*United Nations Development Programme*

**REQUEST FOR PROPOSAL**

**Study on utilization of new technologies in SMEs in Bosnia and Herzegovina**

**RFP No.: BIH/RFP/025/21**

Project: *Green Economic Development Project – Phase III*

Country: Bosnia and Herzegovina

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# Section 1. Letter of Invitation

The United Nations Development Programme (UNDP) hereby invites you to submit a Proposal to this Request for Proposal (RFP) for the above-referenced subject.

This RFP includes the following documents and the General Terms and Conditions of Contract which is inserted in the Bid Data Sheet (BDS):

Section 1: This Letter of Invitation

Section 2: Instruction to Bidders

Section 3: Bid Data Sheet (BDS)

Section 4: Evaluation Criteria

Section 5: Terms of Reference

Section 6: Returnable Bidding Forms

* Form A: Technical Proposal Submission Form
* Form B: Bidder Information Form
* Form C: Joint Venture/Consortium/Association Information Form
* Form D: Qualification Form
* Form E: Format of Technical Proposal
* Form F: Financial Proposal Submission Form
* FormG: Financial Proposal Form

If you are interested in submitting a Proposal in response to this RFP, please prepare your Proposal in accordance with the requirements and procedure as set out in this RFP and submit it by the Deadline for Submission of Proposals set out in Bid Data Sheet.

Please acknowledge receipt of this RFP bysending an email to [registry.ba@undp.org](mailto:registry.ba@undp.org), indicating whether you intend to submit a Proposalor otherwise. You may also utilize the “Accept Invitation” function in eTendering system, whereapplicable.This will enable you to receive amendments or updates to the RFP. Should you require further clarifications, kindly communicate with the contact person/s identified in the attached Bid Data Sheet as the focal point for queries on this RFP.

UNDP looks forward to receiving your Proposal and thank you in advance for your interest in UNDP procurement opportunities.

