United Nations Development Programme



REQUEST FOR PROPOSAL

SERVICES FOR THE DEVELOPMENT OF A DIGITAL WASTE INFORMATION SYSTEM

RFP NO.: RFP-SWZ-006-2022

PROJECT: Waste Management for Improved Livelihoods and Resilience

COUNTRY: Eswatini

Issued on: 1 July 2022

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SECTION 1. LETTER OF INVITATION

The United Nations Development Programme (UNDP) hereby invites you to submit a Proposal to this Request for Proposal (RFP) for the above-referenced subject.

This RFP includes the following documents and the General Terms and Conditions of Contract which is inserted in the Bid Data Sheet (BDS):

Section 1: This Letter of Invitation Section 2: Instruction to Bidders Section 3: Bid Data Sheet (BDS)

Section 4: Evaluation Criteria Section 5: Terms of Reference

Section 6: Returnable Bidding Forms

- o Form A: Technical Proposal Submission Form
- o Form B: Bidder Information Form
- o Form C: Joint Venture/Consortium/Association Information Form
- o Form D: Qualification Form
- o Form E: Format of Technical Proposal
- o Form F: Financial Proposal Submission Form
- o Form G: Financial Proposal Form

If you are interested in submitting a Proposal in response to this RFP, please prepare your Proposal in accordance with the requirements and procedure as set out in this RFP and submit it by the Deadline for Submission of Proposals set out in Bid Data Sheet.

Please acknowledge receipt of this RFP by sending an email to procurement.sz@undp.org, indicating whether you intend to submit a Proposal or otherwise. You may also utilize the "Accept Invitation" function in eTendering system, where applicable. This will enable you to receive amendments or updates to the RFP. Should you require further clarifications, kindly communicate with the contact person/s identified in the attached Bid Data Sheet as the focal point for queries on this RFP.

UNDP looks forward to receiving your Proposal and thank you in advance for your interest in UNDP procurement opportunities.

Issued by:
Docusigned by:

Name: Ntombifuthi Ginindza
Title: Procurement Associate

Date: July 1, 2022

Approximinal properties

Name: Jane Yeboah

Jane Yeboali

Name. Jane Teboan

Title: Deputy Resident Representative

Date: **July 1, 2022**

SECTION 2. INSTRUCTION TO BIDDERS

| A. GENERAL PROVIS | SIONS | |
|--|-------|--|
| 1. Introduction | 1.1 | Bidders shall adhere to all the requirements of this RFP, including any amendments in writing by UNDP. This RFP is conducted in accordance with the UNDP Programme and Operations Policies and Procedures (POPP) on Contracts and Procurement which can be accessed at https://popp.undp.org/SitePages/POPPBSUnit.aspx?TermID=254a9f96-b883-476a-8ef8-e81f93a2b38d |
| | 1.2 | Any Proposal submitted will be regarded as an offer by the Bidder and does not constitute or imply the acceptance of the Proposal by UNDP. UNDP is under no obligation to award a contract to any Bidder as a result of this RFP. |
| | 1.3 | As part of the bid, it is desired that the Bidder registers at the United Nations Global Marketplace (UNGM) website (www.ungm.org). The Bidder may still submit a bid even if not registered with the UNGM. However, if the Bidder is selected for contract award, the Bidder must register on the UNGM prior to contract signature. |
| 2. Fraud & Corruption, Gifts and Hospitality | 2.1 | UNDP strictly enforces a policy of zero tolerance on proscribed practices, including fraud, corruption, collusion, unethical or unprofessional practices, and obstruction of UNDP vendors and requires all bidders/vendors observe the highest standard of ethics during the procurement process and contract implementation. UNDP's Anti-Fraud Policy can be found at http://www.undp.org/content/undp/en/home/operations/accountability/audit/officeoff audit andinvestigation.html#anti |
| | 2.2 | Bidders/vendors shall not offer gifts or hospitality of any kind to UNDP staff members including recreational trips to sporting or cultural events, theme parks or offers of holidays, transportation, or invitations to extravagant lunches or dinners. |
| | 2.3 | In pursuance of this policy, UNDP (a) Shall reject a proposal if it determines that the selected bidder has engaged in any corrupt or fraudulent practices in competing for the contract in question; (b) Shall declare a vendor ineligible, either indefinitely or for a stated period of time, to be awarded a contract if at any time it determines that the vendor has engaged in any corrupt or fraudulent practices in competing for, or in executing a UNDP contract. |
| | 2.4 | All Bidders must adhere to the UN Supplier Code of Conduct, which may be found at https://www.un.org/Depts/ptd/about-us/un-supplier-code-conduct |
| 3. Eligibility | 3.1 | A vendor should not be suspended, debarred, or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization. Vendors are therefore required to disclose to UNDP whether they are subject to any sanction or temporary suspension imposed by these organizations. |
| | 3.2 | It is the Bidder's responsibility to ensure that its employees, joint venture members, sub-contractors, service providers, suppliers and/or their employees meet the eligibility requirements as established by UNDP. |

4. Conflict of Interests

- 4.1 Bidders must strictly avoid conflicts with other assignments or their own interests, and act without consideration for future work. Bidders found to have a conflict of interest shall be disqualified. Without limitation on the generality of the above, Bidders, and any of their affiliates, shall be considered to have a conflict of interest with one or more parties in this solicitation process, if they:
 - a) Are or have been associated in the past, with a firm or any of its affiliates which have been engaged by UNDP to provide services for the preparation of the design, specifications, Terms of Reference, cost analysis/estimation, and other documents to be used for the procurement of the goods and services in this selection process;
 - b) Were involved in the preparation and/or design of the programme/project related to the services requested under this RFP; or
 - c) Are found to be in conflict for any other reason, as may be established by, or at the discretion of UNDP.
- 4.2 In the event of any uncertainty in the interpretation of a potential conflict of interest, Bidders must disclose to UNDP, and seek UNDP's confirmation on whether or not such a conflict exists.
- 4.3 Similarly, the Bidders must disclose in their proposal their knowledge of the following:
 - a) If the owners, part-owners, officers, directors, controlling shareholders, of the bidding entity or key personnel are family members of UNDP staff involved in the procurement functions and/or the Government of the country or any Implementing Partner receiving services under this RFP; and
 - b) All other circumstances that could potentially lead to actual or perceived conflict of interest, collusion or unfair competition practices.

Failure to disclose such an information may result in the rejection of the proposal or proposals affected by the non-disclosure.

4.4 The eligibility of Bidders that are wholly or partly owned by the Government shall be subject to UNDP's further evaluation and review of various factors such as being registered, operated and managed as an independent business entity, the extent of Government ownership/share, receipt of subsidies, mandate and access to information in relation to this RFP, among others. Conditions that may lead to undue advantage against other Bidders may result in the eventual rejection of the Proposal.

B. PREPARATION OF PROPOSALS

5. General Considerations

- 5.1 In preparing the Proposal, the Bidder is expected to examine the RFP in detail. Material deficiencies in providing the information requested in the RFP may result in rejection of the Proposal.
- 5.2 The Bidder will not be permitted to take advantage of any errors or omissions in the RFP. Should such errors or omissions be discovered, the Bidder must notify the UNDP
- 6. Cost of
 Preparation of
 Proposal
- 6.1 The Bidder shall bear any and all costs related to the preparation and/or submission of the Proposal, regardless of whether its Proposal was selected or not. UNDP shall not be responsible or liable for those costs, regardless of the conduct or outcome of the procurement process.

