ADVERTIZEMENT

INDIVIDUAL CONSULTANT PROCUREMENT NOTICE (ICPN)

International Consultant: Development of National Strategic Framework for the Blue Economy

(IC - International).

Date: August 11, 2021

Procurement Notice No.: SLE/ICPN/2021/013

Country: Sierra Leone

Description of the assignment: International Consultant, Development of National Strategic Framework

for the Blue Economy

Project Name: Development of International Strategic Framework for the Blue Economy

Period of assignment: Five weeks from the commencement of the contract

Proposal should be submitted at the following address, UNDP, Fourah Close, Off Main Motor Road, Wilberforce, Freetown, Sierra Leone or by email to procure.sle@undp.org no later than **1:00 hours, on Friday August 20, 2021.**

Any request for clarification must be sent in writing, or by standard electronic communication to the address or e-mail indicated above. UNDP Sierra Leone will respond in writing or by standard electronic mail and will send written copies of the response, including an explanation of the query without identifying the source of inquiry, to all consultants.

Please ensure that your proposals (Technical and Financial) in separate envelopes but both sealed in one big enveloped reached UNDP Reception Area or the designated email: procure.sle@undp.org on or before the deadline. For proposals submitted in hardcopy, please register your submission, indicating among other things, the name of the Consultant submitting proposals, the name and telephone number the bearer submitting the proposal at Receptionist Desk and further ensure you deposits the envelop into the Tender Box located at the Reception Area.

1. BACKGROUND

Harnessing the potentials of the oceanic economy, also known as blue economy, has emerged as a crucial development opportunity for optimum use of the oceans, seas, and marine resources for sustainable development. Accordingly, the Sustainable Development Goal -14 "Life below water" focuses on sustainable use of the oceans, seas, and marine resources for sustainable development. Ocean assets provide food and energy which are essential for human survival. By overlooking the potentials of the oceans, it is difficult to achieve sustainable economic development by 2030. Given this, the Government of Sierra Leone has embraced the emerging concept of the Blue Economy as a mechanism to diversify its economy and realize ocean-based sustainable economic development.

Although Blue Economy has prospects to contribute to Sierra Leone's economy immensely, there is currently no standalone strategic framework or roadmap to guide its exploitation. Consequently, the country has explored only a few Blue Economy sectors such as fisheries, tourism, and port facilities, using traditional methods. This, thus presents opportunities as well as challenges for exploring these blue economy sectors, including the fishery, maritime trade and shipping, energy, tourism, coastal protection, aquaculture, mining, maritime safety, and surveillance, addressing environmental changes and managing carbon discharge, and introducing innovative technology for further development to contribute to achieving sustainable development goals.

Considering the above, the UNDP through its Natural Resource Management (NRM) portfolio, is supporting the Government of Sierra Leone develop and adopt steps to enhance the sustainable use of its ocean, seas, and marine resources to attain inclusive development. To achieve this, the UNDP will collaborate with the relevant government MDAs to develop and approve a Strategic Framework for Harnessing the Blue Economy. This is expected to be an integrated approach to ocean-based sustainable development which brings together economy, environment and society, consistent with the Sustainable Development Agenda 2030 (SDG'14). The Sierra Leone Blue Economy Framework will require the definition of short, medium, and long-term actions across a broad range of sectors as well as require fundamental changes to the traditional institutional arrangements that exist to support marine sector resource management in Sierra Leone.

It is against this backdrop, that the services of **2 consultants (1 national consultant and 1 international)** are required to develop a national strategic framework for harnessing the Blue Economy through a national consultative process.

2. OBJECTIVES AND SCOPE OF EVALUATION

The main objective of the consultancy is to develop a national strategic framework for harnessing Sierra

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Leone's blue economy through a consultative and validation process.

Scope of work

Liaising with the international consultant in relation to the development of Sierra Leone's strategic framework for harnessing the blue economy, the National consultant will be expected to:

- a. Identify and engage national technical experts and stakeholders on sectoral areas including, but not limited to, fisheries, maritime transport and services, tourism, environmental sustainability, energy, mining and mineral resource, rural development, social and cultural
- b. Conduct institutional analysis to identify current institutional gaps, capacity constraints and coordination inefficiencies.
- Review and document existing national development and relevant sector-level plans and policies for Blue Economy development
- Identification of potential opportunities for scaling up ongoing initiatives and propose strategic interventions for exploring new Blue Economy opportunities that could be integrated into national strategies
- e. Provide cost estimates for the proposed interventions
- f. Submit a draft report to the technical committee on the blue economy and incorporate the inputs of the technical committee on the Blue Economy
- g. Submission of final draft report that is aligned with the national development plans and strategies, and international norms.

Scope of work for the international Consultant

The international consultant is expected to provide remote high-level support to the national expert and provide consultancy services as follows:

- Liaise with the national consultant to ensure effective accomplishment of objectives and deliverables of the consultancy as stated above
- Oversee the preparation of concept notes and papers, as well as detailed action plans, including the cost for their implementation
- Ensure alignment of the National Strategic Framework for the Blue Economy to national development plan and relevant international norms and standards
- Liaising with the national consultant on any other aspect relating to the development of the national strategic framework for the Blue Economy

UNDP will ensure relevant materials leading to the development of the document are made available to the consultants.

