

12 June 2022



INDIVIDUAL CONSULTANT PROCUREMENT NOTICE

for individual consultants and individual consultants assigned by consulting firms/institutions

Country:	Viet Nam
Description of the assignment:	01 National Consultant on Integrated NAP Planning and Budgeting
Period of assignment/services (if applicable):	90 working days from June 2022 to September 2022
Duty Station:	Ha Noi
Tender reference:	P220604

1. Submissions should be sent by email to: nguyen.ngoc.phuong@undp.org no later than:

12.00 hrs., 17 June 2022 (Hanoi time)

With subject line:

P220604 – National Consultant on Integrated NAP Planning and Budgeting

Submission received after that date or submission not in conformity with the requirements specified in this document will not be considered.

Note:

- Any individual employed by a company or institution who would like to submit an offer in response to this Procurement Notice must do so in their individual capacity, even if they expect their employers to sign a contract with UNDP.
- Maximum size per email is **30 MB**.
- Any request for clarification must be sent in writing, or by standard electronic communication to the address or e-mail indicated above. Procurement Unit – UNDP Viet Nam will respond in writing or by standard electronic mail and will send written copies of the response, including an explanation of the query without identifying the source of inquiry, to all consultants.
- After submitting proposal, bidder should send notification by email (without attachment) to: procurement.vn@undp.org informing that the bidder has submitted proposal. UNDP will not be responsible for the missing of proposal if the bidder does not send notification email to above address.
- Female consultants are encouraged to bid for this required service. Preference will be given to equally technically qualified female consultants.

2. Please find attached the relevant documents:

- [Term of References](#)..... (Annex I)

- [Individual Contract & General Conditions](#).....(Annex II)
- [Reimbursable Loan Agreement](#) (for a consultant assigned by a firm)..... (Annex III)
- [Letter to UNDP Confirming Interest and Availability](#).....(Annex IV)
- [Financial Proposal](#)..... (Annex V)

3. **Interested individual consultants must submit the following documents/information (in English, PDF Format)** to demonstrate their qualifications:

a. Technical component:

- CV including experience with a similar type of work
- Financial offer
- 02 sample reports in English

b. Financial proposal (with your signature):

- The financial proposal shall specify a total lump sum amount in **US dollar for International Consultant** including consultancy fees and all associated costs i.e. airfares, travel cost, meal, accommodation, tax, insurance etc. – see format of financial offer in Annex V.
- Please note that the cost of preparing a proposal and of negotiating a contract, including any related travel, is not reimbursable as a direct cost of the assignment.
- If quoted in other currency, prices shall be converted to the above currency at UN Exchange Rate at the submission deadline.

4. **Evaluation**

The technical component will be evaluated using the following criteria:

Consultant's experiences/qualification related to the services		
1	Postgraduate degree in the disciplines of economics, environmental science/ management, public administration, climate change or related field;	150
2	Minimum of 07 years' work experience in climate tracking/categorising climate change programs/activities/projects in Viet Nam;	150
3	Proven experience in undertaking CPEIR and/or budget tagging tool in Viet Nam;	200
4	Good knowledge of the legislative and policy aspects of the climate change and climate adaptation framework in Viet Nam	100
5	Strong knowledge about the provincial budget planning, political and socio-economic analysis related to the Vietnamese climate change development context;	100
6	Familiar understanding and experience in climate planning and budgeting information management system of the Government, particularly for the line ministries of MARD, MPI, MOT, MONRE and MOH will be an advantage	100
7	Good knowledge of gender equality and civil society participation are advantageous; and	100
8	Excellent English drafting, presentation, and facilitation skills (provision of two written reports/examples in English is required).	100
	Total	1,000

A two-stage procedure is utilized in evaluating the submissions, with evaluation of the technical components being completed prior to any price proposals being opened and compared.

The price proposal will be opened only for submissions that passed the minimum technical score of 70% of the obtainable score of 1000 points in the evaluation of the technical component. The technical component is evaluated on the basis of its responsiveness to the Term of Reference (TOR). Maximum 1000 points will be given to the lowest offer and the other financial proposals will receive the points inversely proportional to their financial offers. i.e. $S_f = 1000 \times F_m / F$, in which S_f is the financial score, F_m is the lowest price and F the price of the submission under consideration.

