

REQUEST FOR PROPOSAL (RFP-BD-2021-015)

	DATE: June 1, 2021
NAME & ADDRESS OF FIRM	REFERENCE: RFP-BD-2021-015

Dear Sir / Madam:

We kindly request you to submit your Proposal for Hiring a firm for Programme Evaluation of National Resilience Programme (NRP) of UNDP Bangladesh.

Proposals shall be submitted on or before 4.30 p.m. (local time) on Monday, June 14, 2021

Please be guided by the form attached hereto as **Annex 2**, in preparing your Proposal.

Proposals may be submitted on or before the deadline indicated by UNDP in the e-Tendering system. Bids must be submitted in the online e-Tendering system in the following link: https://etendering.partneragencies.org; using your username and password. If you have not registered in the system before, you can register now by logging in using

Username: event.guest **Password:** why2change

and follow the registration steps as specified in the **System User Guide**. Your Proposal must be expressed in the **English**, and valid for a **minimum period of 90 Days**. You are kindly requested to indicate whether your company intends to submit a Proposal by clicking on "**Accept Invitation**" in the system.

In the course of preparing and submitting your Proposal, it shall remain your responsibility to ensure that it submitted into the system by the deadline. The system will automatically block and not accept any bid after the deadline. Kindly ensure attaching the required supporting documents (*with file name less than 60 characters*) in pdf format which must be free from any virus or corrupted files. Proposals that are received by UNDP after the deadline indicated above, for whatever reason, shall not be considered for evaluation.

The Financial Proposal and the Technical Proposal files **MUST BE COMPLETELY SEPARATE** and **uploaded separately** in the system and clearly named as either "TECHNICAL PROPOSAL" or "FINANCIAL PROPOSAL", as appropriate. Each document shall include the Proposer's name and address. **The file with the "FINANCIAL PROPOSAL" must be encrypted with a password** so that it cannot be opened nor viewed until the Proposal has been found to pass the technical evaluation stage. Once a Proposal has been found to be responsive by passing the technical evaluation stage, UNDP shall request via email the Proposer to submit the password to open the Financial Proposal. The Proposer shall assume the responsibility for not encrypting the financial proposal.

PLEASE DO NOT PUT THE PRICE OF YOUR PROPOSAL IN THE 'LINE ITEMS' IN THE SYSTEM. INSTEAD PUT 1 AND UPLOAD THE FINANCIAL PROPOSAL AS INSTRUCTED ABOVE.

Services proposed shall be reviewed and evaluated based on completeness and compliance of the Proposal and responsiveness with the requirements of the RFP and all other annexes providing details of UNDP requirements.

The Proposal that complies with all of the requirements, meets all the evaluation criteria and offers the best value for money shall be selected and awarded the contract. Any offer that does not meet the requirements shall be rejected. Any discrepancy between the unit price and the total price shall be recomputed by UNDP, and the unit price shall prevail, and the total price shall be corrected. If the Service Provider does not accept the final price based on UNDP's re-computation and correction of errors, its Proposal will be rejected.

No price variation due to escalation, inflation, fluctuation in exchange rates, or any other market factors shall be accepted by UNDP after it has received the Proposal. At the time of Award of Contract or Purchase Order, UNDP reserves the right to vary (increase or decrease) the quantity of services and/or goods, by up to a maximum twenty-five per cent (25%) of the total offer, without any change in the unit price or other terms and conditions.

Any Contract or Purchase Order that will be issued as a result of this RFP shall be subject to the General Terms and Conditions attached hereto. The mere act of submission of a Proposal implies that the Service Provider accepts without question the General Terms and Conditions of UNDP, herein attached as Annex-3. Please be advised that UNDP is not bound to accept any Proposal, nor award a contract or Purchase Order, nor be responsible for any costs associated with a Service Providers preparation and submission of a Proposal, regardless of the outcome or the manner of conducting the selection process.

UNDP's vendor protest procedure is intended to afford an opportunity to appeal for persons or firms not awarded a Purchase Order or Contract in a competitive procurement process. In the event that you believe you have not been fairly treated, you can find detailed information about vendor protest procedures in the following link:

http://www.undp.org/content/undp/en/home/operations/procurement/business/protest-and-sanctions.html

UNDP encourages every prospective Service Provider to prevent and avoid conflicts of interest, by disclosing to UNDP if you, or any of your affiliates or personnel, were involved in the preparation of the requirements, design, cost estimates, and other information used in this RFP.

UNDP implements a zero tolerance on fraud and other proscribed practices, and is committed to preventing, identifying and addressing all such acts and practices against UNDP, as well as third parties involved in UNDP activities. UNDP expects its Service Providers to adhere to the UN Supplier Code of Conduct found in this link:

https://www.un.org/Depts/ptd/sites/www.un.org.Depts.ptd/files/files/attachment/page/pdf/unscc/conduct_english.pdf

Thank you and we look forward to receiving your Proposal.

Sincerely yours,

Krishna Raj Adhikari Senior Operations Manager UNDP Bangladesh 6/1/2021

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Annex 1

Description of Requirements

Context of the Requirement	Hiring a firm for Programme Evaluation of National Resilience Programme (NRP) of UNDP Bangladesh
Implementing Partner of UNDP	National Resilience Programme (NRP) of UNDP
Brief Description of the Required Services	This evaluation aims to measure the contributions made by the NRP as defined in the results framework (Annex-A) as well as to assess different aspects of the programme based on the evaluation criteria (relevance, efficiencies, effectiveness, coherence, impact and sustainability), lessons learned and recommended follow-up actions.
	The National Resilience Programme (NRP) is a unique partnership between the Bangladesh government and the United Nations Development Programme (UNDP), UN Women, and United Nations Office for Project Services (UNOPS), that provides strategic support to develop national capacity to keep pace with the changing nature of disasters. The programme has been designed with financing from the Foreign Commonwealth & Development Office (FCDO, UK previously known as DFID) and the Swedish International Development Cooperation Agency (SIDA).
	National Resilience Program (NRP) has the following sponsoring ministries-Ministry of Disaster Management and Relief, Ministry of Planning, Ministry of Local Government, Rural Development & Cooperatives, and Ministry of Women and Children Affairs. This is a joint programme being implemented by four Government agencies of Bangladesh: Department of Disaster Management, Programming Division of Bangladesh Planning Commission, Department of Women Affairs and Local Government Engineering Department. According to the latest approved joint programme document, the timeline of NRP is 1 May 2017 – 31 December 2021, although the programme kicked off in late 2018. It has been decided by the Joint Programme Steering Committee to revise programme timeline from 1 Jan 2018 to 31 December 2021 to address the delayed start and achieving targeted results. (For the details, please visit http://www.nrpbd.org/index.php).
	The purpose of this evaluation is to assess the results of the National Resilience Programme as guided by the Theory of Change (ToC) and based on strategic actions throughout implementation. The programme evaluation will assess the results achieved in terms of policy support and institutionalization through interventions over the years. It will identify value additions in terms of knowledge /skill enhancement and sharing towards facilitating national resilience. It will also explore the gaps and areas of focus, which need further attention for promoting national resilience. Additionally, this evaluation will have a strategic approach to identify potential sectoral collaboration, and approaches/ areas of scaling up that should be taken forward to build resilient Bangladesh.
List and Description of Expected Outputs to be Delivered	The consulting firm will present the appropriate work modality/approach detailed in the ToR. Other interim reports may be produced to facilitate the completion of the assignment at the discretion of the consulting firm. The firm will ensure comprehensive, coherent, high quality reporting, in a clear and professional format in due consultation with the UNDP team.

Note that the selected firm will get total of 60 days from the date of contract signing. The detailed breakdown of submitting deliverables and duration is given in table 02.

Phase	Duration	Proposed time
Inception work:	8 days	Within 2
- Review necessary documents	ouays	weeks of
•		signing the
- Prepare Inception report and detailed methodologies		contract
notes, including 1) overall evaluation study		Contract
strategies, 2) detailed work plan, 3) sampling		
strategies (household sampling and coverage of		
FGDs/KIIs), 4) evaluation matrix, 5) data collection methodologies & protocols, 6) data quality control		
methods, 7) data analysis methodologies, and 8)		
gender assessment plan.The inception report should include the coordination		
and mode of engagement of team members.		
Develop a time-bound work plan.Submit draft inception report and detailed		
methodology note to UNDP		
 Organize an inception meeting with UNDP and NRP to 		
finalize evaluation framework and methodologies		
- Submit final inception report and obtain approval		
from UNDP including detailed methodologies		
from ondi mending detailed methodologies		
Data collection tools development:	4 Days	Within 3
- Develop data collection tools and protocols (i.e.		weeks of
survey questionnaires, checklist, survey protocols,		signing the
data quality assurance mechanism)		contract
- Present data collection tools to UNDP/ NRP		
management		
 Field test data collection tools 		
- Finalize data collection tools incorporating the		
feedback of field testing		
Data collection and analysis:	33 days	Within 9
- Provide training to onboard enumerators on data	_	weeks of
collection tools and methods		signing the
- Collect data from the agreed sources using agreed		contract
tools and methods		
- Conduct data quality assurance		
- Data entry into the software		
- Data processing		
- Conduct data analysis		
- Triangulate/ analyze findings from desk review,		
stakeholders' interview, and KIIs		
- Debrief key findings to the UNDP CO and the		
stakeholders		

	 Reporting: Prepare and submit the draft evaluation report and 4-6-page synopsis of the evaluation report Organize a sharing meeting for UNDP and relevant stakeholders Incorporate feedback and comments from UNDP and stakeholders Submit a final report and a summary report to UNDP together with other deliverables 	15 days	Within 12 weeks of signing the contract
Person to Supervise the Work/Performance of the Service Provider	The consultant firm will work under the overall super Specialist - Disaster and Resilience, R&IG Cluster.	rvision of t	the Programme
Frequency of Reporting	As indicated in the TOR		
Progress Reporting Requirements Location of work	As indicated in the TOR ☑ As indicated in the TOR		
Expected duration of work	This consultancy work will require a total of 60 days from signing over a period of 03 months.	m the date	of contract
Target start date Latest completion date	First week of July 2021 First Week of October 2021		
Travels Expected Special Security Requirements	As indicated in the ToR ☐ Security Clearance from UN prior to travelling ☐ Completion of UN's Basic and Advanced Security Train ☐ Comprehensive Travel Insurance ☑ Others Not Applicable	ning	
Facilities to be Provided by UNDP (i.e., must be excluded from Price Proposal)	All costs related to this assignment including logist accommodation, travel etc. shall be borne by the contrapay the lump sum amount as per contract and shall be milestones as per the ToR.	actor. Whe	reas UNDP will
Implementation Schedule indicating breakdown and timing of activities/sub- activities	⊠ Required □ Not Required		
Names and curriculum vitae of individuals who will be involved in completing the services	⊠ Required □ Not Required		

Currency of	☑ United States Dollars USI)			
Proposal	□ Euro				
	☑ Local Currency BDT				
Value Added Tax on Price Proposal	☐ must be exclusive of VAT and other applicable indirect taxes.				
on the troposal	As per Article II, Section 7, of the Convention on the Privileges and immunities provides, that the United Nations including UNDP as a subsidiary organ of General Assembly of the United Nations, is exempt from all direct taxes, custom restriction, duties for its official use. UNDP will provide the contractor a VAT Exemption Certificate covering the subject procurement.				
	Any incurred VAT/Tax an	ount shoul	d be shown	in a separate	e line.
Validity Period of Proposals (Counting for the last day of submission of quotes)	□ 60 days □ 90 days □ 120 days In exceptional circumstances, UNDP may request the Proposer to extend the validity of the Proposal beyond what has been initially indicated in this RFP. The Proposal shall then confirm the extension in writing, without any modification				
Partial Quotes	whatsoever on the Proposa ⊠ Not permitted	41.			
		Estimated	Tentative	Payment	Review and
Payment Terms	Deliverables/ Outputs	duration	Due Dates	Schedule	Approvals Required
	Submission of Inception report and detailed methodologies notes, including 1) overall evaluation study strategies, 2) detailed work plan, 3) sampling strategies (household sampling and coverage of FGDs/ KIIs), 4) evaluation matrix, 5) data collection methodologies & protocols, 6) data quality control methods, 7) data analysis methodologies, and 8) gender assessment plan and evaluation matrix Submission of all data collection tools and protocols [After satisfactory quality	12 days	Third week of July 21	25% of the contracted amount	NRP/ Deputy Resident Representative, UNDP Bangladesh/ Head of R&IG Cluster, UNDP Bangladesh/ M&E focal point, UNDP Bangladesh
	check by NRP & UNDP] Submission of Draft evaluation report	33 days	First week of September 21	50% of the contracted amount	

Submi	ssion of Final	15 days	Fourth	25% of the	
	tion report,	15 days	week of	contracted	
	orating the feedback		September	amount	
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	uays consultant wise	60 days		100%	
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C	☑ Highest Combined Score (based on the 70% technical offer and 30% price				
Contract Award weight	weight distribution)				
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	als will be screened	based on the	ne minimum	eligibility cr	iteria mentioned
Proposal below:					
Minim	um eligibility criter	ia of the co	ncultan <i>e</i> v fi	rmı	
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The mi	nimum qualifications	s of the firm	are as follow	7S-	
•	Profile (which shou	ld not excee	d fifteen (15	5) pages inclu	ding any printed
	Profile (which should not exceed fifteen (15) pages including any printed brockure relevant to the corriese being prograd), describing the neture.				
	brochure relevant to the services being procured) – describing the nature				
	of business, field of	expertise, lic	enses, certif	ications, accre	editations.
	The firm must be a	registered	entity. Und	ated Registra	tion Paners. Tax
	Payment Certification	J		· ·	azon raporo, ran
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reputation, etc.

- At least five years of experience in evaluating projects in the areas of policy reform and institutional capacity strengthening for disaster management including disaster response, recovery, women's right and Gender Equity, Climate Change and Resilience and infrastructure-based projects. The firm needs to submit successful completion certificates of the relevant experiences stated with the proposal.
- Have experience of conducting at least 03 similar types of assignments in
 the last five years (macro project or policy level) evaluation in the areas of
 Disaster Risk Information/Climate adaptation or Resilience for the UN
 Agencies, GoB, or multilateral agencies like ADB, World Bank etc. The firm
 needs to submit successful completion certificates of the relevant
 experiences stated with the proposal.
- Written Self-Declaration that the company is not in the UN Security Council 1267/1989 List, UN Procurement Division List, or Other UN Ineligibility List.

Special Note:

- No involvement in the design and implementation of the NRP project. Any individual of the selected firm who had prior involvement in the design and implementation of the NRP project or those who have been directly or indirectly related to the NRP project are not eligible for this consultancy due to conflict of interests.
- Firms that do not meet the above eligibility criteria shall not be considered for further evaluation. Necessary documentation must be submitted to substantiate the above eligibility criteria.
 - ** Proposal submission in Joint Venture /Consortium is allowed.**

Along with other manpower required for carrying out the assignment, the firm should provide detailed information and curriculum vitae of the following key personnel with the Proposal: This assignment requires senior and professional advice based on substantive experience and qualifications of the contracting firm, based on a team approach comprising of four national level consultants and a Lead Consultant (International) (Figure 01).