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Timeframe:

The entire assignment will be completed within two months starting from the 1st September 2021 and is expected to be completed by 31st October 2021.

- The services of national consultant will be required for thirty-five (35) working days
- The services of international consultant will be required for twenty (20) working days

Institutional arrangement

The consultants shall be directly supervised by UNDP SLED Cluster Lead, in collaboration with the SLED Cluster Programme Specialist/Project Manager for the harnessing the Blue Economy. Submission of the reports shall be through SLED Programme Specialist (Project Manager). The consultants will be required to present their draft reports to the recently constituted Technical Committee on the Blue Economy at the Ministry of Planning and Economic Development. Upon confirmation of acceptable reports, authorization for disbursement of payments and evaluation of performance shall also be undertaken by the SLED Cluster Lead.

Expected Outcomes

Based on the scope of work outlined above, the consultants will be expected to deliver the following outputs by the completion date.

- 1. Inception report
- 2. Regional Stakeholder engagements
- 3. Development of draft Strategic Framework on the Blue Economy
- 4. Submissions of final Strategic framework

Deliverables:

Deliverables		Estimated Completion Date	Related payments	Review and Approval Required by
1.	Inception report	10 th September 2021	20%	UNDP
2.	Regional consultations and submission of first draft to the UNDP and	10 th October 2021	30%	UNDP
	technical committee on the blue economy	31st October 2021	50%	UNDP
3.	Submission of final draft			

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3. QUALIFICATIONS OF THE SUCCESSFUL INDIVIDUAL CONTRACTOR (IC)

The service provider must have;

Educational Background: The consultants should have relevant academic qualifications and track record in developing strategies in the key sectors of the blue economy (or related disciplines).

International Consultant

Advanced university degree (minimum of a Masters degree or equivalent degree) in Oceanography, Maritime Administration, Economics, Project Management, international relations, business or public administration or related field.

Experience

International Consultant:

- A minimum of 10 years of progressively hands-on experience in the fields of agriculture, environment, rural development, social sciences, political science, international relations, administration, financial management, budget or related field is required.
- Experience in the provision of substantive support to the government ministries, departments and agencies is required.
- Experience in a supervisory capacity requiring policy and strategy formulation, planning or programme management is desirable.

Knowledge of the policies, procedures, and practices of the global multilateral processes.

Competencies

- Highly motivated, with drive and commitment.
- Professionalism and integrity.
- Promotes knowledge sharing and learning.
- Displays cultural, gender, religion, race, nationality and age sensitivity and adaptability.
- Builds strong relationships with partners, focus on impact and results for the partner and responds
 positively to feedback.
- Demonstrates openness to change and ability to manage complexities.
- Ability to work with recipient counterparts in building individual and institutional capacity.

4. DOCUMENTS TO BE INCLUDED WHEN SUBMITTING THE PROPOSALS.

APPLICATION PROCESS¹

Recommended Presentation of Proposal:

- a) Letter of Confirmation of Interest and Availability using the template² provided by UNDP;
- b) **CV** and a **Personal History Form** (P11 form³);
- c) Brief description of approach to work/technical proposal of why the individual considers him/herself as the most suitable for the assignment, and a proposed methodology on how they will approach and complete the assignment; (max 1 page)
- d) **Financial Proposal** that indicates the all-inclusive fixed total contract price and all other travel related costs (such as flight ticket, per diem, etc.), supported by a breakdown of costs,
 - e) as per template attached to the Letter of Confirmation of Interest template. If an applicant is employed by an organization/company/institution, and he/she expects his/her employer to charge a management fee in the process of releasing him/her to UNDP under Reimbursable Loan Agreement (RLA), the applicant must indicate at this point, and ensure that all such costs are duly incorporated in the financial proposal submitted to UNDP.

All application materials should be submitted to the address Fourah Bay Close, Off Main Motor Road,

Wilberforce, Freetown in a sealed envelope indicating the following reference "International Consultant Development of National Strategic Framework for the Blue Economy", or by email at the following address ONLY: procure.sle@undp.org by the dead line as advertised. Incomplete

applications will be excluded from further consideration.

Note:

- The information in the breakdown of the offered lump sum amount provided by the offeror will be
 used as the basis for determining best value for money, and as reference for any amendments of the
 contract.
- The agreed contract amount will remain fixed regardless of any factors causing an increase in the cost of any of the components in the breakdown that are not directly attributable to UNDP.
- Approved local travel related to this assignment will be arranged and paid by UNDP Sierra Leone.

Please note that applications will only be considered if they include ALL of the items listed above. Also note that the UNDP job portal website only allows for one document to be uploaded, so please combine all of the abovementioned items into one single Word or PDF document before uploading.

