

11 October 2021

INDIVIDUAL CONSULTANT PROCUREMENT NOTICE

for <u>individual consultants</u> and <u>individual consultants assigned by consulting</u> <u>firms/institutions</u>

Country:	Viet Nam
Description of the assignment:	01 National Consultant to develop adaptation integration guideline for priority sector & support preparation of NAP Technical Report
Period of assignment/services (if applicable):	October 2021 – May 2022
Duty Station:	Hanoi, Vietnam
Tender reference:	T211005

1. Submissions should be sent by <u>email</u> to: <u>luu.thi.trang@undp.org</u> no later than:

16.00 hrs., 21 October 2021 (Hanoi time)

With subject line:

T211005 – 01 National Consultant to develop adaptation integration guideline for priority sector & support preparation of NAP Technical Report

Submission received after that date or submission not in conformity with the requirements specified this document will not be considered.

Note:

- Any individual employed by a company or institution who would like to submit an offer in response to this Procurement Notice must do so in their individual capacity, even if they expect their employers to sign a contract with UNDP.
- Maximum size per email is 30 MB.
- Any request for clarification must be sent in writing, or by standard electronic communication to the address or e-mail indicated above. Procurement Unit UNDP Viet Nam will respond in writing or by standard electronic mail and will send written

copies of the response, including an explanation of the query without identifying the source of inquiry, to all consultants.

- After submitting proposal, bidder should send notification by email (without attachment) to: <u>procurement.vn@undp.org</u> informing that the bidder has submitted proposal. UNDP will not be responsible for the missing of proposal if the bidder does not send notification email to above address.
- Female consultants are encouraged to bid for this required service. Preference will be given to equally technically qualified female consultants.

2. Please find attached the relevant documents:

- <u>Term of References</u>...... (Annex I)
- <u>Individual Contract</u> & <u>General Conditions</u>...... (Annex II)
- <u>Reimbursable Loan Agreement</u> (for a consultant assigned by a firm)...... (Annex III)
- <u>Letter to UNDP Confirming Interest and Availability</u> (Annex IV)
- 3. Interested individual consultants must submit the following documents/information (in English, PDF Format) to demonstrate their qualifications:

a. Technical component:

- Signed Curriculum vitae
- Signed Letter to UNDP Confirming Interest and Availability
- 02 written reports/examples in English to be submitted

b. Financial proposal (with your signature):

- The financial proposal shall specify a total lump sum amount in <u>VND for national</u> <u>consultant and US dollar for International Consultant</u> including consultancy fees and all associated costs i.e. airfares, travel cost, meal, accommodation, tax, insurance etc. – see format of financial offer in Annex V.
- Please note that the cost of preparing a proposal and of negotiating a contract, including any related travel, is not reimbursable as a direct cost of the assignment.
- If quoted in other currency, prices shall be converted to the above currency at UN Exchange Rate at the submission deadline.

4. Evaluation

The technical component will be evaluated using the following criteria:

No.	Requirement	Points
1	Qualifications: At least Doctor of philosophy of the disciplines of Environmental Health, Occupational Medicine and Public Health, environmental health science and/or climate change and health related field;	150
2	Experience: Minimum 15 years working experience for Government in the disciplines of environmental health, Occupational health, Health management.	150
3	Other Competencies: Experience in developing legal documents, policies, plans and projects, including climate change programs / activities / projects.	100
4	Other Competencies: Experience in development national action plans responding to climate change in the health sector period 2011-2015, and period 2019-2030.	100
5	Other Competencies: Experience in conducting survey, assessment, evaluation on health environment.	100
б	Other Competencies: Experience in working with ministries, branches and social - professional organizations	100
7	Other Competencies: Experience of working as a team and coordinating group of experts from multiple disciplines	100
8	Other Competencies: Experience in editor and participate in compiling the book on environment health would be an advantage.	50
9	Other Competencies: Good knowledge of gender equality and social inclusiveness are advantageous	50
10	Language Requirements: Excellent English drafting and presentation skills (provision of 2 written reports/examples is required.)	100
	Total	1,000

A two-stage procedure is utilized in evaluating the submissions, with evaluation of the technical components being completed prior to any price proposals being opened and compared.

