaster management, environment, agriculture, health or social programs;
- Securities & Exchange Commission of Pakistan;
- Insurance associations;
- Insurance & Re-insurance companies;
- Microfinance organizations, NGO's offering financial services etc.
- Community groups (SACCO's etc.)
- SME and farmer groups.

UNDP will pay deliverable based fee as in response to the report submitted by the consultant.

Location of the assignment will mainly be Islamabad UNDP CO (Home-based is possible during the current COVID-19 outbreak)

D. Scope of Work:

The consultant will be expected to work on following tasks:

- Mapping of key insurance stakeholders in country, focusing on providers of inclusive insurance and risk finance;
- Arranging and facilitating stakeholders workshops and strategic engagement with key stakeholders at different levels;
- Scoping of public asset insurance policies and practices in the country;
- Arranging and undertaking interviews for CO with key stakeholders;
- Coordinating and collecting information and data required from key stakeholders;
- Analyzing and interpreting collected information and data;
- Facilitating training courses for CO and government partners on technical aspects of inclusive insurance and risk finance;
- Facilitating surveys and focus groups with potential consumers for inclusive insurance;
- Updating UNDP Institutional Advisor and Regional IRFF Specialist on the progress achieved;
- Mapping of existing institutional mechanism with focus on key disaster risk financing stakeholders in the country;
- Exploring available options and recommendations for ensuring post-disaster liquidity for government to meet immediate requirements e.g. for providing shelter and food supplies;
- Analyze possible mechanisms to meet post-disaster long-term funding requirement for rehabilitation and reconstruction;
- Provide recommendations for streamlining overall disaster risk financing mechanism in the country;
- Preparing full diagnostic report.

Duration of the assignment will be 4 months from March 20, 2021 to July 20, 2021 (35-40 working days).

*The documents include the deliverable report on the relevant tasks according to timeline. UNDP reserves the right to amend the documents and/or recommend suggestions.

E. Expected Outputs

- Relevant information and data collected, compiled in agreed format and shared with the CO;
- Collected data and information analyzed and interpreted for the further use by the CO;
- Stakeholders interviews conducted as per agreed schedule, with interview reports duly prepared and submitted to the CO;
- CO provided with technical knowledge needed on inclusive insurance and risk finance;
- Surveys with consumers and facilitation of focus groups completed within agreed timelines:
- Final report developed in accordance with the agreed structure and submitted to the CO for further use and reference.

F. Impact of Results:

Preparation of full report on Inclusive and Risk Finance Diagnostics.

G. Institutional Arrangement:

The consultant will work under the guidance and direct supervision of the Assistant Resident Representative – Environment and Climate Change Unit (ECCU) within the country office and in close collaboration with the Institutional Advisor and Regional Specialist within the IRFF team.

H. Scope of Bid Price and Schedule of Payment

Remuneration of the successful individual expert will be on deliverable basis. The payments will be determined by the specified outputs as per this TORs.

Deliverables/ Outputs	Percent of Payment	Target Due Dates	
Report on Relevant information and data collected, compiled in agreed format;	25%	April 1, 2021	
Report on collected data and information analyzed and interpreted for the further use by the CO.			
Stakeholders interviews conducted as per agreed schedule, with interview reports duly prepared and submitted	25%	May 20, 2021	

Surveys with consumers and facilitation of focus groups completed within agreed timelines and share reports/ results	25%	June 20, 2021	
Provide with technical knowledge needed on inclusive insurance and risk finance and submit	25%	July 20, 2021	
final report developed in accordance with the agreed structure.			

4. REQUIREMENTS FOR EXPERIENCE AND QUALIFICATIONS

Interested individual should meet the following minimum qualification criteria:

- University degree (Masters or above) in business administration, finance, economics or other relevant disciplines is required
- ➤ Proven track record of 3 years in insurance, and finance through public or private sector is required;
- ➤ Excellent understanding of both inclusive insurance and risk financing markets, in related country, with additional knowledge of the broader environmental factors is required;
- At least 5 years' of experience in research and data collection along with excellent analytical skills are required;
- Experience in high-level knowledge on the important technical aspects of inclusive insurance and risk finance is highly desirable;
- Experience in collaborating with international and national experts and institutions is highly desirable;
- > Ability to convey complex technical details in a concise and understandable manner is highly desirable.
- Fluency in written and spoken English is required in addition to national language.
- ➤ Working knowledge of another UN language would be an asset.

Criteria	Weight	Max. Point
Technical Competencies	70	
University degree (Masters or	10	
above) in business		
administration, finance,		
economics or other relevant		
disciplines		
3 years experience in insurance,		
and finance through public or	25	
private sector and experience in		
collaborating with international		
and national experts and		
institutions		
At least 5 years' of experience in	25	
research and data collection		
along with excellent analytical		
skills also have ability to convey		
complex technical details in a		

concise and under	standable			
Experience in l knowledge on the i technical aspects of insurance and risk fina	inclusive	10		
Financial Offer/Offer*100)	(Lower	30		
Total Score		Technical score 70 + 30 Financial		
<u> </u>	Weight per Technical Competence			
Weak: Below 70%		ndividual consultant/contractor has demonstrated a WEAK city for the analyzed competence		
Satisfactory: 70-75%		e individual consultant/contractor has demonstrated a TISFACTORY capacity for the analyzed competence		
Good: 76-85%		ne individual consultant/contractor has demonstrated a GOOD pacity for the analyzed competence		
Very Good: 86-95%		ndividual consultant/contractor has demonstrated a VERY D capacity for the analyzed competence		
Outstanding: 96-100%		dividual consultant/contractor has demonstrated a TNDING capacity for the analyzed competence		

J. Underlying Mandatory Requirements

The individual is expected to conform to the following mandatory requirements:

- > Act in strict conformity to the laws of the country
- > Not to be affiliated or linked to any political party
- > Maintain strict neutrality and refrain from any activities which could be interpreted as biased

5. DOCUMENTS TO BE INCLUDED WHEN SUBMITTING THE PROPOSALS.

Interested individual consultants must submit the following documents/information to demonstrate their qualifications:

- 1. Technical Proposal:
- (i) Explaining why they are the most suitable for the work.
- (ii) Updated CV- Brief background including past experience of doing similar work
 - i. Implementation methodology
 - ii. Activity plan (Timeline)
 - iii. Budget and work plan
- (iii) Provide a brief methodology on how they will approach and conduct the work (if applicable)
- 2. Financial proposal
- 3. Personal CV including past experience in similar projects and at least 3 references

6. EXPECTED PERIOD OF CONSULTANCY

Duration of the Work:

- a) Duration of work is 40 days over a period of 4 months.
- b) Target date for the start of Contract is April 15, 2021 and expected completion date is August 14, 2021.

Duty Station

The Contractor's duty station for the contract duration at Islamabad

Please note that incase of travel outside duty station, the travel cost shall be borne by IC and may be incorporated the financial proposal.

7. FINANCIAL PROPOSAL

Lump sum contracts

- a) All proposals must be expressed on Per day basis (all inclusive) for the whole assignment stated above. Total contract value will be = Daily rate X total number of days worked
- b) Proposals must be in the local currency i.e. PKR
- c) Provide evidence/proof of your consultancy rate as well.
- d) Please note that incase of travel outside duty station, the travel cost shall be borne by IC and may be incorporated the financial proposal.

Note: UNDP is committed to achieving workforce diversity in terms of gender, nationality and culture. Individuals from minority groups, indigenous groups and persons with disabilities are equally encouraged to apply. All applications will be treated with the strictest confidence.

ANNEX

ANNEX I - TERMS OF REFERENCES (TOR)

ANNEX II- INDIVIDUAL CONSULTANT GENERAL TERMS AND CONDITIONS

ANNEX III- PROPOSAL SUBMISSION FORM

ANNEX IV- CONFIRMING INTEREST AND AVAILABILITY

ANNEX V- FINANCIAL PROPOSAL ANNEX VI- Statement of Health

ANNEX VII – P11 Form

Your submission should also include P11/Detail CV including assignments completed, years of experience and detailed TORs under each job.

