

13 July 2021

INDIVIDUAL CONSULTANT PROCUREMENT NOTICE

for <u>individual consultants</u> and <u>individual consultants assigned by consulting firms/institutions</u>

Country:	Viet Nam
Description of the assignment:	01 National Consultant on Monitoring and Evaluation of Climate change adaptation
Period of assignment/services (if applicable):	July 2021 – December 2021
Duty Station:	Vietnam
Tender reference:	T210703

1. Submissions should be sent by email to: luu.thi.trang@undp.org no later than:

23.59 hrs., 21 July 2021 (Hanoi time)

With subject line:

T210703-01 National Consultant on Monitoring and Evaluation of Climate change adaptation

Submission received after that date or submission not in conformity with the requirements specified this document will not be considered.

Note:

- Any individual employed by a company or institution who would like to submit an offer in response to this Procurement Notice must do so in their individual capacity, even if they expect their employers to sign a contract with UNDP.
- Maximum size per email is 30 MB.
- Any request for clarification must be sent in writing, or by standard electronic communication to the address or e-mail indicated above. Procurement Unit UNDP Viet Nam will respond in writing or by standard electronic mail and will send written copies of the response, including an explanation of the query without identifying the source of inquiry, to all consultants.

- After submitting proposal, bidder should send notification by email (without attachment) to: procurement.vn@undp.org informing that the bidder has submitted proposal. UNDP will not be responsible for the missing of proposal if the bidder does not send notification email to above address.
- Female consultants are encouraged to bid for this required service. Preference will be given to equally technically qualified female consultants.

2. Please find attached the relevant documents:

- (Annex IV)
- 3. Interested individual consultants must submit the following documents/information (in English, PDF Format) to demonstrate their qualifications:
 - a. Technical component:
 - Signed Curriculum vitae
 - Signed Letter to UNDP Confirming Interest and Availability
 - 01 Vietnamese and 01 English written reports/examples to be submitted)

b. Financial proposal (with your signature):

- The financial proposal shall specify a total lump sum amount in <u>VND for national</u> consultant and <u>US dollar for International Consultant</u> including consultancy fees and all associated costs i.e. airfares, travel cost, meal, accommodation, tax, insurance etc. see format of financial offer in Annex V.
- Please note that the cost of preparing a proposal and of negotiating a contract, including any related travel, is not reimbursable as a direct cost of the assignment.
- If quoted in other currency, prices shall be converted to the above currency at UN Exchange Rate at the submission deadline.

4. Evaluation

The technical component will be evaluated using the following criteria:

A National Consultant

No.	Requirement	Points
1	Qualifications: Master's degree on transport sector, public administration, economics, environmental science/management, natural resource management, and climate change or related field 120 points	150
	PhD is preferential 150 points;	
2	Experience: Minimum of 10 years working experience in the disciplines of environmental science/management, transport infrastructure, natural resource management, and climate change, particularly in developing and implementing M&E frameworks for climate change adaptation would be an advantage;	150
3	Other Competencies: Extensive experience in training of relevant subjects or related field of transport infrastructure;	150
4	Other Competencies: Good knowledge of the legislative and policy aspects of the adaptation framework in Viet Nam, including legal and policy enforcement at national and subnational levels;	150
5	Other Competencies: Demonstrated track record in the management, monitoring and reporting of climate change projects, preferably working with government, private sectors, NGOs, and other key stakeholders;	150
6	Other Competencies: Sound knowledge on National Adaptation Plan and relevant regional and global climate change processes (including under the UNFCCC), their monitoring and reporting mechanisms and their implementation progress in Viet Nam would be an advantage;	100
7	Other Competencies: Good knowledge of gender equality and civil society participation are advantageous;	50
8	Other Competencies: Excellent Vietnamese and English drafting (provision of one Vietnamese and one English written reports/examples is required).	100
	Total	1,000

A two-stage procedure is utilized in evaluating the submissions, with evaluation of the technical components being completed prior to any price proposals being opened and compared.

The price proposal will be opened only for submissions that passed the minimum technical score of 70% of the obtainable score of 1000 points in the evaluation of the technical component. The technical component is evaluated on the basis of its responsiveness to the

Term of Reference (TOR). Maximum 1000 points will be given to the lowest offer and the other financial proposals will receive the points inversely proportional to their financial offers. i.e. Sf = 1000 x Fm / F, in which Sf is the financial score, Fm is the lowest price and F the price of the submission under consideration.