The price proposal will be opened only for submissions that passed the minimum technical score of 70% of the obtainable score of 1000 points in the evaluation of the technical component. The technical component is evaluated on the basis of its responsiveness to the Term of Reference (TOR). Maximum 1000 points will be given to the lowest offer and the other financial proposals will receive the points inversely proportional to their financial offers. i.e. Sf = 1000 x Fm / F, in which Sf is the financial score, Fm is the lowest price and F the price of the submission under consideration.

The weight of technical points is 70% and financial points is 30%.

Submission obtaining the highest weighted points (technical points + financial points) will be selected subject to positive reference checks on the consultant's past performance.

5. Contract

"Lump-sum" Individual Contract will be applied for freelance consultant (Annex II)

"Lump-sum" RLA will be applied for consultant assigned by firm/institution/organization (Annex III)

Documents required before contract signing:

- International consultant whose work involves travel is required to complete the courses on BSAFE which is the new online security awareness training and submit certificate to UNDP before contract issuance.
- <u>Note</u>: In order to access the courses, please go to the following link: <u>https://training.dss.un.org</u> The training course takes around 3-4 hours to complete.
- Full medical examination and Statement of Fitness to work for consultants from and above 65 years of age and involve travel. (This is not a requirement for RLA contracts).
- Release letter in case the selected consultant is government official.

6. Payment

UNDP shall effect payments to the consultant (by bank transfer to the consultant's bank account provided in the vendor form upon acceptance by UNDP of the deliverables specified the TOR.

Payments are based upon outputs, i.e. upon delivery of the products specified in the TOR.

If two currencies exist, UNDP exchange rate will be applied at the day UNDP instructs the bank to effect to the payment.

7. Your proposals are received on the basis that you fully understand and accept these terms and conditions.



TERMS OF REFERENCE

Name of service:	01Individual National Consultant to develop adaptation integration guideline for priority sector & support preparation of NAP Technical Report, based at VIHEMA, Ministry of Health (MOH)		
Project:	Viet Nam National Adaptation Plan (NAP) Development and Operationalization Support Project		
Reporting to:	GCF Project Manager/UNDP PO on Climate Change and Resilience and MoH Project Director		
Duty Station:	Ha Noi	Travel Required:	
Duration of Assignment:	90 days		
Start Date:	10/2021	End Date:	5/2022

I. BACKGROUND & PROJECT DESCRIPTION With its extensive coastline and deltaic plains, Viet Nam is considered to be among the most

With its extensive coastline and deltaic plains, Viet Nam is considered to be among the most vulnerable countries to climate change globally. Extreme weather events such as typhoons and tropical storms have increased in frequency and intensity, magnifying socio-economic and health impacts on rural, urban, coastal, and mountain communities, and endangering critical transportation and drainage infrastructure. The Mekong Delta is one of the most susceptible deltas in the world to sea level rise.

To address climate change, the Government of Viet Nam developed a series of national climate change, green growth, and sustainable development policies, strategies, and action plans. One key planning instrument is the GoV's National Strategy on Climate Change 2012-2020. To date, the government has been able to put in place several key climate change related decisions, including the landmark Plan for Implementation of the Paris Agreement in 2016 and approved its domestic National Adaptation Plan (NAP) in the year 2020. In addition, Viet Nam is among the first countries to have submitted its Updated Nationally Determined Contribution (NDC) to the UNFCCC in July 2020, which has a strong adaptation component.

The main readiness challenge in Viet Nam to effectively address climate change is the lack of capacity in government structures to effectively integrate climate change adaptation into plans and budgets at different levels and to design, operationalize, finance, and monitor adaptation actions. Barriers include lack of technical capacity to integrate data and information, and undertake assessments for adaptation planning, lack of capacity for appraising adaptation options in sector and provincial departments, ineffective interministerial coordination, lack of active participation from private sector and local communities, lack of financing strategies, limited government and external resources, and lack of participatory and results-based monitoring and evaluation frameworks.

To address these barriers, the **Viet Nam National Adaptation Plan (NAP) Development and Operationalization Support Project** is designed along the following outcomes:

Outcome 1: Capacity for data integration enhanced and National Adaptation Plan (NAP) prepared and aligned with NDC review.