The weight of technical points is 70% and financial points is 30%.

Submission obtaining the highest weighted points (technical points + financial points) will be selected subject to positive reference checks on the consultant's past performance.

Interview with the candidates may be held if deemed necessary.

5. Contract

"Lump-sum" Individual Contract will be applied for freelance consultant (Annex II)

"Lump-sum" RLA will be applied for consultant assigned by firm/institution/organization (Annex III)

Documents required before contract signing:

- International consultant whose work involves travel is required to complete the courses on BSAFE which the new online security awareness training is and submit certificate to UNDP before contract issuance.
- Note: In order to access the courses, please go to the following link: <https://training.dss.un.org>
The training course takes around 3-4 hours to complete.
- Full medical examination and Statement of Fitness to work for consultants from and above 65 years of age and involve travel. (This is not a requirement for RLA contracts).
- Release letter in case the selected consultant is government official.

6. Payment

No.	Deliverables as indicated in Section IV	Due Date	Payment Amount
1	Product 1: Design a CC/CCA/SDG codification framework/methodological approach	7/10/2022	25% of the contract value
2	Product 2: 01 report/case study that undertake the coding and tagging exercise using budget and expenditure data at the most disaggregated level available in the existing database of MPI to track the Budget allocation performance for climate adaptation	8/20/2022	50% of the contract value
3	Product 3: Preparation of CC/CCA/SDG Budget Coding and Tagging guidelines and Capacity Building sessions conducted for the use of the CC/CCA/SDG Budget Coding and Tagging	9/15/2022	25% of the contract value

7. Your proposals are received on the basis that you fully understand and accept these terms and conditions.



**TERMS OF REFERENCE
INDIVIDUAL CONSULTANT**

Ref#: Enter Ref #

Name of service:	01 National Consultant on Integrated NAP Planning and Budgeting (90 days), Act. 2.1.1 This TOR is built on the results of: <ul style="list-style-type: none"> - MPI’s Deliverables of Baseline Report – outcome 1.1. of National Consultant on Climate Change Adaptation Planning) - MPI’s Deliverables on Climate Change Adaptation Planning - Outcome 1.1. 		
Project:	Viet Nam National Adaptation Plan (NAP) Development and Operationalization Support Project		
Reporting to:	GCF Project Manager/UNDP PO on Climate Change and Resilience and MPI Project Director		
Duty Station:	Ha Noi	Travel Required:	No
Duration of Assignment:	90 days		
Start Date:	6/20/2022	End Date:	9/15/2022

BACKGROUND & PROJECT DESCRIPTION

With its extensive coastline and deltaic plains, Viet Nam is considered to be among the most vulnerable countries to climate change globally. Extreme weather events such as typhoons and tropical storms have increased in intensity, magnifying socio-economic and health impacts on rural, urban, coastal, and mountain communities, and endangering critical transportation and drainage infrastructure. The Mekong Delta is one of the most susceptible deltas in the world to sea level rise.

To address climate change, the Government of Viet Nam developed a series of national climate change, green growth, and sustainable development policies, strategies, and action plans. One key planning instrument is the GoV’s National Strategy on Climate Change 2012-2020. To date, the government has been able to put in place several key climate change related decisions, including the landmark Plan for Implementation of the Paris Agreement in 2016 and approved its domestic National Adaptation Plan (NAP) in the year 2020. In addition, Viet Nam is among the first countries

to have submitted its Updated Nationally Determined Contribution (NDC) to the UNFCCC in July 2020, which has a strong adaptation component.

The main readiness challenge in Viet Nam to effectively address climate change is the lack of capacity in government structures to effectively integrate climate change adaptation into plans and budgets at different levels and to design, operationalize, finance, and monitor adaptation actions. Barriers include lack of technical capacity to integrate data and information, and undertake assessments for adaptation planning, lack of capacity for appraising adaptation options in sector and provincial departments, ineffective inter-ministerial coordination, lack of active participation from private sector and local communities, lack of financing strategies, limited government and external resources, and lack of participatory and results-based monitoring and evaluation frameworks.