The weight of technical points is 70% and financial points is 30%.

Submission obtaining the highest weighted points (technical points + financial points) will be selected subject to positive reference checks on the consultant's past performance.

5. Contract

"Lump-sum" Individual Contract will be applied for freelance consultant (Annex II)

"Lump-sum" RLA will be applied for consultant assigned by firm/institution/organization (Annex III)

Documents required before contract signing:

- International consultant whose work involves travel is required to complete the courses on BSAFE which is the new online security awareness training and submit certificate to UNDP before contract issuance.
- <u>Note</u>: In order to access the courses, please go to the following link: https://training.dss.un.org
 The training course takes around 3-4 hours to complete.
- Full medical examination and Statement of Fitness to work for consultants from and above 65 years of age and involve travel. (This is not a requirement for RLA contracts).
- Release letter in case the selected consultant is government official.

6. Payment

UNDP shall effect payments to the consultant (by bank transfer to the consultant's bank account provided in the vendor form upon acceptance by UNDP of the deliverables specified the TOR.

Payments are based upon outputs, i.e. upon delivery of the products specified in the TOR.

If two currencies exist, UNDP exchange rate will be applied at the day UNDP instructs the bank to effect to the payment.

7. Your proposals are received on the basis that you fully understand and accept these terms and conditions.



TERMS OF REFERENCE

REF#: ENTER REF#

Name of service:	01 Individual National Consultant on Monitoring and Evaluation of Climate change adaptation		
Project:	Viet Nam National Adaptation Plan (NAP) Development and Operationalization Support Project		
Reporting to:	GCF Project Manager/UNDP PO on Climate Change and Resilience and MOT Project Director		
Duty Station:	Ha Noi Travel Required: No		
Duration of Assignment:	65 days		
Start Date:	7/30/2021 End Date: 12/31/2021		

I. BACKGROUND & PROJECT DESCRIPTION

With its extensive coastline and deltaic plains, Viet Nam is considered to be among the most vulnerable countries to climate change globally. Extreme weather events such as typhoons and tropical storms have increased in intensity, magnifying socio-economic and health impacts on rural, urban, coastal, and mountain communities, and endangering critical transportation and drainage infrastructure. The Mekong Delta is one of the most susceptible deltas in the world to sea level rise.

To address climate change, the Government of Viet Nam developed a series of national climate change, green growth, and sustainable development policies, strategies, and action plans. One key planning instrument is the GoV's National Strategy on Climate Change 2012-2020. To date, the government has been able to put in place several key climate change related decisions, including the landmark Plan for Implementation of the Paris Agreement in 2016 and approved its domestic National Adaptation Plan (NAP) in the year 2020. In addition, Viet Nam is among the first countries to have submitted its Updated Nationally Determined Contribution (NDC) to the UNFCCC in July 2020, which has a strong adaptation component.

The main readiness challenge in Viet Nam to effectively address climate change is the lack of capacity in government structures to effectively integrate climate change adaptation into plans and budgets at different levels and to design, operationalize, finance, and monitor adaptation actions. Barriers include lack of technical capacity to integrate data and information, and undertake assessments for adaptation planning, lack of capacity for appraising adaptation options in sector and provincial departments, ineffective interministerial coordination, lack of active participation from private sector and local communities, lack of financing strategies, limited government and external resources, and lack of participatory and results-based monitoring and evaluation frameworks.

To address these barriers, the **Viet Nam National Adaptation Plan (NAP) Development and Operationalization Support Project (NAP-SUP)** is designed along the following outcomes:

- Outcome 1: Capacity for data integration enhanced and National Adaptation Plan (NAP) prepared and aligned with NDC review;
- Outcome 2: National adaptation plan and CCA integrated into national, subnational, and sectoral development plans and budget of priority sectors with private sector participation; and
- Outcome 3: Participatory results-based monitoring and evaluation mechanism developed and operationalized.

These three outcomes and their associated activities will contribute to the overall objective of the project, which is to "establish an effective system to integrate climate change adaptation into government administration processes in the priority sectors." The priority sectors to be addressed under this proposal are: Agriculture and Rural Development, Transport, Health, Natural Resources and Environment, and Planning and Investment.

