# INDIVIDUAL CONSULTANT PROCUREMENT NOTICE



# LOCAL INDIVIDUAL CONSULTANT- Promoting and Celebrating Sustainable Development Goals (SDGs) Integration by Trinidad and Tobago Businesses

Country: Trinidad & Tobago

**Description of the assignment:** The selected Individual Consultant will contribute to increased and more strategic integration of, and investment in, the SDGs by Trinidad and Tobago businesses, building SDG awareness among business leaders and provide SDG monitoring, evaluation and impact assessment support to companies that seek to integrate the SDGs into their operations, production and philanthropy.

Project name: 2030 Agenda for Sustainable Development (2030 Agenda)

Period of assignment/services: 12 months

Proposal should be submitted at the below mentioned email address no later than: <u>Friday 26<sup>th</sup> November 2021 at 4pm AST</u> with the subject e-mail "Individual Consultant- Sustainable Development Goals (SDG) Integration Consultancy"

Name of Office: UNDP – Trinidad and Tobago

Email for submission: <a href="mailto:procurement.tt@undp.org">procurement.tt@undp.org</a>

Subject: INDIVIDUAL CONSULTANT: Sustainable Development Goals

(SDG) Integration Consultancy

## 1. BACKGROUND

Achieving the 2030 Agenda's 17 Sustainable Development Goals (SDGs) requires substantial modifications to societal, economic and environmental-exploitation patterns that are possible only with full and strategic private sector participation. The private sector consists of a wide diversity of fields and complex activities. It is the largest part of the economy; the main creator of jobs and user of natural resources; a key source of knowledge, expertise, technological innovation, financial resources and government tax income; and a chief beneficiary of public institutions and infrastructure. The 2030 Agenda was developed to encourage companies to integrate the SDGs as fundamental elements of their strategies and operations, as well as to ease reporting by businesses on their SDG-related accomplishments.

The SDGs' newness, breadth and perceived complexity have hindered implementation of the Goals by Trinidad and Tobago's (TTO's) private sector; and have led to insufficient awareness of the SDGs' benefits and implications among companies' CEOs and Directors, resulting in insufficient SDG integration into businesses' planning, policies and operations, as well as inadequate reporting by TTO firms of their SDG-related accomplishments. That TTO private sector leaders are generally unfamiliar with the SDGs has resulted in companies integrating primarily those Goals that explicitly address productivity and profitability (e.g., those related to workers' rights and regulatory compliance) into their core business strategies and operations—with the remaining SDGs frequently ignored or addressed only in the firms' philanthropy.

As the UN champions direction of private resources to SDG accomplishment, the UNCT should build SDG awareness among business leaders; equip business executives and senior managers to adopt and report on integrated approaches where they engage with the SDGs on a strategic level; and provide SDG monitoring, evaluation and impact assessment support to companies that seek to integrate the SDGs into their operations, production and philanthropy.

The United Nations Resident Coordinator Office (UN RCO) is seeking the services of an individual consultant to contribute to increased and more strategic integration of and investment in the SDGs by Trinidad and Tobago businesses, building SDG awareness among business leaders and provide SDG monitoring, evaluation and impact assessment support to companies that seek to integrate the SDGs into their operations, production and philanthropy.

For detailed information, please refer to Annex 1

# 2. SCOPE OF WORK, RESPONSIBILITIES AND DESCRIPTION OF THE PROPOSED ANALYTICAL WORK

The Consultant will work under the overall guidance of UN Resident Coordinator (RC) and the UNCT and be supervised by the Team Leader of UN Resident Coordinator Office (RCO), under the overall guidance of the RC. working daily with the RCO and UNCT focal points, who will guide their work.

# Scope of Consultancy Assignment

The Consultant shall undertake but not be limited to the following activities:

- 1.1.1. Undertaking sensitisation sessions/workshops (each at least three hours long and with related information products) on the SDGs, national development goals, and their business implications and benefits, to 15 executives and senior managers from five companies that commit to integrating the SDGs and national development goals into their operations and production;
- 2.1.1. Providing 50 hours of monitoring, evaluation and impact assessment support (including capacity building, if necessary, and employing the SDGs and national development goals' indicators and targets) to company executives and senior managers from five companies that commit to integrating the SDGs and national development goals into their operations and production;
- 2.1.1. Identifying, to a UNCT-chaired committee that includes senior representatives from TTO's public, private, civil society and international development sectors, two TTO companies that have achieved the greatest developmental impact through their business operations and production;
- 3.1.1. Providing 50 hours of monitoring, evaluation and impact assessment support (including capacity building, if necessary, and employing the SDGs and national development goals' indicators and targets) to ten SDG-committed corporate philanthropy departments and foundations;
- 3.2.1 Identifying, to a UNCT chaired committee that includes senior representatives from TTO's public, private, civil society and international development sectors, three TTO companies that have achieved the greatest developmental impact through their philanthropy.

# **Deliverables**

The contract is for 12 calendar months, the deliverables are:

Activity	Deliverables	Duration	Timeline
a) Inception	To include a work plan, methodology 0.5 calendar month		December
Report	(including the curriculum for business-		2021
leader SDG sensitisation, and the rubric			
for monitoring and evaluating business-			
based and philanthropic interventions,			
	and determining their developmental	(10% of contract	
	impact), and timeline.	value)	

b)	Mid- Assignment Report	To summarise the main results of the first half of the assignment (including annexed SDG-related, business-based and philanthropic accomplishments of the concerned companies, to the midpoint of the assignment), information on the executives, managers and philanthropists that have thus far been supported (e.g., contact details; business and philanthropic interests), draft versions of all assignment-related information products (e.g., sensitisation presentations; SDG monitoring and evaluation toolkits), and suggested amendments to the assignment with the	6.5 calendar months	December 2021-June 2022
		potential to improve and accelerate its outcomes.	(40% of contract value)	
c)	End-of- Assignment Report	To summarise the entire assigment's main results (including annexed SDG-related accomplishments of the companies' core operations, production and philanthropy to the end of the works), recommendations (including the rankings that identify the two most accomplished companies, and the three most accomplished philanthropists, in terms of the SDGs and national development goals), relevant information (e.g., executives and managers' contact details and business and philanthropic interests), and final versions of assignment-related information products (e.g., sensitisation presentations, SDG monitoring and	5 calendar months	July 2022- November 2022
		evaluation toolkits) to enable similar works to be undertaken in the future.	(50% of contract value)	

# 3. REQUIREMENTS FOR EXPERIENCE AND QUALIFICATIONS

## **Education:**

 A degree (Masters level or higher preferred) from an approved university in development studies, economics, social sciences, monitoring and evaluation, or any other related field.

# **Experience:**

- A minimum of five years' experience in monitoring, evaluation and impact assessment of development related interventions (work with business- or philanthropy-based, development-related interventions would be an asset);
- Advanced facilitation skills and a proven record of convening meetings and training/sensitisation workshops (and creating associated information materials) involving senior members of the public, private, corporate philanthropy and/or international development sectors.
- Good contextual knowledge of TTO's private sector and its philanthropy (working relationships within the sector would be an advantage);
- Excellent knowledge of the SDGs, national development goals, and their indicators; and
- Strong interpersonal skills and the ability to communicate and work with diverse people.

# Languages:

• Excellent analytical writing and oral presentation in English. Application procedure and documents to be submitted in English.