Additionally, UNOPS and UN Women will provide two experts to support the evaluation. Both experts will work in close collaboration with four (04) national consultants of this assignment and team leader. Moreover, there will be two (02)

field supervisor and Twelve (12) data enumerators to support the experts in data collection and processing. The structure of the programme evaluation team as follows:

Lead Consultant
(International)

DRR & Resilience
Expert
(National)

Policy &
Institutional
Expert (National)

(National)

Gender Expert
(National)

<u>Lead Consultant as Team Leader/Lead Researcher:</u> Minimum eligibility criteria of Team Leader/Lead Researcher are in the following-

I. Academic Qualifications:

a. Minimum Masters' degree or equivalent in Disaster Management, Environmental Management, Social Sciences, Development Evaluation, Development Studies/Management or other relevant fields.

II. Professional Qualifications:

- a. At least 15 years of proven experience in the field of disaster management including disaster response, recovery, capacity building and/ policy advocacy.
- b. Have experience in conducting at least 03 macro project/similar project evaluation in Disaster Risk Information, Climate adaptation, and Resilience for the UN agencies, GoB or multilateral agencies like ADB, World Bank, etc. The consultant shall provide relevant completed assignments/research/publications/or relevant link to be enclosed with the CV.
- c. Proven experience and knowledge on women's rights and Gender Equity, DRR, Climate Change and Resilience, Volunteerism approach, infrastructure, Policies and operational system, private sector resilience, and participatory development programme.
- d. Extensive Experience at the international level in conducting evaluations or assessments of programs, projects and policies in disaster management including disaster response, recovery, capacity building and/policy advocacy.
- e. Proven experience with result-based management evaluation methodologies.

f. Must have excellent report writing skills and presenting reports to a highly professional and policy level. (sample of reports/links etc. to be shared)

DRR & Resilience Expert:

Minimum eligibility criteria of DRR & Resilience Expert -

I. Academic Qualifications:

 a. Minimum Masters' degree or equivalent in Civil Engineering/ Hydrology/ Geology, Environmental Science/ Management/ Climate Change Science/ Disaster Management/ Physics/ International Development/ Mathematics/ Statistics or any other related fields.

II. Professional Qualifications:

- a. At least 07 years of on-hand experience of inclusive disaster risk management especially in the areas of risk-informed, gender-responsive and disability-inclusive planning.
- b. Experience of at least 03 completed assignments with a good understanding of risk-informed planning, DRR, Climate adaptation, and Resilience List of completed assignments/research/publications to be enclosed with the CV.
- c. Experience in conducting evaluations or assessment of programs, projects and policies in disaster management including disaster response, recovery, capacity building and/policy advocacy
- d. Proven experience of working with a multi-disciplinary team.

Infrastructure Expert:

Minimum eligibility criteria for Infrastructure Expert -

I. Academic Qualifications:

a. Minimum Master's degree in a discipline relevant to civil engineering, development planning, project management, or other closely related fields.

II. Professional Experience:

- a. At least 07 years' experience in infrastructure in the development context at a strategic/advisory level, with a focus on resilience, capacity enhancement and organizational change.
- b. Have experience of conducting at least 03 national-level macro project evaluations in similar areas. List of completed assignments/research/publications to be enclosed with the CV.
- c. Demonstrated understanding of issues related to gender responsive infrastructure will be an asset

Policy & Institutional Expert:

Minimum eligibility criteria of Policy & Institutional Expert -

I. Academic Qualifications:

a. Minimum Masters' degree or equivalent in disaster management, environmental studies, Economics, Political Science, public administration, Law, development studies/management, or other relevant fields.

II. Professional Qualifications:

- a. Minimum 07 years of working experiences in policy and institutional issues at a senior level, preferably in disaster, and climate change areas
- b. Experience in completing at least 03 assignments in a similar field. List of completed assignments/research/publications to be enclosed with the CV.
- c. Proven experience of Government development planning process, including Annual Development Programme, Five Year Plans, particularly about project formulation, and appraisal.
- d. Expertise in policy and governance analysis in climate change and disaster sectors.

Gender Expert:

Minimum eligibility criteria for National Gender Expert

I. Academic Qualification:

a. Minimum Master's degree in social sciences (i.e. gender studies, sociology, demography, psychology, political science etc.), disaster management, environmental sciences, development studies.

II. Professional Qualifications:

- a. A minimum of 07 years of experience in the field of gender equality and disaster risk management
- b. Experience in completing at least 03 assignments in a similar field. List of completed assignments/research/publications to be enclosed with the CV.
- c. A proven track record in conducting evaluations or assessment of programs, projects and policies, analytical reports and publication in gender and disaster risk management issues.
- d. Extensive experience and expertise in gender analysis, gender mainstreaming, and women's empowerment in Bangladesh
- e. Specific expertise on the nexus of disaster risk reduction, and gender equality, and gender-responsive programming will be an asset.

<u>Field Coordinator/ Field Supervisor (Position 02):</u>

Minimum eligibility criteria for Field Coordinator-

Academic Qualifications:

a. Minimum Master's in social sciences. Degree in disaster management, environmental management, social sciences, development evaluation, development studies/management.

II. Professional Qualifications:

- a. Minimum 5 years of progressive experience in conducting/coordinating research, assessments, reviews and evaluation of similar nature.
- b. At least 2 assignments related to data collection and management of survey/research and evaluation. (List of completed research to be enclosed.)
- c. Proven experience to lead large-scale data collection in the field.

Data Enumerators (Position 12):

Minimum eligibility criteria of Data Enumerators:

I. Academic Qualifications:

 Bachelor's in social sciences. Degree disaster management, environmental management, social sciences, development evaluation, development studies/management

II. Professional Qualifications:

- a. Minimum 2 years of progressive experience in conducting research, assessments, reviews and evaluation of similar nature.
- b. At least two assignments focusing disaster related research.
- c. Experience in field data collection.

Note: It is recommended that the firm keep adequate representation of female in team formation. The firm can add more field coordinators and data enumerators if necessary, with providing justification.

Competencies:

All the key personnel must possess the below competencies.

Corporate Competencies:

- Demonstrates integrity by modeling the UN's values and ethical standards (human rights, peace,
- understanding between peoples and nations, tolerance, integrity, respect, and impartiality;
- Promotes the vision, mission, and strategic goals of UNDP;
- Displays cultural, gender, religion, race, nationality and age sensitivity and adaptability.

Functional Competencies:

- Excellent skills in writing, editing and oral communication skills in English, and Bangla is a must for four national consultant positions;
- Ability to discuss with government senior officials, private sector and development partners;

- Understanding of Joint UN Programmes experience will be an asset
- Experience of working in Bangladesh.
- Ability to work with multi-disciplinary group of people
- Demonstrates openness to change, flexibility, and ability to manage complexities;

Note: Necessary documents must be submitted to substantiate the above eligibility criteria. Consultancy firm that do not meet the above eligibility criteria shall not be considered for further evaluation. The firm must provide signed CV's of all proposed personnel for the assignment, stating name, highest academic qualification, professional certification, length of experience, role/function or other related information.

Technical Proposal (70%)

⊠ Expertise of the Firm

☑ Methodology, Its Appropriateness to the Condition and Timeliness of the Implementation Plan

☑ Management Structure and Qualification of Key Personnel

Summ	Summary of Technical Proposal Evaluation Forms		
Sullilli	Summary of Technical Proposal Evaluation Forms		
1	Bidder's qualifications, capacity and experience	200	
2	Proposed Method, Working Approach and	300	
2	Implementation Plan	300	
3	Management Structure and Key Personnel	200	
4	Financial proposal	300	
	Total	1000	

Section	1. Bidder's qualification, capacity and experience	Points obtainable
1.1	The reputation of Firm Organization and Staff Credibility / Reliability / Industry Standing / Litigation and Arbitration History	30
1.2	Experience in evaluating projects in the areas of policy reform and institutional capacity strengthening for disaster management including disaster response, recovery, women's right and Gender Equity, Climate Change and Resilience and infrastructures-based projects.	50
1.3	Have experience of similar types of assignments (macro project or policy level) of evaluation in the areas of Disaster Risk Information/Climate adaptation or Resilience for the UN Agencies, GoB, or multilateral agencies like ADB, World Bank etc.	100
1.4	Experience in strong project management skills and a good understanding of macro level policy/programming evaluation	20

Total Section 1		200
similar mission	on-driven organizations	
as well as wo	rking experience with the United Nations or	

Section	2. Proposed Method, Working Approach and Implementation	Points
Plan		obtainable
	Description of the Bidder's working approach and methods	
2.1	for meeting or exceeding the requirements of the Terms of	120
	Reference	
2.2	Description of the Bidder's approach and tools to the	120
2.2	assignment	120
2.2	Quality of the proposed work plan and operation plan	60
2.3	including risk management plan	60
Total Se	ection 2	300

Section	3. Management Structure and Key Personnel	Points obtainable
3.1	Composition and structure of the team proposed. Are the proposed roles of the management and the team of key personnel suitable for the provision of the necessary services?	20
3.2	Qualifications of key personnel proposed	
3.2.1	Project Manager or Team Leader (education and relevant experience – 2 criteria)	50
	Expert Name	
	Minimum Masters In relevance of education	10
	Experience in the relevant areas	20
	Experience in conducting macro project/similar project evaluation in Disaster Risk Information, Climate adaptation, and Resilience for the UN agencies, GoB or multilateral agencies like ADB, World Bank, etc.	20
3.2.2	Expert -1: DRR and resilient Expert, infrastructure Expert, Policy & Institutional Expert, and Gender expert) (Number of Position: 04)	100
	Experts' name	
	Combined experience in the relevant areas	40
	Knowledge and experience of the Bangladesh context	20
	Proven experience in the similar assignments	40
3.2.3	Field Coordinator (Number of Position: 02)	30
	Expert's name	

	Experiences in in respective areas	15	
	Experience in the similar assignments	15	
	Total Section 3	200	
	Financial Proposal (30%)		
	To be computed as a ratio of the Proposal's offer to the lowest proposals received by UNDP.	price among	the
UNDP will award the contract to:	☑ One and only one Service Provider		
Annexes to this	☒ Form for Submission of Proposal (Annex 2)		
RFP	☑ General Terms and Conditions / Special Conditions (Annex	(3)	
	☑ Detailed TOR (Annex 4)		
	☑ Others [Written Self-Declaration (Annex 5)		
Contact Person for Inquiries (Written inquiries only)	UNDP Procurement Cluster, UNDP Bangladesh bd.procurement@undp.org If any potential company has any query about the RFP document, submitted to the UNDP contact person mentioned above through bd.procurement@undp.org within 07 June June 2021 by 11am.		ž
	"Attn. BD Procurement- Queries on RFP-BD-2021-015- His Programme Evaluation of National Resilience Programme Bangladesh Any delay in UNDP's response shall be not used as a reason for ex deadline for submission, unless UNDP determines that such an ex necessary and communicates a new deadline to the Proposers.	(NRP) of UN	
Other Information [pls. specify]	* For attention: The Financial Proposal and the Technical Proposal files MUST BE uploaded SEPARATELY. Financial proposal must be 'password' pr		nd
	Join Zoom Meeting (Click on this)		
	E-mail: bd.procurement@undp.org		

Annex 2

FORM FOR SUBMITTING SERVICE PROVIDER'S PROPOSAL

(This Form must be submitted only using the Service Provider's Official Letterhead/Stationery)

[insert: Location].
[insert: Date]

To: [insert: Name and Address of UNDP focal point]

Dear Sir/Madam:

We, the undersigned, hereby offer to render the following services to UNDP in conformity with the requirements defined in the RFP dated [specify date], and all of its attachments, as well as the provisions of the UNDP General Contract Terms and Conditions:

A. Qualifications of the Service Provider

Minimum eligibility criteria of the consultancy firm:

- Profile (which should not exceed fifteen (15) pages including any printed brochure relevant to the services being procured) describing the nature of business, field of expertise, licenses, certifications, accreditations.
- The firm must be a registered entity. Updated Registration Papers, Tax Payment Certification, etc. must be submitted.
- Latest Audited Financial Statement income statement and balance sheet to indicate its financial stability, liquidity, credit standing, and market reputation, etc.
- At least five years of experience in evaluating projects in the areas of policy reform and
 institutional capacity strengthening for disaster management including disaster response,
 recovery, women's right and Gender Equity, Climate Change and Resilience and
 infrastructures based projects. The firm needs to submit successful completion certificates
 of the relevant experiences stated with the proposal.
- Have experience of conducting at least 03 similar types of assignments in the last five years (macro project or policy level) evaluation in the areas of Disaster Risk Information/Climate adaptation or Resilience for the UN Agencies, GoB, or multilateral agencies like ADB, World Bank etc.
- Written Self-Declaration that the company is not in the UN Security Council 1267/1989 List,
 UN Procurement Division List, or Other UN Ineligibility List.

Minimum eligibility criteria of key personnel:

The firm should provide detailed information and curriculum vitae of the following key personnel with the Proposal:

Lead Consultant as Team Leader/Lead Researcher:

Minimum eligibility criteria of Team Leader/Lead Researcher are in the following:

I. Academic Qualifications:

b. A Minimum Masters' degree or equivalent in Disaster Management, Environmental Management, Social Sciences, Development Evaluation, Development Studies/Management or other relevant fields.

II. Professional Qualifications:

- g. At least 15 years of proven experience in the field of disaster management including disaster response, recovery, capacity building and/policy advocacy.
- h. Have experience in conducting at least 03 macro project/similar project evaluation in Disaster Risk Information, Climate adaptation, and Resilience for the UN agencies, GoB or multilateral agencies like ADB, World Bank, etc. The consultant shall provide relevant completed assignments/research/publications/or relevant link to be enclosed with the CV.
- i. Proven experience and knowledge on women's rights and Gender Equity, DRR, Climate Change and Resilience, Volunteerism approach, infrastructure, Policies and operational system, private sector resilience, and participatory development programme.
- j. Extensive Experience at the international level in conducting evaluations or assessments of programs, projects and policies in disaster management including disaster response, recovery, capacity building and/policy advocacy.
- k. Experience with result-based management evaluation methodologies.
- l. Must have excellent report writing skills and presenting reports to a highly professional and policy level.

DRR & Resilience Expert:

Minimum eligibility criteria of DRR & Resilience Expert -

I. Academic Qualifications:

b. A Minimum Masters' degree or equivalent in Civil Engineering/ Hydrology/ Geology, Environmental Science/ Management/ Climate Change Science/ Disaster Management/ Physics/ International Development/ Mathematics/ Statistics or any other related fields.

II. Professional Qualifications:

e. At least 07 years of on-hand experience of inclusive disaster risk management especially in the areas of risk-informed, gender-responsive and disability-inclusive planning.

- f. Experience of at least 03 completed assignments with a good understanding of risk-informed planning, DRR, Climate adaptation, and Resilience List of completed assignments/research/publications to be enclosed with the CV.
- g. Experience in conducting evaluations or assessment of programs, projects and policies in disaster management including disaster response, recovery, capacity building and/ policy advocacy
- h. Proven experience of working with a multi-disciplinary team.

Infrastructure Expert:

Minimum eligibility criteria for Infrastructure Expert -

I. Academic Qualifications:

b. Master's degree in a discipline relevant to civil engineering, development planning, project management, or other closely related fields.