Terms of Reference

INCLUSIVE AND RISK FINANCE DIAGNOSTICS

A. Project Title:

Diagnostic and Readiness Support for the UNDP Insurance and Risk Finance Facility activities in Pakistan

B. Project Description:

The United Nations Development Programme (UNDP) is a global organization with 17,000 staff working in approximately 170 offices globally towards supporting governments in developing strong policies, institutions and partnerships to achieve the 2030 Agenda for Sustainable Development and its 17 Sustainable Development Goals.

UNDP's policy work carried out at HQ, Regional and Country Office levels, forms a contiguous spectrum of deep local knowledge to cutting-edge global perspectives and advocacy.

In order to streamline its financial and investment engagement, UNDP launched the Finance Sector Hub, a finance and innovation platform, that draws on a critical mass of UNDP expertise, initiatives, and partnerships to support the mobilization and leveraging of resources for the SDGs and lead the implementation of the new UNDP private sector strategy and other initiatives.

UNDP's Work on Insurance and Risk Financing

The collaboration between the insurance industry and the development sector has grown significantly over the last five years. Key initiatives, such as the Insurance Development Forum, InsuResilience Global Partnership and the Ocean Risk and Resilience Action Alliance, have evolved into significant policy and standard setting partnerships, bringing together countries, the development sector, and the private and mutual/cooperative sectors of industry. Increasingly, these partnerships are moving beyond policy to programming, with a strong focus on not only delivering insurance and risk financing solutions to countries and communities, but also on long-term transformational change of insurance markets.

UNDP's growing work in this space has led to the creation of a flagship initiative on insurance and risk finance, and the creation of a dedicated facility for supporting UNDP Country Offices and country partners worldwide, within the newly created Finance Sector Hub. This work covers a range of critical areas where insurance and risk transfer solutions and collaboration with the industry can add significantly to achieving and delivering on the Sustainable Development Goals (SDGs.) Covering inclusive insurance, sovereign risk financing, insurance investments, natural capital, health, SME development and more, UNDP will work towards developing and delivering insurance solutions together with countries and communities, as well as collaborating

with partners to transform insurance markets through enhancing legislation, regulation and institutional capacity.

Diagnostics for Insurance and Risk Finance

As part of the increasing operationalization of the work of the Facility - in part through the start of the work of the Tripartite Agreement between UNDP, the Insurance Development Forum and the German government — there is a need to prepare a full diagnostic review of all issues relevant to the Insurance and Risk Finance environment in each country of focus. This diagnostic will examine supply and demand issues, everything from the legislative and regulatory environment through to community needs, from insurance and sovereign risk, through to insurance investments and natural capital.

C. Description of Assignment:

UNDP Pakistan is looking for a Insurance and Risk Finance Consultant who will work under the supervision of Assistant Resident Representative. The main purpose of this assignment is to provide assistance to the UNDP country office (CO) Pakistan to deliver a diagnostic report for inclusive insurance and risk finance, complete with full quantitative and qualitative analysis, and from that prepare a baseline for future UNDP engagement and progress through the life of project implementation.

Guided by the UNDP Institutional Advisor, the National Consultant will ensure that the CO has all the information needed to complete a diagnostic for inclusive insurance and risk finance. This will be achieved by connecting the CO to all important stakeholders in the insurance industry, guiding the CO on data and information to be collected, providing insurance technical advice to CO, and assisting CO with stakeholders' interview. Generated inputs and insights will then be used for the preparation of the report.

List of Stakeholders for consultations:

- National Disaster Management Authority;
- Insurance regulators and supervisors;
- Ministry of Finance;
- Ministry of Commerce;
- Other Government Ministries responsible for disaster management, environment, agriculture, health or social programs;
- Securities & Exchange Commission of Pakistan;
- Insurance associations;
- Insurance & Re-insurance companies;
- Microfinance organizations, NGO's offering financial services etc.
- Community groups (SACCO's etc.)
- SME and farmer groups.

UNDP will pay deliverable based fee as in response to the report submitted by the consultant.

Location of the assignment will mainly be Islamabad UNDP CO (Home-based is possible during the current COVID-19 outbreak)

D. Scope of Work:

The consultant will be expected to work on following tasks:

- Mapping of key insurance stakeholders in country, focusing on providers of inclusive insurance and risk finance;
- Arranging and facilitating stakeholders workshops and strategic engagement with key stakeholders at different levels;
- Scoping of public asset insurance policies and practices in the country;
- Arranging and undertaking interviews for CO with key stakeholders;
- Coordinating and collecting information and data required from key stakeholders;
- Analyzing and interpreting collected information and data;
- Facilitating training courses for CO and government partners on technical aspects of inclusive insurance and risk finance;
- Facilitating surveys and focus groups with potential consumers for inclusive insurance;
- Updating UNDP Institutional Advisor and Regional IRFF Specialist on the progress achieved;
- Mapping of existing institutional mechanism with focus on key disaster risk financing stakeholders in the country;
- Exploring available options and recommendations for ensuring post-disaster liquidity for government to meet immediate requirements e.g. for providing shelter and food supplies;
- Analyze possible mechanisms to meet post-disaster long-term funding requirement for rehabilitation and reconstruction;
- Provide recommendations for streamlining overall disaster risk financing mechanism in the country;
- Preparing full diagnostic report.

Duration of the assignment will be 4 months from March 20, 2021 to July 20, 2021 (35-40 working days).

*The documents include the deliverable report on the relevant tasks according to timeline. UNDP reserves the right to amend the documents and/or recommend suggestions.

E. Expected Outputs

- Relevant information and data collected, compiled in agreed format and shared with the CO;
- Collected data and information analyzed and interpreted for the further use by the CO;
- Stakeholders interviews conducted as per agreed schedule, with interview reports duly prepared and submitted to the CO;
- CO provided with technical knowledge needed on inclusive insurance and risk finance;
- Surveys with consumers and facilitation of focus groups completed within agreed timelines;
- Final report developed in accordance with the agreed structure and submitted to the CO for further use and reference.

F. Impact of Results:

Preparation of full report on Inclusive and Risk Finance Diagnostics.

G. Institutional Arrangement:

The consultant will work under the guidance and direct supervision of the Assistant Resident Representative – Environment and Climate Change Unit (ECCU) within the country office and in close collaboration with the Institutional Advisor and Regional Specialist within the IRFF team.

H. Scope of Bid Price and Schedule of Payment

Remuneration of the successful individual expert will be on deliverable basis. The payments will be determined by the specified outputs as per this TORs.

Deliverables/ Outputs	Percent of Payment	Target Due Dates
Report on Relevant information and data collected, compiled in agreed format; Report on collected data and information analyzed and interpreted for the further use by the CO.	25%	April 15, 2021
Stakeholders interviews conducted as per agreed schedule, with interview reports duly prepared and submitted	25%	May 20, 2021

٥	Surveys with consumers and facilitation of focus groups completed within agreed timelines and share reports/ results	25%	June 20, 2021	
	Provide with technical knowledge needed on nclusive insurance and risk finance and submit	25%	July 20, 2021	
	inal report developed in accordance with the agreed structure.			