To strengthen evidence-based adaptation planning, the MOT is working on their sectoral adaptation monitoring and evaluation system. UNDP will become a partner for MOT to develop their sectoral M&E system. For this task, UNDP seeks one national consultant to advance MOT's M&E system.

II. OBJECTIVES

UNDP is looking for a National Consultant to support MOT and UNDP to enhance the adaptation monitoring and evaluation system. The consultant will be supervised by the MOT's Project Director and the UNDP Project Manager and is expected to work closely with MOT officials and other mobilized technical consultants.

The consultant will more specifically be responsible to:

- 1. Review national and international best-practices of adaptation M&E frameworks and recommend a shortlist of climate adaptation indicators to systematically monitor the implementation progress of adaptation in close synergy with Viet Nam's NAP M&E process.
 - Lead in the specification and design of M&E climate adaptation tracking system for MOT to enforce regular data entries and updates of those indicators from stakeholders into the system (data sources, frequency if inputs, data analytics, reporting and synergies with other climate database available by MONREas a minimum); and
- 2. Develop standard operation procedures/M&E handbook and training materials and provide capacity building trainings on the M&E System.

III.SCOPE OF WORK

The successful consultant will be expected to be responsible for the following:

To implement the objective, the consultant will work with MOT in Ha Noi and is expected to undertake the following activities during the assignment:

Task 1: Design M&E climate adaptation tracking system for MOT.

- Build clear approach and methodology for shortlisting key adaptation indicators for and targets for MOT following Paris Rule Book and transparency process. Ensure linkages with MONRE's NAP M&E approach, integration of gender and social inclusion into the system;
- Propose MOT adaptation and NAP indicators as part of the national M&E system, while ensuring an open-sourced system that could be transparently communicated to the international community every two years via NAP process;
- Review and assess the current status of monitoring and evaluation database (M&E) of climate change adaptation under MOT (covering different transportation subsectors);

- Support MOT to organize consultation meetings on climate change adaptation and NAP indicators with key subsector representatives to validate and select a set of adequate indicators;
- Support MOT to ensure a regular meeting, inputs and coordination with MONRE's NAP technical working groups on M&E, database and adaptation financing; and
- Develop a report proposing the adaptation and NAP indicators in transport sector.

Task 2: Develop standard operation procedures/M&E handbook and training materials and provide capacity building trainings on the M&E System.

- Develop standard operation procedures/M&E handbook and training materials for MOT's M&E stakeholders to strengthen capacity; and
- Support MOT to strengthen capacity on implementing monitoring and evaluation (M&E) of climate change adaptation in the transportation sectors.

IV. DELIVERABLES& IMPLEMENTATION TIMELINE

No.	Deliverable	Estimated days to complete	Target due date
1	Product 1: Report covering: (i) national and international experience of adaptation M&E frameworks and CCA indicators for the transport sector; and (ii) current status of M&E database of adaptation under MOT.	30	9/15/2021
2	Product 2: Report proposing enhanced and feasible adaptation-related indicators to improve MOT's M&E system (identified NAP indicators need to link MOT's M&E system with MONRE's NAP M&E system).	20	10/31/2021
3	Product 3: Finalize a set of NAP indicators and standard operation procedures/handbooks and training materials for data collection, input and analysis of M&E results for NAP in the Transport Sector.	15	12/31/2021

V. DURATION OF ASSIGNMENT, DUTY STATION & EXPECTED PLACES OF TRAVEL

Estimated number of working days: 65 working days from 7/30/2021 to 12/31/2021.

Duty station: Ha Noi

Expected places of travel: No travels outside of the duty station.

VI. PROVISION OF MONITORING & PROGRESS CONTROL

The consultant will work under the supervision of the MOT's Project Director, the UNDP Project Manager and in the collaboration with MONRE.

All deliverables of the consultant will be submitted in both Vietnamese (draft and final) and in English (for final products). The progress and deliverables will be reviewed by UNDP Project Manager and relevant experts assigned by UNDP. The final products will be approved by UNDP and MOT.

