

ADVERTIZEMENT

INDIVIDUAL CONSULTANT PROCUREMENT NOTICE (ICPN)

National Consultant: Capacity Building Training of Local Councils Staff in ten district councils

(IC - National).

Date: July 19, 2021

Procurement Notice No.: SLE/ICPN/2021/010

Country: Sierra Leone

Description of the assignment: National Consultant, Consultancy for Capacity Building Training of Local Councils Staff in ten district councils

Project Name: Support to Local Governance

Period of assignment: Hundred and Twenty Days (120) days

Proposal should be submitted at the following address, UNDP, Fourah Close, Off Main Motor Road, Wilberforce, Freetown, Sierra Leone or by email to procure.sle@undp.org no later than 17:00 hours, on Tuesday July 27,2021.

Any request for clarification must be sent in writing, or by standard electronic communication to the address or e-mail indicated above. UNDP Sierra Leone will respond in writing or by standard electronic mail and will send written copies of the response, including an explanation of the query without identifying the source of inqu6iry, to all consultants

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1. BACKGROUND AND OBJECTIVES

By the time Sierra Leone emerged from 11 years of devastating civil conflict in 2002, it was clear that exclusion, marginalization, and the over-centralization of resources had been a critical part of the country's problem. This was articulated clearly in the report of the Sierra Leone Truth and Reconciliation Commission in 2004.

To address this deficiency there was overwhelming call to set up a governance system that will increase the participation of local/rural community people in the governance of the country. As this was an urgent need a local government act was elaborated and endorsed in 2004 way before the development of a decentralization policy which in all regard should have preceded the formulation of the legal framework.

Going forward Sierra Leone choose a difficult path to decentralization through devolution of functions to local governments. Decentralization makes local government the linchpin of local service delivery and necessitates capacity support to local government as one of the key building blocks to enable delivery of sustainable local services at scale. Local governments in their role as the service authority need to be able to take on functions such as planning, financing, implementation, monitoring and support of local service providers.

Initial support to the SL Government to capacitate local councils to perform their functions came from various development partners and donors and was a mix of supply-demand based:

- 1. The institutional Reform and Capacity Building Project (IRCBP), a World Bank transitional support strategy enhanced policy advisory and strategic management role of the Decentralization Secretariat (DecSec)
- 2. The UNDP supported Local Governance and Economic Development program (LGED) developed in 2012 strengthened the financial and technical capacities of the Ministry of Local Government and Rural Development
- 3. The Japanese International Corporation Agency (JICA) was also instrumental in providing the necessary capacity at local council level through the Capacity Development for Comprehensive District Development (CDCD) Program. This program provided support in district development planning, orientation of council staff on their roles and responsibilities, construction of feeder roads, community schools and health posts using community contractors.

Some achievements were made in getting the system set up and off the ground. However, these projects have all ended.

Despite the various achievements, there are still critical roadblocks in capacity building at both national and local council levels. The absence of a comprehensive capacity building policy to ensure that capacity building supports are institutionalized and sustained is one of the major bottlenecks that need to be addressed by government. Capacity building supports by various development partners have not been properly coordinated and monitored by the MLG due to lack of proper coordination mechanisms in place.

Given the fact that the Local Government Act was elaborated before the decentralization policy, the process was froth with problems around institutional and administrative functions and structures as well as public finance and human resources management. Of a more serious consequence among others, had been poor human resources management that had led to poor service delivery at local level over the years, though it is

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now beginning to pick up.

Local governments need support to take on the responsibility of service delivery at local level. While this is understood in theory, in practice the support provided has been inadequate. Unless national government and development partners are willing to invest in training and on-going support for local governments, the sector will remain stuck in a cycle of low capacity and service delivery failure.

The need to address the human resource capacity gap in local councils in Sierra Leone led to the MLGRD reaching out to development and donor partners to support a capacity assessment of the local councils. In this regard the EU and UNDP opted to fund two separate but interdependent local consultancies to assess the capacity of all the local councils. In 2019 UNDP and the EU initiated the processes of the assessment and funded the local consultancies to assess the 22 local councils. The capacity assessment reports from both agencies talk of deficiencies in clarity on roles, lack of communication skills, induction of staff, leadership, management skills, M&E and team work capacities, the need to improve technical capability of staff for efficiency and effectiveness in the planning and implementation of the devolved functions among others. In a recent technical planning meeting leadership and management skills were prioritized as short-term capacity building needs in addition to development of orientation package for elected officials. The reason for this consultancy is to help address these capacity gaps.