Sincerely,

UNDP BiH

# Section 2. Instruction to Bidders

|  |  |
| --- | --- |
| GENERAL PROVISIONS | |
| Introduction | * 1. Bidders shall adhere to all the requirements of this RFP,including any amendments in writing by UNDP. This RFP is conducted in accordance with the UNDP Programme and Operations Policies and Procedures (POPP) on Contracts and Procurement which can be accessed at <https://popp.undp.org/SitePages/POPPBSUnit.aspx?TermID=254a9f96-b883-476a-8ef8-e81f93a2b38d>   2. Any Proposal submitted will be regarded as an offer by the Bidder and does not constitute or imply the acceptance of the Proposal by UNDP. UNDP is under no obligation to award a contract to any Bidder as a result of this RFP.   3. As part of the bid, it is desired that the Bidder registers at the United Nations Global Marketplace (UNGM) website ([www.ungm.org](http://www.ungm.org)). The Bidder may still submit a bid even if not registered with the UNGM. However, if the Bidder is selected for contract award, the Bidder must register on the UNGM prior to contract signature. |
| Fraud &Corruption, Gifts and Hospitality | * 1. UNDP strictly enforces a policy of zero tolerance on proscribed practices, including fraud, corruption, collusion, unethical or unprofessional practices, and obstruction of UNDP vendors and requires all bidders/vendors observe the highest standard of ethics during the procurement process and contract implementation.UNDP’s Anti-Fraud Policy can be found at <http://www.undp.org/content/undp/en/home/operations/accountability/audit/office_of_audit_andinvestigation.html#anti>   2. Bidders/vendors shall not offer gifts or hospitality of any kind to UNDP staff members including recreational trips to sporting or cultural events, theme parks or offers of holidays, transportation, or invitations to extravagant lunches or dinners.   3. In pursuance of this policy, UNDP (a) Shall reject a proposal if it determines that the selected bidder has engaged in any corrupt or fraudulent practices in competing for the contract in question; (b) Shall declare a vendor ineligible, either indefinitely or for a stated period of time, to be awarded a contract if at any time it determines that the vendor has engaged in any corrupt or fraudulent practices in competing for, or in executing a UNDP contract.   4. All Bidders must adhere to the UN Supplier Code of Conduct, which may be found at <http://www.un.org/depts/ptd/pdf/conduct_english.pdf> |
| Eligibility | * 1. Avendor should not be suspended, debarred, or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization. Vendors are therefore required to disclose to UNDP whether they are subject to any sanction or temporary suspension imposed by theseorganizations.   2. It is the Bidder’s responsibility to ensure that its employees, joint venture members, sub-contractors, service providers, suppliers and/or their employees meet the eligibility requirements as established by UNDP. |
| Conflict of Interests | * 1. Bidders must strictly avoid conflicts with other assignments or their own interests, and act without consideration for future work. Bidders found to have a conflict of interest shall be disqualified. Without limitation on the generality of the above, Bidders, and any of their affiliates, shall be considered to have a conflict of interest with one or more parties in this solicitation process, if they:   2. Are or have been associated in the past, with a firm or any of its affiliates which have been engaged by UNDP to provide services for the preparation of the design, specifications, Terms of Reference, cost analysis/estimation, and other documents to be used for the procurement of the goods and services in this selection process;   3. Were involved in the preparation and/or design of the programme/project related to the services requested under this RFP; or   4. Are found to be in conflict for any other reason, as may be established by, or at the discretion of UNDP.   5. In the event of any uncertainty in the interpretation of a potential conflict of interest, Bidders must disclose to UNDP, and seek UNDP’s confirmation on whether or not such a conflict exists.   6. Similarly, the Bidders must disclose in their proposal their knowledge of the following:   7. If the owners, part-owners, officers, directors, controlling shareholders, of the bidding entity or key personnel are family members of UNDP staff involved in the procurement functions and/or the Government of the country or any Implementing Partner receiving services under this RFP; and   8. All other circumstances that could potentially lead to actual or perceived conflict of interest, collusion or unfair competition practices.   Failure to disclose such an information may result in the rejection of the proposal or proposals affected by the non-disclosure.   * 1. The eligibility of Bidders that are wholly or partly owned by the Government shall be subject to UNDP’s further evaluation and review of various factors such as being registered, operated and managed as an independent business entity, the extent of Government ownership/share, receipt of subsidies, mandate and access to information in relation to this RFP, among others. Conditions that may lead to undue advantage against other Bidders may result inthe eventual rejection of the Proposal. |
| PREPARATION OF PROPOSALS | |
| General Considerations | * 1. In preparing the Proposal, the Bidder is expected to examine the RFP in detail. Material deficiencies in providing the information requested in the RFP may result in rejection of the Proposal.   2. The Bidder will not be permitted to take advantage of any errors or omissions in the RFP. Should such errors or omissions be discovered, the Bidder must notify the UNDP. |
| Cost of Preparation of Proposal | * 1. The Bidder shall bear any and all costs related to the preparation and/or submission of the Proposal, regardless of whether its Proposal was selected or not. UNDP shall not be responsible or liable for those costs, regardless of the conduct or outcome of the procurement process. |
| Language | * 1. The Proposal, as well as any and all related correspondence exchanged by the Bidder and UNDP, shall be written in the language (s) specified in the BDS. |
| Documents Comprising the Proposal | * 1. The Proposal shall comprise of the following documents:   2. Documents Establishing the Eligibility and Qualifications of the Bidder;   3. Technical Proposal;   4. Financial Proposal;   5. Proposal Security, if required by BDS;   6. Any attachments and/or appendices to the Proposal. |
| Documents Establishing the Eligibility and Qualifications of the Bidder | * 1. The Bidder shall furnish documentary evidence of its status as an eligible and qualified vendor, using the Forms provided under Section 6 and providing documents required in those forms. In order to award a contract to a Bidder, its qualifications must be documented to UNDP’s satisfaction. |
| Technical Proposal Format and Content | * 1. The Bidder is required to submit a Technical Proposal using the Standard Forms and templates provided in Section 6 of the RFP.   2. The Technical Proposal shall not include any price or financial information. A Technical Proposal containing material financial information may be declared non-responsive.   3. Samples of items, when required as per Section 5, shall be provided within the time specified and unless otherwise specified by UNDP,and at no expense to UNDP   4. When applicable and required as per Section 5, the Bidder shall describe the necessary training programme available for the maintenance and operation of the services and/or equipment offered as well as the cost to the UNDP. Unless otherwise specified, such training as well as training materials shall be provided in the language of the Bid as specified in the BDS. |
| Financial Proposals | * 1. The Financial Proposal shall be prepared using the Standard Form provided in Section 6 of the RFP. It shall list all major cost components associated with the services, and the detailed breakdown of such costs.   2. Any output and activities described in the Technical Proposal but not priced in the Financial Proposal, shall be assumed to be included in the prices of other activities or items, as well as in the final total price.   3. Prices and other financial information must not be disclosed in any other place except in the financial proposal. |
| Proposal Security | * 1. A Proposal Security, if required by BDS, shall be provided in the amount and form indicated in the BDS. The Proposal Security shall be valid up to thirty (30) days after the final date of validity of the Proposal.   2. The Proposal Security shall be included along with the Technical Proposal. If Proposal Security is required by the RFP but is not found along with the Technical Proposal, the Proposal shall be rejected.   3. If the Proposal Security amount or its validity period is found to be less than what is required by UNDP, UNDP shall reject the Proposal.   4. In the event an electronic submission is allowed in the BDS, Bidders shall include a copy of the Bid Security in their proposal and the original of the Proposal Security must be sent via courier or hand delivery as per the instructions in BDS.   5. The Proposal Security may be forfeited by UNDP, and the Proposal rejected, in the event of any one or combination, of the following conditions:      1. If the Bidder withdraws its offer during the period of the Proposal Validity specified in the BDS, or;      2. In the event that the successful Bidder fails:      3. to sign the Contract after UNDP has issued an award; or   6. to furnish the Performance Security, insurances, or other documents that UNDP may require as a condition precedent to the effectivity of the contract that may be awarded to the Bidder. |
| Currencies | * 1. All prices shall be quoted in the currency or currencies indicated in the BDS. Where Proposals are quoted in different currencies, for the purposes of comparison of all Proposals:  1. UNDP will convert the currency quoted in the Proposal into the UNDP preferred currency, in accordance with the prevailing UN operational rate of exchange on the last day of submission of Proposals; and 2. In the event that UNDP selects a proposal for award that is quoted in a currency different from the preferred currency in the BDS, UNDP shall reserve the right to award the contract in the currency of UNDP’s preference, using the conversion method specified above. |
| Joint Venture, Consortium or Association | * 1. If the Bidder is a group of legal entities that will form or have formed a Joint Venture (JV), Consortium or Association for the Proposal, they shall confirm in their Proposal that : (i) they have designated one party to act as a lead entity, duly vested with authority to legally bind the members of the JV, Consortium or Association jointly and severally, which shall be evidenced by a duly notarized Agreement among the legal entities, and submitted with the Proposal; and (ii) if they are awarded the contract, the contract shall be entered into, by and between UNDP and the designated lead entity, who shall be acting for and on behalf of all the member entities comprising the joint venture.   2. After the Deadline for Submission of Proposal, the lead entity identified to represent the JV, Consortium or Association shall not be altered without the prior written consent of UNDP.   3. The lead entity and the member entities of the JV, Consortium or Association shall abide by the provisions of Clause 9 herein in respect of submitting only one proposal.   4. The description of the organization of the JV, Consortium or Association must clearly define the expected role of each of the entity in the joint venture in delivering the requirements of the RFP, both in the Proposal and the JV, Consortium or Association Agreement.  All entities that comprise the JV, Consortium or Association shall be subject to the eligibility and qualification assessment by UNDP.   5. AJV, Consortium or Association in presenting its track record and experience should clearly differentiate between:  1. Those that were undertaken together by the JV, Consortium or Association; and 2. Those that were undertaken by the individual entities of the JV, Consortium or Association.    1. Previous contracts completed by individual experts working privately but who are permanently or were temporarily associated with any of the member firms cannot be claimed as the experience of the JV, Consortium or Association or those of its members, but should only be claimed by the individual experts themselves in their presentation of their individual credentials.    2. JV, Consortium or Associations are encouraged for high value, multi-sectoral requirements when the spectrum of expertise and resources required may not be available within one firm. |
| Only One Proposal | * 1. The Bidder (including the individual members of any Joint Venture) shall submit only one Proposal, either in its own name or as part of a Joint Venture.   2. Proposals submitted by two (2) or more Bidders shall all be rejected if they are found to have any of the following:   3. they have at least one controlling partner, director or shareholder in common; or   4. any one of them receive or have received any direct or indirect subsidy from the other/s; or   5. they have the same legal representative for purposes of this RFP; or   6. they have a relationship with each other, directly or through common third parties, that puts them in a position to have access to information about, or influence on the Proposal of, another Bidder regarding this RFP process;   7. they are subcontractors to each other’s Proposal, or a subcontractor to one Proposal also submits another Proposal under its name as lead Bidder; or   8. some key personnel proposed to be in the team of one Bidder participates in more than one Proposal received for this RFP process. This condition relating to the personnel, does not apply to subcontractors being included in more than one Proposal. |
| Proposal Validity Period | * 1. Proposals shall remain valid for the period specified in the BDS, commencing on the Deadline for Submission of Proposals. A Proposal valid for a shorter period may be rejected by UNDP and rendered non-responsive.   2. During the Proposal validity period, the Bidder shall maintain its original Proposal without any change, including the availability of the Key Personnel, the proposed rates and the total price. |
| Extension of Proposal Validity Period | * 1. In exceptional circumstances, prior to the expiration of the proposal validity period, UNDP may request Bidders to extend the period of validity of their Proposals. The request and the responses shall be made in writing, and shall be considered integral to the Proposal.   2. If the Bidder agrees to extend the validity of its Proposal, it shall be done without any change in the original Proposal.   3. The Bidder has the right to refuse to extend the validity of its Proposal, and in which case, such Proposal will not be further evaluated. |
| Clarification of Proposal | * 1. Bidders may request clarifications on any of the RFP documents no later than the date indicated in the BDS. Any request for clarification must be sent in writing in the manner indicated in the BDS. If inquiries are sent other than specified channel, even if they are sent to a UNDP staff member, UNDP shall have no obligation to respond or confirm that the query was officially received.   2. UNDP will provide the responses to clarifications through the method specified in the BDS.   3. UNDP shall endeavor to provide responses to clarifications in an expeditious manner, but any delay in such response shall not cause an obligation on the part of UNDP to extend the submission date of the Proposals, unless UNDP deems that such an extension is justified and necessary. |
| Amendment of Proposals | * 1. At any time prior to the deadline of Proposal submission, UNDP may for any reason, such as in response to a clarification requested by a Bidder, modify the RFP in the form of an amendment to the RFP. Amendments will be made available to all prospective bidders.   2. If the amendment is substantial, UNDP may extend the Deadline for submission of proposal to give the Bidders reasonable time to incorporate the amendment into their Proposals. |
| Alternative Proposals | * 1. Unless otherwise specified in the BDS, alternative proposals shall not be considered. If submission of alternative proposal is allowed by BDS, a Bidder may submit an alternative proposal, but only if it also submits a proposal conforming to the RFP requirements. UNDP shall only consider the alternative proposal offered by the Bidder whose conforming proposal ranked thehighest as per the specified evaluation method. Where the conditions for its acceptance are met, or justifications are clearly established, UNDP reserves the right to award a contract based on an alternative proposal.   2. If multiple/alternative proposals are being submitted, they must be clearly marked as “Main Proposal” and “Alternative Proposal” |
| Pre-Bid Conference | * 1. When appropriate, a Bidder’s conference will be conducted at the date, time and location specified in the BDS. All Bidders are encouraged to attend. Non-attendance, however, shall not result in disqualification of an interested Bidder. Minutes of the Bidder’s conference will be disseminated on the procurement website and shared by email or on the e-Tendering platform as specified in the BDS. No verbal statement made during the conference shall modify the terms and conditions of the RFP, unless specifically incorporated in the Minutes of the Bidder’s Conference or issued/posted as an amendment to RFP. |
| SUBMISSION AND OPENING OF PROPOSALS | |
| Submission | * 1. The Bidder shall submit a duly signed and complete Proposal comprising the documents and forms in accordance with the requirements in the BDS. The submission shall be in the manner specified in the BDS.   2. The Proposal shall be signed by the Bidder or person(s) duly authorized to commit the Bidder. The authorization shall be communicated through a document evidencing such authorization issued by the legal representative of the bidding entity, or a Power of Attorney, accompanying the Proposal.   3. Bidders must be aware that the mere act of submission of a Proposal, in and of itself, implies that the Bidder fully accepts the UNDP General Contract Terms and Conditions. |