II. Professional Experience:

- d. At least 07 years' experience in infrastructure in the development context at a strategic/advisory level, with a focus on resilience, capacity enhancement and organizational change.
- e. Have experience of conducting at least 03 national-level macro project evaluations in similar areas. List of completed assignments/research/publications to be enclosed with the CV.
- f. Demonstrated understanding of issues related to gender responsive infrastructure will be an asset

Policy & Institutional Expert:

Minimum eligibility criteria of Policy & Institutional Expert -

I. Academic Qualifications:

b. Minimum Masters' degree or equivalent in disaster management, environmental studies, Economics, Political Science, public administration, Law, development studies/management, or other relevant fields.

II. Professional Qualifications:

- e. Minimum 07 years of working experiences in policy and institutional issues at a senior level, preferably in disaster, and climate change areas
- f. Experience in completing at least 03 assignments in a similar field. List of completed assignments/research/publications to be enclosed with the CV.
- g. Proven experience of Government development planning process, including Annual Development Programme, Five Year Plans, particularly about project formulation, and appraisal.
- h. Expertise in policy and governance analysis in climate change and disaster sectors.

Gender Expert:

Minimum eligibility criteria for National Gender Expert

I. Academic Qualification:

b. Advanced university degree social sciences (i.e. gender studies, sociology, demography, psychology, political science etc.), disaster management, environmental sciences, development studies.

II. Professional Qualifications:

- f. A minimum of 07 years of experience in the field of gender equality and disaster risk management
- g. Experience in completing at least 03 assignments in a similar field. List of completed assignments/research/publications to be enclosed with the CV.
- h. A proven track record in conducting evaluations or assessment of programs, projects and policies, analytical reports and publication in gender and disaster risk management issues.
- i. Extensive experience and expertise in gender analysis, gender mainstreaming, and women's empowerment in Bangladesh
- j. Specific expertise on the nexus of disaster risk reduction, and gender equality, and gender-responsive programming will be an asset.

Field Coordinator/ Field Supervisor (Position 02):

Minimum eligibility criteria for Field Coordinator-

Academic Qualifications:

a. Minimum master's in social sciences. Degree in disaster management, environmental management, social sciences, development evaluation, development studies/management.

II. Professional Qualifications:

- d. Minimum 5 years of progressive experience in conducting/coordinating research, assessments, reviews and evaluation of similar nature.
- e. At least 2 assignments related to data collection and management of survey/research and evaluation. (List of completed research to be enclosed.)
- f. Proven experience to lead large-scale data collection in the field.

<u>Data Enumerators (Position 12):</u> (A list of enumerators with educational qualification and brief experience should be provided. Detail CVs are not required)

Minimum eligibility criteria of Data Enumerators:

I. Academic Qualifications:

d. Bachelor's in social sciences. Degree disaster management, environmental management, social sciences, development evaluation, development studies/management

II. Professional Qualifications:

- a. Minimum 2 years of progressive experience in conducting research, assessments, reviews and evaluation of similar nature.
- e. At least two assignments focusing disaster related research.
- f. Experience in field data collection.

Note: It is recommended that the firm keep adequate representation of female in team formation. The firm can add more field coordinators and data enumerators if necessary, with providing justification.

Please follow recommended format as per ToR (Format for CV of Proposed Key Personnel On Page 53 of ToR)

Note: Proposers must submit necessary documents to substantiate above eligibility criteria. Proposals which shall not submit/meet above mentioned eligibility criteria will not be considered for further evaluation.

B. Proposed Methodology for the Completion of Services

The Service Provider must describe how it will address/deliver the demands of the RFP; providing a detailed description of the essential performance characteristics, reporting conditions and quality assurance mechanisms that will be put in place, while demonstrating that the proposed methodology will be appropriate to the local conditions and context of the work. (As indicated in ToR attached below)

C. Qualifications of Key Personnel

If required by the RFP, the Service Provider must provide: (As per format provided in ToR below)

- a) Names and qualifications of the key personnel that will perform the services indicating who is Team Leader, who are supporting, etc.;
- b) CVs demonstrating qualifications must be submitted if required by the RFP; and
- c) Written confirmation from each personnel that they are available for the entire duration of the contract.

D. Cost Breakdown by Cost Component (<u>The file with the "FINANCIAL PROPOSAL" must be encrypted with a password</u>)

Currency of the proposal: USD/BDT

Table A: Summary of Overall Prices

	Amount(s)
Professional Fees (from Table 2)	
Other Costs (from Table 3)	
Total Amount of Financial	
Proposal	

Table B: Breakdown of Professional Fees

Name	Position	Fee Rate	No. of Days/months/ hours	Total Amount
		A	В	C=A+B
Subtotal Prof	Subtotal Professional Fees:			

Table C: Breakdown of Other Costs (*)

Description	иом	Quantity	Unit Price	Total Amount	
Flights (international/domestic) *	R/T				
Subsistence allowance	Day				
Miscellaneous travel expenses	Lump sum				
Local transportation costs	Lump Sum				
Out-of-Pocket Expenses					
Other Costs: (please specify)					
All TAX and VAT					
Subtotal Other Costs:	Subtotal Other Costs:				

Table D: Breakdown of Price per Deliverable/Activity

Sl.no. Deliverable/ Activity description	Time (person days)	Professional Fees	Other Costs	Total
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detailed methodologies notes, including: 1) overall evaluation study strategies, 2) detailed work plan, 3)sampling strategies (household sampling and coverage of FGDs), 4) data collection methodologies & protocols, 5) data quality control methods, 6) data analysis methodologies, and 7) gender assessment plan. and evaluation matrix Submission of all data collection tools and protocols [After satisfactory quality check by NRP & UNDP] 2. Submission of Draft evaluation Report 3. Submission of Final Evaluation report, incorporating the feedback and comments. (including Data Collection Tools, Checklists, Questionnaires, Datasets (if any) [After satisfactory quality check by NRP & UNDP] Total:	1.	Submission of Inception report and		
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[After satisfactory quality check by NRP & UNDP]				
NRP & UNDP]				
Total:		•		
		Total:		

Note: Where necessary, please provide detail estimate of some of the line items (like allowances, printing etc.) in separate tables.

The cost of preparing a proposal and of negotiating a contract, including any related travel, is not reimbursable as a direct cost of the assignment.

[Name and Signature of the Service Provider's Authorized Person]
[Designation]
[Date]

Annex 3

General Terms and Conditions for Services

1.0 LEGAL STATUS:

The Contractor shall be considered as having the legal status of an independent contractor visà-vis the United Nations Development Programme (UNDP). The Contractor's personnel and sub-contractors shall not be considered in any respect as being the employees or agents of UNDP or the United Nations.

2.0 SOURCE OF INSTRUCTIONS:

The Contractor shall neither seek nor accept instructions from any authority external to UNDP in connection with the performance of its services under this Contract. The Contractor shall refrain from any action that may adversely affect UNDP or the United Nations and shall fulfill its commitments with the fullest regard to the interests of UNDP.

3.0 CONTRACTOR'S RESPONSIBILITY FOR EMPLOYEES:

The Contractor shall be responsible for the professional and technical competence of its employees and will select, for work under this Contract, reliable individuals who will perform effectively in the implementation of this Contract, respect the local customs, and conform to a high standard of moral and ethical conduct.

4.0 ASSIGNMENT:

The Contractor shall not assign, transfer, pledge or make other disposition of this Contract or any part thereof, or any of the Contractor's rights, claims or obligations under this Contract except with the prior written consent of UNDP.

5.0 SUB-CONTRACTING:

In the event the Contractor requires the services of sub-contractors, the Contractor shall obtain the prior written approval and clearance of UNDP for all sub-contractors. The approval of UNDP of a sub-contractor shall not relieve the Contractor of any of its obligations under this Contract. The terms of any sub-contract shall be subject to and conform to the provisions of this Contract.

6.0 OFFICIALS NOT TO BENEFIT:

The Contractor warrants that no official of UNDP or the United Nations has received or will be offered by the Contractor any direct or indirect benefit arising from this Contract or the award thereof. The Contractor agrees that breach of this provision is a breach of an essential term of this Contract.

7.0 INDEMNIFICATION:

The Contractor shall indemnify, hold and save harmless, and defend, at its own expense, UNDP, its officials, agents, servants and employees from and against all suits, claims, demands, and liability of any nature or kind, including their costs and expenses, arising out of acts or omissions of the Contractor, or the Contractor's employees, officers, agents or sub-contractors, in the performance of this Contract. This provision shall extend, inter alia, to claims and liability in the nature of workmen's compensation, products liability and liability arising out of the use of patented inventions or devices, copyrighted material or other intellectual property by the Contractor, its employees, officers, agents, servants or sub-contractors. The obligations under this Article do not lapse upon termination of this Contract.

8.0 INSURANCE AND LIABILITIES TO THIRD PARTIES:

- **8.1** The Contractor shall provide and thereafter maintain insurance against all risks in respect of its property and any equipment used for the execution of this Contract.
- **8.2** The Contractor shall provide and thereafter maintain all appropriate workmen's compensation insurance, or the equivalent, with respect to its employees to cover claims for personal injury or death in connection with this Contract.
- **8.3** The Contractor shall also provide and thereafter maintain liability insurance in an adequate amount to cover third party claims for death or bodily injury, or loss of or damage to property, arising from or in connection with the provision of services under this Contract or the operation of any vehicles, boats, airplanes or other equipment owned or leased by the Contractor or its agents, servants, employees or sub-contractors performing work or services in connection with this Contract.
- **8.4** Except for the workmen's compensation insurance, the insurance policies under this Article shall:
 - **8.4.1** Name UNDP as additional insured;
 - **8.4.2** Include a waiver of subrogation of the Contractor's rights to the insurance carrier against the UNDP;
 - **8.4.3** Provide that the UNDP shall receive thirty (30) days written notice from the insurers prior to any cancellation or change of coverage.
 - **8.5** The Contractor shall, upon request, provide the UNDP with satisfactory evidence of the insurance required under this Article.

9.0 ENCUMBRANCES/LIENS:

The Contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with the UNDP against any monies due or to become due for any work done or materials furnished under this Contract, or by reason of any other claim or demand against the Contractor.

10.0 TITLE TO EQUIPMENT:

Title to any equipment and supplies that may be furnished by UNDP shall rest with UNDP and any such equipment shall be returned to UNDP at the conclusion of this Contract or when no longer needed by the Contractor. Such equipment, when returned to UNDP, shall be in the same condition as when delivered to the Contractor, subject to normal wear and tear. The Contractor shall be liable to compensate UNDP for equipment determined to be damaged or degraded beyond normal wear and tear.

11.0 COPYRIGHT, PATENTS AND OTHER PROPRIETARY RIGHTS:

- 11.1 Except as is otherwise expressly provided in writing in the Contract, the UNDP shall be entitled to all intellectual property and other proprietary rights including, but not limited to, patents, copyrights, and trademarks, with regard to products, processes, inventions, ideas, know-how, or documents and other materials which the Contractor has developed for the UNDP under the Contract and which bear a direct relation to or are produced or prepared or collected in consequence of, or during the course of, the performance of the Contract, and the Contractor acknowledges and agrees that such products, documents and other materials constitute works made for hire for the UNDP.
- 11.2 To the extent that any such intellectual property or other proprietary rights consist of any intellectual property or other proprietary rights of the Contractor: (i) that pre-existed the performance by the Contractor of its obligations under the Contract, or (ii) that the Contractor may develop or acquire, or may have developed or acquired, independently of the performance of its obligations under the Contract, the UNDP does not and shall not claim any ownership interest thereto, and the Contractor grants to the UNDP a perpetual license to use such intellectual property or other proprietary right solely for the purposes of and in accordance with the requirements of the Contract.
- 11.3 At the request of the UNDP; the Contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring or licensing them to the UNDP in compliance with the requirements of the applicable law and of the Contract.
- 11.4 Subject to the foregoing provisions, all maps, drawings, photographs, mosaics, plans, reports, estimates, recommendations, documents, and all other data compiled by or received by the Contractor under the Contract shall be the property of the UNDP, shall be made available for use or inspection by the UNDP at reasonable times and in reasonable places, shall be treated as confidential, and shall be delivered only to UNDP authorized officials on completion of work under the Contract.

12.0 USE OF NAME, EMBLEM OR OFFICIAL SEAL OF UNDP OR THE UNITED NATIONS:

The Contractor shall not advertise or otherwise make public the fact that it is a Contractor with UNDP, nor shall the Contractor, in any manner whatsoever use the name, emblem or official seal of UNDP or THE United Nations, or any abbreviation of the name of UNDP or United Nations in connection with its business or otherwise.

13.0 CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION:

Information and data that is considered proprietary by either Party and that is delivered or disclosed by one Party ("Discloser") to the other Party ("Recipient") during the course of performance of the Contract, and that is designated as confidential ("Information"), shall be held in confidence by that Party and shall be handled as follows:

- **13.1** The recipient ("Recipient") of such information shall:
 - **13.1.1** use the same care and discretion to avoid disclosure, publication or dissemination of the Discloser's Information as it uses with its own similar information that it does not wish to disclose, publish or disseminate; and,
 - **13.1.2** use the Discloser's Information solely for the purpose for which it was disclosed.
- 13.2 Provided that the Recipient has a written agreement with the following persons or entities requiring them to treat the Information confidential in accordance with the Contract and this Article 13, the Recipient may disclose Information to:
 - **13.2.1** any other party with the Discloser's prior written consent; and,
 - 13.2.2 the Recipient's employees, officials, representatives and agents who have a need to know such Information for purposes of performing obligations under the Contract, and employees officials, representatives and agents of any legal entity that it controls controls it, or with which it is under common control, who have a need to know such Information for purposes of performing obligations under the Contract, provided that, for these purposes a controlled legal entity means:
 - **13.2.2.1** a corporate entity in which the Party owns or otherwise controls, whether directly or indirectly, over fifty percent (50%) of voting shares thereof; or,
 - **13.2.2.2** any entity over which the Party exercises effective managerial control; or,
 - **13.2.2.3** for the UNDP, an affiliated Fund such as UNCDF, UNIFEM and UNV.
- 13.3 The Contractor may disclose Information to the extent required by law, provided that, subject to and without any waiver of the privileges and immunities of the United Nations, the Contractor will give the UNDP sufficient prior notice of a request for the disclosure of Information in order to allow the UNDP to have a reasonable opportunity to take protective measures or such other action as may be appropriate before any such disclosure is made.
- 13.4 The UNDP may disclose Information to the extent as required pursuant to the Charter of the UN, resolutions or regulations of the General Assembly, or rules promulgated by the Secretary-General.
- 13.5 The Recipient shall not be precluded from disclosing Information that is obtained by the Recipient from a third party without restriction, is disclosed by the Discloser to a third party without any obligation of confidentiality, is previously known by the Recipient, or at any time is developed by the Recipient completely independently of any disclosures hereunder.

13.6 These obligations and restrictions of confidentiality shall be effective during the term of the Contract, including any extension thereof, and, unless otherwise provided in the Contract, shall remain effective following any termination of the Contract.