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¹ Engagement of the consultants should be done in line with guidelines for hiring consultants in the POPP: https://info.undp.org/global/popp/Pages/default.aspx

https://intranet.undp.org/unit/bom/pso/Support%20documents%20on%20IC%20Guidelines/Template%20for%20Confirmation%20of%20Interest%20and%20Submission%20of%20Financial%20Proposal.docx

³ http://www.undp.org/content/dam/undp/library/corporate/Careers/P11 Personal history form.doc

5. FINANCIAL PROPOSAL

PAYMENT MILESTONES AND AUTHORITY

The prospective consultant will indicate the cost of services for each deliverable in Leone all-inclusive lump sum contract amount when applying for this consultancy. The consultant will be paid based on the effective UN exchange rate (where applicable), and only after approving authority confirms the successful completion of each deliverable as stipulated hereunder.

The qualified consultant shall receive his/her lump sum service fees upon certification of the completed tasks satisfactorily, as per the following payment schedule:

Payment to the Consultant will be made base on the following deliverables.

The consultant is required to submit a detailed financial proposal for the period of 60 working days. The selected Consultant will be paid upon payment certification from the hiring manager, in the following manner:

Installment of Payment/ Period	Deliverables or Documents to be Delivered	Approval should be obtained	Percentage of Payment
1 st Installment	Inception report	Yes	20%
2 nd Installment	Regional consultations and submission of first draft to the UNDP and technical committee on the blue economy	Yes	30%
3 rd Installment:	Submission of final draft 10th September 2021	Yes	50%

6. EVALUATION

The UNDP Sierra Leone will choose among one of these two evaluation methods prior to submit the have the Individual Consultant Procurement Notice. Once the evaluation method has been selected the other one shall be deleted to avoid any misunderstanding

CRITERIA FOR SELECTING THE BEST OFFER

Offers received will be evaluated using a combined scoring method, where the qualifications and proposed methodology will be weighted 70%, and combined with the price offer, which will be weighted 30%. Only consultants obtaining a minimum of 49 points in the Technical Evaluation will be considered for the Financial Evaluation. Criteria to be used for rating the qualifications and methodology:

Technical evaluation criteria (total 70 points, 70%):

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The term "All inclusive" implies that all costs (professional fees, travel costs, living allowances, communications, consummables, etc.) that could possibly be incurred by the Contractor are already factored into the final amounts submitted in the proposal

Criteria for Selection of the Best Offer Evaluation Criteria:

- 1. Academic qualification relevant to tasks (with 8 years' work experience) 20%
- 2. Experience in handling similar assignments including evidence of proof (certification/recommendation from previous employers) 25%
- 3. Demonstrate knowledge, professional competence, and mastery of subject matter 25%
- 4. Financial Proposal 30%

Application Modalities:

Applicants are required to submit the following documents:

- 1. Technical proposals explaining among other things methodology and approach to the assignment suitable to the requirement of the ToRs. The tentative work plan relating to the scope of the ToRs should be included as per of the technical proposal.
- 2. In addition, and as part of technical proposals, prospective consultants are expected to update CV and P 11 and submit as an attachment to the technical proposal. The P11 Form can be obtained from the link below: http://sas.undp.org/Documents/P11 Personal history form.doc

Financial proposal.

Language Requirements:

Fluency in spoken and written English required

Financial evaluation (total 30 points, 30%):

All technically qualified proposals will be scored out 30 based on the formula provided below. The maximum points (30) will be assigned to the lowest financial proposal. All other proposals receive points according to the following formula: p = y (μ/z) where: p = points for the financial proposal being evaluated; y = p maximum number of points for the financial proposal; $\mu = p$ price of the lowest priced proposal; $\mu = p$ price of the proposal being evaluated.

Criteria	Weight	Max. Point
Technical Competence (based on CV, Proposal and interview (if required)	70%	700
Education: (Maximum Points: 200).		

Academic qualification relevant to tasks (with 8 years' work experience)		20%	200
Experience: (Maximum Points: 250). Experience in handling similar assignments including evidence of proof (certification/recommendation from previous employers)		25%	250
Demonstrate knowledge, professional competence, and mastery of subject matter		25%	250
Financial (Lower Offer/Offer*100)			
Financial evaluation (total 30 points):		30%	300
All technically qualified proposals will be scored out 30 based on the formula provided below. The maximum points (30) will be assigned to the lowest financial proposal. All other proposals receive points according to the following formula: $p = y (\mu/z)$ where: $p = points$ for the financial proposal being evaluated; $y = maximum$ number of points for the financial proposal; $\mu = price$ of the lowest priced proposal; $\mu = price$ of the proposal being evaluated.			
Total Score Technical Score * 70% + Financial Score * 30%			

Yona Samo
Procurement Specialist

ANNEX

ANNEX 1- TERMS OF REFERENCES (TOR)

ANNEX 2- INDIVIDUAL CONSULTANT GENERAL TERMS AND CONDITIONS

UNITED NATIONS DEVELOPMENT PROGRAMME



Consultancy Announcement

I. Consultancy Information

Consultancy: Development of National Strategic Framework for the Blue Economy

Consultancy type: Individual Consultants (1 National and 1 International)

Supervisor: Team Leader, Sustainability & Local Economic Development Cluster.