| **Hard copy (manual) submission**  **Email Submission**  **eTendering submission** | * 1. Hard copy (manual) submission by courier or hand delivery allowed or specified in the BDS shall be governed as follows:   2. The signed Proposal shall be marked “Original”, and its copies marked “Copy” as appropriate. The number of copies is indicated in the BDS. All copies shall be made from the signed original only. If there are discrepancies between the original and the copies, the original shall prevail.   3. The Technical Proposal and the Financial Proposal envelopes MUST BE COMPLETELY SEPARATE and each of them must be submitted sealed individually and clearly marked on the outside as either “TECHNICAL PROPOSAL” or “FINANCIAL PROPOSAL”, as appropriate. Each envelope SHALL clearly indicate the name of the Bidder. The outer envelopes shall:   i.Bear the name and address of the bidder;  ii. Be addressed to UNDP as specified in the BDS   1. Bear a warning that states “*Not to be opened before the time and date for proposal opening*” as specified in the BDS.   If the envelopes and packages with the Proposal are not sealed and marked as required, UNDP shall assume no responsibility for the misplacement, loss, or premature opening of the Proposal.   * 1. Email submission, if allowed or specified in the BDS, shall be governed as follows:  1. Electronic files that form part of the proposal must be in accordance with the format and requirements indicated in BDS; 2. The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE. The financial proposalshall be encrypted with different passwords and clearly labelled. The files must be sent to the dedicated email address specified in the BDS. 3. The password for opening the Financial Proposal should be provided only upon request of UNDP. UNDP will request password only from bidders whose Technical Proposal has been found to be technically responsive. Failure to provide correct password may result in the proposal being rejected.    1. Electronic submission through eTendering, if allowed or specified in the BDS, shall be governed as follows: 4. Electronic files that form part of the proposal must be in accordance with the format and requirements indicated in BDS; 5. The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE and each of them must be uploaded individually and clearly labelled. 6. The Financial Proposal file must be encrypted with a password so that it cannot be opened nor viewed until the password is provided. The password for opening the Financial Proposal should be provided only upon request of UNDP. UNDP will request password only from bidders whose technical proposal has been found to be technically responsive. Failure to provide the correct password may result in the proposal being rejected. 7. Documents which are required to be in original form (e.g. Bid Security, etc.) must be sent via courier or hand delivery as per the instructions in BDS. 8. Detailed instructions on how to submit, modify or cancel a bid in the eTendering system are provided in the eTendering system Bidder User Guide and Instructional videos available on this link: <http://www.undp.org/content/undp/en/home/operations/procurement/business/procurement-notices/resources/> |
| Deadline for Submission of Proposals and Late Proposals | * 1. Complete Proposals must be received by UNDP in the manner,and no later than the date and time, specified in the BDS. UNDP shall only recognize the date and time that thebid was received by UNDP   2. UNDP shall not consider any Proposal that is submitted after the deadline for the submission of Proposals. |
| Withdrawal, Substitution, and Modification of Proposals | * 1. A Bidder may withdraw, substitute or modify its Proposal after it has been submitted at any time prior to the deadline for submission.   2. Manual and Email submissions: A bidder may withdraw, substitute or modify its Proposal by sending a written notice to UNDP, duly signed by an authorized representative, and shall include a copy of the authorization (or a Power of Attorney). The corresponding substitution or modification of the Proposal, if any, must accompany the respective written notice. All notices must be submitted in the same manner as specified for submission of proposals, by clearly marking them as “WITHDRAWAL” “SUBSTITUTION,” or “MODIFICATION”   3. eTendering: A Bidder may withdraw, substitute or modify its Proposal by Canceling, Editing, and re-submitting the proposal directly in the system. It is the responsibility of the Bidder to properly follow the system instructions, duly edit and submit a substitution or modificationof the Proposal as needed. Detailed instructions on how to cancel or modify a Proposal directly in the system are provided in Bidder User Guide and Instructional videos.   4. Proposals requested to be withdrawn shall be returned unopened to the Bidders (only for manual submissions), except if the bid is withdrawn after the bid has been opened |
| Proposal Opening | * 1. There is no public bid opening for RFPs. UNDP shall open the Proposals in the presence of an ad-hoc committee formed by UNDP, consisting of at least two (2) members. In the case of e-Tendering submission, bidders will receive an automatic notification once their proposal is opened. |
| EVALUATION OF PROPOSALS | |
| Confidentiality | * 1. Information relating to the examination, evaluation, and comparison of Proposals, and the recommendation of contract award, shall not be disclosed to Bidders or any other persons not officially concerned with such process, even after publication of the contract award.   2. Any effort by a Bidder or anyone on behalf of the Bidder to influence UNDP in the examination, evaluation and comparison of the Proposals or contract award decisions may, at UNDP’s decision, result in the rejection of its Proposal and may be subject to the application of prevailing UNDP’s vendor sanctions procedures. |
| Evaluation of Proposals | * 1. The Bidder is not permitted to alter or modify its Proposal in any way after the proposal submission deadline except as permitted under Clause 24 of this RFP. UNDP will conduct the evaluation solely on the basis of the submitted Technical and Financial Proposals.   2. Evaluation of proposals is made of the following steps:   3. Preliminary Examination   4. Minimum Eligibility and Qualification (if pre-qualification is not done)   5. Evaluation of Technical Proposals   6. Evaluation of Financial Proposals |
| Preliminary Examination | * 1. UNDP shall examine the Proposals to determine whether they are complete with respect to minimum documentary requirements, whether the documents have been properly signed, and whether the Proposals are generally in order, among other indicators that may be used at this stage. UNDP reserves the right to reject any Proposal at this stage. |
| Evaluation of Eligibility and Qualification | * 1. Eligibility and Qualification of the Bidder will be evaluated against the Minimum Eligibility/Qualification requirements specified in the Section 4 (Evaluation Criteria).   2. In general terms, vendors that meet the following criteria may be considered qualified:   3. They are not included in the UN Security Council 1267/1989 Committee's list of terrorists and terrorist financiers, and in UNDP’s ineligible vendors’ list;   4. They have a good financial standing and have access to adequate financial resources to perform the contract and all existing commercial commitments,   5. They have the necessary similar experience, technical expertise, production capacity where applicable, quality certifications, quality assurance procedures and other resources applicable to the provision of the services required;   6. They are able to comply fully with UNDP General Terms and Conditions of Contract;   7. They do not have a consistent history of court/arbitral award decisions against the Bidder; and   8. They have a record of timely and satisfactory performance with their clients. |
| Evaluation of Technical and Financial Proposals | * 1. The evaluation team shall review and evaluate the Technical Proposals on the basis of their responsiveness to the Terms of Reference and other RFP documents, applying the evaluation criteria, sub-criteria, and point system specified in the Section 4 (Evaluation Criteria). A Proposal shall be rendered non-responsive at the technical evaluation stage if it fails to achieve the minimum technical score indicated in the BDS. When necessary and if stated in the BDS, UNDP may invite technically responsive bidders for a presentation related to their technical proposals.The conditions for the presentation shall be provided in the bid document where required.   2. In the second stage, only the Financial Proposals of those Bidders who achieve the minimum technical score will be opened for evaluation. The Financial Proposals corresponding to Technical Proposals that were rendered non-responsive shall remain unopened, and, in the case of manual submission, be returned to the Bidder unopened. For emailed Proposals and e-tendering submissions, UNDP will not request for the password of the FinancialProposalsof bidders whose Technical Proposal were found not responsive.   3. The evaluation method that applies for this RFP shall be as indicated in the BDS, which may be either of two (2) possible methods, as follows: (a) the lowest priced method which selects the lowest evaluated financial proposal of the technically responsive Bidders; or (b) the combined scoring method which will be based on a combination of the technical and financial score.   4. When the BDS specifies a combined scoring method, the formula for the rating of the Proposals will be as follows:   Rating the Technical Proposal (TP):  **TP Rating** = (Total Score Obtained by the Offer / Max. Obtainable Score for TP) x 100  Rating the Financial Proposal (FP):  **FP Rating** = (Lowest Priced Offer / Price of the Offer Being Reviewed) x 100  Total Combined Score:  **Combined Score =** (TP Rating) x (Weight of TP, e.g. 70%) + (FP Rating) x (Weight of FP, e.g., 30%) |
| Due Diligence | * 1. UNDP reserves the right to undertake a due diligence exercise, also called post qualification, aimed at determining to its satisfaction, the validity of the information provided by the Bidder. Such exercise shall be fully documented and may include, but need not be limited to, all or any combination of the following:      1. Verification of accuracy, correctness and authenticity of information provided by the Bidder;      2. Validation of extent of compliance to the RFP requirements and evaluation criteria based on what has so far been found by the evaluation team;      3. Inquiry and reference checking with Government entities with jurisdiction on the Bidder, or with previous clients, or any other entity that may have done business with the Bidder;      4. Inquiry and reference checking with previous clients on the performance on on-going or contracts completed, including physical inspections of previous works, as necessary;      5. Physical inspection of the Bidder’s offices, branches or other places where business transpires, with or without notice to the Bidder;      6. Other means that UNDP may deem appropriate, at any stage within the selection process, prior to awarding the contract. |
| Clarification of Proposals | * 1. To assist in the examination, evaluation and comparison of Proposals, UNDP may, at its discretion, ask any Bidder for a clarification of its Proposal.   2. UNDP’s request for clarification and the response shall be in writing and no change in the prices or substance of the Proposal shall be sought, offered, or permitted, except to provide clarification, and confirm the correction of any arithmetic errors discovered by UNDP in the evaluation of the Proposals, in accordance with RFP.   3. Any unsolicited clarification submitted by a Bidder in respect to its Proposal, which is not a response to a request by UNDP, shall not be considered during the review and evaluation of the Proposals. |
| Responsiveness of Proposal | * 1. UNDP’s determination of a Proposal’s responsiveness will be based on the contents of the Proposal itself. A substantially responsive Proposal is one that conforms to all the terms, conditions, TOR and other requirements of the RFP without material deviation, reservation, or omission.   2. If a Proposal is not substantially responsive, it shall be rejected by UNDP and may not subsequently be made responsive by the Bidder by correction of the material deviation, reservation, or omission. |
| Nonconformities, Reparable Errors and Omissions | * 1. Provided that a Proposal is substantially responsive, UNDP may waive any non-conformities or omissions in the Proposal that, in the opinion of UNDP, do not constitute a material deviation.   2. UNDP may request the Bidder to submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities or omissions in the Proposal related to documentation requirements. Such omission shall not be related to any aspect of the price of the Proposal. Failure of the Bidder to comply with the request may result in the rejection of its Proposal.   3. For Financial Proposal that has been opened, UNDP shall check and correct arithmetical errors as follows:  1. if there is a discrepancy between the unit price and the line item total that is obtained by multiplying the unit price by the quantity, the unit price shall prevail and the line item total shall be corrected, unless in the opinion of UNDP there is an obvious misplacement of the decimal point in the unit price; in which case the line item total as quoted shall govern and the unit price shall be corrected; 2. if there is an error in a total corresponding to the addition or subtraction of subtotals, the subtotals shall prevail and the total shall be corrected; and 3. if there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail.    1. If the Bidder does not accept the correction of errors made by UNDP, its Proposal shall be rejected. |
| AWARD OF CONTRACT | |
| Right to Accept, Reject, Any or All Proposals | * 1. UNDP reserves the right to accept or reject any Proposal, to render any or all of the Proposals as non-responsive, and to reject all Proposals at any time prior to award of contract, without incurring any liability, or obligation to inform the affected Bidder(s) of the grounds for UNDP’s action. UNDP shall not be obliged to award the contract to the lowest priced offer. |
| Award Criteria | * 1. Prior to expiration of the proposal validity, UNDP shall award the contract to the qualified Bidder based on the award criteria indicated in the BDS. |
| Debriefing | * 1. In the event that a Bidder is unsuccessful, the Bidder may request a debriefing from UNDP. The purpose of the debriefing is to discuss the strengths and weaknesses of the Bidder’s submission, in order to assist the Bidder in improving its future proposals for UNDP procurement opportunities. The content of other proposals and how they compare to the Bidder’s submission shall not be discussed. |
| Right to Vary Requirements at the Time of Award | * 1. At the time of award of Contract, UNDP reserves the right to vary the quantity of services and/or goods, by up to a maximum twenty-five per cent (25%) of the total offer, without any change in the unit price or other terms and conditions. |
| Contract Signature | * 1. Within fifteen (15) days from the date of receipt of the Contract, the successful Bidder shall sign and date the Contract and return it to UNDP. Failure to do so may constitute sufficient grounds for the annulment of the award, and forfeiture of the Proposal Security, if any, and on which event, UNDP may award the Contract to the Second Ranked Bidder or call for new Proposals. |
| Contract Type and General Terms and Conditions | * 1. The types of Contract to be signed and the applicable UNDP Contract General Terms and Conditions, as specified in BDS, can be accessed at <http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html> |
| Performance Security | * 1. A performance security, if required in BDS, shall be provided in the amount specified in BDS and form available at   <https://popp.undp.org/_layouts/15/WopiFrame.aspx?sourcedoc=/UNDP_POPP_DOCUMENT_LIBRARY/Public/PSU_Solicitation_Performance%20Guarantee%20Form.docx&action=default> within fifteen (15) daysof the contract signature by both parties. Where a performance security is required, the receipt of the performance security by UNDP shall be a condition for rendering the contract effective. |
| Bank Guarantee for Advanced Payment | * 1. Except when the interests of UNDP so require, it is UNDP’s preference to make no advance payment(s) (i.e., payments without having received any outputs). If an advance payment is allowed as per BDS, and exceeds 20% of the total contract price, or USD 30,000, whichever is less, the Bidder shall submit a Bank Guarantee in the full amountof the advance payment in the form available at <https://popp.undp.org/_layouts/15/WopiFrame.aspx?sourcedoc=/UNDP_POPP_DOCUMENT_LIBRARY/Public/PSU_Contract%20Management%20Payment%20and%20Taxes_Advanced%20Payment%20Guarantee%20Form.docx&action=default> |
| Liquidated Damages | * 1. If specified in BDS, UNDP shall apply Liquidated Damages resulting from the Contractor’s delays or breach of its obligations as per the Contract. |
| Payment Provisions | * 1. Payment will be made only upon UNDP's acceptance of the work performed. The terms of payment shall be within thirty(30) days, after receipt of invoice and certification of acceptance of work issued by the proper authority in UNDP with direct supervision of the Contractor. Payment will be effectedby bank transfer in the currency of contract. |
| Vendor Protest | * 1. UNDP’s vendor protest procedure provides an opportunity for appeal to those persons or firms not awarded a contract through a competitive procurement process. In the event that a Bidder believes that it was not treated fairly, the following link provides further details regarding UNDP vendor protest procedures:<http://www.undp.org/content/undp/en/home/operations/procurement/business/protest-and-sanctions.html> |
| Other Provisions | * 1. In the event that the Bidderoffers a lower price to thehost Government (e.g. General Services Administration (GSA) of the federal government of the United States of America) for similar services, UNDP shall be entitled to same lower price. The UNDP General Terms and Conditions shall have precedence.   2. UNDP is entitled to receive the same pricing offered by the same Contractor in contracts with the United Nations and/or its Agencies. The UNDP General Terms and Conditions shall have precedence.   3. The United Nations has established restrictions on employment of (former) UN staff who have been involved in the procurement process as per bulletin ST/SGB/2006/15<http://www.un.org/en/ga/search/view_doc.asp?symbol=ST/SGB/2006/15&referer> |

