

REQUEST FOR PROPOSAL (RFP-BD-2021-015)

	DATE: July 19, 2021
NAME & ADDRESS OF FIRM	REFERENCE: Re-advertisement-RFP-
	BD-2021-015

Dear Sir / Madam:

We kindly request you to submit your Proposal for - **Hiring a firm for Programme Evaluation of National Resilience Programme (NRP) of UNDP Bangladesh (Re-advertisement)**

Proposals shall be submitted on or before 4.30 p.m. (local time) on Wednesday, August 04, 2021

Please be guided by the form attached hereto as **Annex 2**, in preparing your Proposal.

Proposals may be submitted on or before the deadline indicated by UNDP in the e-Tendering system. Bids must be submitted in the online e-Tendering system in the following link: <u>https://etendering.partneragencies.org</u>; using your username and password. If you have not registered in the system before, you can register now by logging in using

Username: event.guest **Password:** why2change

and follow the registration steps as specified in the **System User Guide**. Your Proposal must be expressed in the **English**, and valid for a **minimum period of 90 Days**. You are kindly requested to indicate whether your company intends to submit a Proposal by clicking on "**Accept Invitation**" in the system.

In the course of preparing and submitting your Proposal, it shall remain your responsibility to ensure that it submitted into the system by the deadline. The system will automatically block and not accept any bid after the deadline. Kindly ensure attaching the required supporting documents (*with file name less than 60 characters*) in pdf format which must be free from any virus or corrupted files. Proposals that are received by UNDP after the deadline indicated above, for whatever reason, shall not be considered for evaluation.

The Financial Proposal and the Technical Proposal files **MUST BE COMPLETELY SEPARATE** and **uploaded separately** in the system and clearly named as either "TECHNICAL PROPOSAL" or "FINANCIAL PROPOSAL", as appropriate. Each document shall include the Proposer's name and address. **The file with the "FINANCIAL PROPOSAL" must be encrypted with a password** so that it cannot be opened nor viewed until the Proposal has been found to pass the technical evaluation stage. Once a Proposal has been found to be responsive by passing the technical evaluation stage, UNDP shall request via email the Proposer to submit the password to open the Financial Proposal. The Proposer shall assume the responsibility for not encrypting the financial proposal.

PLEASE DO NOT PUT THE PRICE OF YOUR PROPOSAL IN THE 'LINE ITEMS' IN THE SYSTEM. INSTEAD PUT 1 AND UPLOAD THE FINANCIAL PROPOSAL AS INSTRUCTED ABOVE.

Services proposed shall be reviewed and evaluated based on completeness and compliance of the Proposal and responsiveness with the requirements of the RFP and all other annexes providing details of UNDP requirements.

The Proposal that complies with all of the requirements, meets all the evaluation criteria and offers the best value for money shall be selected and awarded the contract. Any offer that does not meet the requirements shall be rejected. Any discrepancy between the unit price and the total price shall be recomputed by UNDP, and the unit price shall prevail, and the total price shall be corrected. If the Service Provider does not accept the final price based on UNDP's re-computation and correction of errors, its Proposal will be rejected.

No price variation due to escalation, inflation, fluctuation in exchange rates, or any other market factors shall be accepted by UNDP after it has received the Proposal. At the time of Award of Contract or Purchase Order, UNDP reserves the right to vary (increase or decrease) the quantity of services and/or goods, by up to a maximum twenty-five per cent (25%) of the total offer, without any change in the unit price or other terms and conditions.

Any Contract or Purchase Order that will be issued as a result of this RFP shall be subject to the General Terms and Conditions attached hereto. The mere act of submission of a Proposal implies that the Service Provider accepts without question the General Terms and Conditions of UNDP, herein attached as Annex-3. Please be advised that UNDP is not bound to accept any Proposal, nor award a contract or Purchase Order, nor be responsible for any costs associated with a Service Providers preparation and submission of a Proposal, regardless of the outcome or the manner of conducting the selection process.

UNDP's vendor protest procedure is intended to afford an opportunity to appeal for persons or firms not awarded a Purchase Order or Contract in a competitive procurement process. In the event that you believe you have not been fairly treated, you can find detailed information about vendor protest procedures in the following link:

http://www.undp.org/content/undp/en/home/operations/procurement/business/protest-and-sanctions.html

UNDP encourages every prospective Service Provider to prevent and avoid conflicts of interest, by disclosing to UNDP if you, or any of your affiliates or personnel, were involved in the preparation of the requirements, design, cost estimates, and other information used in this RFP.

UNDP implements a zero tolerance on fraud and other proscribed practices, and is committed to preventing, identifying and addressing all such acts and practices against UNDP, as well as third parties involved in UNDP activities. UNDP expects its Service Providers to adhere to the UN Supplier Code of Conduct found in this link :

https://www.un.org/Depts/ptd/sites/www.un.org.Depts.ptd/files/files/attachment/page/pdf/unsc c/conduct_english.pdf

Thank you and we look forward to receiving your Proposal.

Sincerely yours,



Annex 1

Description of Requirements

Context of the Requirement	Re-advertisement - Hiring a firm for Programme Evaluation of National Resilience Programme (NRP) of UNDP Bangladesh
Implementing Partner of UNDP	National Resilience Programme (NRP) of UNDP
Brief Description of the Required Services	This evaluation aims to measure the contributions made by the NRP as defined in the results framework (Annex-A) as well as to assess different aspects of the programme based on the evaluation criteria (relevance, efficiencies, effectiveness, coherence, impact and sustainability), lessons learned and recommended follow-up actions.
	The National Resilience Programme (NRP) is a unique partnership between the Bangladesh government and the United Nations Development Programme (UNDP), UN Women, and United Nations Office for Project Services (UNOPS), that provides strategic support to develop national capacity to keep pace with the changing nature of disasters. The programme has been designed with financing from the Foreign Commonwealth & Development Office (FCDO, UK previously known as DFID) and the Swedish International Development Cooperation Agency (SIDA).
	National Resilience Program (NRP) has the following sponsoring ministries- Ministry of Disaster Management and Relief, Ministry of Planning, Ministry of Local Government, Rural Development & Cooperatives, and Ministry of Women and Children Affairs. This is a joint programme being implemented by four Government agencies of Bangladesh: Department of Disaster Management, Programming Division of Bangladesh Planning Commission, Department of Women Affairs and Local Government Engineering Department. According to the latest approved joint programme document, the timeline of NRP is 1 May 2017 – 31 December 2021, although the programme kicked off in late 2018. It has been decided by the Joint Programme Steering Committee to revise programme timeline from 1 Jan 2018 to 31 December 2021 to address the delayed start and achieving targeted results. (For the details, please visit <u>http://www.nrpbd.org/index.php</u>).
	The purpose of this evaluation is to assess the results of the National Resilience Programme as guided by the Theory of Change (ToC) and based on strategic actions throughout implementation. The programme evaluation will assess the results achieved in terms of policy support and institutionalization through interventions over the years. It will identify value additions in terms of knowledge /skill enhancement and sharing towards facilitating national resilience. It will also explore the gaps and areas of focus, which need further attention for promoting national resilience. Additionally, this evaluation will have a strategic approach to identify potential sectoral collaboration, and approaches/ areas of scaling up that should be taken forward to build resilient Bangladesh.
List and Description of Expected Outputs to be Delivered	The consulting firm will present the appropriate work modality/approach detailed in the ToR. Other interim reports may be produced to facilitate the completion of the assignment at the discretion of the consulting firm. The firm will ensure comprehensive, coherent, high quality reporting, in a clear and professional format in due consultation with the UNDP team.

Note that the selected firm will get total of 70 days from the date of contract signing. The detailed breakdown of submitting deliverables and duration is given in table 02.

	 Reporting: Prepare and submit the draft evaluation report and 4- 6-page synopsis of the evaluation report Organize a sharing meeting for UNDP and relevant stakeholders Incorporate feedback and comments from UNDP and stakeholders Submit a final report and a summary report to UNDP together with other deliverables 	20 days	Within 14 weeks of signing the contract
Person to Supervise the Work/Performance of the Service Provider	The consultant firm will work under the overall super Specialist - Disaster and Resilience, R&IG Cluster.	rvision of t	the Programme
Frequency of Reporting	As indicated in the TOR		
Progress Reporting Requirements Location of work	As indicated in the TOR ⊠ As indicated in the TOR		
Expected duration of work Target start date Latest completion	This consultancy work will require a total of 70 days from signing over a period of 03 months. Second week of August 2021 First Week of November 2021	n the date o	f contract
date Travels Expected	As indicated in the ToR		
Special Security Requirements	 Security Clearance from UN prior to travelling Completion of UN's Basic and Advanced Security Train Comprehensive Travel Insurance Others Not Applicable 	ing	
Facilities to be Provided by UNDP (i.e., must be excluded from Price Proposal)	All costs related to this assignment including logis accommodation, travel etc. shall be borne by the contract the lump sum amount as per contract and shall be paid as as per the ToR.	or. Wherea	s UNDP will pay
Implementation Schedule indicating breakdown and timing of activities/sub- activities	⊠ Required □ Not Required		
Names and curriculum vitae of individuals who will be involved in completing the services	⊠ Required □ Not Required		

Inited States Dollars USD				
☐ must be exclusive of VA [*] As per Article II, Section 7, of the C Nations including UNDP as a subsid all direct taxes, custom restriction	onvention on ti liary organ of C , duties for its	he Privileges an General Assembl official use. UN	d immunities pro y of the United N	ovides, that the United ations, is exempt from
of the Proposal beyond what	at has been i	nitially indic	ated in this R	FP. The Proposal
Deliverables/ Outputs	Estimated duration	Tentative Due Dates	Payment Schedule	Review and Approvals Required
Submission of Inception report and detailed methodologies notes, including 1) overall evaluation study strategies, 2) detailed work plan, 3) sampling strategies (household sampling and coverage of FGDs/ KIIs), 4) evaluation matrix, 5) data collection methodologies & protocols, 6) data quality control methods, 7) data analysis methodologies, and 8) gender assessment plan and evaluation matrix Submission of all data collection tools and protocols [After satisfactory quality check by NRP & UNDP] Submission of Draft evaluation report	15 days 35 days	First week of September 21 First week	25% of the contracted amount 50% of the contracted	NRP/ Deputy Resident Representative, UNDP Bangladesh/ Head of R&IG Cluster, UNDP Bangladesh/ M&E focal point, UNDP Bangladesh
_	 □ Euro ⊠ Local Currency BDT □ must be exclusive of VA As per Article II, Section 7, of the C Nations including UNDP as a subside all direct taxes, custom restriction Exemption Certificate covering the second in exceptional circumstance of the Proposal beyond what shall then confirm the extent the Proposal. ☑ Deliverables/ Outputs Submission of Inception report and detailed methodologies notes, including 1) overall evaluation study strategies, 2) detailed work plan, 3) sampling strategies (household sampling and coverage of FGDs/ KIIs), 4) evaluation matrix, 5) data collection methodologies & protocols, 6) data quality control methods, 7) data analysis methodologies, and 8) gender assessment plan and evaluation matrix Submission of all data collection tools and protocols [After satisfactory quality check by NRP & UNDP] Submission of Draft 	☑ Local Currency BDT ☐ must be exclusive of VAT and other As per Article II, Section 7, of the Convention on t. Nations including UNDP as a subsidiary organ of 0 all direct taxes, custom restriction, duties for its Exemption Certificate covering the subject procure □ 60 days ☑ 90 days 120 days In exceptional circumstances, UNDP may of the Proposal beyond what has been i shall then confirm the extension in writi the Proposal. ☑ Not permitted ☑ submission of Inception report and detailed methodologies notes, including 1) overall evaluation study strategies, 2) detailed work plan, 3) sampling strategies (household sampling and coverage of FGDs/ KIIs), 4) evaluation matrix, 5) data collection methodologies & protocols, 6) data quality control methods, 7) data analysis methodologies, and 8) gender assessment plan and evaluation matrix Submission of all data collection tools and protocols [After satisfactory quality check by NRP & UNDP] 35 days	□ Euro ⊠ Local Currency BDT □ must be exclusive of VAT and other applicable As per Article II, Section 7, of the Convention on the Privileges an Nations including UNDP as a subsidiary organ of General Assemblial direct taxes, custom restriction, duties for its official use. UN Exemption Certificate covering the subject procurement. □ 600 days □ 900 days □ 1200 days	□ Euro ☑ Local Currency BDT □ must be exclusive of VAT and other applicable indirect taxe As per Article II, Section 7, of the Convention on the Privileges and immunities provide a subsidiary organ of General Assembly of the United N all direct taxes, custom restriction, duties for its official use. UNDP will provide Exemption Certificate covering the subject procurement. □ 60 days ◎ 90 days □ 120 days □ 620 days □ 640 days ◎ 90 days □ 120 days In exceptional circumstances, UNDP may request the Proposer to e of the Proposal beyond what has been initially indicated in this R shall then confirm the extension in writing, without any modificati the Proposal. ☑ Not permitted Deliverables/ Outputs Estimated duration Payment Schedule Submission of Inception report and detailed methodologies notes, including 1) overall evaluation study strategies, 2) detailed work plan, 3) sampling strategies (household sampling and coverage of FGDs/ KIIS), 4) evaluation matrix, 5) data collection methodologies, and 8) gender assessment plan and evaluation matrix In evaluation matrix Submission of all data collection tools and protocols [After satisfactory quality check by NRP & UNDP] St days First week 50% of the

	Submission of Final Evaluation report, incorporating the feedback and comments. (including Data Collection Tools, Checklists, Questionnaires, Datasets) [After satisfactory quality check by NRP & UNDP]20 days daysFirst week of December 2125% of the contracted amountImage: Submission of Final point of the feedback and comments. (including Data Collection Tools, Checklists, Questionnaires, Datasets) [After satisfactory quality check by NRP & UNDP]20 days To daysFirst week of contracted amountTotal days consultant wise70 days100%
Person(s) to review/inspect/ approve outputs/completed services and authorize the disbursement of payment	The programme evaluation report needs to be cleared by the M&E focal point at UNDP Bangladesh Country Office and approved by the Deputy Resident Representative and the Bangkok Regional Hub.
Type of Contract to be Signed	 ☑ Purchase Order □ Institutional Contract ☑ Contract for Professional Services □ Long-Term Agreement (<i>if LTA will be signed, specify the document that will trigger the call-off. E.g., PO, etc.</i>) □ Other Type of Contract [<i>pls. specify</i>]
Criteria for Contract Award	 Lowest Price Quote among technically responsive offers Highest Combined Score (based on the 70% technical offer and 30% price weight distribution) Full acceptance of the UNDP Contract General Terms and Conditions (GTC). This is a mandatory criterion and cannot be deleted regardless of the nature of services required. Non-acceptance of the GTC may be grounds for the rejection of the Proposal.
Criteria for the Assessment of Proposal	Evaluation and comparison of proposals: Prior to the technical evaluation all proposals will be screened based on the minimum eligibility criteria mentioned below:
	Minimum eligibility criteria of the consultancy firm:
	The minimum qualifications of the firm are as follows-
	 Profile (which should not exceed fifteen (15) pages including any printed brochure relevant to the services being procured) – describing the nature of business, field of expertise, licenses, certifications, accreditations. The firm must be a registered entity. Updated Registration Papers, Tax Payment Certification, etc. must be submitted. Latest Audited Financial Statement – income statement and balance sheet to indicate its financial stability, liquidity, credit standing, and market

reputation, etc.

