

*United Nations Development Programme*



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## **REQUEST FOR PROPOSAL**

**Consultancy firms, or Private sector Organizations to support the upgrade of Cassava, Sesame and Sunflower Value chains in Adjumani, Obongi and Lamwo districts, targeting refugees and host communities.**

**RFP No.: UNDP/UGA/RFP/2022/010**

**Country: UGANDA**

**Issued on: 29 June 2022**

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## Section 1. Letter of Invitation

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The United Nations Development Programme (UNDP) hereby invites you to submit a Proposal to this Request for Proposal (RFP) for the above-referenced subject.

This RFP includes the following documents and the General Terms and Conditions of Contract which is inserted in the Bid Data Sheet (BDS):

Section 1: This Letter of Invitation

Section 2: Instruction to Bidders

Section 3: Bid Data Sheet (BDS)

Section 4: Evaluation Criteria

Section 5: Terms of Reference

Section 6: Returnable Bidding Forms

- Form A: Technical Proposal Submission Form
- Form B: Bidder Information Form
- Form C: Joint Venture/Consortium/Association Information Form
- Form D: Qualification Form
- Form E: Format of Technical Proposal
- Form F: Financial Proposal Submission Form
- Form G: Financial Proposal Form

If you are interested in submitting a Proposal in response to this RFP, please prepare your Proposal in accordance with the requirements and procedure as set out in this RFP and submit it by the Deadline for Submission of Proposals set out in Bid Data Sheet.

Please acknowledge receipt of this RFP by sending an email to [ug.procurement@undp.org](mailto:ug.procurement@undp.org) indicating whether you intend to submit a Proposal or otherwise. You may also utilize the "Accept Invitation" function in eTendering system, where applicable. This will enable you to receive amendments or updates to the RFP. Should you require further clarifications, kindly communicate with the contact person/s identified in the attached Bid Data Sheet as the focal point for queries on this RFP.

UNDP looks forward to receiving your Proposal and thank you in advance for your interest in UNDP procurement opportunities.

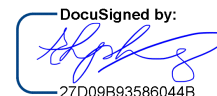
Issued by:



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Name: Richard Guma  
Title: Procurement Associate  
Date: June 29, 2022

Approved by:



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Name: Rose Plang  
Title: Procurement Specialist  
Date: June 29, 2022

## Section 2. Instruction to Bidders

<b>A. GENERAL PROVISIONS</b>	
<b>1. Introduction</b>	<p>1.1 Bidders shall adhere to all the requirements of this RFP, including any amendments in writing by UNDP. This RFP is conducted in accordance with the UNDP Programme and Operations Policies and Procedures (POPP) on Contracts and Procurement which can be accessed at <a href="https://popp.undp.org/SitePages/POPPBSUnit.aspx?TermID=254a9f96-b883-476a-8ef8-e81f93a2b38d">https://popp.undp.org/SitePages/POPPBSUnit.aspx?TermID=254a9f96-b883-476a-8ef8-e81f93a2b38d</a></p> <p>1.2 Any Proposal submitted will be regarded as an offer by the Bidder and does not constitute or imply the acceptance of the Proposal by UNDP. UNDP is under no obligation to award a contract to any Bidder as a result of this RFP.</p> <p>1.3 As part of the bid, it is desired that the Bidder registers at the United Nations Global Marketplace (UNGM) website (<a href="http://www.ungm.org">www.ungm.org</a>). The Bidder may still submit a bid even if not registered with the UNGM. However, if the Bidder is selected for contract award, the Bidder must register on the UNGM prior to contract signature.</p>
<b>2. Fraud &amp; Corruption, Gifts and Hospitality</b>	<p>2.1 UNDP strictly enforces a policy of zero tolerance on proscribed practices, including fraud, corruption, collusion, unethical or unprofessional practices, and obstruction of UNDP vendors and requires all bidders/vendors observe the highest standard of ethics during the procurement process and contract implementation. UNDP's Anti-Fraud Policy can be found at <a href="http://www.undp.org/content/undp/en/home/operations/accountability/audit/office_of_audit_andinvestigation.html#anti">http://www.undp.org/content/undp/en/home/operations/accountability/audit/office_of_audit_andinvestigation.html#anti</a></p> <p>2.2 Bidders/vendors shall not offer gifts or hospitality of any kind to UNDP staff members including recreational trips to sporting or cultural events, theme parks or offers of holidays, transportation, or invitations to extravagant lunches or dinners.</p> <p>2.3 In pursuance of this policy, UNDP  (a) Shall reject a proposal if it determines that the selected bidder has engaged in any corrupt or fraudulent practices in competing for the contract in question;  (b) Shall declare a vendor ineligible, either indefinitely or for a stated period of time, to be awarded a contract if at any time it determines that the vendor has engaged in any corrupt or fraudulent practices in competing for, or in executing a UNDP contract.</p> <p>2.4 All Bidders must adhere to the UN Supplier Code of Conduct, which may be found at <a href="https://www.un.org/Depts/ptd/sites/www.un.org.Depts.ptd/files/files/attachme nt/page/pdf/unsc c/conduct_english.pdf">https://www.un.org/Depts/ptd/sites/www.un.org.Depts.ptd/files/files/attachme nt/page/pdf/unsc c/conduct_english.pdf</a></p>
<b>3. Eligibility</b>	<p>3.1 A vendor should not be suspended, debarred, or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization. Vendors are therefore required to disclose to UNDP whether they are subject to any sanction or temporary suspension imposed by these organizations.</p> <p>3.2 It is the Bidder's responsibility to ensure that its employees, joint venture members, sub-contractors, service providers, suppliers and/or their employees meet the eligibility requirements as established by UNDP.</p>
<b>4. Conflict of Interests</b>	<p>4.1 Bidders must strictly avoid conflicts with other assignments or their own interests, and act without consideration for future work. Bidders found to have a conflict of interest shall be disqualified. Without limitation on the generality of the above, Bidders, and any of their affiliates, shall be considered to have a conflict of interest with one or more parties in this solicitation process, if they:</p> <p>a) Are or have been associated in the past, with a firm or any of its affiliates which have been engaged by UNDP to provide services for the preparation of the design, specifications, Terms of Reference, cost analysis/estimation, and other</p>

	<p>documents to be used for the procurement of the goods and services in this selection process.</p> <p>b) Were involved in the preparation and/or design of the programme/project related to the services requested under this RFP; or</p> <p>c) Are found to be in conflict for any other reason, as may be established by, or at the discretion of UNDP.</p> <p>4.2 In the event of any uncertainty in the interpretation of a potential conflict of interest, Bidders must disclose to UNDP, and seek UNDP's confirmation on whether or not such a conflict exists.</p> <p>4.3 Similarly, the Bidders must disclose in their proposal their knowledge of the following:</p> <p>a) If the owners, part-owners, officers, directors, controlling shareholders, of the bidding entity or key personnel are family members of UNDP staff involved in the procurement functions and/or the Government of the country or any Implementing Partner receiving services under this RFP; and</p> <p>b) All other circumstances that could potentially lead to actual or perceived conflict of interest, collusion or unfair competition practices.</p> <p>Failure to disclose such an information may result in the rejection of the proposal or proposals affected by the non-disclosure.</p> <p>4.4 The eligibility of Bidders that are wholly or partly owned by the Government shall be subject to UNDP's further evaluation and review of various factors such as being registered, operated and managed as an independent business entity, the extent of Government ownership/share, receipt of subsidies, mandate and access to information in relation to this RFP, among others. Conditions that may lead to undue advantage against other Bidders may result in the eventual rejection of the Proposal.</p>
<b>B. PREPARATION OF PROPOSALS</b>	
<b>5. General Considerations</b>	<p>5.1 In preparing the Proposal, the Bidder is expected to examine the RFP in detail. Material deficiencies in providing the information requested in the RFP may result in rejection of the Proposal.</p> <p>5.2 The Bidder will not be permitted to take advantage of any errors or omissions in the RFP. Should such errors or omissions be discovered, the Bidder must notify the UNDP</p>
<b>6. Cost of Preparation of Proposal</b>	<p>6.1 The Bidder shall bear any, and all costs related to the preparation and/or submission of the Proposal, regardless of whether its Proposal was selected or not. UNDP shall not be responsible or liable for those costs, regardless of the conduct or outcome of the procurement process.</p>
<b>7. Language</b>	<p>7.1 The Proposal, as well as any and all related correspondence exchanged by the Bidder and UNDP, shall be written in the language (s) specified in the BDS.</p>
<b>8. Documents Comprising the Proposal</b>	<p>8.1 The Proposal shall comprise of the following documents:</p> <p>a) Documents Establishing the Eligibility and Qualifications of the Bidder.</p> <p>b) Technical Proposal.</p> <p>c) Financial Proposal.</p> <p>d) Proposal Security, if required by BDS;</p> <p>e) Any attachments and/or appendices to the Proposal.</p>
<b>9. Documents Establishing the Eligibility and Qualifications of the</b>	<p>9.1 The Bidder shall furnish documentary evidence of its status as an eligible and qualified vendor, using the Forms provided under Section 6 and providing documents required in those forms. In order to award a contract to a Bidder, its qualifications must be documented to UNDP's satisfaction.</p>