INDIVIDUAL CONSULTANT GENERAL TERMS AND CONDITIONS

G E N E R A L C O N D I T I O N S O F C O N T R A C T FOR THE SERVICES OF INDIVIDUAL CONTRACTORS

- 1. **LEGAL STATUS**: The Individual contractor shall have the legal status of an independent contractor vis-à-vis the United Nations Development Programme (UNDP), and shall not be regarded, for any purposes, as being either a "staff member" of UNDP, under the UN' Staff Regulations and Rules, or an "official" of UNDP, for purposes of the Convention on the Privileges and Immunities of the United Nations, adopted by the General Assembly of the United Nations on 13 February 1946. Accordingly, nothing within or relating to the Contract shall establish the relationship of employer and employee, or of principal and agent, between UNDP and the Individual contractor. The officials, representatives, employees or subcontractors of UNDP and of the Individual contractor, if any, shall not be considered in any respect as being the employees or agents of the other, and UNDP and the Individual contractor shall be solely responsible for all claims arising out of or relating to its engagement of such persons or entities.
- 2. STANDARDS OF CONDUCT: In General: The Individual contractor shall neither seek nor accept instructions from any authority external to UNDP in connection with the performance of its obligations under the Contract. Should any authority external to UNDP seek to impose any instructions on the Contract regarding the Individual contractor's performance under the Contract, the Individual contractor shall promptly notify UNDP and shall provide all reasonable assistance required by UNDP. The Individual contractor shall not take any action in respect of its performance of the Contract or otherwise related to its obligations under the Contract that may adversely affect the interests of UNDP, and the Individual contractor shall perform its obligations under the Contract with the fullest regard to the interests of UNDP. The Individual contractor warrants that it has not and shall not offer any direct or indirect benefit arising from or related to the performance of the Contract or the award thereof to any representative, official, employee or other agent of UNDP. The Individual contractor shall comply with all laws, ordinances, rules and regulations bearing upon the performance of its obligations under the Contract. In the performance of the Contract the Individual contractor shall comply with the standards of conduct set in the Secretary General's Bulletin ST/SGB/2002/9 of 18 June 2002, entitled "Regulations Governing the Status, Basic Rights and Duties of Officials other than Secretariat Officials, and Expert on Mission". The individual contractor must comply with all Security Directives issued by UNDP. Failure to comply with such security directives is grounds for termination of the Individual contractor for cause.

Prohibition of Sexual Exploitation and Abuse: In the performance of the Contract, the Individual contractor shall comply with the standards of conduct set forth in the Secretary-General's bulletin ST/SGB/2003/13 of 9 October 2003, concerning "Special measures for protection from sexual exploitation and sexual abuse". In particular, the Individual contractor shall not engage in any conduct that would constitute sexual exploitation or sexual abuse, as defined in that bulletin.

The Individual contractor acknowledges and agrees that any breach of any of the provisions

The Individual contractor acknowledges and agrees that any breach of any of the provisions hereof shall constitute a breach of an essential term of the Contract, and, in addition to any other

legal rights or remedies available to any person, shall give rise to grounds for termination of the Contract. In addition, nothing herein shall limit the right of UNDP to refer any alleged breach of the foregoing standards of conduct to the relevant national authorities for appropriate legal action

3. TITLE RIGHTS, COPYRIGHTS, PATENTS AND OTHER PROPRIETARY RIGHTS: Title to any equipment and supplies that may be furnished by UNDP to the Individual contractor for the performance of any obligations under the Contract shall rest with UNDP, and any such equipment shall be returned to UNDP at the conclusion of the Contract or when no longer needed by the Individual contractor. Such equipment, when returned to UNDP, shall be in the same condition as when delivered to the Individual contractor, subject to normal wear and tear, and the Individual contractor shall be liable to compensate UNDP for any damage or degradation of the equipment that is beyond normal wear and tear.

UNDP shall be entitled to all intellectual property and other proprietary rights, including, but not limited to, patents, copyrights and trademarks, with regard to products, processes, inventions, ideas, know-how or documents and other materials which the Individual contractor has developed for UNDP under the Contract and which bear a direct relation to or are produced or prepared or collected in consequence of, or during the course of, the performance of the Contract, and the Individual contractor acknowledges and agrees that such products, documents and other materials constitute works made for hire for UNDP. However, to the extent that any such intellectual property or other proprietary rights consist of any intellectual property or other proprietary rights of the Individual contractor: (a) that pre-existed the performance by the Individual contractor of its obligations under the Contract, or (b) that the Individual contractor may develop or acquire, or may have developed or acquired, independently of the performance of its obligations under the Contract, UNDP does not and shall not claim any ownership interest thereto, and the Individual contractor grants to UNDP a perpetual licence to use such intellectual property or other proprietary right solely for the purposes of and in accordance with the requirements of the Contract. At the request of UNDP, the Individual contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring or licensing them to UNDP in compliance with the requirements of the applicable law and of the Contract. Subject to the foregoing provisions, all maps, drawings, photographs, mosaics, plans, reports, estimates, recommendations, documents and all other data compiled by or received by the Individual contractor under the Contract shall be the property of UNDP, shall be made available for use or inspection by UNDP at reasonable times and in reasonable places, shall be treated as confidential and shall be delivered only to UNDP authorized officials on completion of work under the Contract

4. **CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION**: Information and data that are considered proprietary by either UNDP or the Individual contractor or that are delivered or disclosed by one of them ("Discloser") to the other ("Recipient") during the course of performance of the Contract, and that are designated as confidential ("Information"), shall be held in confidence and shall be handled as follows. The Recipient of such Information shall use the same care and discretion to avoid disclosure, publication or dissemination of the Discloser's Information as it uses with its own similar information that it does not wish to disclose, publish or disseminate, and the Recipient may otherwise use the Discloser's Information solely for the purpose for which it was disclosed. The Recipient may disclose confidential Information to any other party with the Discloser's prior written consent, as well as to the Recipient's employees, officials, representatives

and agents who have a need to know such confidential Information solely for purposes of performing obligations under the Contract. Subject to and without any waiver of the privileges and immunities of UNDP, the Individual contractor may disclose Information to the extent required by law, provided that the Individual contractor will give UNDP sufficient prior notice of a request for the disclosure of Information in order to allow UNDP to have a reasonable opportunity to take protective measures or such other action as may be appropriate before any such disclosure is made. UNDP may disclose Information to the extent as required pursuant to the Charter of the United Nations, resolutions or regulations of the General Assembly or its other governing bodies, or rules promulgated by the Secretary-General. The Recipient shall not be precluded from disclosing Information that is obtained by the Recipient from a third party without restriction, is disclosed by the Discloser to a third party without any obligation of confidentiality, is previously known by the Recipient, or at any time is developed by the Recipient completely independently of any disclosures hereunder. These obligations and restrictions of confidentiality shall be effective during the term of the Contract, including any extension thereof, and, unless otherwise provided in the Contract, shall remain effective following any termination of the Contract.

5. **TRAVEL, MEDICAL CLEARANCE AND SERVICE INCURRED DEATH, INJURY OR ILLNESS**: If the Individual contractor is required by UNDP to travel beyond commuting distance from the Individual contractor's usual place of residence, and upon prior written agreement, such travel shall be at the expense of UNDP. Such travel shall be at economy care when by air.

UNDP may require the Individual contractor to submit a Statement of Good Health from a recognized physician prior to commencement of work in any offices or premises of UNDP or before engaging in any travel required by UNDP or connected with the performance of the Contract. The Individual contractor shall provide such a Statement of Good Health as soon as practicable following such request, and prior to engaging in any such travel, and the Individual contractor warrants the accuracy of any such Statement, including, but not limited to, confirmation that the Individual contractor has been fully informed regarding the requirements for inoculations for the country or countries to which travel may be authorized.

In the event of the death, injury or illness of the Individual contractor which is attributable to the performance of services on behalf of UNDP under the terms of the Contract while the Individual contractor is traveling at UNDP expense or is performing any services under the Contract in any offices or premises of UNDP, the Individual contractor or the Individual contractor's dependants, as appropriate, shall be entitled to compensation equivalent to that provided under the UNDP insurance policy, available upon request.