# 4. DOCUMENTS TO BE INCLUDED WHEN SUBMITTING THE PROPOSALS.

Interested individual consultants must submit the following documents/information to demonstrate their qualifications:

Interested individual consultants must submit the following documents/information to demonstrate their qualifications:

- (1) Offeror's Letter of Confirmation of Interest and Availability to UNDP (See ANNEX II)
- (2) Resume/CV. Resume /CV shall include Contact Information, Education/Qualification, Employment Records /Experience inclusive of three references contact information (name, email address and phone number)
- (3) Brief description of approach to work/technical proposal of why the individual considers him/herself as the most suitable for the assignment, and a proposed methodology on how they will approach and complete the assignment;
- (4) FINANCIAL PROPOSAL:

Please complete the Price Submission Form (ANNEX III) attached, to express your price offer for the services required. The UNDP will enter into a contract based on a lump sum

amount. The financial proposal shall represent a detailed, justified and "all inclusive" amount.

# A. FINANCIAL PROPOSAL

# Lump sum contract

The Consultant must indicate the all-inclusive fixed total contract price and expressed in a lump-sum for the total duration of the contract. The term "all inclusive" implies all costs (professional fees, travel costs, living allowances etc.) supported by a breakdown of costs, as per template attached to the Letter of Confirmation of Interest template. The lump sum is fixed regardless of changes in the cost components. If an applicant is employed by an organization/company/institution, and he/she expects his/her employer to charge a management fee in the process of releasing him/her to UNDP under Reimbursable Loan Agreement (RLA), the applicant must indicate at this point, and ensure that all such costs are duly incorporated in the financial proposal submitted to UNDP.

#### Travel

No travel costs should be included. Should any travel be required from the consultant, it will be paid separately. Plane ticket, Terminal expenses and Daily Subsistence allowance as per UNDP rules, will be provided for the Consultant's required travels. In general, UNDP should not accept travel costs exceeding those of an economy class ticket.

## 6. EVALUATION

**Method:** Highest total score of weighted desk review and financial criteria: The price proposals of all consultants, who have attained a minimum 70% score at the Desk Review, will be compared. UNDP will award a contract to the individual who receives the highest score out of a predetermined weighted, Desk Review and Financial criteria as follows: 70% Desk Review criteria, 30% Financial criteria

Selection Criteria	Maximum
1 A degree (Masters level or higher preferred) from an approved	points* 15
university in development studies, economics, social sciences, monitoring and evaluation, or any other related field.	
A minimum five years' experience monitoring, evaluating and assessing the impact of development actions (work entailing business- or philanthropy-based, development-related approaches would be an asset) (1-2 years 10 points; 3- 4 years 15 points; 5 years and above 25 points)	25

3	Proven record of facilitating, convening and conducting	
	meetings and sensitisation workshops (and creating associated	25
	information and training materials) with public, private and/or	
	international-development sector leaders	
4	Good contextual knowledge of TTO's private sector and its corporate philanthropy (working relationships within the sector would be an advantage)	15
5	Substantial professional application of the SDGs, the Vision 2030 National Development Strategy, and their indicators	15
6	Fluency in Written and Spoken English	5
	Total Maximum Obtainable Points	100

Once the candidates have attained a score of at least 70% on the desk review, based on the requirements in the Terms of Reference, only then, their financial proposals to be evaluated.

The *final evaluation process* is based on a 70:30 weighting, with 30 points being allocated to the financial component.

The following formula (cumulative analysis) is used to determine the financial scoring:  $p = y (\mu/z)$ , where:

p = points for the financial proposal being evaluated

y = maximum number of points for the financial proposal

 $\mu$  = price of the lowest priced proposal

z = price of the proposal being evaluated

# **ANNEXES**

**ANNEX I- TERMS OF REFERENCES (TOR)** 

ANNEX II- OFFEROR'S LETTER TO UNDP CONFIRMING INTEREST AND AVAILABILITY FOR THE INDIVIDUAL CONTRACTOR (IC) ASSIGNMENT

ANNEX III - FINANCIAL PROPOSAL (PRICE SUBMISSION FORM)

ANNEX IV – INDIVIDUAL CONSULTANT GENERAL TERMS AND CONDITIONS

ANNEX V- INDIVIDUAL CONSULTANT CONTRACT TEMPLATE

# **TIMELINES**

ACTIVITY	DUE ON
DEADLINE FOR RECEIPT OF OFFERS	Friday 26 <sup>th</sup> November 2021 at 4pm AST
EXPECTED START DATE FOR SUCCESSFUL CANDIDATE	December 2021



#### TERMS OF REFERENCE

# Individual Consultant: Promoting and Celebrating SDG Integration by Trinidad and Tobago Businesses

# 1. Introduction/Background

Achieving the 2030 Agenda's 17 Sustainable Development Goals (SDGs) requires substantial modifications to societal, economic and environmental-exploitation patterns that are possible only with full and strategic private sector participation. The private sector consists of a wide diversity of fields and complex activities. It is the largest part of the economy; the main creator of jobs and user of natural resources; a key source of knowledge, expertise, technological innovation, financial resources and government tax income; and a chief beneficiary of public institutions and infrastructure. The consequences of unsustainable business development (e.g., climate change, negative impacts on human health and the environment, and increased inequalities) are also becoming increasingly apparent, and have been exacerbated by the COVID-19 pandemic. Recognising that the private sector is a key component and driver of sustainable development's economic, social and environmental spheres, the 2030 Agenda was developed to encourage companies to integrate the SDGs as fundamental elements of their strategies and operations, as well as to ease reporting by businesses on their SDG-related accomplishments.

The SDGs' newness, breadth and perceived complexity have hindered implementation of the Goals by Trinidad and Tobago's (TTO's) private sector; and have led to insufficient awareness of the SDGs' benefits and implications among companies' CEOs and Directors, resulting in insufficient SDG integration into businesses' planning, policies and operations, as well as inadequate reporting by TTO firms of their SDGrelated accomplishments. That TTO private sector leaders are generally unfamiliar with the SDGs has resulted in companies integrating primarily those Goals that explicitly address productivity and profitability (e.g., those related to workers' rights and regulatory compliance) into their core business strategies and operations—with the remaining SDGs frequently ignored or addressed only in the firms' philanthropy. Expanding the SDG-related knowledge and skills of executives (who are responsible for their companies' corporate strategy, policy, systems and processes, resourcing and investing, performance measurement, product and service redesign, change management, risk mitigation, regulatory compliance and accountability, and who are the public faces and voices of their corporations) would be an effective way to mainstream the Goals into their businesses. Additionally, with increased pressure on companies to thrive economically while also being impactful socially and environmentally, business leaders should now assess, not just financial value, performance and risks, but also consider and report on non-financial equivalents in an integrated way, across multiple capitals. Investors and customers, too, are increasingly preferring companies with operations and products that benefit the environment, society and governance in the countries they do business; and, by aligning their operations and production to the SDGs, firms can enhance their brand.