Bidder	
<b>10. Technical Proposal Format and Content</b>	<p>10.1 The Bidder is required to submit a Technical Proposal using the Standard Forms and templates provided in Section 6 of the RFP.</p> <p>10.2 The Technical Proposal shall not include any price or financial information. A Technical Proposal containing material financial information may be declared non-responsive.</p> <p>10.3 Samples of items, when required as per Section 5, shall be provided within the time specified and unless otherwise specified by UNDP, and at no expense to UNDP</p> <p>10.4 When applicable and required as per Section 5, the Bidder shall describe the necessary training programme available for the maintenance and operation of the services and/or equipment offered as well as the cost to the UNDP. Unless otherwise specified, such training as well as training materials shall be provided in the language of the Bid as specified in the BDS.</p>
<b>11. Financial Proposals</b>	<p>11.1 The Financial Proposal shall be prepared using the Standard Form provided in Section 6 of the RFP. It shall list all major cost components associated with the services, and the detailed breakdown of such costs.</p> <p>11.2 Any output and activities described in the Technical Proposal but not priced in the Financial Proposal, shall be assumed to be included in the prices of other activities or items, as well as in the final total price.</p> <p>11.3 Prices and other financial information must not be disclosed in any other place except in the financial proposal.</p>
<b>12. Proposal Security</b>	<p>12.1 A Proposal Security, if required by BDS, shall be provided in the amount and form indicated in the BDS. The Proposal Security shall be valid up to thirty (30) days after the final date of validity of the Proposal.</p> <p>12.2 The Proposal Security shall be included along with the Technical Proposal. If Proposal Security is required by the RFP but is not found along with the Technical Proposal, the Proposal shall be rejected.</p> <p>12.3 If the Proposal Security amount or its validity period is found to be less than what is required by UNDP, UNDP shall reject the Proposal.</p> <p>12.4 In the event an electronic submission is allowed in the BDS, Bidders shall include a copy of the Bid Security in their proposal and the original of the Proposal Security must be sent via courier or hand delivery as per the instructions in BDS.</p> <p>12.5 The Proposal Security may be forfeited by UNDP, and the Proposal rejected, in the event of any one or combination, of the following conditions:</p> <ol style="list-style-type: none"> <li>If the Bidder withdraws its offer during the period of the Proposal Validity specified in the BDS, or;</li> <li>In the event that the successful Bidder fails: <ol style="list-style-type: none"> <li>to sign the Contract after UNDP has issued an award; or</li> </ol> </li> </ol> <p>12.6 to furnish the Performance Security, insurances, or other documents that UNDP may require as a condition precedent to the effectivity of the contract that may be awarded to the Bidder.</p>
<b>13. Currencies</b>	<p>13.1 All prices shall be quoted in the currency or currencies indicated in the BDS. Where Proposals are quoted in different currencies, for the purposes of comparison of all Proposals:</p> <ol style="list-style-type: none"> <li>UNDP will convert the currency quoted in the Proposal into the UNDP preferred currency, in accordance with the prevailing UN operational rate of exchange on the last day of submission of Proposals; and</li> <li>In the event that UNDP selects a proposal for award that is quoted in a currency different from the preferred currency in the BDS, UNDP shall reserve the right to award the contract in the currency of UNDP's preference, using the conversion method specified above.</li> </ol>
<b>14. Joint Venture,</b>	<p>14.1 If the Bidder is a group of legal entities that will form or have formed a Joint Venture (JV), Consortium or Association for the Proposal, they shall confirm in</p>

<b>Consortium or Association</b>	<p>their Proposal that : (i) they have designated one party to act as a lead entity, duly vested with authority to legally bind the members of the JV, Consortium or Association jointly and severally, which shall be evidenced by a duly notarized Agreement among the legal entities, and submitted with the Proposal; and (ii) if they are awarded the contract, the contract shall be entered into, by and between UNDP and the designated lead entity, who shall be acting for and on behalf of all the member entities comprising the joint venture.</p> <p>14.2 After the Deadline for Submission of Proposal, the lead entity identified to represent the JV, Consortium or Association shall not be altered without the prior written consent of UNDP.</p> <p>14.3 The lead entity and the member entities of the JV, Consortium or Association shall abide by the provisions of Clause 9 herein in respect of submitting only one proposal.</p> <p>14.4 The description of the organization of the JV, Consortium or Association must clearly define the expected role of each of the entity in the joint venture in delivering the requirements of the RFP, both in the Proposal and the JV, Consortium or Association Agreement. All entities that comprise the JV, Consortium or Association shall be subject to the eligibility and qualification assessment by UNDP.</p> <p>14.5 A JV, Consortium or Association in presenting its track record and experience should clearly differentiate between:</p> <ul style="list-style-type: none"> <li>a) Those that were undertaken together by the JV, Consortium or Association; and</li> <li>b) Those that were undertaken by the individual entities of the JV, Consortium or Association.</li> </ul> <p>14.6 Previous contracts completed by individual experts working privately but who are permanently or were temporarily associated with any of the member firms cannot be claimed as the experience of the JV, Consortium or Association or those of its members, but should only be claimed by the individual experts themselves in their presentation of their individual credentials.</p> <p>14.7 JV, Consortium or Associations are encouraged for high value, multi-sectoral requirements when the spectrum of expertise and resources required may not be available within one firm.</p>
<b>15. Only One Proposal</b>	<p>15.1 The Bidder (including the individual members of any Joint Venture) shall submit only one Proposal, either in its own name or as part of a Joint Venture.</p> <p>15.2 Proposals submitted by two (2) or more Bidders shall all be rejected if they are found to have any of the following:</p> <ul style="list-style-type: none"> <li>a) they have at least one controlling partner, director or shareholder in common; or</li> <li>b) any one of them receive or have received any direct or indirect subsidy from the other/s; or</li> <li>c) they have the same legal representative for purposes of this RFP; or</li> <li>d) they have a relationship with each other, directly or through common third parties, that puts them in a position to have access to information about, or influence on the Proposal of, another Bidder regarding this RFP process.</li> <li>e) they are subcontractors to each other's Proposal, or a subcontractor to one Proposal also submits another Proposal under its name as lead Bidder: or</li> <li>f) some key personnel proposed to be in the team of one Bidder participates in more than one Proposal received for this RFP process. This condition relating to the personnel, does not apply to subcontractors being included in more than one Proposal.</li> </ul>
<b>16. Proposal Validity Period</b>	<p>16.1 Proposals shall remain valid for the period specified in the BDS, commencing on the Deadline for Submission of Proposals. A Proposal valid for a shorter period may be rejected by UNDP and rendered non-responsive.</p>

	16.2	During the Proposal validity period, the Bidder shall maintain its original Proposal without any change, including the availability of the Key Personnel, the proposed rates and the total price.
<b>17. Extension of Proposal Validity Period</b>	17.1	In exceptional circumstances, prior to the expiration of the proposal validity period, UNDP may request Bidders to extend the period of validity of their Proposals. The request and the responses shall be made in writing and shall be considered integral to the Proposal.
	17.2	If the Bidder agrees to extend the validity of its Proposal, it shall be done without any change in the original Proposal.
	17.3	The Bidder has the right to refuse to extend the validity of its Proposal, and in which case, such Proposal will not be further evaluated.
<b>18. Clarification of Proposal</b>	18.1	Bidders may request clarifications on any of the RFP documents no later than the date indicated in the BDS. Any request for clarification must be sent in writing in the manner indicated in the BDS. If inquiries are sent other than specified channel, even if they are sent to a UNDP staff member, UNDP shall have no obligation to respond or confirm that the query was officially received.
	18.2	UNDP will provide the responses to clarifications through the method specified in the BDS.
	18.3	UNDP shall endeavor to provide responses to clarifications in an expeditious manner, but any delay in such response shall not cause an obligation on the part of UNDP to extend the submission date of the Proposals, unless UNDP deems that such an extension is justified and necessary.
<b>19. Amendment of Proposals</b>	19.1	At any time prior to the deadline of Proposal submission, UNDP may for any reason, such as in response to a clarification requested by a Bidder, modify the RFP in the form of an amendment to the RFP. Amendments will be made available to all prospective bidders.
	19.2	If the amendment is substantial, UNDP may extend the Deadline for submission of proposal to give the Bidders reasonable time to incorporate the amendment into their Proposals.
<b>20. Alternative Proposals</b>	20.1	Unless otherwise specified in the BDS, alternative proposals shall not be considered. If submission of alternative proposal is allowed by BDS, a Bidder may submit an alternative proposal, but only if it also submits a proposal conforming to the RFP requirements. UNDP shall only consider the alternative proposal offered by the Bidder whose conforming proposal ranked the highest as per the specified evaluation method. Where the conditions for its acceptance are met, or justifications are clearly established, UNDP reserves the right to award a contract based on an alternative proposal.
	20.2	If multiple/alternative proposals are being submitted, they must be clearly marked as "Main Proposal" and "Alternative Proposal"
<b>21. Pre-Bid Conference</b>	21.1	When appropriate, a Bidder's conference will be conducted at the date, time and location specified in the BDS. All Bidders are encouraged to attend. Non-attendance, however, shall not result in disqualification of an interested Bidder. Minutes of the Bidder's conference will be disseminated on the procurement website and shared by email or on the e-Tendering platform as specified in the BDS. No verbal statement made during the conference shall modify the terms and conditions of the RFP, unless specifically incorporated in the Minutes of the Bidder's Conference or issued/posted as an amendment to RFP.
<b>C. SUBMISSION AND OPENING OF PROPOSALS</b>		