6. **PROHIBITION ON ASSIGNMENT; MODIFICATIONS**: The Individual contractor may not assign, delegate, transfer, pledge or make any other disposition of the Contract, of any part thereof, or of any of the rights, claims or obligations under the Contract except with the prior written authorization of UNDP, and any attempt to do so shall be null and void. The terms or conditions of any supplemental undertakings, licences or other forms of Contract concerning any goods or services to be provided under the Contract shall not be valid and enforceable against UNDP nor in any way shall constitute an Contract by UNDP thereto, unless any such undertakings, licences or other forms of Contract are the subject of a valid written undertaking by UNDP. No modification or change in the Contract shall be valid and enforceable against UNDP unless provided by means of a valid written amendment to the Contract signed by the Individual contractor and an authorized official or appropriate contracting authority of UNDP.

- 7. **SUBCONTRACTORS**: In the event that the Individual contractor requires the services of subcontractors to perform any obligations under the Contract, the Individual contractor shall obtain the prior written approval of UNDP for any such subcontractors. UNDP may, in its sole discretion, reject any proposed subcontractor or require such subcontractor's removal without having to give any justification therefore, and such rejection shall not entitle the Individual contractor to claim any delays in the performance, or to assert any excuses for the non-performance, of any of its obligations under the Contract. The Individual contractor shall be solely responsible for all services and obligations performed by its subcontractors. The terms of any subcontract shall be subject to, and shall be construed in a manner that is fully in accordance with, all of the terms and conditions of the Contract.
- 8. **USE OF NAME, EMBLEM OR OFFICIAL SEAL OF THE UNITED NATIONS**: The Individual contractor shall not advertise or otherwise make public for purposes of commercial advantage or goodwill that it has a contractual relationship with UNDP, nor shall the Individual contractor, in any manner whatsoever, use the name, emblem or official seal of UNDP, or any abbreviation of the name of UNDP, in connection with its business or otherwise without the written permission of UNDP.
- 9. **INDEMNIFICATION**: The Individual contractor shall indemnify, defend, and hold and save harmless UNDP, and its officials, agents and employees, from and against all suits, proceedings, claims, demands, losses and liability of any kind or nature, including, but not limited to, all litigation costs and expenses, attorney's fees, settlement payments and damages, based on, arising from, or relating to: (a) allegations or claims that the use by UNDP of any patented device, any copyrighted material or any other goods or services provided to UNDP for its use under the terms of the Contract, in whole or in part, separately or in combination, constitutes an infringement of any patent, copyright, trademark or other intellectual property right of any third party; or (b) any acts or omissions of the Individual contractor, or of any subcontractor or anyone directly or indirectly employed by them in the performance of the Contract, which give rise to legal liability to anyone not a party to the Contract, including, without limitation, claims and liability in the nature of a claim for workers' compensation.
- 10. **INSURANCE**: The Individual contractor shall pay UNDP promptly for all loss, destruction or damage to the property of UNDP caused by the Individual contractor, or of any subcontractor, or anyone directly or indirectly employed by them in the performance of the Contract. The Individual contractor shall be solely responsible for taking out and for maintaining adequate insurance required to meet any of its obligations under the Contract, as well as for arranging, at the Individual contractor 's sole expense, such life, health and other forms of insurance as the Individual contractor may consider to be appropriate to cover the period during which the Individual contractor provides services under the Contract. The Individual contractor may make shall, in any way, be construed to limit the Individual contractor's liability arising under or relating to the Contract.
- 11. **ENCUMBRANCES AND LIENS**: The Individual contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with UNDP against any monies due to the Individual contractor or to become due for any work donor or against any goods supplied or materials furnished under the Contract, or by reason of any other claim or demand against the Individual contractor.

12. **FORCE MAJEURE; OTHER CHANGES IN CONDITIONS**: In the event of and as soon as possible after the occurrence of any cause constituting *force majeure*, the Individual contractor shall give notice and full particulars in writing to UNDP of such occurrence or cause if the Individual contractor is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under the Contract. The Individual contractor shall also notify UNDP of any other changes in conditions or the occurrence of any event, which interferes or threatens to interfere with its performance of the Contract. Not more than fifteen (15) days following the provision of such notice of *force majeure* or other changes in conditions or occurrence, the Individual contractor shall also submit a statement to UNDP of estimated expenditures that will likely be incurred for the duration of the change in conditions or the event. On receipt of the notice or notices required hereunder, UNDP shall take such action as it considers, in its sole discretion, to be appropriate or necessary in the circumstances, including the granting to the Individual contractor of a reasonable extension of time in which to perform any obligations under the Contract.

In the event of and as soon as possible after the occurrence of any cause constituting *force majeure*, the Individual contractor shall give notice and full particulars in writing to UNDP of such occurrence or cause if the Individual contractor is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under the Contract. The Individual contractor shall also notify UNDP of any other changes in conditions or the occurrence of any event, which interferes or threatens to interfere with its performance of the Contract. Not more than fifteen (15) days following the provision of such notice of *force majeure* or other changes in conditions or occurrence, the Individual contractor shall also submit a statement to UNDP of estimated expenditures that will likely be incurred for the duration of the change in conditions or the event. On receipt of the notice or notices required hereunder, UNDP shall take such action as it considers, in its sole discretion, to be appropriate or necessary in the circumstances, including the granting to the Individual contractor of a reasonable extension of time in which to perform any obligations under the Contract.

Force majeure as used herein means any unforeseeable and irresistible act of nature, any act of war (whether declared or not), invasion, revolution, insurrection, or any other acts of a similar nature or force, provided that such acts arise from causes beyond the control and without the fault or negligence of the Individual contractor. The Individual contractor acknowledges and agrees that, with respect to any obligations under the Contract that the Individual contractor must perform in or for any areas in which UNDP is engaged in, preparing to engage in, or disengaging from any peacekeeping, humanitarian or similar operations, any delay or failure to perform such obligations arising from or relating to harsh conditions within such areas or to any incidents of civil unrest occurring in such areas shall not, in and of itself, constitute force majeure under the Contract

13. **TERMINATION**: Either party may terminate the Contract, in whole or in part, upon giving written notice to the other party. The period of notice shall be five (5) days in the case of Contracts for a total period of less than two (2) months and fourteen (14) days in the case of contracts for a longer period. The initiation of conciliation or arbitral proceedings, as provided below, shall not be deemed to be a "cause" for or otherwise to be in itself a termination of the Contract. UNDP may, without prejudice to any other right or remedy available to it, terminate the Contract forthwith in the event that: (a) the Individual contractor is adjudged bankrupt, or is liquidated, or becomes insolvent, applies for moratorium or stay on any payment or repayment obligations, or

applies to be declared insolvent; (b) the Individual contractor is granted a moratorium or a stay or is declared insolvent; the Individual contractor makes an assignment for the benefit of one or more of its creditors; (c) a Receiver is appointed on account of the insolvency of the Individual contractor; (d) the Individual contractor offers a settlement in lieu of bankruptcy or receivership; or (e) UNDP reasonably determines that the Individual contractor has become subject to a materially adverse change in its financial condition that threatens to endanger or otherwise substantially affect the ability of the Individual contractor to perform any of its obligations under the Contract.

In the event of any termination of the Contract, upon receipt of notice of termination by UNDP, the Individual contractor shall, except as may be directed by UNDP in the notice of termination or otherwise in writing: (a) take immediate steps to bring the performance of any obligations under the Contract to a close in a prompt and orderly manner, and in doing so, reduce expenses to a minimum; (b) refrain from undertaking any further or additional commitments under the Contract as of and following the date of receipt of such notice; (c) deliver all completed or partially completed plans, drawings, information and other property that, if the Contract had been completed, would be required to be furnished to UNDP thereunder; (d) complete performance of the work not terminated; and (e) take any other action that may be necessary, or that UNDP may direct in writing, for the protection and preservation of any property, whether tangible or intangible, related to the Contract that is in the possession of the Individual contractor and in which UNDP has or may be reasonably expected to acquire an interest.

In the event of any termination of the Contract, UNDP shall only be liable to pay the Individual contractor compensation on a pro rata basis for no more than the actual amount of work performed to the satisfaction of UNDP in accordance with the requirements of the Contract. Additional costs incurred by UNDP resulting from the termination of the Contract by the Individual contractor may be withheld from any amount otherwise due to the Individual contractor from UNDP...