- **Outcome 2**: National adaptation plan and CCA integrated into national, subnational, and sectoral development plans and budget of priority sectors with private sector participation;
- **Outcome 3:** Participatory results-based monitoring and evaluation mechanism developed and operationalized.

These three outcomes and their associated activities will contribute to the overall objective of the project, which is to "*establish an effective system to integrate climate change adaptation into government administration processes in the priority sectors.*" The priority sectors to be addressed under this proposal are: Agriculture and Rural Development, Transport, Health, Natural Resources and Environment, and Planning and Investment.

The MOH is working on strengthening their capacity for sectoral adaptation planning. UNDP will become a partner for MOH to enhance their capacity for efficient sectoral adaptation planning. For this task, UNDP seeks one national consultant to to develop adaptation integration guideline for priority sector & support preparation of NAP Technical Report

II. OBJECTIVES

UNDP is looking for a National Consultant to support MoH and UNDP to develop adaptation integration guideline for priority sector & support preparation of NAP Technical Report. The consultant will be supervised by the MoH's Project Director and the UNDP Project Manager and is expected to work closely with MoH officials and other mobilised technical consultants. The consultant will more specifically be responsible to:

Task 1: Review and evaluate of the implementation of the national action plans responding to climate change in the health sector

Task 2: Develop adaptation integration guideline for the health sector, including ensuring systematic risk assessment, prioritization and budget planning are in place for the sector

Task 3: Revised, update the national action plans responding to climate change in the health sector and contribute to the development of NAP technical report for Vietnam (led by MONRE)

III. SCOPE OF WORK

To implement the objective, the consultant will work with the MOH in Ha Noi and is expected to undertake the following activities during the assignment:

Task 1: Review and evaluate of the implementation of the national action plans responding to climate change in the health sector

- Develop Detail work plan and submit to VIHEMA/UNDP for approval before implementation (no more than 10 pages).
- Review and evaluate of the implementation of the sector action plans responding to climate change in the health sector at central and local levels in close consultation with MONRE NAP implementation (Planning, M&E) and MPI NAP investment process
- Develop protocol and data forms (questionnair) for updating information and data of the implementation of the national action plans responding to climate change in the health sector at central and local levels
- Conduct field trip (or online meeting) to assessment of the implementation of the national action plans responding to climate change in the health sector in some selected province
- Make recommendations for revised, update the national action plans responding to climate change in the health sector in next period time.

• Support MOH to organize consultation meetingor consultation workshop on finalizing evaluate report of the implementation of the national action plans responding to climate change in the health sector

Task 2: Develop adaptation integration guideline for the health sector, including ensuring systematic risk assessment, prioritization and budget planning are in place for the sector

- Develop adaptation integration guideline for the health sector
- Gather information from relevant stakeholders
- Finalize adaptation integration guideline for the health sector, including ensuring systematic risk assessment, prioritization and budget planning are in place for the sector

Task 3: Revised, update the MOH action plans responding to climate change in the health sector in next period time and contribute to the development of NAP technical report for Vietnam.

- Update the MOH action plans responding to climate change in the health sector
- Gather information from relevant stakeholders
- Finalize the MOH action plans responding to climate change in the health sector

No.	Deliverable	Estimated days to complete	Target due date
1	Product 1: Report of the implementation of the national action plans responding to climate change in the health sector	20	31/12/2021.
2	Product 2: Develop adaptation integration guideline for mainstreaming CCA into health planning and sectoral priority areas into in the health sector plan and budget	20	28/3/2022
3	Product 3: Revise and update the national action plans responding to climate change in the health sector	30	30/4/2022
4	Product 4: Inputs for MOH on the NAP technical Report	20	30/5/2022

IV. DELIVERABLES& IMPLEMENTATION TIMELINE

V. DURATION OF ASSIGNMENT, DUTY STATION & EXPECTED PLACES OF TRAVEL

Estimated number of working days: 90 working days from 10/2021 to 5/2022.

Duty station: Ha noi

Expected places of travel: The assignment requires to work mainly in Ha Noi. If there is travel to provinces required, the project will cover the cost separately following UN-EU cost norm and practices

VI. PROVISION OF MONITORING & PROGRESS CONTROL

The consultant will work under the supervision of the MOH's Project Director, the UNDP Project Manager and in the collaboration with MONRE.

