22 March 2022

INDIVIDUAL CONSULTANT PROCUREMENT NOTICE

for individual consultants and individual consultants assigned by consulting firms/institutions

<table>
<thead>
<tr>
<th>Country:</th>
<th>Viet Nam</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description of the assignment:</td>
<td>02 National Consultants for accelerating Viet Nam’s Transition Toward Inclusive and Integrated Social Protection</td>
</tr>
<tr>
<td>Period of assignment/services (if applicable):</td>
<td>April 2022 – June 2022</td>
</tr>
<tr>
<td>Team Leader:</td>
<td>40 working days</td>
</tr>
<tr>
<td>Team Member:</td>
<td>35 working days</td>
</tr>
<tr>
<td>Duty Station:</td>
<td>Hanoi</td>
</tr>
<tr>
<td>Tender reference:</td>
<td>A-220307</td>
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1. Submissions should be sent by email to: quach.thuy.ha@undp.org no later than:

   17.00 hrs., 3 April 2022 (Hanoi time)

   With subject line:

   **A-220307 Team Leader for VN Transition Toward Inclusive and Integrated Social Protection**

   Or

   **A-220307 Team Member for VN Transition Toward Inclusive and Integrated Social Protection**

Submission received after that date or submission not in conformity with the requirements specified this document will not be considered.

**Note:**

- Any individual employed by a company or institution who would like to submit an offer in response to this Procurement Notice must do so in their individual capacity, even if they expect their employers to sign a contract with UNDP.

- Maximum size per email is 30 MB.

- Any request for clarification must be sent in writing, or by standard electronic communication to the address or e-mail indicated above. Procurement Unit – UNDP Viet Nam will respond in writing or by
standard electronic mail and will send written copies of the response, including an explanation of the query without identifying the source of inquiry, to all consultants.

- After submitting proposal, bidder should send notification by email (without attachment) to: procurement.vn@undp.org informing that the bidder has submitted proposal. UNDP will not be responsible for the missing of proposal if the bidder does not send notification email to above address.

- Female consultants are encouraged to bid for this required service. Preference will be given to equally technically qualified female consultants.

2. Please find attached the relevant documents:
   - Term of References........................................................................................................... (Annex I)
   - Individual Contract & General Conditions........................................................................... (Annex II)
   - Reimbursable Loan Agreement (for a consultant assigned by a firm)...........................(Annex III)
   - Letter to UNDP Confirming Interest and Availability.........................................................(Annex IV)
   - Financial Proposal.............................................................................................................(Annex V)

3. Interested individual consultants must submit the following documents/information (in English, PDF Format) to demonstrate their qualifications:
   a. Technical component:
      - Signed Curriculum Vitae
      - Signed Letter to UNDP Confirming Interest and Availability
      - Copy of 1-3 publications/writing samples on relevant subject.
      - Reference contacts of past 4 clients for whom you have rendered preferably the similar service (including name, title, email, telephone number, address...)
   b. Financial proposal (with your signature):
      - The financial proposal shall specify a total lump sum amount in VND for National Consultant including consultancy fees and all associated costs i.e. airfares, travel cost, meal, accommodation, tax, insurance etc. – see format of financial offer in Annex V.

      - Please note that the cost of preparing a proposal and of negotiating a contract, including any related travel, is not reimbursable as a direct cost of the assignment.

      - If quoted in other currency, prices shall be converted to the above currency at UN Exchange Rate at the submission deadline.
4. **Evaluation**

The technical component will be evaluated using the following criteria:

<table>
<thead>
<tr>
<th><strong>Team Leader</strong> experiences/qualification related to the services</th>
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<tbody>
<tr>
<td><strong>1.1</strong></td>
</tr>
</tbody>
</table>
| **1.2** | - Minimum ten years of relevant professional experience;  
- Extensive knowledge of, and experience in applying qualitative and quantitative evaluation methods;  
- In-depth knowledge and strong research record of Viet Nam socio-economic development, particularly on the Vietnamese social protection systems and vulnerable/LNOB groups;  
- Technical competence in undertaking complex evaluations which involve use of mixed methods;  
- Strong data collection and analysis skills;  
- Process management skills such as facilitation skills and ability to negotiate with a wide range of stakeholders; | 350 |
| **1.3** | - Prior experience in working with multilateral agencies;  
- Knowledge of UN role, UN reform process and UN programming at the country level, particularly UN Joint Programme;  
- Strong experience and knowledge of the UN programming principles including leaving no one behind LNOB, human rights, gender equality and women's empowerment, disability inclusion, sustainability and resilience, and accountability; | 350 |
| **1.4** | - Fluency in English communication and reporting skills.  
- Experience in conducting evaluation of a UN Joint Programme especially the one of the similar country context is considered a strong asset; | 100 |
| **1.5** | - A strong record in designing and leading evaluations;  
- Demonstrated managerial competence and experience in organizing, leading and coordinating evaluation teams at the international level | 100 |
| **Total** | | 1,000 |

<table>
<thead>
<tr>
<th><strong>Team Member</strong> experiences/qualification related to the services</th>
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</thead>
<tbody>
<tr>
<td><strong>1.1</strong></td>
</tr>
</tbody>
</table>
| **1.2** | - Minimum ten years of relevant professional experience;  
- Extensive knowledge of, and experience in applying qualitative and quantitative evaluation methods; | 350 |
A two-stage procedure is utilized in evaluating the submissions, with evaluation of the technical components being completed prior to any price proposals being opened and compared. Interview with the shortlisted candidates will be conducted at the technical evaluation stage.

The price proposal will be opened only for submissions that passed the minimum technical score of 70% of the obtainable score of 1000 points in the evaluation of the technical component. The technical component is evaluated on the basis of its responsiveness to the Term of Reference (TOR). Maximum 1000 points will be given to the lowest offer and the other financial proposals will receive the points inversely proportional to their financial offers. i.e. $S_f = 1000 \times \frac{F_m}{F}$, in which $S_f$ is the financial score, $F_m$ is the lowest price and $F$ the price of the submission under consideration.

The weight of technical points is 70% and financial points is 30%.