- At least five years of experience in evaluating projects in the areas of policy reform and institutional capacity strengthening for disaster management including disaster response, recovery, women's right and Gender Equity, Climate Change and Resilience and infrastructure-based projects or in any relevant field. The firm needs to submit successful completion certificates of the relevant experiences stated with the proposal.
- Have experience of conducting at least 03 similar types of assignments in the last five years (macro project or policy level) evaluation in the areas of Disaster Risk Information/Climate adaptation or Resilience for the UN Agencies, GoB, or multilateral agencies like ADB, World Bank etc. The firm needs to submit successful completion certificates of the relevant experiences stated with the proposal.
- Written Self-Declaration that the company is not in the UN Security Council 1267/1989 List, UN Procurement Division List, or Other UN Ineligibility List.

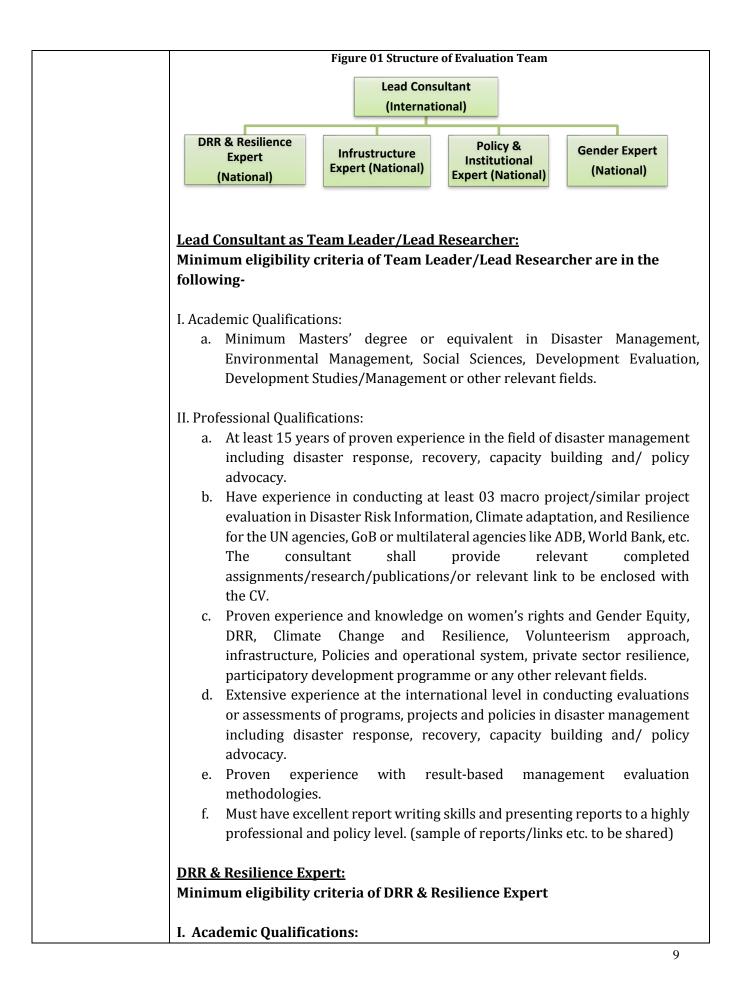
Special Note:

• No involvement in the design and implementation of the NRP project. Any individual of the selected firm who had prior involvement in the design and implementation of the NRP project or those who have been directly or indirectly related to the NRP project are not eligible for this consultancy due to conflict of interests.

• Firms that do not meet the above eligibility criteria shall not be considered for further evaluation. Necessary documentation must be submitted to substantiate the above eligibility criteria.

Along with other manpower required for carrying out the assignment, the firm should provide detailed information and curriculum vitae of the following key personnel with the Proposal: This assignment requires senior and professional advice based on substantive experience and qualifications of the contracting firm, based on a team approach comprising of four national level consultants and a Lead Consultant (International) (Figure 01).

Additionally, UNOPS and UN Women will provide two experts to support the evaluation. Both experts will work in close collaboration with four (04) national consultants of this assignment and team leader. Moreover, there will be two (02) field supervisor and Twelve (12) data enumerators to support the experts in data collection and processing. The structure of the programme evaluation team as follows:



 Minimum Masters' degree or equivalent in Civil Engineering/ Hydrology/ Geology, Environmental Science/ Management/ Climate Change Science/ Disaster Management/ Physics/ International Development/ Mathematics/ Statistics or any other related fields.
II. Professional Qualifications:
a. At least 07 years of on-hand experience of inclusive disaster risk management especially in the areas of risk-informed, gender-responsive and disability-inclusive planning.
b. Experience of at least 03 completed assignments with a good understanding of risk-informed planning, DRR, Climate adaptation, and Resilience List of completed assignments/research/publications to be enclosed with the CV.
c. Experience in conducting evaluations or assessment of programs, projects and policies in disaster management including disaster response, recovery, capacity building and/ policy advocacy
d. Proven experience of working with a multi-disciplinary team.
<u>Infrastructure Expert:</u> Minimum eligibility criteria for Infrastructure Expert
I. Academic Qualifications:
a. Minimum Master's degree in a discipline relevant to civil engineering, development planning, project management, or other closely related fields.
II. Professional Experience:
 a. At least 07 years' experience in infrastructure in the development context at a strategic/advisory level, with a focus on resilience, capacity enhancement and organizational change. b. Have experience of conducting at least 03 national-level macro project
 evaluations in similar areas. List of completed assignments/research/publications to be enclosed with the CV. c. Demonstrated understanding of issues related to gender responsive infrastructure will be an asset
Policy & Institutional Expert:
Minimum eligibility criteria of Policy & Institutional Expert
 I. Academic Qualifications: a. Minimum Masters' degree or equivalent in disaster management, environmental studies, Economics, Political Science, public administration, Law, development studies/management, or other relevant fields.
II. Professional Qualifications:a. Minimum 07 years of working experiences in policy and institutional issues at a senior level, preferably in disaster, and climate change areas

 b. Experience in completing at least 03 assignments in a similar field. List of completed assignments/research/publications to be enclosed with the CV. c. Proven experience of Government development planning process, including Annual Development Programme, Five Year Plans, particularly about project formulation, and appraisal. d. Expertise in policy and governance analysis in climate change and disaster sectors.
L Academic Qualification.
I. Academic Qualification:
 Minimum Master's degree in social sciences (i.e. gender studies, sociology, demography, psychology, political science etc.), disaster management, environmental sciences, development studies or in any other relevant field.
II. Professional Qualifications:
a. A minimum of 07 years of experience in the field of gender equality and
disaster risk management
b. Experience in completing at least 03 assignments in a similar field. List of
 completed assignments/research/publications to be enclosed with the CV. c. A proven track record in conducting evaluations or assessment of programs, projects and policies, analytical reports and publication in gender and disaster risk management issues.
d. Extensive experience and expertise in gender analysis, gender
mainstreaming, and women's empowerment in Bangladesh
e. Specific expertise on the nexus of disaster risk reduction, and gender equality, and gender-responsive programming will be an asset.
Field Coordinator/ Field Supervisor (Position 02):
Minimum eligibility criteria for Field Coordinator
Academic Qualifications:
a. Minimum Master's in social sciences. Degree in disaster management,
environmental management, social sciences, development evaluation,
development studies/management or in any other relevant field.
II. Professional Qualifications:
a. Minimum 5 years of progressive experience in conducting/coordinating
research, assessments, reviews and evaluation of similar nature.
b. At least 2 assignments related to data collection and management of
survey/research and evaluation. (List of completed research to be enclosed.) c. Proven experience to lead large-scale data collection in the field.

Data Enumerators (Position 12):
Minimum eligibility criteria of Data Enumerators:
Minimum englomety effectia of Data Enumerators.
 I. Academic Qualifications: a. Bachelor's in social sciences. Degree disaster management, environmental management, social sciences, development evaluation, development studies/management or in any other relevant field
 II. Professional Qualifications: a. Minimum 2 years of progressive experience in conducting research, assessments, reviews and evaluation of similar nature. b. At least two assignments focusing disaster related research. c. Experience in field data collection.
Note: It is recommended that the firm keep adequate representation of female in team formation. The firm can add more field coordinators and data enumerators if necessary, with providing justification.
Competencies:
All the key personnel must possess the below competencies.
 Corporate Competencies: Demonstrates integrity by modeling the UN's values and ethical standards (human rights, peace, understanding between peoples and nations, tolerance, integrity, respect, and impartiality; Promotes the vision, mission, and strategic goals of UNDP; Displays cultural, gender, religion, race, nationality and age sensitivity and adaptability.
 Functional Competencies: Excellent skills in writing, editing and oral communication skills in English, and Bangla is a must for four national consultant positions; Ability to discuss with government senior officials, private sector and development partners; Understanding of Joint UN Programmes experience will be an asset Experience of working in Bangladesh. Ability to work with multi-disciplinary group of people Demonstrates openness to change, flexibility, and ability to manage complexities;

Note: Necessary documents must be submitted to substantiate the above eligibility
criteria. Consultancy firm that do not meet the above eligibility criteria shall not be
considered for further evaluation. The firm must provide signed CV's of all proposed
personnel for the assignment, stating name, highest academic qualification,
professional certification, length of experience, role/function or other related
information.

Technical Proposal (70%)

 \boxtimes Expertise of the Firm

 \boxtimes Methodology, Its Appropriateness to the Condition and Timeliness of the Implementation Plan

Management Structure and Qualification of Key Personnel

Summary of Technical Proposal Evaluation Forms		Points Obtainable
1	Bidder's qualifications, capacity and experience	200
2	Proposed Method, Working Approach and Implementation Plan	300
3	Management Structure and Key Personnel	200
4	Financial proposal	300
	Total	1000

Sectio	n 1. Bidder's qualification, capacity and experience	Points obtainal
	The reputation of Firm Organization and Staff Credibility /	
1.1	Reliability / Industry Standing / Litigation and Arbitration	30
	History	
	Experience in evaluating projects in the areas of policy	
	reform and institutional capacity strengthening for	
1.2	disaster management including disaster response,	50
	recovery, women's right and Gender Equity, Climate	
	Change and Resilience and infrastructures-based projects.	
	Have experience of similar types of assignments (macro	
	project or policy level) of evaluation in the areas of	100
1.3	Disaster Risk Information/Climate adaptation or	
	Resilience for the UN Agencies, GoB, or multilateral	
	agencies like ADB, World Bank etc.	
	Experience in strong project management skills and a	
1 4	good understanding of macro level policy/programming	20
1.4	evaluation as well as working experience with the United	20
	Nations or similar mission-driven organizations	
Total	Section 1	200
Sectio	n 2. Proposed Method, Working Approach and	Points
Imple	mentation Plan	obtainab

Total Section 2		300
2.5	including risk management plan	
2.3	Quality of the proposed work plan and operation plan	60
	assignment	120
2.2	Description of the Bidder's approach and tools to the	120
	Terms of Reference	
2.1	methods for meeting or exceeding the requirements of the	120
	Description of the Bidder's working approach and	

Sectio	n 3. Management Structure and Key Personnel	Points obtainable
3.1	Composition and structure of the team proposed. Are the proposed roles of the management and the team of key personnel suitable for the provision of the necessary services?	20
3.2	Qualifications of key personnel proposed	
3.2.1	Project Manager or Team Leader (education and relevant experience – 2 criteria)	50
	Expert Name	
	Minimum Masters In relevance of education	10
	Experience in the relevant areas	20
	Experience in conducting macro project/similar project evaluation in Disaster Risk Information, Climate adaptation, and Resilience for the UN agencies, GoB or multilateral agencies like ADB, World Bank, etc.	20
3.2.2	<i>Expert -1:</i> DRR and resilient Expert, infrastructure Expert, Policy & Institutional Expert, and Gender expert) (Number of Position: 04)	100
	Experts' name	
	Combined experience in the relevant areas	40
	Knowledge and experience of the Bangladesh context	20
	Proven experience in the similar assignments	40
3.2.3	Field Coordinator (Number of Position: 02)	30
	Expert's name	
	Experiences in in respective areas	15
	Experience in the similar assignments	15
Total	Section 3	200

Financial Proposal (30%)

To be computed as a ratio of the Proposal's offer to the lowest price among the proposals received by UNDP.

UNDP will award the contract to:	⊠ One and only one Service Provider		
Annexes to this	☑ Form for Submission of Proposal (Annex 2)		
RFP	General Terms and Conditions / Special Conditions (Annex 3)		
	☑ Detailed TOR (Annex 4)		
	☑ Others [Written Self-Declaration (Annex 5)		
	UNDP Procurement Cluster, UNDP Bangladesh email: <u>bd.procurement@undp.org</u>		
Contact Person for	ondri i rocurement diuster, ondri bunghudesn einan. <u>buprocuremente anap.org</u>		
Inquiries	If any potential company has any query about the RFP document, that has to be		
(Written inquiries	submitted to the UNDP contact person mentioned above through email ID within		
only)	<u>27 July 2021 by 4:00 pm</u> .		
	<u>"Attn. BD Procurement- Queries on Re-Advertisement - RFP-BD-2021-015- Hiring a</u>		
	firm for Programme Evaluation of National Resilience Programme (NRP) of		
	<u>UNDP Bangladesh</u>		
	Any delay in UNDP's response shall be not used as a reason for extending the		
	deadline for submission, unless UNDP determines that such an extension is		
	necessary and communicates a new deadline to the Proposers.		
Other Information	* For attention:		
[pls. specify]	The Financial Proposal and the Technical Proposal files <u>MUST BE SEPARATE</u> and		
	uploaded <u>SEPARATELY</u> . Financial proposal must be 'password' protected.		
	A pre-bid meeting will be held as per detail below:		
	Time: 12.00 PM (Bangladesh Local time)		
	Date: 27 July 2021		
	Venue: Online		
	Kindly join the meeting using the below link:		
	https://undp.zoom.us/j/84799392972?pwd=V2NrSXpOcVFVOHRJQzVYUExXdWc3dz09&from=addon		
	Join Zoom Meeting (Click on this)		
	E-mail: bd.procurement@undp.org		

Annex 2

FORM FOR SUBMITTING SERVICE PROVIDER'S PROPOSAL

(This Form must be submitted only using the Service Provider's Official Letterhead/Stationery)

[insert: Location]. [insert: Date]

To: [insert: Name and Address of UNDP focal point]

Dear Sir/Madam:

We, the undersigned, hereby offer to render the following services to UNDP in conformity with the requirements defined in the RFP dated *[specify date]*, and all of its attachments, as well as the provisions of the UNDP General Contract Terms and Conditions: A. **Oualifications of the Service Provider**

Minimum eligibility criteria of the consultancy firm:

- Profile (which should not exceed fifteen (15) pages including any printed brochure relevant to the services being procured) – describing the nature of business, field of expertise, licenses, certifications, accreditations.
- The firm must be a registered entity. Updated Registration Papers, Tax Payment Certification, etc. must be submitted.
- Latest Audited Financial Statement income statement and balance sheet to indicate its financial stability, liquidity, credit standing, and market reputation, etc.
- At least five years of experience in evaluating projects in the areas of policy reform and institutional capacity strengthening for disaster management including disaster response, recovery, women's right and Gender Equity, Climate Change and Resilience and infrastructure-based projects or in any relevant field. The firm needs to submit successful completion certificates of the relevant experiences stated with the proposal.
- Have experience of conducing at least 03 similar types of assignments in the last five years (macro project or policy level) evaluation in the areas of Disaster Risk Information/Climate adaptation or Resilience for the UN Agencies, GoB, or multilateral agencies like ADB, World Bank etc.
- Written Self-Declaration that the company is not in the UN Security Council 1267/1989 List, UN Procurement Division List, or Other UN Ineligibility List.