To address these barriers, the **Viet Nam National Adaptation Plan (NAP) Development and Operationalization Support Project (NAP-SUP)** is designed along the following outcomes:

- **Outcome 1:** Capacity for data integration enhanced and National Adaptation Plan (NAP) prepared and aligned with NDC review;
- **Outcome 2:** National adaptation plan and CCA integrated into national, subnational, and sectoral development plans and budget of priority sectors with private sector participation; and
- **Outcome 3:** Participatory results-based monitoring and evaluation mechanism developed and operationalized.

These three outcomes and their associated activities will contribute to the overall objective of the project, which is to *“establish an effective system to integrate climate change adaptation into government administration processes in the priority sectors.”* The priority sectors to be addressed under this proposal are: Agriculture and Rural Development, Transport, Health, Natural Resources and Environment, and Planning and Investment.

Under the project support, UNDP has been mobilising 02 experts to initiate certain procedures and template, training to support the evidence-based adaptation planning. They include:

- 01 Expert on Base line report for CCA Planning, with the following Deliverables
 - i) A database on climate investment projects or pipelines from public and private sources;
 - ii) A set of tools to track compliance with social and environment safeguard requirements and socio-economic impacts assessments of adaptation projects and pipelines, particularly ensure minimum guidance of gender, poverty reductions, ethnic minority and vulnerable group inclusion and SDG synergies; and
 - iii) (A baseline report of climate change programming and budget tagging under MPI to identify current gaps, best practices, and develop recommendations to strengthen climate change mainstreaming in public investments.
- 01 Expert on Integrated Climate Change Adaptation Planning - Outcome 1.1. with the following Deliverables
 - iv) Develop a set of digitalized and standardize templates and guidelines for ministries and provinces to regularly update to MPI relevant information of climate investment projects; and
 - v) Conduct trainings with NAP priority ministry officers on standardized guidelines on climate change budget tracking and tagging.

To enhance the efficiency of evidence-based adaptation planning and NAP planning using a digitalising system for evidence-based climate change budgeting and planning, the project needs to mobilize a national consultant to provide additional support in design a codification system that would identify, tag, and monitor CC/CCA and SDG interventions across government and private sector investments, based on the CPEIR tool and the set of tools designed by the project consultant under Outcome 1.1 above.

OBJECTIVES

The main objective of the consultancy is to support in establishing a framework for Climate Change/Climate Adaptation and SDGs budget coding and tagging system for NAP process.

The Consultant will provide methodological procedures to design a standardized codification system that would identify, tag, and monitor CC/CCA and SDG interventions across government and private sector investments, based on the CPEIR tool and the set of tools designed by the project consultant under Outcome 1.1 above.

The consultant is expected to work with experts from MONRE, MOT, MARD, and MOH, as well as a range of international and national consultants, who are also mobilized by MPI and UNDP.

SCOPE OF WORK

To implement the objective, the consultant will work with MPI in Ha Noi and is expected to undertake the following activities during the assignment:

Task 1: Design a CC/CCA/SDG codification framework/methodological approach

- Review the existing CPEIR tool and approach that MPI is undertaking for categorization of climate finance for adaptation
- Review existing tools for budget tagging in Viet Nam and the region under the Outcome 1.1 above
- Work with the project consultant (Outcome 1.1.) on their design for several tools to track social economic impacts and social environment safeguard in Viet Nam
- Propose a coding and tagging framework for CC/CCA/SDGs for MPI/UNDP review and analytical results of the tagging

Task 2: Based on the framework/methodology undertake the coding and tagging exercise using budget and expenditure data at the most disaggregated level available

- Review the existing database of MPI on climate investment projects or pipelines from public and private sources
- Apply the coding system with the existing databases by MPI (Outcome 1.1) for improved analysis on budget allocation for CC/CCA/SDGs, including but not limited to adaptation sub-sectors, certain consideration of analysis on climate risk and impacts in the project/investment plan for adaptations, consideration of socio-economic safeguard requirements etc.
- Meet and consult with Project line ministries to consult on the process of application
- Propose and make adjustments to the framework and options to host the information (at MPI or NAP Portal etc.)

- Discuss on the Standard operation procedure (SOP) to apply the coding in the future by LMs and Provinces
- Produce 01 report analysis on Budget allocation for climate adaptation using the codification framework as a case study

Task 3: Preparation of CC/CCA/SDG Budget Coding and Tagging guidelines and Capacity Building sessions conducted for the use of the CC/CCA/SDG Budget Coding and Tagging.