Duration: 5 weeks

Starting Date: 1st September 2021

Duty Station: UNDP, Freetown, Sierra Leone.

II. Background

Harnessing the potentials of the oceanic economy, also known as blue economy, has emerged as a crucial development opportunity for optimum use of the oceans, seas, and marine resources for sustainable development. Accordingly, the Sustainable Development Goal -14 "Life below water" focuses on sustainable use of the oceans, seas, and marine resources for sustainable development. Ocean assets provide food and energy which are essential for human survival. By overlooking the potentials of the oceans, it is difficult to achieve sustainable economic development by 2030. Given this, the Government of Sierra Leone has embraced the emerging concept of the Blue Economy as a mechanism to diversify its economy and realize ocean-based sustainable economic development.

Although Blue Economy has prospects to contribute to Sierra Leone's economy immensely, there is currently no standalone strategic framework or roadmap to guide its exploitation. Consequently, the country has explored only a few Blue Economy sectors such as fisheries, tourism, and port facilities, using traditional methods. This, thus presents opportunities as well as challenges for exploring these blue economy sectors, including the fishery, maritime trade and shipping, energy, tourism, coastal protection, aquaculture, mining, maritime safety, and surveillance, addressing environmental changes and managing carbon discharge, and introducing innovative technology for further development to contribute to achieving sustainable development goals.

Considering the above, the UNDP through its Natural Resource Management (NRM) portfolio, is supporting the Government of Sierra Leone develop and adopt steps to enhance the sustainable use of its ocean, seas, and marine resources to attain inclusive development. To achieve this, the UNDP will collaborate with the relevant government MDAs to develop and approve a Strategic Framework for Harnessing the Blue Economy. This is expected to be an integrated approach to ocean-based sustainable development which brings together economy, environment and society, consistent with the Sustainable Development Agenda 2030 (SDG'14). The Sierra Leone Blue Economy Framework will require the definition of short, medium, and long-term actions across a broad range of sectors as well as require fundamental changes to the traditional institutional arrangements that exist to support marine sector resource management in Sierra Leone.

It is against this backdrop, that the services of **2 consultants (1 national consultant and 1 international)** are required to develop a national strategic framework for harnessing the Blue Economy through a national consultative process.

III. Objective of the consultancy

The main objective of the consultancy is to develop a national strategic framework for harnessing Sierra Leone's blue economy through a consultative and validation process.

Scope of work

Liaising with the international consultant in relation to the development of Sierra Leone's strategic framework for harnessing the blue economy, the National consultant will be expected to:

- h. Identify and engage national technical experts and stakeholders on sectoral areas including, but not limited to, fisheries, maritime transport and services, tourism, environmental sustainability, energy, mining and mineral resource, rural development, social and cultural
- i. Conduct institutional analysis to identify current institutional gaps, capacity constraints and coordination inefficiencies.
- j. Review and document existing national development and relevant sector-level plans and policies for Blue Economy development
- Identification of potential opportunities for scaling up ongoing initiatives and propose strategic interventions for exploring new Blue Economy opportunities that could be integrated into national strategies
- I. Provide cost estimates for the proposed interventions
- m. Submit a draft report to the technical committee on the blue economy and incorporate the inputs of

the technical committee on the Blue Economy

n. Submission of final draft report that is aligned with the national development plans and strategies, and international norms.

Scope of work for the international Consultant

The international consultant is expected to provide remote high-level support to the national expert and provide consultancy services as follows:

- Liaise with the national consultant to ensure effective accomplishment of objectives and deliverables
 of the consultancy as stated above
- Oversee the preparation of concept notes and papers, as well as detailed action plans, including the cost for their implementation
- Ensure alignment of the National Strategic Framework for the Blue Economy to national development plan and relevant international norms and standards
- Liaising with the national consultant on any other aspect relating to the development of the national strategic framework for the Blue Economy

UNDP will ensure relevant materials leading to the development of the document are made available to the consultants.

Timeframe:

The entire assignment will be completed within two months starting from the 1st September 2021 and is expected to be completed by 31st October 2021.

- The services of national consultant will be required for thirty-five (35) working days
- The services of international consultant will be required for twenty (20) working days

Institutional arrangement

The consultants shall be directly supervised by UNDP SLED Cluster Lead, in collaboration with the SLED Cluster Programme Specialist/Project Manager for the harnessing the Blue Economy. Submission of the reports shall be through SLED Programme Specialist (Project Manager). The consultants will be required to present their draft reports to the recently constituted Technical Committee on the Blue Economy at the Ministry of Planning and Economic Development. Upon confirmation of acceptable reports, authorization for disbursement of payments and evaluation of performance shall also be undertaken by the SLED Cluster

Lead.

IV Expected Outcomes

Based on the scope of work outlined above, the consultants will be expected to deliver the following outputs by the completion date.