# Section 3. Bid Data Sheet

The following data for the services to be procured shall complement, supplement, or amend the provisions in the Request for Proposals. In the case of a conflict between the Instructions to Bidders, the Data Sheet, and other annexes or references attached to the Data Sheet, the provisions in the Data Sheet shall prevail**.**

|  |  |  |  |
| --- | --- | --- | --- |
| **BDS No.** | **Ref. to Section.2** | **Data** | **Specific Instructions / Requirements** |
| 1 | 7 | Language of the Proposal | English |
| 2 |  | Submitting Proposals for Parts or sub-parts of the TOR (partial bids) | Not Allowed |
| 3 | 20 | Alternative Proposals | Shall not be considered |
| 4 | 21 | Pre-proposal conference | Will not be conducted |
| 5 | 10 | Proposal Validity Period | 90 days |
| 6 | 14 | Bid Security | Not Required |
| 7 | 41 | Advanced Payment upon signing of contract | Not Allowed |
| 8 | 42 | Liquidated Damages | Will not be imposed |
| 9 | 40 | Performance Security | Not Required |
| 10 | 18 | Currency of Proposal | BAM or EUR or USD; (UN Operational Exchange Rate on bid opening date will be applied). The payment to the local vendor will be made in BAM |
| 11 | 31 | Deadline for submitting requests for clarifications/ questions | 5 days before the submission deadline |
| 12 | 31 | Contact Details for submitting clarifications/questions | Focal Person in UNDP: UNDP BiH, General Services  Address: Zmaja od Bosne bb, 71000 Sarajevo, Bosnia and Herzegovina  E-mail address: [registry.ba@undp.org](mailto:registry.ba@undp.org)  Ref.: RFP–BIH-025-21 |
| 13 | 18, 19 and 21 | Manner of Disseminating Supplemental Information to the RFP and responses/clarifications to queries | Direct communication to prospective Proposers by email and Posting on UNDP website |
| 14 | 23 | Deadline for Submission | **07 July 2021, 12:00 hrs, CET** |
| 15 | 22 | Allowable Manner of Submitting Proposals | - Courier/Hand Delivery and by email |
| 16 | 22 | Proposal Submission Address | UNDP BiH  Zmaja od Bosne bb  71 000 Sarajevo  Bosnia and Herzegovina  Or via email:  [registry.ba@undp.org](mailto:registry.ba@undp.org)  Ref.: RFP–BIH-025-21 |
| 17 | 22 | Electronic submission (email or eTendering) requirements | * Format: PDF files only * File names must be maximum 60 characters long and must not contain any letter or special character other than from Latin alphabet/keyboard. * All files must be free of viruses and not corrupted*.* * Password for technical proposal must not be provided to UNDP * until requested by UNDP * Password for financial proposal must not be provided to UNDP until requested by UNDP * Max. File Size per transmission:10 MB * Mandatory subject of email:Proposal for RFP–BIH-025-21 |
| 18 | 27  36 | Evaluation Method for the Award of Contract | Combined Scoring Method, using the 70%-30% distribution for technical and financial proposals respectively  The minimum technical score required to pass is 70%. |
| 19 |  | Expected date for commencement of Contract | *July 20, 2021* |
| 20 |  | Maximum expected duration of contract | 6 months |
| 21 | 35 | UNDP will award the contract to: | One Proposer Only  \*The award will also be based on assessment of bidder capacity including ongoing contracts |
| 22 | 39 | Type of Contract | Contract for Goods and Services on behalf of UN Entities  <http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html> |
| 23 | 39 | UNDP Contract Terms and Conditions that will apply | UNDP General Terms and Conditions for Professional Services  <http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html> |
| 24 |  | Other Information Related to the RFP | *N/A* |

# Section 4. Evaluation Criteria

**Preliminary Examination Criteria**

Proposals will be examined to determine whether they are complete and submitted in accordance with RFP requirements as per below criteria on a Yes/No basis:

* Appropriate signatures
* Power of Attorney
* Minimum documents provided
* Technical and Financial Proposals submitted separately
* Bid Validity

**Minimum Eligibility and Qualification Criteria**

Eligibility and Qualification will be evaluated on Pass/Fail basis.

If the Proposal is submitted as a Joint Venture/Consortium/Association, each member should meet minimum criteria, unless otherwise specified in the criterion.

|  |  |  |
| --- | --- | --- |
| **Subject** | **Criteria** | **Document Submission requirement** |
| **ELIGIBILITY** |  |  |
| **Legal Status** | Vendor is a legally registered entity | Form B: Bidder Information Form |
| **Eligibility** | Vendor is not suspended, nor debarred, nor otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization in accordance with RFP clause 3. | Form A: Technical Proposal Submission Form |
| **Conflict of Interest** | No conflicts of interest in accordance with RFP clause 4. | Form A: Technical Proposal Submission Form |
| **Bankruptcy** | Not declared bankruptcy, not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against the vendor that could impair its operations in the foreseeable future. | Form A: Technical Proposal Submission Form |
| **QUALIFICATION** |  |  |
| **History of Non-Performing Contracts[[1]](#footnote-2)** | Non-performance of a contract did not occur as a result of contractor default for the last 3 years. | Form D: Qualification Form |
| **Litigation History** | No consistent history of court/arbitral award decisions against the Bidder for the last 3 years. | Form D: Qualification Form |
| **Previous Experience** | The Bidder must have a minimum 5 years of relevant experience.  Minimum 3 contracts of similar scope, nature and complexity implemented over the last 5 years.  *(For JV/Consortium/Association, all Parties cumulatively should meet requirement).* | Form D: Qualification Form |
| **Financial Standing** | Minimum average annual turnover of USD 300,000.00 for the last 3 years.  *(For JV/Consortium/Association, all Parties cumulatively should meet requirement).* | Form D: Qualification Form |
| Bidder must demonstrate the current soundness of its financial standing and indicate its prospective long-term profitability.  *(For JV/Consortium/Association, all Parties cumulatively should meet requirement).* | Form D: Qualification Form |

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| **Personnel** | The Contractor (Consortium) must have a proposed team of ten (10) experts. At least six (6) of them should be full-time employees of service provider.  *(For JV/Consortium/Association, all Parties cumulatively should meet requirement).* | Form E: Format of Technical Proposal |

**Technical Evaluation Criteria**

|  |  |  |
| --- | --- | --- |
| **Summary of Technical Proposal Evaluation Forms** | | **Points Obtainable** |
| 1. | Bidder’s qualification, capacity and experience | 300 |
| 2. | Proposed Methodology, Approach and Implementation Plan | 400 |
| 3. | Management Structure and Key Personnel | 300 |
|  | **Total** | **1000** |

|  |  |  |
| --- | --- | --- |
| **Section 1. Bidder’s qualification, capacity and experience** | | **Points obtainable** |
| 1.1 | Reputation of Organization and Staff Credibility / Reliability / Industry Standing | 30 |
| 1.2 | General Organizational Capability which is likely to affect implementation: management structure, financial stability and project financing capacity, project management controls, extent to which any work would be subcontracted:   * + - 1. Management structure: max 20 points       2. Financial stability: max 20 points       3. Project management controls: max 10 points | 50 |
| 1.3 | Relevance of specialized knowledge and experience on similar engagements in the country/region: 150 points:   * **Experience** in development of Studies of similar scope, nature and complexity: 120 points: * Three (3) contracts – 85 * For each additional succsesfuly completed contract for similar assignment bidder will receive an additional 15 points up to maximum 120 points * **Specific experience** in conducting energy audits in the industry sector of similar scope, nature and complexity – 30 | 150 |
| 1.4 | Quality assurance procedures and risk mitigation measures | 40 |
| 1.5 | Organizational Commitment to Sustainability (mandatory weight)  -Organization is compliant with ISO 14001 or ISO 14064 or equivalent – 20 points  -Organization is a member of the UN Global Compact - 5 points  -Organization demonstrates significant commitment to sustainability through some other means- 5 points, for example internal company policy documents on women empowerment, renewable energies or membership of trade institutions promoting such issues | 30 |
| **Total Section 1** | | **300** |

|  |  |  |
| --- | --- | --- |
| **Section 2. Proposed Methodology, Approach and Implementation Plan** | | **Points obtainable** |
| 2.1 | Understanding of the requirement:  Clear and relevant reflection on the Terms of reference and the project stages and outputs: 20 points  Clear and in-depth understanding of relevant processes and specific requirements as stated in ToR: 20 points  Substantively responsive to all aspects of the Terms of reference: 30 points | 70 |
| 2.2 | Description of the Offeror’s approach, methodology and description for meeting or exceeding the requirements of the Terms of Reference, as well as substantiating technical, human, logistical and infrastructural capacity of service provider to conduct a required activities of outlined scope, volume and within strict deadlines defined in the Terms of Reference. | 180 |
| 2.3 | Details on how the different service elements shall be organized, controlled and delivered | 40 |
| 2.4 | Identification and analysis of risks and mitigation measures | 40 |
| 2.5 | Assessment of the implementation plan proposed including whether the activities are properly sequenced and if these are logical and realistic | 30 |
| 2.6 | Time plan  Time plan for performance of the service is realistic and achievable and is in line with the ToR: 20 points  Work flow, which reflects the dynamics of core process milestones, is clear and demonstrates understanding of ToR: 20 points | 40 |
| **Total Section2** | | **400** |