- 14. **NON-EXCLUSIVITY**: UNDP shall have no obligation respecting, and no limitations on, its right to obtain goods of the same kind, quality and quantity, or to obtain any services of the kind described in the Contract, from any other source at any time.
- 15. **TAXATION:** Article II, section 7, of the Convention on the Privileges and Immunities of the United Nations provides, *inter alia*, that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs restrictions, duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the exemptions of the United Nations from such taxes, restrictions, duties or charges, the Individual contractor shall immediately consult with UNDP to determine a mutually acceptable procedure. UNDP shall have no liability for taxes, duties or other similar charges payable by the Individual contractor in respect of any amounts paid to the Individual contractor under this Contract, and the Individual contractor acknowledges that UNDP will not issue any statements of earnings to the Individual contractor in respect of any such payments.
- 16. **AUDITS AND INVESTIGATIONS**: Each invoice paid by UNDP shall be subject to a post-payment audit by auditors, whether internal or external, of UNDP or by other authorized and qualified agents of UNDP at any time during the term of the Contract and for a period of two (2) years following the expiration or prior termination of the Contract. UNDP shall be entitled to a

refund from the Individual contractor for any amounts shown by such audits to have been paid by UNDP other than in accordance with the terms and conditions of the Contract.

The Individual contractor acknowledges and agrees that, from time to time, UNDP may conduct investigations relating to any aspect of the Contract or the award thereof, the obligations performed under the Contract, and the operations of the Individual contractor generally relating to performance of the Contract. The right of UNDP to conduct an investigation and the Individual contractor's obligation to comply with such an investigation shall not lapse upon expiration or prior termination of the Contract. The Individual contractor shall provide its full and timely cooperation with any such inspections, post-payment audits or investigations. Such cooperation shall include, but shall not be limited to, the Individual contractor's obligation to make available its personnel and any relevant documentation for such purposes at reasonable times and on reasonable conditions and to grant to UNDP access to the Individual contractor's premises at reasonable times and on reasonable conditions in connection with such access to the Individual contractor's personnel and relevant documentation. The Individual contractor shall require its agents, including, but not limited to, the Individual contractor's attorneys, accountants or other advisers, to reasonably cooperate with any inspections, post-payment audits or investigations carried out by UNDP hereunder.

17. SETTLEMENT OF DISPUTES:

AMICABLE SETTLEMENT: UNDP and the Individual contractor shall use their best efforts to amicably settle any dispute, controversy or claim arising out of the Contract or the breach, termination or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the Conciliation Rules then obtaining of the United Nations Commission on International Trade Law ("UNCITRAL"), or according to such other procedure as may be agreed between the parties in writing.

ARBITRATION: Any dispute, controversy or claim between the parties arising out of the Contract, or the breach, termination, or invalidity thereof, unless settled amicably, as provided above, shall be referred by either of the parties to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The decisions of the arbitral tribunal shall be based on general principles of international commercial law. For all evidentiary questions, the arbitral tribunal shall be guided by the Supplementary Rules Governing the Presentation and Reception of Evidence in International Commercial Arbitration of the International Bar Association, 28 May 1983 edition. The arbitral tribunal shall be empowered to order the return or destruction of goods or any property, whether tangible or intangible, or of any confidential information provided under the Contract, order the termination of the Contract, or order that any other protective measures be taken with respect to the goods, services or any other property, whether tangible or intangible, or of any confidential information provided under the Contract, as appropriate, all in accordance with the authority of the arbitral tribunal pursuant to Article 26 ("Interim Measures of Protection") and Article 32 ("Form and Effect of the Award") of the UNCITRAL Arbitration Rules. The arbitral tribunal shall have no authority to award punitive damages. In addition, unless otherwise expressly provided in the Contract, the arbitral tribunal shall have no authority to award interest in excess of the London Inter-Bank Offered Rate ("LIBOR") then prevailing, and any such interest shall be simple interest only. The parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such dispute, controversy or claim.

18. **LIMITATION ON ACTIONS**: Except with respect to any indemnification obligations in Article 9, above, or as are otherwise set forth in the Contract, any arbitral proceedings in accordance with Article 17, above, arising out of the Contract must be commenced within three (3) years after the cause of action has accrued.

The Parties further acknowledge and agree that, for these purposes, a cause of action shall accrue when the breach actually occurs, or, in the case of latent defects, when the injured Party knew or should have known all of the essential elements of the cause of action, or in the case of a breach of warranty, when tender of delivery is made, except that, if a warranty extends to future performance of the goods or any process or system and the discovery of the breach consequently must await the time when such goods or other process or system is ready to perform in accordance with the requirements of the Contract, the cause of action accrues when such time of future performance actually begins,

19. **PRIVILEGES AND IMMUNITIES**: Nothing in or relating to the Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.

Annex III

Proposal Submission form

Dear Sir/Madam,

Having examined the Solicitation Documents, the receipt of which is hereby duly acknowledged, I undersigned, offer to provide individual consulting services to UNDP Pakistan in accordance with

the Price Schedule and TORs attached herewith and made part of this proposal.

I undertake, if my proposal is accepted, to commence and complete delivery of all services

specified in the contract within the time frame stipulated.

I agree to abide by this proposal for a period of 90 days from the date fixed for opening of

proposal in the invitation for proposal, and it shall remain binding upon us and may be accepted

at any time before the expiration of that period.

I understand that you are not bound to accept any proposal you may receive.

Dated: this ------2021

Signature

OFFEROR'S LETTER TO UNDP CONFIRMING INTEREST AND AVAILABILITY FOR THE INDIVIDUAL CONTRACTOR (IC) ASSIGNMENT

		Date
Un	ited Na	Resident Representative/Bureau Director) tions Development Programme amplete office address)
De	ar Sir/N	ladam:
l h	ereby d	eclare that:
A)		read, understood and hereby accept the Terms of Reference describing the duties and asibilities of [indicate title of assignment] under the [state project title];
B)		also read, understood and hereby accept UNDP's General Conditions of Contract for rvices of the Individual Contractors;
C)		by propose my services and I confirm my interest in performing the assignment through bmission of my CV which I have duly signed and attached hereto as Annex 1;
D)	availab manne	repliance with the requirements of the Terms of Reference, I hereby confirm that I amelie for the entire duration of the assignment, and I shall perform the services in the er described in my proposed approach/methodology which I have attached hereto as 3 [delete this item if the TOR does not require submission of this document];
E)		by propose to complete the services based on the following payment rate: [please check x corresponding to the preferred option]:
		An all-inclusive daily fee of [state amount in words and in numbers indicating currency]
		A total lump sum of [state amount in words and in numbers, indicating exact currency], payable in the manner described in the Terms of Reference.

F) For your evaluation, the breakdown of the abovementioned all-inclusive amount is attached

hereto as Annex 2;

G)	my delive	ize that the payment of the abovementioned amounts due to me shall be based on very of outputs within the timeframe specified in the TOR, which shall be subject to review, acceptance and payment certification procedures;					
H)		r shall remain valid for a total period of days [<i>minimum of 90 days</i>] after nission deadline;					
l)	brother o	rm that I have no first degree relative (mother, father, son, daughter, spouse/partner, r or sister) currently employed with any UN agency or office [disclose the name of the e, the UN office employing the relative, and the relationship if, any such relationship					
J)	If I am se	lected for this assigr	nment, I shall	[please check the app	ropriate box]:		
K)	I hereby	erson and details of confirm that [check of this sengagement with an	my employer all that applies submission, I I y Business Un	nave no active Indivi	as follows:	or any form of	
		Assignment	Contract Type	UNDP Business Uni / Name of Institution/Compan	Contract	Contract Amount	
		am also anticipating entities for which I ha	•	of the following work I a proposal:	from UNDP an	d/or other	
		Assignment	Contract Type	Institution/ Company	Contract Duration	Contract Amount	

- L) I fully understand and recognize that UNDP is not bound to accept this proposal, and I also understand and accept that I shall bear all costs associated with its preparation and submission and that UNDP will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the selection process.
- M) If you are a former staff member of the United Nations recently separated, please add this section to your letter: I hereby confirm that I have complied with the minimum break in service required before I can be eligible for an Individual Contract.
- N) I also fully understand that, if I am engaged as an Individual Contractor, I have no expectations nor entitlements whatsoever to be re-instated or re-employed as a staff member.