The Consultant shall report to UNDP Programme Officer on Climate Change and Disaster Risk Reduction and MOH's Project Director on the deliverables of his/her work regarding a work-plan, deadlines and verification activities.

All deliverables of the consultant will be submitted in Vietnamese and English. The progress and deliverables will be reviewed by UNDP Project Manager and relevant experts assigned by UNDP. The final products will be approved by UNDP and MOH.

VII. ADMINISTRATIVE SUPPORT & REFERENCE DOCUMENTS

Administrative Support

The selected consultant will be responsible for providing necessary preparation for consultation meetings and workshops. Meetings/workshops will be facilitated or chaired by MOH and UNDP.

Any travel requirement from the project will need to be agreed by MOH/UNDP and all expenses will be covered separately by the project based on UN-EU cost norms.

Reference Documents

Once selected, the consultant will receive detail project document, workplan and relevant reference by UNDP and MOH.

Qualifications	• At least Doctor of philosophy of the disciplines of Environmental Health, Occupational Medicine and Public Health, environmental health science and/or climate change and health related field;
Relevant Professional Experience	• Minimum 15 years working experience for Government in the disciplines of environmental health, Occupational health, Health management.
Other Competencies	• Experience in developing legal documents, policies, plans and projects, including climate change programs / activities / projects.
	• Experience in development national action plans responding to climate change in the health sector period 2011-2015, and period 2019-2030.
	• Experience in conducting survey, assessment, evaluation on health environment.
	• Experience in working with ministries, branches and social - professional organizations
	• Experience of working as a team and coordinating group of experts from multiple disciplines
	• Experience in editor and participate in compiling the book on environment health would be an advantage.
	• Good knowledge of gender equality and social inclusiveness are advantageous;
Language Requirements	• Excellent English drafting and presentation skills (provision of 2 written reports/examples is required.)
IX. PAYMEN	T TERMS

VIII. DEGREE OF EXPERTISE & QUALIFICATIONS

No.	Deliverables as indicated in Section IV	Due Date	Payment Amount
1	Product 1: Draft report of the implementation of the national action plans responding to climate change in the health sector	31/12/2021.	25%

2	Product 2: Final report of the implementation of the national action plans responding to climate change in the health sector	28/3/2022	25%
3	Product 3: Revise and update the national action plans responding to climate change in the health sector	30/4/2022	25%
4	Product 4: Inputs for MOH on the NAP technical Report	30/5/2022	25%

X. CONSULTANT PRESENCE REQUIRED ON DUTY STATION

\Box NONE \Box PARTIAL \boxtimes INTERMITTENT \Box FULL-TIME

XI. EVALUATION CRITERIA

No.	Requirement	Points
1	Qualifications: At least Doctor of philosophy of the disciplines of Environmental Health, Occupational Medicine and Public Health, environmental health science and/or climate change and health related field;	150
2	Experience: Minimum 15 years working experience for Government in the disciplines of environmental health, Occupational health, Health management.	150
3	Other Competencies: Experience in developing legal documents, policies, plans and projects, including climate change programs / activities / projects.	100
4	Other Competencies: Experience in development national action plans responding to climate change in the health sector period 2011-2015, and period 2019-2030.	100
5	Other Competencies: Experience in conducting survey, assessment, evaluation on health environment.	100
6	Other Competencies: Experience in working with ministries, branches and social - professional organizations	100
7	Other Competencies: Experience of working as a team and coordinating group of experts from multiple disciplines	100
8	Other Competencies: Experience in editor and participate in compiling the book on environment health would be an advantage.	50
9	Other Competencies: Good knowledge of gender equality and social inclusiveness are advantageous	50
10	Language Requirements: Excellent English drafting and presentation skills (provision of 2 written reports/examples is required.)	100
	Total	1,000

Criteria for Evaluation of Proposal

Offers will be evaluated according to the Combined Scoring method – where the educational background and experience on similar assignments will be weighted at 70% and the financial proposal will weigh at 30% of the total scoring.

The applicant receiving the Highest Combined Score and meeting other requirements in the Procurement Notice will be awarded the contract.