14.0 FORCE MAJEURE; OTHER CHANGES IN CONDITIONS

- 14.1 In the event of and as soon as possible after the occurrence of any cause constituting force majeure, the Contractor shall give notice and full particulars in writing to the UNDP, of such occurrence or change if the Contractor is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under this Contract. The Contractor shall also notify the UNDP of any other changes in conditions or the occurrence of any event that interferes or threatens to interfere with its performance of this Contract. On receipt of the notice required under this Article, the UNDP shall take such action as, in its sole discretion; it considers to be appropriate or necessary in the circumstances, including the granting to the Contractor of a reasonable extension of time in which to perform its obligations under this Contract.
- 14.2 If the Contractor is rendered permanently unable, wholly, or in part, by reason of force majeure to perform its obligations and meet its responsibilities under this Contract, the UNDP shall have the right to suspend or terminate this Contract on the same terms and conditions as are provided for in Article 15, "Termination", except that the period of notice shall be seven (7) days instead of thirty (30) days.
- **14.3** Force majeure as used in this Article means acts of God, war (whether declared or not), invasion, revolution, insurrection, or other acts of a similar nature or force.
- 14.4 The Contractor acknowledges and agrees that, with respect to any obligations under the Contract that the Contractor must perform in or for any areas in which the UNDP is engaged in, preparing to engage in, or disengaging from any peacekeeping, humanitarian or similar operations, any delays or failure to perform such obligations arising from or relating to harsh conditions within such areas or to any incidents of civil unrest occurring in such areas shall not, in and of itself, constitute force majeure under the Contract..

15.0 TERMINATION

- 15.1 Either party may terminate this Contract for cause, in whole or in part, upon thirty (30) days notice, in writing, to the other party. The initiation of arbitral proceedings in accordance with Article 16.2 ("Arbitration"), below, shall not be deemed a termination of this Contract.
- 15.2 UNDP reserves the right to terminate without cause this Contract at any time upon 15 days prior written notice to the Contractor, in which case UNDP shall reimburse the Contractor for all reasonable costs incurred by the Contractor prior to receipt of the notice of termination.
- 15.3 In the event of any termination by UNDP under this Article, no payment shall be due from UNDP to the Contractor except for work and services satisfactorily performed in conformity with the express terms of this Contract.
- **15.4** Should the Contractor be adjudged bankrupt, or be liquidated or become insolvent, or should the Contractor make an assignment for the benefit of its creditors, or should a

Receiver be appointed on account of the insolvency of the Contractor, the UNDP may, without prejudice to any other right or remedy it may have under the terms of these conditions, terminate this Contract forthwith. The Contractor shall immediately inform the UNDP of the occurrence of any of the above events.

16.0 SETTLEMENT OF DISPUTES

- **16.1 Amicable Settlement**: The Parties shall use their best efforts to settle amicably any dispute, controversy or claim arising out of this Contract or the breach, termination or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the UNCITRAL Conciliation Rules then obtaining, or according to such other procedure as may be agreed between the parties.
- 16.2 **Arbitration:** Any dispute, controversy, or claim between the Parties arising out of the Contract or the breach, termination, or invalidity thereof, unless settled amicably under Article 16.1, above, within sixty (60) days after receipt by one Party of the other Party's written request for such amicable settlement, shall be referred by either Party to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The decisions of the arbitral tribunal shall be based on general principles of international commercial law. For all evidentiary questions, the arbitral tribunal shall be guided by the Supplementary Rules Governing the Presentation and Reception of Evidence in International Commercial Arbitration of the International Bar Association, 28 May 1983 edition. The arbitral tribunal shall be empowered to order the return or destruction of goods or any property, whether tangible or intangible, or of any confidential information provided under the Contract, order the termination of the Contract, or order that any other protective measures be taken with respect to the goods, services or any other property, whether tangible or intangible, or of any confidential information provided under the Contract, as appropriate, all in accordance with the authority of the arbitral tribunal pursuant to Article 26 ("Interim Measures of Protection") and Article 32 ("Form and Effect of the Award") of the UNCITRAL Arbitration Rules. The arbitral tribunal shall have no authority to award punitive damages. In addition, unless otherwise expressly provided in the Contract, the arbitral tribunal shall have no authority to award interest in excess of the London Inter-Bank Offered Rate ("LIBOR") then prevailing, and any such interest shall be simple interest only. The Parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such dispute, controversy, or claim.

17.0 PRIVILEGES AND IMMUNITIES:

Nothing in or relating to this Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.

18.0 TAX EXEMPTION

18.1 Section 7 of the Convention on the Privileges and Immunities of the United Nations provides, inter-alia that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the United Nations exemption from such taxes, duties or charges, the

- Contractor shall immediately consult with the UNDP to determine a mutually acceptable procedure.
- 18.2 Accordingly, the Contractor authorizes UNDP to deduct from the Contractor's invoice any amount representing such taxes, duties or charges, unless the Contractor has consulted with the UNDP before the payment thereof and the UNDP has, in each instance, specifically authorized the Contractor to pay such taxes, duties or charges under protest. In that event, the Contractor shall provide the UNDP with written evidence that payment of such taxes, duties or charges has been made and appropriately authorized.

19.0 CHILD LABOUR

- 19.1 The Contractor represents and warrants that neither it, nor any of its suppliers is engaged in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child, including Article 32 thereof, which, inter alia, requires that a child shall be protected from performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical mental, spiritual, moral or social development.
- **19.2** Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, at no cost to UNDP.

20.0 MINES:

- 20.1 The Contractor represents and warrants that neither it nor any of its suppliers is actively and directly engaged in patent activities, development, assembly, production, trade or manufacture of mines or in such activities in respect of components primarily utilized in the manufacture of Mines. The term "Mines" means those devices defined in Article 2, Paragraphs 1, 4 and 5 of Protocol II annexed to the Convention on Prohibitions and Restrictions on the Use of Certain Conventional Weapons Which May Be Deemed to Be Excessively Injurious or to Have Indiscriminate Effects of 1980.
- 20.2 Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind of UNDP.

21.0 OBSERVANCE OF THE LAW:

The Contractor shall comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the terms of this Contract.

22.0 SEXUAL EXPLOITATION:

22.1 The Contractor shall take all appropriate measures to prevent sexual exploitation or abuse of anyone by it or by any of its employees or any other persons who may be engaged by the Contractor to perform any services under the Contract. For these purposes, sexual activity with any person less than eighteen years of age, regardless of any laws relating to consent, shall constitute the sexual exploitation and abuse of such person. In addition, the Contractor shall refrain from, and shall take all appropriate measures to prohibit its employees or other persons engaged by it from, exchanging

any money, goods, services, offers of employment or other things of value, for sexual favors or activities, or from engaging in any sexual activities that are exploitive or degrading to any person. The Contractor acknowledges and agrees that the provisions hereof constitute an essential term of the Contract and that any breach of this representation and warranty shall entitle UNDP to terminate the Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind.

22.2 The UNDP shall not apply the foregoing standard relating to age in any case in which the Contractor's personnel or any other person who may be engaged by the Contractor to perform any services under the Contract is married to the person less than the age of eighteen years with whom sexual activity has occurred and in which such marriage is recognized as valid under the laws of the country of citizenship of such Contractor's personnel or such other person who may be engaged by the Contractor to perform any services under the Contract.

23.0 AUTHORITY TO MODIFY:

Pursuant to the Financial Regulations and Rules of UNDP, only the UNDP Authorized Official possesses the authority to agree on behalf of UNDP to any modification of or change in this Contract, to a waiver of any of its provisions or to any additional contractual relationship of any kind with the Contractor. Accordingly, no modification or change in this Contract shall be valid and enforceable against UNDP unless provided by an amendment to this Contract signed by the Contractor and jointly by the UNDP Authorized Official.

Annex 4

Terms of Reference for

Programme Evaluation of National Resilience Programme (NRP)

This evaluation aims to measure the contributions made by the NRP as defined in the results framework (Annex-A) as well as to assess different aspects of the programme based on the evaluation criteria (relevance, efficiencies, effectiveness, coherence, impact and sustainability), lessons learned and recommended follow-up actions.

Job : Programme Evaluation of NRP Project

Duration : Three months

Location : Home/Office Based (with field-level data collection outside Dhaka)

Start : 01 July 2021 (or early if possible)

1. Background and Rationale:

The National Resilience Programme (NRP) is a unique partnership between the Bangladesh government and the United Nations Development Programme (UNDP), UN Women, and United Nations Office for Project Services (UNOPS), that provides strategic support to develop national capacity to keep pace with the changing nature of disasters. The programme has been designed with financing from the Foreign Commonwealth & Development Office (FCDO, UK previously known as DFID) and the Swedish International Development Cooperation Agency (SIDA).

The past decade has seen a paradigm shift in Bangladesh from disaster management to disaster risk reduction, achieved with the ownership of the Government of Bangladesh (GoB) and significant support from development partners and the UN system. However, while disaster risk management is a major feature of the country's 7th and 8th Five Year Plans and a national disaster risk governance system has been established, addressing disability & gender responsiveness in DRR and capacity gaps remain to ensure effective implementation. Taking into account growing government annual development budgets, its extensive portfolio of social safety nets, and the likelihood of declining international aid, the National Resilience Programme does not aim to implement local risk reduction activities at scale but is providing strategic support to enhance government capacity to do so through its structures and programmes. The joint programme focuses on developing replicable, cost-efficient, and gender-responsive models for disaster risk reduction and resilience-building, in line with the Sendai Framework for Disaster Risk Reduction (SFDRR) and the Sustainable Development Goals.

The programme is expected to contribute the following resilience dividends to human development in the country: (a) reduced impacts of disaster events in terms of loss of lives, affected population, economic loss, and damage to infrastructure, (b) inclusive, faster and resilient recovery of social and community functions, key services, and businesses, and (c) ensuring that development opportunities continue by reducing drivers of vulnerability and responding to changes and uncertainty.

National Resilience Program (NRP) has the following sponsoring ministries- Ministry of Disaster Management and Relief, Ministry of Planning, Ministry of Local Government, Rural Development & Cooperatives, and Ministry of Women and Children Affairs. This is a joint programme being implemented by four Government agencies of Bangladesh: Department of Disaster Management, Programming Division of Bangladesh Planning Commission, Department of Women Affairs and Local Government Engineering Department. The NRP's goal is to sustain the resilience of human and economic development in Bangladesh through inclusive, gender responsive disaster risk management and risk informed development. The objective of the programme is to increase disaster resilience and reduction of disaster risks, loss of lives and livelihoods, and protection of persons, businesses, and communities in Bangladesh. They work in collaboration with NRP to achieve the following outputs:

- Improved capacities for risk informed and gender responsive development planning.
- Strengthened gender-responsive national capacities to address recurrent and mega disasters.
- Improved capacity of GoB to achieve resilience through designing and constructing risk informed and gender responsive infrastructure system.
- Enhanced women leadership capacities for gender responsive disaster management decisions, investments, and policies at national and local levels.
- Strengthened disability inclusive, gender responsive community preparedness, response, and recovery capacities for recurrent and mega disasters.

According to the latest approved joint programme document, the timeline of NRP is 1 May 2017 – 31 December 2021, although the programme kicked off in late 2018. It has been decided by the Joint Programme Steering Committee to revise programme timeline from 1 Jan 2018 to 31 December 2021 to address the delayed start and achieving targeted results. (For the details, please visit http://www.nrpbd.org/index.php).

The programme evaluation will assess the results achieved in terms of policy support, capacity building and institutionalization through various interventions over the programme period. It will identify value additions in terms of knowledge and skill enhancement, practice, and sharing towards facilitating national resilience. It will also explore the gaps and areas of focus, which need further attention for promoting national resilience. Additionally, this evaluation will have a strategic approach to identify potential sectoral collaboration & lead, and approaches/ areas of scaling up that should be taken forward to build resilient Bangladesh.

2. Geographical Coverage:

Although this programme is primarily a strategic technical assistance project, some field-level implementation is foreseen as part of generating evidence, increasing advocacy and creating a model for disaster resilience and risk reduction. The relevant activities and the districts in which the programme is operating are shown in table 01.

Table 01: National Resilience Programme activities and their geographical areas

Programme activity	Geographical area
4.2 Capacitating disaster management	DMC: Koyra and Dacop upazilas in Khulna,
committee (DMC) members, especially the	Shyamnagar and Kaliganj upazilas in Satkhira,
women members, and disaster volunteers	Chakaria and Teknaf Sadar of Cox's Bazar,
(cyclone and flood preparedness	Islampur and Dewangonj upazilas in Jamalpur
programmes) to become agents of gender	district; Kurigram Sadar and Chilmari in
equality and gender responsiveness in	Kurigram district
disaster risk reduction enabling them to take	CPP: Koyra and Dacop upazilas in Khulna,
leadership roles	Shyamnagar and Assasuni upazilas in Satkhira,
	and Chakaria and Teknaf Sadar of Cox's Bazar
	FPP: Islampur and Dewangonj upazilas in
	Jamalpur district; Kurigram Sadar and Chilmari
	in Kurigram district
5.1 Develop a cost-effective, gender	Chilmari and Sadar Upazila of Kurigram district;
responsive, environmentally sustainable	Islampur Upazila of Jamalpur district
model for using social safety net programme	
to strengthen gender responsive resilience	
building and advocate for the government's	
adoption.	
5.2 Institutionalization and geographical	Islampur and Dewangonj upazilas of Jamalpur
expansion of flood early warning and	district; Kurigram Sadar and Chilmari Upazillas
preparedness model for effective	of Kurigram district
dissemination to at risk communities to reach	
men, women, boys, girls and persons with	
disabilities:	
5.3 Resilient and empowering livelihoods	Shyamnagar and Kaliganj upazilas in Satkhira,
	Chakaria and Teknaf Sadar of Cox's Bazar
5.4 Enhance knowledge and innovation to	National level
tackle new and emerging risks at community	
level	
5.5 Strengthen capacity of urban communities	Three wards each within cities Rangpur city
for disaster response considering multiple	Corporation and Tangail, Rangamati and
risk (i.e., earthquake, fire, landslide, building	Sunamganj Pourashavas.
collapse) linking pandemic aspects	

3. Evaluation Purpose, Objectives and Scope:

Overall purpose of the Programme Evaluation: The purpose of this evaluation is to assess the results of the National Resilience Programme as guided by the Theory of Change (ToC) and based on strategic actions throughout implementation. The programme evaluation will assess the results achieved in terms of policy support and institutionalization through interventions over the years. It will identify value additions in terms of knowledge /skill enhancement and sharing towards facilitating national resilience. It will also explore the gaps and areas of focus, which need further attention for promoting national resilience. Additionally, this evaluation will have a strategic

approach to identify potential sectoral collaboration, and approaches/ areas of scaling up that should be taken forward to build resilient Bangladesh.

Specific Objectives: The specific objectives of the evaluation are as follows:

- To assess the effectiveness and efficiency of NRP in influencing and shaping the government policy and programmes in disaster risk management
- To review to what extent disaster risk is being incorporated into LGED planning and implementation and how this be sustained beyond the end of this projects
- To review what progress has been achieved in promoting a whole of Government approach to early recovery and the necessary agreement and policy to put this into action
- To assess the achievements and lessons in supporting the private sector integrate disaster risk management into their planning and operations.
- To assess the scope of the local government's role in gender responsive preparedness for earthquake risk reduction.
- To assess to what extent the NRP has contributed to addressing the needs and problems identified during programme design;
- To assess the changes/impacts the NRP has made in resilience to disaster risk, loss of lives, livelihoods and health of men, women, girls, and boys;
- To examine to what extent sustainability measures have been taken to help resilient nation development after the phase out of the NRP;
- To assess what process, models, and mechanisms have been followed to strengthen skills and capacities on DRM and resilience of the communities;
- To capture the lessons learned throughout the programme interventions and provide recommendations for future programming;
- To identify scopes for new interventions, innovative approaches, potential partners and resource mobilization in the future as well as ongoing initiatives that need to be continued for the next phase

The evaluation should provide credible, useful, evidence-based information that enables timely incorporation of its findings, recommendations and lessons into the decision-making processes of UNDP and key stakeholders, as well as assess the potential of the next phase of the project.