- 5. Inception report
- 6. Regional Stakeholder engagements
- 7. Development of draft Strategic Framework on the Blue Economy
- 8. Submissions of final Strategic framework

V. Deliverables

	Deliverables	Estimated Completion Date	Related payments	Review and Approval Required by
1.	Inception report	10 th September 2021	20%	UNDP
2.	Regional consultations and submission of first draft to the UNDP and technical committee on the blue economy	10 th October 2021	30%	UNDP
3.	Submission of final draft	31st October 2021	50%	UNDP

VI. Recruitment Qualifications

Educational Background

The consultants should have relevant academic qualifications and track record in developing strategies in the key sectors of the blue economy (or related disciplines).

National Consultant

 Masters degree or equivalent degree in Oceanography, Maritime Administration, Economics, Project Management, international relations, business or public administration or related field.

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 A first-level university degree in combination with five additional years of qualifying experience may be accepted in lieu of the advanced university degree

International Consultant

Advanced university degree (minimum of a Masters degree or equivalent degree) in Oceanography,
 Maritime Administration, Economics, Project Management, international relations, business or public administration or related field.

National Consultant:

Experience

- A minimum of 7 years of progressively responsible experience in the fields of agriculture, environment, rural development, international relations, administration, financial management, budget, or related field is required.
- Experience in policy and strategy formulation, planning or programme management is desirable.
- Knowledge of the policies, procedures, and practices of the global multilateral processes related to the blue economy.
- Ability to produce reports and papers on technical issues

International Consultant:

- A minimum of 10 years of progressively hands-on experience in the fields of agriculture, environment, rural development, social sciences, political science, international relations, administration, financial management, budget or related field is required.
- Experience in the provision of substantive support to the government ministries, departments and agencies is required.
- Experience in a supervisory capacity requiring policy and strategy formulation, planning or programme management is desirable.
- Knowledge of the policies, procedures, and practices of the global multilateral processes.

Competencies	 Highly motivated, with drive and commitment. Professionalism and integrity. Promotes knowledge sharing and learning.
	 Displays cultural, gender, religion, race, nationality and age sensitivity and adaptability.
	 Builds strong relationships with partners, focus on impact and results for the partner and responds positively to feedback.
	 Demonstrates openness to change and ability to manage complexities. Ability to work with recipient counterparts in building individual and institutional capacity.
Language Requirements	Fluent in English

VII. Application Procedure

Evaluation Criteria:

- 5. Academic qualification relevant to tasks (with 8 years' work experience) 20%
- 6. Experience in handling similar assignments including evidence of proof (certification/recommendation from previous employers) 25%
- 7. Demonstrate knowledge, professional competence, and mastery of subject matter 25%
- 8. Financial Proposal 30%

Application Modalities:

Applicants are required to submit the following documents:

- 3. Technical proposals explaining among other things methodology and approach to the assignment suitable to the requirement of the ToRs. The tentative work plan relating to the scope of the ToRs should be included as per of the technical proposal.
- 4. In addition, and as part of technical proposals, prospective consultants are expected to update CV and P 11 and submit as an attachment to the technical proposal. The P11 Form can be obtained from the link below: http://sas.undp.org/Documents/P11_Personal_history_form.doc
- 5. Financial proposal.



UNDP

GENERAL CONDITIONS OF CONTRACT FOR SERVICES

1.0 LEGAL STATUS:

The Contractor shall be considered as having the legal status of an independent contractor visà-vis the United Nations Development Programme (UNDP). The Contractor's personnel and sub-contractors shall not be considered in any respect as being the employees or agents of UNDP or the United Nations.

2.0 SOURCE OF INSTRUCTIONS:

The Contractor shall neither seek nor accept instructions from any authority external to UNDP in connection with the performance of its services under this Contract. The Contractor shall refrain from any action that may adversely affect UNDP or the United Nations and shall fulfill its commitments with the fullest regard to the interests of UNDP.

3.0 CONTRACTOR'S RESPONSIBILITY FOR EMPLOYEES:

The Contractor shall be responsible for the professional and technical competence of its employees and will select, for work under this Contract, reliable individuals who will perform effectively in the implementation of this Contract, respect the local customs, and conform to a high standard of moral and ethical conduct.

4.0 ASSIGNMENT:

The Contractor shall not assign, transfer, pledge or make other disposition of this Contract or any part thereof, or any of the Contractor's rights, claims or obligations under this Contract except with the prior written consent of UNDP.

5.0 SUB-CONTRACTING:

In the event the Contractor requires the services of sub-contractors, the Contractor shall obtain the prior written approval and clearance of UNDP for all sub-contractors. The approval of UNDP of a sub-contractor shall not relieve the Contractor of any of its obligations under this Contract. The terms of any sub-contract shall be subject to and conform to the provisions of this Contract.

6.0 OFFICIALS NOT TO BENEFIT:

The Contractor warrants that no official of UNDP or the United Nations has received or will be offered by the Contractor any direct or indirect benefit arising from this Contract or the award thereof. The Contractor agrees that breach of this provision is a breach of an essential term of this Contract.

