

10 January 2022



INDIVIDUAL CONSULTANT PROCUREMENT NOTICE

for individual consultants and individual consultants assigned by consulting firms/institutions

Country:	Viet Nam
Description of the assignment:	01 Individual National Consultant on Capacity Training on the Application of Code of Ethics and Conduct for Judges in Implementing 2015 Criminal Code and Criminal Procedure Code
Period of assignment/services (if applicable):	From December 2021 to March 2022 Total estimated working days: 18 days
Duty Station:	Home-based, with travel to Ha Noi and Ho Chi Minh city
Tender reference:	P220101

1. Submissions should be sent by **email** to: nguyen.ngoc.phuong@undp.org no later than:

23.59 hrs., 23 January 2022 (Hanoi time)

With subject line:

P220101– National Consultant on Criminal PC&CoC materials training

Submission received after that date or submission not in conformity with the requirements specified this document will not be considered.

Note:

- Any individual employed by a company or institution who would like to submit an offer in response to this Procurement Notice must do so in their individual capacity, even if they expect their employers to sign a contract with UNDP.
- Maximum size per email is **30 MB**.
- Any request for clarification must be sent in writing, or by standard electronic communication to the address or e-mail indicated above. Procurement Unit – UNDP Viet Nam will respond in writing or by standard electronic mail and will send written copies of the response, including an explanation of the query without identifying the source of inquiry, to all consultants.
- After submitting proposal, bidder should send notification by email (without attachment) to: procurement.vn@undp.org informing that the bidder has submitted proposal. UNDP will not be responsible for the missing of proposal if the bidder does not send notification email to above address.
- Female consultants are encouraged to bid for this required service. Preference will be given to equally technically qualified female consultants.

2. Please find attached the relevant documents:

- [Term of References](#)..... (Annex I)
- [Individual Contract & General Conditions](#).....(Annex II)
- [Reimbursable Loan Agreement](#) (for a consultant assigned by a firm)..... (Annex III)
- [Letter to UNDP Confirming Interest and Availability](#)(Annex IV)
- [Financial Proposal](#) (Annex V)

3. Interested individual consultants must submit the following documents/information (in English, PDF Format) to demonstrate their qualifications:

a. Technical component:

- Detailed CV addressing the experience and work you have done.
- Financial offer
- 1 similar training material in Vietnamese and English

b. Financial proposal (with your signature):

- The financial proposal shall specify a total lump sum amount in **US dollar for International Consultant** including consultancy fees and all associated costs i.e. airfares, travel cost, meal, accommodation, tax, insurance etc. – see format of financial offer in Annex V.
- Please note that the cost of preparing a proposal and of negotiating a contract, including any related travel, is not reimbursable as a direct cost of the assignment.
- If quoted in other currency, prices shall be converted to the above currency at UN Exchange Rate at the submission deadline.

4. Evaluation

The technical component will be evaluated using the following criteria:

No.	Requirement	Points
1	Advanced university degree in law;	100
2	At least 5 years of working experience in designing curriculum and conducting judicial trainings; including and especially experience in modern adult learner-centred teaching methodologies;	400
3	Proven track record in training materials development; Experience working as facilitator of interactive workshops	200 200
4	Excellent drafting skills in Vietnamese and English, by provision of 1 similar training material in Vietnamese and English	100
	Total	1,000

A two-stage procedure is utilized in evaluating the submissions, with evaluation of the technical components being completed prior to any price proposals being opened and compared.

The price proposal will be opened only for submissions that passed the minimum technical score of 70% of the obtainable score of 1000 points in the evaluation of the technical component. The technical component is evaluated on the basis of its responsiveness to the Term of Reference (TOR). Maximum 1000 points will be given to the lowest offer and the other financial proposals will receive the points inversely proportional to their financial offers. i.e. $S_f = 1000 \times F_m / F$, in which S_f is the financial score, F_m is the lowest price and F the price of the submission under consideration.