2. SCOPE OF WORK

Methodology and scope of work:

- 1. Training of core staff and elected officials (Chair/Mayor and deputies, CA, DCA, DPO, FO, PO, Gender Officer, HRO, M&E) of ten local councils in eight districts (Falaba, Kambia, Port Loko District and City, WARD C, Moyamba, Pujehun, Bonthe District and Municipal, Kailahun) based on the following work-related learning needs categories:
- a) Orientation for elected council officials on their roles and functions and good governance in the councils.
- b) LEADERSHIP: Setting Direction and Policy, Strategy, Setting Goals and Targets, Networking, Communicating Impact and Oversight.
- c) Management: Managing People and Resources, Teamwork, Policy development, Gender mainstreaming, and Workplace safety

Expected Outputs and Deliverables

The consultant will work under the direct supervision of UNDP SDI Programme Specialist and project manager. The Consultant will report directly to the UNDP SDI Programme Specialist and the Executive Secretary of Local Government Service Commission shall be copied on all correspondences.

Deliverables/ Outputs	Estimated	Target	Review and Approvals
	Duration to	Due	Required (Indicate designation
	Complete	Dates	of person who will review
			output and confirm acceptance
Inception report detailing training methodology,	10 days	TBD	SDI Portfolio Manager
curriculum as per scope of work in section C and			
implementation plan			

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Development of the Curriculum and the Training reference materials including other learning resources for each training component.	30 days	TBD	SDI Portfolio Manager	
Conduct leadership trainings for Chairs/Mayors and deputies, CA, and DCAs in the councils	30 days	TBD	SDI Portfolio Manager	
Conduct training of trainers' workshop for 7 core staff per council in Managing People and Resources, Teamwork, Policy development, Gender mainstreaming, and Workplace safety	20 days	TBD	SDI Portfolio Manager	
Conduct orientation and good governance training for 70 councillors	30 days	TBD	SDI Portfolio Manager	

3. DURATION OF THE WORK

The expected duration of the entire exercise is One hundred and ten days starting from the inception of the project. Work is expected to commence August 2021 and end in November 2021 upon satisfactory completion of deliverables/outputs, but not later than 30th November 2021.

4. DUTY STATION

The duty station for the selected training consultant will be in the regions where the councils are concentrated (North, North West, South) and can be easily reached. The consultant will collaborate with the MLGRD/LGSC/DECSEC/LoCASL and HRMO in the implementation of the activities.

5. Competencies

Core Competencies

- Demonstrates integrity by modelling the UN values and ethical standards
- Promotes the vision, mission, and strategic goals of UNDP
- Displays cultural, gender, religion, race, nationality and age sensitivity and adaptability
- Treats all people fairly without favouritism

Functional Competencies

- Strong team player with a collaborative mindset
- Excellent training skills for dealing with people of differing age and academic background
- Communication skills to different stakeholders
- Mentoring Knowledge
- Excellent writing and communication skills (verbal and written), including the ability to conduct presentations by clearly formulating positions on issues, articulating options concisely conveying maximum necessary information, making, and defending.
- Strong analytical and reporting skills, and experience of presenting complex technical information in easily comprehensible way

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Required Qualification and E	xperience
Education:	Masters or Advanced Degree in Social Sciences, Economics, Business Management, Political Sciences or related field
Experience:	 Minimum of seven (7) years of relevant experience in the delivery of tailor-made training packages for civil servants and other public institutions. Experience in following up on trainees for coaching purposes. Demonstrated experience in promoting group learning and practical hands-on learning in workplace environment. Experience in developing PowerPoint presentations, training methodologies and facilitation of large and small groups Understanding of gender concepts, experience, and commitment Understanding of local governance and decentralization in Sierra Leone Strong writing, presentation, coaching and communications skills Prior experience within the United Nations is desirable but not a requirement.
Language Requirements:	Strong ability to communicate clearly in written and spoken English.

6. SCOPE OF PRICE PROPOSAL AND SCHEDULE OF PAYMENTS

The individual contactor will receive a lump sum amount as consideration for the satisfactory performance of services and certified by the SDI Portfolio Manager. Payment for services will be done in instalment contingent upon the achievement of each deliverable or output according to the scheduled below.

Deliverables/ Outputs	Estimated Duration to Complete	Target Due Dates	Payment
1) An inception report detailing training curriculum as per scope of work in section C and implementation plan. 2. Development of training materials including PowerPoint presentation and additional learning resources.	10 days	TBD	20%
1:Conduct leadership trainings for Chair/Mayor and deputies, CA, and DCAs in the councils 2. Conduct training of trainers' workshop for 7 core staff per the ten councils in management, 3. Submit end of training report using template agreed on with UNDP	80 days	TBD	40%

Conduct orientation and good governance	30 days	TBD	40%
training for 70 councillors 2. Submit end of training report using			
template agreed on with UNDP			1

7. SUBMISSION OF APPLICATION

Qualified applicants are required to submit both technical and financial proposals through the link provided.