Documents for Submission

Applicants will be expected to include the following along with their application:

- **B.** Core Documents
 - 1. Letter of Confirmation of Interest and Availability using the template provided by UNDP;
 - 2. Current and complete CV in English;
 - 3. **02 similar sample report**;
 - 4. **Financial offer** using the standard UNDP template.

OFFEROR'S LETTER TO UNDP

CONFIRMING INTEREST AND AVAILABILITY FOR THE INDIVIDUAL CONTRACTOR (IC) ASSIGNMENT

Date

(*Name of Resident Representative/Bureau Director*) United Nations Development Programme (Specify complete office address)

Dear Sir/Madam:

I hereby declare that:

- A) I have read, understood and hereby accept the Terms of Reference describing the duties and responsibilities of [*indicate title of assignment*] under the [*state project title*];
- B) I have also read, understood and hereby accept UNDP's General Conditions of Contract for the Services of the Individual Contractors:
- C) I hereby propose my services and I confirm my interest in performing the assignment through the submission of my CV which I have duly signed and attached hereto as Annex 1;
- D) In compliance with the requirements of the Terms of Reference, I hereby confirm that I am available for the entire duration of the assignment, and I shall perform the services in the manner described in my proposed approach/methodology which I have attached hereto as Annex 3 [delete this item if the TOR does not require submission of this document];
- E) I hereby propose to complete the services based on the following payment rate: *[please*] check the box corresponding to the preferred option]:



An all-inclusive daily fee of *state amount in words and in numbers indicating currency*]



A total lump sum of *state amount in words and in numbers, indicating exact currency*], payable in the manner described in the Terms of Reference.

- F) For your evaluation, the breakdown of the abovementioned all-inclusive amount is attached hereto as Annex V;
- G) I recognize that the payment of the abovementioned amounts due to me shall be based on my delivery of outputs within the timeframe specified in the TOR, which shall be subject to UNDP's review, acceptance and payment certification procedures;
- H) This offer shall remain valid for a total period of _____ days [minimum of 90 *days*] after the submission deadline;

- I) I confirm that I have no first degree relative (mother, father, son, daughter, spouse/partner, brother or sister) currently employed with any UN agency or office [disclose the name of the relative, the UN office employing the relative, and the relationship if, any such relationship exists];
- J) If I am selected for this assignment, I shall [please check the appropriate box]:



Sign an Individual Contract with UNDP;

- Request my employer *[state name of company/organization/institution]* to sign with UNDP a Reimbursable Loan Agreement (RLA), for and on my behalf. The contact person and details of my employer for this purpose are as follows:
- K) I hereby confirm that *[check all that applies]*:
 - At the time of this submission, I have no active Individual Contract or any form of engagement with any Business Unit of UNDP;

I am currently engaged with UNDP and/or other entities for the following work:

Assignment	Contract Type	UNDP Business Unit / Name of Institution/Company	Contract Duration	Contract Amount

I am also anticipating conclusion of the following work from UNDP and/or other entities for which I have submitted a proposal:

Assignment	Contract Type	Name of Institution/ Company	Contract Duration	Contract Amount

- L) I fully understand and recognize that UNDP is not bound to accept this proposal, and I also understand and accept that I shall bear all costs associated with its preparation and submission and that UNDP will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the selection process.
- M) <u>If you are a former staff member of the United Nations recently separated, please add</u> <u>this section to your letter:</u> I hereby confirm that I have complied with the minimum break in service required before I can be eligible for an Individual Contract.
- N) I also fully understand that, if I am engaged as an Individual Contractor, I have no expectations nor entitlements whatsoever to be re-instated or re-employed as a staff member.

O) Are any of your relatives employed by UNDP, any other UN organization or any other public international organization?

YES \square NO \square If the answer is "yes", give the following information:

Name	Relationship	Name of International Organization

- P) Do you have any objections to our making enquiries of your present employer? YES NO
- Q) Are you now, or have you ever been a permanent civil servant in your government's employ? _____

YES NO If answer is "yes", WH	HEN?
-------------------------------	------

R) REFERENCES: List three persons, not related to you, who are familiar with your character and qualifications.