*Submission obtaining the highest weighted points (technical points + financial points) will be selected subject to positive reference checks on the consultant’s past performance.*

5. Contract

“Lump-sum” Individual Contract will be applied for freelance consultant (Annex II)
“Lump-sum” RLA will be applied for consultant assigned by firm/institution/organization (Annex III)

Documents required before contract signing:

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Points</th>
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<tbody>
<tr>
<td>In-depth knowledge and strong research record of Viet Nam socio-economic development, particularly on the Vietnamese social protection systems and vulnerable/LNOB groups;</td>
<td>400</td>
</tr>
<tr>
<td>Technical competence in undertaking complex evaluations which involve use of mixed methods;</td>
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<tr>
<td>Strong data collection and analysis skills;</td>
<td></td>
</tr>
<tr>
<td>Process management skills such as facilitation skills and ability to negotiate with a wide range of stakeholders;</td>
<td></td>
</tr>
<tr>
<td>Prior experience in working with multilateral agencies;</td>
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<td>Knowledge of UN role, UN reform process and UN programming at the country level, particularly UN Joint Programme;</td>
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<td>Strong experience and knowledge of the UN programming principles including leaving no one behind LNOB, human rights, gender equality and women's empowerment, disability inclusion, sustainability and resilience, and accountability; and</td>
<td></td>
</tr>
<tr>
<td>Fluency in English communication and reporting skills.</td>
<td>150</td>
</tr>
<tr>
<td>Experience in conducting evaluation of a UN Joint Programme especially the one of the similar country context is considered a strong asset;</td>
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<tr>
<td>Total</td>
<td>1,000</td>
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</table>
- International consultant whose work involves travel is required to complete the courses on BSAFE which is the new online security awareness training and submit certificate to UNDP before contract issuance.
  
  Note: In order to access the courses, please go to the following link: [https://training.dss.un.org](https://training.dss.un.org)

- Full medical examination and Statement of Fitness to work for consultants from and above 65 years of age and involve travel. (This is not a requirement for RLA contracts).

- Release letter in case the selected consultant is government official.

6. Payment

UNDP shall effect payments to the consultant by bank transfer to the consultant’s bank account provided in the vendor form upon acceptance by UNDP of the deliverables specified the TOR.

Payments are based upon outputs, i.e. upon delivery of the products specified in the TOR.

If two currencies exist, UNDP exchange rate will be applied at the day UNDP instructs the bank to effect the payment.

7. Your proposals are received on the basis that you fully understand and accept these terms and conditions.
ANNEX I

TERMS OF REFERENCE
FINAL EVALUATION OF SDG-F JOINT PROGRAMME ON

Accelerating Viet Nam’s Transition Toward Inclusive and Integrated Social Protection

2 National Consultants
(1 team leader, 1 team member)

8 March 2022
1. GENERAL CONTEXT

The Joint Programme “Accelerating Viet Nam’s Transition Toward Inclusive and Integrated Social Protection” funded by the Sustainable Development Goals Fund\(^1\) (SDGF) was launched on 1 January 2020 with the original duration of 24 months until 31 December 2021 which then has been extended to May 2022. Four UN agencies (including ILO, UNICEF, UNFPA, and UNDP) are the participating UN organizations (PUNOs) of the JP. (See the detailed JP document in the Annex.)

The Joint Programme (JP) supports Viet Nam in accelerating its transition towards an inclusive and integrated social protection (SP) system by demonstrating the potential of an (i) integrated multi-tiered social protection system (MTS) using the life-cycle approach; (ii) extended social care services system; and (iii) innovative e-service delivery system. It aims to accelerate the achievement of the targets established under the Government of Viet Nam (GOVN) SP related Master Plans on social insurance, social assistance and digitalizing SP service delivery and M&E system. The focus is therefore on expanding the coverage, and improving efficiency of delivery, of social insurance (SI) and social assistance (SA) services to groups insufficiently covered or at risk of being left behind. The JP has been implemented under the framework of the One Strategic Plan (OSP) 2017-2021, which has been committed by the GOVN and UN agencies.

The JP outcomes are:
- An integrated gender-sensitive multi-tiered expansion strategy for accelerating SDG progress towards universal social protection coverage
- Inclusive social care system for the most vulnerable for accelerating SDG progress
- Integrated e-system for delivering SP services and real-time M&E for accelerating SDG progress.

The long-term impact of the UNJP’s integrated approach could include, by 2030, having 100 per cent of the 20 million children in Vietnam benefiting from social protection. This would also represent an expansion from 30 per cent to 45 per cent of the workforce participating in social insurance. Likewise, 100 per cent of women giving birth would be protected, as opposed to the 26 per cent who currently have access to paid maternity leave. The new multi-tiered social protection system would also increase old-age protection to 60 per cent of the elderly, as per the Government’s targets, up from around 30 per cent today. Finally, while the current social assistance benefit for PLWD reaches around one million people, the project will promote an expansion to an additional one million people plus an additional 200,000 caregivers. The long-term impact of the intervention could expand care services to 100 per cent of the elderly by 2030, which would be an additional 2 million PLWD.

In line with the SDGF guidance, the JP plans to commission an independent final evaluation of this JP. The JP is seeking for two (02) high-qualified national consultants to conduct the final evaluation. The JP Secretariat (ILO), with technical support from the UNR, UNFPA, UNICEF and UNDP, assumes the role of guidance and oversight in the final evaluation.

2. OVERALL GOAL, SPECIFIC OBJECTIVES, SCOPE, AND AUDIENCES

The overall goal of the evaluation is to promote accountability (of the UN, GOVN and CSO partners), organizational learning, stocktaking of achievements, performance, impacts, good practices, and lessons learnt and recommendations for future improvement and towards SDG acceleration.

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\(^1\) The Sustainable Development Goals Fund is a development cooperation mechanism created in 2014 to support sustainable development activities through integrated and multidimensional Joint Programmes.
This final evaluation has the following specific objectives:

1. Assess the relevance, effectiveness, efficiency of the JP in achieving its outcomes and outputs as originally planned in the JP document, specifically in the JP results framework, or subsequently officially revised; At the same time, assess the JP’s responsiveness in coping with COVID-19’s negative impacts;
2. Measure the impact of the JP on SDG acceleration;
3. Assess the sustainability of JP achieved results;
4. Assess the contribution of the JP to UN Development System Reform (e.g., improved collaboration and coherence of the UNCT, RC leadership, contribution to CF/UNDAF);
5. Identify and document substantive lessons learned and good practices toward inclusive and integrated social protection with the focus on supporting JP target groups including (i) women, children, persons with disabilities, and older persons, and (ii) girls, youth, minorities, rural workers, and victims of violence (who would receive the JP’s indirect influence); and
6. Provide actionable recommendations for the way forward.