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| --- | --- | --- | --- |
| **Section 3. Management Structure and Key Personnel** | | | **Points obtainable** |
| 3.1 | Composition and structure of the team proposed. Are the proposed roles of the management and the team of key personnel suitable for the provision of the necessary services? |  | **35** |
| 3.2 | Qualifications of key personnel proposed |  |  |
| 3.2 a | **Key Expert** **1 – Team Leader** |  | **45** |
|  | - General experience | 15 |  |
| - Specific experience relevant to the assignment:   * Experience in leading the teams of experts in developing studies same or similar nature and complexity - 5 * Experience in developing studies related to the industry sector (related to energy efficiency, RES, energy conservation) - 5 * Experience in development of the strategic and/or legislative documents in the field of energy - 5 * Experience in conducting energy audits in the industry sector - 5 * Experience in work with IFIs and commercial FI - 5 | 25 |
| - Language Qualifications (both English and BHS languages) | 5 |
| 3.2 b | **Key Expert 2 - Senior Mechanical Engineer** |  | **30** |
|  | - General experience | 5 |  |
| - Specific experience relevant to the assignment:   * Experience in developing studies related to the industry sector (related to energy efficiency, RES, energy conservation) – 5 * Experience in development of the strategic and/or legislative documents in the field of energy – 5 * Experience in conducting energy audits in the industry sector – 5 * Possession of Module 2 authorization for complex buildings systems issued by relevant ministry – 5 | 20 |
|  | - Language Qualifications (BHS language) | 5 |
| 3.2 c | **Key Expert 3 - Senior Mechanical Engineer** |  | **30** |
|  | - General experience | 5 |  |
|  | - Specific experience relevant to the assignment:   * Experience in developing studies related to the industry sector (related to energy efficiency, RES, energy conservation) – 5 * Experience in development of the strategic and/or legislative documents in the field of energy – 5 * Experience in conducting energy audits in the industry sector – 5 * Possession of Module 2 authorization for complex buildings systems issued by relevant ministry – 5 | 20 |
|  | - Language Qualifications (BHS language) | 5 |
| 3.2 d | **Key Expert 4 – Junior Mechanical Engineer** |  | **20** |
|  | - General experience | 5 |  |
| - Specific experience relevant to the assignment:   * Experience in developing studies related to the industry sector (related to energy efficiency, RES, energy conservation) – 2.5 * Experience in development of the strategic and/or legislative documents in the field of energy – 2.5 * Experience in conducting energy audits in the industry sector – 2.5 * Possession of Module 2 authorization for complex buildings systems issued by relevant ministry – 2.5 | 10 |
|  | - Language Qualifications (BHS language) | 5 |
| 3.2 e | **Key Expert 5 - Senior Electrical Engineer** |  | **30** |
|  | - General experience | 5 |  |
|  | - Specific experience relevant to the assignment:   * Experience in developing studies related to the industry sector (related to energy efficiency, RES, energy conservation) – 5 * Experience in development of the strategic and/or legislative documents in the field of energy – 5 * experience in conducting energy audits in the industry sector – 5 * Possession of Module 2 authorization for complex buildings systems issued by relevant ministry – 5 | 20 |
|  | - Language Qualifications (BHS language) | 5 |
| 3.2 f | **Key Expert 6 – Junior Electrical Engineer** |  | **20** |
|  | - General experience | 5 |  |
| - Specific experience relevant to the assignment:   * Experience in leading the teams of experts in developing studies similar nature and complexity – 2.5 * Experience in developing studies related to the industry sector (related to energy efficiency, RES, energy conservation) – 2.5 * Experience in conducting energy audits in the industry sector – 2.5 * Possession of Module 2 authorization for complex buildings systems issued by relevant ministry – 2.5 | 10 |
|  | - Language Qualifications (BHS language) | 5 |
| 3.2 g | **Key Expert 7 - Senior Architect or Civil Engineer** |  | **30** |
|  | - General experience | 5 |  |
| - Specific experience relevant to the assignment:   * Experience in developing studies related to the industry sector (related to energy efficiency, RES, energy conservation) – 5 * Experience in development of the strategic and/or legislative documents in the field of energy – 5 * Experience in conducting energy audits in the industry sector – 5 * Possession of Module 2 authorization for complex buildings systems issued by relevant ministry – 5 | 20 |
| - Language Qualifications (BHS language) | 5 |
| 3.2 h | **Key Expert 8 - Junior Architect or Civil Engineer** |  | **20** |
|  | - General experience | 5 |  |
|  | - Specific experience relevant to the assignment:   * Experience in developing studies related to the industry sector (related to energy efficiency, RES, energy conservation) – 2.5 * Experience in development of the strategic and/or legislative documents in the field of energy – 2.5 * Experience in conducting energy audits in the industry sector – 2.5 * Possession of Module 2 authorization for complex buildings systems issued by relevant ministry – 2.5 | 10 |
|  | - Language Qualifications (BHS language) | 5 |
| 3.2 i | **Key Expert 9 - Financial Expert** |  | **20** |
|  | - General experience | 5 |  |
| - Specific experience relevant to the assignment:   * Experience in participating in teams of experts in developing studies related to energy efficiency in industry – 2.5 * Experience in developing detailed energy audits in industry in BiH or Internationally – 2.5 * Experience in development of creditworthiness documents in the field of energy – 2.5 * Experience in working with the industry sector on financing investments – 2.5 | 10 |
|  | - Language Qualifications (BHS language) | 5 |
| 3.2 j | **Key Expert 10 – Policy Expert** |  | **20** |
|  | - General experience | 5 |  |
|  | * Experience in participating in teams of experts in developing studies same or similar nature and complexity (including providing draft Rulebook, by-Laws and Laws as outputs) - 5 * Experience in development of the strategic and/or legislative documents in the field of energy - 5 | 10 |
|  | - Language Qualifications (BHS language) | 5 |  |
| **Total Section 3** | | | **300** |

# Section 5. Terms of Reference

**Terms of Reference (ToR)**

**Study on increase utilization of new energy efficient and renewable energy technologies in SMEs in Bosnia and Herzegovina**

**Description of Projects**

During the past five years UNDP has made a significant contribution in promotion of energy efficiency in BiH. The focus has been given to the introduction of energy efficiency in the public sector across Bosnia and Herzegovina by implementation of 229 energy efficiency infrastructure projects and applying EMIS (Energy Management Information System) into more than 5.500 public buildings. These activities resulted in annual CO2 emission reduction of about 14.500 tonnes, total annual costs savings of 5.000.000 BAM, better living quality for more than 150.000 users, creation of 2.090 “green jobs”, increased awareness and understanding for energy efficiency and its resulting benefits. Based on this experience and obvious results UNDP continues to promote and work on energy efficiency in public sector together with government of B&H on local and national level through different activities such as performance of energy audits, training for public administration management, EMIS installation, development of EE action plans, etc.

1. **Brief Description of the Required Service**

**OBJECTIVE**

The overall objective of the assignment is to develop a market penetration Study on utilization of new technologies in SMEs in BiH, aiming to increase utilization of energy efficient and renewable energy technologies.

**SCOPE OF WORK**

The scope of work is the delivery of a market penetration Study on utilization of new technologies in SMEs in BiH, which will be the basis for the adoption of recommended policy measures at state level government, as well as the development of detailed industrial energy audits in identified sub-sectors. Moreover, based on findings and conclusions from the Study and audits, a *Handbook for BIH SMEs on the utilization of new technologies* shall be developed.

1. **Outputs/ tasks**

The following tasks shall be carried out during this assignment:

**1. Develop Study on utilization of new technologies in SMEs in BiH**

**2. Development of a policy recommendation to be adopted on state level**

**3. Conduct five (5) detailed energy audits in five industry sectors (sub-sectors)**

**1. Develop Study on utilization of new technologies in SMEs in BiH**

It is necessary to develop such a Study which will enable enough information of currently existing gaps (legal, technological, financial, capacities, human/knowledge based), barriers, risks and conditions for green investments in BIH’s SME sector. Moreover, the document shall identify the most cost-effective technologies and analyze the possibility and probability of their utilization in BIH’s SME sector, as well as identify existing incentives in RS, FBIH and BD, international and commercial funding source. The Study must also include a gap analysis on financial mechanisms and policy measures enabling such investments and should provide recommendations for new financial mechanisms and policy measures focused on the support for green investments into BIH’s SME sector.

The Study must include the following:

* GAP analysis in industry / private sector / SMEs

The gap analysis should focus on sectoral (industry specific) technologies, policy/legal (environmental, energy and business aspects), financial (ability to finance) and human capacity gaps (but not only limited to), as well as key industry specific benchmarks, linked to utilization and investing into new/green technologies, disaggregated for each industry sector in BIH. Moreover, a reflection on best practices in the region, Europe and globally should be reflected, including EU Green Deal, EU environmental and energy related directives, competitiveness restrictions (in regard to incentives), incentive schemes and financial mechanisms and supporting green investment policies.

* Review of barriers and risks to green investments in SMEs in BiH & Challenges for governments and SMEs pursuing green growth

Based on the gap analysis a consolidated and clear list of investment barriers to pursuing green growth should be outlined, including the associated risks, challenges, key missing capacities, main actors and mitigation actions. The list should be developed for both parties - governments in BIH and SMEs.