7. Language

- 7.1 The Proposal, as well as any and all related correspondence exchanged by the Bidder and UNDP, shall be written in the language (s) specified in the BDS.
- 8. Documents
- 8.1 The Proposal shall comprise of the following documents:

| Comprising the Proposal | a) Documents Establishing the Eligibility and Qualifications of the Bidder; b) Technical Proposal; c) Financial Proposal; d) Proposal Security, if required by BDS; e) Any attachments and/or appendices to the Proposal. | | |
|--|--|--|--|
| 9. Documents Establishing the Eligibility and Qualifications of the Bidder | The Bidder shall furnish documentary evidence of its status as an eligible and qualified vendor, using the Forms provided under Section 6 and providing documents required in those forms. In order to award a contract to a Bidder, its qualifications must be documented to UNDP's satisfaction. | | |
| 10. Technical Proposal Format | 10.1 The Bidder is required to submit a Technical Proposal using the Standard Forms and templates provided in Section 6 of the RFP. | | |
| and Content | 10.2 The Technical Proposal shall not include any price or financial information. A Technical Proposal containing material financial information may be declared non-responsive. | | |
| | 10.3 Samples of items, when required as per Section 5, shall be provided within the time specified and unless otherwise specified by UNDP, and at no expense to UNDP | | |
| | 10.4 When applicable and required as per Section 5, the Bidder shall describe the necessary training programme available for the maintenance and operation of the services and/or equipment offered as well as the cost to the UNDP. Unless otherwise specified, such training as well as training materials shall be provided in the language of the Bid as specified in the BDS. | | |
| 11. Financial Proposals | 11.1 The Financial Proposal shall be prepared using the Standard Form provided in Section 6 of the RFP. It shall list all major cost components associated with the services, and the detailed breakdown of such costs. | | |
| | 11.2 Any output and activities described in the Technical Proposal but not priced in the Financial Proposal, shall be assumed to be included in the prices of other activities or items, as well as in the final total price. | | |
| | 11.3 Prices and other financial information must not be disclosed in any other place except in the financial proposal. | | |
| 12. Proposal Security | 12.1 A Proposal Security, if required by BDS, shall be provided in the amount and form indicated in the BDS. The Proposal Security shall be valid up to thirty (30) days after the final date of validity of the Proposal. | | |
| | 12.2 The Proposal Security shall be included along with the Technical Proposal. If Proposal Security is required by the RFP but is not found along with the Technical Proposal, the Proposal shall be rejected. | | |
| | 12.3 If the Proposal Security amount or its validity period is found to be less than what is required by UNDP, UNDP shall reject the Proposal. | | |
| | 12.4 In the event an electronic submission is allowed in the BDS, Bidders shall include a copy of the Bid Security in their proposal and the original of the Proposal Security must be sent via courier or hand delivery as per the instructions in BDS. | | |
| | 12.5 The Proposal Security may be forfeited by UNDP, and the Proposal rejected, in the event of any one or combination, of the following conditions: | | |
| | a) If the Bidder withdraws its offer during the period of the Proposal Validity specified in the BDS, or;b) In the event that the successful Bidder fails: | | |

| | i. to sign the Contract after UNDP has issued an award; or 12.6 to furnish the Performance Security, insurances, or other documents that UNDP may require as a condition precedent to the effectivity of the contract that may be awarded to the Bidder. |
|--|---|
| 13. Currencies | 13.1 All prices shall be quoted in the currency or currencies indicated in the BDS. Where Proposals are quoted in different currencies, for the purposes of comparison of all Proposals: |
| | a) UNDP will convert the currency quoted in the Proposal into the UNDP preferred currency, in accordance with the prevailing UN operational rate of exchange on the last day of submission of Proposals; and |
| | b) In the event that UNDP selects a proposal for award that is quoted in a currency different from the preferred currency in the BDS, UNDP shall reserve the right to award the contract in the currency of UNDP's preference, using the conversion method specified above. |
| 14. Joint Venture, Consortium or Association | 14.1 If the Bidder is a group of legal entities that will form or have formed a Joint Venture (JV), Consortium or Association for the Proposal, they shall confirm in their Proposal that: (i) they have designated one party to act as a lead entity, duly vested with authority to legally bind the members of the JV, Consortium or Association jointly and severally, which shall be evidenced by a duly notarized Agreement among the legal entities, and submitted with the Proposal; and (ii) if they are awarded the contract, the contract shall be entered into, by and between UNDP and the designated lead entity, who shall be acting for and on behalf of all the member entities comprising the joint venture. |
| | 14.2 After the Deadline for Submission of Proposal, the lead entity identified to represent the JV, Consortium or Association shall not be altered without the prior written consent of UNDP. |
| | 14.3 The lead entity and the member entities of the JV, Consortium or Association shall abide by the provisions of Clause 9 herein in respect of submitting only one proposal. |
| | 14.4 The description of the organization of the JV, Consortium or Association must clearly define the expected role of each of the entity in the joint venture in delivering the requirements of the RFP, both in the Proposal and the JV, Consortium or Association Agreement. All entities that comprise the JV, Consortium or Association shall be subject to the eligibility and qualification assessment by UNDP. |
| | 14.5 A JV, Consortium or Association in presenting its track record and experience should clearly differentiate between: |
| | a) Those that were undertaken together by the JV, Consortium or Association; and |
| | Those that were undertaken by the individual entities of the JV, Consortium or Association. |
| | 14.6 Previous contracts completed by individual experts working privately but who are permanently or were temporarily associated with any of the member firms cannot be claimed as the experience of the JV, Consortium or Association or those of its members, but should only be claimed by the individual experts themselves in their presentation of their individual credentials. |
| | 14.7 JV, Consortium or Associations are encouraged for high value, multi-sectoral requirements when the spectrum of expertise and resources required may not be available within one firm. |

| 15. Only One Proposal | 15.1 | only one Proposal, either in its own name or as part of a Joint Venture. | |
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| 16. Proposal Validity Period | 16.1 | 1 Proposals shall remain valid for the period specified in the BDS, commencing on the Deadline for Submission of Proposals. A Proposal valid for a shorter period may be rejected by UNDP and rendered non-responsive. | |
| | 16.2 | During the Proposal validity period, the Bidder shall maintain its original Proposal without any change, including the availability of the Key Personnel, the proposed rates and the total price. | |
| 17. Extension of Proposal Validity Period | posal Validity period, UNDP may request Bidders to extend the period of v | | |
| | 17.2 | If the Bidder agrees to extend the validity of its Proposal, it shall be done without any change in the original Proposal. | |
| | 17.3 | The Bidder has the right to refuse to extend the validity of its Proposal, and in which case, such Proposal will not be further evaluated. | |
| 18. Clarification of Proposal | | Bidders may request clarifications on any of the RFP documents no later than the date indicated in the BDS. Any request for clarification must be sent in writing in the manner indicated in the BDS. If inquiries are sent other than specified channel, even if they are sent to a UNDP staff member, UNDP shall have no obligation to respond or confirm that the query was officially received. | |
| | 18.2 | UNDP will provide the responses to clarifications through the method specified in the BDS. | |
| | 18.3 | UNDP shall endeavor to provide responses to clarifications in an expeditious manner, but any delay in such response shall not cause an obligation on the part of UNDP to extend the submission date of the Proposals, unless UNDP deems that such an extension is justified and necessary. | |
| 19. Amendment of Proposals | 19.1 | 9.1 At any time prior to the deadline of Proposal submission, UNDP may for any reason, such as in response to a clarification requested by a Bidder, modify the RFP in the form of an amendment to the RFP. Amendments will be made available to all prospective bidders. | |