O)	Are any of your relatives employed by international organization? YES NO If the answer is	UNDP, any other UN orgs	
	Name	Relationship	Name of International Organization
P)	Do you have any objections to our ma	aking enquiries of your p	resent employer?
Q)	Are you now, or have you ever been a YES NO If answer is "		n your government's employ?
R)	REFERENCES: List three persons, not requalifications.	lated to you, who are fa	miliar with your character and
	Full Name	Email Address	Business or Occupation
S)	Have you been arrested, indicted, or proceeding, or convicted, fined or imperations traffic violations)? YES NO If "yes", given	orisoned for the violation	n of any law (excluding minor
an ma Or	ertify that the statements made by me indicated to the best of my knowledge aterial omission made on a Personal ganization may result in the termination thout notice.	and belief. I understand History form or other	that any misrepresentation or document requested by the
	DATE:	SIGNATURE	E

NB. You will be requested to supply documentary evidence which support the statements you have made above. Do not, however, send any documentary evidence until you have been asked to do so and, in any event, do not submit the original texts of references or testimonials unless they have been obtained for the sole use of UNDP.

Annexe	s [please check all that applies]:
☐ CV	shall include Education/Qualification, Processional Certification, Employment Record erience
Bre	akdown of Costs Supporting the Final All-Inclusive Price as per Template
☐ Brie	f Description of Approach to Work (if required by the TOR)

THIS DOCUMENT SHOULD BE PASSWORD PROTECTED IN YOUR SUBMISSION.

BREAKDOWN OF COSTS¹ SUPPORTING THE ALL-INCLUSIVE FINANCIAL PROPOSAL

A) **Breakdown of Cost by Components:**

Cost Components	Unit Cost PKR	Quantity	Total Rate for the Contract Duration- PKR
I. Personnel Costs			
Professional Fees			
Life Insurance			
Medical Insurance Communications			
Land Transportation			
Others (pls. specify)			
II. Travel ² Expenses to Join duty station			
Round Trip Airfares to and from duty station			
Living Allowance			
Travel Insurance			
Terminal Expenses			
Others (pls. specify)			
III. Duty Travel			
Round Trip Airfares			
Living Allowance			
Travel Insurance			
Terminal Expenses			
Others (pls. specify)			

B) Breakdown of Cost by Deliverables*

Deliverables	
	Amount

 $^{^{\}rm 1}$ The costs should only cover the requirements identified in the Terms of Reference (TOR)

² Travel expenses are not required if the consultant will be working from home.

[list them as referred to in the TOR]	Percentage of Total Price (Weight for payment)	
Deliverable 1		
Deliverable 2		
Deliverable 3		
Deliverable 4		
Total	100%	PKR

^{*}Basis for payment tranches

ANNEX V

THIS DOCUMENT SHOULD BE PASSWORD PROTECTED IN YOUR SUBMISSION.

FINANCIAL PROPOSAL

The Consultant is required to prepare the Price Schedule as a separate document from the rest of the technical response. All prices/rates quoted must be in **PKR**. The format shown below should be used in preparing the price schedule.

Consultant is required to provide a copy of contract signed with another entity as evidence of its daily consultancy fee.

Sr. #	Description/Break-up of Financial Proposal	Unit	Unit Cost (PKR)			
Α.	Consultancy Fee:					
В.	Travel					
C.	Others (Please specify using the breakdown table provided above)					
	Total PKR					

Deliverables [list them as referred to in the TOR]	Percentage of Total Price (Weight for payment)	Amount

Total	100%	PKR

Name:	
Signature:	Date:

^{*}Payment shall be made based on the deliverables agreed in the final contract that will be signed with the selected candidate.

STATEMENT OF HEALTH – INDIVIDUAL CONTRACTORS	
Name of Consultant/Individual Contractor: D P	
Last Name, First Name Empowered lives Resilient nations	
Statement of Good Health	
In accordance with the provisions of Clause 5 of the <u>General Terms & Conditions for Ind</u> <u>Contractors</u> , I am submitting this statement to certify that I am in good health and take full respon for the accuracy of this Statement. I am aware that information pertaining to inoculation require in respect of official travel to countries can be referred to at http://www.who.int/ith .	sibility
I certify that my medical insurance coverage is valid for the period from to (if applicable)	
I certify that my medical insurance covers medical evacuations at Duty Station(s): Duty Station(s) Rating: "B through E". Duty stations with "A" or "H" do not require medical evacuations coverage.	ıation
The name of my medical insurance carrier is:	
Policy Number:	
Telephone Number of Medical Insurance Carrier:	
A copy of proof of insurance MUST be attached to this form.	
Signature of Consultant/Individual Contractor Date	
This statement is only valid for Consultant/Individual Contractor Contract No.	
Signature of Officer Supervising the Contract Name	
Business Unit	

P-11 Form

UNITED NATION	IS DEVELOF	PMENT P	ROGRA	AMME						U N
			F	Personal H	istory Fori	m				
INSTRUCTIONS: and follow all dir			-	•	-	-				carefully
1. Family name (surname)		2. F	irst names			3. Ma	iden naı	me, if ap	plicable
4. Date of birth day month y				birth		curren	7. List all your current nationality(ies)		8. Gender Male Female	
9. Marital status	Single	Ma	arried [Sepa	arated 🗌	Wid	ow(er)	Divo	rced 🗌	
10. Entry into Un United Nations ha prospective field of No Yes	as responsib of work or y	oilities. Do our abilit	you h	ave/experie	ence any co		•			
No Yes If "Yes", please describe: 11. Permanent address 12. Present address if different from that indicated in box 11 Home/Mobile; Work;										
Telephone No.		Telepho	one No.			14. P	ersonal an ess:	d/or pro	ofession	al e-mail
15. Have you any	dependent	s? Yes	No [If the an	swer is "Yo	es", give	the followi	ng infori	mation:	
;Name	Date of bi	rth	Relatio	nship	Name		Date of b	irth	Relatio	nship

16. Have you take in any country oth No Yes If "Yes", which cou	er than that of y	anent residence statu our nationality?	present na	17. Have you taken any steps towards changing your present nationality? No Yes If "Yes", explain fully:			
	•	· · —			/daughter) employed in		
the UN Common	System, including			ive the following in			
Name		Relations	nıp	Name of	Organization & Duty Station		
19.Do you have a		nded) family membo	ers employed	by UNDP? No	Yes If "Yes", give		
Na	me	Relat	ionship	Name of	Unit & Duty Station		
20. Would you accept employment for less than six months? Yes No No on the last 12 months? If so, for white post(s)?							
22.Languages – indicate mother tongue 1st	А	bility to operate in th	e listed langu	age(s) in a work en	vironment		
	Read	Write	•	Speak	Understand		
	none limited wo knowledge proficient	orking none limited continued knowledge proficien	working	none limited working wledge proficient	none limited working knowledge proficient		
	none limited wo knowledge proficient	none limited orking knowledge proficien	working	none limited working wledge proficient	none limited working knowledge proficient		
	none limited	none Ilimited knowledge proficien	working	none limited working wledge proficient none	none limited working knowledge proficient none		
	limited	limited		limited	limited		