- Preparation of a roadmap for sustaining the exercise in the government’s planning and budgeting system,
- Recommend steps to incorporating the exercise in the government’s computerized budgeting and accounting system and Chart of Accounts
- Proposed a dashboard to be hosted on the government’s website (e.g. MPI) for future development, including options for using the data for improved analysis and influencing budgetary allocations.

DELIVERABLES & IMPLEMENTATION TIMELINE

All deliverables shall be submitted in Vietnamese and English. The draft products shall be submitted at least 10 working days prior to the deadline of submission (target due date) as shown in the table below:

No.	Deliverable	Estimated days to complete	Target due date
1	Product 1: Design a CC/CCA/SDG codification framework/methodological approach	20	7/10/2022
2	Product 2: 01 report/case study that undertake the coding and tagging exercise using budget and expenditure data at the most disaggregated level available in the existing database of MPI to track the Budget allocation performance for climate adaptation	50	8/20/2022
3	Product 3: Preparation of CC/CCA/SDG Budget Coding and Tagging guidelines and Capacity Building sessions conducted for the use of the CC/CCA/SDG Budget Coding and Tagging	20	9/15/2022

DURATION OF ASSIGNMENT, DUTY STATION & EXPECTED PLACES OF TRAVEL

Estimated number of working days: 90 working days from 6/20/2022 to 9/15/2022.

Duty station: Ha Noi

Expected places of travel: No travels outside of the duty station.

PROVISION OF MONITORING & PROGRESS CONTROL

The consultant will work under the supervision of the MPI’s Project Director, the UNDP Project Manager and in the collaboration with MONRE.

All deliverables of the consultant will be submitted in Vietnamese and English. The progress and deliverables will be reviewed by UNDP Project Manager and relevant experts assigned by UNDP. The final products will be approved by UNDP and MPI.

ADMINISTRATIVE SUPPORT & REFERENCE DOCUMENTS

Administrative Support

All domestic travel requirement, if any, from the assignment will be arranged by UNDP separately. The consultant is to base in Hanoi.

The selected consultant will be responsible for providing necessary preparation for consultation meetings and workshops. Meetings/workshops will be facilitated or chaired by MPI and UNDP.

Reference Documents

Once selected, the consultant will receive detail project document, workplan and relevant reference by UNDP and MPI.

DEGREE OF EXPERTISE & QUALIFICATIONS

Qualifications	<ul style="list-style-type: none"> Postgraduate degree in the disciplines of economics, environmental science/ management, public administration, climate change or related field; (150 points)
Relevant Professional Experience	<ul style="list-style-type: none"> Minimum of 07 years' work experience in climate tracking/categorising climate change programs/activities/projects in Viet Nam; (150 points)
Other Competencies	<p>Proven experience in undertaking CPEIR and/or budget tagging tool in Viet Nam. (200 points)</p> <p>Good knowledge of the legislative and policy aspects of the climate change and climate adaptation framework in Viet Nam (100 points)</p> <p>Strong knowledge about the provincial budget planning, political and socio-economic analysis related to the Vietnamese climate change development context; (100 points)</p> <p>Familiar understanding and experience in climate planning and budgeting information management system of the Government, particularly for the line ministries of MARD, MPI, MOT, MONRE and MOH will be an advantage (100 points)</p> <p>Good knowledge of gender equality and civil society participation are advantageous; and (100 points)</p>
Language Requirements	<p>Excellent English drafting, presentation, and facilitation skills (provision of two written reports/examples in English is required). (100 points)</p>

PAYMENT TERMS

No.	Deliverables as indicated in Section IV	Due Date	Payment Amount
1	Product 1: Design a CC/CCA/SDG codification framework/methodological approach	7/10/2022	25% of the contract value
2	Product 2: 01 report/case study that undertake the coding and tagging exercise using budget and expenditure data at the most disaggregated level available in the existing database of MPI to track the Budget allocation performance for climate adaptation	8/20/2022	50% of the contract value
3	Product 3: Preparation of CC/CCA/SDG Budget Coding and Tagging guidelines and Capacity Building sessions conducted for the use of the CC/CCA/SDG Budget Coding and Tagging	9/15/2022	25% of the contract value