* Identification of the cost-effective technologies

Based on identified gaps, best available industry specific techniques, technologies and reference documents, including key industry specific benchmarks, an assessment and evaluation of most cost-effective technologies for each specific industry shall be identified. This part shall include the expected investment costs, energy/environmental benefits (MWh and GHG savings), as well as possible impact on the business in terms of productivity level (increase of outputs generated, increase of resource efficiency, etc.). In this section the levelized cost of energy (LCOE) should be assessed and compared to alternative methods of energy production.

* Analysis of possibilities of their effective application in SMEs

Once green investments implementation gaps and cost-effective technologies and their effects have been identified, this part of the Study shall provide the analysis of the actual possibilities of effective application of new technologies in SMEs in BIH in terms of ability to finance green investments. Moreover, existing incentives and role of the development and commercial banks, IFI’s, bilateral development banks and blending mechanisms shall be analyzed, evaluated and new forms (mechanisms) and sources of financing elaborated and suggested.

* Recommendations for new financial mechanisms and required policy recommendations

Based on the analysis, recommendations for new financial mechanisms which should support green investments should be clearly stated – elaborating which specific sectors shall be supported (if not covering the whole SMEs sector), justifying this decision with analytical data and calculations (including the expected benefits), and recommending required policy changes.

* Conclusions

A conclusion with roadmap to implement the provided recommendations (including responsible authorities, timeframe and action points) shall be developed.

**2. Development of a policy recommendation to be adopted on state level**

Based on the recommendations on required policy measures to support green investments in BIH’s SME sector (Study), the Consultant is expected to draft in cooperation with MoFTER representatives an Information/Decision as a recommendation to all governments in BIH to adopt identified policies (adoption of new and update of existing Laws and by-Laws). The Information/Decision shall be adopted by the Council of Ministers of BIH; the consultant is expected to provide support to MoFTER and/or any other institution in this regard. The document should also provide the recommendations with the roadmap to implement provided recommendations (including responsible authorities, timeframe and action points) for lover levels of government (entities and Brcko District).

**3. Conduct five (5) detailed energy audits in five industry sectors (sub-sectors)**

Based on the findings from the Study, five industry sectors (sub-sectors) shall be identified with highest potential in utilization of green investments and its cost-effectiveness in regards to competitiveness, creation of market advantage and emission reduction potential. For these five sub-sectors, detailed industrial energy audits shall be conducted (for each sub-sector one audit) and recommendations for green investments (i.e. cost-optimal technologies) shall be provided. The consultant must identify these five SMEs in five different sub-sectors and must seek for approval from UNDP contact person. It is recommended to communicate and take into account the opinion Foreign Trade Chamber of Bosnia and Herzegovina, Chamber of Economy of FBiH, Chamber of Economy of RS while identifying the five sub-sectors as well as five SMEs/companies to conduct detailed industrial energy audits.

The detailed industrial energy audits must include the following:

* Project Background
* Executive Summary
* Introduction & General Description
* Company’s Energy Performance (minimum last 36 months)
* Previous Data & Industry Benchmarks
* Energy tariffs and any other fees linked to energy consumption and/or GHG emissions
* Monitoring of energy consumption & Managing of energy consumption and setting goals
* Existing and Potential System and Technology evaluation in regards to conservation potential/green investments
* Heating
* Cooling
* Hot Water
* Photovoltaic systems and Solar systems
* Building Insulation
* Lighting (indoor and outdoor)
* Electrical Equipment
* Motors and pumps
* Ventilation
* Compressed air
* Refrigeration
* Office and auxiliary equipment
* Other supporting systems
* Renewable energy and Co-generation Options (incl. biomass cogeneration)
* Wind generators
* Micro and mini co- or tri-generation
* Other energy consuming sources in company’s portfolio (vehicle fleet for example)
* Introduction of principles of the Energy Management System – ISO 50001 (incl. International Performance Measurement and Verification Protocol)
* Financial/investment analysis, including financing sources (incentives and grants and any other support schemes, IFI’s, commercial FI, bilateral development agencies, blending mechanisms, own financing).
* GHG emission reduction potential
* Recommendations – cost-optimal options
* Suggested Action Plan for financing and implementation of recommended options.

**4. Development of a Handbook for BIH SMEs on the utilization of new technologies**

Based on the five detailed industrial energy audits and findings from the Study a *Handbook for BIH SMEs on the utilization of new technologies* (including the financing possibilities) shall be developed and via Chamber of Commerce in BIH promoted.

It is the task of the Consultant to develop the Handbook, while UNDP will further conduct dissemination activities via the project (making the Handbook available on the web page of UNDP, Chambers of Commerce, MoFTER, etc.).

All of the above required outputs need to be submitted to UNDP in pdf and word document. Moreover, when identifying the different SME sectors – an excel database which is searchable by different industry sectors needs to be developed and submitted.

1. **Approach and Methodology**

This section should demonstrate the Offeror`s in-depth understanding of the purpose and objectives of the ToR and provide the Offeror`s approach to structuring and most effective implementation of the service. In addition, this section should clearly show the Offeror`s understanding and sufficient detailed consideration of all important aspects of the service.

Being the core instrument for the successful provision of the service, the Methodology proposed by the Offeror shall undoubtedly demonstrate capability to reach the envisaged results of the ToR and strong relevance to the specific scope, objectives and range of tasks in a complex manner.

This section should propose the Offeror`s vision and approach to organizing and performing the tasks as stated within the ToR and as per the overall scope and objectives of the service in the most logical, realistic, efficient and relevant to the context in BiH manner.

This part shall also contain a general work plan, which illustrates the anticipated workflow and timeframe of implementation of the tasks and activities.

1. **Deliverables and Schedules/Expected Outputs**

Draft Study and other documents for QA assessment will be submitted electronically. The service provider will deliver final Study on three hard copies in and three electronic versions on separate USBs, final detailed energy audits in three electronic versions on separate USBs, and Handbook in three electronic versions on separate USBs.

Successful Offerors will be requested to conduct the services in accordance to the table below. This indicates **key steps** of the services to be undertaken.

**Deliverables:**

|  |  |
| --- | --- |
| **Activity (as per TOR)** | **Estimated completion deadline** |
| Deliverable 1: Delivery of detailed methodology and work plan | Beginning of August 2021 |
| Deliverable 2: Develop Study on utilization of new technologies in SMEs in BiH | end of October 2021 |
| Deliverable 3: Development of a policy recommendation to be adopted on state level | mid of November 2021 |
| Deliverable 4: Conduct five (5) detailed energy audits in five industry sectors (sub-sectors) | mid of January 2021 |
| Deliverable 5: Development of a Handbook for BIH SMEs on the utilization of new technologies | Mid of February 2022 |

NOTE: All deliverables will be subject of QA and approval by UNDP and Ministry of Foreign Trade and Economic Relations in Bosnia and Herzegovina, as the Project partner on this activity. The Service Provider must perform corrections if significant errors/mistakes within the Study are identified during a later stage (even after QA stage and contractual obligations).

1. **Key Performance Indicators and Service Level**

Key performance indicators are as follows:

* All activities completed by defined deadlines and reports delivered in time.
* All key activities and deliverables will be subject to review by UNDP team and Project partners.
* For each deliverable, the UNDP Project team and Project partners will have up to 15 calendar days to review outputs, provide comments / feedback and/or approve report.

1. **Governance and Accountability**

Monitoring and evaluation of the Service Provider’s work will be conducted by the UNDP team. Apart from the reports specified as deliverables, the service provider is expected to report on a monthly basis, informing on the progress made; results and deliverables in place; critical reflection on issues and challenges faced, or those that may need attention in the following period. Template for these reports will be provided by UNDP.

UNDP withholds the right to request additional periodical updates/reports on particular issues. All reports will be submitted in writing to the above-mentioned persons.

Due to complexity of the tasks, Service Provider will need to appoint at least one person who will at all times be responsible for keeping track of plans, activities, progress reports and ongoing issues.

1. **Expected duration of the contract/assignment**

Expected duration of work is 8 months starting from end of July 2021. Expected date of full completion of all activities is end of February 2022.

Estimated level of effort for this assignment is approximately **total 600 expert days**.

1. **Duty Station**

Activities will be conducted at the premises of the service provider and the locations of SMEs subject to this ToR.

1. **Professional Qualifications of the Successful Contractor and its key personnel**

The Service provider must have experience in developing studies related to the industry sector (related to energy efficiency, renewable energy, energy conservation), experience in development of the strategic and/or legislative documents in the field of energy, and as well as experience in conducting energy audits in the industry sector. The offer should clearly state the personnel that will be allocated to each of the activities described.

The service provider should have a proposed team of maximum ten (10) experts. At least six (6) of them should be full-time employees of service provider.

Team leader should have knowledge of English and local languages while all other proposed experts should have knowledge of local BHS languages, since all communication and correspondence with stakeholders and SMEs will be conducted in local language.

**Following key staff qualifications:**

* **Team Leader / Key Expert 1**: One (1) energy expert with mechanical university or economics master’s degree and minimum of 15 years of experience.

- Specific experience in:

* + - Experience in leading the teams of experts in developing studies same or similar nature and complexity.
    - Experience in developing studies related to the industry sector (related to energy efficiency, RES, energy conservation)
    - Experience in development of the strategic and/or legislative documents in the field of energy
    - Experience in conducting energy audits in the industry sector
    - Experience in work with IFIs and commercial FI

- Language Qualifications (both English and BHS languages)

* **Key Expert 2 – Senior Mechanical Engineer**: One (1) university graduate mechanical engineer with minimum of 10 years of experience.

- Specific experience in:

* + - Experience in developing studies related to the industry sector (related to energy efficiency, RES, energy conservation)
    - Experience in development of the strategic and/or legislative documents in the field of energy
    - Experience in conducting energy audits in the industry sector
    - Possession of Module 2 authorization for complex buildings systems issued by relevant ministry

- Language Qualifications - BHS language

* **Key Expert 3 - Senior Mechanical Engineer**: One (1) university graduate mechanical engineer with minimum of 10 years of experience.