	<p>c) Documents which are required to be in original form (e.g., Bid Security, etc.) must be sent via courier or hand delivery as per the instructions in BDS.</p> <p>d) Detailed instructions on how to submit, modify or cancel a bid in the eTendering system are provided in the eTendering system Bidder User Guide and Instructional videos available on this link:  <a href="http://www.undp.org/content/undp/en/home/operations/procurement/business/procurement-notices/resources/">http://www.undp.org/content/undp/en/home/operations/procurement/business/procurement-notices/resources/</a></p>
<b>23. Deadline for Submission of Proposals and Late Proposals</b>	<p>23.1 Complete Proposals must be received by UNDP in the manner, and no later than the date and time, specified in the BDS. UNDP shall only recognize the date and time that the bid was received by UNDP</p> <p>23.2 UNDP shall not consider any Proposal that is submitted after the deadline for the submission of Proposals.</p>
<b>24. Withdrawal, Substitution, and Modification of Proposals</b>	<p>24.1 A Bidder may withdraw, substitute or modify its Proposal after it has been submitted at any time prior to the deadline for submission.</p> <p>24.2 Manual and Email submissions: A bidder may withdraw, substitute or modify its Proposal by sending a written notice to UNDP, duly signed by an authorized representative, and shall include a copy of the authorization (or a Power of Attorney). The corresponding substitution or modification of the Proposal, if any, must accompany the respective written notice. All notices must be submitted in the same manner as specified for submission of proposals, by clearly marking them as "WITHDRAWAL" "SUBSTITUTION," or "MODIFICATION"</p> <p>24.3 eTendering: A Bidder may withdraw, substitute or modify its Proposal by Canceling, Editing, and re-submitting the proposal directly in the system. It is the responsibility of the Bidder to properly follow the system instructions, duly edit and submit a substitution or modification of the Proposal as needed. Detailed instructions on how to cancel or modify a Proposal directly in the system are provided in Bidder User Guide and Instructional videos.</p> <p>24.4 Proposals requested to be withdrawn shall be returned unopened to the Bidders (only for manual submissions), except if the bid is withdrawn after the bid has been opened</p>
<b>25. Proposal Opening</b>	<p>25.1 There is no public bid opening for RFPs. UNDP shall open the Proposals in the presence of an ad-hoc committee formed by UNDP, consisting of at least two (2) members. In the case of e-Tendering submission, bidders will receive an automatic notification once their proposal is opened.</p>
<b>D. EVALUATION OF PROPOSALS</b>	
<b>26. Confidentiality</b>	<p>26.1 Information relating to the examination, evaluation, and comparison of Proposals, and the recommendation of contract award, shall not be disclosed to Bidders or any other persons not officially concerned with such process, even after publication of the contract award.</p> <p>26.2 Any effort by a Bidder or anyone on behalf of the Bidder to influence UNDP in the examination, evaluation and comparison of the Proposals or contract award decisions may, at UNDP's decision, result in the rejection of its Proposal and may be subject to the application of prevailing UNDP's vendor sanctions procedures.</p>
<b>27. Evaluation of Proposals</b>	<p>27.1 The Bidder is not permitted to alter or modify its Proposal in any way after the proposal submission deadline except as permitted under Clause 24 of this RFP. UNDP will conduct the evaluation solely on the basis of the submitted Technical and Financial Proposals.</p> <p>27.2 Evaluation of proposals is made of the following steps:</p> <ol style="list-style-type: none"> <li>Preliminary Examination</li> <li>Minimum Eligibility and Qualification (if pre-qualification is not done)</li> <li>Evaluation of Technical Proposals</li> <li>Evaluation of Financial Proposals</li> </ol>

<b>28. Preliminary Examination</b>	<p>28.1 UNDP shall examine the Proposals to determine whether they are complete with respect to minimum documentary requirements, whether the documents have been properly signed, and whether the Proposals are generally in order, among other indicators that may be used at this stage. UNDP reserves the right to reject any Proposal at this stage.</p>
<b>29. Evaluation of Eligibility and Qualification</b>	<p>29.1 Eligibility and Qualification of the Bidder will be evaluated against the Minimum Eligibility/Qualification requirements specified in the Section 4 (Evaluation Criteria).</p> <p>29.2 In general terms, vendors that meet the following criteria may be considered qualified:</p> <ul style="list-style-type: none"> <li>e) They are not included in the UN Security Council 1267/1989 Committee's list of terrorists and terrorist financiers, and in UNDP's ineligible vendors' list;</li> <li>f) They have a good financial standing and have access to adequate financial resources to perform the contract and all existing commercial commitments,</li> <li>g) They have the necessary similar experience, technical expertise, production capacity where applicable, quality certifications, quality assurance procedures and other resources applicable to the provision of the services required.</li> <li>h) They are able to comply fully with UNDP General Terms and Conditions of Contract.</li> <li>i) They do not have a consistent history of court/arbitral award decisions against the Bidder; and</li> <li>j) They have a record of timely and satisfactory performance with their clients.</li> </ul>
<b>30. Evaluation of Technical and Financial Proposals</b>	<p>30.1 The evaluation team shall review and evaluate the Technical Proposals on the basis of their responsiveness to the Terms of Reference and other RFP documents, applying the evaluation criteria, sub-criteria, and point system specified in the Section 4 (Evaluation Criteria). A Proposal shall be rendered non-responsive at the technical evaluation stage if it fails to achieve the minimum technical score indicated in the BDS. When necessary and if stated in the BDS, UNDP may invite technically responsive bidders for a presentation related to their technical proposals. The conditions for the presentation shall be provided in the bid document where required.</p> <p>30.2 In the second stage, only the Financial Proposals of those Bidders who achieve the minimum technical score will be opened for evaluation. The Financial Proposals corresponding to Technical Proposals that were rendered non-responsive shall remain unopened, and, in the case of manual submission, be returned to the Bidder unopened. For emailed Proposals and e-tendering submissions, UNDP will not request for the password of the Financial Proposals of bidders whose Technical Proposal were found not responsive.</p> <p>30.3 The evaluation method that applies for this RFP shall be as indicated in the BDS, which may be either of two (2) possible methods, as follows: (a) the lowest priced method which selects the lowest evaluated financial proposal of the technically responsive Bidders; or (b) the combined scoring method which will be based on a combination of the technical and financial score.</p> <p>30.4 When the BDS specifies a combined scoring method, the formula for the rating of the Proposals will be as follows:</p> <div style="border: 1px solid black; padding: 10px; margin-top: 10px;"> <p><u>Rating the Technical Proposal (TP):</u></p> <p style="text-align: center;"><b>TP Rating</b> = (Total Score Obtained by the Offer / Max. Obtainable Score for TP) x 100</p> <p><u>Rating the Financial Proposal (FP):</u></p> <p style="text-align: center;"><b>FP Rating</b> = (Lowest Priced Offer / Price of the Offer Being Reviewed) x 100</p> </div>

	<p><u>Total Combined Score:</u></p> <p><b>Combined Score</b> = (TP Rating) x (Weight of TP, e.g. 70%) + (FP Rating) x (Weight of FP, e.g., 30%)</p>
<b>31. Due Diligence</b>	<p>31.1 UNDP reserves the right to undertake a due diligence exercise, also called post qualification, aimed at determining to its satisfaction, the validity of the information provided by the Bidder. Such exercise shall be fully documented and may include, but need not be limited to, all or any combination of the following:</p> <ul style="list-style-type: none"> <li>a) Verification of accuracy, correctness and authenticity of information provided by the Bidder.</li> <li>b) Validation of extent of compliance to the RFP requirements and evaluation criteria based on what has so far been found by the evaluation team.</li> <li>c) Inquiry and reference checking with Government entities with jurisdiction on the Bidder, or with previous clients, or any other entity that may have done business with the Bidder.</li> <li>d) Inquiry and reference checking with previous clients on the performance on on-going or contracts completed, including physical inspections of previous works, as necessary.</li> <li>e) Physical inspection of the Bidder's offices, branches or other places where business transpires, with or without notice to the Bidder.</li> <li>f) Other means that UNDP may deem appropriate, at any stage within the selection process, prior to awarding the contract.</li> </ul>
<b>32. Clarification of Proposals</b>	<p>32.1 To assist in the examination, evaluation and comparison of Proposals, UNDP may, at its discretion, ask any Bidder for a clarification of its Proposal.</p> <p>32.2 UNDP's request for clarification and the response shall be in writing and no change in the prices or substance of the Proposal shall be sought, offered, or permitted, except to provide clarification, and confirm the correction of any arithmetic errors discovered by UNDP in the evaluation of the Proposals, in accordance with RFP.</p> <p>32.3 Any unsolicited clarification submitted by a Bidder in respect to its Proposal, which is not a response to a request by UNDP, shall not be considered during the review and evaluation of the Proposals.</p>
<b>33. Responsiveness of Proposal</b>	<p>33.1 UNDP's determination of a proposal's responsiveness will be based on the contents of the Proposal itself. A substantially responsive Proposal is one that conforms to all the terms, conditions, TOR and other requirements of the RFP without material deviation, reservation, or omission.</p> <p>33.2 If a Proposal is not substantially responsive, it shall be rejected by UNDP and may not subsequently be made responsive by the Bidder by correction of the material deviation, reservation, or omission.</p>
<b>34. Nonconformities, Reparable Errors and Omissions</b>	<p>34.1 Provided that a Proposal is substantially responsive, UNDP may waive any non-conformities or omissions in the Proposal that, in the opinion of UNDP, do not constitute a material deviation.</p> <p>34.2 UNDP may request the Bidder to submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities or omissions in the Proposal related to documentation requirements. Such omission shall not be related to any aspect of the price of the Proposal. Failure of the Bidder to comply with the request may result in the rejection of its Proposal.</p> <p>34.3 For Financial Proposal that has been opened, UNDP shall check, and correct arithmetical errors as follows:</p> <ul style="list-style-type: none"> <li>a) if there is a discrepancy between the unit price and the line-item total that is obtained by multiplying the unit price by the quantity, the unit price shall prevail and the line-item total shall be corrected, unless in the opinion of UNDP there is an obvious misplacement of the decimal point in the unit price; in which case the line item total as quoted shall govern and the unit</li> </ul>