Minimum eligibility criteria of key personnel:

The firm should provide detailed information and curriculum vitae of the following key personnel with the Proposal:

<u>Lead Consultant as Team Leader/Lead Researcher:</u> Minimum eligibility criteria of Team Leader/Lead Researcher are in the following:

I. Academic Qualifications:

b. A Minimum Masters' degree or equivalent in Disaster Management, Environmental Management, Social Sciences, Development Evaluation, Development Studies/Management or any other relevant fields.

II. Professional Qualifications:

- g. At least 15 years of proven experience in the field of disaster management including disaster response, recovery, capacity building and/ policy advocacy.
- h. Have experience in conducting at least 03 macro project/similar project evaluation in Disaster Risk Information, Climate adaptation, and Resilience for the UN agencies, GoB or multilateral agencies like ADB, World Bank, etc. The consultant shall provide relevant completed assignments/research/publications/or relevant link to be enclosed with the CV.
- i. Proven experience and knowledge on women's rights and Gender Equity, DRR, Climate Change and Resilience, Volunteerism approach, infrastructure, Policies and operational system, private sector resilience, and participatory development programme.
- j. Extensive Experience at the international level in conducting evaluations or assessments of programs, projects and policies in disaster management including disaster response, recovery, capacity building and/ policy advocacy.
- k. Experience with result-based management evaluation methodologies.
- l. Must have excellent report writing skills and presenting reports to a highly professional and policy level.

DRR & Resilience Expert:

Minimum eligibility criteria of DRR & Resilience Expert -

I. Academic Qualifications:

b. A Minimum Masters' degree or equivalent in Civil Engineering/ Hydrology/ Geology, Environmental Science/ Management/ Climate Change Science/ Disaster Management/ Physics/ International Development/ Mathematics/ Statistics or any other related fields.

II. Professional Qualifications:

- e. At least 07 years of on-hand experience of inclusive disaster risk management especially in the areas of risk-informed, gender-responsive and disability-inclusive planning.
- f. Experience of at least 03 completed assignments with a good understanding of risk-informed planning, DRR, Climate adaptation, and Resilience List of completed assignments/research/publications to be enclosed with the CV.

- g. Experience in conducting evaluations or assessment of programs, projects and policies in disaster management including disaster response, recovery, capacity building and/ policy advocacy
- h. Proven experience of working with a multi-disciplinary team.

Infrastructure Expert:

Minimum eligibility criteria for Infrastructure Expert -

I. Academic Qualifications:

b. Master's degree in a discipline relevant to civil engineering, development planning, project management, or other closely related fields.

II. Professional Experience:

- d. At least 07 years' experience in infrastructure in the development context at a strategic/advisory level, with a focus on resilience, capacity enhancement and organizational change.
- e. Have experience of conducting at least 03 national-level macro project evaluations in similar areas. List of completed assignments/research/publications to be enclosed with the CV.
- f. Demonstrated understanding of issues related to gender responsive infrastructure will be an asset

<u>Policy & Institutional Expert:</u> Minimum eligibility criteria of Policy & Institutional Expert -

- I. Academic Qualifications:
 - b. Minimum Masters' degree or equivalent in disaster management, environmental studies, Economics, Political Science, public administration, Law, development studies/management, or other relevant fields.

II. Professional Qualifications:

- e. Minimum 07 years of working experiences in policy and institutional issues at a senior level, preferably in disaster, and climate change areas
- f. Experience in completing at least 03 assignments in a similar field. List of completed assignments/research/publications to be enclosed with the CV.
- g. Proven experience of Government development planning process, including Annual Development Programme, Five Year Plans, particularly about project formulation, and appraisal.
- h. Expertise in policy and governance analysis in climate change and disaster sectors.

Gender Expert:

Minimum eligibility criteria for National Gender Expert

I. Academic Qualification:

b. Advanced university degree social sciences (i.e. gender studies, sociology, demography, psychology, political science etc.), disaster management, environmental sciences, development studies or in any other relevant field.

II. Professional Qualifications:

- f. A minimum of 07 years of experience in the field of gender equality and disaster risk management
- g. Experience in completing at least 03 assignments in a similar field. List of completed assignments/research/publications to be enclosed with the CV.
- h. A proven track record in conducting evaluations or assessment of programs, projects and policies, analytical reports and publication in gender and disaster risk management issues.
- i. Extensive experience and expertise in gender analysis, gender mainstreaming, and women's empowerment in Bangladesh
- j. Specific expertise on the nexus of disaster risk reduction, and gender equality, and genderresponsive programming will be an asset.

<u>Field Coordinator/ Field Supervisor (Position 02):</u> Minimum eligibility criteria for Field Coordinator

Academic Qualifications:

Minimum master's in social sciences. Degree in disaster management, environmental management, social sciences, development evaluation, development studies/management or in any other relevant field.

II. Professional Qualifications:

- a. Minimum 5 years of progressive experience in conducting/coordinating research, assessments, reviews and evaluation of similar nature.
- c. At least 2 assignments related to data collection and management of survey/research and evaluation. (List of completed research to be enclosed.)
- d. Proven experience to lead large-scale data collection in the field.

Data Enumerators (Position 12): (A list of enumerators with educational qualification and brief experience should be provided. Detail CVs are not required) **Minimum eligibility criteria of Data Enumerators:**

I. Academic Qualifications:

Bachelor's in social sciences. Degree disaster management, environmental management, social sciences, development evaluation, development studies/management or in any other relevant field.

II. Professional Qualifications:

- a. Minimum 2 years of progressive experience in conducting research, assessments, reviews and evaluation of similar nature.
- b. At least two assignments focusing disaster related research.
- c. Experience in field data collection.

Note: It is recommended that the firm keep adequate representation of female in team formation. The firm can add more field coordinators and data enumerators if necessary, with providing justification.

Please follow recommended format as per ToR (Format for CV of Proposed Key Personnel On Page 50 of ToR)

Note: Proposers must submit necessary documents to substantiate above eligibility criteria. Proposals which shall not submit/meet above mentioned eligibility criteria will not be considered for further evaluation.

B. **Proposed Methodology for the Completion of Services**

The Service Provider must describe how it will address/deliver the demands of the RFP; providing a detailed description of the essential performance characteristics, reporting conditions and quality assurance mechanisms that will be put in place, while demonstrating that the proposed methodology will be appropriate to the local conditions and context of the work. (As indicated in ToR attached below)

C. Qualifications of Key Personnel

If required by the RFP, the Service Provider must provide: (As per format provided in ToR below)

- a) Names and qualifications of the key personnel that will perform the services indicating who is Team Leader, who are supporting, etc.;
- b) CVs demonstrating qualifications must be submitted if required by the RFP; and
- c) Written confirmation from each personnel that they are available for the entire duration of the contract.

D. **Cost Breakdown by Cost Component (**<u>The file with the "FINANCIAL PROPOSAL" must be</u><u>encrypted with a password</u>)

Currency of the proposal: USD/BDT

Table A: Summary of Overall Prices

	Amount(s)
Professional Fees (from Table 2)	
Other Costs (from Table 3)	
Total Amount of Financial	
Proposal	

Name	Position	Fee Rate	No. of Days/months/ hours	Total Amount
		Α	В	<i>C=A+B</i>
Subtotal Professional Fees:				

Table C: Breakdown of Other Costs (*)

Description	UOM	Quantity	Unit Price	Total Amount
Flights (international/domestic) *	R/T			
Subsistence allowance	Day			
Miscellaneous travel expenses	Lump sum			
Local transportation costs	Lump Sum			
Out-of-Pocket Expenses				
Other Costs: (please specify)				
All TAX and VAT				
Subtotal Other Costs:				

Table D: Breakdown of Price per Deliverable/Activity

Sl.no.	Deliverable/ Activity description	Time (person days)	Professional Fees	Other Costs	Total
1.	Submission of Inception report and				
	detailed methodologies notes,				
	including:				
	1) overall evaluation study				
	strategies,				
	2) detailed work plan,				
	3) sampling strategies (household				
	sampling and coverage of FGDs),				
	4) data collection methodologies &				
	protocols,				
	5) data quality control methods,				

	6) data analysis methodologies, and7) gender assessment plan. andevaluation matrix		
	Submission of all data collection tools and protocols [After satisfactory quality check by NRP & UNDP]		
2.	Submission of Draft evaluation Report		
3.	Submission of Final Evaluation report, incorporating the feedback and comments. (including Data Collection Tools, Checklists, Questionnaires, Datasets (if any) [After satisfactory quality check by NRP & UNDP]		
	Total:		

Note: Where necessary, please provide detail estimate of some of the line items (like allowances, printing etc.) in separate tables.

The cost of preparing a proposal and of negotiating a contract, including any related travel, is not reimbursable as a direct cost of the assignment.

[Name and Signature of the Service Provider's Authorized Person] [Designation] [Date]

Annex 3

General Terms and Conditions for Services

1.0 LEGAL STATUS:

The Contractor shall be considered as having the legal status of an independent contractor visà-vis the United Nations Development Programme (UNDP). The Contractor's personnel and sub-contractors shall not be considered in any respect as being the employees or agents of UNDP or the United Nations.

2.0 SOURCE OF INSTRUCTIONS:

The Contractor shall neither seek nor accept instructions from any authority external to UNDP in connection with the performance of its services under this Contract. The Contractor shall refrain from any action that may adversely affect UNDP or the United Nations and shall fulfill its commitments with the fullest regard to the interests of UNDP.

3.0 CONTRACTOR'S RESPONSIBILITY FOR EMPLOYEES:

The Contractor shall be responsible for the professional and technical competence of its employees and will select, for work under this Contract, reliable individuals who will perform effectively in the implementation of this Contract, respect the local customs, and conform to a high standard of moral and ethical conduct.

4.0 ASSIGNMENT:

The Contractor shall not assign, transfer, pledge or make other disposition of this Contract or any part thereof, or any of the Contractor's rights, claims or obligations under this Contract except with the prior written consent of UNDP.

5.0 SUB-CONTRACTING:

In the event the Contractor requires the services of sub-contractors, the Contractor shall obtain the prior written approval and clearance of UNDP for all sub-contractors. The approval of UNDP of a sub-contractor shall not relieve the Contractor of any of its obligations under this Contract. The terms of any sub-contract shall be subject to and conform to the provisions of this Contract.

6.0 OFFICIALS NOT TO BENEFIT:

The Contractor warrants that no official of UNDP or the United Nations has received or will be offered by the Contractor any direct or indirect benefit arising from this Contract or the award thereof. The Contractor agrees that breach of this provision is a breach of an essential term of this Contract.

7.0 **INDEMNIFICATION**:

The Contractor shall indemnify, hold and save harmless, and defend, at its own expense, UNDP, its officials, agents, servants and employees from and against all suits, claims, demands, and liability of any nature or kind, including their costs and expenses, arising out of acts or omissions of the Contractor, or the Contractor's employees, officers, agents or sub-contractors, in the performance of this Contract. This provision shall extend, inter alia, to claims and liability in the nature of workmen's compensation, products liability and liability arising out of the use of patented inventions or devices, copyrighted material or other intellectual property by the Contractor, its employees, officers, agents, servants or sub-contractors. The obligations under this Article do not lapse upon termination of this Contract.

8.0 INSURANCE AND LIABILITIES TO THIRD PARTIES:

- **8.1** The Contractor shall provide and thereafter maintain insurance against all risks in respect of its property and any equipment used for the execution of this Contract.
- **8.2** The Contractor shall provide and thereafter maintain all appropriate workmen's compensation insurance, or the equivalent, with respect to its employees to cover claims for personal injury or death in connection with this Contract.
- **8.3** The Contractor shall also provide and thereafter maintain liability insurance in an adequate amount to cover third party claims for death or bodily injury, or loss of or damage to property, arising from or in connection with the provision of services under this Contract or the operation of any vehicles, boats, airplanes or other equipment owned or leased by the Contractor or its agents, servants, employees or sub-contractors performing work or services in connection with this Contract.
- **8.4** Except for the workmen's compensation insurance, the insurance policies under this Article shall:
 - **8.4.1** Name UNDP as additional insured;
 - **8.4.2** Include a waiver of subrogation of the Contractor's rights to the insurance carrier against the UNDP;
 - **8.4.3** Provide that the UNDP shall receive thirty (30) days written notice from the insurers prior to any cancellation or change of coverage.
 - **8.5** The Contractor shall, upon request, provide the UNDP with satisfactory evidence of the insurance required under this Article.

9.0 ENCUMBRANCES/LIENS:

The Contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with the UNDP against any monies due or to become due for any work done or materials furnished under this Contract, or by reason of any other claim or demand against the Contractor.

10.0 TITLE TO EQUIPMENT:

Title to any equipment and supplies that may be furnished by UNDP shall rest with UNDP and any such equipment shall be returned to UNDP at the conclusion of this Contract or when no longer needed by the Contractor. Such equipment, when returned to UNDP, shall be in the same condition as when delivered to the Contractor, subject to normal wear and tear. The Contractor shall be liable to compensate UNDP for equipment determined to be damaged or degraded beyond normal wear and tear.

11.0 COPYRIGHT, PATENTS AND OTHER PROPRIETARY RIGHTS:

- **11.1** Except as is otherwise expressly provided in writing in the Contract, the UNDP shall be entitled to all intellectual property and other proprietary rights including, but not limited to, patents, copyrights, and trademarks, with regard to products, processes, inventions, ideas, know-how, or documents and other materials which the Contractor has developed for the UNDP under the Contract and which bear a direct relation to or are produced or prepared or collected in consequence of, or during the course of, the performance of the Contract, and the Contractor acknowledges and agrees that such products, documents and other materials constitute works made for hire for the UNDP.
- **11.2** To the extent that any such intellectual property or other proprietary rights consist of any intellectual property or other proprietary rights of the Contractor: (i) that preexisted the performance by the Contractor of its obligations under the Contract, or (ii) that the Contractor may develop or acquire, or may have developed or acquired, independently of the performance of its obligations under the Contract, the UNDP does not and shall not claim any ownership interest thereto, and the Contractor grants to the UNDP a perpetual license to use such intellectual property or other proprietary right solely for the purposes of and in accordance with the requirements of the Contract.
- **11.3** At the request of the UNDP; the Contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring or licensing them to the UNDP in compliance with the requirements of the applicable law and of the Contract.
- **11.4** Subject to the foregoing provisions, all maps, drawings, photographs, mosaics, plans, reports, estimates, recommendations, documents, and all other data compiled by or received by the Contractor under the Contract shall be the property of the UNDP, shall be made available for use or inspection by the UNDP at reasonable times and in reasonable places, shall be treated as confidential, and shall be delivered only to UNDP authorized officials on completion of work under the Contract.