The weight of technical points is 70% and financial points is 30%.

Submission obtaining the highest weighted points (technical points + financial points) will be selected subject to positive reference checks on the consultant's past performance.

Interview with the candidates may be held if deemed necessary.

5. Contract

"Lump-sum" Individual Contract will be applied for freelance consultant (Annex II)

"Lump-sum" RLA will be applied for consultant assigned by firm/institution/organization (Annex III)

Documents required before contract signing:

- International consultant whose work involves travel is required to complete the courses on BSAFE which the new online security awareness training is and submit certificate to UNDP before contract issuance.
- Note: In order to access the courses, please go to the following link: <https://training.dss.un.org>
The training course takes around 3-4 hours to complete.
- Full medical examination and Statement of Fitness to work for consultants from and above 65 years of age and involve travel. (This is not a requirement for RLA contracts).
- Release letter in case the selected consultant is government official.

6. Payment

No.	Deliverables as indicated in Section IV	Due Date	Payment Amount
1	designed outlines of the trainer's manuals and learner's handbooks using the revised and updated materials provided by NC1,	12/31/2021	30%
2	Two 2-day training workshops delivered	2/30/2022	30%
3	Revised materials based on feedback received from learners and prepare post workshop reports	3/31/2022	40%

7. Your proposals are received on the basis that you fully understand and accept these terms and conditions.



TERMS OF REFERENCE

National Individual Consultant

Ref#: Enter Ref #

Name of service:	Capacity Training on the Application of Code of Ethics and Conduct for Judges in Implementing 2015 <i>Criminal Code</i> and Criminal Procedure Code		
Project:	The EU Justice and Legal Empowerment Program in Vietnam (EU JULE)		
Reporting to:	The Governance and Participation Unit of UNDP		
Duty Station:	Home-based, with travel to Ha Noi and Ho Chi Minh city	Travel Required:	Yes
Duration of Assignment:	From November 2021 to March 2022		
Start Date:	11/30/2021	End Date:	3/31/2022

BACKGROUND & PROJECT DESCRIPTION

In Viet Nam, judges are appointed by the State President to exercise judicial power on behalf of the State. A judge's position is of great responsibility and noble honour. In the process of building the socialist rule-of-law State, the Party, State and People request judges to perform their duties on an impartial, objective and law-biding basis. Judges are expected to become the symbol of moral integrity and adhere to the core principles of the constitution and in line with the teaching of President Ho Chi Minh, according to which, judges must "serve the public, observe the law, be fair and impartial" and "be close to the people, understand the people, help the people, learn from the people".

Viet Nam promulgated Criminal Code, Criminal Procedure Code in 2015 (the 2015 Codes).

2015 Criminal Code and Criminal Procedure Code (the 2015 Criminal Codes)

The 2015 Criminal Procedure Code amended 25 principles to reflect more profoundly the 2013 Constitution. Most importantly, the Code added new principles to better ensure the human rights enshrined by the Constitution including, inter alia: presumption of innocence (Article 13); no one is convicted twice for a crime (Article 14); and guarantee of adversarial principles in trials (Article 26). The Codes further stipulates provisions to ensure adversarial procedures in handling criminal cases. It specifies the order and procedures in each stage of the proceeding in order to tackle practical shortcomings. The Code also strengthens the

guarantee of the right to defense of the accused. All new provisions in the Codes aim at better ensuring human rights.

2018 Code of Ethics and Conduct for Judges (CoC)

In July 2018, the Supreme People's Courts promulgated the Code of Ethics and Conduct for Judges (CoC). Training on important ethics and conduct that judges must strictly adhere has been a pressing need. One of the key tasks is to show judges the relationship between provisions and requirements of 2015 Codes and the CoC. More importantly, judges need to be trained on how to apply the new provisions of the Codes while complying with the ethical and behavioural standards of their profession.