	<p>price shall be corrected;</p> <p>b) if there is an error in a total corresponding to the addition or subtraction of subtotals, the subtotals shall prevail, and the total shall be corrected; and</p> <p>c) if there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail.</p> <p>34.4 If the Bidder does not accept the correction of errors made by UNDP, its Proposal shall be rejected.</p>
<b>E. AWARD OF CONTRACT</b>	
<b>35. Right to Accept, Reject, Any or All Proposals</b>	35.1 UNDP reserves the right to accept or reject any Proposal, to render any or all of the Proposals as non-responsive, and to reject all Proposals at any time prior to award of contract, without incurring any liability, or obligation to inform the affected Bidder(s) of the grounds for UNDP's action. UNDP shall not be obliged to award the contract to the lowest priced offer.
<b>36. Award Criteria</b>	36.1 Prior to expiration of the proposal validity, UNDP shall award the contract to the qualified Bidder based on the award criteria indicated in the BDS.
<b>37. Debriefing</b>	37.1 In the event that a Bidder is unsuccessful, the Bidder may request a debriefing from UNDP. The purpose of the debriefing is to discuss the strengths and weaknesses of the Bidder's submission, in order to assist the Bidder in improving its future proposals for UNDP procurement opportunities. The content of other proposals and how they compare to the Bidder's submission shall not be discussed.
<b>38. Right to Vary Requirements at the Time of Award</b>	38.1 At the time of award of Contract, UNDP reserves the right to vary the quantity of services and/or goods, by up to a maximum twenty-five per cent (25%) of the total offer, without any change in the unit price or other terms and conditions.
<b>39. Contract Signature</b>	39.1 Within fifteen (15) days from the date of receipt of the Contract, the successful Bidder shall sign and date the Contract and return it to UNDP. Failure to do so may constitute sufficient grounds for the annulment of the award, and forfeiture of the Proposal Security, if any, and on which event, UNDP may award the Contract to the Second Ranked Bidder or call for new Proposals.
<b>40. Contract Type and General Terms and Conditions</b>	40.1 The types of Contract to be signed and the applicable UNDP Contract General Terms and Conditions, as specified in BDS, can be accessed at <a href="http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html">http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html</a>
<b>41. Performance Security</b>	41.1 40.1 A performance security, if required in BDS, shall be provided in the amount specified in BDS and form available at <a href="https://popp.undp.org/_layouts/15/WopiFrame.aspx?sourcedoc=/UNDP_POPP_DOCUMENT_LIBRARY/Public/PSU_Solicitation_Performance%20Guarantee%20Form.docx&amp;action=default">https://popp.undp.org/_layouts/15/WopiFrame.aspx?sourcedoc=/UNDP_POPP_DOCUMENT_LIBRARY/Public/PSU_Solicitation_Performance%20Guarantee%20Form.docx&amp;action=default</a> within fifteen (15) days of the contract signature by both parties. Where a performance security is required, the receipt of the performance security by UNDP shall be a condition for rendering the contract effective.
<b>42. Bank Guarantee for Advanced Payment</b>	42.1 Except when the interests of UNDP so require, it is UNDP's preference to make no advance payment(s) (i.e., payments without having received any outputs). If an advance payment is allowed as per BDS, and exceeds 20% of the total contract price, or USD 30,000, whichever is less, the Bidder shall submit a Bank Guarantee in the full amount of the advance payment in the form available at <a href="https://popp.undp.org/_layouts/15/WopiFrame.aspx?sourcedoc=/UNDP_POPP">https://popp.undp.org/_layouts/15/WopiFrame.aspx?sourcedoc=/UNDP_POPP</a>

	<a href="#">DOCUMENT LIBRARY/Public/PSU_Contract%20Management%20Payment%20and%20Taxes_Advanced%20Payment%20Guarantee%20Form.docx&amp;action=default</a>
<b>43. Liquidated Damages</b>	43.1 If specified in BDS, UNDP shall apply Liquidated Damages resulting from the Contractor's delays or breach of its obligations as per the Contract.
<b>44. Payment Provisions</b>	44.1 Payment will be made only upon UNDP's acceptance of the work performed. The terms of payment shall be within thirty (30) days, after receipt of invoice and certification of acceptance of work issued by the proper authority in UNDP with direct supervision of the Contractor. Payment will be affected by bank transfer in the currency of contract.
<b>45. Vendor Protest</b>	45.1 UNDP's vendor protest procedure provides an opportunity for appeal to those persons or firms did not award a contract through a competitive procurement process. In the event that a Bidder believes that it was not treated fairly, the following link provides further details regarding UNDP vendor protest procedures: <a href="http://www.undp.org/content/undp/en/home/operations/procurement/business/protest-and-sanctions.html">http://www.undp.org/content/undp/en/home/operations/procurement/business/protest-and-sanctions.html</a>
<b>46. Other Provisions</b>	<p>46.1 In the event that the Bidder offers a lower price to the host Government (e.g., General Services Administration (GSA) of the federal government of the United States of America) for similar services, UNDP shall be entitled to same lower price. The UNDP General Terms and Conditions shall have precedence.</p> <p>46.2 UNDP is entitled to receive the same pricing offered by the same Contractor in contracts with the United Nations and/or its Agencies. The UNDP General Terms and Conditions shall have precedence.</p> <p>46.3 The United Nations has established restrictions on employment of (former) UN staff who have been involved in the procurement process as per bulletin ST/SGB/2006/15  <a href="http://www.un.org/en/ga/search/view_doc.asp?symbol=ST/SGB/2006/15&amp;refer">http://www.un.org/en/ga/search/view_doc.asp?symbol=ST/SGB/2006/15&amp;refer</a></p>

### Section 3. Bid Data Sheet

The following data for the services to be procured shall complement, supplement, or amend the provisions in the Request for Proposals. In the case of a conflict between the Instructions to Bidders, the Data Sheet, and other annexes or references attached to the Data Sheet, the provisions in the Data Sheet shall prevail.

BDS No.	Ref. to Section.2	Data	Specific Instructions / Requirements
1	7	Language of the Proposal	English
2		Submitting Proposals for Parts or sub-parts of the TOR (partial bids)	Not Allowed
3	20	Alternative Proposals	Shall not be considered

4	21	Pre-proposal conference	Will not be conducted
5	10	Proposal Validity Period	90 days
6	14	Bid Security	Not Required
7	41	Advanced Payment upon signing of contract	Not Allowed
8	42	Liquidated Damages	Will not be imposed
9	40	Performance Security	Not Required
10	18	Currency of Proposal	Local currency Ugandan Shillings
11	31	Deadline for submitting requests for clarifications/questions	4 days before the submission deadline
12	31	Contact Details for submitting clarifications/questions	Focal Person in UNDP: The Head of Procurement E-mail address: <a href="mailto:ug.procurement@undp.org">ug.procurement@undp.org</a>
13	18, 19 and 21	Manner of Disseminating Supplemental Information to the RFP and responses/clarifications to queries	Direct communication to prospective Proposers by email
14	23	Deadline for Submission	11:59pm local time 14 <sup>th</sup> July 2022
14	22	Allowable Manner of Submitting Proposals	<b>e-Tendering</b> eTendering submission - as indicated in eTendering system. Note that system time zone is in EST/EDT (New York) time zone.
15	22	Proposal Submission Address	<a href="https://etendering.partneragencies.org">https://etendering.partneragencies.org</a>  BU Code: UGA10 Event ID number: 0000012982

16	22	Electronic submission (email or eTendering) requirements	<ul style="list-style-type: none"> <li>▪ Format: PDF files only</li> <li>▪ File names must be maximum 60 characters long and must not contain any letter or special character other than from Latin alphabet/keyboard.</li> <li>▪ All files must be free of viruses and not corrupted.</li> <li>▪ Password for financial proposal <u>must</u> not be provided to UNDP until requested by UNDP</li> <li>▪ Mandatory subject of email for clarifications: <b>CLARIFICATION UNDP/UGA/RFP/2022/010</b></li> </ul>
17	27 36	Evaluation Method for the Award of Contract	Combined Scoring Method, using the 70%-30% distribution for technical and financial proposals respectively The minimum technical score required to pass is 70%.
18		Expected date for commencement of Contract	<i>August 1, 2022</i>
19		Maximum expected duration of contract	16 months
20	35	UNDP will award the contract to:	One or more Proposers, depending on the following factors: <ul style="list-style-type: none"> <li>• <b>Competitive technical and financial bid</b></li> </ul>
21	39	Type of Contract	Purchase Order and Contract for Goods and Services for UNDP <a href="http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html">http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html</a>
22	39	UNDP Contract Terms and Conditions that will apply	UNDP General Terms and Conditions for Professional Services <a href="http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html">http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html</a>
23		Other Information Related to the RFP	<i>Not applicable</i>

## Section 4. Evaluation Criteria

### Preliminary Examination Criteria

Proposals will be examined to determine whether they are complete and submitted in accordance with RFP requirements as per below criteria on a Yes/No basis:

- Appropriate signatures
- Minimum documents provided
- Technical and Financial Proposals submitted separately
- Bid Validity

### Minimum Eligibility and Qualification Criteria

Eligibility and Qualification will be evaluated on Pass/Fail basis.

If the Proposal is submitted as a Joint Venture/Consortium/Association, each member should meet minimum criteria, unless otherwise specified in the criterion.