The primary audience for this evaluation is line department officials and public representatives. At the national level, it includes government officials from sponsoring Ministries/Agencies, National Programme Coordinator, Programme Coordinator, Project Directors of each subproject, Project Managers & Technical specialist, Staff of Programme Coordination, & Management Team (PCMT), Donors, other relevant government officials as stated in the stakeholder list in the background section.

Scope: The evaluation will cover the period from 01 May 2017 that is beginning of the project to June/July 2021 at the time of evaluation.

4. Evaluation Questions and Approach:

The evaluation will focus on seven key evaluation criteria: relevance, efficiency, effectiveness, sustainability, impact, coherence and value for money following the OECD evaluation criteria and standard methodology utilized of UNDP Independent Evaluation Office (IEO) Evaluation Guideline¹ other internationally recognize methodologies can be applied for **evaluating transformation and**

¹ Quality Assessment Questions of UNDP Evaluation Guidelines (2019), Section 6: Quality Assessment, available at http://web.undp.org/evaluation/guideline/

policy programme. The evaluation should provide credible, useful, evidence-based information that enables timely incorporation of its findings, recommendations and lessons into the decision-making processes of UNDP and key stakeholders, as well as assess the potential of the next phase of the project.

4.1. Evaluation Questions

As part of the evaluation, the firm needs to address evaluation questions. The evaluation questions define the information that must be generated as a result of the evaluation process. The answers will provide the key basis to the intended users of the evaluation in making informed decisions, taking actions, or adding knowledge. Some of the tentative questions can be as follows but not limited to:

Relevance: The extent to which the objective and results/outcomes of the intervention are consistent with the needs and interest of the people and the needs of the country.

- a. Does the NRP conform to the context and program participant's needs and prevailing issues in line with UN agencies, Government cooperative agency and partner's strategy?
- b. Is the NRP being implemented according to the NRP result framework, ToC, and our resilience dividends?
- c. Were the activities implemented in maintaining proper strategies, methodologies and processes respecting to reduce the impact of disaster events, faster and resilience recovery, and development opportunities arise/continue?
- d. To what extent did NRP deliver in benefitting the most vulnerable women, girls and marginalized groups?
- e. To what extent was the design and strategy of the NRP aligned with UNDP's Country Programme Document (CPD) (2017-2021) and The UN Development Assistance Framework (UNDAF) (2017-2021)?

Effectiveness: Extent to which the outcomes of the development intervention have been achieved

- a. To what extent has the project achieved the objectives and targets of the results framework in the Project Document A critical analysis of the project's indicators and targets in Result Framework
- b. What is the status of the progress of this programme in relation to its timeline and project outcomes/results?
- c. What factors have contributed to the achievement or non-achievement of the NRP results/outcomes and outputs?
- d. Have the NRP, Government cooperative agencies and partners carried out what was agreed?
- e. Did it achieve the overall objectives of "Working as one UN?"
- f. To what extent was the activities of NRP adopting gender responsive approach and making gender equity as an integral part of NRP?
- g. How effective and what was the impact of the joint funding approach GOB, SIDA, DFID?

Efficiency: Extent to which resources/inputs (funds, time, human resources, etc.) have been turned into results.

a. To what extent were the NRP outputs delivered in time to ensure high quality?

- b. To what extent has the NRP ensured value for money?
- c. To what extent were resource mobilization efforts successful? Was funding sufficient for the achievement of results? (funding analysis)
- d. Did the NRP and its partners follow appropriate transparency and accountability mechanism?
- e. Is there a suitable M&E system adopted to monitor and support the implementation of the targeted results?

Sustainability: Probability of the benefits of the intervention continuing in the long term

- a. To what extent will the NRP achievements be sustained? What are the indicators of sustainability for these achievements, e.g., through requisite capacities (systems, structures, staff, resources etc.)? What are the challenges and opportunities?
- b. To what extent are policy and regulatory frameworks in place that will support the continuation of the NRP?
- c. To what extent have the institutional capacities and mechanisms been developed and put in place to sustain impacts of NRP's interventions?
- d. To what extent have development partners committed to providing continuing support?
- e. Is the NRP creating inclusive, gender responsive disaster management, resilient infrastructure system, and risk informed development? and bringing long lasting changes (people building resilience to disaster and climate shocks and stresses, poverty graduation, government policy, structure & practices and context in the NRP working areas)?
- f. Will the results and positive impacts of our intervention last after the end of the Programme?
- g. How effective was the program in breaking down Silos within the 4 different ministries?

Coherence: How well does the intervention fit?

a. To what extent have other interventions (including policies) supported or undermined the NRP's intervention, and vice versa? It includes internal coherence and external coherence.'

Impact: what difference does the intervention make?

- a. Has the NRP brought changes in the lives of the people and their communities stated in the result framework of the NRP?
- b. What impact has the NRP's policy-advocacy work in bringing about positive changes to address underlying causes of the crisis, particularly for the most vulnerable groups (especially women and children and underprivileged people)?
- c. Has the intervention contributed to transformative change and adopting a new way of working for achieving disaster and climate resilience outcomes?

Gender Equality:

- a. To what extent has the NRP and other stakeholders' capacity been strengthened in better promoting and protecting gender equality?
- b. To what extent have gender equality and the empowerment of women been addressed in the design, implementation and monitoring of the programme?

- c. To what extent have the gender sensitive indicators been considered in the Result Framework of the NRP?
- d. To what extent has the project promoted positive changes in gender equality and the empowerment of women? Were there any unintended effects?

Disability:

- a. To what extent has the NRP been inclusive in its approaches.
- b. To what extent have the response and recovery initiative(s) of the NRP been inclusive in supporting the most vulnerable and marginalized group in the implementing area.

Digitalization

a. To what extent has the NRP and other national stakeholders' capacity been strengthened in digitalization toward national resilience.

Value for Money

- a. How well is the Programme converting inputs into outputs? (spending well)
- b. What is the Programme's ultimate impact on resilience building and poverty reduction, relative to the inputs that the Project invests in it? (cost effectiveness)
- c. how well are the outputs produced by the Project having the intended effect? Are we addressing equity by reaching those who need the assistance most? (spending wisely)

Lessons learned

- a. What are the lessons that the project has had learned so far?
- b. What are the challenges that the project has faced during its implementation? What measures have already been taken to mitigate those challenges?

Way forward:

- a. Have any good practices, success stories, lessons learned, or transferable examples been identified?
- b. Based on the achievements to the date, provide forward-looking programmatic recommendations for the NRP for its course correction and future programming.

4.2. Gender and Human Rights-based Approach

As part of the requirement, evaluation must include an assessment of the extent to which the design, implementation, and results of the project have incorporated gender equality perspective (questions/issues related to gender equality are discussed in the previous section) and rights-based approach. The evaluators are requested to review UNEG's Guidance on 'Integrating Human Rights and Gender Equality in Evaluation'² before initiating this assignment.

² UNEG's Guidance on 'Integrating Human Rights and Gender Equality in Evaluation http://www.uneval.org/papersandpubs/documentdetail.jsp?doc_id=980

In addition, the methodology used in the programme evaluation, including data collection and analysis methods should be human rights and gender-sensitive to the greatest extent possible, with evaluation data and findings disaggregated by sex, ethnicity, age, etc. Detailed analysis on disaggregated data will be undertaken as part of evaluation from which findings are consolidated to make recommendations and identify lessons learned for enhanced gender-responsive and rights-based approach of the project.

These evaluation approach and methodology should consider different types of groups in the NRP project intervention – women, youth, minorities, and vulnerable groups. Persons with Disability (PwD) also need to be considered in the evaluation, following the new UNDP evaluation report checklist.

5. Evaluation Methodology and Approach:

5.1. Proposed Methodology

As this effort will mainly focus on policy and institutional evaluation, the firm will concentrate on transformational initiatives implemented through NRP. In this connection, the firm will adopt both quantitative and qualitative methodologies, including household surveys (online or CAPI), key informant interviews, and focus group discussions.

All the following data collection methods need to be covered. The firm may also propose any other appropriate data collection method. The firm needs to develop an evaluation matrix to clarify what types of data will be required to respond to which evaluation question and how those data will be collected.

- i. Household Survey;
- ii. Key Informant Interviews (KIIs);
- iii. Focus Group Discussions (FGDs), and
- iv. Case studies/ Success stories.

The consultant firm conducted the baseline survey by selecting 1,082 households for household survey as a sample basis (see Table-01 below). The bidder is expected to cover the same sample size (1,082 households) and geographical areas (at District, Upazila, UP/Ward), following baseline sample. However, selection of households has not been confirmed yet. Hence, the bidder needs to propose how to select households as a sampling strategy in the proposal, keeping the same Districts. Upazilas, and Unions/Wards as the baseline survey seen in the table below. The sample selection techniques should be qualified enough to select women also as respondents of the evaluation.

Survey questionnaires need to cover all indicators in the Results Framework of NRP (attached in this ToR). The questionnaires should also keep at least the same level of data coverage as baseline surveys to ensure a robust comparison between baseline and programme evaluation data. Baseline survey questionnaires attached to the NRP baseline report will be circulated during the pre-bid meeting. Taking into account sample size and data collection tools used by the baseline survey, the bidders are requested to propose a detailed description regarding robust data collection methodologies and tools and data analysis approach in the proposal to enable robust comparison between baseline data and endline data (which will be collected as part of this final evaluation).

The bidders are also requested to propose the appropriate number of FGDs and KIIs to be conducted per geographical areas in the proposal. FGD and KIIs also requires semi-structured questionnaires and/or checklists to make data collection process as structured as possible.

<u>Table-01: Selected sample for Baseline survey</u>

District Name	<u>Upazila</u>	<u>Union/Ward</u>	Sample HH distribution	Total HH sample
<u>Kurigram</u>	Kurigram Sadar	Holokhana, Bhogdanga,	<u>45</u>	<u>90</u>
		Mogalbabachha Jatrapur,		
		<u>Punchgachi</u>		
	<u>Chilmari</u>	Chilmari, Thanahat, Ranigonj,	<u>45</u>	
		<u>Nayerhat</u>		
<u>Jamalpur</u>	<u>Islampur</u>	Bahadurabad, Char Aomkhaoa	<u>45</u>	<u>90</u>
		Chikajani, Hatiavanga, Dangdhara		
	<u>Dewangonj</u>	Patharsi, Noarpara,	<u>45</u>	
		ChinadulliBelgachha, Sapdhari		
<u>Tangail</u>	<u>TangailSadar</u>	Tangail Municipality	<u>135</u>	<u>135</u>
<u>Rangamati</u>	<u>Rangamati</u>	Sadar/Municipality	<u>135</u>	<u>135</u>
<u>Cox's Bazar</u>	<u>Chokoria</u>	<u>Chokoria</u>	<u>135</u>	<u>135</u>
<u>Rangpur</u>	RangpurSadar	Municipality	<u>135</u>	<u>135</u>
Sunamgonj	<u>Sadar</u>	<u>Municipality</u>	<u>90</u>	<u>90</u>
<u>Satkhira</u>	<u>Syamnagar</u>	<u>Munshigonj</u>	<u>60</u>	<u>272</u>
	<u>Kaligonj</u>	<u>Kaligonj</u>	<u>122</u>	
<u>Khulna</u>	<u>Koyra</u>	<u>Koyra</u>	<u>90</u>	
		Total		<u>1,082</u>

The selected firm is also requested to identify 3 to 5 case studies to look into qualitative changes in beneficiaries and key stakeholders made by the project. Details will be discussed during the inception phase and data collection phase. Case studies need to be elaborated on in the evaluation report together with infographics and photos.

Use of Electronic-based data collection tools (i.e. web-based household questionnaires/data collection apps) is highly encouraged, in case if the firm has already had the tablets and any other necessary equipment which can be used for this evaluation.

The data collection process should be participatory engaging senior government officials, implementing and donor partners, project concerns, key stakeholders and a wide cross-section of staff and beneficiaries incorporating a gender equity approach.

The firm is expected to conduct quantitative analysis using the Statistical software. Other qualitative data collected through KIIs and FGDs will also be analysed extensively to provide a picture of

project's impacts. Data and evidence will be triangulated to large extent to address evaluation questions.

As of 11 March 2020, the World Health Organization (WHO) declared COVID-19 a global pandemic as the new coronavirus rapidly spread to all regions of the world. Travel to the country has been restricted and travel in the country is also partially restricted. If it is not possible to travel to or within the country for the evaluation, then the evaluation team should develop a methodology that takes this into account the conduct of the evaluation remotely. The bidders are expected to propose alternative means of data collection as viable options. Particularly, if the COVID-19 crisis continues at the time of data collection, FGDs might be difficult due to concerns about exposure to risk against social distancing. In case if the situation does not allow, there may be an option to use remote data collection tools or incorporate in-depth qualitative-based questions to the household survey questionnaires instead of conducting FGDs. The detailed methods will be decided in consultation with UNDP during the inception phase.

In the proposal, the bidders are requested to elaborate 1) overall evaluation study strategies, 2) detailed work plan, 3) sampling strategies (household sampling and coverage of FGDs/KIIs), 4) Evaluation matrix, 5) data collection methodologies & protocols, 6) data quality control methods, 7) data analysis methodologies, and 8) gender analysis plan. All the methodologies above described in the proposal will be assessed rigorously, which will heavily affect the scoring of the proposal.

During the entire evaluation process, the firm shall comply with the UNEG Ethical Guidelines for Evaluation and respect the confidentiality of information providers. The evaluation activities shall be based on UNDP evaluation principles, norms and standards that are outlined in the UNDP Evaluation Guidelines (2019).

5.2. Available Data Source:

The programme will supply Project Document, Annual Work Plans, Financial reports, Annual & Semi Report(donors report), M&E plan, periodic progress reports, policy documents, NRP produced IEC/BCC materials, newsletter, policy brief & documents, facts sheets, case studies, meeting minutes, study reports, baseline report and any other relevant documents for collecting data and information relevant to this assignment.

For primary data collection, the following sources should include (but not limited to):

- At the national level: Government officials from sponsoring Ministries/Agencies, National Programme Coordinator, Programme Coordinator, Project Directors of each subproject, Project Managers & Technical specialist, Staff of Programme Coordination, & Management Team (PCMT), Donors, other relevant government as stated in the stakeholder list in the background section.
- At the field level: District and Upazila Administration including Deputy Commissioner (DC), related City Corporation and municipal officials, UNO, Upazila Parishads (UZP) Representatives of Upazila Parishads and Union Parishads (UPs), members of Disaster Management Committees (DMC), NGO representatives working at the local level, Women-led CSOs, Volunteer Group, Ward Committee

Members, and Secretaries, Gram Police, community members, project partners, and local beneficiaries.