| | 19.2 | If the amendment is substantial, UNDP may extend the Deadline for submission of proposal to give the Bidders reasonable time to incorporate the amendment into their Proposals. | | |
|--|--------|---|--|--|
| 20. Alternative Proposals | 20.1 | Unless otherwise specified in the BDS, alternative proposals shall not be considered. If submission of alternative proposal is allowed by BDS, a Bidder may submit an alternative proposal, but only if it also submits a proposal conforming to the RFP requirements. UNDP shall only consider the alternative proposal offered by the Bidder whose conforming proposal ranked the highest as per the specified evaluation method. Where the conditions for its acceptance are met, or justifications are clearly established, UNDP reserves the right to award a contract based on an alternative proposal. | | |
| | 20.2 | If multiple/alternative proposals are being submitted, they must be clearly marked as "Main Proposal" and "Alternative Proposal" | | |
| 21. Pre-Bid Conference | 21.1 | When appropriate, a Bidder's conference will be conducted at the date, time and location specified in the BDS. All Bidders are encouraged to attend. Non-attendance, however, shall not result in disqualification of an interested Bidder. Minutes of the Bidder's conference will be disseminated on the procurement website and shared by email or on the e-Tendering platform as specified in the BDS. No verbal statement made during the conference shall modify the terms and conditions of the RFP, unless specifically incorporated in the Minutes of the Bidder's Conference or issued/posted as an amendment to RFP. | | |
| C. SUBMISSION AND | D OPEI | NING OF PROPOSALS | | |
| 22. Submission | 22.1 | The Bidder shall submit a duly signed and complete Proposal comprising the documents and forms in accordance with the requirements in the BDS. The submission shall be in the manner specified in the BDS. | | |
| | 22.2 | The Proposal shall be signed by the Bidder or person(s) duly authorized to commit the Bidder. The authorization shall be communicated through a document evidencing such authorization issued by the legal representative of the bidding entity, or a Power of Attorney, accompanying the Proposal. | | |
| | 22.3 | Bidders must be aware that the mere act of submission of a Proposal, in and of itself, implies that the Bidder fully accepts the UNDP General Contract Terms and Conditions. | | |
| Hard copy (manual) 22.4 Hard copy (manual) submission by courie in the BDS shall be governed as follows: | | Hard copy (manual) submission by courier or hand delivery allowed or specified in the BDS shall be governed as follows: | | |
| | | a) The signed Proposal shall be marked "Original", and its copies marked "Copy" as appropriate. The number of copies is indicated in the BDS. All copies shall be made from the signed original only. If there are discrepancies between the original and the copies, the original shall prevail. | | |
| | | b) The Technical Proposal and the Financial Proposal envelopes MUST BE COMPLETELY SEPARATE and each of them must be submitted sealed individually and clearly marked on the outside as either "TECHNICAL PROPOSAL" or "FINANCIAL PROPOSAL", as appropriate. Each envelope SHALL clearly indicate the name of the Bidder. The outer envelopes shall: | | |
| | | i. Bear the name and address of the bidder; | | |
| | | ii. Be addressed to UNDP as specified in the BDS | | |
| | | | | |

| | iii. | Bear a warning that states "Not to be opened before the time and date for proposal opening" as specified in the BDS. |
|---|---------|--|
| | | If the envelopes and packages with the Proposal are not sealed and marked |
| | | as required, UNDP shall assume no responsibility for the misplacement, loss, |
| Email Submission | | or premature opening of the Proposal. |
| | 22.5 Er | mail submission, if allowed or specified in the BDS, shall be governed as follows: |
| | a) | Electronic files that form part of the proposal must be in accordance with the format and requirements indicated in BDS; |
| | b) | The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE. The financial proposal shall be encrypted with different passwords and clearly labelled. The files must be sent to the dedicated email address specified in the BDS. |
| eTendering submission | c) | The password for opening the Financial Proposal should be provided only upon request of UNDP. UNDP will request password only from bidders whose Technical Proposal has been found to be technically responsive. Failure to provide correct password may result in the proposal being rejected. |
| | | ectronic submission through eTendering, if allowed or specified in the BDS, nall be governed as follows: |
| | a) | Electronic files that form part of the proposal must be in accordance with the format and requirements indicated in BDS; |
| | b) | The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE and each of them must be uploaded individually and clearly labelled. |
| | d) | The Financial Proposal file must be encrypted with a password so that it cannot be opened nor viewed until the password is provided. The password for opening the Financial Proposal should be provided only upon request of UNDP. UNDP will request password only from bidders whose technical proposal has been found to be technically responsive. Failure to provide the correct password may result in the proposal being rejected. |
| | c) | Documents which are required to be in original form (e.g. Bid Security, etc.) must be sent via courier or hand delivery as per the instructions in BDS. |
| | d) | Detailed instructions on how to submit, modify or cancel a bid in the eTendering system are provided in the eTendering system Bidder User Guide and Instructional videos available on this link: https://www.undp.org/content/undp/en/home/procurement/business/resources-for-bidders |
| 23. Deadline for Submission of Proposals and Late | th | omplete Proposals must be received by UNDP in the manner, and no later than ne date and time, specified in the BDS. UNDP shall only recognize the date and me that the bid was received by UNDP |
| Proposals | | NDP shall not consider any Proposal that is submitted after the deadline for ne submission of Proposals. |
| 24. Withdrawal, Substitution, and | SI | Bidder may withdraw, substitute or modify its Proposal after it has been ubmitted at any time prior to the deadline for submission. |
| Modification of | 24.2 N | lanual and Email submissions: A bidder may withdraw, substitute or modify its |

| Proposals | Proposal by sending a written notice to UNDP, duly signed by an authorized representative, and shall include a copy of the authorization (or a Power of Attorney). The corresponding substitution or modification of the Proposal, if any, must accompany the respective written notice. All notices must be submitted in the same manner as specified for submission of proposals, by clearly marking them as "WITHDRAWAL" "SUBSTITUTION," or "MODIFICATION" | |
|---|---|--|
| | eTendering: A Bidder may withdraw, substitute or modify its Proposal by Canceling, Editing, and re-submitting the proposal directly in the system. It is the responsibility of the Bidder to properly follow the system instructions, duly edit and submit a substitution or modification of the Proposal as needed. Detailed instructions on how to cancel or modify a Proposal directly in the system are provided in Bidder User Guide and Instructional videos. | |
| | 24.4 Proposals requested to be withdrawn shall be returned unopened to the Bidders (only for manual submissions), except if the bid is withdrawn after the bid has been opened | |
| 25. Proposal Opening | 25.1 There is no public bid opening for RFPs. UNDP shall open the Proposals in the presence of an ad-hoc committee formed by UNDP, consisting of at least two (2) members. In the case of e-Tendering submission, bidders will receive an automatic notification once their proposal is opened. | |
| D. EVALUATION OF | PROPOSALS | |
| 26. Confidentiality | 26.1 Information relating to the examination, evaluation, and comparison of Proposals, and the recommendation of contract award, shall not be disclosed to Bidders or any other persons not officially concerned with such process, even after publication of the contract award. | |
| | 26.2 Any effort by a Bidder or anyone on behalf of the Bidder to influence UNDP in the examination, evaluation and comparison of the Proposals or contract award decisions may, at UNDP's decision, result in the rejection of its Proposal and may be subject to the application of prevailing UNDP's vendor sanctions procedures. | |
| 27. Evaluation of Proposals | 27.1 The Bidder is not permitted to alter or modify its Proposal in any way after the proposal submission deadline except as permitted under Clause 24 of this RFP. UNDP will conduct the evaluation solely on the basis of the submitted Technical and Financial Proposals. | |
| | Evaluation of proposals is made of the following steps: a) Preliminary Examination b) Minimum Eligibility and Qualification (if pre-qualification is not done) c) Evaluation of Technical Proposals d) Evaluation of Financial Proposals | |
| 28. Preliminary Examination | 1 UNDP shall examine the Proposals to determine whether they are complete with respect to minimum documentary requirements, whether the documents have been properly signed, and whether the Proposals are generally in order, among other indicators that may be used at this stage. UNDP reserves the right to reject any Proposal at this stage. | |
| 29. Evaluation of Eligibility and Qualification | 29.1 Eligibility and Qualification of the Bidder will be evaluated against the Minimum Eligibility/Qualification requirements specified in the Section 4 (Evaluation Criteria). | |
| | 29.2 In general terms, vendors that meet the following criteria may be considered qualified:e) They are not included in the UN Security Council 1267/1989 Committee's | |

- list of terrorists and terrorist financiers, and in UNDP's ineligible vendors' list:
- f) They have a good financial standing and have access to adequate financial resources to perform the contract and all existing commercial commitments,
- g) They have the necessary similar experience, technical expertise, production capacity where applicable, quality certifications, quality assurance procedures and other resources applicable to the provision of the services required;
- h) They are able to comply fully with UNDP General Terms and Conditions of Contract;
- i) They do not have a consistent history of court/arbitral award decisions against the Bidder; and
- j) They have a record of timely and satisfactory performance with their clients.