Subject	Criteria	Document Submission requirement
<b>ELIGIBILITY</b>		
<b>Legal Status</b>	Vendor is a legally registered entity in Uganda.	Form B: Bidder Information Form
<b>Eligibility</b>	Vendor is not suspended, nor debarred, nor otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization in accordance with ITB clause 3.	Form A: Technical Proposal Submission Form
<b>Conflict of Interest</b>	No conflicts of interest in accordance with ITB clause 4.	Form A: Technical Proposal Submission Form
<b>Bankruptcy</b>	Not declared bankruptcy, not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against the vendor that could impair its operations in the foreseeable future.	Form A: Technical Proposal Submission Form
<b>QUALIFICATION</b>		
<b>History of Non-Performing Contracts</b>	Non-performance of a contract did not occur as a result of contractor default for the last 3 years.	Form D: Qualification Form
<b>Litigation History</b>	No consistent history of court/arbitral award decisions against the Bidder for the last 3 years.	Form D: Qualification Form
<b>Previous Experience</b>	Minimum 2 years of relevant experience.	Form D: Qualification Form
	Minimum 2 contracts of similar value, nature and complexity implemented over the last 3 years. <i>(For JV/Consortium/Association, all Parties cumulatively should meet requirement).</i>	Form D: Qualification Form
<b>Financial Standing</b>	Bidder must demonstrate the current soundness of its financial standing and indicate its prospective long-term profitability. <i>(For JV/Consortium/Association, all Parties cumulatively should meet requirement).</i>	Form D: Qualification Form
	Any additional criteria if required	

### Technical Evaluation Criteria

Summary of Technical Proposal Evaluation Forms		Points Obtainable
1.	Bidder's qualification, capacity, and experience	300
2.	Proposed Methodology, Approach, and Implementation Plan	400
3.	Management Structure and Key Personnel	300
	<b>Total</b>	<b>1000</b>

Section 1. Bidder's qualification, capacity, and experience		Points obtainable
1.1	Reputation of Organization and Staff Credibility / Reliability / Industry Standing <ul style="list-style-type: none"> <li>Legally registered in Uganda, with a valid operating license</li> </ul>	70
1.2	General Organizational Capability which is likely to affect implementation: management structure, financial stability and project financing capacity, project management controls, extent to which any work would be subcontracted <ul style="list-style-type: none"> <li>Should be financially sound and stable with latest Audited Financial Statement – income statement and balance sheet to indicate its financial stability, liquidity, credit standing, and market reputation, etc.</li> </ul>	100
1.3	Relevance of specialized knowledge and experience on similar engagements done in the region/country <ul style="list-style-type: none"> <li>Building Resilient Communities, Wetland Ecosystems and Associated Catchments</li> </ul>	80
1.4	Quality assurance procedures and risk mitigation measures	50
<b>Total Section 1</b>		<b>300</b>

Section 2. Proposed Methodology, Approach, and Implementation Plan		Points obtainable
2.1	Understanding of the requirement: Have the important aspects of the task been addressed in sufficient detail? Are the different components of the project adequately weighted relative to one another?	60
2.2	Description of the Offeror's approach and methodology for meeting or exceeding the requirements of the Terms of Reference	120
2.3	Details on how the different service elements shall be organized, controlled, and delivered	50
2.4	Description of available performance monitoring and evaluation mechanisms and tools; how they shall be adopted and used for a specific requirement	50
2.5	Assessment of the implementation plan proposed including whether the activities are properly sequenced and if these are logical and realistic	50
2.6	Demonstration of ability to plan, integrate and effectively implement sustainability measures in the execution of the contract	70
<b>Total Section 2</b>		<b>400</b>

Section 3. Management Structure and Key Personnel			Points obtainable
3.1	Composition and structure of the team proposed. Are the proposed roles of the management and the team of key personnel suitable for the provision of the necessary services?		30
3.2	Qualifications of key personnel proposed		
3.2 a	Team Leader (1)		
	<ul style="list-style-type: none"> <li>The Team leader must possess an advanced university degree in Agricultural Economics, Development studies, Rural development, or other related fields and at least 3 staff with a minimum of bachelor's degree in any one of the above indicated specializations (15 Points)</li> <li>Minimum of 15 years for Team leader and 4 years for other team members in relevant professional job experience (market systems development, local economic development, value chain upgrade, strategic planning, etc. (10 Points)</li> <li>Proven experience in planning, implementing, and monitoring livelihoods programmes (and managing multiple projects) (10 Points)</li> <li>Experience in working with international and national NGOs and UN organizations, or with government authorities at national level. (05 Points)</li> <li>Expertise in value chains, good knowledge of the country agricultural dynamics (10 Points).</li> <li>Good knowledge of value chain development in refugee context within the small and medium scale enterprises with a bias in agribusiness and understanding of market dynamics in Uganda. (5 Points)</li> <li>Proven experience in documentation and report writing (5 Points)</li> <li>Ability to act professionally and flexibility to engage with government officials, political and culture leaders, donor representatives, development partner representatives, private sector, and communities (5 Points)</li> <li>Value chain analytical and benchmarking techniques relevant to the sector. (5 Points)</li> </ul> <p>Team of consultants should have expertise in value chain development, experience in multiple consulting teams, ability to manage fixed scheduled assignments.</p>	70	70
3.2 b	Technical staff (1 <sup>st</sup> )		

	<ul style="list-style-type: none"> <li>• The Technical staff involved in the activity must possess a university degree in either Agricultural Economics, Development studies, Rural development, or other related fields (10 Points)</li> <li>• Minimum of 5 years market systems development, local economic development, value chain upgrade, strategic planning, etc.) (10 Points)</li> <li>• Proven experience in planning, implementing, and monitoring livelihoods programmes (and managing multiple projects) (10 Points)</li> <li>• Experience in working with international and national NGOs and UN organizations, or with government authorities at national level. (05 Points)</li> <li>• Expertise in value chains, good knowledge of the country agricultural dynamics. (10 Points)</li> <li>• Good knowledge of value chain development in refugee context within the small and medium scale enterprises with a bias in agribusiness and understanding of market dynamics in Uganda. 10 Points)</li> <li>• Proven experience in documentation and report writing (5 Points)</li> <li>• Ability to act professionally and flexibility to engage with government officials, political and culture leaders, donor representatives, development partner representatives, private sector, and communities (5 Points)</li> <li>• Value chain analytical and benchmarking techniques relevant to the sector. (5 Points)</li> </ul>	70	70
3.2 c	Technical staff (2 <sup>nd</sup> )		
	<ul style="list-style-type: none"> <li>• The Technical staff involved in the activity must possess a university degree in either Agricultural Economics, Development studies, Rural development, or other related fields (10 Points)</li> <li>• Minimum of 5 years market systems development, local economic development, value chain upgrade, strategic planning, etc.) (10 Points)</li> <li>• Proven experience in planning, implementing, and monitoring livelihoods programmes (and managing multiple projects) (10 Points)</li> <li>• Experience in working with international and national NGOs and UN organizations, or with government authorities at national level. (05 Points)</li> <li>• Expertise in value chains, good knowledge of the country agricultural dynamics. (10 Points)</li> <li>• Good knowledge of value chain development in refugee context within the small and medium scale enterprises with a bias in agribusiness and understanding of market dynamics in Uganda. 10 Points)</li> <li>• Proven experience in documentation and report writing (5 Points)</li> <li>• Ability to act professionally and flexibility to engage with government officials, political and culture leaders, donor representatives, development partner representatives, private sector, and communities (5 Points)</li> <li>• Value chain analytical and benchmarking techniques relevant to the sector. (5 Points)</li> </ul>	70	70
3.2d	Monitoring and Evaluation Staff (1)		

	<ul style="list-style-type: none"> <li>• The M&amp;E specialist must possess a university degree in either social sciences, statistics, Development studies, Rural development, or other related fields with a post-graduate certificate in monitoring and evaluation (10 Points)</li> <li>• Minimum of 3 years' experience in monitoring and evaluation of market systems development programmes. (10 Points)</li> <li>• Proven experience in designing M&amp;E frameworks and tools.</li> <li>• Experience in monitoring of livelihoods programmes (10 Points)</li> <li>• Experience in working with international and national NGOs and UN organizations, or with government authorities at national level. (5 Points)</li> <li>• Expertise in value chains, good knowledge of the country agricultural dynamics. (5 Points)</li> <li>• Good knowledge of value chain development in refugee context within the small and medium scale enterprises in Uganda. (5 Points)</li> <li>• Proven experience in documentation and report writing (5 Points)</li> <li>• Ability to act professionally and flexibility to engage with government officials, political and culture leaders, donor representatives, development partner representatives, private sector, and communities (5 Points)</li> <li>• Value chain analytical and benchmarking techniques relevant to the sector (5 Points)</li> </ul>	60	60
<b>Total Section 3</b>			<b>300</b>

## Section 5. Terms of Reference

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### **Consultancy firms, or Private sector Organizations to support the upgrade of Cassava, Sesame and Sunflower Value chains in Adjumani, Obongi and Lamwo districts, targeting refugees and host communities**

**Organization:** UNDP

**Location:** Refugee Hosting districts of Adjumani, Obongi and Lamwo in Uganda

**Type of Contract:** Professional services

**Duration of contract:** 16 months

**Title:** Value Chain Upgrade

#### **1.0: Overview**

The United Nations Development Programme (UNDP) is the UN's global development network, advocating for change and connecting countries to knowledge, experience, and resources to help people build a better life. UNDP in partnership with the private sector, development partners, civil society and academia is supporting the Government of the Republic of Uganda (Government) to achieve sustainable development outcomes, create opportunities for empowerment, protect the environment, minimize natural and man-made disasters, build strategic partnerships, and improve the quality of life for all citizens.

UNDP Uganda with support from the Government of the Republic of South Korea and working in partnership with the District Local Governments of Adjumani, Obongi and Lamwo is implementing the Uganda Host and Refugee Community Empowerment Project' that started 2019 and will be concluding in 2023. This is an area-based, gender-responsive emergency livelihoods and economic recovery project, implemented using the UNDP 3X6 model. The overall objective of this project is to improve the economic livelihoods of Refugees and Host communities with an emphasis on women and youth in refugee-hosting communities. Two major inter-related outcomes are expected: (i) Socio-economic gender equality in the context of livelihood improved, (ii) Enhanced capacities for mainstreaming gender equity and Gender Based Violence (GBV) prevention among key sub-national government agencies, communities, livelihood actors, and private sector. The project aims to directly support 7,200 individual beneficiaries and over 36,000 people indirectly. Uganda Host and Refugee Community Empowerment (UHRCEP) Project conducted a farm and non-farm value chain assessment in 2021. The assessment was aimed at building a clear understanding of constraints that prevent Refugees and Host community members from exploiting opportunities along the value chains of selected commodities. The assessment also aimed to provide a mapping of possible interventions that can be undertaken to build the capacity of the target communities, to exploit economic opportunities for improved livelihoods and to provide strategic recommendations for UNDP in upgrading the selected value chains.

The value chain study identified three agricultural value chains for upgrade (Cassava, Sesame, and sunflower) in the three districts. The study recommended the production and aggregation value chain nodes as the key entry points for value chain upgrading because they provide the turning points for the value chain functionality and a greater multiplier effect across the value chains. It

emphasized innovations that focus on the improved coordination of production, technological upgrading to improve harvest and post-harvest management on one hand.