VII. ADMINISTRATIVE SUPPORT & REFERENCE DOCUMENTS Administrative Support

The selected consultant will be responsible for providing necessary preparation for consultation meetings and workshops. Meetings/workshops will be facilitated or chaired by MOT and UNDP.

Reference Documents

Once selected, the consultant will receive detail project document, workplan and relevant reference by UNDP and MOT.

VIII. DEGREE OF EXPERTISE & QUALIFICATIONS

VIII. DEGREE OF EATERTISE & QUALIFICATIONS			
Qualifications	 Master's degree in transport sector, public administration, economics, environmental science and/or management, natural resource management, and climate change or related field (PhD is preferential); 		
Relevant Professional Experience	• Minimum of 10 years working experience in the disciplines of environmental science/management, transport infrastructure, natural resource management, and climate change, particularly in developing and implementing M&E frameworks for climate change adaptation would be an advantage;		
	• Extensive experience in training of relevant subjects or related field of transport infrastructure;		
	Good knowledge of the legislative and policy aspects of the adaptation framework in Viet Nam, including legal and policy enforcement at national and subnational levels;		
	• Demonstrated track record in the management, monitoring and reporting of climate change projects, preferably working with government, private sectors, NGOs, and other key stakeholders;		
Other Competencies	• Sound knowledge on National Adaptation Plan and relevant regional and global climate change processes (including under the UNFCCC), their monitoring and reporting mechanisms and their implementation progress in Viet Nam would be an advantage;		
	 Proven interpersonal skills to work in teams with a strong ability to effectively communicate and pursue strategic negotiations; 		
	Good knowledge of gender equality and civil society participation are advantageous; and		
Language Requirements	• Excellent Vietnamese and English draftingskills (provision of two written reports/examples is required).		

IX. PAYMENT TERMS

No.	Deliverables as indicated in Section IV	Due Date	Payment Amount
1	Product 1: Report covering: (i) national and international experience of adaptation M&E frameworks and CCA indicators for the transport sector; and (ii) current status of M&E database of adaptation activities/projects/programs under MOT.	9/15/2021	45% of the contract value
2	Product 2: Report proposing enhanced and feasible adaptation-related indicators to improve MOT's M&E system (identified NAP indicators need to link MOT's M&E system with MONRE's NAP M&E system).	10/31/2021	30% of the contract value
4	Product 3: Finalise a set of NAP indicators and standard operation procedures/handbooks and training materials for data collection, input and analysis of M&E results for NAP in the Transport Sector.	12/31/2021	25% of the contract value

X. CONSULT	TANT PRESENCE RE	EQUIRED ON DUTY STA	ATION
\square NONE	\square PARTIAL	☑ INTERMITTENT	☐ FULL-TIME

XI. EVALUATION CRITERIA

No.	Requirement	Points
1	Qualifications: Master's degree on transport sector, public administration, economics, environmental science/management, natural resource management, and climate change or related field 120 points PhD is preferential 150 points;	150
2	Experience: Minimum of 10 years working experience in the disciplines of environmental science/management, transport infrastructure, natural resource management, and climate change, particularly in developing and implementing M&E frameworks for climate change adaptation would be an advantage;	150
3	Other Competencies: Extensive experience in training of relevant subjects or related field of transport infrastructure;	150
4	Other Competencies: Good knowledge of the legislative and policy aspects of the adaptation framework in Viet Nam, including legal and policy enforcement at national and subnational levels;	
5	Other Competencies: Demonstrated track record in the management, monitoring and reporting of climate change projects, preferably working	150

	Total	1,000
8	Other Competencies: Excellent Vietnamese and English drafting (provision of one Vietnamese and one English written reports/examples is required).	100
7	Other Competencies: Good knowledge of gender equality and civil society participation are advantageous;	50
6	Other Competencies: Sound knowledge on National Adaptation Plan and relevant regional and global climate change processes (including under the UNFCCC), their monitoring and reporting mechanisms and their implementation progress in Viet Nam would be an advantage;	100
	with government, private sectors, NGOs, and other key stakeholders;	

Criteria for Evaluation of Proposal

Offers will be evaluated according to the Combined Scoring method – where the educational background and experience on similar assignments will be weighted at 70% and the financial proposal will weigh at 30% of the total scoring.