The TTO private sector's philanthropy is the anglophone Caribbean's most developed. TTO companies are generous, spending 2-4% of their annual expenditure on philanthropy. The impact of this considerable philanthropy on national development is however marginal, as philanthropic interventions are rarely

aligned with the SDGs and national development priorities; and are usually sub-optimally planned and implemented, with duplicated effort and inefficient use of resources, as they are monitored, evaluated and reported beneath the level needed for them to be evidence based. To rectify this, the TTO UN Country Team (UNCT) trained managers in the philanthropy departments and foundations of twelve large TTO companies on the SDGs, and on Goals-based methods for assessing their philanthropic investments' developmental impact. These companies, by themselves, give more than USD 18 million in philanthropy each year. That these and other companies' philanthropic interventions are only marginally impactful is unfortunate as the UNCT-engaged companies, once sensitised to the SDGs, indicated universal desire to invest in the Goals via their corporate giving. This may be indicative of a wider desire in TTO's private sector to align their philanthropy with the Goals. Additionally, corporate philanthropy is a key entry point to SDG assimilation into business strategies and operations as it requires companies becoming aware of social and environmental challenges in their communities, and formulating contradictory interventions.

As the UN champions direction of private resources to SDG accomplishment, the UNCT should build SDG awareness among business leaders; equip business executives and senior managers to adopt and report on integrated approaches where they engage with the SDGs on a strategic level; and provide SDG monitoring, evaluation and impact assessment support to companies that seek to integrate the SDGs into their operations, production and philanthropy. The UNCT should also celebrate corporations that accomplish the greatest developmental impacts while undertaking their business and philanthropy, and which serve as exemplars for others in the TTO and Caribbean private sector.

# 2. Key Elements and Specific Objectives

Purpose: The aim of this assignment is to contribute to increased and more-strategic integration of and investment in the SDGs by TTO businesses.

Strategy: To build SDG awareness among business leaders and provide SDG monitoring, evaluation and impact assessment support to companies that seek to integrate the SDGs into their operations, production and philanthropy; and celebrate firms that accomplish the greatest developmental impacts while undertaking their business and philanthropy, and that serve as exemplars for others in the private sector.

## Outcomes:

- Increased and more-strategic integration of, investment in, and reporting on the SDGs by five TTO businesses;
- 2. Increased and more-effective reporting on SDG-related, business-based accomplishments by five TTO businesses;
- 3. Increased SDG alignment of interventions financed by ten corporate philanthropic departments and foundations.

# The Outputs are:

- 1.2. 15 executives and senior managers, from five companies that commit to integrating the SDGs and national development goals into their operations and production, sensitised to the SDGs and national development goals, and their business implications and benefits;
- 2.2. 50 hours of monitoring, evaluation and impact assessment support to company executives and senior managers from five firms that commit to integrating the SDGs and national development goals into their operations and production;
- 2.3. Two exemplary TTO companies selected for celebrating the developmental impact they achieve, in terms of the SDGs and national development goals, while undertaking their business;

- 3.1. 50 hours of monitoring, evaluation and impact assessment support to ten corporate philanthropy departments and foundations that commit to the SDGs and national development goals;
- 3.2. Three exemplary corporate philanthropists selected for celebration of the developmental impact of their philanthropy in terms of the SDGs and national development goals.

# 3. Scope of Consultancy Assignment

The Consultant shall undertake but not be limited to the following activities:

- 1.2.1. Undertaking sensitisation sessions/workshops (each at least three hours long and with related information products) on the SDGs, national development goals, and their business implications and benefits, to 15 executives and senior managers from five companies that commit to integrating the SDGs and national development goals into their operations and production;
- 2.1.2. Providing 50 hours of monitoring, evaluation and impact assessment support (including capacity building, if necessary, and employing the SDGs and national development goals' indicators and targets) to company executives and senior managers from five companies that commit to integrating the SDGs and national development goals into their operations and production;
- 2.3.1. Identifying, to a UNCT-chaired committee that includes senior representatives from TTO's public, private, civil society and international development sectors, two TTO companies that have achieved the greatest developmental impact through their business operations and production;
- 3.1.2. Providing 50 hours of monitoring, evaluation and impact assessment support (including capacity building, if necessary, and employing the SDGs and national development goals' indicators and targets) to ten SDG-committed corporate philanthropy departments and foundations;
- 3.2.1. Identifying, to a UNCT chaired committee that includes senior representatives from TTO's public, private, civil society and international development sectors, three TTO companies that have achieved the greatest developmental impact through their philanthropy.

# 4. Methodology

The Consultant will develop a technically sound methodology in accordance with international SDG sensitisation, monitoring, evaluation and impact assessment standards, and which maximises beneficiary participation and is consistent with the SDGs and TTO's Vision 2030 National Development Plan.

The method will include:

- On-site visits to executives and senior managers at five companies that commit to integrating the SDGs and national development goals into their operations and production;
- On-site visits to ten corporate philanthropic departments and foundations (of companies that commit to earmarking 30% of their philanthropy to actions aligned with the SDGs' indicators);
- Providing monitoring, evaluation and impact assessment support (including capacity building, if
  necessary, and employing the SDG indicators and targets) to executives and senior managers at five
  companies that commit to integrating the SDGs and national development goals into their operations
  and production;
- Providing monitoring, evaluation and impact assessment support (including capacity building, if
  necessary, and employing the SDG indicators and targets) to managers of corporate philanthropy at
  ten companies or their philanthropic foundations;
- Ranking the five considered companies in order of their operations and production's developmental impact in TTO (in terms of the SDGs and national development goals), highlighting the top two;

• Ranking the philanthropic departments and foundations, and parent companies, in order of their developmental impact in TTO, highlighting the top three.

# 5. Working Arrangements

- The Consultant will work under the overall guidance of UN Resident Coordinator (RC) and the UNCT.
- The Consultant will be supervised by the Team Leader of UN Resident Coordinator Office (RCO), under the overall guidance of the RC.
- The Consultant will work daily with the RCO and UNCT focal points, who will guide their work.

# Responsibilities of the UNCT:

- Under the RC's leadership, the UNCT will identify five companies that commit to integrating the SDGs and national development goals into their operations and production, as well as the executives and senior managers to be directly supported by the Consultant, and secure this commitment by having the businesses' CEOs or Chairpersons sign a Call to Action for SDG-related business approaches.
- Under the RC's leadership, the UNCT will identify 10 companies to commit 30% of their philanthropy to actions directly aligned with the SDGs' indicators, and secure this commitment by having the businesses' CEOs or Chairpersons sign a Call to Action for SDG-related philanthropy.
- Under the RC's leadership, the UNCT will guide the Consultant in formulating the work's methodology, preparing the assignment's deliverables, and revising the deliverables, and will assist the Consultant with accessing stakeholders (including the SDG committed companies), documents and virtual or physical spaces for meetings and consultations.
- Under the RC's leadership, the UNCT will convene, chair, and develop Terms of Reference for a committee (that includes senior representatives from TTO's public, private, civil society and international development sectors) to identify, from the Consultant's rankings, the companies and philanthropic organisations that are achieving the greatest developmental impact in TTO.

# Responsibilities of the Consultant:

- Under the overall guidance of the UNCT focal points, the Consultant will implement actions to support
  executives and senior managers at the five identified companies with sensitisation to the SDGs and
  national development goals, and with the monitoring, evaluation and impact assessment of their
  businesses' operations and production in relation to the SDG and National Development Strategy
  indicators; to promote SDG integration into the executives and managers' core business plans,
  strategies and investments; and to assist the UNCT with the selection of exemplary SDG-committed
  companies for celebrating.
- Under the overall guidance of the UNCT focal points, the Consultant will implement actions to support
  corporate philanthropic departments and foundations with the monitoring, evaluation and impact
  assessment of their interventions in relation to the SDG and National Development Strategy
  indicators; to promote the use of the SDGs' indicators as planning and impact assessment tools by
  philanthropists; and to assist the UNCT with the selection of exemplary SDG-committed
  philanthropists for celebrating.
- The Consultant will be responsible for formulating the work's methodology, preparing the project's deliverables, revising the deliverables to address the UNCT's criticisms, preparing materials and tools that are needed to fulfil the consultancy's objectives, conducting consultations with key stakeholders in collaboration with the RCO, and integrating the UN Guiding Principles into the planning and execution of the assignment.