In 2019 and 2020, under the EU Justice and Legal Empowerment Programme (EU JULE) funded by the European Union, UNDP supported the Supreme People's Court (SPC) to produce a set of training materials on the Application of Code of Ethics and Conduct for Judges in Implementing 2015 *Criminal* Procedure Code and Criminal Code. The set of training materials contain useful and practical guidance that judges could apply in hearing criminal cases. Using the materials, two training workshops were organised for more than 140 judges and court officials in various provinces in the North and South of Viet Nam. Although the workshops were successful with high turnout of participants, they were still largely delivered in the top-down traditional way where interaction between trainers and participants was minimal.

Within the framework of EU JULE programme for 2021, UNDP continues supporting SPC to revise and improve the existing set of materials (about 30 pages), with the orientation to adopt interactive and learners-centred methodologies in order to enhance their impacts. Subsequently, 2 two-day training workshops will be organized, tentatively in Q1/2022 with the participation of judges and court officers of the High Courts and Provincial Courts, who did not participate in the previous trainings in 2019 and 2020.

UNDP is hiring 2 national consultants (NCs) to carry out two key tasks: 1) revise and improve the existing set of materials; and 2) conduct two two-day training workshops. Of these two NCs, one NC is criminal law expert who takes primary responsibility in revising the content of the training materials and conduct the training workshops as key trainer (NC1, or NC1-Criminal). The other NC is in charge of designing activities to deliver the training content and conducting the training workshop as facilitator (NC2).

This TOR is for NC2 – Training methodology expert and facilitator

OBJECTIVES

- (1) To revise the two existing sets of training materials to adopt interactive and learners-centred methodologies – (with instructions on how to adapt for online format training). In particular:
 - (i) Design lesson plans with interactive activities to deliver the content of the training (based on the revised/updated materials provided by NC1);
 - (ii) Design outlines for the learner's handbook and trainer's manual;
 - (iii) Include an agenda for a two-day training workshop

- (iv) Collaborate with NC1 to develop the learner’s handbook and trainer’s manual
- (2) Facilitate 2 two-day training workshops on for judges and court officials in Ha Noi and Ho Chi Minh city. Using the revised materials (as set out under (1)), the training workshops aim at raising awareness of judges, who did not participate in previous workshops in 2019 and 2020, on the relationship between provisions of the 2015 Codes and the CoC. Accordingly, the training workshops concentrate on:
- (i) Knowledge:
- Awareness on codes of conduct in other countries and international standards (Bangalore Principles);
 - Understand the provisions of the CoC and identifying areas where judges must pay close attention in complying while carrying out their function as a judge in a criminal case;
 - Understand other provisions of the 2015 Codes and other laws and regulations governing the conduct of judges.
- (ii) Skills:
- Be able to clarify the applications of the CoC and the Codes in the settlement of criminal cases;
 - Criminal judgement writing skills
- (iii) Value:
- Appreciate the links between the CoC and the 2015 Codes in their practice as judges of criminal cases;

SCOPE OF WORK

The successful consultant will be expected to be responsible for the following:

1. Deliverables & Implementation Timeline

Language of deliverables as specified below:

No.	Deliverable	Estimated days to complete	Target due date
1	Using the revised and updated materials provided by NC1, design outlines of the trainer’s manual and learner’s handbook (in Vietnamese)	2	1/1/2021
2	Draft agenda for 2 day-training workshops (in consultation with NC1) (in Vietnamese)	2	1/5/2022
3	Design lesson plans and agenda for the two trainer’s manual and two learner’s handbook, incorporating feedback and comments from NC1 to improve the drafts (in Vietnamese)	4	1/30/2022
4	Ensure the consistency between learner’s handbook	2	2/1/2022

	and trainer's manual (in Vietnamese)		
5	Prepare the summaries in Vietnamese and English (see below - Expected results)	2	2/2022
6	Prepare for the 2 training workshops (in Vietnamese)	2	3/1/2022
7	Facilitate 2 two-day training workshops (in Vietnamese)	4	2/2022
8	With NC1, revise the materials based on feedback received from learners and prepare post workshop report (see below– Expected results) (in Vietnamese)	2	3/0/2022
	Total	18	