Full Name	Full Address	Business or Occupation	

S) Have you been arrested, indicted, or summoned into court as a defendant in a criminal proceeding, or convicted, fined or imprisoned for the violation of any law (excluding minor traffic violations)?

YES \square NO \square If "yes", give full particulars of each case in an attached statement.

I certify that the statements made by me in answer to the foregoing questions are true, complete and correct to the best of my knowledge and belief. I understand that any misrepresentation or material omission made on a Personal History form or other document requested by the Organization may result in the termination of the service contract or special services agreement without notice.

DATE: _____

SIGNATURE: _____

NB. You will be requested to supply documentary evidence which support the statements you have made above. Do not, however, send any documentary evidence until you have been asked to do so and, in any event, do not submit the original texts of references or testimonials unless they have been obtained for the sole use of UNDP.

Annexes [please check all that applies]:

- CV shall include Education/Qualification, Processional Certification, Employment Records /Experience
- Breakdown of Costs Supporting the Final All-Inclusive Price as per Template

GUIDELINES FOR CV PREPARATION

WE REQUEST THAT YOU USE THE FOLLOWING CHECKLIST WHEN PREPARING Your CV: Limit the CV to 3 or 4 pages NAME (First, Middle Initial, Family Name) Address: City, Region/State, Province, Postal Code Country: Telephone, Facsimile and other numbers Internet Address: Sex, Date of Birth, Nationality, Other Citizenship, Marital Status Company associated with (if applicable, include company name, contact person and phone number)

SUMMARY OF EXPERTISE

Field(s) of expertise (be as specific as possible) Particular development competencies-thematic (e.g. Women in Development, NGOs, Privatization, Sustainable Development) or technical (e.g. project design/evaluation) Credentials/education/training, relevant to the expertise

LANGUAGES Mother Tongue:

Indicate written and verbal proficiency of your English:

SUMMARY OF RELEVANT WORK EXPERIENCE

Provide an overview of work history in reverse chronological order. Provide dates, your function/title, the area of work and the major accomplishments include honorarium/salary. References (name and contact email address) must be provided for each assignment undertaken by the consultant that UNDP may contact.

UN SYSTEM EXPERIENCE

If applicable, provide details of work done for the UN System including WB. Provide names and email address of UN staff who were your main contacts. Include honorarium/salary.

UNIVERSITY DEGREES

List the degree(s) and major area of study. Indicate the date (in reverse chronological order) and the name of the institution where the degree was obtained.

PUBLICATIONS

Provide total number of Publications and list the titles of 5 major publications (if any)

MISCELLANEOUS

Indicate the minimum and maximum time you would be available for consultancies and any other factors, including impediments or restrictions that should be taken into account in connection with your work with this assignment.

Annex V

FINANCIAL OFFER

Having examined the Solicitation Documents, I, the undersigned, offer to provide all the services in the TOR for the sum of (VND for National Consultant and USD for International Consultant).

This is a lump sum offer covering all associated costs for the required service (fee, meal, accommodation, travel, taxes etc).

No.	Description	Quantity	Unit Rate	Total
1	Consultancy fee			
2	Out of pocket expenses			
2.1	Travel			
2.2	Per diem			
2.3	Full medical examination and Statement of Fitness to work for consultants from and above 65 years of age and involve travel – (required before issuing			
2.4	contract). * Others (pls. specify)			
2.5	VAT** if applicable for local firm (in case your company signs the contract)			
	Total			

* Individual Consultants/Contractors who are over 65 years of age with assignments that require travel and are required, <u>at their own cost</u>, to undergo a full medical examination including x-rays and obtaining medical clearance from <u>an UN-approved doctor</u> prior to taking up their assignment.

** Individual Consultants/Contractors who request their employer to sign a Reimbursable Loan Agreement (RLA) with UNDP for their behalves are reminded to add the Value Added Tax into the total lump sum of the Financial Offer if applicable.

I undertake, if my proposal is accepted, to commence and complete delivery of all services specified in the contract within the time frame stipulated.

I agree to abide by this proposal for a period of 120 days from the submission deadline of the proposals.

Dated this day /month

of year

Signature

(The costs should only cover the requirements identified in the Terms of Reference (TOR) Travel expenses are not required if the consultant will be working from home).