Evaluation Scope

The JP evaluation cover the period is from May 2019 (when the JP design began) to March 2022 (when the JP evaluation is planned to take place) to cover the JP design phase to the JP implementation phase. The JP evaluation will access contributions to all JP outcomes and outputs by all 4 PUNOs and examine the JP cross-cutting issues and with global UN programming principles (e.g. leaving no one behind LNOB, human rights, gender equality and women's empowerment, sustainability and resilience, shock-responsiveness, and accountability).

The JP evaluation will take into account emerging issues related to serious droughts, typhoons, and the COVID-19 pandemic in both the evaluation contents (e.g. the PUNOs’ responsiveness, adaptation and reprioritization) and operation (e.g. methods for managing stakeholder participation and inclusiveness in the COVID context).

The JP evaluation also assess the contribution and accountabilities of the JP key partners (including MOLISA and the Viet Nam Women’s Union) and other partners (e.g., including government counterparts, donor community members, representatives of key civil society organizations, UNCT members, and other implementing partners) toward the JP implementation against the responsibilities identified in the JP document, specifically in JP design and approval, implementation, monitoring and reporting as well as in facilitating multi-stakeholder coordination and mechanism.

It is foreseen that this evaluation will take place from March to June 2022. The evaluation will be conducted in Hanoi and other JP provinces if necessary and possible (due to COVID) with maximum 2 field trips.

The primary audiences of this evaluation are the PUNOs who designed and implemented the programme. The report will benefit from key government partners’ views as well as provide valuable recommendations for the policy making and future programmes.

3. EVALUATION QUESTIONS

The JP evaluation will apply the OECD/DAC criteria: relevance, effectiveness, efficiency, impact and sustainability. The JP evaluation with its evaluation report aims to answer multiple questions primarily identified as follows:

Relevance: Extent to which the objectives of the development intervention are consistent with national needs and priorities, the country’s international and regional commitments, and achieving...
the SDGs

a) How has the JP contributed to solve the needs and problems identified in the design phase (including on SDGs, leaving no one behind, human rights, sustainable development, environment, disability, and gender equity)?

b) To what extent the JP is consistent with the One Strategic Plan 2017-2021 strategic areas and outcomes?

c) To what extent the UN comparative advantages and unique mandates (that other stakeholders would not/cannot have) are relevant with the JP objectives and outcomes and help strengthen the UN position, credibility, and reliability of the UN as a partner for the GOVN and other actors in the JP areas?

d) How resilient, responsive and strategic the JP was in addressing emerging and emergency needs? For example, in assessing the COVID-19 impacts and in reprioritizing/adapting its support to provide timely support to the country and to ensure the achievement of the JP outcomes.

Effectiveness: Extent to which the objectives of the development intervention have been achieved

a) To what extent did the JP attain the development outputs and outcomes described in the JP document? The evaluation should also note how the unintended results, if any, have affected national development positively or negatively and to what extent have they been foreseen and managed.

b) What good practices, success stories, innovations, lessons learnt, and replicable experiences/key factors have been identified for the success of this JP? Please describe and document them.

c) To what extent has the HP contributed to the advancement and the progress of fostering national ownership processes and outcomes (the design and implementation of national development plans, policies, OSP, etc.)?

d) To what extent did the JP help to increase stakeholder/citizen dialogue and or engagement of development issues and policies?

e) How effective was the GOVN’s roles in contributing to JP design and approval, implementation, monitoring and reporting as well as in facilitating multi-stakeholder coordination and mechanism and mobilizing resources for smooth and efficient JP implementation?

Efficiency: Extent to which resources/inputs (funds, time, human resources, etc.) have been turned into results

a) To what extent was the JP management model (governance and implementation arrangements; monitoring and reporting tools; and accountability, financial management, and public disclosure models) efficient in comparison to the development results attained?

b) To what extent were the JP outputs and outcomes synergistic and coherent to achieve better results when compared to singly-agency interventions? What efficiency gains/losses were either as a result?

c) What type of work methodologies, financial instruments, and business practices have the implementing partners used to increase efficiency in delivering as one?

d) What type of (administrative, financial and managerial) obstacles did the joint programme face and to what extent have this affected its efficiency?

Impact: Positive and negative effects of the intervention on the development outcomes and SDG acceleration

a) To what extent and in what ways did the JP contribute to SDG acceleration?

b) To what extent and in what ways did the JP contribute to the targeted cross-cutting issues: gender mainstreaming and women’s empowerment, disability (also see below), and public private partnerships (PPPs) at the local and national levels?
c) What impact did the matching funds have in the design, implementation and results of the JP?
d) To what extent did the JP have an impact on the targeted beneficiaries? Were all targeted beneficiaries reached? Which were left out?
e) What unexpected/unintended effects did the JP have, if any?

**Sustainability: Probability of the benefits of the intervention continuing in the long term.**

a) Which mechanisms already existed, and which have been put in place by the JP to ensure results and impact, i.e. policy, policy coordination mechanisms, partnerships, networks?
b) To what extent has the capacity of beneficiaries (institutional and/or individual) been strengthened such that they are resilient and sustainable to external shocks and/or do not need support in the long term?
c) To what extent will the JP be replicable or scaled up at national or local levels?

As persons with disabilities are among the most vulnerable and marginalized groups across countries and considering the critical role that social protection can play in supporting their inclusion. This JP has identified them as a target group. In line with the Leaving No One Behind principle and the obligations stemming from the Convention on the rights of persons with disabilities, the JP should ensure that persons with disabilities within targeted population can access the program without discrimination. The evaluation will therefore also assess to what extent:
- The JP design, implementation, and monitoring have been inclusive of persons with disabilities (accessibility, non-discrimination, participation of organizations of persons with disabilities, data disaggregation); and
- The JP effectively contributed to the socio-economic inclusion of persons with disabilities by providing income security, coverage of health care, and disability-related costs across the life cycle.