# 6. Deliverables

The contract is for 12 calendar months, with payment upon approval of submitted deliverables.

Act	tivity	Deliverables	Duration	Timeline
d)	Inception	To include a work plan, methodology	0.5 calendar months	December
	Report	(including the curriculum for business-		2021
		leader SDG sensitisation, and the rubric		
		for monitoring and evaluating business-		
		based and philanthropic interventions,		
		and determining their developmental	(10% of contract	
		impact), and timeline.	value)	
e)	Mid-	To summarise the main results of the	6.5 calendar months	December
	Assignment	first half of the assignment (including		2021-June
	Report	annexed SDG-related, business-based		2022
		and philanthropic accomplishments of		
		the concerned companies, to the mid-		
		point of the assignment), information on		
		the executives, managers and		
		philanthropists that have thus far been		
		supported (e.g., contact details; business		
		and philanthropic interests), draft		
		versions of all assignment-related		
		information products (e.g., sensitisation		
		presentations; SDG monitoring and		
		evaluation toolkits), and suggested		
		amendments to the assignment with the		
		potential to improve and accelerate its	(40% of contract	
		outcomes.	value)	
f)	End-of-	To summarise the entire assigment's	5 calendar months	July 2022-
	Assignment	main results (including annexed SDG-		November
	Report	related accomplishments of the		2022
		companies' core operations, production		
		and philanthropy to the end of the		
		works), recommendations (including the		
		rankings that identify the two most		
		accomplished companies, and the three		
		most accomplished philanthropists, in		
		terms of the SDGs and national		
		development goals), relevant		
		information (e.g., executives and		
		managers' contact details and business		
		and philanthropic interests), and final		
		versions of assignment-related		
		information products (e.g., sensitisation		
		presentations, SDG monitoring and		

evaluation toolkits) to enable similar	(50% of contract	
works to be undertaken in the future.	value)	

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# 7. Qualification and Experience

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## **Education:**

• A degree (Masters level or higher preferred) from an approved university in development studies, economics, social sciences, monitoring and evaluation, or any other related field.

# Experience:

- A minimum of five years' experience in monitoring, evaluation and impact assessment of development related interventions (work with business- or philanthropy-based, developmentrelated interventions would be an asset);
- Advanced facilitation skills and a proven record of convening meetings and training/sensitisation
  workshops (and creating associated information materials) involving senior members of the
  public, private, corporate philanthropy and/or international development sectors;
- Good contextual knowledge of TTO's private sector and its philanthropy (working relationships within the sector would be an advantage);
- Excellent knowledge of the SDGs, national development goals, and their indicators; and
- Strong interpersonal skills and the ability to communicate and work with diverse people.

# 8. Languages

Excellent analytical writing and oral presentation in English. Application procedure and documents to be submitted in English.

# 9. Proposal

Interested consultants must submit the following documents/information to demonstrate their qualifications on or before the suggested deadline online through the jobs.undp.org electronic portal.

- i. <u>Technical Proposal</u> This will explain why they are the most suitable for the work, providing a brief methodology on how they will approach and conduct the work, and highlighting their relevant work experience and skills for the assignment. The consultant's curriculum vitae, outlining detailed qualifications, experience and skills should be presented.
- ii. <u>Financial proposal</u> This will include the fees and incidental costs considered inherent to the consulting.

The UNDP will enter into a contract based on a lump sum amount. The financial proposal shall represent a detailed, justified and "all inclusive" amount. In order to assist UNDP in the comparison of financial proposals, the financial proposal shall be explained as the total daily cost for the duration (maximum 48 days) of the consultancy period.

Proposals not meeting the above requirements will be rejected.

# 10. Evaluation Criteria

Method: Highest total score of weighted desk review and financial criteria: The price proposals of all consultants, who have attained a minimum 70% score at the Desk Review, will be compared. UNDP will award a contract to the individual who receives the highest score out of a predetermined weighted, Desk Review and Financial criteria as follows: 70% Desk Review criteria, 30% Financial criteria.

Selection C	riteria	Maximum Points
1	A degree (Masters level or higher preferred) from an approved university in development studies, economics, social sciences, monitoring and evaluation, or any other related field.	15
2	A minimum five years' experience monitoring, evaluating and assessing the impact of development actions (work entailing business- or philanthropy-based, development-related approaches would be an asset) (1-2 years 10 points; 3- 4 years 15 points; 5 years and above 25 points)	25
3	Proven record of facilitating, convening and conducting meetings and sensitisation workshops (and creating associated information and training materials) with public, private and/or international-development sector leaders	25
4	Good contextual knowledge of TTO's private sector and its corporate philanthropy (working relationships within the sector would be an advantage)	15
5	Substantial professional application of the SDGs, the Vision 2030 National Development Strategy, and their indicators	15
6	Fluency in Written and Spoken English	5
	TOTAL	100

Once the candidates have attained a score of at least 70% on the desk review, based on the requirements in the Terms of Reference, only then, their financial proposals to be evaluated.

The *final evaluation process* is based on a 70:30 weighting, with 30 points being allocated to the financial component.

The following formula (cumulative analysis) is used to determine the financial scoring:  $p = y (\mu/z)$ , where:

- p = points for the financial proposal being evaluated
- y = maximum number of points for the financial proposal
- $\mu$  = price of the lowest priced proposal
- z = price of the proposal being evaluated

# Additional requirements for recommended contractor:

The recommended Individual contractor below age 65 is required to submit a statement of good health and a copy of his/her medical insurance prior to commencement of services in any offices or premises of UN, or before engaging in any travel required by UN, or connected with the performance of the Contract. Medical examination not required.

The recommended Individual contractor aged 65 and older is required to submit a statement of good health signed by a recognized physician and a copy of his/her medical insurance prior to commencement of services in any offices or premises of UN, or before engaging in any travel required by UN, or connected with the performance of the Contract. The medical examination shall be paid by the consultant.

# **ANNEX II**

# OFFEROR'S LETTER TO UNDP CONFIRMING INTEREST AND AVAILABILITY FOR THE INDIVIDUAL CONTRACTOR (IC) ASSIGNMENT

	Date
Res Un UN 3A	Randi Davis sident Representative ited Nations Development Programme House Chancery Lane rt of Spain
De	ar Sir/Madam:
l he	ereby declare that:
A)	I have read, understood and hereby accept the Terms of Reference describing the duties and responsibilities of Individual Consultant: Promoting and Celebrating Sustainable Development Goals (SDG) Integration by Trinidad and Tobago Businesses
B)	I have also read, understood and hereby accept UNDP's General Conditions of Contract for the Services of the Individual Contractors;
C)	I hereby propose my services and I confirm my interest in performing the assignment through the submission of my CV which I have duly signed and attached hereto as Annex 1;
D)	I hereby propose to complete the services based on the following payment rate: [please check the box corresponding to the preferred option]:
	A total lump sum of [state amount in words and in numbers, indicating exact currency], payable in the manner described in the Terms of Reference.
E)	For your evaluation, the breakdown of the abovementioned all-inclusive amount is attached hereto as Annex III;