Expected results

Pre- training:

One set of training materials on the Application of Code of Ethics and Conduct for Judges in Implementing 2015 Criminal Procedure Code and Criminal Code which will be used for two-day training workshop (with instructions on how to deliver online format training). Each set includes

i) Learners' handbook in Vietnamese, which includes: Introduction of Bangalore principles, good practices in other countries; provisions of the CoC and identifying areas where judges must pay close attention in complying while carrying out their function as a judge in a criminal case; provisions of the Codes and other laws and legal regulations governing the conduct of judges; the links between the the CoC and the Codes in practice; and criminal judgement writing skills.

Each topic incorporates a detailed lesson plan.

ii) A summary of learners' handbook in English and Vietnamese that includes introduction, objectives, targeted users, learning methodologies, learning agenda, detailed outline of the handbook, summary of key contents of each topic.

iii) The trainers' manual in Vietnamese are aligned with the structure of the learners' handbook, including but not limited to:

Training agenda

Guiding notes for trainers to conduct learner-centered participatory training methodologies. Using online platform with appropriate training methodologies will be also included.

Lesson plans designed for each topic, including objectives, methodologies for each activity, training aid and tools.

Presentation if any or other training visual aid tools such as videos, posters, and other illustration tools, etc.)

Pre and post training evaluation forms.

iv) A summary of the trainers' manual in English and Vietnamese that includes

Introduction of the manual including objectives, target audiences, methodologies and approaches.

Detailed outline of the manual

Summary of the modules/lessons, each topic or lesson should cover its objectives, expected results after the lesson, training methodologies for each of the activities;

Pre and post training evaluation forms.

Post- training:

02 training reports corresponding with 02 training workshops. Each report must include: final training materials; trainers' reflective notes on the use of their materials and their suggestions to improve them; a report on perceptions and feedback of learners after receiving the training (with clear indications of the level of improvement in their knowledge (what), skills (how) and value (why), as well as their feedback on the training skills of the trainer.

DURATION OF ASSIGNMENT, DUTY STATION & EXPECTED PLACES OF TRAVEL

Estimated number of working days: 18 working days from 11/30/2021 to 3/31/2022.

Duty station: Home-based with travel to Ha Noi and Ho Chi Minh city

Expected places of travel: Hanoi and Ho Chi Minh city. 2 working days in each location (4 working days in total)

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PROVISION OF MONITORING & PROGRESS CONTROL

NC2 is expected to work with NC1 on this assignment, under the monitoring of the Programme Officer in charge in the UNDP Governance and Participation Unit in order to deliver the final products as described in III – Scope of Work.

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ADMINISTRATIVE SUPPORT & REFERENCE DOCUMENTS

Administrative Support

The Supreme People's Court (SPC) will organise the 2-day training workshops in collaboration with UNDP. Cost of these training workshops to be borne by SPC.

Click or tap here to enter text.

Reference Documents

Existing training materials will be provided to the selected consultant upon contract signing

Click or tap here to enter text.

DEGREE OF EXPERTISE & QUALIFICATIONS

Qualifications	Advanced university degree in law;
Relevant Professional Experience	5 years of working experience in designing curriculum and conducting judicial trainings; including and especially experience in modern adult learner-centred teaching methodologies;
Other Competencies	Proven track record in training materials development; Experience working as facilitator of interactive workshops
Language Requirements	Excellent drafting skills in Vietnamese and English;

PAYMENT TERMS

No.	Deliverables as indicated in Section IV	Due Date	Payment Amount
1	designed outlines of the trainer's manuals and learner's handbooks using the revised and updated materials provided by NC1,	12/31/2021	30%
2	Two 2-day training workshops delivered	2/30/2022	30%
3	Revised materials based on feedback received from learners and prepare post workshop reports	3/31/2022	40%

CONSULTANT PRESENCE REQUIRED ON DUTY STATION

NONE

PARTIAL

INTERMITTENT

FULL-TIME

Click or tap here to enter text.