See the detailed guiding questions on Persons with Disabilities and evaluation criteria in Annex I.

### 4. METHODOLOGICAL APPROACH

The overall approach is participatory and theory-based (using the JP theory of change).

The JP evaluation will be conducted in an inclusive manner and promote national ownership through the meaningful engagement of relevant national partners throughout the evaluation process. The JP evaluation is independent and adhere to and implement UNEG Norms and Standards.

In general, the methodology of this evaluation includes triangulation and mixed method of quantitative and qualitative data analysis. Followings are standards and considerations for data analysis and data collection:

- **Data Analysis**
  - Provide credible answers to the evaluation questions;
  - Ensure that the information collected is valid, reliable and sufficient to meet the evaluation purposes, scope and approach and that the analysis is logically coherent and complete (and not speculative or opinion-based);
  - Use a mixed method, employing the most appropriate qualitative and quantitative approaches, data types and methods of data analysis;
  - Ensure triangulation of the various data sources to ensure maximum validity, reliability of data and promote use;
  - Apply participatory and utilization-focused approach to involve key stakeholders and boost ownership of the evaluation should be adopted;
- Ensure a Leave No One Behind lens, particularly gender equality and human rights;
- Ensure the linkage with the SDGs.

- **Data Collection**: The JP evaluation will draw on a variety of data collection methods including, but not limited to, the followings:
  - Document review focusing on JP documents, progress reviews, mid-year and annual reports, strategy papers, national plans and policies and related programme and project documents. These should include reports on the progress against national and international commitments.
  - Semi-structured interviews with key stakeholders including key government counterparts, donor community members, representatives of key civil society organisations, UNCT members, and implementing partners.
  - Focus Group discussions involving groups and sub-groups of stakeholders, decision-makers.
  - Other methods such as outcome mapping, observational visits, photo stories, etc.

An **evaluation matrix** will be prepared during the inception phase to present the links between data collection methods, evaluation questions, sources, etc. Additionally, a rapid evaluability assessment will be undertaken during the inception phase to determine the availability of documentation, the quality of the JP results framework and indicators, and gaps in information; this will inform the evaluation approach.

In addition, the precise data collection methods should be identified following:
  - Analysis of availability of existing evaluative evidence and administrative data
  - Logistical constraints (travel, costs, time, etc)
  - Ethical considerations (especially when evaluating sensitive topics such as GBV or in sensitive settings such as post-conflict settings)

During the inception phase, the evaluation team will propose a detailed evaluation methodology. The methodology should propose innovative options for data collection methods (including remote data collection if necessary) considering the COVID-19 pandemic and related coping measures which may not allow a smooth data collection process.

5. **EVALUATION DELIVERABLES**

The Evaluation Team is responsible for submitting the following deliverables (in both English and Vietnamese) to the commissioner and the managers of the evaluation:

**Inception Report** This report will be 10 to 15 pages in length and will propose the methods, sources and procedures to be used for data collection. It will also include a proposed timeline of activities and submission of deliverables. The desk study report will propose initial lines of inquiry about the JP. This report will be used as an initial point of agreement and understanding between the consultant and the evaluation managers. The report will follow the outline stated in Annex II.

**Draft Final Report** The draft final report will contain the same sections as the final report (described in the next paragraph) and will be 30 to 40 pages in length. This report will be shared among the evaluation reference group and the quality assurance member.

**Final Evaluation Report**: The final report will be 20 to 30 pages in length. It will also contain an
executive summary of no more than 2 pages that includes a brief description of the joint programme, its context and current situation, the purpose of the evaluation, its methodology and its major findings, conclusions and recommendations. The final report will be sent to the evaluation reference group and the quality assurance member. This report will follow the template in Annex III.

Each report needs to be accompanied by an Audit Trail to list all comments to its draft versions and to show how the comments are addressed by the Evaluation Team.

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<thead>
<tr>
<th>No.</th>
<th>Deliverable</th>
<th>Consultant</th>
<th>Target due date</th>
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<tbody>
<tr>
<td>1</td>
<td>Inception Report</td>
<td>Team Leader + Team member</td>
<td>8th April 2022</td>
</tr>
<tr>
<td>2</td>
<td>Draft Final Report</td>
<td>Team Leader + Team member</td>
<td>25th April 2022</td>
</tr>
<tr>
<td>3</td>
<td>Final Evaluation Report and Dissemination at workshop(s)</td>
<td>Team Leader + Team member</td>
<td>30 April 2022 and beyond to June 2022</td>
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<td>4</td>
<td>2 Field trips (If possible)</td>
<td>TBD</td>
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6. **KEY ROLES AND RESPONSABILITIES IN THE EVALUATION PROCESS**

There will be 3 main actors involved in the implementation of the JP evaluation:

**Evaluation Reference Group:** The Evaluation Reference Group is composed of the JP Management Committee and representatives from key GOVN implementing partners and will:

- Review the draft evaluation report and ensure final draft meets the required quality standards;
- Facilitate the participation of those involved in the evaluation design;
- Identifying information needs, defining objectives and delimiting the scope of the evaluation;
- Provide inputs and participating in finalizing the evaluation Terms of Reference;
- Facilitate the evaluation team’s access to all information and documentation relevant to the intervention, as well as to key actors and informants who should participate in interviews, focus groups or other information-gathering methods;
- Oversee progress and conduct of the evaluation the quality of the process and the products;
- Endorse the action plan following the submission of the final evaluation report;
- Disseminating the results of the evaluation.

**Evaluation managers:** The JP Secretariat (ILO) and the programme coordinator as evaluation managers will have the following functions:

- Contribute to the finalization of the evaluation TOR;
- Provide executive and coordination support to the reference group;
- Provide the evaluators with administrative support and required data;
- Liaise with and respond to the commissioners of evaluation;
- Connect the evaluation team with the wider programme unit, senior management and key evaluation stakeholders, and ensure a fully inclusive and transparent approach to the evaluation;
- Provide comments to the JP evaluation’s key deliverables including the inception report, draft reports, and the final report.
- Ensure that adequate funding and human resources are allocated for the evaluation.