- Specific experience in:

* + - Experience in developing studies related to the industry sector (related to energy efficiency, RES, energy conservation)
    - Experience in development of the strategic and/or legislative documents in the field of energy
    - Experience in conducting energy audits in the industry sector
    - Possession of Module 2 authorization for complex buildings systems issued by relevant ministry

- Language Qualifications - BHS language

* **Key Expert 4 – Junior Mechanical Engineer**: One (1) university graduate mechanical engineer with minimum of 5 years of experience.

- Specific experience in:

* + - Experience in developing studies related to the industry sector (related to energy efficiency, RES, energy conservation)
    - Experience in development of the strategic and/or legislative documents in the field of energy
    - Experience in conducting energy audits in the industry sector
    - Possession of Module 2 authorization for complex buildings systems issued by relevant ministry

- Language Qualifications - BHS language

* **Key Expert 5 - Senior Electrical Engineer**: One (1) university graduate electrical engineer with minimum of 10 years of experience.

- Specific experience in:

* + - Experience in developing studies related to the industry sector (related to energy efficiency, RES, energy conservation)
    - Experience in development of the strategic and/or legislative documents in the field of energy
    - Experience in conducting energy audits in the industry sector
    - Possession of Module 2 authorization for complex buildings systems issued by relevant ministry

- Language Qualifications - BHS language

* **Key Expert 6 – Junior Electrical Engineer**: One (1) university graduate electrical engineer with minimum of 5 years of experience.

- Specific experience in:

* + - Experience in developing studies related to the industry sector (related to energy efficiency, RES, energy conservation)
    - Experience in development of the strategic and/or legislative documents in the field of energy
    - Experience in conducting energy audits in the industry sector
    - Possession of Module 2 authorization for complex buildings systems issued by relevant ministry

- Language Qualifications - BHS language

* **Key Expert 7 – Senior Architect or Civil Engineer**: One (1) university graduate architect/civil engineer with minimum of 8 years of experience.

- Specific experience in:

* + - Experience in developing studies related to the industry sector (related to energy efficiency, RES, energy conservation)
    - Experience in development of the strategic and/or legislative documents in the field of energy
    - Experience in conducting energy audits in the industry sector
    - Possession of Module 2 authorization for complex buildings systems issued by relevant ministry

- Language Qualifications - BHS language

* **Key Expert 8 - Junior Architect or Civil Engineer**: One (1) university graduate architect/civil engineer with minimum of 5 years of experience.

- Specific experience in:

* + - Experience in developing studies related to the industry sector (related to energy efficiency, RES, energy conservation)
    - Experience in development of the strategic and/or legislative documents in the field of energy
    - Experience in conducting energy audits in the industry sector
    - Possession of Module 2 authorization for complex buildings systems issued by relevant ministry

- Language Qualifications - BHS language

* **Key Expert 9 – Financial expert:** One (1) university graduate economist with minimum 8 years of experience.

- Specific experience in:

* + - Experience in developing studies related to energy efficiency in industry
    - Experience in developing detailed energy audits in industry in BiH or Internationally
    - Experience in development of creditworthiness documents in the field of energy
    - Experience in working with the industry sector on financing investments

- Language Qualifications - BHS language

* **Key Expert 10 – Policy expert:** At least one (1)University and degree in the area of economics, law or related sciences with minimum 8 years of experience.

Specific experience in:

- Specific experience in:

* + - Experience in developing studies same or similar nature and complexity (including providing draft Rulebook, by-Laws and Laws as outputs)
    - Experience in development of the local strategic and/or legislative documents in the field of energy

- Language Qualifications - BHS language

1. **Price and Schedule of Payments**

Payments of the delivered services will be done according to the following timetable, each upon Project Manager’s approval (same for both LOTs):

* **Deliverable 1** - 20% of Contract value upon successful completion of activities and upon submission and approval of **Detailed methodology and work plan** (to be submitted not later than beginning of August 2021)
* **Deliverable 2** - 30% of Contract value upon successful completion of activities and upon submission and approval of **Study** (to be submitted not later than end of October 2021)
* **Deliverable 3** - 10% of Contract value upon successful completion of activities and upon submission and approval of the **Policy recommendation** (to be submitted not later than mid-November 2021)
* **Deliverable 4** - 30% of Contract value upon successful completion of activities and upon submission and approval of **Detailed Energy Audits** (to be submitted not later than mid of January 2021)
* **Deliverable 5** - 10% of Contract value upon successful completion of activities and upon submission and approval of **Handbook** (to be submitted not later than mid of February 2022)

# Section 6: Returnable Bidding Forms / Checklist

This form serves as a checklist for preparation of your Proposal. Please complete the Returnable Bidding Forms in accordance with the instructions in the forms and return them as part of your Proposal submission. No alteration to format of forms shall be permitted and no substitution shall be accepted.

Before submitting your Proposal, please ensure compliance with the Proposal Submission instructions of the BDS 22.

**Technical Proposal Envelope:**

|  |  |
| --- | --- |
| **Have you duly completed all the Returnable Bidding Forms?** |  |
| * Form A: Technical Proposal Submission Form | ☐ |
| * Form B: Bidder Information Form | ☐ |
| * Form C: Joint Venture/Consortium/ Association Information Form | ☐ |
| * Form D: Qualification Form | ☐ |
| * Form E: Format of Technical Proposal | ☐ |
| **Have you provided the required documents to establish compliance with the evaluation criteria in Section 4?** | ☐ |

**Financial Proposal Envelope**

**(Must be submitted in a separate sealed envelope/password protected email)**

|  |  |
| --- | --- |
| * Form F: Financial Proposal Submission Form | ☐ |
| * Form G: Financial Proposal Form | ☐ |

## **Form A:** Technical Proposal Submission Form

|  |  |  |  |
| --- | --- | --- | --- |
| Name of Bidder: | [Insert Name of Bidder] | Date: | Select date |
| RFP reference: | BIH/RFP/022/21 | | |

We, the undersigned, offer to provide the services for [Insert Title of services] in accordance with your Request for Proposal No. [Insert RFP Reference Number]and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and our Financial Proposal sealed under a separate envelope.

We hereby declare that our firm, its affiliates or subsidiaries or employees, including any JV/Consortium /Association members or subcontractors or suppliers for any part of the contract:

1. is not under procurement prohibition by the United Nations, including but not limited to prohibitions derived from the Compendium of United Nations Security Council Sanctions Lists;
2. have not been suspended, debarred, sanctioned or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization;
3. have no conflict of interest in accordance with Instruction to Bidders Clause 4;
4. do not employ, or anticipate employing, any person(s) who is, or has been a UN staff member within the last year, if said UN staff member has or had prior professional dealings with our firm in his/her capacity as UN staff member within the last three years of service with the UN (in accordance with UN post-employment restrictions published in ST/SGB/2006/15);
5. have not declared bankruptcy, are not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against them that could impair their operations in the foreseeable future;
6. undertake not to engage in proscribed practices, including but not limited to corruption, fraud, coercion, collusion, obstruction, or any other unethical practice, with the UN or any other party, and to conduct business in a manner that averts any financial, operational, reputational or other undue risk to the UN and we embrace the principles of the United Nations Supplier Code of Conduct and adhere to the principles of the United Nations Global Compact.

We declare that all the information and statements made in this Proposal are true and we accept that any misinterpretation or misrepresentation contained in this Proposal may lead to our disqualification and/or sanctioning by the UNDP.

We offer to provide services in conformity with the Bidding documents, including the UNDP General Conditions of Contract and in accordance with the Terms of Reference

Our Proposal shall be valid and remain binding upon us for the period of time specified in the Bid Data Sheet.

We understand and recognize that you are not bound to accept any Proposal you receive.

I, the undersigned, certify that I am duly authorized by [Insert Name of Bidder] to sign this Proposal and bind it should UNDP accept this Proposal.

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

[*Stamp with official stamp of the Bidder*]

## **Form B:** Bidder Information Form

|  |  |
| --- | --- |
| **Legal name of Bidder** | [Complete] |
| **Legal address** | [Complete] |
| **Year of registration** | [Complete] |
| **Bidder’s Authorized Representative Information** | Name and Title: [Complete]  Telephone numbers: [Complete]  Email: [Complete] |
| **Are you a UNGM registered vendor?** | ☐ Yes ☐ No If yes, [insert UGNM vendor number] |
| **Are you a UNDP vendor?** | ☐ Yes ☐ No If yes, [insert UNDP vendor number] |
| **Countries of operation** | [Complete] |
| **No. of full-time employees** | [Complete] |
| **Quality Assurance Certification (e.g. ISO 9000 or Equivalent) (***If yes, provide a Copy of the valid Certificate):* | [Complete] |
| **Does your Company hold any accreditation such as ISO 14001 related to the environment?** *(If yes, provide a Copy of the valid Certificate):* | [Complete] |
| **Does your Company have a written Statement of its Environmental Policy?** *(If yes, provide a Copy)* | [Complete] |
| **Contact person UNDP may contact for requests for clarification during Proposal evaluation** | Name and Title: [Complete]  Telephone numbers: [Complete]  Email: [Complete] |
| **Please attach the following documents:** | * Company Profile, which should not exceed fifteen (15) pages, including printed brochures and product catalogues relevant to the goods/services being procured * Confirmation of company’s registration/Court Registration Extract (original or certified copy) * Official Letter of Appointment as local representative, if Bidder is submitting a Bid in behalf of an entity located outside the country. * Reference letters form minimum 3 relevant consultancies/assignments of similar scope, nature and complexity implemented over the last 5 years. Recommendation letters must indicate contact details of purchasers, the scope, nature and dates of the completed projects. * Relevant Licenses/Certificates for key experts |

## **Form C:** Joint Venture/Consortium/Association Information Form

|  |  |  |  |
| --- | --- | --- | --- |
| Name of Bidder: | [Insert Name of Bidder] | Date: | Select date |
| RFP reference: | [Insert RFP Reference Number] | | |

To be completed and returned with your Proposal if the Proposal is submitted as a JointVenture/Consortium/Association.

|  |  |  |
| --- | --- | --- |
| **No** | **Name of Partner and contact information** *(address, telephone numbers, fax numbers, e-mail address)* | **Proposed proportion of responsibilities (in %) and type of services to be performed** |
| 1 | [Complete] | [Complete] |
| 2 | [Complete] | [Complete] |
| 3 | [Complete] | [Complete] |

|  |  |
| --- | --- |
| **Name of leading partner**  (with authority to bind the JV, Consortium, Association during the RFP process and, in the event a Contract is awarded, during contract execution) | [Complete] |

We have attached a copy of the below document signed by every partner, which details the likely legal structure of and the confirmation of joint and severable liability of the members of the said joint venture:

☐Letter of intent to form a joint venture ***OR*** ☐JV/Consortium/Association agreement

We hereby confirm that if the contract is awarded, all parties of the Joint Venture/Consortium/Association shall be jointly and severally liable to UNDP for the fulfillment of the provisions of the Contract.

|  |  |
| --- | --- |
| Name of partner: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | Name of partner: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
|  |  |
| Name of partner: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | Name of partner: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

## **Form D:** QualificationForm

|  |  |  |  |
| --- | --- | --- | --- |
| Name of Bidder: | [Insert Name of Bidder] | Date: | Select date |
| RFP reference: | [Insert RFP Reference Number] | | |

If JV/Consortium/Association, to be completed by each partner.