EVALUATION CRITERIA

No.	Requirement	Points
1	Advanced university degree in law;	100
2	At least 5 years of working experience in designing curriculum and conducting judicial trainings; including and especially experience in modern adult learner-centred teaching methodologies;	400
3	Proven track record in training materials development; Experience working as facilitator of interactive workshops	200 200
4	Excellent drafting skills in Vietnamese and English, by provision of 1 similar training material in Vietnamese and English	100

	Total	1,000
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Criteria for Evaluation of Proposal

Offers will be evaluated according to the Combined Scoring method – where the educational background and experience on similar assignments will be weighted at 70% and the financial proposal will weigh at 30% of the total scoring.

The applicant receiving the Highest Combined Score and meeting other requirements in the Procurement Notice will be awarded the contract.

Documents for Submission

Applicants will be expected to include the following along with their application:

1. **Core Documents**

Letter of Confirmation of Interest and Availability using the template provided by UNDP;

Current and complete CV in English;

01 similar **sample training material** (if required);

Financial offer using the standard UNDP template.

2. **Additional Documents**

N/A

ANNEX IV

OFFEROR'S LETTER TO UNDP
CONFIRMING INTEREST AND AVAILABILITY
FOR THE INDIVIDUAL CONTRACTOR (IC) ASSIGNMENT

Date _____

(Name of Resident Representative/Bureau Director)

United Nations Development Programme

(Specify complete office address)

Dear Sir/Madam:

I hereby declare that:

- A) I have read, understood and hereby accept the Terms of Reference describing the duties and responsibilities of [*indicate title of assignment*] under the [*state project title*];
- B) I have also read, understood and hereby accept UNDP's General Conditions of Contract for the Services of the Individual Contractors;
- C) I hereby propose my services and I confirm my interest in performing the assignment through the submission of my CV which I have duly signed and attached hereto as Annex 1;
- D) In compliance with the requirements of the Terms of Reference, I hereby confirm that I am available for the entire duration of the assignment, and I shall perform the services in the manner described in my proposed approach/methodology which I have attached hereto as Annex 3 [*delete this item if the TOR does not require submission of this document*];
- E) I hereby propose to complete the services based on the following payment rate: [*please check the box corresponding to the preferred option*]:
- An all-inclusive daily fee of [*state amount in words and in numbers indicating currency*]
- A total lump sum of [*state amount in words and in numbers, indicating exact currency*], payable in the manner described in the Terms of Reference.
- F) For your evaluation, the breakdown of the abovementioned all-inclusive amount is attached hereto as Annex V;
- G) I recognize that the payment of the abovementioned amounts due to me shall be based on my delivery of outputs within the timeframe specified in the TOR, which shall be subject to UNDP's review, acceptance and payment certification procedures;
- H) This offer shall remain valid for a total period of _____ days [*minimum of 90 days*] after the submission deadline;

I) I confirm that I have no first degree relative (mother, father, son, daughter, spouse/partner, brother or sister) currently employed with any UN agency or office *[disclose the name of the relative, the UN office employing the relative, and the relationship if, any such relationship exists];*

J) If I am selected for this assignment, I shall *[please check the appropriate box]:*

- Sign an Individual Contract with UNDP;
- Request my employer *[state name of company/organization/institution]* to sign with UNDP a Reimbursable Loan Agreement (RLA), for and on my behalf. The contact person and details of my employer for this purpose are as follows:

K) I hereby confirm that *[check all that applies]:*

- At the time of this submission, I have no active Individual Contract or any form of engagement with any Business Unit of UNDP;
- I am currently engaged with UNDP and/or other entities for the following work:

Assignment	Contract Type	UNDP Business Unit / Name of Institution/Company	Contract Duration	Contract Amount

- I am also anticipating conclusion of the following work from UNDP and/or other entities for which I have submitted a proposal:

Assignment	Contract Type	Name of Institution/ Company	Contract Duration	Contract Amount

L) I fully understand and recognize that UNDP is not bound to accept this proposal, and I also understand and accept that I shall bear all costs associated with its preparation and submission and that UNDP will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the selection process.