F)	deliver	ognize that the payment of the abovementioned amounts due to me shall be based on my ery of outputs within the timeframe specified in the TOR, which shall be subject to UNDP's w, acceptance and payment certification procedures;				
G)		s offer shall remain valid for a total period of days [ <i>minimum of 90 days</i> ] after the omission deadline;				
H)	or siste	onfirm that I have no first degree relative (mother, father, son, daughter, spouse/partner, brother sister) currently employed with any UN agency or office [disclose the name of the relative, the UN ice employing the relative, and the relationship if, any such relationship exists];				
I)	If I am	selected for this assignm	nent, I shall <i>[plea</i>	se check the appropriat	te box]:	
		Sign an Individual Cont	ract with UNDP;			
			greement (RLA),	ompany/organization/infor and on my behalf. Feare as follows:		_
J)	I hereb	by confirm that [check al	I that applies]:			
		At the time of this engagement with any		nave no active Individ	ual Contract o	or any form of
		I am currently engage	d with UNDP and	d/or other entities for t	he following w	ork:
		Assignment	Contract Type	UNDP Business Unit / Name of Institution/Company	Contract Duration	Contract Amount
		I am also anticipating which I have submitte		e following work from L	JNDP and/or o	ther entities for
			_	Name of		
		Assignment	Contract Type	Institution/ Company	Contract Duration	Contract Amount
		Assignment		-		
		Assignment		-		

K)	I fully understand and recognize that UNDP is not bound to accept this proposal, and I also understand and accept that I shall bear all costs associated with its preparation and submission and that UNDP will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the selection process.				
L)	If you are a former staff member of the United Nations recently separated, please add this section to your letter:  I hereby confirm that I have complied with the minimum break in service required before I can be eligible for an Individual Contract.				
M)	<ol> <li>I also fully understand that, if I am engaged as an Individual Contractor, I have no expectations nor entitlements whatsoever to be re-instated or re-employed as a staff member.</li> </ol>				
N)	Are any of your relatives employ international organization?  YES NO f the answer			olic	
	Name	Relationship	Name of International Organization		
			Organization		
O)	Do you have any objections to our	making enquiries of your	present employer?		
P)	Are you now, or have you ever been YES NO If answer is		t in your government's employ?		
Q)	REFERENCES: List three persons, r qualifications.	not related to you, who	are familiar with your character a	ınd	
	Full Name	Full Address	Business or Occupation		
R)	Have you been arrested, indicted, cor convicted, fined or imprisoned for YES NO If "yes", g	or the violation of any law	•	ng,	
	att all at the state of the state of				

I certify that the statements made by me in answer to the foregoing questions are true, complete and correct to the best of my knowledge and belief. I understand that any misrepresentation or material

omission made on a Personal History form or other document requested by the Organization may result in the termination of the service contract or special services agreement without notice.	
DATE:	SIGNATURE:
above. Do not, however, send any do	locumentary evidence which support the statements you have made ocumentary evidence until you have been asked to do so and, in any its of references or testimonials unless they have been obtained for
Annexes [please check all that ag	oplies]:
CV shall include Contact Employment Records /Exper	Information, Education/Qualification, Processional Certification, ience
Breakdown of Costs Suppor	ting the Final All-Inclusive Price as per Template

Brief Description of Approach to Work (if required by the TOR)

# **ANNEX III**



# Price Submission Form Form 1.

# Resident Representative United Nations Development Programme, Trinidad and Tobago

Having examined the Solicitation Documents, the receipt of which is hereby duly acknowledged, I, the undersigned, offer to provide (the requested services) for the total sum of (indicate amount in number and letters) including applicable taxes, plus the per diem expenses as may be ascertained in accordance with the Price Schedule described below and made part of this Offer.

# A. **Breakdown of Cost by Components:**

Cost Components	Unit Cost per day TT\$	Quantity	Total Rate for the Contract Duration TT\$
I. Personnel Costs			
Professional Fees			
Life Insurance			
Medical Insurance			
Communications			
Land Transportation			
Others (pls. specify)			

# B. Breakdown of Cost by Deliverables\*

No.	Deliverables	Percentage of Total Price (Weight for payment)	Amount TT\$ <sup>1</sup>
1.	Inception Report	10%	
2.	Mid-Assignment Report	40%	
3.	End-of-Assignment Report	50%	
	Total	100%	TT\$

<sup>\*</sup>Basis for payment tranches

C.

I undertake, if my Offer is accepted, to commence and complete delivery of all services specified in the contract within the time frame stipulated.

I agree to abide by this Offer for a period of 90 days from the date fixed for receiving of Offers in the Request for Quotation, and it shall remain binding upon me and may be accepted at any time before the expiration of that period.

I understand that you are not bound to accept any Offer you may receive.

Date:

Name and signature:

# UNITED NATIONS DEVELOPMENT PROGRAMME GENERAL CONDITIONS FOR THE SERVICES OF INDIVIDUAL CONTRACTORS

## 1. LEGAL STATUS

The Individual contractor shall have the legal status of an independent contractor vis-à-vis the United Nations Development Programme (UNDP), and shall not be regarded, for any purposes, as being either a "staff member" of UNDP, under the UN Staff Regulations and Rules, or an "official" of UNDP, for purposes of the Convention on the Privileges and Immunities of the United Nations, adopted by the General Assembly of the United Nations on 13 February 1946. Accordingly, nothing within or relating to the Contract shall establish the relationship of employer and employee, or of principal and agent, between UNDP and the Individual contractor. The officials, representatives, employees or subcontractors of UNDP and of the Individual contractor, if any, shall not be considered in any respect as being the employees or agents of the other, and UNDP and the Individual contractor shall be solely responsible for all claims arising out of or relating to their engagement of such persons or entities.

## 2. STANDARDS OF CONDUCT

In General: The Individual contractor shall neither seek nor accept instructions from any authority external to UNDP in connection with the performance of his or her obligations under the Contract. Should any authority external to UNDP seek to impose any instructions regarding the Individual contractor's performance under the Contract, the Individual contractor shall promptly notify UNDP and shall provide all reasonable assistance required by UNDP. The Individual contractor shall not take any action in respect of his or her performance of the Contract or otherwise related to his or her obligations under the Contract that may adversely affect the interests of UNDP. The Individual contractor shall perform his or her obligations under the Contract with the fullest regard to the interests of UNDP. The Individual contractor warrants that she or he has not and shall not offer any direct or indirect benefit arising from or related to the performance of the Contract or the award thereof to any representative, official, employee or other agent of UNDP. The Individual contractor shall comply with all laws, ordinances, rules and regulations bearing upon the performance of his or her obligations under the Contract. In the performance of the Contract the Individual contractor shall comply with the standards of conduct set in the Secretary General's Bulletin ST/SGB/2002/9 of 18 June 2002, entitled "Regulations Governing the Status, Basic Rights and Duties of Officials other than Secretariat Officials, and Expert on Mission". The Individual contractor must comply with all security directives issued by UNDP.

**Prohibition of Sexual Exploitation and Abuse**: In the performance of the Contract, the Individual contractor shall comply with the standards of conduct set forth in the Secretary-General's bulletin ST/SGB/2003/13 of 9 October 2003, concerning "Special measures for protection from sexual exploitation and sexual abuse". In particular, the Individual contractor shall not engage in any conduct that would constitute sexual exploitation or sexual abuse, as defined in that bulletin.