## **2.0: Rationale for the assignment:**

The refugee and host communities in Adjumani, Lamwo and Obongi practice subsistence farming despite having vast land that supports agriculture in northern Uganda. Access to land is a major challenge among refugees. Besides access to land, both refugees and host communities are constrained by challenges in accessing inputs, labor to manage larger acreage and markets for their produce. One of the key factors responsible for this situation is the lack of organization and coordination mechanism among the refugees and host communities to harness the existing social capital in order to increase access to land for farming for refugees and vulnerable groups like women and youth. There is need to coordinate production and procurement of inputs to attract credible suppliers, create economies of scale and reduce input acquisition transaction costs and consolidate their farm produce by bulking for collective marketing, negotiate premium prices, reduce transaction costs and increase the profitability of their farm enterprises. The lack of organization and market orientation at the production level where refugees and host community farmers are active and the absence of an organized farmer-based intermediary organization like a cooperative is responsible for the dysfunctional and exclusionary behavior of the agro-value chains.

The informality in the production and consolidation segments of the value chains has affected the ability of smallholder farmers to exploit the vast market opportunities along with the farm and non-farm value chains.

The above opportunities have been created by several economic recovery programs implemented by UNDP, Government, and several non-government organizations in refugee-hosting areas. Key among these include the cash for work support, agricultural input distribution and vouchers. In addition to these, several value chain, agricultural sector as well as entrepreneurship development programs have been implemented by the government, humanitarian, and development Non-Government Organizations (NGOs). These and others underscore the need to address the transformation of the selected value chain participation of smallholder refugee and host community farmers from informal to formal business engagements as a strategy to upgrade the selected primary (sesame and cassava) value chains and the secondary (grain/flour milling and Bakery & restaurant) micro-enterprise value chains. The recommendation to promote sunflower in Obongi as a complimentary value chain to sesame and cassava is because the current crushing capacity of 1.2Metric tones per hour cannot support a rapid increase in supply and when operated at full capacity may pose a challenge to stock build-up of finished product in the absence of a clear and competitive marketing and distribution strategy. Sunflower and sesame oils are premium oils that are afforded by the upscale and more competitive market.

### **3.0: Key constraints identified in the value chains:**

Cassava, Sesame and Sunflower production are viable value chains with potential to improve livelihood of refugees and host communities in Uganda but has been affected by the following constraints:

- a) Low level of investment and technical support for the value chains.
- b) Limited financing and investment in smallholder farm business enterprises due to limited access to Credit for production.
- c) Limited farm business and entrepreneurial knowledge and skills needed to increase productivity, manage profitable farm enterprise, and start and grow micro-enterprises.
- d) Poor organization and lack of coordinated collective action to achieve market economies of scale as a pre-requisite for smallholder farmer competitiveness and market/value chain inclusion.
- e) Limited access to quality seed and other inputs
- f) Pests and diseases affecting crop production
- g) Lack of technical knowledge and skills in commercial production of cassava, sesame, and sunflower.
- h) Market is not well organized in terms of information dissemination and linkages of producers to processors or exporters.

### **4.0: Purpose of the Value Chain Upgrade**

The proposed value chain upgrading for the sesame, cassava and sunflower value chains is expected to be implemented over a period 16 months and will focus on two turning points of each of the three, farm-based value chains identified during the Market Assessment study, that is to say;

- 1. The production segment,
- 2. The consolidation segment,

#### **4.1. Objectives**

The overall objective of the upgrade is to attract investments at all levels of the value chain needed to increase value chain competitiveness and inclusiveness. The value chain upgrade seeks to achieve the following objectives and contribute to project outcome 1.0 (Socio-economic gender equality in the context of livelihood improved) and output 1.1 (Strengthened economic capacities of women in refugees and host communities through livelihood opportunities). The first level intervention will be to mobilize farmers for production and organize them into formal producer groups to include them in the formal economy and build their capacity to engage in formal trading engagements.

**The objective is to;**

1. To increase the inclusion and participation of women, youth and refugees in the sesame, cassava, and sunflower (for Obongi) value chains to improve household incomes.
2. To build the capacity of the Multi-purpose Cooperative in each of the three districts to perform the role of an intermediary trading organization linking up production and the market to increase member incomes and profitability of their farm enterprises.
3. To organize a multi-stakeholder platform involving all the key value chain actors to address challenges, opportunities, and value chain bottlenecks within the value chain development process.
4. To build the capacity of the multi-purpose cooperative to coordinate production, facilitate collective marketing and build forward market linkages and contracting, and act as a center for value addition, entrepreneurship development and business inclusion for the members.
5. To address key cross-cutting issues which include mindset change to prepare the beneficiaries for the transition from the humanitarian and subsistence mindset to an agribusiness and market-oriented mindset.

## **5.0: Call for proposals**

UNDP is making available funds for 18 months to contribute towards addressing some of the constraints and strengthen the functioning of the Cassava, Sesame and Sunflower value chains so that their potential can be realized. The agency seeks to engage a firm with the objective of upgrading these value chains in the three districts (Adjumani, Lamwo and Obongi) working with refugees and host communities by carrying out the following activities:

### **5.1: Key Activities**

- a) Develop group selection criteria for active farmers ready to produce.
- b) Mobilize the beneficiaries of the project to access land to produce at least 1 acre each of sesame, Sunflower, and cassava each year.
- c) Train the groups on group dynamics, leadership, and financial literacy.
- d) Mobilize and organize the project beneficiaries into producer groups of 30-40 members and support them to register as producer cooperative societies under the Cooperative Societies (Amendment) Act, 2020.
- e) Support Producer associations develop constitutions and register at the subcounty and district (District Commercial Officer) levels as primary cooperative societies.
- f) Provide the farmers with quality inputs in terms of seeds, rhizobia, and stock of the necessary and environmentally friendly agro-chemicals to protect the crop from pests and diseases.
- g) Provide extension services to the farmer to produce Cassava, Sesame and Sunflower following good agronomic practices.
- h) Facilitate the farmers to access financial education and business development services to better manage their financial resources and link the farmers to financial institutions to access finance for production or other needs
- i) Facilitate the capacity development of the farmer groups or associations into cooperative societies to be able to better serve their members.
- j) Facilitate market linkages between farmers and off-taker of Cassava, Sesame and Sunflower.

- k) Support farmer groups to increase the quantity of cassava, Sesame and sunflower produced and required by bulk buyers in Obongi district through block farming (i.e. production and productivity enhancement)
- l) Engage private players (both farm and off farm business ventures) through multi-stakeholder innovative platform to link farmers to buyers and bind them through MoU or contracts
- m) Develop a robust market information system to inform traders and farmers on product quantities and commodity prices in project locations.
- n) Organize and support the farmer-producer cooperative societies into a Multi-purpose Cooperative in accordance with the Cooperative Societies (Amendment) Act, 2020.
- o) To coordinate and facilitate the farmer households working through the producer group societies to access quality and affordable inputs, mobilize savings and access credit for production and organize bulking of the farmers group to promote collective marketing.
- p) Agricultural extension services and production planning for the members working through the farmer producer group cooperative societies
- q) Set up demonstration gardens for learning purposes under the selected value chains.
- r) Identification of private sector actor for contract farming within the selected value chain to stimulate production amongst producer associations
- s) Mobilize resources and capital for investment in building and expanding the cooperatives business model to achieve market competitiveness, business sustainability and operate as a profitable business going concern responding to the economic and social welfare of the members.
- t) Conduct exposure/learning visits of Primary cooperative societies executives to already existing Cooperative for exposure and knowledge sharing to stimulate growth within formed Primary Cooperative Societies.
- u) Promotion of market research by Producer association committees (Market committee, Finance committee and production committee through digital inclusion.
- v) Develop the monitoring and evaluation framework for the intervention.

## 5.2 Key Deliverables

- 1) At least 10 farmer groups formed in each of the focus sub counties and 2 cooperative societies in the selected districts.
- 2) Developed guidelines, training materials and handouts for the value chain upgrade.
- 3) Operational farmer groups and cooperative societies in the districts of focus.
- 4) A developed and functioning monitoring and evaluation framework for the interventions.
- 5) Developed robust market information system to inform traders and farmers on product quantities and commodity prices in project locations.
- 6) Monthly, quarterly and end of intervention reports.

## 6.0: Geographical Location

The value chains upgrade will be conducted in the three refugee hosting districts of Adjumani, and Obongi of Uganda.

## 7.0: Supervision/Reporting line

To achieve the envisaged objectives, the service provider will work under the overall supervision of the Project Manager Uganda Host and refugee community empowerment project and the team leader Governance and Peace UNDP. The contractor will receive guidance from other UNDP specialty units and relevant Government Ministries/Departments as deemed necessary.

### **8.0: Proposed Timeframe**

The assignment should be conducted for 16 months starting from August 1st, 2022.

### **9.0: Payment Schedule/modality**

- a) Thirty percent (30%) will be paid upon submission of inception report with detailed workplan.
- b) Fifty percent (50%) will be paid upon submission of a progress report which includes a financial report accompanied by receipts for services and goods procured.
- c) Twenty percent (20%) will be paid after submission of a final technical and financial report.

### **10.0: Qualifications and experience required:**

- UNDP is seeking the services of a companies, Civil Society organizations, Consultancy firms, or Private sector Organizations with experience in similar tasks as per section 5.0 of this TOR.
- The firm must be legally registered in Uganda, with a valid operating license.
- Should have experience undertaking value chain upgrade in a refugee setting.
- Employ experienced, technical, and professional staff with expertise and skills of undertaking these tasks.

### **11.0: Expertise of staff.**

The contractor must have staff with the following qualifications and experience.

#### **11.1: Team leader:**

The Team leader must possess an advanced university degree in Agricultural Economics, Development studies, Rural development, or other related fields and at least 3 staff with a minimum of bachelor's degree in any one of the above indicated specializations.