7.0 INDEMNIFICATION:

The Contractor shall indemnify, hold and save harmless, and defend, at its own expense, UNDP, its officials, agents, servants and employees from and against all suits, claims, demands, and liability of any nature or kind, including their costs and expenses, arising out of acts or omissions of the Contractor, or the Contractor's employees, officers, agents or sub-contractors, in the performance of this Contract. This provision shall extend, inter alia, to claims and liability in the nature of workmen's compensation, products liability and liability arising out of the use of patented inventions or devices, copyrighted material or other intellectual property by the Contractor, its employees, officers, agents, servants or sub-contractors. The obligations under this Article do not lapse upon termination of this Contract.

8.0 INSURANCE AND LIABILITIES TO THIRD PARTIES:

- **8.1** The Contractor shall provide and thereafter maintain insurance against all risks in respect of its property and any equipment used for the execution of this Contract.
- **8.2** The Contractor shall provide and thereafter maintain all appropriate workmen's compensation insurance, or the equivalent, with respect to its employees to cover claims for personal injury or death in connection with this Contract.
- **8.3** The Contractor shall also provide and thereafter maintain liability insurance in an adequate amount to cover third party claims for death or bodily injury, or loss of or damage to property, arising from or in connection with the provision of services under this Contract or the operation of any vehicles, boats, airplanes or other equipment owned or leased by the Contractor or its agents, servants, employees or sub-contractors performing work or services in connection with this Contract.

- **8.4** Except for the workmen's compensation insurance, the insurance policies under this Article shall:
- **8.4.1** Name UNDP as additional insured;
- **8.4.2** Include a waiver of subrogation of the Contractor's rights to the insurance carrier against the UNDP;
- **8.4.3** Provide that the UNDP shall receive thirty (30) days written notice from the insurers prior to any cancellation or change of coverage.
- **8.5** The Contractor shall, upon request, provide the UNDP with satisfactory evidence of the insurance required under this Article.

9.0 ENCUMBRANCES/LIENS:

The Contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with the UNDP against any monies due or to become due for any work done or materials furnished under this Contract, or by reason of any other claim or demand against the Contractor.

10.0 TITLE TO EQUIPMENT: Title to any equipment and supplies that may be furnished by UNDP shall rest with UNDP and any such equipment shall be returned to UNDP at the conclusion of this Contract or when no longer needed by the Contractor. Such equipment, when returned to UNDP, shall be in the same condition as when delivered to the Contractor, subject to normal wear and tear. The Contractor shall be liable to compensate UNDP for equipment determined to be damaged or degraded beyond normal wear and tear.

11.0 COPYRIGHT, PATENTS AND OTHER PROPRIETARY RIGHTS:

11.1 Except as is otherwise expressly provided in writing in the Contract, the UNDP shall be entitled to all intellectual property and other proprietary rights including, but not limited to, patents, copyrights, and trademarks, with regard to products, processes, inventions, ideas, know-how, or documents and other materials which the Contractor has developed for the UNDP under the Contract and which bear a direct relation to or are produced or prepared or collected in consequence of, or during the course of, the performance of the Contract, and the Contractor acknowledges and agrees that such products, documents and other materials constitute works made for hire for the UNDP.

- 11.2 To the extent that any such intellectual property or other proprietary rights consist of any intellectual property or other proprietary rights of the Contractor: (i) that pre-existed the performance by the Contractor of its obligations under the Contract, or (ii) that the Contractor may develop or acquire, or may have developed or acquired, independently of the performance of its obligations under the Contract, the UNDP does not and shall not claim any ownership interest thereto, and the Contractor grants to the UNDP a perpetual license to use such intellectual property or other proprietary right solely for the purposes of and in accordance with the requirements of the Contract.
- **11.3** At the request of the UNDP; the Contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring or licensing them to the UNDP in compliance with the requirements of the applicable law and of the Contract.
- **11.4** Subject to the foregoing provisions, all maps, drawings, photographs, mosaics, plans, reports, estimates, recommendations, documents, and all other data compiled by or received by the Contractor under the Contract shall be the property of the UNDP, shall be made available for use or inspection by the UNDP at reasonable times and in reasonable places, shall be treated as confidential, and shall be delivered only to UNDP authorized officials on completion of work under the Contract.

12.0 USE OF NAME, EMBLEM OR OFFICIAL SEAL OF UNDP OR THE UNITED NATIONS:

The Contractor shall not advertise or otherwise make public the fact that it is a Contractor with UNDP, nor shall the Contractor, in any manner whatsoever use the name, emblem or official seal of UNDP or THE United Nations, or any abbreviation of the name of UNDP or United Nations in connection with its business or otherwise.