	working		working		working	□ w	orking knowledge	
know	9	knowled	•	knowle	•	☐ p	roficient	
	oficient	profi	cient		oficient			
l	one nited		d		one nited	=	one mited	
	working		working	H ""	working	=	orking knowledge	
know	•	knowled	•	knowle	9		roficient	
	oficient	profi	•		oficient			
no	one	none		no	one	n	one	
	nited	🔲 limite		🔲 lim	nited	=	mited	
	working		working		working	_	orking knowledge	
know	•	knowled	•	knowle	•	p	roficient	
	oficient	profi	cient	+ = -	oficient			
	one	none	.1		one	=	one	
	nited working	limite			nited	=	mited	
know	9	knowled	working	knowle	working		orking knowledge roficient	
l —	roficient	profi	•		oficient	ШΡ	TOTICIETT	
23. For General Service s						a test	 S:	
No Yes if "Yes", da	UN/ASAT – Administrative Support Assessment Test (formerly known as clerical test): No							
UNDP/AFT – UNDP Acco	ountancy and Find	ance Test:	No Yes	if "Ye	es", date taken:			
24.EDUCATION : Give fu	ll details - NB Pl	ease give	exact titles of	degree	es in original lang	uage		
Degrees claimed in the just the time of the application		ven if they	are not a re	quireme	ent for the post) r	must b	oe completed at	
UNDP only recognizes degrees and diplomas from educational institutions that have been recognized or otherwise approved by competent authorities at the time that they were obtained. Degrees requiring little or no actual course work, degrees awarded for payment of fees only, and degrees granting substantial credits for "lifetime achievements" or "life/work experience" will normally not be recognized. Incomplete degrees are unacceptable to UNDP, regardless of whether they are associated with a recognized higher educational institution.								
A. List all educational institutions attended, including secondary school, and diplomas/degrees or equivalent qualifications obtained (highest level education first). Give the exact name of the institution and the title of degrees, diplomas, etc. (Please do not translate or indicate equivalent degrees).								
uegrees, dipioillas, etc. (Attended fi		Degrees / D			a of	In person or	
Name, place and	Mo/Year M	_	obtain	-	study	. 01	online/remote?	
country	/Year		Colli	- -	Study		J	

		l I			1			
B. Post-qualification train	ing course	s / learning	act	tivities				
Name, place and	-	Туре		Attended f	rom/to	Cer	tificates or	In person or
country					Mo.		iplomas	online/remote?
				/Year	1	0	btained	
C.UN Language Proficien	cy Exams (i	f any)						
D. UNDP Certification Programmes (if any)								

25. List membership of p	rofessional societies and	activities in ci	vic, public or	international affairs			
26. List any significant po you have received	ublications you have writt	en (do not at	tach them) or	any special recognitions			
27. Have you already been issued a UN Index Number? No Yes If "Yes", please indicate this number:							
28. EMPLOYMENT RECORD: Starting with your present post, list in reverse order every employment you have had. Use a separate block for each employment. Include service in the armed forces and note any period during which you were not gainfully employed. If you need more space, attach additional pages of the same size. Provide gross salary per annum and indicate currency for your last or present post.							
Are you a current or former UNV? Yes No If "Yes", please indicate roster number:							
A. PRESENT POST (Last	post, if not presently emp	loyed)					
FROM TO	SALARIES PER ANNUM						

Month/Year	Month/Yea	Starting	Final	FUNCTIONAL TITL	•	n your Letter of	
	r	(gross)	(gross)	Appointment/Contract:			
				UN grade of your post (if applicable):			
				(do not indicate e			
				Last UN step in yo		cable):	
NAME OF EMP	LOYER:			TYPE OF BUSINES	S:		
				EMPLOYMENT TY	PE:		
				Full time:			
				Part Time: (%)	1	
				Type of			
				contract:			
					200 series	ALD/300 series	
				100 Series	Indefinite	Continuing	
				Permanent	TA	SSA / IC	
				FTA	L UNV	U Other	
4 D D D C C O C C	ADLOVED			SC SUPERV	//COD		
ADDRESS OF E	MPLOYER			NAME OF SUPERVISOR:			
				E-mail Address and Telephone No. of Supervisor:			
				Do/did you suponiso staff? If so:			
				Do/did you supervise staff? If so:			
				Number of professional staff supervised: Number of support staff supervised:			
				inumber of suppo	rt stall supervise	eu.	
Description of	vour duties ar	nd related accom	plishments	<u> </u>			
	,			•			
Reason for leav	ving:						
	3						
B. PREVIOUS	POSTS (In re	verse order i.e.	most rece	nt post first)			
FROM	TO	SALARIES PER	ANNUM	FUNCTIONAL TITL	E: As specified i	n your Letter of	
Month/Year	Month/Year		Final	Appointment/Cor			
			(gross)	UN Grade of your post (if applicable):			
				(do not indicate e	1 7		
				Last UN step in yo	our post (if applic	cable):	
NAME OF EMP	LOYER			TYPE OF BUSINES	S:		
				EMPLOYMENT TYPE:			
				Full time:			
				Part Time: (%)		

				Type of contract:	200 series	ALD/300 series	
				100 Series Permanent FTA SC	Indefinite TA UNV	Continuing SSA / IC Other	
ADDRESS OF EMPLOYER			NAME OF SUPERVISOR: E-mail Address and Telephone No. of Supervisor:				
			Did you supervise staff? If so: Number of professional staff supervised: Number of support staff supervised:				
Description of	Description of your duties and related accomplishments:						
Reason for lea	ving:						
FROM	ТО	SALARIES PER		FUNCTIONAL TITI	•	n your Letter of	
Month/Year	Month/Year		Final (gross)	Appointment/Contract: UN Grade of your post (if applicable): (do not indicate equivalency) Last UN step in your post (if applicable):			
NAME OF EMP	PLOYER			TYPE OF BUSINESS:			
				EMPLOYMENT TY Full time: Part Time: (PE: %)		
ADDRESS OF EMPLOYER			Type of contract: 100 Series Permanent FTA SC NAME OF SUPERV E-mail Address an		ALD/300 series Continuing SSA / IC Other o. of Supervisor:		

				Did you supervise staff? If so: Number of professional staff supervised:		
				Number of support staff supervised:		
Description of	your duties an	d related accom	plishments	S:		
Reason for lea	ving:					
FROM	TO	SALARIES PER	ANNUM	FUNCTIONAL TITLE: As specified in your Letter of		
Month/Year	Month/Year		Final	Appointment/Contract:		
			(gross)	UN Grade of your post (if applicable):		
				(do not indicate equivalency)		
NAN 45 OF 51 45) OVER			Last UN step in your post (if applicable):		
NAME OF EMP	LOYER			TYPE OF BUSINESS:		
				EMPLOYMENT TYPE:		
				Full time:		
				Part Time: (%)		
				Type of		
				contract:		
				200 ALD/300 series		
				100 Series Series Continuing		
				Permanent Indefinite SSA / IC TA Other		
				I FTA I TA I Other SC I UNV		
ADDRESS OF E	MPI OVER			NAME OF SUPERVISOR:		
ADDICESS OF E	IVII LOTEIX			E-mail Address and Telephone No. of Supervisor:		
				2 man / taul ess una releptione rele et supervisor.		
				Did you supervise staff? If so:		
				Number of professional staff supervised:		
				Number of support staff supervised:		
Description of	your duties an	d related accom	plishments	s:		
Danas C. I						
Reason for lea	ving:					
FROM	TO	SALARIES PER	ANNUM	FUNCTIONAL TITLE: As specified in your Letter of		
Month/Year	Month/Yea		Final	Appointment/Contract:		
	r		(gross)	UN Grade of your post (if applicable):		
				(do not indicate equivalency)		
				Last UN step in your post (if applicable):		
NAME OF EMP	PLOYER			TYPE OF BUSINESS:		