6. Scope of Work and Timeline:

6.1. Scope of Work:

The scope of the work for this assignment is outlined below:

i. Detailed methodologies note of the evaluation:

Based on the proposal and discussions with the UNDP team and stakeholders, the firm will further detail methodologies including 1) overall evaluation study strategies, 2) detailed work plan, 3) sampling strategies, 4) evaluation matrix, 5) data collection methodologies & protocols, 6) data quality control methods, 7) data analysis, and 8) gender assessment plan. Evaluation matrix also needs to be developed. Methodologies will be finalized in consultation with UNDP, UN Women and UNOPS.

ii. **Detailed sampling frame of evaluation:**

a) Households Survey

Sample size (1,082 households) and geographical areas (districts, Upazilas, UPs/Wards) have been identified by baseline study (see Table 01 in Page 9). However, in due consultation with the UNDP team and stakeholders, the selected firm will confirm the way in which households will be selected in the pre-fixed districts, Upazilas, and UPs/Wards during the inception phase. A detailed list of sampled districts, UZ, and UP will be shared in the pre-bidding meeting. The sample selection techniques should be qualified enough to select women also as respondents of the evaluation. The mixed method was employed for evaluating the overall perception and changes

b) Focus Group Discussions

The firm is also expected to conduct enough FGDs in local and national level stakeholders. The firm will decide the number of FGDs to be conducted per geographical areas in the proposal in due consultation with the UNDP team and stakeholders during the inception phase. The firm will develop FGD checklist to prepare for the field-level data collection.

C) Key Informant Interview (KII):

The firm will interview stakeholders who have project responsibilities including but not limited to, Ministry of Disaster Management and Relief, Programming Division of Ministry of Planning, Ministry of Women and Children Affairs, and Local Government Division of Ministry of Local Government Rural Development and Cooperatives; implementing government's departments and division, UN officials, Development partners, partners of NRP, experts, academia, local government and Civil Society Organizations (CSOs), etc. The selected firm will decide the number of KII to be conducted per geographical areas in due consultation with the UNDP team and stakeholders during the inception phase. The firm will develop semi-structured questionnaires/checklists to prepare for the field-level data collection.

iii. Development of data collection tools:

The firm needs to design a set of tools to collect data from different stakeholders and households. This should include 1) household survey questionnaire, 2) Key Informant Interview (KII) checklist, 3) Focus Group Discussion (FGD) and KII checklist, 4) case study guideline as well as 5) survey protocols and 6) data quality assurance mechanism. Survey questionnaires should keep at least the same level of data coverage as baseline surveys to ensure a robust comparison between baseline data about the baseline survey report and its survey questionnaires. Except for these requirements, the firm has the freedom to propose data collection tools as appropriate for the evaluation in consultation with the evaluation steering committee.

- iv. **Field test of data collection tools and questionnaire:** To avoid non-sampling error, the firm will conduct a field test of data collection tools and methodologies and will adjust them based on learning/ feedback of field testing.
- v. **Field data collection:** The firm will hire 12 local surveyors/data entry personnel with sufficient experience in data collection. They will collect data from households, local governance institutions, and any other relevant organization with appropriate data collection methods/tools. In order to ensure the quality of data, experienced field coordinators and enumerators should be engaged in collecting the data from the field. The firm shall organize training for field coordinators and enumerators before deployment to familiarize them with data collection tools and data quality assurance mechanism. <u>Use of Electronic-based data collection tools (i.e. web-based household questionnaires/data collection apps) is highly encouraged, in case if the firm has already had the tablets and any other necessary equipment which can be used for this evaluation. Data collection tools will be developed both in Bangla and English.</u>
- vi. **Data Entry/Data Quality Control/Data Management:** The firm will design and implement a system for data entry and data management. The firm needs to ensure the quality of data with a robust quality assurance mechanism in the whole data entry/management process.
- vii. **Data Analysis:** The firm will analyze and interpret data through relevant statistical software and triangulate both qualitative and quantitative data. <u>Gender analysis on the data collected will also be conducted.</u>
- viii. **Report:** The firm will provide a draft report and share its findings with UNDP and other relevant stakeholders through the presentation. The feedback received will be incorporated into the report. The final report should include programmatic recommendations on what needs to be considered for the next phase of NRP. The reporting language is English. The evaluation report shall follow the structure outlined in Annex 3/ Evaluation Report Template and Quality Standards (Page 49-53) of Section 4/ Evaluation Implementation of UNDP Evaluation Guideline³. All evaluation reports will be quality assessed by the UNDP Independent Evaluation Office (IEO). Details of the IEO's quality

³ Evaluation Report Template and Quality Standards of UNDP Evaluation Guidelines (2019), Section 4: Evaluation Implementation, available at http://web.undp.org/evaluation/guideline/

assessment of decentralized evaluations can be found in Section 6 (Page 5-11) of the UNDP Evaluation Guidelines⁴.

6.2. Evaluation Timeline:

The consulting firm will present the appropriate work modality/approach detailed in the ToR. Other interim reports may be produced to facilitate the completion of the assignment at the discretion of the consulting firm. The firm will ensure comprehensive, coherent, high quality reporting, in a clear and professional format in due consultation with the UNDP team. Note that the selected firm will get total of 60 days from the date of contract signing. The detailed breakdown of submitting deliverables and duration is given in table 02.

Table 02: Deliverables submission schedule

Phase		Duration	Proposed time
Inc	ception work:	8 days	Within 2 weeks of
-	Review necessary documents		signing the contract
-	Prepare Inception report and detailed methodologies		
	notes, including 1) overall evaluation study		
	strategies, 2) detailed work plan, 3) sampling		
	strategies (household sampling and coverage of		
	FGDs/KIIs), 4) evaluation matrix, 5) data collection		
	methodologies & protocols, 6) data quality control		
	methods, 7) data analysis methodologies, and 8)		
	gender assessment plan.		
-	The inception report should include the coordination		
	and mode of engagement of team members.		
-	Develop a time-bound work plan.		
-	Submit draft inception report and detailed		
	methodology note to UNDP		
-	Organize an inception meeting with UNDP and NRP		
	to finalize evaluation framework and methodologies		
-	Submit final inception report and obtain approval		
	from UNDP including detailed methodologies		
Da	ta collection tools development:	4 Days	Within 3 weeks of
-	Develop data collection tools and protocols (i.e.		signing the contract
	survey questionnaires, checklist, survey protocols,		
	data quality assurance mechanism)		
-	Present data collection tools to UNDP/ NRP		
	management		
-	Field test data collection tools		
-	Finalize data collection tools incorporating the		
	feedback of field testing		

⁴ Quality Assessment Questions of UNDP Evaluation Guidelines (2019), Section 6: Quality Assessment, available at http://web.undp.org/evaluation/guideline/

Da	ta collection and analysis:	33 days	Within 9 weeks of
-	Provide training to onboard enumerators on data		signing the contract
	collection tools and methods		
-	Collect data from the agreed sources using agreed		
	tools and methods		
-	Conduct data quality assurance		
-	Data entry into the software		
-	Data processing		
-	Conduct data analysis		
-	Triangulate/ analyze findings from desk review,		
	stakeholders' interview, and KIIs		
-	Debrief key findings to the UNDP CO and the		
	stakeholders		
Re	porting:	15 days	Within 12 weeks of
-	Prepare and submit the draft evaluation report and		signing the contract
	4-6-page synopsis of the evaluation report		
-	Organize a sharing meeting for UNDP and relevant		
	stakeholders		
-	Incorporate feedback and comments from UNDP and		
	stakeholders		
-	Submit a final report and a summary report to UNDP		
	together with other deliverables		

7. Deliverables:

Based on the scope of the work outlined above, the following are the deliverables from the firm/agency:

- **i. Inception Report**: An inception report on the assigned tasks will include scope, methods of Programme Evaluation, list of literature to be reviewed, the content of evaluation report, list of interviewees and meetings, field mission plan, and an action plan with a timeline to complete data collection and analysis. A special focus is put on the detailed methodology note. Data collection tools and protocols, including household survey questionnaires and FGD/KII checklists also need to be submitted as part of the deliverable.
- ii. Draft Programme Evaluation Report (in English) and 4-6-page synopsis/summary report of the evaluation (in English and Bangla): Synthesize findings and draft report of programme evaluation that will cover all the objectives of programme evaluation. Prepare and share a 4-6-page synopsis of the evaluation report in English and Bangla.
- **iii. Presentation/Debriefing**: A meeting will be organized with key stakeholders including evaluation steering committee, UNDP and NRP to present findings, conclusions, and recommendations. All the presentations will be shared among the sub-projects and stakeholders.

iv. Final programme evaluation Report /Data Collection Tools/Audit Trail: The final report will incorporate comments and feedbacks from the stakeholders including the feedback provided during the Presentation/Debriefing meeting. All comments and an evaluator's response to each comment need to be recorded in Audit Trail. Synopsis or summary of the evaluation report will be finalized accordingly. Other relevant documents/data files (i.e. data collection tools, checklists questionnaires, datasets) need to be submitted as part of the deliverable.

The consultancy firm will provide soft copies (Microsoft Word and Microsoft PowerPoint) of all deliverables and at least hard copies of final programme evaluation report (100 copies) & synopsis/summary report (English -100 copies & Bangla -100 copies).

8. Implementation arrangements:

The firm will independently conduct the evaluation but shall take necessary assistance from NRP and UNDP. The Deputy Resident Representative and Assistant Resident Representative, UNDP Bangladesh will be responsible for managing the evaluation throughout the entire process and PCMT, NRP will provide necessary support in day to day operation of the evaluation. The consultant will also seek technical guidance from M&E focal point at UNDP Bangladesh Country Office. The consultant will work under the overall supervision of the Programme Specialist - Disaster and Resilience, R&IG Cluster and guided by Evaluation Steering committee comprised of UNDP, UN Women, UNOPS, GoB, FCDO (UK) and Sida (Sweden). The programme evaluation report needs to be cleared by the M&E focal point at UNDP Bangladesh Country Office and approved by the Deputy Resident Representative and Bangkok Regional Hub.

9. Minimum Qualifications of the Evaluation Firms:

A firm will be hired through competitive bidding to complete the assignment. The research institutes, Universities, NGOs, think tanks and consulting firms have proven expertise and experience of evaluating macro-level policy programme can submit the proposal. Joint Venture /Consortium having local lead agency in Bangladesh can also submit a proposal.

The minimum qualifications of the firm are as follows-

- Profile (which should not exceed fifteen (15) pages including any printed brochure relevant to the services being procured) – describing the nature of business, field of expertise, licenses, certifications, accreditations.
- The firm must be a registered entity. Updated Registration Papers, Tax Payment Certification, etc. must be submitted.
- Latest Audited Financial Statement income statement and balance sheet to indicate its financial stability, liquidity, credit standing, and market reputation, etc.
- At least five years of experience in evaluating projects in the areas of policy reform and institutional capacity strengthening for disaster management including disaster response, recovery, women's right and Gender Equity, Climate Change and Resilience and infrastructures based projects. The firm needs to submit successful completion certificates of the relevant experiences stated with the proposal.

- Have experience of conducting at least 03 similar types of assignments in the last five years (macro project or policy level) evaluation in the areas of Disaster Risk Information/Climate adaptation or Resilience for the UN Agencies, GoB, or multilateral agencies like ADB, World Bank etc.
- Written Self-Declaration that the company is not in the UN Security Council 1267/1989 List,
 UN Procurement Division List, or Other UN Ineligibility List.

Special Note:

- No involvement in the design and implementation of the NRP project. Any individual of the selected firm who had prior involvement in the design and implementation of the NRP project or those who have been directly or indirectly related to the NRP project are not eligible for this consultancy due to conflict of interests.
- Firms that do not meet the above eligibility criteria shall not be considered for further evaluation. Necessary documentation must be submitted to substantiate the above eligibility criteria.
- ** Joint Venture /Consortium having local agency in Bangladesh can submit proposal **

10. Evaluation Team Composition:

This assignment requires senior and professional advice based on substantive experience and qualifications of the contracting firm, based on a team approach comprising of four national level consultants and a Lead Consultant (International) (Figure 01). Additionally, UNOPS and UN Women will provide two experts to support the evaluation. Both experts will work in close collaboration with four (04) national consultants of this assignment and team leader. Moreover, there will be two (02) field supervisor and Twelve (12) data enumerators to support the experts in data collection and processing. The structure of the programme evaluation team as follows:

Lead Consultant
(International)

Figure 01 Structure of Evaluation Team

Policy &

Institutional Expert

(National)

11. Minimum Qualifications & Roles of the Human Resources:

11.1. Lead Consultant as Team Leader:

Minimum eligibility criteria of Team Leader/Lead Researcher are in the following-

Infrustructure

Expert (National)

I. Academic Qualifications:

DRR & Resilience

Expert

(National)

Gender Expert

(National)

c. A Minimum Masters' degree or equivalent in Disaster Management, Environmental Management, Social Sciences, Development Evaluation, Development Studies/Management or other relevant fields.

II. Professional Qualifications:

- m. At least 15 years of proven experience in the field of disaster management including disaster response, recovery, capacity building and/policy advocacy.
- n. Have experience in conducting at least 03 macro project/similar project evaluation in Disaster Risk Information, Climate adaptation, and Resilience for the UN agencies, GoB or multilateral agencies like ADB, World Bank, etc. The consultant shall provide relevant completed assignments/research/publications/or relevant link to be enclosed with the CV.
- o. Proven experience and knowledge on women's rights and Gender Equity, DRR, Climate Change and Resilience, Volunteerism approach, infrastructure, Policies and operational system, private sector resilience, and participatory development programme.
- p. Extensive Experience at the international level in conducting evaluations or assessments of programs, projects and policies in disaster management including disaster response, recovery, capacity building and/policy advocacy.
- q. Experience with result-based management evaluation methodologies.
- r. Must have excellent report writing skills and presenting reports to a highly professional and policy level.

11.2. DRR & Resilience Expert:

Minimum eligibility criteria of DRR & Resilience Expert -

I. Academic Qualifications:

c. A Minimum Masters' degree or equivalent in Civil Engineering/ Hydrology/ Geology, Environmental Science/ Management/ Climate Change Science/ Disaster Management/ Physics/ International Development/ Mathematics/ Statistics or any other related fields.

II. Professional Qualifications:

- i. At least 07 years of on-hand experience of inclusive disaster risk management especially in the areas of risk-informed, gender-responsive and disability-inclusive planning.
- j. Experience of at least 03 completed assignments with a good understanding of risk-informed planning, DRR, Climate adaptation, and Resilience List of completed assignments/research/publications to be enclosed with the CV.
- k. Experience in conducting evaluations or assessment of programs, projects and policies in disaster management including disaster response, recovery, capacity building and/ policy advocacy
- l. Proven experience of working with a multi-disciplinary team.

11.3. Infrastructure Expert:

Minimum eligibility criteria for Infrastructure Expert -

I. Academic Qualifications:

c. Master's degree in a discipline relevant to civil engineering, development planning, project management, or other closely related fields.

II. Professional Experience:

- g. At least 07 years' experience in infrastructure in the development context at a strategic/advisory level, with a focus on resilience, capacity enhancement and organizational change.
- h. Have experience of conducting at least 03 national-level macro project evaluations in similar areas. List of completed assignments/research/publications to be enclosed with the CV.
- i. Demonstrated understanding of issues related to gender responsive infrastructure will be an asset

11.4. Policy & Institutional Expert:

Minimum eligibility criteria of Policy & Institutional Expert -

I. Academic Qualifications:

c. A Minimum Masters' degree or equivalent in disaster management, environmental studies, Economics, Political Science, public administration, Law, development studies/management, or other relevant fields.

II. Professional Qualifications:

- i. Minimum 07 years of working experiences in policy and institutional issues at a senior level, preferably in disaster, and climate change areas
- j. Experience in completing at least 03 assignments in a similar field. List of completed assignments/research/publications to be enclosed with the CV.
- k. Proven experience of Government development planning process, including Annual Development Programme, Five Year Plans, particularly about project formulation, and appraisal.
- l. Expertise in policy and governance analysis in climate change and disaster sectors.

