

## INDIVIDUAL CONSULTANT PROCUREMENT NOTICE



Date: 27 May 2021

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**Country:** Thailand

**Description of the assignment:** Technical Consultant – Climate Change Financing Framework

**Duty Station:** Home-based with possible travel within Thailand

**Project name:** UNDP Thailand/NDC Support Project: Delivering Sustainability through Climate Finance Actions in Thailand (NDC Support)

**Period of assignment/services (if applicable):** June 2021 – 31 October 2021 (up to 40 working days).

To apply for this position, please click the link below:

[UNDP Jobs - 99387- Technical Consultant – Climate Change Financing Framework](#)

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### 1. BACKGROUND

Thailand has submitted ambitious Intended Nationally Determined Contribution (NDC) targets for reducing its greenhouse gas (GHG) emissions by 20 percent compared to business-as-usual (BAU) levels by 2030, and up to 25 percent emission reductions by 2030 subject to adequate and enhanced access to technology development and transfer, and financial resources and capacity-building support through the global agreement under the UNFCCC.

Along with the opportunity and momentum created by the Paris Agreement comes the important challenge of transforming Thailand's NDC into tangible actions that lead to long term, zero-carbon and climate-resilient development. As evident in its NDC submission, Thailand will need to be sustained financial, capacity building, and technical support to regularly prepare, implement, and report on national actions under the Paris Agreement, and more specifically against NDC targets, for reducing greenhouse gases.

Under the framework of UNDP's Asia-Pacific regional programme on Strengthening the Governance of Climate Change Finance to Enhance Gender Equality, the NDC Support Project will focus on providing necessary interventions to assist the Thai Government in undertaking public budget reforms that enable a more integrated approach to public investments that enhance NDC implementation and can incorporate multiple dimensions of the Sustainable Development Agenda – in particular climate change, gender equality and poverty.

Under Output 3: Capacities developed to design investment opportunities, address investor risks, and blend and catalyse climate finance, the process to develop Climate Change Financing Framework (CCFF) will be initiated (Output 3.2) to serve as a blueprint for a structured framework that enables a systematic response to climate change by linking policy frameworks with budgeting and ensuring transparent allocations and effective utilization of public resources. CCFF provides a roadmap for the country to integrate climate change into the public financial management system by identifying *entry points* based on legal, institutional and process analysis of country Public Financial Management (PFM) systems. It also helps create a robust monitoring system that can report on the volume and effectiveness of climate change-related expenditures. Thus, developing a CCFF is an effective way to mainstream climate change into the PFM cycle. The whole-of-government approach that underpins CCFF, engages all relevant stakeholders for mobilization, management and targeting of climate finance.

In the Asia-Pacific region, several countries such as Cambodia, Nepal and Pakistan have developed CCFF and implemented it with support from UNDP; Indonesia has developed its mitigation fiscal framework. It is noteworthy that the roadmap for CCFF implementation follows issues of prioritization based upon decisions by the government.

In 2019, as a part of the Advancing Budget Reform Project, a collaborative effort between the Budget Bureau (BB) and the Organisation for Economic Cooperation and Development (OECD), Thailand has completed a budgetary governance review<sup>1</sup> and laid out the gender budgeting action plan<sup>2</sup>. Thailand has been recommended to prepare an estimate of the cost of achieving environmental goals of the SDGs and to programme that cost into the medium-term fiscal framework (MTFF) [page 27, OCED (2020)'s Budgeting in Thailand]. Alongside the initiative of GIZ-ONEP-BB on climate budget coding, CCFF can serve as a comprehensive framework for influencing the budget formulation through policy analysis of the information generated from the CC budget coding and tagging system, also facilitating the RTG in estimating climate financing needs and closing the financing gaps.

## 2. OBJECTIVE, SCOPE OF WORK, RESPONSIBILITIES AND DESCRIPTION OF THE PROPOSED ANALYTICAL WORK

### Objective

The overall objective of the assignment is to provide technical assistance to the Royal Government of Thailand (RTG) in strengthening the integration of climate change finance in the PFM systems of the country. The specific objectives of the assignment are:

- i. To assess the level of integration of climate change into the public financial management (PFM) system and determine strategy and entry points for the RTG for climate-responsive planning and budgeting system based on existing policy priorities, needs, and capacities.
- ii. To present a roadmap for mainstreaming climate change into the planning and budget cycle, following issues of prioritization based upon decisions by the RTG.