30. Evaluation of Technical and Financial Proposals

- 30.1 The evaluation team shall review and evaluate the Technical Proposals on the basis of their responsiveness to the Terms of Reference and other RFP documents, applying the evaluation criteria, sub-criteria, and point system specified in the Section 4 (Evaluation Criteria). A Proposal shall be rendered non-responsive at the technical evaluation stage if it fails to achieve the minimum technical score indicated in the BDS. When necessary and if stated in the BDS, UNDP may invite technically responsive bidders for a presentation related to their technical proposals. The conditions for the presentation shall be provided in the bid document where required.
- 30.2 In the second stage, only the Financial Proposals of those Bidders who achieve the minimum technical score will be opened for evaluation. The Financial Proposals corresponding to Technical Proposals that were rendered non-responsive shall remain unopened, and, in the case of manual submission, be returned to the Bidder unopened. For emailed Proposals and e-tendering submissions, UNDP will not request for the password of the Financial Proposals of bidders whose Technical Proposal were found not responsive.
- 30.3 The evaluation method that applies for this RFP shall be as indicated in the BDS, which may be either of two (2) possible methods, as follows: (a) the lowest priced method which selects the lowest evaluated financial proposal of the technically responsive Bidders; or (b) the combined scoring method which will be based on a combination of the technical and financial score.
- 30.4 When the BDS specifies a combined scoring method, the formula for the rating of the Proposals will be as follows:

Rating the Technical Proposal (TP):

TP Rating = (Total Score Obtained by the Offer / Max. Obtainable Score for TP) x 100

Rating the Financial Proposal (FP):

FP Rating = (Lowest Priced Offer / Price of the Offer Being Reviewed) x 100

Total Combined Score:

Combined Score = (TP Rating) x (Weight of TP, e.g. 70%) + (FP Rating) x (Weight of FP, e.g., 30%)

31. Due Diligence 31.1 UNDP reserves the right to undertake a due diligence exercise, also called post qualification, aimed at determining to its satisfaction, the validity of the information provided by the Bidder. Such exercise shall be fully documented and may include, but need not be limited to, all or any combination of the following: a) Verification of accuracy, correctness and authenticity of information provided by the Bidder; b) Validation of extent of compliance to the RFP requirements and evaluation criteria based on what has so far been found by the evaluation team; c) Inquiry and reference checking with Government entities with jurisdiction on the Bidder, or with previous clients, or any other entity that may have done business with the Bidder; d) Inquiry and reference checking with previous clients on the performance on on-going or contracts completed, including physical inspections of previous works, as necessary; e) Physical inspection of the Bidder's offices, branches or other places where business transpires, with or without notice to the Bidder; Other means that UNDP may deem appropriate, at any stage within the selection process, prior to awarding the contract. *32*. To assist in the examination, evaluation and comparison of Proposals, UNDP Clarification of 32.1 may, at its discretion, ask any Bidder for a clarification of its Proposal. **Proposals** 32.2 UNDP's request for clarification and the response shall be in writing and no change in the prices or substance of the Proposal shall be sought, offered, or permitted, except to provide clarification, and confirm the correction of any arithmetic errors discovered by UNDP in the evaluation of the Proposals, in accordance with RFP. 32.3 Any unsolicited clarification submitted by a Bidder in respect to its Proposal, which is not a response to a request by UNDP, shall not be considered during the review and evaluation of the Proposals. *33*. Responsiveness 33.1 UNDP's determination of a Proposal's responsiveness will be based on the contents of the Proposal itself. A substantially responsive Proposal is one that of Proposal conforms to all the terms, conditions, TOR and other requirements of the RFP without material deviation, reservation, or omission. 33.2 If a Proposal is not substantially responsive, it shall be rejected by UNDP and may not subsequently be made responsive by the Bidder by correction of the material deviation, reservation, or omission. 34. Nonconformitie 34.1 Provided that a Proposal is substantially responsive, UNDP may waive any nons, Reparable conformities or omissions in the Proposal that, in the opinion of UNDP, do not constitute a material deviation. Errors and **Omissions** 34.2 UNDP may request the Bidder to submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities or omissions in the Proposal related to documentation requirements. Such omission shall not be related to any aspect of the price of the Proposal. Failure of the Bidder to comply with the request may result in the rejection of its Proposal. For Financial Proposal that has been opened, UNDP shall check and correct arithmetical errors as follows: if there is a discrepancy between the unit price and the line item total that is obtained by multiplying the unit price by the quantity, the unit price shall prevail and the line item total shall be corrected, unless in the opinion

| | of UNDP there is an obvious misplacement of the decimal point in the unit price; in which case the line item total as quoted shall govern and the unit price shall be corrected; | | |
|---|--|--|--|
| | | if there is an error in a total corresponding to the addition or subtraction of subtotals, the subtotals shall prevail and the total shall be corrected; and | |
| | | c) if there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail. | |
| | | the Bidder does not accept the correction of errors made by UNDP, its Proposal hall be rejected. | |
| E. AWARD OF CONT | RACT | | |
| 35. Right to Accept, Reject, Any or All Proposals | th ar ar | | |
| 36. Award Criteria | | Prior to expiration of the proposal validity, UNDP shall award the contract to the qualified Bidder based on the award criteria indicated in the BDS. | |
| 37. Debriefing | fr w it p | In the event that a Bidder is unsuccessful, the Bidder may request a debriefing from UNDP. The purpose of the debriefing is to discuss the strengths and weaknesses of the Bidder's submission, in order to assist the Bidder in improving its future proposals for UNDP procurement opportunities. The content of other proposals and how they compare to the Bidder's submission shall not be discussed. | |
| 38. Right to Vary Requirements at the Time of Award | О | At the time of award of Contract, UNDP reserves the right to vary the quantity of services and/or goods, by up to a maximum twenty-five per cent (25%) of the otal offer, without any change in the unit price or other terms and conditions. | |
| 39. Contract Signature | B m o | Within fifteen (15) days from the date of receipt of the Contract, the successful sidder shall sign and date the Contract and return it to UNDP. Failure to do so may constitute sufficient grounds for the annulment of the award, and forfeiture of the Proposal Security, if any, and on which event, UNDP may award the Contract to the Second Ranked Bidder or call for new Proposals. | |
| 40. Contract Type and General Terms and Conditions | T(<u>h</u> : | The types of Contract to be signed and the applicable UNDP Contract General ferms and Conditions, as specified in BDS, can be accessed at http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html | |
| 41. Performance Security | s _l h L Fe | 20.1 A performance security, if required in BDS, shall be provided in the amount pecified in BDS and form available at https://popp.undp.org/layouts/15/WopiFrame.aspx?sourcedoc=/UNDP POPP | |