11.5 Gender Expert:

Minimum eligibility criteria for National Gender Expert

I. Academic Qualification:

c. Advanced university degree social sciences (i.e. gender studies, sociology, demography, psychology, political science etc.), disaster management, environmental sciences, development studies.

II. Professional Qualifications:

- k. A minimum of 07 years of experience in the field of gender equality and disaster risk management
- l. Experience in completing at least 03 assignments in a similar field. List of completed assignments/research/publications to be enclosed with the CV.
- m. A proven track record in conducting evaluations or assessment of programs, projects and policies, analytical reports and publication in gender and disaster risk management issues.

- n. Extensive experience and expertise in gender analysis, gender mainstreaming, and women's empowerment in Bangladesh
- o. Specific expertise on the nexus of disaster risk reduction, and gender equality, and gender-responsive programming will be an asset.

11.6 Field Coordinator/Field Supervisor (Position 02):

Minimum eligibility criteria for Field Coordinator-

I. Academic Qualifications:

a. Minimum master's in social sciences. Degree in disaster management, environmental management, social sciences, development evaluation, development studies/management.

II. Professional Qualifications:

- g. Minimum 5 years of progressive experience in conducting/coordinating research, assessments, reviews and evaluation of similar nature.
- h. At least 2 assignments related to data collection and management of survey/research and evaluation. (List of completed research to be enclosed.)
- i. Proven experience to lead large-scale data collection in the field.

11.7 Data Enumerators (Position 12):

Minimum eligibility criteria of Data Enumerators:

I. Academic Qualifications:

g. Bachelor's in social sciences. Degree disaster management, environmental management, social sciences, development evaluation, development studies/management

II. Professional Qualifications:

- a. Minimum 2 years of progressive experience in conducting research, assessments, reviews and evaluation of similar nature.
- h. At least two assignments focusing disaster related research.
- i. Experience in field data collection.

Note: It is recommended that the firm keep adequate representation of female in team formation. The firm can add more field coordinators and data enumerators if necessary, with providing justification.

12. Competencies:

All the key personnel must possess the below competencies.

Corporate Competencies:

- Demonstrates integrity by modeling the UN's values and ethical standards (human rights, peace,
- understanding between peoples and nations, tolerance, integrity, respect, and impartiality;
- Promotes the vision, mission, and strategic goals of UNDP;
- Displays cultural, gender, religion, race, nationality and age sensitivity and adaptability.

Functional Competencies:

- Excellent skills in writing, editing and oral communication skills in English, and Bangla is a must for four national consultant positions;
- Ability to discuss with government senior officials, private sector and development partners;
- Understanding of Joint UN Programmes experience will be an asset
- Experience of working in Bangladesh.
- Ability to work with multi-disciplinary group of people
- Demonstrates openness to change, flexibility, and ability to manage complexities;

13. Scope of Price Proposal and Schedule of Payments:

The remuneration of the successful contractor will be fixed, and bids should be submitted on this basis. No adjustment will be given for the period and determined by the specified outputs as per this ToR. The price should take into account all HR costs and professional fees, travel costs, subsistence and ancillary expenses. The financial proposal shall specify the total lump sum amount and must be all-inclusive (professional fees, travel costs, living allowances, medical allowances, communications costs, and VAT & Tax etc.)

UNDP shall affect payments, by bank transfer to the consultancy firm's bank account, upon acceptance by NRP/UNDP of the deliverables specified in the ToR. Payments will be based on milestone deliverables upon submission of invoice and upon certification of the work completed (Table 03).

Table 03: Expected outputs, deliverables, and payment schedule

	Estimated	Tentative	Payment	Review and
Deliverables/ Outputs	duration	Due Dates	Schedule	Approvals
				Required
Submission of Inception report	12 days	Third week of	25% of the	
and detailed methodologies		July 21	contracted	
notes, including			amount	
1) overall evaluation study				NRP/ Deputy
strategies,				Resident
2) detailed work plan,				Representative,
3) sampling strategies				UNDP
(household sampling and				Bangladesh/
coverage of FGDs/ KIIs),				Head of R&IG
4) evaluation matrix,				Cluster, UNDP
5) data collection methodologies				Bangladesh/
& protocols,				M&E focal
6) data quality control methods,				point, UNDP
7) data analysis methodologies,				Bangladesh
and 8) gender assessment plan				
and evaluation matrix				

Deliverables/ Outputs	Estimated duration	Tentative Due Dates	Payment Schedule	Review and Approvals Required
Submission of all data collection tools and protocols [After satisfactory quality check by NRP & UNDP]				-
Submission of Draft evaluation report	33 days	First week of September 21	50% of the contracted amount	
Submission of Final Evaluation report, incorporating the feedback and comments. (including Data Collection Tools, Checklists, Questionnaires, Datasets) [After satisfactory quality check by NRP & UNDP]	15 days	Fourth week of September 21	25% of the contracted amount	
Total days consultant wise	60 days		100%	

14. Recommended Presentation of proposal:

An interested firm must submit the following detailed proposal made up of documentation to demonstrate the qualifications of the prospective firm, to enable appraisal of competing bids. This should include technical and financial proposals, details of which are listed below.

14.1 Technical Proposal

The entire technical proposal should be limited to 15 pages maximum, plus relevant annexes.

Section 1: Bidder's qualification, capacity, and expertise

- 1.1 Brief description of the organization, including the year and country of incorporation, and types of activities undertaken.
- 1.2 General organizational capability which is likely to affect implementation: management structure, financial stability and project financing capacity, project management controls, the extent to which any work would be subcontracted (if so, provide details).
- 1.3 Relevance of specialized knowledge and experience on similar engagements done in the region/country.
 - 1.3.1 Description of experience in conducting macro-level policy/programme evaluation of a comparable nature, with a specific description of technical specialization of the Offeror in the similar field, and list of current and past assignments of the Offeror.
 - 1.3.2 03 references to be provided by previous work have been undertaken.
- 1.4 Quality assurance procedures and risk mitigation measures.
- 1.5 Organization's commitment to sustainability.

Section 2: Proposed method, working approach and implementation plan

This section should demonstrate the bidder's responsiveness to the TOR by identifying the specific components proposed, addressing the requirements, providing a detailed description of the essential performance characteristics proposed and demonstrating how the proposed approach and methods meet or exceed the requirements. All important aspects should be addressed in sufficient detail and different components of the project should be adequately weighted relative to one another.

- **2.1. Process** The Technical Proposal needs to contain a detailed description of the process the programme evaluation intends to follow to complete the tasks including a detailed work plan and schedule for completion/delivery of the final product which, after selection of the contractor, will be agreed upon by the Project in consultation with the relevant stakeholders.
- **2.2. Tools and Methodologies** Outlining how your firm's specific approach to qualitative or mixed methods, including participatory methods, is relevant to the questions under study and meets the highest research standards.

This tools and methodologies section should include 1) overall evaluation study strategies, 2) evaluation matrix, 3) data collection methodologies & protocols, 4) data quality control methods,

- 5) data analysis methods, and 6) gender assessment plan. All of these elements need to be detailed as much as possible.
- **2.3. Sampling strategy** The firm must give a detailed overview of what sampling strategy it will use to select a sample for this study and how it will more standard rather than other sampling methods.
- **2.4. Quality assurance** The firm must outline how it will ensure quality at all stages even considering the pandemic situation of the project but with a particular emphasis on sampling; data collection; data analysis; and reporting. This will be subject to review by the NRP management team during the evaluation process going on.

This section must provide the following:

- Understanding of the assignment (Max 2 pages)
- Proposed working approach and methods according to each task (Max 5 pages)
- Proposed tools, in brief, to be employed in alignment with the assignment (Max 3 Pages)
- Operation Plan (Max 3 pages)
- Proposed time-bound work plan (Max 1 Page)
- Quality assurance plan (0.5 page)
- Risk factors and mitigation measures (0.5 page)

Section 3: Management Structure and Key Personnel

- 3.1 Describe the overall management approach toward planning and implementing the project. Include an organization chart for the management of the project describing the relationship of key positions and designations. Provide a spreadsheet to show the activities of each personnel and the time allocated for his/her involvement.
- 3.2 Provide CVs for key personnel that will be provided to support the implementation of this project using the format below. CVs should demonstrate qualifications in areas relevant to the Scope of Services.

The offer should provide the following information:

- The technical proposal needs to contain a list and detailed information on the proposed Human Resources/experts (with detail cv) which will be utilized for the task including their respective qualifications and relevant experience/exposure and required expertise/skills to complete the tasks i.e. legal, linguistic, drafting and editing expertise, among others.
- CVs of team members with identification of one as team lead
- Role & responsibilities of proposed team members in line with proposed methodology (Max 2 page)
- 03 references to be provided by previous work have been undertaken.

Format for CV of Proposed Key Personnel

Name of Personnel	[Insert]
Position for this assignment	[Insert]
Nationality	[Insert]
Language proficiency	[Insert]
Education/ Qualifications	[Summarize college/university and other specialized education of personnel member, giving names of schools, dates attended, and degrees/qualifications obtained.] [Insert]
Professional certifications	 [Provide details of professional certifications relevant to the scope of services] Name of institution: [Insert] Date of certification: [Insert]
Employment Record/ Experience	[List all positions held by personnel (starting with present position, list in reverse order), giving dates, names of employing organization, title of position held and location of employment. For experience in last five years, detail the type of activities performed, degree of responsibilities, location of assignments and any other information or professional experience considered pertinent for this assignment.]
	[Insert]

	[Provide names, addresses, phone and email contact information for two (2) references]
References	Reference 1: [Insert]
	Reference 2: [Insert]

I, the undersigned, certify that to the best of my knowledge and belief, these data correctly descr	ibe
my qualifications, my experiences, and other relevant information about myself.	

Signature of Personnel	Date (Day/Month/Year)

Technical Evaluation criteria Evaluation Matrix

Summ	Summary of Technical Proposal Evaluation Forms	
1	Bidder's qualifications, capacity and experience	200
2	Proposed Method, Working Approach and Implementation Plan	300
3	Management Structure and Key Personnel	200
4	Financial proposal	300
	Total	1000

Section	Points obtainable	
1.1	The reputation of Firm Organization and Staff Credibility / Reliability / Industry	30
1.1	Standing / Litigation and Arbitration History	30
	Experience in evaluating projects in the areas of policy reform and institutional	
1.2	capacity strengthening for disaster management including disaster response,	50
1.2	recovery, women's right and Gender Equity, Climate Change and Resilience and	30
	infrastructures-based projects.	
	Have experience of similar types of assignments (macro project or policy	
4.2	level) of evaluation in the areas of Disaster Risk Information/Climate	100
1.3	adaptation or Resilience for the UN Agencies, GoB, or multilateral agencies	100
	like ADB, World Bank etc.	
	Experience in strong project management skills and a good understanding of macro	
1.4	level policy/programming evaluation as well as working experience with the United	20
	Nations or similar mission-driven organizations	
Total Se	ction 1	200

Section 2. Proposed Method, Working Approach and Implementation Plan	Poir	nts
Section 2. Proposed Method, Working Approach and implementation Plan	obta	ainable

2.2	Description of the Bidder's approach and tools to the assignment	120
2.3	Quality of the proposed work plan and operation plan including risk management plan	
Total Section	300	

Section	Points obtainable	
3.1	Composition and structure of the team proposed. Are the proposed roles of the management and the team of key personnel suitable for the provision of the necessary services?	20
3.2	Qualifications of key personnel proposed	
3.2.1	Project Manager or Team Leader (education and relevant experience – 2 criteria)	50
	Expert Name	
	Minimum Masters In relevance of education	10
	Experience in the relevant areas	20
	Experience in conducting macro project/similar project evaluation in Disaster Risk Information, Climate adaptation, and Resilience for the UN agencies, GoB or multilateral agencies like ADB, World Bank, etc.	20
3.2.2	Expert -1: DRR and resilient Expert, infrastructure Expert, Policy & Institutional Expert, and Gender expert) (Number of Position: 04)	100
	Experts' name	
	Combined experience in the relevant areas	40
	Knowledge and experience of the Bangladesh context	20
	Proven experience in the similar assignments	40
3.2.3	Field Coordinator (Number of Position: 02)	30
	Expert's name	
	Experiences in in respective areas	15
	Experience in the similar assignments	15
Total Se	ction 3	200

14.2 Financial proposal

The Bidder is required to prepare the Financial Proposal following the below format and submit it in an envelope separate from the Technical Proposal as indicated in the Instruction to Bidders. Any Financial information provided in the Technical Proposal shall lead to Bidder's disqualification. The Financial Proposal should align with the requirements in the Terms of Reference and the Bidder's Technical Proposal.

The Financial Proposal shall specify a total delivery amount (in USD or in BDT) including consultation workshop costs and all other associated costs, i.e. travel cost, survey, DSA, printing costs, and overhead recharges.

In order to assist UNDP in the comparison of financial proposals, the financial proposals will include a detailed breakdown of this amount disclosing the key assumption employed in costing the working. The cost of preparing a proposal and of negotiating a contract, including any related travel or survey, is not reimbursable as a direct cost of the assignment.

Currency of the proposal: USD/BDT

Table A: Summary of Overall Prices

Tuble III bullillary of overall Trices				
	Amount(s)			
Professional Fees (from Table 2)				
Other Costs (from Table 3)				
Total Amount of Financial				
Proposal				

Table B: Breakdown of Professional Fees

Name	Position	Fee Rate	No. of Days/months/ hours	Total Amount
		A	В	C=A+B
Subtotal Profes	sional Fees:		1	

Table C: Breakdown of Other Costs (*)

Description	UOM	Quantity	Unit Price	Total Amount
Flights (international/domestic) *	R/T			
Subsistence allowance	Day			
Miscellaneous travel expenses	Lump sum			
Local transportation costs	Lump Sum			
Out-of-Pocket Expenses				

Other Costs: (please specify)			
All TAX and VAT			
Subtotal Other Costs:			

Table D: Breakdown of Price per Deliverable/Activity

Sl.no.	Deliverable/ Activity description	Time (person days)	Professional Fees	Other Costs	Total
4.	Submission of Inception report and detailed methodologies notes, including: 1) overall evaluation study strategies, 2) detailed work plan, 3)sampling strategies (household sampling and coverage of FGDs), 4) data collection methodologies & protocols, 5) data quality control methods, 6) data analysis methodologies, and 7) gender assessment plan. and evaluation matrix Submission of all data collection tools and protocols [After satisfactory quality check by				
5.	NRP & UNDP] Submission of Draft evaluation Report				
6.	Submission of Final Evaluation report, incorporating the feedback and comments. (including Data Collection Tools, Checklists, Questionnaires, Datasets (if any) [After satisfactory quality check by NRP & UNDP]				
	Total:				

15. Evaluation:

The evaluation method will apply the combined scoring method which will be based on a combination of the technical and financial score. In response to RFP, the firm/organization will have to submit a Technical Proposal as per the Terms of Reference and a Financial Proposal separately. The tender selection committee will first evaluate the technical proposal of the

institutions/firms. Any institutions/firms getting more than 70% of the maximum achievable points (i.e. 49 points) in the technical proposal will be considered for financial appraisal, and ultimately, therefore, for contracting. The financial proposal will be evaluated based on the lowest price. The total scoring points for both the evaluations will be 100; the technical evaluation will be based on 70 points and the rest 30 points are to be allotted to the bidder proposing the lowest price.