Technical proposal comprising of the following:

- Duly accomplished Letter of Confirmation of Interest and Availability using the template provided by UNDP
- Personal CV or P11, indicating all experience from similar consultancy, as well as the contact details (email and telephone number) of the candidate and three (3) professional references
- Brief description (max. 1 page) of why you consider yourself as the most suitable for the assignment, and a methodology (max. 2 page) for how you will approach and complete the assignment.

Financial proposal: Financial proposals are expected to be realistic indicating the all-inclusive fixed total contract price, supported by a breakdown of costs.

For any further clarification, you may contact the Head of Procurement.

8. DOCUMENTS TO BE INCLUDED WHEN SUBMITTING THE PROPOSALS.

APPLICATION PROCESS¹

Qualified and interested candidates are hereby requested to apply. The application should contain the following:

- Cover letter
- Personal CV, indicating education background/professional qualifications, all experience from similar projects, as well as the contact details (email and telephone number) of the Candidate and at least three (3) professional references; (P11 form²);

Financial proposal that indicates all-inclusive fixed total contract price supported by a breakdown of costs (including professional fee and specified other costs if applicable including travel costs and DSA).

All application materials should be submitted to the address Fourah Bay Close, Off Main Motor Road, Wilberforce, Freetown in a sealed envelope indicating the following reference "Capacity Building Training of Local Councils Staff in ten district councils: 4904 or by email at the following address ONLY: procure.sle@undp.org by the dead line as advertised. Incomplete applications will be excluded from further consideration.

Note:

The information in the breakdown of the offered lump sum amount provided by the offer or will be used
as the basis for determining best value for money, and as reference for any amendments of the contract.

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¹ Engagement of the consultants should be done in line with guidelines for hiring consultants in the POPP: https://info.undp.org/global/popp/Pages/default.aspx

² http://www.undp.org/content/dam/undp/library/corporate/Careers/P11 Personal history form.doc

- The agreed contract amount will remain fixed regardless of any factors causing an increase in the cost of any of the components in the breakdown that are not directly attributable to UNDP.
- Approved local travel related to this assignment will be arranged and paid by UNDP Sierra Leone.

Please note that applications will only be considered if they include ALL of the items listed above. Also note that UNDP job portal website only allows for one document to be uploaded, so please combine all of the above-mentioned items into one single Word or PDF document before uploading

9. EVALUATION CRITERIA

Offers received will be evaluated using a combined scoring method, where the qualifications and proposed methodology will be weighted 70%, and combined with the price offer, which will be weighted 30%.

Criteria to be used for rating the qualifications and methodology

Technical evaluation criteria (total 70 points)

- Education of consultant [10 marks]
- Proposed methodology of approach to the consultancy [20 marks].
- Experience in developing gender friendly training packages for variety of stakeholders. [10 marks].
- Experience in developing tailor made curriculum and delivering training programmes to large and small groups and experience in working with a variety of stakeholders including civil servants and elected officials. [30 marks].

Only candidates obtaining a minimum of 49 points in the Technical Evaluation will be considered for the Financial Evaluation.

Financial evaluation (total 30 points)

All technically qualified proposals will be rated out of 30 points. The maximum points (30) will be assigned to the lowest financial proposal.

UNDP is committed to achieving workforce diversity in terms of gender, nationality and culture. Individuals from minority groups, indigenous groups and persons with disabilities are equally encouraged to apply. All applications will be treated with the strictest confidence.

Rokya Ye Dieng

Deputy Resident Representative

ANNEX

ANNEX 1- TERMS OF REFERENCES (TOR)

ANNEX 2- INDIVIDUAL CONSULTANT GENERAL TERMS AND CONDITION

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UNITED NATIONS DEVELOPMENT PROGRAMME



TERMS OF REFERENCE

Capacity Building Training of Local Councils Staff in ter district councils				
Inclusive Democratic Governance Cluster				
Strengthening Democratic Institutions Portfolio Manager				
120 days				
National Consultancy				
Freetown				
July 2021				
Capacity Building Training of Local Councils Staff in eight district councils				

B. Project Description

By the time Sierra Leone emerged from 11 years of devastating civil conflict in 2002, it was clear that exclusion, marginalization, and the over-centralization of resources had been a critical part of the country's problem. This was articulated clearly in the report of the Sierra Leone Truth and Reconciliation Commission in 2004.

To address this deficiency there was overwhelming call to set up a governance system that will increase the participation of local/rural community people in the governance of the country. As this was an urgent need a local government act was elaborated and endorsed in 2004 way before the development of a decentralization policy which in all regard should have preceded the formulation of the legal framework.