| | | effective. |
|---|------|---|
| 42. Bank Guarantee for Advanced Payment | 42.1 | Except when the interests of UNDP so require, it is UNDP's preference to make no advance payment(s) (i.e., payments without having received any outputs). If an advance payment is allowed as per BDS, and exceeds 20% of the total contract price, or USD 30,000, whichever is less, the Bidder shall submit a Bank Guarantee in the full amount of the advance payment in the form available at <a content="" en="" home="" href="https://popp.undp.org/layouts/15/WopiFrame.aspx?sourcedoc=/UNDP POPP_DOCUMENT_LIBRARY/Public/PSU Contract%20Management%20Payment%20and%20Taxes_Advanced%20Payment%20Guarantee%20Form.docx&action=default</td></tr><tr><td>43. Liquidated Damages</td><td>43.1</td><td>If specified in BDS, UNDP shall apply Liquidated Damages resulting from the Contractor's delays or breach of its obligations as per the Contract.</td></tr><tr><td>44. Payment Provisions</td><td>44.1</td><td>Payment will be made only upon UNDP's acceptance of the work performed. The terms of payment shall be within thirty (30) days, after receipt of invoice and certification of acceptance of work issued by the proper authority in UNDP with direct supervision of the Contractor. Payment will be effected by bank transfer in the currency of contract.</td></tr><tr><td>45. Vendor Protest</td><td colspan=2>45.1 UNDP's vendor protest procedure provides an opportunity for appear persons or firms not awarded a contract through a competitive proprocess. In the event that a Bidder believes that it was not treated following link provides further details regarding UNDP vendo procedures: http://www.undp.org/content/undp/en/home/operations/procureme-ss/protest-and-sanctions.html |
| 46. Other Provisions | 46.1 | In the event that the Bidder offers a lower price to the host Government (e.g. General Services Administration (GSA) of the federal government of the United States of America) for similar services, UNDP shall be entitled to same lower price. The UNDP General Terms and Conditions shall have precedence. |
| | 46.2 | UNDP is entitled to receive the same pricing offered by the same Contractor in contracts with the United Nations and/or its Agencies. The UNDP General Terms and Conditions shall have precedence. |
| | 46.3 | The United Nations has established restrictions on employment of (former) UN staff who have been involved in the procurement process as per bulletin ST/SGB/2006/15 http://www.un.org/en/ga/search/view doc.asp?symbol=ST/SGB/2006/15&referer |

SECTION 3. BID DATA SHEET

The following data for the services to be procured shall complement, supplement, or amend the provisions in the Request for Proposals. In the case of a conflict between the Instructions to Bidders, the Data Sheet, and other annexes or references attached to the Data Sheet, the provisions in the Data Sheet shall prevail.

| BDS No. | Ref. to Section.2 | Data | Specific Instructions / Requirements |
|------------|----------------------|---|---|
| 1 | 7 | Language of the Proposal | English |
| 2 | | Submitting Proposals for Parts or sub-parts of the TOR (partial bids) | Not Allowed |
| 3 | 20 | Alternative Proposals | Shall not be considered |
| 4 | 21 | Pre-proposal conference | Will not be conducted |
| 5 | 10 | Proposal Validity Period | 90 days |
| 6 | 14 | Bid Security | Not Required |
| 7 | 41 | Advanced Payment upon signing of contract | Not Allowed |
| 8 | 42 | Liquidated Damages | Will be imposed as follows: Percentage of contract price per day of delay: 0.5% Max. no. of days of delay: 10 After which UNDP may terminate the contract. |
| 9 | 40 | Performance Security | Not Required |

| 10 | 18 | Currency of Proposal | Local currency SZL |
|----|------------------|--|---|
| 11 | 31 | Deadline for submitting requests for clarifications/ questions | 5 days before the submission deadline |
| 12 | 31 | Contact Details for submitting clarifications/questions | Focal Person in UNDP: Ntombifuthi Ginindza Address: 4th floor, UN House Somhlolo Road, Mbabane, ESWATINI E-mail address: procurement.sz@undp.org |
| 13 | 18, 19 and 21 | Manner of Disseminating Supplemental Information to the RFP and responses/clarification s to queries | Direct communication to prospective Proposers by email and Posting on the website; https://procurement-notices.undp.org/index.cfm |
| 14 | 23 | Deadline for Submission | 17/07/2022 For eTendering submission - as indicated in eTendering system. Note that system time zone is in EST/EDT (New York) time zone. |
| 14 | 22 | Allowable Manner of Submitting Proposals | □ Courier/Hand Delivery☑ Submission by email□ e-Tendering |
| 15 | 22 | Proposal Submission Address | bidsubmission.sz@undp.org |
| 16 | 22 | Electronic submission (email or eTendering) requirements | Format: PDF files only File names must be maximum 60 characters long and must not contain any letter or special character other than from Latin alphabet/keyboard. All files must be free of viruses and not corrupted. Password for technical proposal must not be provided to UNDP until the date as indicated in No. 14 (for email submission only) Password for financial proposal must not be provided to UNDP until requested by UNDP Max. File Size per transmission: 20MB Mandatory subject of email: RFP-SWZ-006-2022 Documents which are required in original (e.g. Proposal Security) should be sent to the below address with a PDF copy submitted as part of the electronic submission: bidsubmission.sz@undp.org |

| 17 | 27 36 | Evaluation Method for the Award of Contract | Combined Scoring Method, using the 70%-30% distribution for technical and financial proposals respectively The minimum technical score required to pass is 70%. |
|----|----------|--|---|
| 18 | | Expected date for commencement of Contract | Upon Signing of Contract. |
| 19 | | Maximum expected duration of contract | 4 months |
| 20 | 35 | UNDP will award the contract to: | One Proposer Only |
| 21 | 39 | Type of Contract | Purchase Order and Contract for Goods and Services for UNDP http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html |
| 22 | 39 | UNDP Contract Terms and Conditions that will apply | UNDP General Terms and Conditions for Professional Services http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html |
| 23 | | Other Information Related to the RFP | N/A |

SECTION 4. EVALUATION CRITERIA

Preliminary Examination Criteria

Proposals will be examined to determine whether they are complete and submitted in accordance with RFP requirements as per below criteria on a Yes/No basis:

- Appropriate signatures
- Power of Attorney
- Minimum documents provided
- Technical and Financial Proposals submitted separately
- Bid Validity
- Bid Security submitted as per RFP requirements with compliant validity period.

Minimum Eligibility and Qualification Criteria

Eligibility and Qualification will be evaluated on Pass/Fail basis.

If the Proposal is submitted as a Joint Venture/Consortium/Association, each member should meet minimum criteria, unless otherwise specified in the criterion.

| Subject | Criteria | Document Submission requirement |
|---|--|---|
| ELIGIBILITY | | |
| Legal Status | Vendor is a legally registered entity. | Form B: Bidder Information Form |
| Eligibility | Vendor is not suspended, nor debarred, nor otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization in accordance with ITB clause 3. | Form A: Technical Proposal Submission Form |
| Conflict of Interest | No conflicts of interest in accordance with ITB clause 4. | Form A: Technical Proposal Submission Form |
| Bankruptcy | Not declared bankruptcy, not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against the vendor that could impair its operations in the foreseeable future. | Form A: Technical Proposal Submission Form |
| QUALIFICATION | | |
| History of Non- Performing Contracts ¹ | Non-performance of a contract did not occur as a result of contractor default for the last 3 years. | Form D: Qualification Form |
| Litigation History | No consistent history of court/arbitral award decisions against the Bidder for the last 3 years. | Form D: Qualification Form |
| Previous | Minimum 3 years of relevant experience. | Form D: Qualification Form |
| Experience | Minimum 3 contracts of similar value, nature and complexity implemented over the last 3years. (For JV/Consortium/Association, all Parties cumulatively should meet requirement). | Form D: Qualification Form |