The Individual contractor acknowledges and agrees that any breach of any of the provisions hereof shall constitute a breach of an essential term of the Contract, and, in addition to any other legal rights or remedies available to any person, shall give rise to grounds for suspension or termination of the Contract. In addition, nothing herein shall limit the right of UNDP to refer any alleged breach of the foregoing

standards of conduct or any other terms of the Contract to the relevant national authorities for appropriate legal action.

# 3. TITLE RIGHTS, COPYRIGHTS, PATENTS AND OTHER PROPRIETARY RIGHTS

Title to any equipment and supplies that may be furnished by UNDP to the Individual contractor for the performance of any obligations under the Contract shall rest with UNDP, and any such equipment and supplies shall be returned to UNDP at the conclusion of the Contract or when no longer needed by the Individual contractor. Such equipment and supplies, when returned to UNDP, shall be in the same condition as when delivered to the Individual contractor, subject to normal wear and tear, and the Individual contractor shall be liable to compensate UNDP for any damage or degradation of the equipment and supplies that is beyond normal wear and tear.

UNDP shall be entitled to all intellectual property and other proprietary rights, including, but not limited to, patents, copyrights and trademarks, with regard to products, processes, inventions, ideas, know-how or documents and other materials which the Individual contractor has developed for UNDP under the Contract and which bear a direct relation to, or are produced or prepared or collected in consequence of, or during the course of, the performance of the Contract, and the Individual contractor acknowledges and agrees that such products, documents and other materials constitute works made for hire for UNDP. However, to the extent that any such intellectual property or other proprietary rights consist of any intellectual property or other proprietary rights of the Individual contractor: (a) that pre-existed the performance by the Individual contractor of his or her obligations under the Contract, or (b) that the Individual contractor may develop or acquire, or may have developed or acquired, independently of the performance of his or her obligations under the Contract, UNDP does not and shall not claim any ownership interest thereto, and the Individual contractor grants to UNDP a perpetual license to use such intellectual property or other proprietary right solely for the purposes of and in accordance with the requirements of the Contract.

At the request of UNDP, the Individual contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring or licensing them to UNDP in compliance with the requirements of the applicable law and of the Contract. Subject to the foregoing provisions, all maps, drawings, photographs, mosaics, plans, reports, estimates, recommendations, documents and all other data compiled by or received by the Individual contractor under the Contract shall be the property of UNDP, shall be made available for use or inspection by UNDP at reasonable times and in reasonable places, shall be treated as confidential and shall be delivered only to UNDP authorized officials on completion of services under the Contract.

#### 4. CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION

Information and data that are considered proprietary by either UNDP or the Individual contractor or that are delivered or disclosed by one of them ("Discloser") to the other ("Recipient") during the course of performance of the Contract, and that are designated as confidential ("Information"), shall be held in confidence and shall be handled as follows. The Recipient of such Information shall use the same care and discretion to avoid disclosure, publication or dissemination of the Discloser's Information as it uses with its own similar information that it does not wish to disclose, publish or disseminate, and the Recipient may otherwise use the Discloser's Information solely for the purpose for which it was disclosed. The Recipient may disclose confidential Information to any other party with the Discloser's prior written consent, as well as to the Recipient's officials, representatives, employees, subcontractors and agents who have a need to know such confidential Information solely for purposes of performing obligations under the Contract.

Subject to and without any waiver of the privileges and immunities of UNDP, the Individual contractor may disclose Information to the extent required by law, provided that the Individual contractor will give UNDP sufficient prior notice of a request for the disclosure of Information in order to allow UNDP to have a reasonable opportunity to take protective measures or such other action as may be appropriate before any such disclosure is made. UNDP may disclose Information to the extent required pursuant to the Charter of the United Nations, resolutions or regulations of the General Assembly or its other governing bodies, or rules promulgated by the Secretary-General. The Recipient shall not be precluded from disclosing Information that is obtained by the Recipient from a third party without restriction, is disclosed by the Discloser to a third party without any obligation of confidentiality, is previously known by the Recipient, or at any time is developed by the Recipient completely independently of any disclosures hereunder. These obligations and restrictions of confidentiality shall be effective during the term of the Contract, including any extension thereof, and, unless otherwise provided in the Contract, shall remain effective following any termination of the Contract. Notwithstanding the foregoing, the Individual contractor acknowledges that UNDP may, in its sole discretion, disclose the purpose, type, scope, duration and value of the Contract, the name of the Individual contractor, and any relevant information related to the award of the Contract.

# 5. TRAVEL, MEDICAL CLEARANCE AND SERVICE INCURRED DEATH, INJURY OR ILLNESS

If the Individual contractor is required by UNDP to travel beyond commuting distance from the Individual contractor's usual place of residence, and upon prior written agreement, such travel shall be at the expense of UNDP. Such travel shall be at economy fare when by air.

UNDP may require the Individual contractor to submit a "statement of good health" from a recognized physician prior to commencement of services in any offices or premises of UNDP, or before engaging in any travel required by UNDP, or connected with the performance of the Contract. The Individual contractor shall provide such a statement as soon as practicable following such request, and prior to engaging in any such travel, and the Individual contractor warrants the accuracy of any such statement, including, but not limited to, confirmation that the Individual contractor has been fully informed regarding the requirements for inoculations for the country or countries to which travel may be authorized.

In the event of death, injury or illness of the Individual contractor which is attributable to the performance of services on behalf of UNDP under the terms of the Contract while the Individual contractor is traveling at UNDP expense or is performing any services under the Contract in any offices or premises of UNDP, the Individual contractor or the Individual contractor's dependents, as appropriate, shall be entitled to compensation equivalent to that provided under the UNDP insurance policy, available upon request.

# 6. PROHIBITION ON ASSIGNMENT; MODIFICATIONS

The Individual contractor may not assign, delegate, transfer, pledge or make any other disposition of the Contract, of any part thereof, or of any of the rights, claims or obligations under the Contract except with the prior written authorization of UNDP, and any attempt to do so shall be null and void. The terms or conditions of any supplemental undertakings, licenses or other forms of Contract concerning any goods or services to be provided under the Contract shall not be valid and enforceable against UNDP nor in any way shall constitute a contract by UNDP thereto, unless any such undertakings, licenses or other forms of contract are the subject of a valid written undertaking by UNDP. No modification or change in the Contract shall be valid and enforceable against UNDP unless provided by means of a valid written amendment to the Contract signed by the Individual contractor and an authorized official or appropriate contracting authority of UNDP.

# 7. SUBCONTRACTORS

In the event that the Individual contractor requires the services of subcontractors to perform any obligations under the Contract, the Individual contractor shall obtain the prior written approval of UNDP for any such subcontractors. UNDP may, in its sole discretion, reject any proposed subcontractor or require such subcontractor's removal without having to give any justification therefore, and such rejection shall not entitle the Individual contractor to claim any delays in the performance, or to assert any excuses for the non-performance, of any of his or her obligations under the Contract. The Individual contractor shall be solely responsible for all services and obligations performed by his or her subcontractors. The terms of any subcontract shall be subject to, and shall be construed in a manner that is fully in accordance with, all of the terms and conditions of the Contract.

# 8. USE OF NAME, EMBLEM OR OFFICIAL SEAL OF THE UNITED NATIONS

The Individual contractor shall not advertise or otherwise make public for purposes of commercial advantage or goodwill that it has a contractual relationship with UNDP, nor shall the Individual contractor, in any manner whatsoever, use the name, emblem or official seal of UNDP, or any abbreviation of the name of UNDP, in connection with his or her business or otherwise without the written permission of UNDP.