<sup>1</sup> [https://www.oecd-ilibrary.org/governance/budgeting-in-thailand\\_819aebb7-en](https://www.oecd-ilibrary.org/governance/budgeting-in-thailand_819aebb7-en)

<sup>2</sup> [https://www.oecd-ilibrary.org/governance/thailand-gender-budgeting-action-plan\\_6c398fc7-en](https://www.oecd-ilibrary.org/governance/thailand-gender-budgeting-action-plan_6c398fc7-en)

## Scope of Work

With overall supervision from the Project Manager – NDC Support and in consultation with the team of advisors to UNDP Thailand and representatives of the Governance of Climate Change Finance team of UNDP Bangkok Regional Hub (GCCF-BRH), the consultant will provide the technical support to develop a Climate Change Financing Framework for Thailand. The objective will contribute towards achieving the programmatic output of budget processes increasingly formulate gender-responsive climate change-related investments that will have a positive impact on poverty and human rights.

The consultant will undertake the following tasks:

- i. Review the national analysis (draft) report, “Social dimension of climate change impacts in Thailand: Analysis of risks, policy, planning and finance” and the budget cycle, budget guidelines, budget calendar, roles and responsibilities of key stakeholders in those processes.
- ii. Assess the institutional framework for the governance of climate change finance and make recommendations on making it more effective for having a clear impact on carbon emissions, strengthening resilience and reduction of risks to the vulnerable population
- iii. Assess the level of integration of climate change into the public financial management (PFM) system, and propose a comprehensive whole of society approach that can lead to gender-sensitive and socially inclusive climate-responsive planning and budgeting.
- iv. Review in consultation with the Budget Bureau the relevant planning processes and templates for the integration of gender-responsive and socially inclusive climate change criteria for the approval of projects.
- v. Make recommendations on how the country can use the planning and budgetary reforms for achieving the NDCs.
- vi. Based on initial entry points identified, conduct consultations on the Climate Change Financing Framework to gain an understanding of priorities, needs, and capacities of relevant agencies in preparing the roadmap for mainstreaming climate change into the planning and budget cycle.
- vii. Participate in the required on-the-job capacity development process led by the Project team and the UNDP’s regional team in the application of the CCFF.
- viii. Present the draft CCFF and roadmap to the advisory group (i.e. NDC Support Project Board) for further feedbacks and endorsement
- ix. Once the CCFF and roadmap are finalized, lead the dissemination workshop targeted representatives from relevant agencies, the Parliament, and CSO.
- x. The CCFF should identify the different measures and steps in the short, medium and long term horizon.

## 3. REQUIREMENTS FOR EXPERIENCE AND QUALIFICATIONS

**Education:**

- Advanced Degree in Public Administration, Public Finance, Public Policy, Economics, *or* other closely related fields.

**Experience:**

- At least 7 years of professional experience in climate finance *or* public financial management is required.
- Experience in accessing and engaging with senior policymakers will be an advantage.
- Experience working with UNDP or other UN agencies, or an international organization is an asset

**Language:**

- Excellent written Thai and English language skills are required (proven by prior work conduct in both English and Thai)

**Competencies:****Functional Competencies:**

- Strong interpersonal skills, communication, coordination and diplomatic skills;
- Openness to change and the ability to receive and integrate feedback;
- Strong analytical, reporting and writing abilities; and
- Excellent speaking and presentation skills.

**4. DURATION OF ASSIGNMENT, DUTY STATION AND EXPECTED PLACES OF TRAVEL**

**Contract Duration:** June – 31 October 2021 within a timeframe of 40 working days.

**Duty Station:** Home-based with possible travel within Thailand

**5. FINAL PRODUCTS**

All the outputs/deliverables as stipulated in the table below needs to be reviewed and certified by the Project Manager – NDC Support at UNDP Thailand prior to the payment is released.

No	Deliverables/ Outputs	Target Due Dates	Review and Approvals Required
1	<p>Inception Report <u>in Thai with English executive summary</u> with following details:</p> <ul style="list-style-type: none"> <li>• A plan of work and methodology note on climate change financing framework (CCFF) preparation</li> <li>• The review and assessment on the level of integration of climate change into the public financial management (PFM) system</li> <li>• Identification of initial entry points for CCFF formulation.</li> </ul>	15 July 2021 (10 working days)	Thailand Country Office/Project Manager
3	<ol style="list-style-type: none"> <li>1. A final report on Climate Change Financing Framework and Reform Roadmap <u>in Thai with English executive summary</u>, incorporating results from consultations.</li> <li>2. A policy brief (no more than 4 pages) in Thai and English</li> <li>3. Successful facilitation of the dissemination workshop.</li> </ol>	15 October 2021 (30 working days)	

## 6. PROVISION OF MONITORING AND PROGRESS CONTROLS

Governance and Climate Finance Consultant will work under the direct supervision of the Project Manager – NDC Support and in close consultation and collaboration with other representatives of UNDP Thailand and GCCF-BRH.