¹ Non-performance, as decided by UNDP, shall include all contracts where (a) non-performance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Non-performance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Bidder have been exhausted.

| Financial Standing | Minimum average annual turnover of SZL700,000 for the last 3 years. (For JV/Consortium/Association, all Parties cumulatively should meet requirement). | Form D: Qualification Form |
|--------------------|--|----------------------------|
| | Bidder must demonstrate the current soundness of its financial standing and indicate its prospective long-term profitability. (For JV/Consortium/Association, all Parties cumulatively should meet requirement). | Form D: Qualification Form |

Technical Evaluation Criteria

| Summary of Technical Proposal Evaluation Forms | | Points Obtainable |
|--|--|----------------------|
| 1. | Bidder's qualification, capacity and experience | 300 |
| 2. | Proposed Methodology, Approach and Implementation Plan | 400 |
| 3. | Management Structure and Key Personnel | 300 |
| | Total | 1000 |

| Section 1. Bidder's qualification, capacity and experience | | |
|--|---|-----|
| 1.1 | A Bachelor's Degree in IT or Computer Science | 30 |
| 1.2 | A minimum of 5 years' work experience in applications development (web and mobile) latest technologies. | 70 |
| 1.3 | Experience in web object-oriented design and programming using application programming interface (API's), coding frameworks, etc. | 60 |
| 1.4 | Knowledge and proven experience in database management systems. | 50 |
| 1.5 | Knowledge and proven experience in systems integration including the integration with GIS applications. | 30 |
| 1.6 | GIS knowledge and proven work experience in GIS applications development. | 40 |
| 1.7 | Ability to pay attention to details to work with databases and spatial information analysis. | 10 |
| 1.8 | Knowledge and experience in systems security principles and their implementation into a system. | 10 |
| | Total Section 1 | 300 |

| Sectio | Section 2. Proposed Methodology, Approach and Implementation Plan | | |
|--------|--|-----|--|
| 2.1 | Understanding of the requirement: Have the important aspects of the task been addressed in sufficient detail? Are the different components of the project adequately weighted relative to one another? | 80 | |
| 2.2 | Description of the Offeror's approach and methodology for meeting or exceeding the requirements of the Terms of Reference | 100 | |
| 2.3 | Details on how the different service elements shall be organized, controlled and delivered | 50 | |
| 2.4 | Description of available performance monitoring and evaluation mechanisms and tools; how they shall be adopted and used for a specific requirement | 90 | |
| 2.5 | Assessment of the implementation plan proposed including whether the activities are properly sequenced and if these are logical and realistic | 80 | |
| | Total Section 2 | 400 | |

| Section | n 3. Management structure | | Points obtainable |
|---------|---|-------------|-------------------|
| 3.1 | Are the proposed roles of the key personnel suitable for the provision of the necessary services? | | 60 |
| 3.2 | Qualifications of key personnel proposed | | |
| 3.2 a | Team Leader & Senior Expert - IT | | 130 |
| | A Bachelor's Degree in IT or Computer Science; A minimum of 5 years' work experience in applications development (web and mobile) latest technologies | 10 | |
| | Experience in web object-oriented design and programming using application programming interface (API's) and coding frameworks | 30 | |
| | Knowledge and proven experience in systems integration including the integration with GIS applications | 30 | |
| | GIS knowledge and proven work experience in GIS applications development | 30 | |
| | Knowledge and experience in systems security principles and their implementation into a system | 20 | |
| | Language Qualifications; fluent in English, understanding of and ability to work in SiSwati is an added advantage | 10 | |
| 3.2 b | Waste Management Expert | | 110 |
| | Bachelor's degree in Environmental management or related field | 10 | |
| | At least five (5) years of experience in waste management | 50 | |
| | Demonstrated knowledge of the local waste management context | 45 | |
| | Language Qualifications; fluent in English, understanding of and | 5 | |
| | ability to work in SiSwati is an added advantage | | |
| | Tota | l Section 3 | 300 |

SECTION 5. TERMS OF REFERENCE

1. PROJECT BACKGROUND

The Waste Management for Improved Livelihoods and Resilience project is a COVID-19 Recovery initiative being implemented by UNDP in collaboration with Eswatini Environment Authority, under the Ministry of Tourism and Environmental Affairs. The project aims to support the adoption of a circular economy approach to waste management, whereby the maximum amount of waste is part of a closed-loop process chain, which in turn minimizes pollution and creates a sustainable value chain.

The partial lockdown imposed through the declaration of a national emergency, due to the COVID-19 pandemic, resulted in most MSME and individuals operating in the waste sector losing their incomes immediately when borders closed to tourists and cross border trade. Therefore, the objective of the project is to empower players across the waste value chain, particularly women and youth, to regain sources of income to improve livelihoods, reduce levels of poverty and improve their overall wellbeing through sustainable innovative solution to waste management challenges in Eswatini.

2. PURPOSE OF ASSIGNMENT

One of the waste management challenges is the lack of reliable waste data and information to allow for decision-making, problem solving and material exchange between businesses. While the Waste Regulations, 2000 require licensed waste handlers to submit waste data, the data is inaccessible and not user friendly for generating waste reports due to the lack of a system for data storage.

The impact of waste management initiatives is further impended by duplication of efforts and different institutions working in isolation. The Waste for Improved Livelihoods project aims to bridge this gap by bringing stakeholders together to promote collaboration and scaling of initiatives, and to improve the management and oversight on waste management and practice in Eswatini. The Platform is therefore expected to, amongst others, provide timely data on waste management activities and waste quantities segmented by categories, waste sources, destinations, etc.

UNDP and Eswatini Environment Authority are therefore seeking the services of a highly qualified IT and programming consulting firm to develop and install the software/code (i.e., platform) that can be used, conduct a customization of the platform, input the initial data to be provided, and train the relevant stakeholders on the use of the platform.

The Firm is expected to establish an interactive and user-friendly digital multi-stakeholder platform to be used by the EEA, local authorities, private sector, and the public to enable collaborative problem-solving, generate waste data, provide real time information/data on waste management, facilitate material exchange, awareness raising, and knowledge sharing.

In order optimize the short time frame, the consultant may begin training sessions on certain components that are complete while simultaneously working on others.

3. DUTIES AND RESPONSIBILITIES

The scope of works will be to develop a platform that:

- 3.1 Allows for interaction amongst the different stakeholders in the waste value chain, including the informal sector
 - a) The platform shall consist of a communication feature that will allow stakeholders across the waste value chain to communicate.
 - b) The platform shall also consist of a 'contact us' component to allow for external stakeholders to request or share information with Eswatini Environment Authority.

3.2 Generates waste management data

- a) The team shall develop a waste information system that captures waste data by category and sector.
- b) Design and develop a national Waste Management Information System for the Kingdom of Eswatini to be in line with waste data requirements by Central Statistics Office, UN Statistics and other reporting requirements. This involves registering and defining waste classifications in line with UN Statistics and different waste sources to harness integrated data collection by actors in waste generation and waste management sectors.

Amongst other functionalities, the System shall:

- Register the national actors in waste management processes and provide each category of actors with system access rights. These include users from both government and private sector entities who manage waste for environment rehabilitation and livelihoods i.e., collectors, recyclers, exporters, waste generators, etc.
- Include a solid waste management tracker module that measures and compares
 periodically (monthly, quarterly, annually), the amount of waste collected, recycled,
 exported, incinerated/disposed, reuse/repurposed, etc by stream, facility, etc.
- Include a tracking system for license registration that will allow applicants to track the progress
- c) The system must generate reports that disaggregate membership by age, sex, location, etc.
- d) The system must be able to track material flows for hazardous waste that requires proof of disposal and documentation of the related steps. Waste producers, waste transporters and waste disposal companies who are obliged to provide the evidence, as well as the responsible authorities, must be able to transmit the information required for the evidence to one another in the form of structured messages using standardized interfaces.

e) The system must allow for processing and analysing data in order to identify patterns, extract information, discover trends or calibrate models – i.e., visualisation in order to make the insights and knowledge gained through data analytics understandable, and to communicate them.