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(CDCD) Program. This program provided support in district development planning, orientation of council staff on their roles and responsibilities, construction of feeder roads, community schools and health posts using community contractors.

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Local governments need support to take on the responsibility of service delivery at local level. While this is understood in theory, in practice the support provided has been inadequate. Unless national government and development partners are willing to invest in training and on-going support for local governments, the sector will remain stuck in a cycle of low capacity and service delivery failure.

The need to address the human resource capacity gap in local councils in Sierra Leone led to the MLGRD reaching out to development and donor partners to support a capacity assessment of the local councils. In this regard the EU and UNDP opted to fund two separate but interdependent local consultancies to assess the capacity of all the local councils. In 2019 UNDP and the EU initiated the processes of the assessment and funded the local consultancies to assess the 22 local councils. The capacity assessment reports from both agencies talk of deficiencies in clarity on roles, lack of communication skills, induction of staff, leadership, management skills, M&E and team work capacities, the need to improve technical capability of staff for efficiency and effectiveness in the planning and implementation of the devolved functions among others. In a recent technical planning meeting leadership and management skills were prioritized as short-term capacity building needs in addition to development of orientation package for elected officials. The reason for this consultancy is to help address these capacity gaps.

C. Scope of work

Methodology and scope of work:

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- b) LEADERSHIP: Setting Direction and Policy, Strategy, Setting Goals and Targets, Networking, Communicating Impact and Oversight.
- c) Management: Managing People and Resources, Teamwork, Policy development, Gender mainstreaming, and Workplace safety

D. Expected Outputs and Deliverables

Deliverables/ Outputs	Estimated Duration to Complete	Target Due Dates	Review and Approvals Required (Indicate designation of person who will review output and confirm acceptance)
 Inception report detailing training methodology, curriculum as per scope of work in section C and implementation plan	10 days	TBD _.	SDI Portfolio Manager
Development of the Curriculum and the Training reference materials including other learning resources for each training component.	30 days	TBD	SDI Portfolio Manager
Conduct leadership trainings for Chairs/Mayors and deputies, CA, and DCAs in the councils	30 days	TBĎ	SDI Portfolio Manager
Conduct training of trainers' workshop for 7 core staff per council in Managing People and Resources, Teamwork, Policy development, Gender mainstreaming, and Workplace safety	20 days	TBD	SDI Portfolio Manager
Conduct orientation and good governance training for 70 councillors	30 days	TBD	SDI Portfolio Manager

E. Institutional Arrangement

The consultant will work under the direct supervision of UNDP SDI Programme Specialist and project manager. The Consultant will report directly to the UNDP SDI Programme Specialist and the Executive Secretary of Local Government Service Commission shall be copied on all correspondences.

F. Duration of the Work

The expected duration of the entire exercise is One hundred and ten days starting from the inception of the project. Work is expected to commence August 2021 and end in November 2021 upon satisfactory completion of deliverables/outputs, but not later than 30th November 2021.

G. Duty Station

The duty station for the selected training consultant will be in the regions where the councils are concentrated (North, North West, South) and can be easily reached. The consultant will collaborate with the MLGRD/LGSC/DECSEC/LoCASL and HRMO in the implementation of the activities.

V. Skills and Competencies

Core Competencies

- Demonstrates integrity by modelling the UN values and ethical standards
- Promotes the vision, mission, and strategic goals of UNDP
- Displays cultural, gender, religion, race, nationality and age sensitivity and adaptability
- Treats all people fairly without favouritism

Functional Competencies

- Strong team player with a collaborative mindset
- Excellent training skills for dealing with people of differing age and academic background
- Communication skills to different stakeholders
- Mentoring Knowledge
- Excellent writing and communication skills (verbal and written), including the ability to conduct presentations by clearly formulating positions on issues, articulating options concisely conveying maximum

necessary information, making, and defending.

Strong analytical and reporting skills, and experience of presenting complex technical information in an easily comprehensible way

				Experi		

Education:	Masters or Advanced Degree in Social Sciences, Economics, Business Management, Political Sciences or related field
Experience:	 Minimum of seven (7) years of relevant experience in the delivery of tailor-made training packages for civil servants and other public institutions. Experience in following up on trainees for coaching purposes. Demonstrated experience in promoting group learning and practical hands-on learning in workplace environment. Experience in developing PowerPoint presentations, training methodologies and facilitation of large and small groups Understanding of gender concepts, experience, and commitment Understanding of local governance and decentralization in Sierra Leone Strong writing, presentation, coaching and communications skills Prior experience within the United Nations is desirable but not a requirement.
Language Requirements:	Strong ability to communicate clearly in written and spoken English.