## 9. INDEMNIFICATION

The Individual contractor shall indemnify, defend, and hold and save harmless UNDP, and its officials, agents and employees, from and against all suits, proceedings, claims, demands, losses and liability of any kind or nature, including, but not limited to, all litigation costs and expenses, attorney's fees, settlement payments and damages, based on, arising from, or relating to: (a) allegations or claims that the use by UNDP of any patented device, any copyrighted material or any other goods or services provided to UNDP for its use under the terms of the Contract, in whole or in part, separately or in combination, constitutes an infringement of any patent, copyright, trademark or other intellectual property right of any third party; or (b) any acts or omissions of the Individual contractor, or of any subcontractor or anyone directly or indirectly employed by them in the performance of the Contract, which give rise to legal liability to anyone not a party to the Contract, including, without limitation, claims and liability in the nature of a claim for workers' compensation.

#### 10. INSURANCE:

The Individual contractor shall pay UNDP promptly for all loss, destruction or damage to the property of UNDP caused by the Individual contractor, or of any subcontractor, or anyone directly or indirectly employed by them in the performance of the Contract.

The Individual contractor shall be solely responsible for taking out and for maintaining adequate insurance required to meet any of his or her obligations under the Contract, as well as for arranging, at the Individual contractor's sole expense, such life, health and other forms of insurance as the Individual contractor may consider to be appropriate to cover the period during which the Individual contractor provides services under the Contract.

The Individual contractor acknowledges and agrees that none of the insurance arrangements the Individual contractor shall, in any way, be construed to limit the Individual contractor's liability arising under or relating to the Contract.

# 11. ENCUMBRANCES AND LIENS

The Individual contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with UNDP against any monies due to the Individual contractor or to become due for any work donor or against any goods supplied or materials furnished under the Contract, or by reason of any other claim or demand against the Individual contractor.

# 12. FORCE MAJEURE; OTHER CHANGES IN CONDITIONS

In the event of and as soon as possible after the occurrence of any cause constituting *force majeure*, the Individual contractor shall give notice and full particulars in writing to UNDP of such occurrence or cause if the Individual contractor is thereby rendered unable, wholly or in part, to perform his or her obligations and meet his or her responsibilities under the Contract. The Individual contractor shall also notify UNDP of any other changes in conditions or the occurrence of any event, which interferes or threatens to interfere with the performance of the Contract. Not more than fifteen (15) days following the provision of such notice of *force majeure* or other changes in conditions or occurrence, the Individual contractor shall also submit a statement to UNDP of estimated expenditures that will likely be incurred for the duration of the change in conditions or the event. On receipt of the notice or notices required hereunder, UNDP shall take such action as it considers, in its sole discretion, to be appropriate or necessary in the circumstances, including the granting to the Individual contractor of a reasonable extension of time in which to perform any obligations under the Contract or suspension thereof.

Force majeure as used herein means any unforeseeable and irresistible act of nature, any act of war (whether declared or not), invasion, revolution, insurrection, or any other acts of a similar nature or force, provided that such acts arise from causes beyond the control and without the fault or negligence of the Individual contractor. The Individual contractor acknowledges and agrees that, with respect to any obligations under the Contract that the Individual contractor must perform in or for any areas in which UNDP is engaged in, preparing to engage in, or disengaging from any peacekeeping, humanitarian or similar operations, any delay or failure to perform such obligations arising from or relating to harsh conditions within such areas or to any incidents of civil unrest occurring in such areas shall not, in and of itself, constitute force majeure under the Contract

#### 13. TERMINATION

Either party may terminate the Contract, in whole or in part, upon giving written notice to the other party. The period of notice shall be five (5) days in the case of contracts for a total period of less than two (2) months and fourteen (14) days in the case of contracts for a longer period. The initiation of conciliation or arbitral proceedings, as provided below, shall not be deemed to be a "cause" for or otherwise to be in itself a termination of the Contract. UNDP may, without prejudice to any other right or remedy available to it, terminate the Contract forthwith in the event that: (a) the Individual contractor is adjudged bankrupt, or is liquidated, or becomes insolvent, applies for moratorium or stay on any payment or repayment obligations, or applies to be declared insolvent; (b) the Individual contractor is granted a moratorium or a stay or is declared insolvent; (c) the Individual contractor makes an assignment for the benefit of one or more of his or her creditors; (d) a Receiver is appointed on account of the insolvency of the Individual contractor; (e) the Individual contractor offers a settlement in lieu of bankruptcy or receivership; or (f) UNDP reasonably determines that the Individual contractor has become subject to a materially adverse change in financial condition that threatens to endanger or otherwise substantially affect the ability of the Individual contractor to perform any of the obligations under the Contract.

In the event of any termination of the Contract, upon receipt of notice of termination by UNDP, the Individual contractor shall, except as may be directed by UNDP in the notice of termination or otherwise in writing: (a) take immediate steps to bring the performance of any obligations under the Contract to a close in a prompt and orderly manner, and in doing so, reduce expenses to a minimum; (b) refrain from undertaking any further or additional commitments under the Contract as of and following the date of receipt of such notice; (c) deliver all completed or partially completed plans, drawings, information and other property that, if the Contract had been completed, would be required to be furnished to UNDP thereunder; (d) complete performance of the services not terminated; and (e) take any other action that may be necessary, or that UNDP may direct in writing, for the protection and preservation of any property, whether tangible or intangible, related to the Contract that is in the possession of the Individual contractor and in which UNDP has or may be reasonably expected to acquire an interest.

In the event of any termination of the Contract, UNDP shall only be liable to pay the Individual contractor compensation on a pro rata basis for no more than the actual amount of work performed to the satisfaction of UNDP in accordance with the requirements of the Contract. Additional costs incurred by UNDP as a result of termination of the Contract by the Individual contractor may be withheld from any amount otherwise due to the Individual contractor by UNDP.

#### 14. NON-EXCLUSIVITY

UNDP shall have no obligation respecting, and no limitations on, its right to obtain goods of the same kind, quality and quantity, or to obtain any services of the kind described in the Contract, from any other source at any time.

# 15. TAXATION:

Article II, section 7, of the Convention on the Privileges and Immunities of the United Nations provides, *inter alia*, that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs restrictions, duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the exemptions of the United Nations from such taxes, restrictions, duties or charges, the Individual contractor shall immediately consult with UNDP to determine a mutually acceptable procedure. UNDP shall have no liability for taxes, duties or other similar

charges payable by the Individual contractor in respect of any amounts paid to the Individual contractor under this Contract, and the Individual contractor acknowledges that UNDP will not issue any statements of earnings to the Individual contractor in respect of any such payments.

# **16. AUDITS AND INVESTIGATIONS**

Each invoice paid by UNDP shall be subject to a post-payment audit by auditors, whether internal or external, of UNDP or by other authorized and qualified agents of UNDP. The Individual contractor acknowledges and agrees that UNDP may conduct investigations relating to any aspect of the Contract or the award thereof, and the obligations performed thereunder.

The Individual contractor shall provide full and timely cooperation with any post-payment audits or investigations hereunder. Such cooperation shall include, but shall not be limited to, the Individual contractor's obligation to make available any relevant documentation and information for the purposes of a post-payment audit or an investigation at reasonable times and on reasonable conditions. The Individual contractor shall require his or her employees, subcontractors and agents, if any, including, but not limited to, the Individual contractor's attorneys, accountants or other advisers, to reasonably cooperate with any post-payment audits or investigations carried out by UNDP hereunder.