3.3 Facilitates material exchange

- a) The Platform shall include GIS mapping of waste management facilities, including legal and illegal dumpsites.
- b) The platform shall consist of a waste map that allows the general public to locate/find places where they can drop off waste i.e., buy-back centres, Ngwenya Glass, etc. The map shall indicate the specific waste types accepted at the different locations.
- c) The waste map shall also identify waste generators that produce bulk waste that may be collected by waste reclaimers.
- d) The material must be accessible via mobile devices as well.

3.4 Enables awareness raising and knowledge sharing

- a) The platform must allow for provision of information or alerts on events, such as organised community clean-ups, project and other related information for easy viewing.
- b) Publications, project reports, research papers, etc shall be uploaded and available for public viewing and use.
- Enable waste information sharing, publishing and data exchange between government,
 private sector entities and the general public.
- d) Promote awareness of laws and regulations related to waste

3.5 Allows data verification and cleaning

a) The system shall allow both licensed and unlicensed (i.e., clean-up campaigns) waste collectors to input raw data that. As such, the system must allow for a staggered data verification and cleaning process to avoid duplications and/or incomplete data within a dataset

The System shall be accessible on a mobile device. Since the System will require users to register and provide information that may be susceptible to data theft, as such the System must include adequate cybersecurity measures for the protection of sensitive information.

The Firm shall also provide technical advice to the project team during the development of the System. The consultant shall incorporate lessons from the existing systems from other countries into the execution of the scope of work.

4. EXPECTED OUTPUTS

4.1 <u>Development of Software</u>

- The Firm shall populate the system with data and information and undertake an initial customization of readily adjustable elements. Eswatini Environment Authority and UNDP will provide a detailed list of the desired customizations and the waste data to be inputted into the system.
- The Firm shall develop and submit a user guide and monitoring and evaluation guide for the Waste Information System to Eswatini Environment Authority and UNDP. The user manual shall include source codes, technical manuals, operations manual, etc.
- The Firm shall integrate the platform onto the existing EEA website such that stakeholders may easily be connected to the Platform through EEA's website.
- Implement any necessary adjustments or additional customizations and monitor the system for a period of 6 months.

4.2 <u>Development of Software APIs and Data Integration</u>

• The platform shall be designed to accept data and communicate with other software systems, such as GIS. The integrations would need to be developed by the Firm, including developing the appropriate APIs, integrating the data and communication functions to and from this software, and optimizing the use and sharing of each type of data.

4.3 Specifications for hand-held GIS devise

 Provide specifications for the procurement of a hand-held GIS devise to be utilized for accurate field data collection.

4.4 Undertake training and capacity building

- Facilitate training workshops for relevant stakeholders on use of the Waste Information System.
- Provide on the job help and training to Eswatini Environment Authority i.e., training of trainers.
- Develop and submit a user training manual.
- Submit a training and capacity building report.

5. DELIVERABLES AND PAYMENT SCHEDULE

Payments will be made upon acceptance and approval by the project partners of planned deliverables using the following instalments:

| De | liverable | % Payable | upon | Completion Target |
|----|--|------------|------|-------------------|
| | | completion | of | dates |
| | | milestone | | |
| 1. | Inception report, including workplan (The | 15% | | 20 Days |
| | inception report shall be presented to the | | | |
| | broader waste management stakeholders) | | | |

| 2. | System Deployment and Commissioning | 40% | 60 Days |
|----|---|-----|---------|
| | report of complete and function system, and | | |
| | specifications for the procurement of a hand- | | |
| | held GIS devise | | |
| 3. | Training Reports and user manuals | 30% | 20 Days |
| 4. | Final report | 15% | 20 Days |

The Consultant shall submit one (1) PDF and Word document per deliverable. All deliverables shall be approved by UNDP and EEA in order to initiate payment. The Consultancy is expected to submit 1 monthly progress report throughout the contract duration.

5. INSTITUTIONAL ARRANGEMENTS

For purposes of this assignment, the management arrangements shall be as follows:

- a) The appointed firm is responsible to the UNDP Deputy Resident Representative, with delegated authority for day-to-day management to the Project Coordinator and the EEA.
- b) EEA, with technical support from UNDP will provide the overall leadership, monitoring and guide on requirements implementation and validation to maintenance and support on both applications and relevant stakeholders and beneficiaries.
- c) UNDP and EEA in collaboration with other stakeholders shall provide support to facilitate required information dispersion to avail for the firm to undertake activities of the assignment.
- d) For the duration of this assignment, the firm should commit to participation in organized consultation sessions and engage accordingly to obtain further user requirements for validation and evaluation required on any activity within this assignment.
- e) The firm should have own computers, facilitate own access to internet and software necessary to deliver this assignment.
- f) All information, title rights, copyrights, and all other rights of whatever nature in any materials used or generated by the firm and assets related development of waste management information system will be exclusively property of EEA and UNDP.
- g) The firm must submit all source code, documentation and any item or equipment purchased under the assignment activities to UNDP upon completion of the assignment.

6. QUALIFICATIONS

Academic Qualifications

The team will provide experts with at least a bachelor's Degree in IT or Computer Science.
 Environment management experience within the team will be an added advantaged. The team must consist of a local consultant.

Experience

- A minimum of 5 years' work experience in applications development (web and mobile) latest technologies.
- Experience in web object-oriented design and programming using application programming interface (API's), coding frameworks, etc.
- Knowledge and proven experience in database management systems.
- Knowledge and proven experience in systems integration including the integration with GIS
 applications.
- GIS knowledge and proven work experience in GIS applications development.
- Ability to pay attention to details to work with databases and spatial information analysis.
- Knowledge and experience in systems security principles and their implementation into a system.

Language skills

- Good command of English (both written and spoken) is required
- Ability to communicate in in SiSwati is an added advantage

7. COMPETENCIES OF THE TEAM

Corporate competencies:

- Demonstrates integrity by modelling the UN's values and ethical standards
- Promotes the vision, mission, and strategic goals of UNDP
- Displays cultural, gender, religion, race, nationality and age sensitivity and adaptability
- Treats all people fairly without favouritism
- Fulfils all obligations to gender sensitivity and zero tolerance for sexual harassment.

8. EVALUATION CRITERIA

The proposal shall be evaluated in two stages: technical and financial. As such, two (2) proposal shall be submitted by bidders, one for technical proposal and one for the financial proposal. The proposals shall be sealed in separate envelops or by email.

A successful consultancy firm will be selected based on the Combined Scoring method – where the qualifications and methodology will be weighted a max. of 70%, and combined with the price offer which will be weighted a max of 30%, broken down as:

Technical Proposal (70%)

- Expertise of the Firm 30%
- Methodology, Its appropriateness to the condition and timeliness of the implementation plan 40%
- Management structure and qualification of key personnel 30%

Financial Proposal (30%)

To be computed as a ratio of the Proposal's offer to the lowest price among the proposals received by UNDP.

All applications must include a financial proposal. Applications without financial proposals will be treated as incomplete and will not be considered for further processing.

SECTION 6: RETURNABLE BIDDING FORMS / CHECKLIST

This form serves as a checklist for preparation of your Proposal. Please complete the Returnable Bidding Forms in accordance with the instructions in the forms and return them as part of your Proposal submission. No alteration to format of forms shall be permitted and no substitution shall be accepted.

Before submitting your Proposal, please ensure compliance with the Proposal Submission instructions of the BDS 22.