12.0 USE OF NAME, EMBLEM OR OFFICIAL SEAL OF UNDP OR THE UNITED NATIONS:

The Contractor shall not advertise or otherwise make public the fact that it is a Contractor with UNDP, nor shall the Contractor, in any manner whatsoever use the name, emblem or official seal of UNDP or THE United Nations, or any abbreviation of the name of UNDP or United Nations in connection with its business or otherwise.

13.0 CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION:

Information and data that is considered proprietary by either Party and that is delivered or disclosed by one Party ("Discloser") to the other Party ("Recipient") during the course of performance of the Contract, and that is designated as confidential ("Information"), shall be held in confidence by that Party and shall be handled as follows:

13.1 The recipient ("Recipient") of such information shall:

- **13.1.1** use the same care and discretion to avoid disclosure, publication or dissemination of the Discloser's Information as it uses with its own similar information that it does not wish to disclose, publish or disseminate; and,
- **13.1.2** use the Discloser's Information solely for the purpose for which it was disclosed.
- **13.2** Provided that the Recipient has a written agreement with the following persons or entities requiring them to treat the Information confidential in accordance with the Contract and this Article 13, the Recipient may disclose Information to:
 - **13.2.1** any other party with the Discloser's prior written consent; and,
 - **13.2.2** the Recipient's employees, officials, representatives and agents who have a need to know such Information for purposes of performing obligations under the Contract, and employees officials, representatives and agents of any legal entity that it controls controls it, or with which it is under common control, who have a need to know such Information for purposes of performing obligations under the Contract, provided that, for these purposes a controlled legal entity means:
 - **13.2.2.1** a corporate entity in which the Party owns or otherwise controls, whether directly or indirectly, over fifty percent (50%) of voting shares thereof; or,
 - **13.2.2.2** any entity over which the Party exercises effective managerial control; or,
 - **13.2.2.3** for the UNDP, an affiliated Fund such as UNCDF, UNIFEM and UNV.
- **13.3** The Contractor may disclose Information to the extent required by law, provided that, subject to and without any waiver of the privileges and immunities of the United Nations, the Contractor will give the UNDP sufficient prior notice of a request for the disclosure of Information in order to allow the UNDP to have a reasonable opportunity to take protective measures or such other action as may be appropriate before any such disclosure is made.
- **13.4** The UNDP may disclose Information to the extent as required pursuant to the Charter of the UN, resolutions or regulations of the General Assembly, or rules promulgated by the Secretary-General.
- **13.5** The Recipient shall not be precluded from disclosing Information that is obtained by the Recipient from a third party without restriction, is disclosed by the Discloser to a third party without any obligation of confidentiality, is previously known by the Recipient, or at any time is developed by the Recipient completely independently of any disclosures hereunder.
- **13.6** These obligations and restrictions of confidentiality shall be effective during the term of the Contract, including any extension thereof, and, unless otherwise provided in the Contract, shall remain effective following any termination of the Contract.

14.0 FORCE MAJEURE; OTHER CHANGES IN CONDITIONS

14.1 In the event of and as soon as possible after the occurrence of any cause constituting force majeure, the Contractor shall give notice and full particulars in writing to the UNDP, of such occurrence or change if the Contractor is thereby rendered unable,

wholly or in part, to perform its obligations and meet its responsibilities under this Contract. The Contractor shall also notify the UNDP of any other changes in conditions or the occurrence of any event that interferes or threatens to interfere with its performance of this Contract. On receipt of the notice required under this Article, the UNDP shall take such action as, in its sole discretion; it considers to be appropriate or necessary in the circumstances, including the granting to the Contractor of a reasonable extension of time in which to perform its obligations under this Contract.

- **14.2** If the Contractor is rendered permanently unable, wholly, or in part, by reason of force majeure to perform its obligations and meet its responsibilities under this Contract, the UNDP shall have the right to suspend or terminate this Contract on the same terms and conditions as are provided for in Article 15, "Termination", except that the period of notice shall be seven (7) days instead of thirty (30) days.
- **14.3** Force majeure as used in this Article means acts of God, war (whether declared or not), invasion, revolution, insurrection, or other acts of a similar nature or force.
- **14.4** The Contractor acknowledges and agrees that, with respect to any obligations under the Contract that the Contractor must perform in or for any areas in which the UNDP is engaged in, preparing to engage in, or disengaging from any peacekeeping, humanitarian or similar operations, any delays or failure to perform such obligations arising from or relating to harsh conditions within such areas or to any incidents of civil unrest occurring in such areas shall not, in and of itself, constitute force majeure under the Contract.

15.0 TERMINATION

- **15.1** Either party may terminate this Contract for cause, in whole or in part, upon thirty (30) days notice, in writing, to the other party. The initiation of arbitral proceedings in accordance with Article 16.2 ("Arbitration"), below, shall not be deemed a termination of this Contract.
- **15.2** UNDP reserves the right to terminate without cause this Contract at any time upon 15 days prior written notice to the Contractor, in which case UNDP shall reimburse the Contractor for all reasonable costs incurred by the Contractor prior to receipt of the notice of termination.
- **15.3** In the event of any termination by UNDP under this Article, no payment shall be due from UNDP to the Contractor except for work and services satisfactorily performed in conformity with the express terms of this Contract.
- **15.4** Should the Contractor be adjudged bankrupt, or be liquidated or become insolvent, or should the Contractor make an assignment for the benefit of its creditors, or should a Receiver be appointed on account of the insolvency of the Contractor, the UNDP may, without prejudice to any other right or remedy it may have under the terms of these conditions, terminate this Contract forthwith. The Contractor shall immediately inform the UNDP of the occurrence of any of the above events.

16.0 SETTLEMENT OF DISPUTES

16.1 Amicable Settlement: The Parties shall use their best efforts to settle amicably any dispute, controversy or claim arising out of this Contract or the breach, termination or

invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the UNCITRAL Conciliation Rules then obtaining, or according to such other procedure as may be agreed between the parties.

16.2 Arbitration: Any dispute, controversy, or claim between the Parties arising out of the Contract or the breach, termination, or invalidity thereof, unless settled amicably under Article 16.1, above, within sixty (60) days after receipt by one Party of the other Party's written request for such amicable settlement, shall be referred by either Party to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The decisions of the arbitral tribunal shall be based on general principles of international commercial law. For all evidentiary questions, the arbitral tribunal shall be guided by the Supplementary Rules Governing the Presentation and Reception of Evidence in International Commercial Arbitration of the International Bar Association, 28 May 1983 edition. The arbitral tribunal shall be empowered to order the return or destruction of goods or any property, whether tangible or intangible, or of any confidential information provided under the Contract, order the termination of the Contract, or order that any other protective measures be taken with respect to the goods, services or any other property, whether tangible or intangible, or of any confidential information provided under the Contract, as appropriate, all in accordance with the authority of the arbitral tribunal pursuant to Article 26 ("Interim Measures of Protection") and Article 32 ("Form and Effect of the Award") of the UNCITRAL Arbitration Rules. The arbitral tribunal shall have no authority to award punitive damages. In addition, unless otherwise expressly provided in the Contract, the arbitral tribunal shall have no authority to award interest in excess of the London Inter-Bank Offered Rate ("LIBOR") then prevailing, and any such interest shall be simple interest only. The Parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such dispute, controversy, or claim.

17.0 PRIVILEGES AND IMMUNITIES:

Nothing in or relating to this Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.

18.0 TAX EXEMPTION

- **18.1** Section 7 of the Convention on the Privileges and Immunities of the United Nations provides, inter-alia that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the United Nations exemption from such taxes, duties or charges, the Contractor shall immediately consult with the UNDP to determine a mutually acceptable procedure.
- **18.2** Accordingly, the Contractor authorizes UNDP to deduct from the Contractor's invoice any amount representing such taxes, duties or charges, unless the Contractor has consulted with the UNDP before the payment thereof and the UNDP has, in each instance, specifically authorized the Contractor to pay such taxes, duties or charges under protest. In that event, the Contractor shall provide the UNDP with written evidence that payment of such taxes, duties or charges has been made and appropriately authorized.

19.0 CHILD LABOUR

- **19.1** The Contractor represents and warrants that neither it, nor any of its suppliers is engaged in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child, including Article 32 thereof, which, inter alia, requires that a child shall be protected from performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical mental, spiritual, moral or social development.
- **19.2** Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, at no cost to UNDP.

20.0 MINES:

- **20.1** The Contractor represents and warrants that neither it nor any of its suppliers is actively and directly engaged in patent activities, development, assembly, production, trade or manufacture of mines or in such activities in respect of components primarily utilized in the manufacture of Mines. The term "Mines" means those devices defined in Article 2, Paragraphs 1, 4 and 5 of Protocol II annexed to the Convention on Prohibitions and Restrictions on the Use of Certain Conventional Weapons Which May Be Deemed to Be Excessively Injurious or to Have Indiscriminate Effects of 1980.
- **20.2** Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind of UNDP.

21.0 OBSERVANCE OF THE LAW:

The Contractor shall comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the terms of this Contract.

22.0 SEXUAL EXPLOITATION:

- **22.1** The Contractor shall take all appropriate measures to prevent sexual exploitation or abuse of anyone by it or by any of its employees or any other persons who may be engaged by the Contractor to perform any services under the Contract. For these purposes, sexual activity with any person less than eighteen years of age, regardless of any laws relating to consent, shall constitute the sexual exploitation and abuse of such person. In addition, the Contractor shall refrain from, and shall take all appropriate measures to prohibit its employees or other persons engaged by it from, exchanging any money, goods, services, offers of employment or other things of value, for sexual favors or activities, or from engaging in any sexual activities that are exploitive or degrading to any person. The Contractor acknowledges and agrees that the provisions hereof constitute an essential term of the Contract and that any breach of this representation and warranty shall entitle UNDP to terminate the Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind.
- **22.2** The UNDP shall not apply the foregoing standard relating to age in any case in which the Contractor's personnel or any other person who may be engaged by the Contractor

to perform any services under the Contract is married to the person less than the age of eighteen years with whom sexual activity has occurred and in which such marriage is recognized as valid under the laws of the country of citizenship of such Contractor's personnel or such other person who may be engaged by the Contractor to perform any services under the Contract.

23.0 AUTHORITY TO MODIFY:

Pursuant to the Financial Regulations and Rules of UNDP, only the UNDP Authorized Official possesses the authority to agree on behalf of UNDP to any modification of or change in this Contract, to a waiver of any of its provisions or to any additional contractual relationship of any kind with the Contractor. Accordingly, no modification or change in this Contract shall be valid and enforceable against UNDP unless provided by an amendment to this Contract signed by the Contractor and jointly by the UNDP Authorized Official.

Annex 4

Terms of Reference for Programme Evaluation of National Resilience Programme (NRP)

This evaluation aims to measure the contributions made by the NRP as defined in the results framework (Annex-A) as well as to assess different aspects of the programme based on the evaluation criteria (relevance, efficiencies, effectiveness, coherence, impact and sustainability), lessons learned and recommended follow-up actions.

Job	: Programme Evaluation of NRP Project
Duration	: Three and a half months
Location	: Home/Office Based (with field-level data collection outside Dhaka)
Start	: 15 August 2021 (tentatively)

1. Background and Rationale:

The National Resilience Programme (NRP) is a unique partnership between the Bangladesh government and the United Nations Development Programme (UNDP), UN Women, and United Nations Office for Project Services (UNOPS), that provides strategic support to develop national capacity to keep pace with the changing nature of disasters. The programme has been designed with financing from the Foreign Commonwealth & Development Office (FCDO, UK previously known as DFID) and the Swedish International Development Cooperation Agency (SIDA).

The past decade has seen a paradigm shift in Bangladesh from disaster management to disaster risk reduction, achieved with the ownership of the Government of Bangladesh (GoB) and significant support from development partners and the UN system. However, while disaster risk management is a major feature of the country's 7th and 8th Five Year Plans and a national disaster risk governance system has been established, addressing disability & gender responsiveness in DRR and capacity gaps remain to ensure effective implementation. Taking into account growing government annual development budgets, its extensive portfolio of social safety nets, and the likelihood of declining international aid, the National Resilience Programme does not aim to implement local risk reduction activities at scale but is providing strategic support to enhance government capacity to do so through its structures and programmes. The joint programme focuses on developing replicable, cost-efficient, and gender-responsive models for disaster risk reduction and resilience-building, in line with the Sendai Framework for Disaster Risk Reduction (SFDRR) and the Sustainable Development Goals.

The programme is expected to contribute the following resilience dividends to human development in the country: (a) reduced impacts of disaster events in terms of loss of lives, affected population, economic loss, and damage to infrastructure, (b) inclusive, faster and resilient recovery of social and community functions, key services, and businesses, and (c) ensuring that development opportunities continue by reducing drivers of vulnerability and responding to changes and uncertainty.

National Resilience Program (NRP) has the following sponsoring ministries- Ministry of Disaster Management and Relief, Ministry of Planning, Ministry of Local Government, Rural Development & Cooperatives, and Ministry of Women and Children Affairs. This is a joint programme being implemented by four Government agencies of Bangladesh: Department of Disaster Management, Programming Division of Bangladesh Planning Commission, Department of Women Affairs and Local Government Engineering Department. The NRP's goal is to sustain the resilience of human and economic development in Bangladesh through inclusive, gender responsive disaster risk management and risk informed development. The objective of the programme is to increase disaster resilience and reduction of disaster risks, loss of lives and livelihoods, and protection of persons, businesses, and communities in Bangladesh. They work in collaboration with NRP to achieve the following outputs:

- Improved capacities for risk informed and gender responsive development planning.
- Strengthened gender-responsive national capacities to address recurrent and mega disasters.
- Improved capacity of GoB to achieve resilience through designing and constructing risk informed and gender responsive infrastructure system.
- Enhanced women leadership capacities for gender responsive disaster management decisions, investments, and policies at national and local levels.
- Strengthened disability inclusive, gender responsive community preparedness, response, and recovery capacities for recurrent and mega disasters.

According to the latest approved joint programme document, the timeline of NRP is 1 May 2017 – 31 December 2021, although the programme kicked off in late 2018. It has been decided by the Joint Programme Steering Committee to revise programme timeline from 1 Jan 2018 to 31 December 2021 to address the delayed start and achieving targeted results. (For the details, please visit http://www.nrpbd.org/index.php).

The programme evaluation will assess the results achieved in terms of policy support, capacity building and institutionalization through various interventions over the programme period. It will identify value additions in terms of knowledge and skill enhancement, practice, and sharing towards facilitating national resilience. It will also explore the gaps and areas of focus, which need further attention for promoting national resilience. Additionally, this evaluation will have a strategic approach to identify potential sectoral collaboration & lead, and approaches/ areas of scaling up that should be taken forward to build resilient Bangladesh.

2. Geographical Coverage:

Although this programme is primarily a strategic technical assistance project, some field-level implementation is foreseen as part of generating evidence, increasing advocacy and creating a model for disaster resilience and risk reduction. The relevant activities and the districts in which the programme is operating are shown in table 01.