**Quality Assurance Member:** The Joint SDG Fund Secretariat will play the role of quality assurance who review and provide advice on the quality the evaluation process as well as on the evaluation products (comments and suggestions on draft TOR, inception report, draft reports, final report of the evaluation) and options for improvement

**Evaluation commissioner:** The Resident Coordinator Office (led by the RCO Head with support from the RCO Data Officer) as commissioner of the final evaluation will have the following functions:

- Lead the evaluation process throughout the 3 main phases of a final evaluation (design, implementation and dissemination);
- Convene the evaluation reference group;
- Lead the finalization of the evaluation ToR;
- Coordinate the selection and recruitment of the evaluation team by making sure the lead agency undertakes the necessary procurement processes and contractual arrangements required to hire the evaluation team;
- Ensure the evaluation products meet quality standards (in collaboration with the MDG-F Secretariat);
- Provide clear specific advice and support to the evaluation managers and the evaluation team throughout the whole evaluation process;
- Safeguard the independence of the exercise, including the selection of the evaluation team.

**Evaluation team:** The evaluation team composed by two national consultants will conduct the evaluation study by fulfilling the contractual arrangements in line with the TOR, UNEG/OECD norms and standards and ethical guidelines; this includes developing an evaluation matrix as part of the inception report, drafting reports, and briefing the commissioner and stakeholders on the progress and key findings and recommendations, as needed

### 7. DURATION OF ASSIGNMENT, DUTY SATION

Duty station for Evaluation Team: Ha Noi
Expected places of travel: To be discussed with PUNOs during the evaluation mission

**Estimated number of working days for Evaluation Team:**

- **Duration:** April – June 2022
- **Team Leader:** 40 working days
- **Team Member:** 35 working days

Travel cost (if any) will be covered separately by UN based on UN-EU cost norms.
8. **EVALUATION PROCESS: TIMELINE**

The JP evaluation will be conducted in five main stages with key activities, deliverables, responsible entities, and timelines as follows:

(Note: The timeline will be probably adjusted due to COVID-19 and when the Evaluation Team is recruited.)

<table>
<thead>
<tr>
<th>Phase</th>
<th>Activities</th>
<th>Deliverables</th>
<th>Responsibility</th>
<th>Completion Time</th>
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<tbody>
<tr>
<td><strong>1. Preparation (Three months before JP closure)</strong></td>
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| 1.1. Development of Evaluation Terms of Reference (TOR) | Evaluation TOR (and TOR for hiring Evaluation Team based on the approved evaluation TOR) | Commissioner RCO to draft and finalize the TOR  
Eval. Managers, Reference Group, and MPTF Secretariat to review, comment on the TOR | January – 3rd week of March |
| 1.2. Preparatory desk work | Initial collection of relevant documents and data (e.g. quarterly and annual financial and results reporting) | Evaluation Managers | |
| 1.3. Recruitment the Evaluation Team | Evaluation Team selected | RCO in coordination with Evaluation Managers (in consultation with MPTF Secretariat) to organize the recruitment (including to form Recruitment Panels) | |
| **2. Inception** | | | | |
| 2.1. Brief and support the Evaluation Team | Briefings with the Evaluators (with Evaluation Managers, RCO, and JSP Reference Group if necessary) and sharing of all documents to be reviewed | Evaluation Managers to organize  
Evaluation Team to participate | 3rd week of March – 1st week of April |
| 2.2. Development of Inception Report | Inception Report | Evaluation Team to prepare  
Evaluation Managers and MPTF Secretariat to review  
RCO to review and endorse | |
### 3.1. Data collection and analysis

- **Evaluation Team** to implement
- **Evaluation Managers** to facilitate evaluation activities, assist the Evaluation Team in gaining access to stakeholders and additional information, and arrange meetings and logistics

### 3.2. Development of Draft Evaluation Report

- Draft Report
- PPP Presentation on key preliminary findings

- **Evaluation Team** to implement

### 3.3. Review and Validation of Draft Report

- Presentation on preliminary findings to the Reference Group
- Revised Draft Report

- **Evaluation Team**: to present key preliminary findings to the reference group, address comments and revise draft report
- **Reference Group and MPRF Secretariat**: to comment on the draft report and participate in the meeting on presentation on preliminary findings
- **Evaluation Managers**: to conduct a pro forma quality check; manage the validation process by circulating the draft for comment to relevant key stakeholders, ensuring all comments and responses are properly recorded, using an audit trail; send comments to the Evaluation Team for draft revision; make sure all comments are addressed by the Evaluation Team; and organize a meeting on presentation on preliminary findings

### 3.4. Finalization of Evaluation Report

- Final Evaluation Report

- **Evaluation Team** to implement
- **RCO** in consultation with **Evaluation Managers** to approve the final report

### 4. Use the results

#### 4.1. Preparation of follow-up actions

- Follow-up actions

- **JP Steering Committee**: (with Evaluation Managers and RCO support) to prepare a Follow-up Action Plan

#### 4.2. Dissemination of Evaluation Report

- Communication and Dissemination Plan
- Dissemination of the Evaluation Report (e.g. in the JP final donor meeting planned in the 2nd week of May)

- **Steering Committee** to implement with support by the UN Communication Team
9. USE AND UTILITY OF THE EVALUATION

The Evaluation Reference Group and any other stakeholders relevant for the JP will jointly design and implement a complete communication and dissemination plan to share the evaluation findings, conclusions and recommendations with the aim to advocate for sustainability, replicability, scaling up or to share good practices and lessons learnt at local, national or/and international level.

10. ETHICAL PRINCIPLES AND PREMISES OF THE EVALUATION

The final evaluation of the joint programme is to be carried out according to ethical principles and standards established by the United Nations Evaluation Group (UNEG).