The applicant receiving the Highest Combined Score and meeting other requirements in the Procurement Notice will be awarded the contract.

Documents for Submission

Applicants will be expected to include the following along with their application:

Core Documents

- 1. **Letter of Confirmation of Interest and Availability** using the template provided by UNDP:
- 2. Current and complete CV in English;
- 3. 02 sample reports (one English and one Vietnamese);
- 4. **Financial offer** using the standard UNDP template.

Additional Documents

Enter any additional documents required for evaluation here, if not already listed above. Delete this section if it is not applicable.

OFFEROR'S LETTER TO UNDP

CONFIRMING INTEREST AND AVAILABILITY FOR THE INDIVIDUAL CONTRACTOR (IC) ASSIGNMENT

	Date
Un	ame of Resident Representative/Bureau Director) ited Nations Development Programme secify complete office address)
De	ar Sir/Madam:
I h	ereby declare that:
A)	I have read, understood and hereby accept the Terms of Reference describing the duties and responsibilities of [indicate title of assignment] under the [state project title];
B)	I have also read, understood and hereby accept UNDP's General Conditions of Contract for the Services of the Individual Contractors;
C)	I hereby propose my services and I confirm my interest in performing the assignment through the submission of my CV which I have duly signed and attached hereto as Annex 1;
D)	In compliance with the requirements of the Terms of Reference, I hereby confirm that I am available for the entire duration of the assignment, and I shall perform the services in the manner described in my proposed approach/methodology which I have attached hereto as Annex 3 [delete this item if the TOR does not require submission of this document];
E)	I hereby propose to complete the services based on the following payment rate: [please check the box corresponding to the preferred option]:
	An all-inclusive daily fee of [state amount in words and in numbers indicating currency]
	A total lump sum of [state amount in words and in numbers, indicating exact currency], payable in the manner described in the Terms of Reference.
F)	For your evaluation, the breakdown of the abovementioned all-inclusive amount is attached hereto as Annex V;
G)	I recognize that the payment of the abovementioned amounts due to me shall be based on my delivery of outputs within the timeframe specified in the TOR, which shall be subject to UNDP's review, acceptance and payment certification procedures;
H)	This offer shall remain valid for a total period of days [minimum of 90 days] after the submission deadline;

I)	spouse [disclo	/partner, brother or	r sister) curr he relative, t	gree relative (mother ently employed with the UN office employests];	any UN agen	cy or office
J)	If I am	selected for this ass	ignment, I sh	all [please check the a	opropriate box]:
		with UNDP a Rein	oyer <i>[state nar</i> nbursable Loa	ne of company/organiz n Agreement (RLA), f	or and on my b	ehalf. The
K)	I hereb	y confirm that [chec		employer for this purpole blies]:	oose are as follo	ows:
		At the time of this of engagement with		I have no active Indivess Unit of UNDP;	ridual Contract	or any form
		0 0	•	NDP and/or other entiti	es for the follo	wing work:
		Assignment	Contract Type	UNDP Business Uni / Name of Institution/Compan	Contract	Contract Amount
	I am also anticipating conclusion of the following work from UNDP and/or other entities for which I have submitted a proposal:					
		Assignment	Contrac Type	Name of Institution/ Company	Contract Duration	Contract Amount
						Amount

- L) I fully understand and recognize that UNDP is not bound to accept this proposal, and I also understand and accept that I shall bear all costs associated with its preparation and submission and that UNDP will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the selection process.
- M) If you are a former staff member of the United Nations recently separated, please add this section to your letter: I hereby confirm that I have complied with the minimum break in service required before I can be eligible for an Individual Contract.
- N) I also fully understand that, if I am engaged as an Individual Contractor, I have no expectations nor entitlements whatsoever to be re-instated or re-employed as a staff member.