Drogramma activity

Programme activity	Geographical area
Programme activity4.2 Capacitating disaster management committee (DMC) members, especially the women members, and disaster volunteers (cyclone and flood preparedness programmes) to become agents of gender equality and gender responsiveness in disaster risk reduction enabling them to take leadership roles	Geographical area DMC: Koyra and Dacop upazilas in Khulna, Shyamnagar and Kaliganj upazilas in Satkhira, Chakaria and Teknaf Sadar of Cox's Bazar, Islampur and Dewangonj upazilas in Jamalpur district; Kurigram Sadar and Chilmari in Kurigram district CPP: Koyra and Dacop upazilas in Khulna, Shyamnagar and Assasuni upazilas in Satkhira, and Chakaria and Teknaf Sadar of Cox's Bazar FPP: Islampur and Dewangonj upazilas in Jamalpur district; Kurigram Sadar and Chilmari in Kurigram district
5.1 Develop a cost-effective, gender responsive, environmentally sustainable model for using social safety net programme to strengthen gender responsive resilience building and advocate for the government's adoption.	Chilmari and Sadar Upazila of Kurigram district; Islampur Upazila of Jamalpur district
5.2 Institutionalization and geographical expansion of flood early warning and preparedness model for effective dissemination to at risk communities to reach men, women, boys, girls and persons with disabilities:	Islampur and Dewangonj upazilas of Jamalpur district; Kurigram Sadar and Chilmari Upazillas of Kurigram district
5.3 Resilient and empowering livelihoods5.4 Enhance knowledge and innovation to	Shyamnagar and Kaliganj upazilas in Satkhira, Chakaria and Teknaf Sadar of Cox's Bazar National level
tackle new and emerging risks at communitylevel5.5 Strengthen capacity of urban communities	Three wards each within cities Rangpur city
for disaster response considering multiple risk (i.e., earthquake, fire, landslide, building collapse) linking pandemic aspects	Corporation and Tangail, Rangamati and Sunamganj Pourashavas.

Table 01: National Resilience Programme activities and their geographical areas

3. Evaluation Purpose, Objectives and Scope:

Overall purpose of the Programme Evaluation: The purpose of this evaluation is to assess the results of the National Resilience Programme as guided by the Theory of Change (ToC) and based on strategic actions throughout implementation. The programme evaluation will assess the results achieved in terms of policy support and institutionalization through interventions over the years. It will identify value additions in terms of knowledge /skill enhancement and sharing towards facilitating national resilience. It will also explore the gaps and areas of focus, which need further attention for promoting national resilience. Additionally, this evaluation will have a strategic approach to identify

potential sectoral collaboration, and approaches/ areas of scaling up that should be taken forward to build resilient Bangladesh.

Specific Objectives: The specific objectives of the evaluation are as follows:

- To assess the effectiveness and efficiency of NRP in influencing and shaping the government policy and programmes in disaster risk management
- To review to what extent disaster risk is being incorporated into LGED planning and implementation and how this be sustained beyond the end of this projects
- To review what progress has been achieved in promoting a whole of Government approach to early recovery and the necessary agreement and policy to put this into action
- To assess the achievements and lessons in supporting the private sector integrate disaster risk management into their planning and operations.
- To assess the scope of the local government's role in gender responsive preparedness for earthquake risk reduction.
- To assess to what extent the NRP has contributed to addressing the needs and problems identified during programme design;
- To assess the changes/impacts the NRP has made in resilience to disaster risk, loss of lives, livelihoods and health of men, women, girls, and boys;
- To examine to what extent sustainability measures have been taken to help resilient nation development after the phase out of the NRP;
- To assess what process, models, and mechanisms have been followed to strengthen skills and capacities on DRM and resilience of the communities;
- To capture the lessons learned throughout the programme interventions and provide recommendations for future programming;
- To identify scopes for new interventions, innovative approaches, potential partners and resource mobilization in the future as well as ongoing initiatives that need to be continued for the next phase

The evaluation should provide credible, useful, evidence-based information that enables timely incorporation of its findings, recommendations and lessons into the decision-making processes of UNDP and key stakeholders, as well as assess the potential of the next phase of the project.

The primary audience for this evaluation is line department officials and public representatives. At the national level, it includes government officials from sponsoring Ministries/Agencies, National Programme Coordinator, Programme Coordinator, Project Directors of each subproject, Project Managers & Technical specialist, Staff of Programme Coordination, & Management Team (PCMT), Donors, other relevant government officials as stated in the stakeholder list in the background section.

Scope: The evaluation will cover the period from 01 May 2017 that is beginning of the project to June/July 2021 at the time of evaluation.

4. Evaluation Questions and Approach:

The evaluation will focus on seven key evaluation criteria: relevance, efficiency, effectiveness, sustainability, impact, coherence and value for money following the OECD evaluation criteria and standard methodology utilized of UNDP Independent Evaluation Office (IEO) Evaluation Guideline¹ other internationally recognize methodologies can be applied for **evaluating transformation and**

¹ Quality Assessment Questions of UNDP Evaluation Guidelines (2019), Section 6: Quality Assessment, available at <u>http://web.undp.org/evaluation/guideline/</u>

policy programme. The evaluation should provide credible, useful, evidence-based information that enables timely incorporation of its findings, recommendations and lessons into the decision-making processes of UNDP and key stakeholders, as well as assess the potential of the next phase of the project.

4.1. Evaluation Questions

As part of the evaluation, the firm needs to address evaluation questions. The evaluation questions define the information that must be generated as a result of the evaluation process. The answers will provide the key basis to the intended users of the evaluation in making informed decisions, taking actions, or adding knowledge. Some of the tentative questions can be as follows but not limited to:

Relevance: The extent to which the objective and results/outcomes of the intervention are consistent with the needs and interest of the people and the needs of the country.

- a. Does the NRP conform to the context and program participant's needs and prevailing issues in line with UN agencies, Government cooperative agency and partner's strategy?
- b. Is the NRP being implemented according to the NRP result framework, ToC, and our resilience dividends?
- c. Were the activities implemented in maintaining proper strategies, methodologies and processes respecting to reduce the impact of disaster events, faster and resilience recovery, and development opportunities arise/continue?
- d. To what extent did NRP deliver in benefitting the most vulnerable women, girls and marginalized groups?
- e. To what extent was the design and strategy of the NRP aligned with UNDP's Country Programme Document (CPD) (2017-2021) and The UN Development Assistance Framework (**UNDAF**) (2017-2021)?

Effectiveness: Extent to which the outcomes of the development intervention have been achieved

- a. To what extent has the project achieved the objectives and targets of the results framework in the Project Document A critical analysis of the project's indicators and targets in Result Framework
- b. What is the status of the progress of this programme in relation to its timeline and project outcomes/results?
- c. What factors have contributed to the achievement or non-achievement of the NRP results/outcomes and outputs?
- d. Have the NRP, Government cooperative agencies and partners carried out what was agreed?
- e. Did it achieve the overall objectives of "Working as one UN?"
- f. To what extent was the activities of NRP adopting gender responsive approach and making gender equity as an integral part of NRP?
- g. How effective and what was the impact of the joint funding approach GOB, SIDA, DFID?

Efficiency: Extent to which resources/inputs (funds, time, human resources, etc.) have been turned into results.

a. To what extent were the NRP outputs delivered in time to ensure high quality?

- b. To what extent has the NRP ensured value for money?
- c. To what extent were resource mobilization efforts successful? Was funding sufficient for the achievement of results? (funding analysis)
- d. Did the NRP and its partners follow appropriate transparency and accountability mechanism?
- e. Is there a suitable M&E system adopted to monitor and support the implementation of the targeted results?

Sustainability: Probability of the benefits of the intervention continuing in the long term

- a. To what extent will the NRP achievements be sustained? What are the indicators of sustainability for these achievements, e.g., through requisite capacities (systems, structures, staff, resources etc.)? What are the challenges and opportunities?
- b. To what extent are policy and regulatory frameworks in place that will support the continuation of the NRP?
- c. To what extent have the institutional capacities and mechanisms been developed and put in place to sustain impacts of NRP's interventions?
- d. To what extent have development partners committed to providing continuing support?
- e. Is the NRP creating inclusive, gender responsive disaster management, resilient infrastructure system, and risk informed development? and bringing long lasting changes (people building resilience to disaster and climate shocks and stresses, poverty graduation, government policy, structure & practices and context in the NRP working areas)?
- f. Will the results and positive impacts of our intervention last after the end of the Programme?
- g. How effective was the program in breaking down Silos within the 4 different ministries?

Coherence: How well does the intervention fit?

a. To what extent have other interventions (including policies) supported or undermined the NRP's intervention, and vice versa? It includes internal coherence and external coherence.'

Impact: what difference does the intervention make?

- a. Has the NRP brought changes in the lives of the people and their communities stated in the result framework of the NRP?
- b. What impact has the NRP's policy-advocacy work in bringing about positive changes to address underlying causes of the crisis, particularly for the most vulnerable groups (especially women and children and underprivileged people)?
- c. Has the intervention contributed to transformative change and adopting a new way of working for achieving disaster and climate resilience outcomes?

Gender Equality:

- a. To what extent has the NRP and other stakeholders' capacity been strengthened in better promoting and protecting gender equality?
- b. To what extent have gender equality and the empowerment of women been addressed in the design, implementation and monitoring of the programme?

- c. To what extent have the gender sensitive indicators been considered in the Result Framework of the NRP?
- d. To what extent has the project promoted positive changes in gender equality and the empowerment of women? Were there any unintended effects?

Disability:

- a. To what extent has the NRP been inclusive in its approaches.
- b. To what extent have the response and recovery initiative(s) of the NRP been inclusive in supporting the most vulnerable and marginalized group in the implementing area.

Digitalization

a. To what extent has the NRP and other national stakeholders' capacity been strengthened in digitalization toward national resilience.

Value for Money

- a. How well is the Programme converting inputs into outputs? (spending well)
- b. What is the Programme's ultimate impact on resilience building and poverty reduction, relative to the inputs that the Project invests in it? (cost effectiveness)
- c. how well are the outputs produced by the Project having the intended effect? Are we addressing equity by reaching those who need the assistance most? (spending wisely)

Lessons learned

- a. What are the lessons that the project has had learned so far?
- b. What are the challenges that the project has faced during its implementation? What measures have already been taken to mitigate those challenges?

Way forward:

- a. Have any good practices, success stories, lessons learned, or transferable examples been identified?
- b. Based on the achievements to the date, provide forward-looking programmatic recommendations for the NRP for its course correction and future programming.

4.2. Gender and Human Rights-based Approach

As part of the requirement, evaluation must include an assessment of the extent to which the design, implementation, and results of the project have incorporated gender equality perspective (questions/issues related to gender equality are discussed in the previous section) and rights-based approach. The evaluators are requested to review UNEG's Guidance on 'Integrating Human Rights and Gender Equality in Evaluation'² before initiating this assignment.

² UNEG's Guidance on 'Integrating Human Rights and Gender Equality in Evaluation <u>http://www.uneval.org/papersandpubs/documentdetail.jsp?doc_id=980</u>

In addition, the methodology used in the programme evaluation, including data collection and analysis methods should be human rights and gender-sensitive to the greatest extent possible, with evaluation data and findings disaggregated by sex, ethnicity, age, etc. Detailed analysis on disaggregated data will be undertaken as part of evaluation from which findings are consolidated to make recommendations and identify lessons learned for enhanced gender-responsive and rights-based approach of the project.

These evaluation approach and methodology should consider different types of groups in the NRP project intervention – women, youth, minorities, and vulnerable groups. Persons with Disability (PwD) also need to be considered in the evaluation, following the new UNDP evaluation report checklist.

5. Evaluation Methodology and Approach:

5.1. Proposed Methodology

As this effort will mainly focus on policy and institutional evaluation, the firm will concentrate on transformational initiatives implemented through NRP. In this connection, the firm will adopt both quantitative and qualitative methodologies, including household surveys (online or CAPI), key informant interviews, and focus group discussions.

All the following data collection methods need to be covered. The firm may also propose any other appropriate data collection method. <u>The firm needs to develop an evaluation matrix to clarify what types of data will be required to respond to which evaluation question and how those data will be collected.</u>

- i. Household Survey;
- ii. Key Informant Interviews (KIIs);
- iii. Focus Group Discussions (FGDs), and
- iv. Case studies/ Success stories.

The consultant firm conducted the baseline survey by selecting <u>1,082 households</u> for household survey as a sample basis (see Table-01 below). The bidder is expected to cover the same sample size (1,082 households) and geographical areas (at District, Upazila, UP/Ward), following baseline sample. However, selection of households has not been confirmed yet. <u>Hence, the bidder needs to propose how to select households as a sampling strategy in the proposal, keeping the same Districts, Upazilas, and Unions/Wards as the baseline survey seen in the table below.</u> The sample selection techniques should be qualified enough to select women also as respondents of the evaluation.

<u>Survey questionnaires need to cover all indicators in the Results Framework of NRP (attached in this ToR).</u> The questionnaires should also keep at least the same level of data coverage as baseline surveys to ensure a robust comparison between baseline and programme evaluation data._Baseline survey questionnaires attached to the NRP baseline report will be circulated during the pre-bid meeting. Taking into account sample size and data collection tools used by the baseline survey, the bidders are requested to propose a detailed description regarding robust data collection methodologies and tools and data analysis approach in the proposal to enable robust comparison between baseline data and endline data (which will be collected as part of this final evaluation).

The bidders are also requested to propose the appropriate number of FGDs and KIIs to be conducted per geographical areas in the proposal. FGD and KIIs also requires semi-structured questionnaires and/or checklists to make data collection process as structured as possible.

District Name	<u>Upazila</u>	<u>Union/Ward</u>	Sample HH distribution	<u>Total</u> <u>HH</u> sample
<u>Kurigram</u>	<u>Kurigram Sadar</u>	<u>Holokhana, Bhogdanga,</u>	<u>45</u>	<u>90</u>
		<u>Mogalbabachha Jatrapur,</u>		
		<u>Punchgachi</u>		
	<u>Chilmari</u>	<u>Chilmari, Thanahat, Ranigonj,</u>	<u>45</u>	
		<u>Nayerhat</u>		
<u>Jamalpur</u>	<u>Islampur</u>	<u>Bahadurabad, Char Aomkhaoa</u>	<u>45</u>	<u>90</u>
		<u>Chikajani, Hatiavanga, Dangdhara</u>		
	<u>Dewangonj</u>	<u>Patharsi, Noarpara,</u>	<u>45</u>	
		<u>ChinadulliBelgachha, Sapdhari</u>		
<u>Tangail</u>	<u>TangailSadar</u>	Tangail Municipality	<u>135</u>	<u>135</u>
<u>Rangamati</u>	<u>Rangamati</u>	Sadar/Municipality	<u>135</u>	<u>135</u>
<u>Cox's Bazar</u>	<u>Chokoria</u>	<u>Chokoria</u>	<u>135</u>	<u>135</u>
<u>Rangpur</u>	<u>RangpurSadar</u>	<u>Municipality</u>	<u>135</u>	<u>135</u>
<u>Sunamgonj</u>	<u>Sadar</u>	<u>Municipality</u>	<u>90</u>	<u>90</u>
<u>Satkhira</u>	<u>Syamnagar</u>	Munshigonj	<u>60</u>	<u>272</u>
	<u>Kaligonj</u>	<u>Kaligonj</u>	<u>122</u>	
<u>Khulna</u>	<u>Koyra</u>	<u>Koyra</u>	<u>90</u>	
<u>Total</u>				

Table-01: Selected sample for Baseline survey

The selected firm is also requested to identify 3 to 5 case studies to look into qualitative changes in beneficiaries and key stakeholders made by the project. Details will be discussed during the inception phase and data collection phase. Case studies need to be elaborated on in the evaluation report together with infographics and photos.