13.0 CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION:

Information and data that is considered proprietary by either Party and that is delivered or disclosed by one Party ("Discloser") to the other Party ("Recipient") during the course of performance of the Contract, and that is designated as confidential ("Information"), shall be held in confidence by that Party and shall be handled as follows:

- **13.1** The recipient ("Recipient") of such information shall:
- **13.1.1** use the same care and discretion to avoid disclosure, publication or dissemination of the Discloser's Information as it uses with its own similar information that it does not wish to disclose, publish or disseminate; and,

- **13.1.2** use the Discloser's Information solely for the purpose for which it was disclosed.
- **13.2** Provided that the Recipient has a written agreement with the following persons or entities requiring them to treat the Information confidential in accordance with the Contract and this Article 13, the Recipient may disclose Information to:
- **13.2.1** Any other party with the Discloser's prior written consent; and,
- **13.2.2** the Recipient's employees, officials, representatives and agents who have a need to know such Information for purposes of performing obligations under the Contract, and employees officials, representatives and agents of any legal entity that it controls, controls it, or with which it is under common control, who have a need to know such Information for purposes of performing obligations under the Contract, provided that, for these purposes a controlled legal entity means:
- **13.2.2.1** A corporate entity in which the Party owns or otherwise controls, whether directly or indirectly, over fifty percent (50%) of voting shares thereof; or,
- 13.2.2.2 Any entity over which the Party exercises effective managerial control; or,
- **13.2.2.3** for the UNDP, an affiliated Fund such as UNCDF, UNIFEM and UNV.
- 13.3 The Contractor may disclose Information to the extent required by law, provided that, subject to and without any waiver of the privileges and immunities of the United Nations, the Contractor will give the UNDP sufficient prior notice of a request for the disclosure of Information in order to allow the UNDP to have a reasonable opportunity to take protective measures or such other action as may be appropriate before any such disclosure is made.
- **13.4** The UNDP may disclose Information to the extent as required pursuant to the Charter of the UN, resolutions or regulations of the General Assembly, or rules promulgated by the Secretary-General.
- **13.5** The Recipient shall not be precluded from disclosing Information that is obtained by the Recipient from a third party without restriction, is disclosed by the Discloser to a third party without any obligation of confidentiality, is previously known by the Recipient, or at any time is developed by the Recipient completely independently of any disclosures hereunder.

13.6 These obligations and restrictions of confidentiality shall be effective during the term of the Contract, including any extension thereof, and, unless otherwise provided in the Contract, shall remain effective following any termination of the Contract.

14.0 FORCE MAJEURE; OTHER CHANGES IN CONDITIONS

- 14.1 In the event of and as soon as possible after the occurrence of any cause constituting force majeure, the Contractor shall give notice and full particulars in writing to the UNDP, of such occurrence or change if the Contractor is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under this Contract. The Contractor shall also notify the UNDP of any other changes in conditions or the occurrence of any event that interferes or threatens to interfere with its performance of this Contract. On receipt of the notice required under this Article, the UNDP shall take such action as, in its sole discretion; it considers to be appropriate or necessary in the circumstances, including the granting to the Contractor of a reasonable extension of time in which to perform its obligations under this Contract.
- **14.2** If the Contractor is rendered permanently unable, wholly, or in part, by reason of force majeure to perform its obligations and meet its responsibilities under this Contract, the UNDP shall have the right to suspend or terminate this Contract on the same terms and conditions as are provided for in Article 15, "Termination", except that the period of notice shall be seven (7) days instead of thirty (30) days.
- **14.3** Force majeure as used in this Article means acts of God, war (whether declared or not), invasion, revolution, insurrection, or other acts of a similar nature or force.
- 14.4 The Contractor acknowledges and agrees that, with respect to any obligations under the Contract that the Contractor must perform in or for any areas in which the UNDP is engaged in, preparing to engage in, or disengaging from any peacekeeping, humanitarian or similar operations, any delays or failure to perform such obligations arising from or relating to harsh conditions within such areas or to any incidents of civil unrest occurring in such areas shall not, in and of itself, constitute force majeure under the Contract..

15.0 TERMINATION

15.1 Either party may terminate this Contract for cause, in whole or in part, upon thirty (30) days notice, in writing, to the other party. The initiation of arbitral proceedings in accordance with Article 16.2 ("Arbitration"), below, shall not be deemed a termination of this Contract.

- **15.2** UNDP reserves the right to terminate without cause this Contract at any time upon 15 days prior written notice to the Contractor, in which case UNDP shall reimburse the Contractor for all reasonable costs incurred by the Contractor prior to receipt of the notice of termination.
- **15.3** In the event of any termination by UNDP under this Article, no payment shall be due from UNDP to the Contractor except for work and services satisfactorily performed in conformity with the express terms of this Contract.
- 15.4 Should the Contractor be adjudged bankrupt, or be liquidated or become insolvent, or should the Contractor make an assignment for the benefit of its creditors, or should a Receiver be appointed on account of the insolvency of the Contractor, the UNDP may, without prejudice to any other right or remedy it may have under the terms of these conditions, terminate this Contract forthwith. The Contractor shall immediately inform the UNDP of the occurrence of any of the above events.