1. Scope of Price Proposal and Schedule of Payments

The individual contactor will receive a lump sum amount as consideration for the satisfactory performance of services and certified by the SDI Portfolio Manager. Payment for services will be done in instalment contingent upon the achievement of each deliverable or output according to the scheduled below.

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template agreed on with UNDP				
Conduct orientation and good governance	30 days	TBD	40%	
training for 70 councillors				
2. Submit end of training report using				
template agreed on with UNDP				

J. Submission of Application

Qualified applicants are required to submit both technical and financial proposals through the link provided. Technical proposal comprising of the following:

- Duly accomplished Letter of Confirmation of Interest and Availability using the template provided by UNDP
- Personal CV or P11, indicating all experience from similar consultancy, as well as the contact details (email and telephone number) of the candidate and three (3) professional references
- Brief description (max. 1 page) of why you consider yourself as the most suitable for the assignment, and a methodology (max. 2 page) for how you will approach and complete the assignment.

Financial proposal: Financial proposals are expected to be realistic indicating the all-inclusive fixed total contract price, supported by a breakdown of costs.

For any further clarification, you may contact the Head of Procurement.

K. Criteria for Selection of the Best Offer

Offers received will be evaluated using a combined scoring method, where the qualifications and proposed methodology will be weighted 70%, and combined with the price offer, which will be weighted 30%.

Criteria to be used for rating the qualifications and methodology

Technical evaluation criteria (total 70 points)

- Education of consultant [10 marks]
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Financial evaluation (total 30 points)

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UNDP is committed to achieving workforce diversity in terms of gender, nationality, and culture. Individuals from minority groups, indigenous groups and persons with disabilities are equally encouraged to apply. All applications will be treated with the strictest confidence.

UNDP

GENERAL CONDITIONS OF CONTRACT FOR SERVICES



Empowered lives.
Resilient nations.

1.0 LEGAL STATUS:

The Contractor shall be considered as having the legal status of an independent contractor vis-à-vis the United Nations Development Programme (UNDP). The Contractor's personnel and sub-contractors shall not be considered in any respect as being the employees or agents of UNDP or the United Nations.

2.0 SOURCE OF INSTRUCTIONS:

The Contractor shall neither seek nor accept instructions from any authority external to UNDP in connection with the performance of its services under this Contract. The Contractor shall refrain from any action that may adversely affect UNDP or the United Nations and shall fulfill its commitments with the fullest regard to the interests of UNDP.

3.0 CONTRACTOR'S RESPONSIBILITY FOR EMPLOYEES:

The Contractor shall be responsible for the professional and technical competence of its employees and will select, for work under this Contract, reliable individuals who will perform effectively in the implementation of this Contract, respect the local customs, and conform to a high standard of moral and ethical conduct.

4.0 ASSIGNMENT:

The Contractor shall not assign, transfer, pledge or make other disposition of this Contract or any part thereof, or any of the Contractor's rights, claims or obligations under this Contract except with the prior written consent of UNDP.

5.0 SUB-CONTRACTING:

In the event the Contractor requires the services of sub-contractors, the Contractor shall obtain the prior written approval and clearance of UNDP for all sub-contractors. The approval of UNDP of a sub-contractor shall not relieve the Contractor of any of its obligations under this Contract. The terms of any sub-contract shall be subject to and conform to the provisions of this Contract.

6.0 OFFICIALS NOT TO BENEFIT:

The Contractor warrants that no official of UNDP or the United Nations has received or will be offered by the Contractor any direct or indirect benefit arising from this Contract or the award thereof. The Contractor agrees that breach of this provision is a breach of an essential term of this Contract.

7.0 INDEMNIFICATION:

The Contractor shall indemnify, hold and save harmless, and defend, at its own expense, UNDP, its officials, agents, servants and employees from and against all suits, claims, demands, and liability of any nature or kind, including their costs and expenses, arising out of acts or omissions of the Contractor, or the Contractor's employees, officers, agents or sub-contractors, in the performance of this Contract. This provision shall extend, inter alia, to claims and liability in the nature of workmen's compensation, products liability and liability arising out of the use of patented inventions or devices, copyrighted material or other intellectual property by the Contractor, its employees, officers, agents, servants or sub-contractors. The obligations under this Article do not lapse upon termination of this Contract.