If the findings or circumstances of a post-payment audit or investigation so warrant, UNDP may, in its sole discretion, take any measures that may be appropriate or necessary, including, but not limited to, suspension of the Contract, with no liability whatsoever to UNDP.

The Individual contractor shall refund to UNDP any amounts shown by a post-payment audit or investigation to have been paid by UNDP other than in accordance with the terms and conditions of the Contract. Such amount may be deducted by UNDP from any payment due to the Individual contractor under the Contract.

The right of UNDP to conduct a post-payment audit or an investigation and the Individual contractor's obligation to comply with such shall not lapse upon expiration or prior termination of the Contract.

## 17. **SETTLEMENT OF DISPUTES**:

Amicable Settlement: UNDP and the Individual contractor shall use their best efforts to amicably settle any dispute, controversy or claim arising out of the Contract or the breach, termination or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the Conciliation Rules then obtaining of the United Nations Commission on International Trade Law ("UNCITRAL"), or according to such other procedure as may be agreed between the parties in writing.

Arbitration: Any dispute, controversy or claim between the parties arising out of the Contract, or the breach, termination, or invalidity thereof, unless settled amicably, as provided above, shall be referred by either of the parties to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The decisions of the arbitral tribunal shall be based on general principles of international commercial law. For all evidentiary questions, the arbitral tribunal shall be guided by the Supplementary Rules Governing the Presentation and Reception of Evidence in International Commercial Arbitration of the International Bar Association, 28 May 1983 edition. The arbitral tribunal shall be empowered to order the return or destruction of goods or any property, whether tangible or intangible, or of any confidential information provided under the Contract, order the termination of the Contract, or order that any other protective measures be taken with respect to the goods, services or any other property, whether tangible or intangible, or of any confidential information provided under the Contract, as appropriate, all in accordance with the authority of the arbitral tribunal pursuant to Article 26 ("Interim Measures of

Protection") and Article 32 ("Form and Effect of the Award") of the UNCITRAL Arbitration Rules. The arbitral tribunal shall have no authority to award punitive damages. In addition, unless otherwise expressly provided in the Contract, the arbitral tribunal shall have no authority to award interest in excess of the London Inter-Bank Offered Rate ("LIBOR") then prevailing, and any such interest shall be simple interest only. The parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such dispute, controversy or claim.

## 18. LIMITATION ON ACTIONS

Except with respect to any indemnification obligations in Article 9, above, or as are otherwise set forth in the Contract, any arbitral proceedings in accordance with Article 17, above, arising out of the Contract must be commenced within three (3) years after the cause of action has accrued.

The Parties further acknowledge and agree that, for these purposes, a cause of action shall accrue when the breach actually occurs, or, in the case of latent defects, when the injured Party knew or should have known all of the essential elements of the cause of action, or in the case of a breach of warranty, when tender of delivery is made, except that, if a warranty extends to future performance of the goods or any process or system and the discovery of the breach consequently must await the time when such goods or other process or system is ready to perform in accordance with the requirements of the Contract, the cause of action accrues when such time of future performance actually begins,

# 19. PRIVILEGES AND IMMUNITIES

Nothing in or relating to the Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.

# **UNITED NATIONS DEVELOPMENT PROGRAMME**



# Contract for the services of an Individual Contractor

No\_\_\_\_

•			NDP") and	C = == += == == == == == == == == == == =	· · · · · · · · ·	_ (hereinafter ref	
to	as	"the	Individual	Contractor")	whose	address	İS
	EAS UNDP after set fo		ngage the services	of the Individual Co	ntractor on the	e terms and cond	itions
	EAS the In and condit		tractor is ready a	nd willing to accept t	this Contract w	vith UNDP on the	said
NOW,	THEREFOR	RE, the Partie	s hereby agree as	follows:			
1.	Nature o	f services					
The In	dividual Co		•	vices as described in ched hereto as <i>Anne</i>			

# 2. Duration

This Individual Contract shall commence on [insert date] and shall expire upon satisfactory completion of the services described in the Terms of Reference mentioned above, but not later than [insert date], unless sooner terminated in accordance with the terms of this Contract. This Contract is subject to the General Conditions of Contract for Individual contractors which are available on UNDP website at www.undp.org/procurement and are attached hereto as *Annex II*.

# 3. Consideration

As full consideration for the services performed by the Individual Contractor under the terms of this Contract, including, unless otherwise specified, his/her travel to and from the Duty Station(s), any other travel required in the fulfillment of the Terms of Reference in Annex I, and living expenses in the Duty Station(s), UNDP shall pay the Individual Contractor a total of [currency] -------- in accordance with the table set forth below<sup>2</sup>. Payments shall be made following certification by UNDP that the services related to each Deliverable, as described below, have been satisfactorily performed and the Deliverables have been achieved by or before the due dates specified below, if any.

<sup>&</sup>lt;sup>2</sup> For payments which are not output-based lump sum, indicate the maximum number of working days/hours/units, any out of pocket expense (travel, per diem...) and the corresponding fee/cost in the Deliverable (s) table.

DELIVERABLE	DUE DATE	
		[CURRENCY]

If unforeseen travel outside the Duty Station not required by the Terms of Reference is requested by UNDP, and upon prior written agreement, such travel shall be at UNDP's expense and the Individual Contractor shall receive a *per diem* not to exceed United Nations daily subsistence allowance rate in such other location(s).

Where two currencies are involved, the rate of exchange shall be the official rate applied by the United Nations on the day the UNDP instructs its bank to effect the payment(s).

# 1. Rights and Obligations of the Individual contractor

The rights and obligations of the Individual Contractor are strictly limited to the terms and conditions of this Contract, including its Annexes. Accordingly, the Individual Contractor shall not be entitled to any benefit, payment, subsidy, compensation or entitlement, except as expressly provided in this Contract. The Individual Contractor shall be solely liable for claims by third parties arising from the Individual Contractor's own acts or omissions in the course of performing this Contract, and under no circumstances shall UNDP be held liable for such claims by third parties.

Contract in the event of death	as beneficiary of any amounts owed under this of the Individual Contractor while performing services hereunder. This rvice-incurred liability insurance attributable to the performance of the
Mailing address, email address a	nd phone number of beneficiary:
Mailing address, email address a	nd phone number of emergency contact (if different from beneficiary):

IN WITNESS WHEREOF, the Parties hereto have executed this Contract.

By signing below, I, the Individual Contractor, acknowledge and agree that I have read and accept the terms of this Contract, including the General Conditions of Contracts for Individual contractors available on UNDP website at www.undp.org/procurement and attached hereto in Annex II which form an integral part of this Contract, and that I have read and understood, and agree to abide by the standards of conduct set forth in the Secretary-General's bulletins ST/SGB/2003/13 of 9 October 2003, entitled

"Special Measures for Protection from Sexual Exploitation and Sexual Abuse" and ST/SGB/2002/9 of 18 June 2002, entitled "Regulations Governing the Status, Basic Rights and Duties of Officials other than Secretariat Officials, and Experts on Mission".

☐ The Individual Contractor has submitted a Statement of Good I	Health and confirmation of immunization.
AUTHORIZING OFFICER: United Nations Development Programme	INDIVIDUAL CONTRACTOR:
Name;	Name;
Signature;	Signature;
Date;	Date;