## 7. DOCUMENTS TO BE INCLUDED WHEN SUBMITTING THE PROPOSALS.

Interested individual consultants must submit the following documents/information to demonstrate their qualifications. Please group them into **one (1) single PDF document** as the application only allows to upload maximum one document:

- **Letter of Confirmation of Interest and Availability** using the template provided in [Annex II](#).
- **Personal CV** indicating all past experience from similar projects, as well as the contact details (email and telephone number) of the Candidate and at least three (3) professional references.
- **Financial proposal**, as per template provided in [Annex II](#). Note: If an Offeror is employed by an organization/company/institution, and he/she expects his/her employer to charge a

management fee in the process of releasing him/her to UNDP under Reimbursable Loan Agreement (RLA), the Offeror must indicate at this point, and ensure that all such costs are duly incorporated in the financial proposal submitted to UNDP.

- **Brief description** of why the individual considers him/herself as the most suitable for the assignment and a methodology on how they will approach and complete the assignment.
- **Examples of prior relevant work** 1 in Thai and 1 in English

**Incomplete proposals may not be considered. The shortlisted candidates may be contacted and the successful candidate will be notified.**

## 8. FINANCIAL PROPOSAL

### Price Proposal and Schedule of Payments:

#### The contract will be based on Daily

The total amount quoted shall be all-inclusive and include all costs components required to perform the deliverables identified in the TOR, including professional fee, travel costs, living allowance (if any work is to be done outside the IC's duty station) and any other applicable cost to be incurred by the IC in completing the assignment. The contract price will be fixed output-based price regardless of extension of the herein specified duration. Payments will be done upon completion of the deliverables/outputs and as per below percentages:

No	Deliverables/ Outputs	Target Due Dates	Payment terms (% of contract value)	Review and Approvals Required
1	<p><u>Inception Report in Thai with English executive summary</u> with following details:</p> <ul style="list-style-type: none"> <li>• A plan of work and methodology note on climate change financing framework (CCFF) preparation</li> <li>• The review and assessment on the level of integration of climate change into the public financial management (PFM) system</li> <li>• Identification of initial entry points for CCFF formulation.</li> </ul>	15 July 2021	30%	Thailand Country Office/Project Manager
2	<ol style="list-style-type: none"> <li>1. A final report on Climate Change Financing Framework and Reform Roadmap <u>in Thai with English executive summary</u>, incorporating results from consultations.</li> <li>2. A policy brief (no more than 4 pages) in Thai and English</li> <li>3. Successful facilitation of the dissemination workshop.</li> </ol>	15 October 2021	70%	

In general, UNDP shall not accept travel costs exceeding those of an economy class ticket. Should the IC wish to travel on a higher class he/she should do so using their own resources.

In the event of unforeseeable travel not anticipated in this TOR, payment of travel costs including tickets, lodging and terminal expenses should be agreed upon, between the respective business unit and the Individual Consultant, prior to travel and will be reimbursed.

Travel costs shall be reimbursed at actual but not exceeding the quotation from UNDP approved travel agent.

## 9. EVALUATION METHOD AND CRITERIA

Individual consultants will be evaluated based on the following methodology;

### **Cumulative analysis**

The award of the contract shall be made to the individual consultant whose offer has been evaluated and determined as a) responsive/compliant/acceptable; and b) having received the highest score out of set of weighted technical criteria (70%) and financial criteria (30%). Financial score shall be computed as a ratio of the proposal being evaluated and the lowest priced qualified proposal received by UNDP for the assignment.

- Only those applications which are responsive, compliant and accept in general condition will be evaluated;
- For those who passing technical evaluation above, offers will be evaluated per the Combined Scoring method:
  - a. Technical Evaluation (70%)
  - b. Financial Evaluation (30%)

### **Technical Criteria for Evaluation (Maximum 100 points)**

- Criteria 1: Education background - Max 20 points;
- Criteria 2: Years of professional experience in climate finance or public financial management - Max 30 points;
- Criteria 3: Experience in accessing and engaging with senior policymakers - Max 20 points;
- Criteria 4: Language requirement - Max 15 points;
- Criteria 5: Experience working with UN agencies or international development organizations – Max 15 points;

Only candidates obtaining a minimum of 70 points (70% of total 100 points in technical evaluation) would be considered for Financial Evaluation.