- **Anonymity and confidentiality.** The evaluation must respect the rights of individuals who provide information, ensuring their anonymity and confidentiality.
- **Responsibility.** The report must mention any dispute or difference of opinion that may have arisen among the consultants or between the consultant and the heads of the Joint Programme in connection with the findings and/or recommendations. The team must corroborate all assertions, or disagreement with them noted.
- **Integrity.** The evaluator will be responsible for highlighting issues not specifically mentioned in the TOR, if this is needed to obtain a more complete analysis of the intervention.
- **Independence.** The consultant should ensure his or her independence from the intervention under review, and he or she must not be associated with its management or any element thereof.
- **Incidents.** If problems arise during the fieldwork, or at any other stage of the evaluation, they must be reported immediately to the Secretariat of the SDGF. If this is not done, the existence of such problems may in no case be used to justify the failure to obtain the results stipulated by the Secretariat of the SDGF in these terms of reference.
- **Validation of information.** The consultant will be responsible for ensuring the accuracy of the information collected while preparing the reports and will be ultimately responsible for the information presented in the evaluation report.
- **Intellectual property.** In handling information sources, the consultant shall respect the intellectual property rights of the institutions and communities that are under review.
- **Delivery of reports.** If delivery of the reports is delayed, or in the event that the quality of the reports delivered is clearly lower than what was agreed, the penalties stipulated in these terms of reference will be applicable.

11. QUALIFICATIONS OF THE EVALUATION TEAM

The composition and selection of the JP Evaluation Team follow the UNEG Norms and Standards for Evaluation. The Commissioner (RCO) opts to select 2 national evaluators (1 team leader and 1 team member) to conduct the evaluation. The selected team should have past experience with carrying out similar evaluations and collective knowledge of the national context in the JP areas. The evaluators should be impartial, i.e. not have been (and not expected to be) involved in the design or implementation of the JP.

Both the evaluation Team Leader (TL) and Team Member (TM) will equally share responsibilities in data collection and analysis. The TL will lead the entire evaluation process, develop a workplan including task division for the whole exercise (in agreement with the TM). The TL will be responsible for conducting the evaluation process in a timely manner, communicating with the Evaluation Managers on a regular basis, and highlighting progress made/challenges encountered. The TL will be responsible for producing the inception, draft, and final evaluation reports, with inputs from the TM.

- **Qualification of Evaluation Team:**
- Advanced University Degree (Masters or PhD) in political science, public administration, development studies, law, human rights or other relevant fields;
- Minimum ten years of relevant professional experience;
- Extensive knowledge of, and experience in applying qualitative and quantitative evaluation methods;
- In-depth knowledge and strong research record of Viet Nam socio-economic development, particularly on the Vietnamese social protection systems and vulnerable/LNOB groups;
- Technical competence in undertaking complex evaluations which involve use of mixed methods;
- Strong data collection and analysis skills;
- Process management skills such as facilitation skills and ability to negotiate with a wide range of stakeholders;
- Prior experience in working with multilateral agencies;
- Knowledge of UN role, UN reform process and UN programming at the country level, particularly UN Joint Programme;
- Strong experience and knowledge of the UN programming principles including leaving no one behind LNOB, human rights, gender equality and women’s empowerment, disability inclusion, sustainability and resilience, and accountability; and
- Fluency in English, excellent oral, written, communication and reporting skills.

In addition to the above qualifications, the TL needs to possess the followings:
- A strong record in designing and leading evaluations;
- Demonstrated managerial competence and experience in organizing, leading and coordinating evaluation teams at the international level;

The RCO and Evaluation Managers will facilitate to form a Recruitment Panel with participation of relevant stakeholders.

The OSP Evaluation Team will work under the direct supervision of the Evaluation Managers. All key deliverables will be validated and approved by the Commissioner (RCO). The evaluation team members must be committed to respecting deadlines of delivery outputs with the agreed timeframe and must be able to work with a multidisciplinary team and in a multicultural environment.

### 12. EVALUATION CRITERIA

<table>
<thead>
<tr>
<th>Team Leader experiences/qualification related to the services</th>
<th>100</th>
<th>350</th>
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<tbody>
<tr>
<td>1.1</td>
<td></td>
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<tr>
<td>- Advanced University Degree (Masters or PhD) in political science, public administration, development studies, law, human rights or other relevant fields;</td>
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<td>1.2</td>
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<tr>
<td>- Minimum ten years of relevant professional experience;</td>
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<tr>
<td>- Extensive knowledge of, and experience in applying quantitative and quantitative evaluation methods;</td>
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<tr>
<td>- In-depth knowledge and strong research record of Viet Nam socio-economic development, particularly on the Vietnamese social protection systems and vulnerable/LNOB groups;</td>
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<tr>
<td>- Technical competence in undertaking complex evaluations which involve use of mixed methods;</td>
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<tr>
<td>1.1</td>
<td>Advanced University Degree (Masters or PhD) in political science, public administration, development studies, law, human rights or other relevant fields;</td>
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<tr>
<td>1.2</td>
<td>Minimum ten years of relevant professional experience; Extensive knowledge of, and experience in applying qualitative and quantitative evaluation methods; In-depth knowledge and strong research record of Viet Nam socio-economic development, particularly on the Vietnamese social protection systems and vulnerable/LNOB groups;</td>
<td>350</td>
</tr>
<tr>
<td>1.3</td>
<td>Prior experience in working with multilateral agencies; Knowledge of UN role, UN reform process and UN programming at the country level, particularly UN Joint Programme; Strong experience and knowledge of the UN programming principles including leaving no one behind LNOB, human rights, gender equality and women's empowerment, disability inclusion, sustainability and resilience, and accountability;</td>
<td>400</td>
</tr>
<tr>
<td>1.4</td>
<td>Fluency in English communication and reporting skills. Experience in conducting evaluation of a UN Joint Programme especially the one of the similar country context is considered a strong asset;</td>
<td>100</td>
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<tr>
<td>1.5</td>
<td>A strong record in designing and leading evaluations; Demonstrated managerial competence and experience in organizing, leading and coordinating evaluation teams at the international level</td>
<td>100</td>
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<tr>
<td>Total</td>
<td></td>
<td>1,000</td>
</tr>
</tbody>
</table>
principles including leaving no one behind LNOB, human rights, gender equality and women's empowerment, disability inclusion, sustainability and resilience, and accountability; and

1.4 - Fluency in English communication and reporting skills.
   - Experience in conducting evaluation of a UN Joint Programme especially the one of the similar country context is considered a strong asset; 150

| Total | 1,000 |

13. PAYMENT TERMS

30% of the total consultancy fee shall be paid upon UN receipt and acceptance of the inception report, with 70% paid upon receipt and UN acceptance of the Final Evaluation Report.