The formula of the combined scoring method will be as follows:

Rating the Technical Proposal (TP):

TP Rating = (Total Score Obtained by the Offer / Max. Obtainable Score for TP) x 100 Rating the Financial Proposal (FP):

FP Rating = (Lowest Priced Offer / Price of the Offer Being Reviewed) x 100 Total Combined Score:

Combined Score = (TP Rating) x (Weight of TP, e.g. 70%) + (FP Rating) x (Weight of FP, e.g., 30%)

16. Approval:

Name: Van Nguyen

Designation: Deputy Resident Representative, UNDP Bangladesh

Annex-A: NRP Result Framework

UNDAF outcome: Enhance effective management of the natural and manmade environment focusing on improved sustainability and increased resilience of vulnerable individuals and groups.

Joint programme outcome: Substantial increase in resilience to disaster and reduction in disaster risk, loss of lives and livelihoods of men, women, girls and boys; and protection of the health of persons, businesses and communities in Bangladesh.

Indicators	Baseline		
Reduced losses in lives, affected population and infrastructure (SADD-	TBD during programme		
disagregated where appropriate)	inception phase		
Increase in number of sectors adopting and implementing gender-	TBD during programme		
responsive resilient development (in line with SDG and SFDRR)	inception phase		
Enhanced national, local, communities' and household capacity to recover	TBD during programme		
from crisis and prevent relapses	inception phase		
Decrease in percentage of GDP loss due to disasters	TBD during programme		
	inception phase		

	inception phase				
Joint Programme Outputs	UN	Particicipating UN	Implementing	Indicative activities	
	org.	org. corporate	Partner		
		priority			
1. Improved capacities for	UNDP	Government	Ministry of	1.1 Establish platform	
risk-informed and gender-		institutions have	Planning	collecting risk	
responsive development		improved capacities,	(MoP)	information and tools	
planning		and institutional and		for gender-	
		legal frameworks to		responsive, risk-	
Indicators:		respond to and		informed planning	
1.1 Progress towards		ensure <u>resilient</u>	Ministry of	1.2 Develop capacity	
establishment of easily		<u>recovery</u> from	Planning	for gender-responsive	
accessible platform of disaster		earthquakes, weather	(MoP)	risk-informed Annual	
risk information for		extremes, and		Development	
development planning		environmental		Programme (ADP)	
purposes is established and		emergencies		planning, project	
institutionalized within the				formulation and	
GoB system				appraisal	
<u>Target</u> : Established,			251		
operational, and with			Ministry of	1.3 Support	
sustainability plan (10			Planning	integration of risk	
points).			(MoP)	information in macro-	
<u>Baseline</u> : Platform does not				level development	
exist (0 points)			NG: 1 / C	plans	
			Ministry of	1.4 Enhance	
1.2 Progress towards			Planning	development of	
incorporation of disaster risk			(MoP)	gender-responsive	
screening in ADB approval and			UN Women &	risk-informed	
appraisal system.			MoWCA	planning capacity in	
<u>Target</u> : Disaster risk			M DMD	key sectors	
screening is incorporated in			MoDMR	1.5 Develop capacity	
ADP approval and appraisal				for implementation of	
formats (7 points).				Sendai framework	
				and SDG resilience	

D II DI	 1	
<u>Baseline</u> : Disaster risk		agenda in human
screening is not incorporated		development policy
in ADP approval and appraisal		and investment
formats (0 points).		incorporating
		pandemic aspects
1.3 Percentage of NRP-trained	Ministry of	1.6 Enhance
planning professionals self-	Planning	knowledge base on
reporting a change in their	(MoP)	disaster risk to the
knowledge of risk and gender	(14101)	private sector and
equality dimensions of their		risk-informed
0 0		business-practices
responsive risk-informed		
approach in their project		
formulation or appraisal work		
with reference to specific,		
named project		
<u>Target</u> : 75%		
Baseline: 0		
1.4 Progress towards		
institutionalization of DRR		
mainstreaming capacity in		
MoDMR		
Target: 5 points		
Baseline: 3 points		
15 No of multiples		
1.5 No. of multi-hazard		
national sub-national disaster		
and climate risk assessments		
that inform development		
planning and programming,		
taking into account		
differentiated impacts.		
Target: Disaster and climate		
change risk profile of industry		
(3 points)		
Baseline: No sectoral disaster		
risk assessments exists for		
industry sectors.(0 points)		
madary sectors.(o points)		
1.6 Level of progress towards		
,		
developing disaster resilience		
indicators for the 8th Five Year		
Plan, grassroot resilience		
voices, and risk informed		
business practices		
Target: 8 points		
Baseline: 0 points		

1.7. Dua numa ta				
1.7 Progress towards				
establishment of monitoring				
mechanism for Sendai				
framework implementation				
<u>Target</u> : Mechanism				
established with adequate				
information, capacity to				
function throughout the				
Sendai period, through inter-				
ministerial mechanism(8				
points)				
Baseline: Mechanism does not				
exist; current MoDMR				
organogram does not allocate				
adequate human resource for				
DMIC or Sendai monitoring (0				
points).				
2. Strengthened disability	UNDP	(Same as above)	MoDMR	2.1 Enhance
	UNDF	(Same as above)	MODIMA	
inclusive, gender- responsive national				capacities of the
•				Government of
capacities to address				Bangladesh for
recurrent and mega				coordination and
disasters				implementation of
				response for
Indicators:				recurrent and mega-
2.1 Gaps, strengths and				disaster risks
constraints for megadisaster				including pandemic
preparedness in current				(extensive and
allocation of mandates in				intensive risks)
Standing Orders on Disaster	UN	Structural barriers to	UN Women	2.2. Strengthen
(SOD) are known to key	Women	effective integration		capacity of the
stakeholders		of gender equality		Ministry of Women
Target: Supporting MoDMR		perspectives in		and Children Affairs
for SOD revision and resource		DRR/CCA &		and the Ministry of
allocation for preparedness		Humanitarian actions		Disaster Management
have been formulated and		are removed to		and Relief to collect
communicated to key				
_		enhance women and		and use data
stakeholders in Government		girl's resilience to		disaggregated by sex,
of Bangladesh (6 points)		disaster and climate		age and disability in a
Baseline: Institutions are		change		pre- and post-disaster
inadequately equipped about				situation and to
their roles and				conduct gender-
responsibilities in SOD. (1				responsive risk
point)				analyses and needs
				assessments
2.2 Sex, age and 2.2 Sex, age	UNDP	(Same as above)	MoDMR	2.3 Strengthened
and disability disaggregation			UN Women	national capacity for
is institutionalized in GoB				gender-responsive
post-disaster data collection				multiple-disaster
•				p. 1300001

tools and protocols (equivalent UNDP SP indicator 1.3.1.1 Recovery plans and systems in place utilizing sex, age and disability disaggregated data and gender analysis) Target: 2 Points Baseline: Current government post-disaster data collection forms do not require SADD. (0 points)				recovery plan and strategy
2.3 Percentage of NRP-trained. Government officials self- reporting utilization of gender-responsive recovery planning in their work, with reference to named programmes/Project Target: 75% Baseline: 0				
3. Improved capacity of selected public institutions to achieve resilience outcomes through designing and constructing risk-informed, disability inclusive and gender-responsive infrastructure Indicators: 3.1 Strengthened Local Government Engineering Department capacity to	UNOPS	The DRR4R strategy sets out to ensure that UNOPS has a strong risk-based culture, which is fully integrated into all of our activities around the world. Through this, we are committed to supporting global SFDRR and SDG efforts to build	LGED	3.1 Strengthen Local Government Engineering Department capacity to capture baseline information on rural infrastructure systems through establishing an Asset Management System (AMS).
capture baseline information on rural infrastructure systems through establishing an Asset Management System (AMS). Target: 12 points Baseline: Present practice of LGED on Asset Management is limited to database of roads, and bridges/culverts; No organizational policy, objective and strategy on	UNOPS UN Women	sustainable and resilient economies in the face of a rising tide of risk and vulnerability	LGED UN Women & MoWCA	3.2 Improve the design standards of LGED for new assets and develop tools for build-back-better in reconstruction of assets to ensure infrastructure systems are resilient and gender responsive
Asset Management; No structured Asset Management	011013		Engineering Staff College	

	INIODO	LODD	0.0
Plan; No Asset Information	UNOPS	LGED	3.3 Establish
Strategy (0 points).			Colaboration with
		Engineering	other institutions on
		Staff College	risk-informed and
3.2 Improved the design			resilient
standards of LGED for new			infrastructure system
assets and develop tools for			and disseminate
build-back-better in			knowledge and share
reconstruction of assets to			best practices and
ensure infrastructure systems			lessons learned
are resilient and gender			
responsive			3.4 Strengthen Local
Target: 4 points			Government
Baseline: Current road design			Engineering
standards do not incorporate			Department
the resilience elements; LGED			leadership, policy and
does not have a systematic			compliance capacity
methodology to conduct			around risk-informed
Failure Analysis: LGED does			and gender
not have a common tool to			
			responsive
address gender issues in			infrastructure
development projects;(0			approach with focus
points)			on asset management
			system
3.3 Colaboration with other			
institutions on risk-informed			
and resilient infrastructure			
system and disseminate			
knowledge and share best			
practices and lessons learned			
Target: 5 points			
Baseline: Level of awareness			
of resilient infrastructure			
varies across different			
institutions; No arrangement			
on Asset Management course			
between LGED and			
Engineering Staff College			
Bangladesh (ESCB) (0 points)			
3.4 Strengthened Local			
Government Engineering			
Department leadership, policy			
and compliance capacity			
around risk-informed and			
-			
gender responsive			
infrastructure approach with			
focus on asset management			
system			

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Target: 8 points			
Baseline: No Professional			
Development Program on			
Asset Management at LGED			
(0 points)			
4. Enhanced women's	UN	UN Women &	4.1 Strengthen
leadership capacities for,	Women	MoWCA	capacity of disaster
gender-responsive disaster			management
management decisions,			professionals and
investments and policies at			institutions for gender
national and local levels			responsive DRR
national and local levels			policies and actions
To disease		TINI YAZ	
Indicators:		UN Women	4.2 Capacitating
		& MoWCA	disaster management
4.1 Number of policy			committee (DMC)
instruments addressing			members, especially
gender equality aspects of			the women members,
disaster risk reduction			and disaster
Target: 7			volunteers (cyclone
Baseline: 0			and flood
			preparedness
4.2 Percentage of women's			programmes) to
organizations in the project			become agents of
area are directly engaged in			gender equality and
Disaster risk reduction,			gender
Climate Change adaptation			responsiveness in
and Humanitarian Actions			disaster risk reduction
Target: 31% of organizations			enabling them to take
in the project area			_
		M MACA	leadership roles
Baseline: 7%		MoWCA and	4.3 Strengthening
400		UN Women	capacity of Ministry of
4.3 Percentage of women from			Women and Children
the project communities self-			Affairs (MoWCA) to
reporting receipt of early			effectively engage at
warning messages (at the			national, regional and
wake of disaster)			international level
Target: 90%			promoting gender
Baseline: 73.4%			responsive resilience
			(GRR)
4.4 No of awareness programs		UN Women &	4.4 Strengthened
on gender-responsive		MoWCA	capacity of
resilience (GRR) launched by			Humanitarian
the project.			Clusters including GoB
Target: 6			to decrease GBV
Baseline: 0			during and post
= 5.00			
			ŭ
			training and building
			awareness

			UN Women &	4.5 Promote gender-
			MoWCA	responsive resilience
			MOVCA	(GRR) through media
				, ,
				community radio and
		, ,		social media)
5. Strengthened disability	UNDP	(Same as above)	MoDMR	5.1 Develop a cost-
inclusive, gender				effective, gender
responsive community				responsive,
preparedness, response				environmentally
and recovery capacities for				sustainable model for
recurrent and mega				using social safety net
disasters				programme to
				strengthen gender
Indicators:				responsive resilience
5.1 DRR inclusive social safety				building and advocate
net – guideline and piloting				for the government's
results are in place.				adoption.
Target: 3				
Baseline: 0				
5.2 No. of policy briefs on				
disaster risk reduction				
activities with persons with				
disabilities disseminated to				
policymakers				
Target: 2				
Baseline: 0				
5.3 Progress towards				
institutionalization Flood				
Preparedness Programme				
(FPP) utilizing gender-				
responsive and disability				
inclusive messaging with				
volunteers (equivalent to				
UNDP SP indicator: Indicator				
3.3.1.1 Existence of				
operational end-to-end multi-				
sectoral early warning				
systems (EWS) to limit the				
gender-differentiated impact				
of:				
a) Natural hazards b) Health				
shocks (e.g. pandemics) c)				
Economic crises d) Other risk				
factors				
Target: 7 points				
Baseline: FPP system, training				
and resources piloted within				
local DMCs and received				
		l	1	

		1
positive feedback from		
government, is however not		
institutionalized (0 points).		
mstitutionanzeu (o points).		
5.4 No. of people		
(disaggregated by gender, age		
and disability) benefiting from		
increased access to early		
warning information from FPP		
piloting		
Target: 162,000 Peoples		
Baseline: 0		
5.5 <i>Progress toward</i>		
development of Model on		
Inclusive Social Safety Net		
Programme (SSNP) meeting		
disaster specific needs of		
women and persons with		
-		
U		
disaster resilience		
Target: 4		
Baseline: 0		
5.6 No of women in the project		
area pursuing nontraditional		
livelihood options contributing		
to their resilience building		
Target: 2700		
Baseline: 0		
5.7 Percentage (%) of women		
involved in the project that		
1		
self-report decreases assets		
loss (in case of disaster)		
compared to previous disasters		
Target: 50%		
Baseline: 14%		
5.8 Progress towards inclusion		
of Forecast-Based		
1 -		
Financing/Action in disaster		
management policy and		
planning documents		
Target: 6 points.		
Baseline:		
No procedure, awareness or		
_		
plan for Forecast-Based		

Financing exists in			
government. (0 points).			
5.9 Progress toward	ļ		
Development of Location	ļ		
Specific Dynamic Flood Risk	ļ		
model for upscaling.			
Target: 2	ļ		
Baseline: 1	ļ		
5.10 Progress towards	ļ		
formulation of minimum	ļ		
earthquake preparedness			
package for cities for scaling-	ļ		
up through government	ļ		
programmes.	ļ		
Target: 8 points			
<u>Baseline</u> : Ward-Level			
Minimum Preparedness			
model has been piloted by	ļ		
various NGOs in different city	ļ		
corporations and	ļ		
municipalities (1 point).	ļ		
5.11 Percentage of at-risk			
population covered by	ļ		
community level disaster	ļ		
management plans	ļ		
Target/Baseline: At least 20%			
population (Age, gender,			
disability) of the working			
areas at flood and earthquake			
prone location			

Annex Declaration	5
Date:	
United Nations Development Programme UNDP Registry, IDB Bhaban, Agargaon Sher-E-Bangla Nagar, Dhaka, Bangladesh	
Assignment: Hiring a firm for Programme Evaluation of National Resilience Programme (NRP) of UND Bangladesh	P
Reference: RFP-BD-2021-015	
Dear Sir,	
I declare that is not in the UN Security Council 1267/1989 List, UN Procurement Division List or Other UN Ineligibility List.	
Yours Sincerely,	