CONSULTANT PRESENCE REQUIRED ON DUTY STATION

NONE PARTIAL INTERMITTENT FULL-TIME

EVALUATION CRITERIA

NC responsible for developing training material modules, with exercises and case studies prepared for tracking/categorizing climate expenditure:

No.	Requirement	Points
1	Postgraduate degree in the disciplines of economics, environmental science/management, public administration, climate change or related field;	150
2	Minimum of 07 years' work experience in climate tracking/categorising climate change programs/activities/projects in Viet Nam;	150
3	Proven experience in undertaking CPEIR and/or budget tagging tool in Viet Nam;	200
4	Good knowledge of the legislative and policy aspects of the climate change and climate adaptation framework in Viet Nam	100
5	Strong knowledge about the provincial budget planning, political and socio-economic analysis related to the Vietnamese climate change development context;	100
6	Familiar understanding and experience in climate planning and budgeting information management system of the Government, particularly for the line	100

	ministries of MARD, MPI, MOT, MONRE and MOH will be an advantage	
7	Good knowledge of gender equality and civil society participation are advantageous; and	100
8	Excellent English drafting, presentation, and facilitation skills (provision of two written reports/examples in English is required).	100
	Total	1,000

Criteria for Evaluation of Proposal

Offers will be evaluated according to the Combined Scoring method – where the educational background and experience on similar assignments will be weighted at 70% and the financial proposal will weigh at 30% of the total scoring.

The applicant receiving the Highest Combined Score and meeting other requirements in the Procurement Notice will be awarded the contract.

Documents for Submission

Applicants will be expected to include the following along with their application:

I. Core Documents

Letter of Confirmation of Interest and Availability using the template provided by UNDP;

Current and complete CV in English;

02 similar **sample reports**;

Financial offer using the standard UNDP template.

ANNEX IV

OFFEROR'S LETTER TO UNDP CONFIRMING INTEREST AND AVAILABILITY FOR THE INDIVIDUAL CONTRACTOR (IC) ASSIGNMENT

Date _____

(Name of Resident Representative/Bureau Director)
United Nations Development Programme
(Specify complete office address)

Dear Sir/Madam:

I hereby declare that:

- A) I have read, understood and hereby accept the Terms of Reference describing the duties and responsibilities of [*indicate title of assignment*] under the [*state project title*];
- B) I have also read, understood and hereby accept UNDP's General Conditions of Contract for the Services of the Individual Contractors;
- C) I hereby propose my services and I confirm my interest in performing the assignment through the submission of my CV which I have duly signed and attached hereto as Annex 1;
- D) In compliance with the requirements of the Terms of Reference, I hereby confirm that I am available for the entire duration of the assignment, and I shall perform the services in the manner described in my proposed approach/methodology which I have attached hereto as Annex 3 [*delete this item if the TOR does not require submission of this document*];
- E) I hereby propose to complete the services based on the following payment rate: [*please check the box corresponding to the preferred option*]:
 - An all-inclusive daily fee of [*state amount in words and in numbers indicating currency*]
 - A total lump sum of [*state amount in words and in numbers, indicating exact currency*], payable in the manner described in the Terms of Reference.
- F) For your evaluation, the breakdown of the abovementioned all-inclusive amount is attached hereto as Annex V;
- G) I recognize that the payment of the abovementioned amounts due to me shall be based on my delivery of outputs within the timeframe specified in the TOR, which shall be subject to UNDP's review, acceptance and payment certification procedures;
- H) This offer shall remain valid for a total period of _____ days [*minimum of 90 days*] after the submission deadline;

I) I confirm that I have no first degree relative (mother, father, son, daughter, spouse/partner, brother or sister) currently employed with any UN agency or office *[disclose the name of the relative, the UN office employing the relative, and the relationship if, any such relationship exists];*

J) If I am selected for this assignment, I shall *[please check the appropriate box]:*

- Sign an Individual Contract with UNDP;
- Request my employer *[state name of company/organization/institution]* to sign with UNDP a Reimbursable Loan Agreement (RLA), for and on my behalf. The contact person and details of my employer for this purpose are as follows:

K) I hereby confirm that *[check all that applies]:*

- At the time of this submission, I have no active Individual Contract or any form of engagement with any Business Unit of UNDP;
- I am currently engaged with UNDP and/or other entities for the following work:

Assignment	Contract Type	UNDP Business Unit / Name of Institution/Company	Contract Duration	Contract Amount

- I am also anticipating conclusion of the following work from UNDP and/or other entities for which I have submitted a proposal:

Assignment	Contract Type	Name of Institution/ Company	Contract Duration	Contract Amount

L) I fully understand and recognize that UNDP is not bound to accept this proposal, and I also understand and accept that I shall bear all costs associated with its preparation and submission and that UNDP will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the selection process.

M) **If you are a former staff member of the United Nations recently separated, please add this section to your letter:** I hereby confirm that I have complied with the minimum break in service required before I can be eligible for an Individual Contract.

N) I also fully understand that, if I am engaged as an Individual Contractor, I have no expectations nor entitlements whatsoever to be re-instated or re-employed as a staff member.

O) Are any of your relatives employed by UNDP, any other UN organization or any other public international organization?

YES NO If the answer is "yes", give the following information:

Name	Relationship	Name of International Organization

P) Do you have any objections to our making enquiries of your present employer?
 YES NO

Q) Are you now, or have you ever been a permanent civil servant in your government's employ?
 YES NO If answer is "yes", WHEN?

R) REFERENCES: List three persons, not related to you, who are familiar with your character and qualifications.

Full Name	Full Address	Business or Occupation

S) Have you been arrested, indicted, or summoned into court as a defendant in a criminal proceeding, or convicted, fined or imprisoned for the violation of any law (excluding minor traffic violations)?
 YES NO If "yes", give full particulars of each case in an attached statement.

I certify that the statements made by me in answer to the foregoing questions are true, complete and correct to the best of my knowledge and belief. I understand that any misrepresentation or material omission made on a Personal History form or other document requested by the Organization may result in the termination of the service contract or special services agreement without notice.

DATE: _____

SIGNATURE: _____

NB. You will be requested to supply documentary evidence which support the statements you have made above. Do not, however, send any documentary evidence until you have been asked to do so and, in any event, do not submit the original texts of references or testimonials unless they have been obtained for the sole use of UNDP.

Annexes *[please check all that applies]:*

- CV shall include Education/Qualification, Professional Certification, Employment Records /Experience
- Breakdown of Costs Supporting the Final All-Inclusive Price as per Template

GUIDELINES FOR CV PREPARATION

WE REQUEST THAT YOU USE THE FOLLOWING CHECKLIST WHEN PREPARING

Your CV:

Limit the CV to 3 or 4 pages

NAME (First, Middle Initial, Family Name)

Address:

City, Region/State, Province, Postal Code

Country:

Telephone, Facsimile and other numbers

Internet Address:

Sex, Date of Birth, Nationality, Other Citizenship, Marital Status

Company associated with (if applicable, include company name, contact person and phone number)

SUMMARY OF EXPERTISE

Field(s) of expertise (be as specific as possible)

Particular development competencies-thematic (e.g. Women in Development, NGOs, Privatization, Sustainable Development) or technical (e.g. project design/evaluation)

Credentials/education/training, relevant to the expertise

LANGUAGES

Mother Tongue:

Indicate written and verbal proficiency of your English:

SUMMARY OF RELEVANT WORK EXPERIENCE

Provide an overview of work history in reverse chronological order. Provide dates, your function/title, the area of work and the major accomplishments include honorarium/salary. References (name and contact email address) must be provided for each assignment undertaken by the consultant that UNDP may contact.

UN SYSTEM EXPERIENCE

If applicable, provide details of work done for the UN System including WB. Provide names and email address of UN staff who were your main contacts. Include honorarium/salary.

UNIVERSITY DEGREES

List the degree(s) and major area of study. Indicate the date (in reverse chronological order) and the name of the institution where the degree was obtained.

PUBLICATIONS

Provide total number of Publications and list the titles of 5 major publications (if any)

MISCELLANEOUS

Indicate the minimum and maximum time you would be available for consultancies and any other factors, including impediments or restrictions that should be taken into account in connection with your work with this assignment.