**Historical Contract Non-Performance**

|  |  |  |  |
| --- | --- | --- | --- |
| ☐ Contract non-performance did not occur for the last 3 years | | | |
| ☐ Contract(s) not performed for the last 3 years | | | |
| **Year** | **Non- performed portion of contract** | **Contract Identification** | **Total Contract Amount** (current value in US$) |
|  |  | Name of Client:  Address of Client:  Reason(s) for non-performance: |  |

**Litigation History** (including pending litigation)

|  |  |  |  |
| --- | --- | --- | --- |
| ☐ No litigation history for the last 3 years | | | |
| ☐ Litigation History as indicated below | | | |
| **Year of dispute** | **Amount in dispute** (in US$) | **Contract Identification** | **Total Contract Amount** (current value in US$) |
|  |  | Name of Client:  Address of Client:  Matter in dispute:  Party who initiated the dispute:  Status of dispute:  Party awarded if resolved: |  |

**Previous Relevant Experience**

Please list only previous similar assignments successfully completed in the last 5 years.

List only those assignments for which the Bidder was legally contracted or sub-contracted by the Client as a company or was one of the Consortium/JV partners. Assignments completed by the Bidder’s individual experts working privately or through other firms cannot be claimed as the relevant experience of the Bidder, or that of the Bidder’s partners or sub-consultants, but can be claimed by the Experts themselves in their CVs. The Bidder should be prepared to substantiate the claimed experience by presenting copies of relevant documents and references if so requested by UNDP.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Project name & Country of Assignment** | **Client & Reference Contact Details** | **Contract Value** | **Period of activity and status** | **Types of activities undertaken** |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

*Bidders may also attach their own Project Data Sheets with more details for assignments above.*

☐  Attached are the Statements of Satisfactory Performance from the Top 3 (three) Clients or more.

**Financial Standing**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Annual Turnover for the last 3 years** | | Year       USD  Year       USD  Year       USD | | |
| **Latest Credit Rating (if any), indicate the source** | |  | | |
| **Financial information**  (in US$ equivalent) | **Historic information for the last 3 years** | | | | |
|  | Year 1 | | Year 2 | Year 3 | |
|  | *Information from Balance Sheet* | | | | |
| Total Assets (TA) |  | |  |  | |
| Total Liabilities (TL) |  | |  |  | |
| Current Assets (CA) |  | |  |  | |
| CurrentLiabilities (CL) |  | |  |  | |
|  | *Information from Income Statement* | | | | |
| Total / Gross Revenue (TR) |  | |  |  | |
| Profits Before Taxes (PBT) |  | |  |  | |
| Net Profit |  | |  |  | |
| Current Ratio |  | |  |  | |

☐ Attached are copies of the audited financial statements (balance sheets, including all related notes, and income statements) for the years required above complying with the following condition:

* 1. Must reflect the financial situation of the Bidder or party to a JV, and not sister or parent companies;
  2. Historic financial statements must be audited by a certified public accountant;
  3. Historic financial statements must correspond to accounting periods already completed and audited. No statements for partial periods shall be accepted.

## **Form E:** Format of Technical Proposal

|  |  |  |  |
| --- | --- | --- | --- |
| Name of Bidder: | [Insert Name of Bidder] | Date: | Select date |
| RFP reference: | BIH/RFP/0\_\_/21 | | |

The Bidder’s proposal should be organized to follow this format of Technical Proposal. Where the bidder is presented with a requirement or asked to use a specific approach, the bidder must not only state its acceptance, but also describe how it intends to comply with the requirements. Where a descriptive response is requested, failure to provide the same will be viewed as non-responsive.

**SECTION 1: Bidder’s qualification, capacity and expertise**

* 1. Brief description of the organization, including the year and country of incorporation, and types of activities undertaken.
  2. General organizational capability which is likely to affect implementation: management structure, financial stability and project financing capacity, project management controls, extent to which any work would be subcontracted (if so, provide details).
  3. Relevance of specialized knowledge and experience on similar engagements done in the region/country.
  4. Quality assurance procedures and risk mitigation measures.
  5. Organization’s commitment to sustainability.

**SECTION 2: Proposed Methodology, Approach and Implementation Plan**

This section should demonstrate the bidder’s responsiveness to the TOR by identifying the specific components proposed, addressing the requirements, providing a detailed description of the essential performance characteristics proposed and demonstrating how the proposed approach and methodology meets or exceeds the requirements. All important aspects should be addressed in sufficient detail and different components of the project should be adequately weighted relative to one another.

* 1. Explain how you understand the requirement(s), its important aspects and components.
  2. A detailed description of the approach and methodology for how the Bidder will achieve the Terms of Reference of the project, keeping in mind the appropriateness to local conditions and project environment.
  3. Explain how the different service elements shall be organized, controlled and delivered. The methodology shall also include details of the Bidder’s internal technical and quality assurance review mechanisms. Also, explain whether any work would be subcontracted, to whom, how much percentage of the work, the rationale for such, and the roles of the proposed sub-contractors and how everyone will function as a team.
  4. Identification and analysis of risks and mitigation measures (e.g. Risk Assessment Matrix).
  5. Implementation plan including a Gantt Chart or Project Schedule indicating the detailed sequence of activities that will be undertaken and their corresponding timing.
  6. Demonstrate how you plan to integrate sustainability measures in the execution of the contract.
  7. Any other comments or information regarding the project approach and methodology that will be adopted.

**SECTION 2A: Bidder’s Comments and Suggestions on the Terms of Reference**

Provide comments and suggestions on the Terms of Reference, or additional services that will be rendered beyond the requirements of the TOR, if any.

**SECTION 3: Management Structure and Key Personnel**

* 1. Describe the overall management approach toward planning and implementing the project. Include an organization chart for the management of the project describing the relationship of key positions and designations. Provide a spreadsheet to show the activities of each personnel and the time allocated for his/her involvement.
  2. Provide CVs for key personnel that will be provided to support the implementation of this project using the format below. CVs should demonstrate qualifications in areas relevant to the Scope of Services.

**Format for CV of Proposed Key Personnel**

|  |  |
| --- | --- |
| Name of Personnel | [Insert] |
| Position for this assignment | [Insert] |
| Nationality | [Insert] |
| Language proficiency | [Insert] |
| Education/ Qualifications | *[Summarize college/university and other specialized education of personnel member, giving names of schools, dates attended, and degrees/qualifications obtained.]* |
| [Insert] |
| Professional certifications | *[Provide details of professional certifications relevant to the scope of services]* |
| * Name of institution: [Insert] * Date of certification: [Insert] |
| Employment Record/ Experience | *[List all positions held by personnel (starting with present position, list in reverse order), giving dates, names of employing organization, title of position held and location of employment. For experience in last five years, detail the type of activities performed, degree of responsibilities, location of assignments and any other information or professional experience considered pertinent for this assignment.]* |
| [Insert] |
| References | *[Provide names, addresses, phone and email contact information for two (2) references]* |
| Reference 1:  [Insert]  Reference 2:  [Insert] |

I, the undersigned, certify that to the best of my knowledge and belief, these data correctly describe my qualifications, my experiences, and other relevant information about myself.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature of Personnel Date (Day/Month/Year)

## **Form G:** Financial ProposalForm

|  |  |  |  |
| --- | --- | --- | --- |
| Name of Bidder: | [Insert Name of Bidder] | Date: | Select date |
| RFP reference: | [Insert RFP Reference Number] | | |

The Bidder is required to prepare the Financial Proposal following the below format and submit it in an envelope separate from the Technical Proposal as indicated in the Instruction to Bidders. Any Financial information provided in the Technical Proposal shall lead to Bidder’s disqualification.

The Financial Proposal should align with the requirements in the Terms of Reference and the Bidder’s Technical Proposal. VAT should be stated separately.

**Currency of the proposal:** [Insert Currency]

**Table 1: Summary of Overall Prices**

|  |  |
| --- | --- |
|  | **Amount(s)** |
| **Professional Fees** (from Table 2) |  |
| **Other Costs** (from Table 3) |  |
| **Total Amount of Financial Proposal** |  |

**Table 2: Breakdown of Professional Fees**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Name** | **Position** | **Fee Rate** | **No. of Days/months/ hours** | **Total Amount** |
| *A* | *B* | *C=A+B* |
| In-Country |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
| Home Based |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
| **Subtotal Professional Fees:** | | | |  |

**Table 3: Breakdown of Other Costs**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Description** | **UOM** | **Quantity** | **Unit Price** | **Total Amount** |
| International flights | Trip |  |  |  |
| Subsistence allowance | Day |  |  |  |
| Miscellaneous travel expenses | Trip |  |  |  |
| Local transportation costs | Lump Sum |  |  |  |
| Out-of-Pocket Expenses |  |  |  |  |
| Other Costs: (please specify) |  |  |  |  |
| **Subtotal Other Costs:** | | | |  |

**Table 4: Breakdown of Price per Deliverable/Activity**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Deliverable/**  **Activity description** | **Time**  (person days) | **Professional Fees** | **Other Costs** | **Total** |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

Bid Validity: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**[*Stamp with official stamp of the Bidder*]**

1. Non-performance, as decided by UNDP, shall include all contracts where (a) non-performance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Non-performance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Bidder have been exhausted. [↑](#footnote-ref-2)