8.0 INSURANCE AND LIABILITIES TO THIRD PARTIES:

- **8.1** The Contractor shall provide and thereafter maintain insurance against all risks in respect of its property and any equipment used for the execution of this Contract.
- **8.2** The Contractor shall provide and thereafter maintain all appropriate workmen's compensation insurance, or the equivalent, with respect to its employees to cover claims for personal injury or death in connection with this Contract.
- 8.3 The Contractor shall also provide and thereafter maintain liability insurance in an adequate amount to cover third party claims for death or bodily injury, or loss of or damage to property, arising from or in connection with the provision of services under this Contract or the operation of any vehicles, boats, airplanes or other equipment owned or leased by the Contractor or its agents, servants, employees or sub-contractors performing work or services in connection with this Contra
- **8.4** Except for the workmen's compensation insurance, the insurance policies under this Article shall:
- **8.4.1** Name UNDP as additional insured;
- **8.4.2** Include a waiver of subrogation of the Contractor's rights to the insurance carrier against the UNDP;

- **8.4.3** Provide that the UNDP shall receive thirty (30) days written notice from the insurers prior to any cancellation or change of coverage.
- **8.5** The Contractor shall, upon request, provide the UNDP with satisfactory evidence of the insurance required under this Article.

9.0 ENCUMBRANCES/LIENS:

The Contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with the UNDP against any monies due or to become due for any work done or materials furnished under this Contract, or by reason of any other claim or demand against the Contractor.

shall rest with UNDP and any such equipment shall be returned to UNDP at the conclusion of this Contract or when no longer needed by the Contractor. Such equipment, when returned to UNDP, shall be in the same condition as when delivered to the Contractor, subject to normal wear and tear. The Contractor shall be liable to compensate UNDP for equipment determined to be damaged or degraded beyond normal wear and tear.

11.0 COPYRIGHT, PATENTS AND OTHER PROPRIETARY RIGHTS:

- 11.1 Except as is otherwise expressly provided in writing in the Contract, the UNDP shall be entitled to all intellectual property and other proprietary rights including, but not limited to, patents, copyrights, and trademarks, with regard to products, processes, inventions, ideas, know-how, or documents and other materials which the Contractor has developed for the UNDP under the Contract and which bear a direct relation to or are produced or prepared or collected in consequence of, or during the course of, the performance of the Contract, and the Contractor acknowledges and agrees that such products, documents and other materials constitute works made for hire for the UNDP.
- **11.2** To the extent that any such intellectual property or other proprietary rights consist of any intellectual property or other proprietary rights of the Contractor: (i) that pre-existed the performance by the Contractor of its obligations under the Contract, or (ii) that the Contractor may

develop or acquire, or may have developed or acquired, independently of the performance of its obligations under the Contract, the UNDP does not and shall not claim any ownership interest thereto, and the Contractor grants to the UNDP a perpetual license to use such intellectual property or other proprietary right solely for the purposes of and in accordance with the requirements of the Contract.

- 11.3 At the request of the UNDP; the Contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring or licensing them to the UNDP in compliance with the requirements of the applicable law and of the Contract.
- 11.4 Subject to the foregoing provisions, all maps, drawings, photographs, mosaics, plans, reports, estimates, recommendations, documents, and all other data compiled by or received by the Contractor under the Contract shall be the property of the UNDP, shall be made available for use or inspection by the UNDP at reasonable times and in reasonable places, shall be treated as confidential, and shall be delivered only to UNDP authorized officials on completion of work under the Contract.

12.0 USE OF NAME, EMBLEM OR OFFICIAL SEAL OF UNDP OR THE UNITED NATIONS:

The Contractor shall not advertise or otherwise make public the fact that it is a Contractor with UNDP, nor shall the Contractor, in any manner whatsoever use the name, emblem or official seal of UNDP or THE United Nations, or any abbreviation of the name of UNDP or United Nations in connection with its business or otherwise.

13.0 CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION:

Information and data that is considered proprietary by either Party and that is delivered or disclosed by one Party ("Discloser") to the other Party ("Recipient") during the course of performance of the Contract, and that is designated as confidential ("Information"), shall be held in confidence by that Party and shall be handled as follows:

- **13.1** The recipient ("Recipient") of such information shall:
- **13.1.1** use the same care and discretion to avoid disclosure, publication or dissemination of the Discloser's information as it uses with its own similar information that it does not wish to disclose, publish or disseminate; and,
- 13.1.2 use the Discloser's Information solely for the purpose for which it was disclosed.
- **13.2** Provided that the Recipient has a written agreement with the following persons or entities requiring them to treat the Information confidential in accordance with the Contract and this Article 13, the Recipient may disclose Information to:
- 13.2.1 Any other party with the Discloser's prior written consent; and,
- 13.2.2 the Recipient's employees, officials, representatives and agents who have a need to know such information for purposes of performing obligations under the Contract, and employees officials, representatives and agents of any legal entity that it controls, controls it, or with which it is

under common control, who have a need to know such Information for purposes of performing obligations under the Contract, provided that, for these purposes a controlled legal entity means:

- **13.2.2.1** A corporate entity in which the Party owns or otherwise controls, whether directly or indirectly, over fifty percent (50%) of voting shares thereof; or;
- 13.2.2.2 Any entity over which the Party exercises effective managerial control; or,
- 13.2.2.3 for the UNDP, an affiliated Fund such as UNCDF, UNIFEM and UNV.
- 13.3 The Contractor may disclose Information to the extent required by law, provided that, subject to and without any waiver of the privileges and immunities of the United Nations, the Contractor will give the UNDP sufficient prior notice of a request for the disclosure of Information in order to allow the UNDP to have a reasonable opportunity to take protective measures or such other action as may be appropriate before any such disclosure is made.
- 13.4 The UNDP may disclose information to the extent as required pursuant to the Charter of the UN, resolutions or regulations of the General Assembly, or rules promulgated by the Secretary-General.
- 13.5 The Recipient shall not be precluded from disclosing Information that is obtained by the Recipient from a third party without restriction, is disclosed by the Discloser to a third party without any obligation of confidentiality, is previously known by the Recipient, or at any time is developed by the Recipient completely independently of any disclosures hereunder.
- 13.6 These obligations and restrictions of confidentiality shall be effective during the term of the Contract, including any extension thereof, and, unless otherwise provided in the Contract, shall remain effective following any termination of the Contract.

14.0 FORCE MAJEURE; OTHER CHANGES IN CONDITIONS

14.1 In the event of and as soon as possible after the occurrence of any cause constituting force majeure, the Contractor shall give notice and full particulars in writing to the UNDP, of such occurrence or change if the Contractor is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under this Contract. The Contractor shall also notify the UNDP of any other changes in conditions or the occurrence of any event that interferes or threatens to interfere with its performance of this Contract. On receipt of the notice required under this Article, the UNDP shall take such action as, in its sole discretion; it considers to be

appropriate or necessary in the circumstances, including the granting to the Contractor of a reasonable extension of time in which to perform its obligations under this Contract.

- 14.2 If the Contractor is rendered permanently unable, wholly, or in part, by reason of force majeure to perform its obligations and meet its responsibilities under this Contract, the UNDP shall have the right to suspend or terminate this Contract on the same terms and conditions as are provided for in Article 15, "Termination", except that the period of notice shall be seven (7) days instead of thirty (30) days.
- **14.3** Force majeure as used in this Article means acts of God, war (whether declared or not), invasion, revolution, insurrection, or other acts of a similar nature or force.
- 14.4 The Contractor acknowledges and agrees that, with respect to any obligations under the Contract that the Contractor must perform in or for any areas in which the UNDP is engaged in, preparing to engage in, or disengaging from any peacekeeping, humanitarian or similar operations, any delays or failure to perform such obligations arising from or relating to harsh conditions within such areas or to any incidents of civil unrest occurring in such areas shall not, in and of itself, constitute force majeure under the Contract..

15.0 TERMINATION

- 15.1 Either party may terminate this Contract for cause, in whole or in part, upon thirty (30) days notice, in writing, to the other party. The initiation of arbitral proceedings in accordance with Article 16.2 ("Arbitration"), below, shall not be deemed a termination of this Contract.
- 15.2 UNDP reserves the right to terminate without cause this Contract at any time upon 15 days prior written notice to the Contractor, in which case UNDP shall reimburse the Contractor for all reasonable costs incurred by the Contractor prior to receipt of the notice of termination.
- **15.3** In the event of any termination by UNDP under this Article, no payment shall be due from UNDP to the Contractor except for work and services satisfactorily performed in conformity with the express terms of this Contract.
- 15.4 Should the Contractor be adjudged bankrupt, or be liquidated or become insolvent, or should the Contractor make an assignment for the benefit of its creditors, or should a Receiver be appointed on account of the insolvency of the Contractor, the UNDP may, without prejudice to any other right or remedy it may have under the terms of these conditions, terminate this Contract forthwith. The Contractor shall immediately inform the UNDP of the occurrence of any of the above events.

16.0 SETTLEMENT OF DISPUTES

16.1 Amicable Settlement: The Parties shall use their best efforts to settle amicably any dispute, controversy or claim arising out of this Contract or the breach, termination or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation

shall take place in accordance with the UNCITRAL Conciliation Rules then obtaining, or according to such other procedure as may be agreed between the parties.