ANNEXES

ANNEX I: GUIDING QUESTIONS ON PERSONS WITH DISABILITIES

To what extent did the program target persons with disabilities?
- Not specifically targeted
- One of the groups of direct beneficiaries targeted
- main target group for the program

To what extent did the design and implementation of activities of the joint program support include disability-related accessibility and non-discrimination requirement?
- No requirements
- General reference
- Specific requirements

To what extent have persons with disabilities, in particular children and women with disabilities, been consulted through their representative organizations?
- Not invited
- Invited
- Specific outreach

To what extent did support to data collection and analysis, registries, and information system feature disability?
- No reference to disability
- Disability included via Washington group short set or similar but no analysis
- Disability included via Washington group short set or similar
  ✓ Part of general analysis
  ✓ With specific analysis

To which extent did the program contribute to support inclusion of persons with disabilities via:
- Ensuring basic income security
- Coverage of health care costs, including rehabilitation and assistive devices
- Coverage of disability-related costs, including community support services
• Facilitate access to inclusive early childhood development, education, and work/livelihood

ANNEX II: DOCUMENTS TO BE REVIEWED

The Evaluation Team needs to collect the followings documents from the Evaluation Managers and other sources as reference materials for the evaluation:

**Joint SDG Fund Context**
- SDG Fund TOR and Guidance for JP Formulation
- SDG Fund M&E Strategy
- Communications and Advocacy Strategy
- Knowledge Management Strategy

**Programme-Specific Documents**
- Baseline and end line study (if any)
- Mid-term review report (if any)
- NSC and PMC minutes
- Exit strategy
- Biannual monitoring reports
- Financial information (MPTF)

**Other in-country documents or information**
- All assessments, reports and/or evaluations directly conducted/commissioned by the JP
- Relevant documents or reports on the SDGs, social protection, and LNOB at the local and national levels
- Relevant documents or reports on the implementation of relevant international commitments in the country.

ANNEX III: INCEPTION REPORT OUTLINE

1. Introduction
2. Background to the evaluation: objectives and overall approach
3. Identification of main units and dimensions for analysis and possible areas for research
4. Main substantive and financial achievements of the joint programme
5. Methodology for the compilation and analysis of the information, including an Evaluation Matrix
6. Criteria to define the mission agenda, including “field visits”

Sample evaluation matrix

<table>
<thead>
<tr>
<th>Relevant evaluation criteria</th>
<th>Key questions</th>
<th>Specific sub-questions</th>
<th>Data sources</th>
<th>Data collection methods/tools</th>
<th>Indicators/success standards</th>
<th>Methods for data analysis</th>
</tr>
</thead>
</table>
ANNEX II: DRAFT & FINAL REPORT OUTLINE

1. **Cover Page**: Including JP title, thematic window, report date, name of the evaluator/s.
2. **Table of contents**: Including page references for all chapters & annexes.
3. **Acronyms page**
4. **Executive Summary**: No more than 2 pages. Summarize substantive elements of the report, including a brief description of the joint programme, purpose and objectives of the evaluation, evaluation methodological approach, key findings and conclusions, main recommendations.
5. **Introduction**: Explain why the evaluation is being conducted, including the following content:
   - Background: MDG-F, thematic window, joint programme.
   - Purpose of the evaluation
   - Methodology of the evaluation
   - Constraints and limitations on the study conducted.
6. **Description of the development interventions carried out**: Detailed description of the development interventions undertaken: description and judgement on implementation of outputs delivered (or not) and outcomes attained as well as how the programme worked in comparison to the theory of change developed for the programme.
7. **Levels of Analysis**: Evaluation criteria and questions (all questions included in the TOR must be addressed and answered).
8. **Conclusions**
9. **Lessons Learned**: Define the scope of each lesson (joint programme, national policy, local intervention, etc.)
10. **Recommendations**
11. **Annexes**
OFFEROR’S LETTER TO UNDP

CONFIRMING INTEREST AND AVAILABILITY
FOR THE INDIVIDUAL CONTRACTOR (IC) ASSIGNMENT

Date ______________________

United Nations Development Programme

Dear Sir/Madam:

I hereby declare that:

A) I have read, understood and hereby accept the Terms of Reference describing the duties and responsibilities of [indicate title of assignment] under the [state project title];

B) I have also read, understood and hereby accept UNDP’s General Conditions of Contract for the Services of the Individual Contractors;

C) I hereby propose my services and I confirm my interest in performing the assignment through the submission of my CV which I have duly signed and attached hereto as Annex 1;

D) In compliance with the requirements of the Terms of Reference, I hereby confirm that I am available for the entire duration of the assignment, and I shall perform the services in the manner described in my proposed approach/methodology which I have attached hereto as Annex 3 [delete this item if the TOR does not require submission of this document];

E) I hereby propose to complete the services based on the following payment rate: [please check the box corresponding to the preferred option]:

☐ An all-inclusive daily fee of [state amount in words and in numbers indicating currency]

☐ A total lump sum of [state amount in words and in numbers, indicating exact currency], payable in the manner described in the Terms of Reference.

F) For your evaluation, the breakdown of the abovementioned all-inclusive amount is attached hereto as Annex V;

G) I recognize that the payment of the abovementioned amounts due to me shall be based on my delivery of outputs within the timeframe specified in the TOR, which shall be subject to UNDP's review, acceptance and payment certification procedures;

H) This offer shall remain valid for a total period of ____________ days [minimum of 90 days] after the submission deadline;
I) I confirm that I have no first degree relative (mother, father, son, daughter, spouse/partner, brother or sister) currently employed with any UN agency or office [disclose the name of the relative, the UN office employing the relative, and the relationship if any such relationship exists];

J) If I am selected for this assignment, I shall [please check the appropriate box]:

☐ Sign an Individual Contract with UNDP;

☐ Request my employer [state name of company/organization/institution] to sign with UNDP a Reimbursable Loan Agreement (RLA), for and on my behalf. The contact person and details of my employer for this purpose are as follows:

K) I hereby confirm that [check all that applies]:

☐ At the time of this submission, I have no active Individual Contract or any form of engagement with any Business Unit of UNDP;

☐ I am currently engaged with UNDP and/or other entities for the following work:

<table>
<thead>
<tr>
<th>Assignment</th>
<th>Contract Type</th>
<th>UNDP Business Unit / Name of Institution/Company</th>
<th>Contract Duration</th>
<th>Contract Amount</th>
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☐ I am also anticipating conclusion of the following work from UNDP and/or other entities for which I have submitted a proposal:

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<tr>
<th>Assignment</th>
<th>Contract Type</th>
<th>Name of Institution/Company</th>
<th>Contract Duration</th>
<th>Contract Amount</th>
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L) I fully understand and recognize that UNDP is not bound to accept this proposal, and I also understand and accept that I shall bear all costs associated with its preparation and submission and that UNDP will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the selection process.