#### **Professional Experience:**

- Minimum of 15 years for Team leader and 4 years for other team members in relevant professional job experience (market systems development, local economic development, value chain upgrade, strategic planning, etc.)
- Proven experience in planning, implementing, and monitoring livelihoods programmes (and managing multiple projects)
- Experience in working with international and national NGOs and UN organizations, or with government authorities at national level.
- Expertise in value chains, good knowledge of the country agricultural dynamics.
- Good knowledge of value chain development in refugee context within the small and medium scale enterprises with a bias in agribusiness and understanding of market dynamics in Uganda.
- Proven experience in documentation and report writing

- Ability to act professionally and flexibility to engage with government officials, political and culture leaders, donor representatives, development partner representatives, private sector, and communities
- Value chain analytical and benchmarking techniques relevant to the sector.
- Team of consultants should have expertise in value chain development, experience in multiple consulting teams, ability to manage fixed scheduled assignments.

### **11.2: At least two (2) technical staffs**

The Technical staffs involved in the activity must possess a university degree in either Agricultural Economics, Development studies, Rural development, or other related fields.

#### **Professional Experience:**

- Minimum of 5 years market systems development, local economic development, value chain upgrade, strategic planning, etc.)
- Proven experience in planning, implementing, and monitoring livelihoods programmes (and managing multiple projects)
- Experience in working with international and national NGOs and UN organizations, or with government authorities at national level.
- Expertise in value chains, good knowledge of the country agricultural dynamics.
- Good knowledge of value chain development in refugee context within the small and medium scale enterprises with a bias in agribusiness and understanding of market dynamics in Uganda.
- Proven experience in documentation and report writing
- Ability to act professionally and flexibility to engage with government officials, political and culture leaders, donor representatives, development partner representatives, private sector, and communities
- Value chain analytical and benchmarking techniques relevant to the sector.

### **11.3: Monitoring and Evaluation (M&E) Specialist:**

The M&E specialist must possess a university degree in either social sciences, statistics, Development studies, Rural development, or other related fields with a post-graduate certificate in monitoring and evaluation

#### **Professional Experience:**

- Minimum of 3 years' experience in monitoring and evaluation of market systems development programmes.
- Proven experience in designing M&E frameworks and tools.
- Experience in monitoring of livelihoods programmes
- Experience in working with international and national NGOs and UN organizations, or with government authorities at national level.
- Expertise in value chains, good knowledge of the country agricultural dynamics.
- Good knowledge of value chain development in refugee context within the small and medium scale enterprises in Uganda.
- Proven experience in documentation and report writing
- Ability to act professionally and flexibility to engage with government officials, political and culture leaders, donor representatives, development partner representatives, private sector, and communities

- Value chain analytical and benchmarking techniques relevant to the sector.

## **12.0 Application process**

Interested organizations, which meet the criteria should submit separate technical and financial proposals for each value chain, not later than July 14th, 2022.

### **Please follow the guidance below:**

- i) Not more than 10-page technical proposal based on the understanding of each of the three value chains (Cassava, Sesame and Sunflower) outlining opportunities, challenges, the key activities and how they will deliver on the assignment for each value chain.
- ii) Should state experience, strengths and comparative advantages in market systems development or work in related agricultural value chain development together with their role in the delivery of the interventions, whether they will implement or facilitate the delivery of services to the refugees and host communities and the different actors that they will collaborate with in the delivery of the assignment.
- iii) Should highlight the target group and the results that they intend to achieve in the three value chains over the project period.
- iv) Describe how they will conduct monitoring and evaluation of the activities together with documentation and dissemination of lessons learnt.
- v) State the relevant experience of key staff who will be involved in the delivery of the assignment, their experience, and roles.
- vi) Operational and financial costs that they will incurred in the delivery of the assignment outlined in a concise financial proposal.

### **vii) Note that the upgrade for each Value Chain per district is as below.**

- Cassava Value Chain - Obongi, Adjumani, and Lamwo Districts
- Sesame Value Chain - Adjumani, and Lamwo Districts
- Sunflower Value Chain - Obongi District

## Section 6: Returnable Bidding Forms / Checklist

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This form serves as a checklist for preparation of your Proposal. Please complete the Returnable Bidding Forms in accordance with the instructions in the forms and return them as part of your proposal submission. No alteration to format of forms shall be permitted and no substitution shall be accepted.

Before submitting your Proposal, please ensure compliance with the Proposal Submission instructions of the BDS 22.

### Technical Proposal Envelope:

<b>Have you duly completed all the Returnable Bidding Forms?</b>	
▪ Form A: Technical Proposal Submission Form	<input type="checkbox"/>
▪ Form B: Bidder Information Form	<input type="checkbox"/>
▪ Form C: Joint Venture/Consortium/ Association Information Form	<input type="checkbox"/>
▪ Form D: Qualification Form	<input type="checkbox"/>
▪ Form E: Format of Technical Proposal	<input type="checkbox"/>
▪ Form H: Proposal Security Form	<input type="checkbox"/>
▪ [Add other forms as necessary]	<input type="checkbox"/>
<b>Have you provided the required documents to establish compliance with the evaluation criteria in Section 4?</b>	<input type="checkbox"/>

### Financial Proposal Envelope

**(Must be submitted in a separate sealed envelope/password protected email)**

▪ Form F: Financial Proposal Submission Form	<input type="checkbox"/>
▪ Form G: Financial Proposal Form	<input type="checkbox"/>

**Form A: Technical Proposal Submission Form**

<b>Name of Bidder:</b>	[Insert Name of Bidder]	<b>Date:</b>	Select date
<b>RFP reference:</b>	[Insert RFP Reference Number]		

We, the undersigned, offer to provide the services for [Insert Title of services] in accordance with your Request for Proposal No. [Insert RFP Reference Number] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and our Financial Proposal sealed under a separate envelope.

We hereby declare that our firm, its affiliates or subsidiaries or employees, including any JV/Consortium /Association members or subcontractors or suppliers for any part of the contract:

- a) is not under procurement prohibition by the United Nations, including but not limited to prohibitions derived from the Compendium of United Nations Security Council Sanctions Lists;
- b) have not been suspended, debarred, sanctioned or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization.
- c) have no conflict of interest in accordance with Instruction to Bidders Clause 4;
- d) do not employ, or anticipate employing, any person(s) who is, or has been a UN staff member within the last year, if said UN staff member has or had prior professional dealings with our firm in his/her capacity as UN staff member within the last three years of service with the UN (in accordance with UN post-employment restrictions published in ST/SGB/2006/15);
- e) have not declared bankruptcy, are not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against them that could impair their operations in the foreseeable future;
- f) undertake not to engage in proscribed practices, including but not limited to corruption, fraud, coercion, collusion, obstruction, or any other unethical practice, with the UN or any other party, and to conduct business in a manner that averts any financial, operational, reputational or other undue risk to the UN and we embrace the principles of the United Nations Supplier Code of Conduct and adhere to the principles of the United Nations Global Compact.

We declare that all the information and statements made in this Proposal are true and we accept that any misinterpretation or misrepresentation contained in this Proposal may lead to our disqualification and/or sanctioning by the UNDP.

We offer to provide services in conformity with the Bidding documents, including the UNDP General Conditions of Contract and in accordance with the Terms of Reference

Our Proposal shall be valid and remain binding upon us for the period of time specified in the Bid Data Sheet.

We understand and recognize that you are not bound to accept any Proposal you receive.

I, the undersigned, certify that I am duly authorized by [Insert Name of Bidder] to sign this Proposal and bind it should UNDP accept this Proposal.

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

*[Stamp with official stamp of the Bidder]*

**Form B: Bidder Information Form**

<b>Legal name of Bidder</b>	[Complete]
<b>Legal address</b>	[Complete]
<b>Year of registration</b>	[Complete]
<b>Bidder's Authorized Representative Information</b>	Name and Title: [Complete] Telephone numbers: [Complete] Email: [Complete]
<b>Are you a UNGM registered vendor?</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No      If yes, [insert UGNM vendor number]
<b>Are you a UNDP vendor?</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No      If yes, [insert UNDP vendor number]
<b>Countries of operation</b>	[Complete]
<b>No. of full-time employees</b>	[Complete]
<b>Quality Assurance Certification (e.g. ISO 9000 or Equivalent) (If yes, provide a Copy of the valid Certificate):</b>	[Complete]
<b>Does your Company hold any accreditation such as ISO 14001 related to the environment? (If yes, provide a Copy of the valid Certificate):</b>	[Complete]
<b>Does your Company have a written Statement of its Environmental Policy? (If yes, provide a Copy)</b>	[Complete]
<b>Contact person UNDP may contact for requests for clarification during Proposal evaluation</b>	Name and Title: [Complete] Telephone numbers: [Complete] Email: [Complete]
<b>Please attach the following documents:</b>	<ul style="list-style-type: none"> <li>▪ Company Profile, which should <u>not</u> exceed fifteen (15) pages, including printed brochures and product catalogues relevant to the goods/services being procured</li> <li>▪ Certificate of Incorporation/ Business Registration</li> <li>▪ Tax Registration/Payment Certificate issued by the Internal Revenue Authority evidencing that the Bidder is updated with its tax payment obligations, or Certificate of Tax exemption, if any such privilege is enjoyed by the Bidder</li> <li>▪ Trade name registration papers, if applicable</li> <li>▪ Local Government permit to locate and operate in assignment location, if applicable</li> <li>▪ Official Letter of Appointment as local representative, if Bidder is submitting a Bid in behalf of an entity located outside the country</li> </ul>

**Form C: Joint Venture/Consortium/Association Information Form**

<b>Name of Bidder:</b>	[Insert Name of Bidder]	<b>Date:</b>	Select date
<b>RFP reference:</b>	[Insert RFP Reference Number]		

To be completed and returned with your Proposal if the Proposal is submitted as a Joint Venture/Consortium/Association.