16.0 SETTLEMENT OF DISPUTES

- **16.1 Amicable Settlement**: The Parties shall use their best efforts to settle amicably any dispute, controversy or claim arising out of this Contract or the breach, termination or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the UNCITRAL Conciliation Rules then obtaining, or according to such other procedure as may be agreed between the parties.
- 16.2 Arbitration: Any dispute, controversy, or claim between the Parties arising out of the Contract or the breach, termination, or invalidity thereof, unless settled amicably under Article 16.1, above, within sixty (60) days after receipt by one Party of the other Party's written request for such amicable settlement, shall be referred by either Party to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The decisions of the arbitral tribunal shall be based on general principles of international commercial law. For all evidentiary questions, the arbitral tribunal shall be guided by the Supplementary Rules Governing the Presentation and Reception of Evidence in International Commercial Arbitration of the International Bar Association, 28 May 1983 edition. The arbitral tribunal shall be empowered to order the return or destruction of goods or any property, whether tangible or intangible, or of any confidential information provided under the Contract, order the termination of the Contract, or order that any other protective measures be taken with respect to the goods, services or any other property, whether tangible or intangible, or of any confidential information provided under the Contract, as appropriate, all in accordance with the authority of the arbitral tribunal pursuant to Article 26 ("Interim Measures of Protection") and

Article 32 ("Form and Effect of the Award") of the UNCITRAL Arbitration Rules. The arbitral tribunal shall have no authority to award punitive damages. In addition, unless otherwise expressly provided in the Contract, the arbitral tribunal shall have no authority to award interest in excess of the London Inter-Bank Offered Rate ("LIBOR") then prevailing, and any such interest shall be simple interest only. The Parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such dispute, controversy, or claim.

17.0 PRIVILEGES AND IMMUNITIES:

Nothing in or relating to this Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.

18.0 TAX EXEMPTION

- **18.1** Section 7 of the Convention on the Privileges and Immunities of the United Nations provides, inter-alia that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the United Nations exemption from such taxes, duties or charges, the Contractor shall immediately consult with the UNDP to determine a mutually acceptable procedure.
- **18.2** Accordingly, the Contractor authorizes UNDP to deduct from the Contractor's invoice any amount representing such taxes, duties or charges, unless the Contractor has consulted with the UNDP before the payment thereof and the UNDP has, in each instance, specifically authorized the Contractor to pay such taxes, duties or charges under protest. In that event, the Contractor shall provide the UNDP with written evidence that payment of such taxes, duties or charges has been made and appropriately authorized.

19.0 CHILD LABOUR

19.1 The Contractor represents and warrants that neither it, nor any of its suppliers is engaged in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child, including Article 32 thereof, which, inter alia, requires that a child shall be protected from performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical mental, spiritual, moral or social development.

19.2 Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, at no cost to UNDP.

20.0 MINES:

- 20.1 The Contractor represents and warrants that neither it nor any of its suppliers is actively and directly engaged in patent activities, development, assembly, production, trade or manufacture of mines or in such activities in respect of components primarily utilized in the manufacture of Mines. The term "Mines" means those devices defined in Article 2, Paragraphs 1, 4 and 5 of Protocol II annexed to the Convention on Prohibitions and Restrictions on the Use of Certain Conventional Weapons Which May Be Deemed to Be Excessively Injurious or to Have Indiscriminate Effects of 1980.
- **20.2** Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind of UNDP.

21.0 OBSERVANCE OF THE LAW:

The Contractor shall comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the terms of this Contract.

22.0 SEXUAL EXPLOITATION:

- 22.1 The Contractor shall take all appropriate measures to prevent sexual exploitation or abuse of anyone by it or by any of its employees or any other persons who may be engaged by the Contractor to perform any services under the Contract. For these purposes, sexual activity with any person less than eighteen years of age, regardless of any laws relating to consent, shall constitute the sexual exploitation and abuse of such person. In addition, the Contractor shall refrain from, and shall take all appropriate measures to prohibit its employees or other persons engaged by it from, exchanging any money, goods, services, offers of employment or other things of value, for sexual favors or activities, or from engaging in any sexual activities that are exploitive or degrading to any person. The Contractor acknowledges and agrees that the provisions hereof constitute an essential term of the Contract and that any breach of this representation and warranty shall entitle UNDP to terminate the Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind.
- **22.2** The UNDP shall not apply the foregoing standard relating to age in any case in which the Contractor's personnel or any other person who may be engaged by the Contractor to perform

any services under the Contract is married to the person less than the age of eighteen years with whom sexual activity has occurred and in which such marriage is recognized as valid under the laws of the country of citizenship of such Contractor's personnel or such other person who may be engaged by the Contractor to perform any services under the Contract.

23.0 AUTHORITY TO MODIFY:

Pursuant to the Financial Regulations and Rules of UNDP, only the UNDP Authorized Official possesses the authority to agree on behalf of UNDP to any modification of or change in this Agreement, to a waiver of any of its provisions or to any additional contractual relationship of any kind with the Contractor. Accordingly, no modification or change in this Contract shall be valid and enforceable against UNDP unless provided by an amendment to this Agreement signed by the Contractor and jointly by the UNDP Authorized Official.