<u>Use of Electronic-based data collection tools (i.e. web-based household questionnaires/data collection apps) is highly encouraged, in case if the firm has already had the tablets and any other necessary equipment which can be used for this evaluation.</u>

The data collection process should be participatory engaging senior government officials, implementing and donor partners, project concerns, key stakeholders and a wide cross-section of staff and beneficiaries incorporating a gender equity approach.

The firm is expected to conduct quantitative analysis using the Statistical software. Other qualitative data collected through KIIs and FGDs will also be analysed extensively to provide a picture of project's impacts. Data and evidence will be triangulated to large extent to address evaluation questions.

As of 11 March 2020, the World Health Organization (WHO) declared COVID-19 a global pandemic as the new coronavirus rapidly spread to all regions of the world. Travel to the country has been restricted and travel in the country is also partially restricted. If it is not possible to travel to or within the country for the evaluation, then the evaluation team should develop a methodology that takes this into account the conduct of the evaluation remotely. The bidders are expected to propose alternative means of data collection as viable options. Particularly, if the COVID-19 crisis continues at the time of data collection, FGDs might be difficult due to concerns about exposure to risk against social distancing. In case if the situation does not allow, there may be an option to use remote data collection tools or incorporate indepth qualitative-based questions to the household survey questionnaires instead of conducting FGDs. The detailed methods will be decided in consultation with UNDP during the inception phase.

In the proposal, the bidders are requested to elaborate 1) overall evaluation study strategies, 2) detailed work plan, 3) sampling strategies (household sampling and coverage of FGDs/ KIIs), 4) Evaluation matrix, 5) data collection methodologies & protocols, 6) data quality control methods, 7) data analysis methodologies, and 8) gender analysis plan. All the methodologies above described in the proposal will be assessed rigorously, which will heavily affect the scoring of the proposal.

During the entire evaluation process, the firm shall comply with the UNEG Ethical Guidelines for Evaluation and respect the confidentiality of information providers. The evaluation activities shall be based on UNDP evaluation principles, norms and standards that are outlined in the UNDP Evaluation Guidelines (2019).

5.2. Available Data Source:

The programme will supply Project Document, Annual Work Plans, Financial reports, Annual & Semi Report(donors report), M&E plan, periodic progress reports, policy documents, NRP produced IEC/BCC materials, newsletter, policy brief & documents, facts sheets, case studies, meeting minutes, study reports, baseline report and any other relevant documents for collecting data and information relevant to this assignment.

For primary data collection, the following sources should include (but not limited to):

- At the national level: Government officials from sponsoring Ministries/Agencies, National Programme Coordinator, Programme Coordinator, Project Directors of each subproject, Project Managers & Technical specialist, Staff of Programme Coordination, & Management Team (PCMT), Donors, other relevant government as stated in the stakeholder list in the background section.
- At the field level: District and Upazila Administration including Deputy Commissioner (DC), related City Corporation and municipal officials, UNO, Upazila Parishads (UZP) Representatives of Upazila Parishads and Union Parishads (UPs), members of Disaster Management Committees (DMC), NGO representatives working at the local level, Women-led CSOs, Volunteer Group, Ward Committee Members, and Secretaries, Gram Police, community members, project partners, and local beneficiaries.

6. Scope of Work and Timeline:

6.1. Scope of Work:

The scope of the work for this assignment is outlined below:

i. Detailed methodologies note of the evaluation:

Based on the proposal and discussions with the UNDP team and stakeholders, the firm will further detail methodologies including 1) overall evaluation study strategies, 2) detailed work plan, 3) sampling strategies, 4) evaluation matrix, 5) data collection methodologies & protocols, 6) data quality control methods, 7) data analysis, and 8) gender assessment plan. Evaluation matrix also needs to be developed. Methodologies will be finalized in consultation with UNDP, UN Women and UNOPS.

ii. Detailed sampling frame of evaluation:

a) Households Survey

Sample size (1,082 households) and geographical areas (districts, Upazilas, UPs/Wards) have been identified by baseline study (see Table 01 in Page 9). However, in due consultation with the UNDP team and stakeholders, the selected firm will confirm the way in which households will be selected in the pre-fixed districts, Upazilas, and UPs/Wards during the inception phase. A detailed list of sampled districts, UZ, and UP will be shared in the pre-bidding meeting. The sample selection techniques should be qualified enough to select women also as respondents of the evaluation. The mixed method was employed for evaluating the overall perception and changes

b) Focus Group Discussions

The firm is also expected to conduct enough FGDs in local and national level stakeholders. The firm will decide the number of FGDs to be conducted per geographical areas in the proposal in due consultation with the UNDP team and stakeholders during the inception phase. The firm will develop FGD checklist to prepare for the field-level data collection.

C) Key Informant Interview (KII):

The firm will interview stakeholders who have project responsibilities including but not limited to, Ministry of Disaster Management and Relief, Programming Division of Ministry of Planning, Ministry of Women and Children Affairs, and Local Government Division of Ministry of Local Government Rural Development and Cooperatives; implementing government's departments and division, UN officials, Development partners, partners of NRP, experts, academia, local government and Civil Society Organizations (CSOs), etc. The selected firm will decide the number of KII to be conducted per geographical areas in due consultation with the UNDP team and stakeholders during the inception phase. The firm will develop semi-structured questionnaires/checklists to prepare for the field-level data collection.

iii. Development of data collection tools:

The firm needs to design a set of tools to collect data from different stakeholders and households. This should include 1) household survey questionnaire, 2) Key Informant Interview (KII)

<u>checklist</u>, 3) Focus Group Discussion (FGD) and KII checklist, 4) case study guideline as well as 5) survey protocols and 6) data quality assurance mechanism. Survey questionnaires should keep at least the same level of data coverage as baseline surveys to ensure a robust comparison between baseline data about the baseline survey report and its survey questionnaires. Except for these requirements, the firm has the freedom to propose data collection tools as appropriate for the evaluation in consultation with the evaluation steering committee.

- iv. **Field test of data collection tools and questionnaire:** To avoid non-sampling error, the firm will conduct a field test of data collection tools and methodologies and will adjust them based on learning/ feedback of field testing.
- v. **Field data collection:** The firm will hire 12 local surveyors/data entry personnel with sufficient experience in data collection. They will collect data from households, local governance institutions, and any other relevant organization with appropriate data collection methods/tools. In order to ensure the quality of data, experienced field coordinators and enumerators should be engaged in collecting the data from the field. The firm shall organize training for field coordinators and enumerators before deployment to familiarize them with data collection tools and data quality assurance mechanism. Use of Electronic-based data collection tools (i.e. web-based household questionnaires/data collection apps) is highly encouraged, in case if the firm has already had the tablets and any other necessary equipment which can be used for this evaluation. Data collection tools will be developed both in Bangla and English.
- vi. **Data Entry/Data Quality Control/Data Management:** The firm will design and implement a system for data entry and data management. <u>The firm needs to ensure the quality of data with a robust quality assurance mechanism in the whole data entry/management process.</u>
- vii. **Data Analysis:** The firm will analyze and interpret data through relevant statistical software and triangulate both qualitative and quantitative data. <u>Gender analysis on the data collected will also be conducted.</u>
- viii. **Report:** The firm will provide a draft report and share its findings with UNDP and other relevant stakeholders through the presentation. The feedback received will be incorporated into the report. The final report should include programmatic recommendations on what needs to be considered for the next phase of NRP. The reporting language is English. The evaluation report shall follow the structure outlined in Annex 3/ Evaluation Report Template and Quality Standards (Page 49-53) of Section 4/ Evaluation Implementation of UNDP Evaluation Guideline³. All evaluation reports will be quality assessed by the UNDP Independent Evaluation Office (IEO). Details of the IEO's quality assessment of decentralized evaluations can be found in Section 6 (Page 5-11) of the UNDP Evaluation Guidelines⁴.

³ Evaluation Report Template and Quality Standards of UNDP Evaluation Guidelines (2019), Section 4: Evaluation Implementation, available at <u>http://web.undp.org/evaluation/guideline/</u>

⁴ Quality Assessment Questions of UNDP Evaluation Guidelines (2019), Section 6: Quality Assessment, available at <u>http://web.undp.org/evaluation/guideline/</u>

6.2. Evaluation Timeline:

The consulting firm will present the appropriate work modality/approach detailed in the ToR. Other interim reports may be produced to facilitate the completion of the assignment at the discretion of the consulting firm. The firm will ensure comprehensive, coherent, high quality reporting, in a clear and professional format in due consultation with the UNDP team. Note that the selected firm will get total of 70 days from the date of contract signing. The detailed breakdown of submitting deliverables and duration is given in table 02.

Ph	ase	Duration	Proposed time
In	ception work:	10 days	Within 3 weeks of
-	Review necessary documents		signing the contract
-	Prepare Inception report and detailed methodologies		
	notes, including 1) overall evaluation study		
	strategies, 2) detailed work plan, 3) sampling		
	strategies (household sampling and coverage of		
	FGDs/KIIs), 4) evaluation matrix, 5) data collection		
	methodologies & protocols, 6) data quality control		
	methods, 7) data analysis methodologies, and 8)		
	gender assessment plan.		
-	The inception report should include the coordination		
	and mode of engagement of team members.		
-	Develop a time-bound work plan.		
-	Submit draft inception report and detailed		
	methodology note to UNDP		
-	Organize an inception meeting with UNDP and NRP		
	to finalize evaluation framework and methodologies		
-	Submit final inception report and obtain approval		
	from UNDP including detailed methodologies		
Da	ta collection tools development:	5 Days	Within 4 weeks of
-	Develop data collection tools and protocols (i.e.		signing the contract
	survey questionnaires, checklist, survey protocols,		
	data quality assurance mechanism)		
-	Present data collection tools to UNDP/ NRP		
	management		
-	Field test data collection tools		
-	Finalize data collection tools incorporating the		
	feedback of field testing		
Da	ta collection and analysis:	35 days	Within 10 weeks of
-	Provide training to onboard enumerators on data		signing the contract
	collection tools and methods		
-	Collect data from the agreed sources using agreed		
	tools and methods		
-	Conduct data quality assurance		

Table 02: Deliverables submission schedule

r			
-	Data entry into the software		
-	Data processing		
-	Conduct data analysis		
-	Triangulate/ analyze findings from desk review,		
	stakeholders' interview, and KIIs		
-	Debrief key findings to the UNDP CO and the		
	stakeholders		
Re	porting:	20 days	Within 14 weeks of
-	Prepare and submit the draft evaluation report and		signing the contract
	4-6-page synopsis of the evaluation report		
-	Organize a sharing meeting for UNDP and relevant		
	stakeholders		
-	Incorporate feedback and comments from UNDP and		
	stakeholders		
-	Submit a final report and a summary report to UNDP		
	together with other deliverables		

7. Deliverables:

Based on the scope of the work outlined above, the following are the deliverables from the firm/ agency:

- **i. Inception Report**: An inception report on the assigned tasks will include scope, methods of Programme Evaluation, list of literature to be reviewed, the content of evaluation report, list of interviewees and meetings, field mission plan, and an action plan with a timeline to complete data collection and analysis. A special focus is put on the detailed methodology note. Data collection tools and protocols, including household survey questionnaires and FGD/KII checklists also need to be submitted as part of the deliverable.
- **ii. Draft Programme Evaluation Report (in English) and 4-6-page synopsis/summary report of the evaluation (in English and Bangla)**: Synthesize findings and draft report of programme evaluation that will cover all the objectives of programme evaluation. Prepare and share a 4-6-page synopsis of the evaluation report in English and Bangla.
- **iii. Presentation/Debriefing**: A meeting will be organized with key stakeholders including evaluation steering committee, UNDP and NRP to present findings, conclusions, and recommendations. All the presentations will be shared among the sub-projects and stakeholders.
- **iv. Final programme evaluation Report /Data Collection Tools/Audit Trail:** The final report will incorporate comments and feedbacks from the stakeholders including the feedback provided during the Presentation/Debriefing meeting. All comments and an evaluator's response to each comment need to be recorded in Audit Trail. Synopsis or summary of the evaluation report will be finalized accordingly. Other relevant documents/data files (i.e. data

collection tools, checklists questionnaires, raw & clean version data (Including audio recording &field note)) need to be submitted as part of the deliverable.

v. The consultancy firm will provide soft copies (Microsoft Word and Microsoft PowerPoint) of all deliverables and at least hard copies of final programme evaluation report (100 copies) & synopsis/summary report with English and Bangla in alternative page (200 copies).

The printing specification for hard copies of final programme evaluation report (100 copies):

- Page orientation: portrait
- Size: 8.5" x 11.10"
- Page: 100(+/- 10) pages
- Color: 4 Color
- Cover Page: 250 GSM Glossy Art Paper
- Inner Paper: 120 GSM Matt Art Paper
- Design: Necessary design will be done by the vendor
- Language- English
- Binding: Glue with best quality perfect binding
- Printing Condition: Final proof is mandatory before final printing process

The printing specification for synopsis/summary report with English and Bangla in alternative page (200 copies)

- Page orientation: portrait
- Size: 8.3" x 11.7"
- Page: 12 pages (one forma)
- Color: 4 Color
- Paper: 150 GSM Glossy Art Paper
- Design: Necessary design will be done by the vendor
- Binding: Pin binding
- Language: English & Bangla in alternative page
- Printing Condition: Final proof is mandatory before final printing process

The consultancy firm will provide soft copies (Microsoft Word and Microsoft PowerPoint) of all deliverables and at least hard copies of final programme evaluation report (100 copies) & synopsis/summary report (English -100 copies & Bangla -100 copies).

8. Implementation arrangements:

The firm will independently conduct the evaluation but shall take necessary assistance from NRP and UNDP. The Deputy Resident Representative and Assistant Resident Representative, UNDP Bangladesh will be responsible for managing the evaluation throughout the entire process and PCMT, NRP will provide necessary support in day to day operation of the evaluation. The consultant will also seek technical guidance from M&E focal point at UNDP Bangladesh Country Office. The consultant will work under the overall supervision of the Programme Specialist - Disaster and Resilience, R&IG Cluster and guided by Evaluation Steering committee comprised of UNDP, UN Women, UNOPS, GoB, FCDO (UK)

and Sida (Sweden). The programme evaluation report needs to be cleared by the M&E focal point at UNDP Bangladesh Country Office and approved by the Deputy Resident Representative and Bangkok Regional Hub.

9. Minimum Qualifications of the Evaluation Firms:

A firm will be hired through competitive bidding to complete the assignment. The research institutes, Universities, NGOs, think tanks and consulting firms have proven expertise and experience of evaluating macro-level policy programme can submit the proposal. Joint Venture /Consortium having local lead agency in Bangladesh can also submit a proposal.

The minimum qualifications of the firm are as follows-

- Profile (which should not exceed fifteen (15) pages including any printed brochure relevant to the services being procured) – describing the nature of business, field of expertise, licenses, certifications, accreditations.
- The firm must be a registered entity. Updated Registration Papers, Tax Payment Certification, etc. must be submitted.
- Latest Audited Financial Statement income statement and balance sheet to indicate its financial stability, liquidity, credit standing, and market reputation, etc.
- At least five years of experience in evaluating projects in the areas of policy reform and institutional capacity strengthening for disaster management including disaster response, recovery, women's right and Gender Equity, Climate Change and Resilience and infrastructures based projects. The firm needs to submit successful completion certificates of the relevant experiences stated with the proposal.
- Have experience of conducting at least 03 similar types of assignments in the last five years (macro project or policy level) evaluation in the areas of Disaster Risk Information/Climate adaptation or Resilience for the UN Agencies, GoB, or multilateral agencies like ADB, World Bank etc.
- Written Self-Declaration that the company is not in the UN Security Council 1267/1989 List, UN Procurement Division List, or Other UN Ineligibility List.