No	Name of Partner and contact information ( <i>address, telephone numbers, fax numbers, e-mail address</i> )	Proposed proportion of responsibilities (in %) and type of services to be performed
1	[Complete]	[Complete]
2	[Complete]	[Complete]
3	[Complete]	[Complete]

<b>Name of leading partner</b>  (with authority to bind the JV, Consortium, Association during the RFP process and, in the event a Contract is awarded, during contract execution)	[Complete]
--	------------

We have attached a copy of the below document signed by every partner, which details the likely legal structure of and the confirmation of joint and severable liability of the members of the said joint venture:

☐ Letter of intent to form a joint venture    **OR**    ☐ JV/Consortium/Association agreement

We hereby confirm that if the contract is awarded, all parties of the Joint Venture/Consortium/Association shall be jointly and severally liable to UNDP for the fulfillment of the provisions of the Contract.

Name of partner:  
\_\_\_\_\_  
  
 Signature: \_\_\_\_\_  
  
 Date: \_\_\_\_\_

Name of partner:  
\_\_\_\_\_  
  
 Signature: \_\_\_\_\_  
  
 Date: \_\_\_\_\_

Name of partner:  
\_\_\_\_\_  
  
 Signature: \_\_\_\_\_  
  
 Date: \_\_\_\_\_

Name of partner:  
\_\_\_\_\_  
  
 Signature: \_\_\_\_\_  
  
 Date: \_\_\_\_\_

Form D: Qualification Form

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

If JV/Consortium/Association, to be completed by each partner.

Historical Contract Non-Performance

<input type="checkbox"/> Contract non-performance did not occur for the last 3 years			
<input type="checkbox"/> Contract(s) not performed for the last 3 years			
Year	Non- performed portion of contract	Contract Identification	Total Contract Amount (current value in US\$)
		Name of Client: Address of Client: Reason(s) for non-performance:	

Litigation History (including pending litigation)

<input type="checkbox"/> No litigation history for the last 3 years			
<input type="checkbox"/> Litigation History as indicated below			
Year of dispute	Amount in dispute (in US\$)	Contract Identification	Total Contract Amount (current value in US\$)
		Name of Client: Address of Client: Matter in dispute: Party who initiated the dispute: Status of dispute: Party awarded if resolved:	

### Previous Relevant Experience

Please list only previous similar assignments successfully completed in the last 3 years.

List only those assignments for which the Bidder was legally contracted or sub-contracted by the Client as a company or was one of the Consortium/JV partners. Assignments completed by the Bidder's individual experts working privately or through other firms cannot be claimed as the relevant experience of the Bidder, or that of the Bidder's partners or sub-consultants, but can be claimed by the Experts themselves in their CVs. The Bidder should be prepared to substantiate the claimed experience by presenting copies of relevant documents and references if so requested by UNDP.

Project name & Country of Assignment	Client & Reference Contact Details	Contract Value	Period of activity and status	Types of activities undertaken

*Bidders may also attach their own Project Data Sheets with more details for assignments above.*

☐ Attached are the Statements of Satisfactory Performance from the Top 3 (three) Clients or more.

### Financial Standing

Annual Turnover for the last 3 years	Year	USD
	Year	USD
	Year	USD
Latest Credit Rating (if any), indicate the source		

Financial information (in US\$ equivalent)	Historic information for the last 3 years		
	Year 1	Year 2	Year 3
	<i>Information from Balance Sheet</i>		
Total Assets (TA)			
Total Liabilities (TL)			
Current Assets (CA)			
Current Liabilities (CL)			
	<i>Information from Income Statement</i>		
Total / Gross Revenue (TR)			
Profits Before Taxes (PBT)			
Net Profit			
Current Ratio			

☐ Attached are copies of the audited financial statements (balance sheets, including all related notes, and income statements) for the years required above complying with the following condition:

- a) Must reflect the financial situation of the Bidder or party to a JV, and not sister or parent companies.
- b) Historic financial statements must be audited by a certified public accountant.

- c) Historic financial statements must correspond to accounting periods already completed and audited. No statements for partial periods shall be accepted.

**Form E: Format of Technical Proposal**

<b>Name of Bidder:</b>	[Insert Name of Bidder]	<b>Date:</b>	Select date
<b>RFP reference:</b>	[Insert RFP Reference Number]		

The Bidder's proposal should be organized to follow this format of Technical Proposal. Where the bidder is presented with a requirement or asked to use a specific approach, the bidder must not only state its acceptance, but also describe how it intends to comply with the requirements. Where a descriptive response is requested, failure to provide the same will be viewed as non-responsive.

**SECTION 1: Bidder's qualification, capacity and expertise**

- 1.1 Brief description of the organization, including the year and country of incorporation, and types of activities undertaken.
- 1.2 General organizational capability which is likely to affect implementation: management structure, financial stability and project financing capacity, project management controls, extent to which any work would be subcontracted (if so, provide details).
- 1.3 Relevance of specialized knowledge and experience on similar engagements done in the region/country.
- 1.4 Quality assurance procedures and risk mitigation measures.
- 1.5 Organization's commitment to sustainability.

**SECTION 2: Proposed Methodology, Approach, and Implementation Plan**

This section should demonstrate the bidder's responsiveness to the TOR by identifying the specific components proposed, addressing the requirements, providing a detailed description of the essential performance characteristics proposed and demonstrating how the proposed approach and methodology meets or exceeds the requirements. All important aspects should be addressed in sufficient detail and different components of the project should be adequately weighted relative to one another.

- 2.1 A detailed description of the approach and methodology for how the Bidder will achieve the Terms of Reference of the project, keeping in mind the appropriateness to local conditions and project environment. Details how the different service elements shall be organized, controlled and delivered.
- 2.2 The methodology shall also include details of the Bidder's internal technical and quality assurance review mechanisms.
- 2.3 Explain whether any work would be subcontracted, to whom, how much percentage of the work, the rationale for such, and the roles of the proposed sub-contractors and how everyone will function as a team.
- 2.4 Description of available performance monitoring and evaluation mechanisms and tools; how they shall be adopted and used for a specific requirement.
- 2.5 Implementation plan including a Gantt Chart or Project Schedule indicating the detailed sequence of activities that will be undertaken and their corresponding timing.
- 2.6 Demonstrate how you plan to integrate sustainability measures in the execution of the contract.
- 2.7 Any other comments or information regarding the project approach and methodology that will be adopted.

**SECTION 2A: Bidder's Comments and Suggestions on the Terms of Reference**

Provide comments and suggestions on the Terms of Reference, or additional services that will be rendered beyond the requirements of the TOR, if any.

**SECTION 3: Management Structure and Key Personnel**

- 3.1 Describe the overall management approach toward planning and implementing the project. Include an organization chart for the management of the project describing the relationship of key positions and

designations. Provide a spreadsheet to show the activities of each personnel and the time allocated for his/her involvement.

- 3.2 Provide CVs for key personnel that will be provided to support the implementation of this project using the format below. CVs should demonstrate qualifications in areas relevant to the Scope of Services.

**Format for CV of Proposed Key Personnel**

<b>Name of Personnel</b>	[Insert]
<b>Position for this assignment</b>	[Insert]
<b>Nationality</b>	[Insert]
<b>Language proficiency</b>	[Insert]
<b>Education/ Qualifications</b>	<i>[Summarize college/university and other specialized education of personnel member, giving names of schools, dates attended, and degrees/qualifications obtained.]</i> [Insert]
<b>Professional certifications</b>	<i>[Provide details of professional certifications relevant to the scope of services]</i> <ul style="list-style-type: none"> <li>▪ Name of institution: [Insert]</li> <li>▪ Date of certification: [Insert]</li> </ul>
<b>Employment Record/ Experience</b>	<i>[List all positions held by personnel (starting with present position, list in reverse order), giving dates, names of employing organization, title of position held and location of employment. For experience in last five years, detail the type of activities performed, degree of responsibilities, location of assignments and any other information or professional experience considered pertinent for this assignment.]</i> [Insert]
<b>References</b>	<i>[Provide names, addresses, phone and email contact information for two (2) references]</i> Reference 1: [Insert]  Reference 2: [Insert]

I, the undersigned, certify that to the best of my knowledge and belief, these data correctly describe my qualifications, my experiences, and other relevant information about myself.

\_\_\_\_\_  
Signature of Personnel

\_\_\_\_\_  
Date (Day/Month/Year)

**Form F: Financial Proposal Submission Form**

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

We, the undersigned, offer to provide the services for [Insert Title of services] in accordance with your Request for Proposal No. [Insert RFP Reference Number] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and our Financial Proposal sealed under a separate envelope.

Our attached Financial Proposal is for the sum of [Insert amount in words and figures].

Our Proposal shall be valid and remain binding upon us for the period of time specified in the Bid Data Sheet.

We understand you are not bound to accept any Proposal you receive.

Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_  
Signature: \_\_\_\_\_

*[Stamp with official stamp of the Bidder]*

Form G: Financial Proposal Form

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

The Bidder is required to prepare the Financial Proposal following the below format and submit it in an envelope separate from the Technical Proposal as indicated in the Instruction to Bidders. Any Financial information provided in the Technical Proposal shall lead to Bidder’s disqualification.

The Financial Proposal should align with the requirements in the Terms of Reference and the Bidder’s Technical Proposal.

Currency of the proposal: [Insert Currency]

Currency of the Bid: UGX

Breakdown of Professional Costs

No	Description	UOM	Quantity (No. Months)	Unit Price (UGX)	Total Amount (UGX)
1	Team Leader	1	16		
2	Technical Staff 1 <sup>st</sup>	1	16		
3	Technical Staff 2 <sup>nd</sup>	1	16		
4	M & E Staff	1	16		
	Sub Total				
	Other Costs				
1	Travel costs	Lumpsum	1		
2	Accommodation	Lumpsum	1		
3	Consultative Meetings	Lumpsum	1		
4	Management fee of not more than 5% of the total cost	Lumpsum	1		
	Sub Total				
GRAND TOTAL					-

Name of Bidder:	
Authorised signature:	
Name of authorised signatory:	
Functional Title:	