				EMPLOYMENT TYPE:			
				Full time: (%)			
				Type of			
				contract:			
				200 series			
ADDRESS OF E	MPLOYER			NAME OF SUPERVISOR:			
				E-mail Address and Telephone No. of Supervisor:			
				Did you supervise staff? If so:			
				Number of professional staff supervised:			
				Number of support staff supervised:			
Description of your duties and related accomplishments:				5:			
Reason for lea	ving:						
FROM	TO	SALARIES PER A	ANNUM	FUNCTIONAL TITLE: As specified in your Letter of			
Month/Year	Month/Yea		Final	Appointment/Contract:			
	r		(gross)	UN Grade of your post (if applicable):			
				(do not indicate equivalency)			
				Last UN step in your post (if applicable):			
NAME OF EMP	LOYER			TYPE OF BUSINESS:			
				EMPLOYMENT TYPE:			
				Full time:			
				Part Time: (%)			
				Type of			
				contract: 200 series ALD/300			
				200 series			
			Permanent TA Continuing				
				FTA UNV SSA/IC			
				SC Other			
ADDRESS OF E	MPLOYER			NAME OF SUPERVISOR:			
				E-mail Address and Telephone No. of Supervisor:			

			Did you supervise staff? If so: Number of professional staff supervised:				
				Number of support staff supervised:			
Description of	your duties ar	nd related accon	nplishments	s:			
Reason for lea	ving:						
FROM	TO	SALARIES PER	ANNUM	FUN	NCTIONAL TITL	E: As specified in	your Letter of
Month/Year	Month/Yea		Final	App	oointment/Con	ntract:	
	r		(gross)		•	post (if applicabl	e):
					not indicate e		
NAN 45 OF 51 45) O) (ED					our post (if applica	able):
NAME OF EMP	LOYER			TYP	E OF BUSINES:	S:	
				EMI	PLOYMENT TY	PE:	
					time: 💹		
					t Time: 💹 (%)	T
				Тур			
				con	tract:	200 series	ALD/200
				$ \Box$	100 Series	Indefinite	ALD/300 series
				ΙH	Permanent	TA	Continuing
				lΗ	FTA	☐ UNV	SSA / IC
					SC		Other
ADDRESS OF E	MPLOYER			NAME OF SUPERVISOR:			
				E-mail Address and Telephone No. of Supervisor:			
				Did	you supervise	staff? If so:	
				Number of professional staff supervised:			
				Number of support staff supervised:			
Description of	your duties ar	nd related accon	nplishments	s:			
Reason for lea	ving:						
FROM	ТО	SALARIES PER	ANNUM	FUN	NCTIONAL TITL	E: As specified in	your Letter of
Month/Year	Month/Yea	Starting	Final	App	oointment/Con	ntract:	
	r	(gross)	(gross)		-	post (if applicabl	e):
				-	not indicate e	•	
						our post (if applica	able):
NAME OF EMPLOYER			TYPE OF BUSINESS:				

				EMPLOYMENT TYPE:			
				Full time:			
				Part Time: (%)			
				Type of			
				contract:			
				200 series			
				100 Series Indefinite series			
				Permanent TA Continuing			
				FTA UNV SSA/IC			
				SC Other			
ADDRESS OF E	MPLOYER			NAME OF SUPERVISOR:			
				E-mail Address and Telephone No. of Supervisor:			
				Did you supervise staff? If so:			
				Number of professional staff supervised:			
				Number of support staff supervised:			
Description of	your duties a	nd related acco	mplishments	S:			
Reason for lea	ving:						
FROM	ТО	SALARIES PER	ANNUM	FUNCTIONAL TITLE: As specified in your Letter of			
Month/Year	Month/Yea	Starting	Final	Appointment/Contract:			
, , , ,	r	(gross)	(gross)	UN Grade of your post (if applicable):			
		(3)	(9 /	(do not indicate equivalency)			
				Last UN step in your post (if applicable):			
NAME OF EMP	LOYER			TYPE OF BUSINESS:			
				EMPLOYMENT TYPE:			
				Full time:			
				Part Time: (%)			
				Type of			
				contract:			
				200 series ALD/300			
				100 Series Indefinite series			
				Permanent TA Continuing			
				☐ FTA ☐ UNV ☐ SSA / IC			
				SC Other			
ADDRESS OF E	MPLOYER			NAME OF SUPERVISOR:			
				E-mail Address and Telephone No. of Supervisor:			

				Did you supervise staff? If so:			
				Number of professional staff supervised:			
				Number of support staff supervised:			
Description of	your duties ar	nd related accon	nplishment	S:			
Reason for lea	ving:						
FROM	ТО	SALARIES PER	ANNUM	FUNCTIONAL TITLE: As specified in your Letter of			
Month/Year	Month/Yea	Starting	Final	Appointment/Contract:			
	r	(gross)	(gross)	UN Grade of your post (if applicable):			
				(do not indicate equivalency)			
				Last UN step in your post (if applicable):			
NAME OF EMP	PLOYER			TYPE OF BUSINESS:			
				EMPLOYMENT TYPE:			
				Full time:			
				Part Time: (%)			
				Type of			
				contract:			
				200 series	0		
				100 Series Indefinite series			
				Permanent TA Continuing			
				THE TOTAL TOTAL STATE OF THE ST			
				SC Other			
ADDRESS OF E	EMPLOYER	-		NAME OF SUPERVISOR:			
7.007.200 01 2				E-mail Address and Telephone No. of Supervisor:			
				5:1			
				Did you supervise staff? If so:			
				Number of professional staff supervised:			
				Number of support staff supervised:			
Description of	your duties ar	nd related accon	mplishment	5:			
Reason for lea	ving:						
20 Have your	any objections	to our making i	inquiries of				
(a) your prese	, ,		Yes				
(b) your previous employers? No Yes							
30. Are you no	· -	u ever been, a n	ational civil	servant in your government?			
No 🗌	Yes						
If "Yes", Indica	te dates of ser	vice:	Fur	nctions: Country:			

31. References: list three persons no who may be contacted for a reference	31. References: list three persons not related to you who are familiar with your character and qualifications and who may be contacted for a reference							
UNDP will not seek a reference from note that UNDP may seek references	your <i>current</i> employer without obtaining from your former employers	ng prior consent. However, please						
Full Name	Full Address, including E-Mail Address and Telephone Number	Name of Organization, Business or Occupation						
32. State any other relevant facts in stresidence outside the country of you	 support of your application. Include info r nationality	prmation regarding any periods of						
33. Have you ever been convicted, fined, or imprisoned for the violation of any law (excluding minor traffic violations)? No Yes If "Yes", give full particulars of each case in an attached statement								
34. Have you ever had disciplinary measures imposed on you, including dismissal or separation from service, on the grounds of misconduct? No Yes If "Yes", give full particulars of each case in an attached statement.								
35. Have you ever been separated from service on the grounds of unsatisfactory performance?								
No Yes If "Yes", give full particulars of each case in an attached statement.								
36. I certify that the information I have provided in the present document is true, complete and correct to the best of my knowledge. I understand that any misrepresentation or material omission made in this document may lead to the termination of my appointment or to dismissal. I understand this also applies to any other information or document requested by the Organization for the purpose of my recruitment to and employment with UNDP.								
In connection with this application, I authorize former employers and educational institutions to release information about my background to UNDP or its agent. My signature below releases the aforesaid parties providing information about me from any liability whatsoever in collecting and disseminating the information obtained.								
DATE: SIGNATURE:								

Note:

Applications for employment at UNDP must include a completed and signed Personal History form (P.11). By submitting a Personal History form, the applicant authorizes UNDP or its agent to verify and validate all information provided in the P.11. The P.11 form is not valid without signature. The signed P.11 form serves to release any party cited in the form from any liability whatsoever for releasing information to UNDP or its agent.

You may be requested to provide documentary evidence of the statements you have made above. Do not, however, send any documentary evidence until you have been asked to do so and, in any event, do not submit the originals of any references, testimonials or certificates of academic achievement unless they have been obtained for the sole use of UNDP.

If Degrees/Certificates are in foreign language, you may be required to provide official English translation at time of request.