Special Note:

- No involvement in the design and implementation of the NRP project. Any individual of the selected firm who had prior involvement in the design and implementation of the NRP project or those who have been directly or indirectly related to the NRP project are not eligible for this consultancy due to conflict of interests.
- Firms that do not meet the above eligibility criteria shall not be considered for further evaluation. Necessary documentation must be submitted to substantiate the above eligibility criteria.

10. Evaluation Team Composition:

This assignment requires senior and professional advice based on substantive experience and qualifications of the contracting firm, based on a team approach comprising of four national level consultants and a Lead Consultant (International) (Figure 01). Additionally, UNOPS and UN Women will provide two experts to support the evaluation. Both experts will work in close collaboration with

four (04) national consultants of this assignment and team leader. Moreover, there will be two (02) field supervisor and Twelve (12) data enumerators to support the experts in data collection and processing. The structure of the programme evaluation team as follows:

Figure 01 Structure of Evaluation Team



12. Competencies:

All the key personnel must possess the below competencies.

Corporate Competencies:

- Demonstrates integrity by modeling the UN's values and ethical standards (human rights, peace,
- understanding between peoples and nations, tolerance, integrity, respect, and impartiality;
- Promotes the vision, mission, and strategic goals of UNDP;
- Displays cultural, gender, religion, race, nationality and age sensitivity and adaptability.

Functional Competencies:

- Excellent skills in writing, editing and oral communication skills in English, and Bangla is a must for four national consultant positions;
- Ability to discuss with government senior officials, private sector and development partners;
- Understanding of Joint UN Programmes experience will be an asset
- Experience of working in Bangladesh.
- Ability to work with multi-disciplinary group of people
- Demonstrates openness to change, flexibility, and ability to manage complexities;

13. Scope of Price Proposal and Schedule of Payments:

The remuneration of the successful contractor will be fixed, and bids should be submitted on this basis. No adjustment will be given for the period and determined by the specified outputs as per this ToR. The price should take into account all HR costs and professional fees, travel costs, subsistence and ancillary expenses. The financial proposal shall specify the total lump sum amount and must be allinclusive (professional fees, travel costs, living allowances, medical allowances, communications costs, and VAT & Tax etc.) UNDP shall affect payments, by bank transfer to the consultancy firm's bank account, upon acceptance by NRP/UNDP of the deliverables specified in the ToR. Payments will be based on milestone deliverables upon submission of invoice and upon certification of the work completed (Table 03).

	Estimated	Tentative	Payment	Review and
Deliverables/ Outputs	duration	Due Dates	Schedule	Approvals
				Required
Submission of Inception report	15 days	First week of	25% of the	
and detailed methodologies		September 21	contracted	
notes, including			amount	
1) overall evaluation study				
strategies,				
2) detailed work plan,				
3) sampling strategies				
(household sampling and				
coverage of FGDs/ KIIs),				
4) evaluation matrix,				
5) data collection methodologies				NRP/ Deputy
& protocols,				Resident
6) data quality control methods,				Representative,
7) data analysis methodologies,				UNDP
and 8) gender assessment plan				Bangladesh/
and evaluation matrix				Head of R&IG
				Cluster, UNDP
Submission of all data collection				Bangladesh/
tools and protocols				M&E focal
[After satisfactory quality check				point, UNDP
by NRP & UNDP]				Bangladesh
Submission of Draft evaluation	35 days	First week of	50% of the	Dunghuuton
report		November 21	contracted	
			amount	
Submission of Final Evaluation	20 days	First week of	25% of the	
report, incorporating the		december 21	contracted	
feedback and comments.			amount	
(including Data Collection Tools,				
Checklists, Questionnaires,				
Datasets)				
[After satisfactory quality check				
by NRP & UNDP]				
Total days consultant wise	70 days		100%	

Table 03: Expected outputs, deliverables, and payment schedule
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14. Recommended Presentation of proposal:

An interested firm must submit the following detailed proposal made up of documentation to demonstrate the qualifications of the prospective firm, to enable appraisal of competing bids. This should include technical and financial proposals, details of which are listed below.

14.1 Technical Proposal

The entire technical proposal should be limited to 15 pages maximum, plus relevant annexes.

Section 1: Bidder's qualification, capacity, and expertise

- 1.1 Brief description of the organization, including the year and country of incorporation, and types of activities undertaken.
- 1.2 General organizational capability which is likely to affect implementation: management structure, financial stability and project financing capacity, project management controls, the extent to which any work would be subcontracted (if so, provide details).
- 1.3 Relevance of specialized knowledge and experience on similar engagements done in the region/country.
 - 1.3.1 Description of experience in conducting macro-level policy/programme evaluation of a comparable nature, with a specific description of technical specialization of the Offeror in the similar field, and list of current and past assignments of the Offeror.
 - 1.3.2 03 references to be provided by previous work have been undertaken.
- 1.4 Quality assurance procedures and risk mitigation measures.
- 1.5 Organization's commitment to sustainability.

Section 2: Proposed method, working approach and implementation plan

This section should demonstrate the bidder's responsiveness to the TOR by identifying the specific components proposed, addressing the requirements, providing a detailed description of the essential performance characteristics proposed and demonstrating how the proposed approach and methods meet or exceed the requirements. All important aspects should be addressed in sufficient detail and different components of the project should be adequately weighted relative to one another.

- **2.1. Process** The Technical Proposal needs to contain a detailed description of the process the programme evaluation intends to follow to complete the tasks including a detailed work plan and schedule for completion/delivery of the final product which, after selection of the contractor, will be agreed upon by the Project in consultation with the relevant stakeholders.
- **2.2. Tools and Methodologies** Outlining how your firm's specific approach to qualitative or mixed methods, including participatory methods, is relevant to the questions under study and meets the highest research standards.

This tools and methodologies section should include 1) overall evaluation study strategies, 2) evaluation matrix, 3) data collection methodologies & protocols, 4) data quality control methods,

5) data analysis methods, and 6) gender assessment plan. All of these elements need to be detailed as much as possible.

- **2.3. Sampling strategy** The firm must give a detailed overview of what sampling strategy it will use to select a sample for this study and how it will more standard rather than other sampling methods.
- **2.4. Quality assurance** The firm must outline how it will ensure quality at all stages even considering the pandemic situation of the project but with a particular emphasis on sampling; data collection; data analysis; and reporting. This will be subject to review by the NRP management team during the evaluation process going on.

This section must provide the following:

- Understanding of the assignment (Max 2 pages)
- Proposed working approach and methods according to each task (Max 5 pages)
- Proposed tools, in brief, to be employed in alignment with the assignment (Max 3 Pages)
- Operation Plan (Max 3 pages)
- Proposed time-bound work plan (Max 1 Page)
- Quality assurance plan (0.5 page)
- Risk factors and mitigation measures (0.5 page)

Section 3: Management Structure and Key Personnel

- 3.1 Describe the overall management approach toward planning and implementing the project. Include an organization chart for the management of the project describing the relationship of key positions and designations. Provide a spreadsheet to show the activities of each personnel and the time allocated for his/her involvement.
- 3.2 Provide CVs for key personnel that will be provided to support the implementation of this project using the format below. CVs should demonstrate qualifications in areas relevant to the Scope of Services.

Please consult RFP and Annex 2 for qualifications and experience. The offer should provide the following information:

- The technical proposal needs to contain a list and detailed information on the proposed Human Resources/experts (with detail cv) which will be utilized for the task including their respective qualifications and relevant experience/exposure and required expertise/skills to complete the tasks i.e. legal, linguistic, drafting and editing expertise, among others.
- CVs of team members with identification of one as team lead
- Role & responsibilities of proposed team members in line with proposed methodology (Max 2 page)
- 03 references to be provided by previous work have been undertaken.

Name of Personnel	[Insert]
Position for this assignment	[Insert]
Nationality	[Insert]

Format for CV of Proposed Key Personnel

Language proficiency	[Insert]
Education/ Qualifications	[Summarize college/university and other specialized education of personnel member, giving names of schools, dates attended, and degrees/qualifications obtained.] [Insert]
	[Provide details of professional certifications relevant to the scope of services]
Professional certifications	Name of institution: [Insert]Date of certification: [Insert]
Employment Record/ Experience	[List all positions held by personnel (starting with present position, list in reverse order), giving dates, names of employing organization, title of position held and location of employment. For experience in last five years, detail the type of activities performed, degree of responsibilities, location of assignments and any other information or professional experience considered pertinent for this assignment.]
	[Insert]
References	[Provide names, addresses, phone and email contact information for two (2) references] Reference 1: [Insert] Reference 2:
	[Insert]

I, the undersigned, certify that to the best of my knowledge and belief, these data correctly describe my qualifications, my experiences, and other relevant information about myself.

Signature of Personnel

Date (Day/Month/Year)

Technical Evaluation criteria Evaluation Matrix

Summ	Summary of Technical Proposal Evaluation Forms		
1	Bidder's qualifications, capacity and experience	200	
2	Proposed Method, Working Approach and Implementation Plan	300	
3	Management Structure and Key Personnel	200	
4	Financial proposal	300	
	Total	1000	

Section	Points obtainable	
1.1	The reputation of Firm Organization and Staff Credibility / Reliability / Industry Standing / Litigation and Arbitration History	30
1.2	 Experience in evaluating projects in the areas of policy reform and institutional capacity strengthening for disaster management including disaster response, recovery, women's right and Gender Equity, Climate Change and Resilience and infrastructures-based projects. 	50
1.3	Have experience of similar types of assignments (macro project or policy level) of evaluation in the areas of Disaster Risk Information/Climate adaptation or Resilience for the UN Agencies, GoB, or multilateral agencies like ADB, World Bank etc.	100
1.4	Experience in strong project management skills and a good understanding of macro level policy/programming evaluation as well as working experience with the United Nations or similar mission-driven organizations	20
Total Se	200	

Section	Points obtainable	
2.1	Description of the Bidder's working approach and methods for meeting or exceeding the requirements of the Terms of Reference	120
2.2	Description of the Bidder's approach and tools to the assignment	120
2.3 Quality of the proposed work plan and operation plan including risk management plan		60
Total Section 2		300

Section	Points obtainable	
3.1	Composition and structure of the team proposed. Are the proposedroles of the management and the team of key personnel suitable20for the provision of the necessary services?20	
3.2	Qualifications of key personnel proposed	
3.2.1	Project Manager or Team Leader (education and relevant experience – 2 criteria)	50
	Expert Name	
	Minimum Masters In relevance of education	10
	Experience in the relevant areas	20
	Experience in conducting macro project/similar project evaluation in Disaster Risk Information, Climate adaptation, and Resilience for the UN agencies, GoB or multilateral agencies like ADB, World Bank, etc.	20

Section	Points obtainable	
3.2.2	Expert -1: DRR and resilient Expert, infrastructure Expert, Policy &Institutional Expert, and Gender expert) (Number of Position: 04)	100
	Experts' name	
	Combined experience in the relevant areas	40
	Knowledge and experience of the Bangladesh context	20
	Proven experience in the similar assignments	40
3.2.3	Field Coordinator (Number of Position: 02)	30
	Expert's name	
	Experiences in in respective areas	15
	Experience in the similar assignments	15
Total Section 3		200

14.2 Financial proposal

The Bidder is required to prepare the Financial Proposal following the below format and submit it in an envelope separate from the Technical Proposal as indicated in the Instruction to Bidders. Any Financial information provided in the Technical Proposal shall lead to Bidder's disqualification. The Financial Proposal should align with the requirements in the Terms of Reference and the Bidder's Technical Proposal.

The Financial Proposal shall specify a total delivery amount (in USD or in BDT) including consultation workshop costs and all other associated costs, i.e. travel cost, survey, DSA, printing costs, and overhead recharges.

In order to assist UNDP in the comparison of financial proposals, the financial proposals will include a detailed breakdown of this amount disclosing the key assumption employed in costing the working. The cost of preparing a proposal and of negotiating a contract, including any related travel or survey, is not reimbursable as a direct cost of the assignment.

Currency of the proposal: USD/BDT

	Amount(s)
Professional Fees (from Table 2)	
Other Costs (from Table 3)	
Total Amount of Financial	
Proposal	

Name	Position	Fee Rate	No. of Days/months/ hours	Total Amount
		Α	В	<i>C=A+B</i>
Subtotal Profes	ssional Fees:			

Table C: Breakdown of Other Costs (*)

Description	UOM	Quantity	Unit Price	Total Amount
Flights (international/domestic) *	R/T			
Subsistence allowance	Day			
Miscellaneous travel expenses	Lump sum			
Local transportation costs	Lump Sum			
Out-of-Pocket Expenses				
Other Costs: (please specify)				
All TAX and VAT				
Subtotal Other Costs:		1	1	

Table D: Breakdown of Price per Deliverable/Activity

Sl.no.	Deliverable/ Activity description	Time (person days)	Professional Fees	Other Costs	Total
4.	Submission of Inception report and				
	detailed methodologies notes,				
	including:				
	1) overall evaluation study				
	strategies,				
	2) detailed work plan,				
	3) sampling strategies (household				
	sampling and coverage of FGDs),				
	4) data collection methodologies &				
	protocols,				
	5) data quality control methods,				

	6) data analysis methodologies, and7) gender assessment plan. andevaluation matrix		
	Submission of all data collection tools and protocols [After satisfactory quality check by NRP & UNDP]		
5.	Submission of Draft evaluation Report		
6.	Submission of Final Evaluation report, incorporating the feedback and comments. (including Data Collection Tools, Checklists, Questionnaires, Datasets (if any) [After satisfactory quality check by NRP & UNDP]		
	Total:		

15. Evaluation:

The evaluation method will apply the combined scoring method which will be based on a combination of the technical and financial score. In response to RFP, the firm/organization will have to submit a Technical Proposal as per the Terms of Reference and a Financial Proposal separately. The tender selection committee will first evaluate the technical proposal of the institutions/firms. Any institutions/firms getting more than 70% of the maximum achievable points (i.e. 49 points) in the technical proposal will be considered for financial appraisal, and ultimately, therefore, for contracting. The financial proposal will be evaluated based on the lowest price. The total scoring points for both the evaluations will be 100; the technical evaluation will be based on 70 points and the rest 30 points are to be allotted to the bidder proposing the lowest price.

The formula of the combined scoring method will be as follows:

Rating the Technical Proposal (TP):

TP Rating = (Total Score Obtained by the Offer / Max. Obtainable Score for TP) x 100 Rating the Financial Proposal (FP):

FP Rating = (Lowest Priced Offer / Price of the Offer Being Reviewed) x 100 <u>Total Combined Score:</u>

Combined Score = (TP Rating) x (Weight of TP, e.g. 70%) + (FP Rating) x (Weight of FP, e.g., 30%)

16. Approval:

Name: Van Nguyen

Designation: Deputy Resident Representative, UNDP Bangladesh

Annex-A: NRP Result Framework

UNDAF outcome: Enhance effective management of the natural and manmade environment focusing on improved sustainability and increased resilience of vulnerable individuals and groups.