16.2 Arbitration: Any dispute, controversy, or claim between the Parties arising out of the Contract or the breach, termination, or invalidity thereof, unless settled amicably under Article 16.1, above, within sixty (60) days after receipt by one Party of the other Party's written request for such amicable settlement, shall be referred by either Party to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The decisions of the arbitral tribunal shall be based on general principles of international commercial law. For all evidentiary questions, the arbitral tribunal shall be guided by the Supplementary Rules Governing the Presentation and Reception of Evidence in International Commercial Arbitration of the International Bar Association, 28 May 1983 edition. The arbitral tribunal shall be empowered to order the return or destruction of goods or any property, whether tangible or intangible, or of any confidential information provided under the Contract, order the termination of the Contract, or order that any other protective measures be taken with respect to the goods, services or any other property, whether tangible or intangible, or of any confidential information provided under the Contract, as appropriate, all in accordance with the authority of the arbitral tribunal pursuant to Article 26 ("Interim Measures of Protection") and Article 32 ("Form and Effect of the Award") of the UNCITRAL Arbitration Rules. The arbitral tribunal shall have no authority to award punitive damages. In addition, unless otherwise expressly provided in the Contract, the arbitral tribunal shall have no authority to award interest in excess of the London Inter-Bank Offered Rate ("LIBOR") then prevailing, and any such interest shall be simple interest only. The Parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such dispute, controversy, or claim.

17.0 PRIVILEGES AND IMMUNITIES:

Nothing in or relating to this Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.

18.0 TAX EXEMPTION

18.1 Section 7 of the Convention on the Privileges and Immunities of the United Nations provides, inter-alia that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the United Nations exemption from such taxes, duties

or charges, the Contractor shall immediately consult with the UNDP to determine a mutually acceptable procedure.

18.2 Accordingly, the Contractor authorizes UNDP to deduct from the Contractor's invoice any amount representing such taxes, duties or charges, unless the Contractor has consulted with the

UNDP before the payment thereof and the UNDP has, in each instance, specifically authorized the Contractor to pay such taxes, duties or charges under protest. In that event, the Contractor shall provide the UNDP with written evidence that payment of such taxes, duties or charges has been made and appropriately authorized.

19.0 CHILD LABOUR

- 19.1 The Contractor represents and warrants that neither it, nor any of its suppliers is engaged in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child, including Article 32 thereof, which, inter alia, requires that a child shall be protected from performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical mental, spiritual, moral or social development.
- 19.2 Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, at no cost to UNDP.

20.0 MINES:

- 20.1 The Contractor represents and warrants that neither it nor any of its suppliers is actively and directly engaged in patent activities, development, assembly, production, trade or manufacture of mines or in such activities in respect of components primarily utilized in the manufacture of Mines. The term "Mines" means those devices defined in Article 2, Paragraphs 1, 4 and 5 of Protocol II annexed to the Convention on Prohibitions and Restrictions on the Use of Certain Conventional Weapons Which May Be Deemed to Be Excessively Injurious or to Have Indiscriminate Effects of 1980.
- 20.2 Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind of UNDP.

21.0 OBSERVANCE OF THE LAW:

The Contractor shall comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the terms of this Contract.

22.0 SEXUAL EXPLOITATION:

22.1 The Contractor shall take all appropriate measures to prevent sexual exploitation or abuse of anyone by it or by any of its employees or any other persons who may be engaged by the

Contractor to perform any services under the Contract. For these purposes, sexual activity with any person less than eighteen years of age, regardless of any laws relating to consent, shall constitute the sexual exploitation and abuse of such person. In addition, the Contractor shall refrain from, and

shall take all appropriate measures to prohibit its employees or other persons engaged by it from, exchanging any money, goods, services, offers of employment or other things of value, for sexual favors or activities, or from engaging in any sexual activities that are exploitive or degrading to any person. The Contractor acknowledges and agrees that the provisions hereof constitute an essential term of the Contract and that any breach of this representation and warranty shall entitle UNDP to terminate the Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind.

22.2 The UNDP shall not apply the foregoing standard relating to age in any case in which the Contractor's personnel or any other person who may be engaged by the Contractor to perform any services under the Contract is married to the person less than the age of eighteen years with whom sexual activity has occurred and in which such marriage is recognized as valid under the laws of the country of citizenship of such Contractor's personnel or such other person who may be engaged by the Contractor to perform any services under the Contract.

23.0 AUTHORITY TO MODIFY:

Pursuant to the Financial Regulations and Rules of UNDP, only the UNDP Authorized Official possesses the authority to agree on behalf of UNDP to any modification of or change in this Agreement, to a waiver of any of its provisions or to any additional contractual relationship of any kind with the Contractor. Accordingly, no modification or change in this Contract shall be valid and enforceable against UNDP unless provided by an amendment to this Agreement signed by the Contractor and jointly by the UNDP Authorized Official.