public in	Are any of your relatives employed by UNDP, any other UN organization or any other public international organization? YES NO If the answer is "yes", give the following information:				
TES	Name	Relationship	Name of International Organization		
-	have any objections to our ma	aking enquiries of your p	present employer?		
employ'	n now, or have you ever beer? S NO If answer is	-	vant in your government's		
	ENCES: List three persons, er and qualifications.	not related to you, w	ho are familiar with your		
	Full Name	Full Address	Business or Occupation		
proceed minor tr	S) Have you been arrested, indicted, or summoned into court as a defendant in a criminal proceeding, or convicted, fined or imprisoned for the violation of any law (excluding minor traffic violations)? YES NO If "yes", give full particulars of each case in an attached statement				
I certify that the statements made by me in answer to the foregoing questions are true, complete and correct to the best of my knowledge and belief. I understand that any misrepresentation or material omission made on a Personal History form or other document requested by the Organization may result in the termination of the service contract or special services agreement without notice.					
DAT	DATE: SIGNATURE:				
NB. You will be requested to supply documentary evidence which support the statements you have made above. Do not, however, send any documentary evidence until you have been asked to do so and, in any event, do not submit the original texts of references or testimonials unless they have been obtained for the sole use of UNDP.					
☐ CV	s [please check all that applies] shall include Education/Qual		Certification, Employment		
_	Records /Experience Breakdown of Costs Supporting the Final All-Inclusive Price as per Template				

GUIDELINES FOR CV PREPARATION

WE REQUEST THAT YOU USE THE FOLLOWING CHECKLIST WHEN PREPARING

Your CV:

Limit the CV to 3 or 4 pages

NAME (First, Middle Initial, Family Name)

Address:

City, Region/State, Province, Postal Code

Country:

Telephone, Facsimile and other numbers

Internet Address:

Sex, Date of Birth, Nationality, Other Citizenship, Marital Status

Company associated with (if applicable, include company name, contact person and phone number)

SUMMARY OF EXPERTISE

Field(s) of expertise (be as specific as possible)

Particular development competencies-thematic (e.g. Women in Development, NGOs, Privatization, Sustainable Development) or technical (e.g. project design/evaluation) Credentials/education/training, relevant to the expertise

LANGUAGES

Mother Tongue:

Indicate written and verbal proficiency of your English:

SUMMARY OF RELEVANT WORK EXPERIENCE

Provide an overview of work history in reverse chronological order. Provide dates, your function/title, the area of work and the major accomplishments include honorarium/salary. References (name and contact email address) must be provided for each assignment undertaken by the consultant that UNDP may contact.

UN SYSTEM EXPERIENCE

If applicable, provide details of work done for the UN System including WB. Provide names and email address of UN staff who were your main contacts. Include honorarium/salary.

UNIVERSITY DEGREES

List the degree(s) and major area of study. Indicate the date (in reverse chronological order) and the name of the institution where the degree was obtained.

PUBLICATIONS

Provide total number of Publications and list the titles of 5 major publications (if any)

MISCELLANEOUS

Indicate the minimum and maximum time you would be available for consultancies and any other factors, including impediments or restrictions that should be taken into account in connection with your work with this assignment.

Annex V

FINANCIAL OFFER

Having examined the Solicitation Documents, I, the undersigned, offer to provide all the services in the TOR for the sum of (VND for National Consultant and USD for International Consultant).

This is a lump sum offer covering all associated costs for the required service (fee, meal, accommodation, travel, taxes etc).

No.	Description	Quantity	Unit Rate	Total
1	Consultancy fee			
2	Out of pocket expenses			
2.1	Travel			
2.2	Per diem			
2.3	Full medical examination and Statement of Fitness to work for consultants from and above 65 years of age and involve travel – (required before issuing contract). *			
2.4	Others (pls. specify)			
2.5	VAT** if applicable for			
	local firm (in case your			
	company signs the contract)			
	Total			

^{*} Individual Consultants/Contractors who are over 65 years of age with assignments that require travel and are required, <u>at their own cost</u>, to undergo a full medical examination including x-rays and obtaining medical clearance from <u>an UN-approved doctor</u> prior to taking up their assignment.

I undertake, if my proposal is accepted, to commence and complete delivery of all services specified in the contract within the time frame stipulated.

I agree to abide by this proposal for a period of 120 days from the submission deadline of the proposals.

Dated this day /month of year

Signature

(The costs should only cover the requirements identified in the Terms of Reference (TOR) Travel expenses are not required if the consultant will be working from home).

^{**} Individual Consultants/Contractors who request their employer to sign a Reimbursable Loan Agreement (RLA) with UNDP for their behalves are reminded to add the Value Added Tax into the total lump sum of the Financial Offer if applicable.