Joint programme outcome: Substantial increase in resilience to disaster and reduction in disaster risk, loss of lives and livelihoods of men, women, girls and boys; and protection of the health of persons, businesses and communities in Bangladesh.

persons, businesses and eo	persons, businesses and communities in Dangiauesn.				
Indicators Baseline					
Reduced losses in lives,	astructure	TBD	during programme		
(SADD-disagregated wher	e appropr	iate)		ince	ption phase
Increase in number of sec	ctors adop	ting and implementi	ng gender-	TBD	during programme
responsive resilient devel	opment (ii	n line with SDG and S	FDRR)	ince	ption phase
Enhanced national, local,	communi	ties' and household o	capacity to	TBD	during programme
recover from crisis and pr	event rela	pses			ption phase
Decrease in percentage of	GDP loss of	due to disasters		TBD	during programme
				ince	ption phase
Joint Programme	UN	Particicipating	Implemen	nting	Indicative
Outputs	org.	UN org.	Partner		activities
		corporate			
		priority			
1. Improved capacities	UNDP	Government	Ministry of	f	1.1 Establish
for risk-informed and		institutions have	Planning		platform collecting
gender-responsive		improved	(MoP)		risk information and
development planning		capacities, and			tools for gender-
		institutional and			responsive, risk-
Indicators:		legal frameworks			informed planning
1.1 Progress towards		to <u>respond</u> to and	Ministry of	f	1.2 Develop capacity
establishment of easily		ensure <u>resilient</u>	Planning		for gender-
accessible platform of		<u>recovery</u> from	(MoP)		responsive risk-
disaster risk information		earthquakes,			informed Annual
for development planning		weather extremes,			Development
purposes is established		and			Programme (ADP)
and institutionalized	<i>institutionalized</i> environmental				planning, project
within the GoB system	emergencies				formulation and
<u>Target</u> : Established,					appraisal
operational, and with			Ministry of	f	1.3 Support
sustainability plan (10			Planning		integration of risk
points).			(MoP)		information in
Baseline: Platform does					macro-level
not exist (0 points)					development plans
			1		

		Ministry of	1.4 Enhance
1.2 Progress towards		Planning	development of
U		0	=
incorporation of disaster		(MoP) UN Women &	gender-responsive risk-informed
risk screening in ADB			
approval and appraisal		MoWCA	planning capacity in
system.			key sectors
<u>Target</u> : Disaster risk		MoDMR	1.5 Develop capacity
screening is incorporated			for implementation
in ADP approval and			of Sendai framework
appraisal formats (7			and SDG resilience
points).			agenda in human
Baseline: Disaster risk			development policy
screening is not			and investment
incorporated in ADP			incorporating
approval and appraisal			pandemic aspects
formats (0 points).		Ministry of	1.6 Enhance
		Planning	knowledge base on
1.3 Percentage of NRP-		(MoP)	disaster risk to the
trained planning			private sector and
professionals self-			risk-informed
reporting a change in their			business-practices
knowledge of risk and			P
gender equality			
dimensions of their work			
utilizing gender responsive			
risk-informed approach in			
their project formulation			
or appraisal work with			
reference to specific,			
named project			
<u>Target</u> : 75%			
Baseline: 0			
<u>basenne</u> . 0			
1.4 Progress towards			
<i>institutionalization of DRR</i>			
-			
mainstreaming capacity in MoDMR			
Target: 5 points			
Baseline: 3 points			
1 C No of wilt's have a			
1.5 No. of multi-hazard			
national sub-national			
disaster and climate risk			
assessments that inform			
development planning and			

	T	l	
programming, taking into			
account differentiated			
impacts.			
Target: Disaster and			
climate change risk			
profile of industry (3			
points)			
Baseline: No sectoral			
disaster risk assessments			
exists for industry			
sectors.(0 points)			
1.6 Level of progress			
towards developing			
disaster resilience			
indicators for the 8th Five			
Year Plan, grassroot			
resilience voices, and risk			
informed business			
practices			
Target: 8 points			
Baseline: 0 points			
baseline. o points			
1.7 Progress towards			
establishment of			
monitoring mechanism for			
Sendai framework			
implementation			
<u>Target</u> : Mechanism			
established with			
adequate information,			
capacity to function			
throughout the Sendai			
period, through inter-			
ministerial mechanism(8			
points)			
Baseline: Mechanism does			
not exist; current MoDMR			
organogram does not			
allocate adequate human			
resource for DMIC or			
Sendai monitoring (0			
points).			

	INDE			
2. Strengthened	UNDP	(Same as above)	MoDMR	2.1 Enhance
disability inclusive,				capacities of the
gender-responsive				Government of
national capacities to				Bangladesh for
address recurrent and				coordination and
mega disasters				implementation of
				response for
Indicators:				recurrent and mega-
2.1 Gaps, strengths and				disaster risks
constraints for				including pandemic
megadisaster				(extensive and
preparedness in current				intensive risks)
allocation of mandates in	UN	Structural	UN Women	2.2. Strengthen
Standing Orders on	Women	barriers to		capacity of the
Disaster (SOD) are known		effective		Ministry of Women
to key stakeholders		integration of		and Children Affairs
Target: Supporting		gender equality		and the Ministry of
MoDMR for SOD revision		perspectives in		Disaster
and resource allocation		DRR/CCA &		Management and
for preparedness have		Humanitarian		Relief to collect and
been formulated and		actions are		use data
communicated to key		removed to		disaggregated by
stakeholders in		enhance women		sex, age and
Government of		and girl's		disability in a pre-
Bangladesh (6 points)		resilience to		and post-disaster
Baseline: Institutions are		disaster and		situation and to
inadequately equipped		climate change		conduct gender-
about their roles and		8-		responsive risk
responsibilities in SOD. (1				analyses and needs
point)				assessments
F)	UNDP	(Same as above)	MoDMR	2.3 Strengthened
2.2 Sex, age and 2.2 Sex,	UNDI	(buille us usove)	UN Women	national capacity for
age and disability			on women	gender-responsive
disaggregation is				multiple-disaster
institutionalized in GoB				recovery plan and
post-disaster data				strategy
collection tools and				Strategy
protocols (equivalent				
UNDP SP indicator 1.3.1.1				
Recovery plans and				
systems in place utilizing				
sex, age and disability				
disaggregated data and				
gender analysis)				
<u>Target</u> : 2 Points				

Baseline:Currentgovernment post-disasterdata collection forms do				
not require SADD. (0 points)				
2.3 Percentage of NRP- trained. Government officials self-reporting				
utilization of gender- responsive recovery				
planning in their work, with reference to named programmes/Project				
Target:75%Baseline:0				
3. Improved capacity of	UNOPS	The DRR4R	LGED	3.1 Strengthen Local
selected public		strategy sets out		Government
institutions to achieve		to ensure that		Engineering
resilience outcomes		UNOPS has a		Department capacity
through designing and		strong risk-based		to capture
constructing risk-		culture, which is		baseline information
informed, disability		fully integrated		on rural
inclusive and gender-		into all of our		infrastructure
responsive		activities around		systems through
infrastructure		the world. Through this, we		establishing an Asset Management
Indicators:		are committed to		System (AMS).
3.1 Strengthened Local		supporting global		
Government Engineering	UNOPS	SFDRR and SDG	LGED	3.2 Improve the
Department capacity to		efforts to build		design standards of
capture	UN	sustainable and	UN Women &	LGED for new assets
baseline information on	Women	resilient	MoWCA	and develop tools for
rural infrastructure		economies in the		build-back-better in
systems through		face of a rising tide		reconstruction of
establishing an		of risk and		assets to ensure
Asset Management System		vulnerability		infrastructure
(AMS).				systems are resilient
Target:12pointsBaseline:Present practice				and gender
Baseline: Present practice of LGED on Asset	UNODC		LCED	responsive
of LGED on Asset Management is limited to	UNOPS		LGED	
database of roads, and			Engineering	
bridges/culverts; No	UNOPS		Staff College LGED	3.3 Establish
organizational policy,	UNUPS		LGED	Colaboration with
organizational policy,				Colaboration with

	[
objective and strategy on		Engineering	other institutions on
Asset Management; No		Staff College	risk-informed and
structured Asset			resilient
Management Plan; No			infrastructure
Asset Information			system and
Strategy (0 points).			disseminate
			knowledge and
			share best practices
3.2 Improved the design			and lessons learned
standards of LGED for new			
assets and develop tools			3.4 Strengthen Local
for build-back-better in			Government
reconstruction of assets to			Engineering
ensure infrastructure			Department
systems are resilient and			leadership, policy
-			
gender responsive			-
Target: 4 points			capacity around risk-informed and
Baseline: Current road			
design standards do not			gender responsive
incorporate the resilience			infrastructure
elements; LGED does not			approach with focus
have a systematic			on asset
methodology to conduct			management system
Failure Analysis: LGED			
does not have a common			
tool to address gender			
issues in development			
projects;(0 points)			
3.3 Colaboration with			
other institutions on risk-			
informed and resilient			
infrastructure system and			
disseminate knowledge			
and share best practices			
and lessons learned			
Target: 5 points			
Baseline: Level of			
awareness of resilient			
infrastructure varies			
across different			
institutions; No			
arrangement on Asset			
Management course			
-			
between LGED and			

Engineering Staff College			
Bangladesh (ESCB) (0			
points)			
1 5			
3.4 Strengthened Local			
Government Engineering			
Department leadership,			
policy and compliance			
capacity around risk-			
informed and gender			
responsive infrastructure			
approach with focus on			
asset management system			
<u>Target</u> : 8 points			
Baseline: No Professional			
Development Program on			
Asset Management at			
LGED (0 points)			
4. Enhanced women's	UN	UN Women &	4.1 Strengthen
leadership capacities	Women	MoWCA	capacity of disaster
for, gender-responsive	women		management
			-
0			professionals and
decisions, investments			institutions for
and policies at national			gender responsive
and local levels			DRR policies and
			actions
Indicators:		UN Women	4.2 Capacitating
		& MoWCA	disaster
4.1 Number of policy			management
instruments addressing			committee (DMC)
gender equality aspects of			members, especially
disaster risk reduction			the women
<u>Target:</u> 7			members, and
Baseline: 0			disaster volunteers
			(cyclone and flood
4.2 Percentage of women's			preparedness
organizations in the			programmes) to
project area are directly			become agents of
			-
engaged in Disaster risk			gender equality and
reduction, Climate Change			gender
adaptation and			responsiveness in
Humanitarian Actions			disaster risk
<u>Target</u> : 31% of			reduction enabling
organizations in the			them to take
project area			leadership roles

<u>Baseline</u> : 7%			MoWCA and	4.3 Strengthening
			UN Women	capacity of Ministry
4.3 Percentage of women				of Women and
from the project				Children Affairs
communities self-				(MoWCA) to
reporting receipt of early				effectively engage at
warning messages (at the				national, regional
wake of disaster)				and international
<u>Target</u> : 90%				level promoting
Baseline: 73.4%				gender responsive
				resilience (GRR)
4.4 No of awareness			UN Women &	4.4 Strengthened
programs on gender-			MoWCA	capacity of
responsive resilience				Humanitarian
(GRR) launched by the				Clusters including
project.				GoB to decrease GBV
<u>Target</u> : 6				during and post
<u>Baseline</u> : 0				disaster through
				training and building
				awareness
			UN Women &	4.5 Promote gender-
			MoWCA	responsive
				resilience (GRR)
				through media (TV,
				Radio, community
				radio and social
				media)
5. Strengthened	UNDP	(Same as above)	MoDMR	5.1 Develop a cost-
disability inclusive,			_	effective, gender
gender responsive				responsive,
community				environmentally
preparedness, response				sustainable model
and recovery capacities				for using social
for recurrent and mega				safety net
disasters				5
u13031CI 3				programme to strengthen gender
Indicators:				responsive
5.1 DRR inclusive social				resilience building
				and advocate for the
safety net – guideline and				
piloting results are in				government's
place.				adoption.
Target: 3				
Baseline: 0				
5.2 No. of policy briefs on				
disaster risk reduction				

	1		
activities with persons			
with disabilities			
disseminated to			
policymakers			
<u>Target</u> : 2			
<u>Baseline</u> : 0			
5.3 Progress towards			
institutionalization Flood			
Preparedness Programme			
(FPP) utilizing gender-			
responsive and disability			
inclusive messaging with			
volunteers (equivalent to			
UNDP SP indicator:			
Indicator 3.3.1.1 Existence			
of operational end-to-end			
multi-sectoral early			
warning systems (EWS) to			
limit the gender-			
differentiated impact of:			
a) Natural hazards b)			
Health shocks (e.g.			
pandemics) c) Economic			
crises d) Other risk factors			
<u>Target</u> : 7 points			
Baseline: FPP system,			
training and resources			
piloted within local DMCs			
and received positive			
feedback from			
government, is however			
not institutionalized (0			
points).			
5.4 No. of people			
(disaggregated by gender,			
age and disability)			
benefiting from increased			
access to early warning			
information from FPP			
piloting			
Target: 162,000 Peoples			
Baseline: 0			
	1	1	

	1	I		
5.5 Progress toward				
development of Model on				
Inclusive Social Safety Net				
Programme (SSNP)				
meeting disaster specific				
needs of women and				
persons with disabilities				
and enhancing disaster				
resilience				
<u>Target</u> : 4				
Baseline: 0				
5.6 No of women in the				
project area pursuing				
nontraditional livelihood				
options contributing to				
their resilience building				
Target: 2700				
Baseline: 0				
Dusenne. o				
5.7 Percentage (%) of				
women involved in the				
project that self-report				
decreases assets loss (in				
case of disaster) compared				
to previous disasters				
Target: 50%				
Baseline: 14%				
Dasenne. 1170				
5.8 Progress towards				
inclusion of Forecast-				
Based Financing/Action in				
disaster management				
policy and planning				
documents				
<u>Target</u> : 6 points.				
Baseline:				
No procedure, awareness				
or plan for Forecast-				
Based Financing exists in				
government. (0 points).				
5.9 <i>Progress toward</i>				
-				
Development of Location Specific Dynamic Flood				
Risk model for upscaling.				

<u>Target</u> : 2		
<u>Baseline</u> : 1		
5.10 Progress towards		
formulation of minimum		
earthquake preparedness		
package for cities for		
scaling-up through		
government programmes.		
<u>Target</u> : 8 points		
Baseline: Ward-Level		
Minimum Preparedness		
model has been piloted		
by various NGOs in		
different city		
corporations and		
municipalities (1 point).		
5.11 Percentage of at-risk		
population covered by		
community level disaster		
management plans		
Target/Baseline: At least		
20% population (Age,		
gender, disability) of the		
working areas at flood		
and earthquake prone		
location	 	

Annex 5

Declaration

Date:

United Nations Development Programme UNDP Registry, IDB Bhaban, Agargaon Sher-E-Bangla Nagar, Dhaka, Bangladesh

Assignment: **Re-advertisement - Hiring a firm for Programme Evaluation of National Resilience Programme (NRP) of UNDP Bangladesh**

Reference: RFP-BD-2021-015 (Re-advertisement)

Dear Sir,

I declare that is not in the UN Security Council 1267/1989 List, UN Procurement Division List or Other UN Ineligibility List.

Yours Sincerely,