M) If you are a former staff member of the United Nations recently separated, please add this section to your letter: I hereby confirm that I have complied with the minimum break in service required before I can be eligible for an Individual Contract.

N) I also fully understand that, if I am engaged as an Individual Contractor, I have no expectations nor entitlements whatsoever to be re-instated or re-employed as a staff member.

O) Are any of your relatives employed by UNDP, any other UN organization or any other public international organization?
YES ☐ NO ☐ If the answer is "yes", give the following information:

<table>
<thead>
<tr>
<th>Name</th>
<th>Relationship</th>
<th>Name of International Organization</th>
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</tbody>
</table>

P) Do you have any objections to our making enquiries of your present employer?
YES ☐ NO ☐

Q) Are you now, or have you ever been a permanent civil servant in your government’s employ?
YES ☐ NO ☐ If answer is "yes", WHEN?

R) REFERENCES: List three persons, not related to you, who are familiar with your character and qualifications.

<table>
<thead>
<tr>
<th>Full Name</th>
<th>Full Address</th>
<th>Business or Occupation</th>
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</table>

S) Have you been arrested, indicted, or summoned into court as a defendant in a criminal proceeding, or convicted, fined or imprisoned for the violation of any law (excluding minor traffic violations)?
YES ☐ NO ☐ If "yes", give full particulars of each case in an attached statement.

I certify that the statements made by me in answer to the foregoing questions are true, complete and correct to the best of my knowledge and belief. I understand that any misrepresentation or material omission made on a Personal History form or other document requested by the Organization may result in the termination of the service contract or special services agreement without notice.

DATE: ___________________________ SIGNATURE: ___________________________

NB. You will be requested to supply documentary evidence which support the statements you have made above. Do not, however, send any documentary evidence until you have been asked to do so and, in any event, do not submit the original texts of references or testimonials unless they have been obtained for the sole use of UNDP.

Annexes [please check all that applies]:
☐ CV shall include Education/Qualification, Processional Certification, Employment Records /Experience
GUIDELINES FOR CV PREPARATION

WE REQUEST THAT YOU USE THE FOLLOWING CHECKLIST WHEN PREPARING

Your CV: Limit the CV to 3 or 4 pages

NAME (First, Middle Initial, Family Name)
Address: City, Region/State, Province, Postal Code
Country:
Telephone, Facsimile and other numbers
Internet Address:
Sex, Date of Birth, Nationality, Other Citizenship, Marital Status
Company associated with (if applicable, include company name, contact person and phone number)

SUMMARY OF EXPERTISE
Field(s) of expertise (be as specific as possible)
Particular development competencies-thematic (e.g. Women in Development, NGOs, Privatization, Sustainable Development) or technical (e.g. project design/evaluation)
Credentials/education/training, relevant to the expertise

LANGUAGES
Mother Tongue:
Indicate written and verbal proficiency of your English:

SUMMARY OF RELEVANT WORK EXPERIENCE
Provide an overview of work history in reverse chronological order. Provide dates, your function/title, the area of work and the major accomplishments include honorarium/salary. References (name and contact email address) must be provided for each assignment undertaken by the consultant that UNDP may contact.

UN SYSTEM EXPERIENCE
If applicable, provide details of work done for the UN System including WB. Provide names and email address of UN staff who were your main contacts. Include honorarium/salary.

UNIVERSITY DEGREES
List the degree(s) and major area of study. Indicate the date (in reverse chronological order) and the name of the institution where the degree was obtained.

PUBLICATIONS
Provide total number of Publications and list the titles of 5 major publications (if any)

MISCELLANEOUS
Indicate the minimum and maximum time you would be available for consultancies and any other factors, including impediments or restrictions that should be taken into account in connection with your work with this assignment.
Annex V

FINANCIAL OFFER

Having examined the Solicitation Documents, I, the undersigned, offer to provide all the services in the TOR for the sum of VND for National Consultant and USD for International Consultant

This is a lump sum offer covering all associated costs for the required service (fee, meal, accommodation, travel, taxes etc).

<table>
<thead>
<tr>
<th>No.</th>
<th>Description</th>
<th>Quantity</th>
<th>Unit Rate</th>
<th>Total</th>
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<tr>
<td>1</td>
<td>Consultancy fee</td>
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<tr>
<td>2</td>
<td>Out of pocket expenses</td>
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<tr>
<td>2.1</td>
<td>Travel</td>
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<td>2.2</td>
<td>Per diem</td>
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<td>2.3</td>
<td>Full medical examination and Statement of Fitness to work for consultants from and above 65 years of age and involve travel – (required before issuing contract). *</td>
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<td>2.4</td>
<td>Others (pls. specify).............................................</td>
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<td>2.5</td>
<td>VAT** if applicable (in case your company signs the contract)</td>
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<td>Total</td>
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</tbody>
</table>

* Individual Consultants/Contractors who are over 65 years of age with assignments that require travel and are required, at their own cost, to undergo a full medical examination including x-rays and obtaining medical clearance from an UN-approved doctor prior to taking up their assignment.

** Individual Consultants/Contractors who request their employer to sign a Reimbursable Loan Agreement (RLA) with UNDP for their behalves are reminded to add the Value Added Tax into the total lump sum of the Financial Offer if applicable.

I undertake, if my proposal is accepted, to commence and complete delivery of all services specified in the contract within the time frame stipulated.

I agree to abide by this proposal for a period of 120 days from the submission deadline of the proposals.

Dated this day /month of year

Signature

(The costs should only cover the requirements identified in the Terms of Reference (TOR)
Travel expenses are not required if the consultant will be working from home).