M) **If you are a former staff member of the United Nations recently separated, please add this section to your letter:** I hereby confirm that I have complied with the minimum break in service required before I can be eligible for an Individual Contract.

N) I also fully understand that, if I am engaged as an Individual Contractor, I have no expectations nor entitlements whatsoever to be re-instated or re-employed as a staff member.

O) Are any of your relatives employed by UNDP, any other UN organization or any other public international organization?

YES NO If the answer is "yes", give the following information:

Name	Relationship	Name of International Organization

P) Do you have any objections to our making enquiries of your present employer?
 YES NO

Q) Are you now, or have you ever been a permanent civil servant in your government's employ?
 YES NO If answer is "yes", WHEN?

R) REFERENCES: List three persons, not related to you, who are familiar with your character and qualifications.

Full Name	Full Address	Business or Occupation

S) Have you been arrested, indicted, or summoned into court as a defendant in a criminal proceeding, or convicted, fined or imprisoned for the violation of any law (excluding minor traffic violations)?
 YES NO If "yes", give full particulars of each case in an attached statement.

I certify that the statements made by me in answer to the foregoing questions are true, complete and correct to the best of my knowledge and belief. I understand that any misrepresentation or material omission made on a Personal History form or other document requested by the Organization may result in the termination of the service contract or special services agreement without notice.

DATE: _____

SIGNATURE: _____

NB. You will be requested to supply documentary evidence which support the statements you have made above. Do not, however, send any documentary evidence until you have been asked to do so and, in any event, do not submit the original texts of references or testimonials unless they have been obtained for the sole use of UNDP.

Annexes *[please check all that applies]:*

- CV shall include Education/Qualification, Professional Certification, Employment Records /Experience
- Breakdown of Costs Supporting the Final All-Inclusive Price as per Template

GUIDELINES FOR CV PREPARATION

WE REQUEST THAT YOU USE THE FOLLOWING CHECKLIST WHEN PREPARING

Your CV:

Limit the CV to 3 or 4 pages

NAME (First, Middle Initial, Family Name)

Address:

City, Region/State, Province, Postal Code

Country:

Telephone, Facsimile and other numbers

Internet Address:

Sex, Date of Birth, Nationality, Other Citizenship, Marital Status

Company associated with (if applicable, include company name, contact person and phone number)

SUMMARY OF EXPERTISE

Field(s) of expertise (be as specific as possible)

Particular development competencies-thematic (e.g. Women in Development, NGOs, Privatization, Sustainable Development) or technical (e.g. project design/evaluation)

Credentials/education/training, relevant to the expertise

LANGUAGES

Mother Tongue:

Indicate written and verbal proficiency of your English:

SUMMARY OF RELEVANT WORK EXPERIENCE

Provide an overview of work history in reverse chronological order. Provide dates, your function/title, the area of work and the major accomplishments include honorarium/salary. References (name and contact email address) must be provided for each assignment undertaken by the consultant that UNDP may contact.

UN SYSTEM EXPERIENCE

If applicable, provide details of work done for the UN System including WB. Provide names and email address of UN staff who were your main contacts. Include honorarium/salary.

UNIVERSITY DEGREES

List the degree(s) and major area of study. Indicate the date (in reverse chronological order) and the name of the institution where the degree was obtained.

PUBLICATIONS

Provide total number of Publications and list the titles of 5 major publications (if any)

MISCELLANEOUS

Indicate the minimum and maximum time you would be available for consultancies and any other factors, including impediments or restrictions that should be taken into account in connection with your work with this assignment.