Technical Proposal Envelope:

| Have you duly completed all the Returnable Bidding Forms? | |
|---|--|
| Form A: Technical Proposal Submission Form | |
| Form B: Bidder Information Form | |
| Form C: Joint Venture/Consortium/ Association Information Form | |
| Form D: Qualification Form | |
| Form E: Format of Technical Proposal | |
| Have you provided the required documents to establish compliance with the evaluation criteria in Section 4? | |

Financial Proposal Envelope

(Must be submitted in a separate sealed envelope/password protected email)

| Form F: Financial Proposal Submission Form | |
|--|--|
| Form G: Financial Proposal Form | |

FORM A: TECHNICAL PROPOSAL SUBMISSION FORM

| Name of Bidder: | [Insert Name of Bidder] | Date: | Select date |
|-----------------|-------------------------------|-------|-------------|
| RFP reference: | [Insert RFP Reference Number] | | |

We, the undersigned, offer to provide the services for [Insert Title of services] in accordance with your Request for Proposal No. [Insert RFP Reference Number] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and our Financial Proposal sealed under a separate envelope.

We hereby declare that our firm, its affiliates or subsidiaries or employees, including any JV/Consortium /Association members or subcontractors or suppliers for any part of the contract:

- a) is not under procurement prohibition by the United Nations, including but not limited to prohibitions derived from the Compendium of United Nations Security Council Sanctions Lists;
- b) have not been suspended, debarred, sanctioned or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization;
- c) have no conflict of interest in accordance with Instruction to Bidders Clause 4;
- d) do not employ, or anticipate employing, any person(s) who is, or has been a UN staff member within the last year, if said UN staff member has or had prior professional dealings with our firm in his/her capacity as UN staff member within the last three years of service with the UN (in accordance with UN post-employment restrictions published in ST/SGB/2006/15);
- e) have not declared bankruptcy, are not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against them that could impair their operations in the foreseeable future;
- f) undertake not to engage in proscribed practices, including but not limited to corruption, fraud, coercion, collusion, obstruction, or any other unethical practice, with the UN or any other party, and to conduct business in a manner that averts any financial, operational, reputational or other undue risk to the UN and we embrace the principles of the United Nations Supplier Code of Conduct and adhere to the principles of the United Nations Global Compact.

We declare that all the information and statements made in this Proposal are true and we accept that any misinterpretation or misrepresentation contained in this Proposal may lead to our disqualification and/or sanctioning by the UNDP.

We offer to provide services in conformity with the Bidding documents, including the UNDP General Conditions of Contract and in accordance with the Terms of Reference

Our Proposal shall be valid and remain binding upon us for the period of time specified in the Bid Data Sheet.

We understand and recognize that you are not bound to accept any Proposal you receive.

I, the undersigned, certify that I am duly authorized by [Insert Name of Bidder] to sign this Proposal and bind it should UNDP accept this Proposal.

| Name: | | | |
|--------------|------|------|--|
| Title: _ | | | |
| Date: | | | |
| Signature: _ | | | |

[Stamp with official stamp of the Bidder]

FORM B: BIDDER INFORMATION FORM

| Legal name of Bidder | [Complete] | | |
|---|--|--|--|
| Legal address | [Complete] | | |
| Year of registration | [Complete] | | |
| Bidder's Authorized Representative Information | Name and Title: [Complete] Telephone numbers: [Complete] Email: [Complete] | | |
| Are you a UNGM registered vendor? | ☐ Yes ☐ No If yes, [insert UGNM vendor number] | | |
| Are you a UNDP vendor? | ☐ Yes ☐ No If yes, [insert UNDP vendor number] | | |
| Countries of operation | [Complete] | | |
| No. of full-time employees | [Complete] | | |
| Quality Assurance Certification (e.g. ISO 9000 or Equivalent) (If yes, provide a Copy of the valid Certificate): | [Complete] | | |
| Does your Company hold any accreditation such as ISO 14001 related to the environment? (If yes, provide a Copy of the valid Certificate): | [Complete] | | |
| Does your Company have a written Statement of its Environmental Policy? (If yes, provide a Copy) | [Complete] | | |
| Contact person UNDP may contact for requests for clarification during Proposal evaluation | Name and Title: [Complete] Telephone numbers: [Complete] Email: [Complete] | | |
| Please attach the following documents: | · · · · · · · · · · · · · · · · · · · | | |

FORM C: JOINT VENTURE/CONSORTIUM/ASSOCIATION INFORMATION FORM

| Nam | e of Bidder: | [Insert Name of Bidder] | | | Date: | Select date |
|--|---|--|---|---|--------------------------------|--|
| RFP reference: [Insert RFP Reference Number] | | | | | | |
| | completed and r re/Consortium/A | eturned with your Pr ssociation. | roposal if the Propo | osal is submitt | ed as a . | loint |
| No | | ner and contact inf ne numbers, fax numbe | | Proposed proportion of responsibilities (in %) and type of services to be performed | | |
| 1 | [Complete] | | | [Complete] | | |
| 2 | [Complete] | | | [Complete] | | |
| 3 | [Complete] | | | [Complete] | | |
| the excontr We hastructu Let We he | vent a Contract is act execution) ave attached a cure of and the content to fereby confirm the | copy of the below on firmation of joint a form a joint venture | ond severable liabi OR awarded, all partic | lity of the med JV/Consortiun es of the Join | mbers o n/Assoc t Ventui | nich details the likely lega f the said joint venture: iiation agreement re/Consortium/Association the Contract. |
| | · | | | | | |
| J | | | _ | : | | |
| Nam | e of partner: | | Nam | e of partner: _ | | |
| Signature: Si | | | Signa | Signature: | | |
| | | | | | | |

FORM D: QUALIFICATION FORM

| Name of Bidder: | [Insert Name of Bidder] | Date: | Select date |
|-----------------|-------------------------------|-------|-------------|
| RFP reference: | [Insert RFP Reference Number] | | |

If JV/Consortium/Association, to be completed by each partner.

Historical Contract Non-Performance

| ☐ Contract non-performance did not occur for the last 3 years | | | | | | | | |
|---|--|---|---|--|--|--|--|--|
| ☐ Contrac | ☐ Contract(s) not performed for the last 3 years | | | | | | | |
| Year | Non- performed portion of contract | Contract Identification | Total Contract Amount (current value in US\$) | | | | | |
| | | Name of Client: Address of Client: Reason(s) for non-performance: | | | | | | |

Litigation History (including pending litigation)

| ☐ No litigation history for the last 3 years | | | | | | | | |
|--|---|----------------------------|------------------------------|--|--|--|--|--|
| ☐ Litigatio | ☐ Litigation History as indicated below | | | | | | | |
| Year of | Amount in | Contract Identification | Total Contract Amount | | | | | |
| dispute | dispute (in US\$) | | (current value in US\$) | | | | | |
| | | Name of Client: | | | | | | |
| | | Address of Client: | | | | | | |
| | | Matter in dispute: | | | | | | |
| | Party who initiated the dispute: | | | | | | | |
| Status of dispute: | | | | | | | | |
| | | Party awarded if resolved: | | | | | | |

Previous Relevant Experience

Please list only previous similar assignments successfully completed in the last 3 years.

List only those assignments for which the Bidder was legally contracted or sub-contracted by the Client as a company or was one of the Consortium/JV partners. Assignments completed by the Bidder's individual experts working privately or through other firms cannot be claimed as the relevant experience of the Bidder, or that of the Bidder's partners or sub-consultants, but can be claimed by the Experts themselves in their CVs. The Bidder should be prepared to substantiate the claimed experience by presenting copies of relevant documents and references if so requested by UNDP.

| Project name & Country of Assignment | Client & Reference Contact Details | Contract Value | Period of activity and status | Types of activities undertaken |
|--|---------------------------------------|-------------------|-------------------------------|--------------------------------|
| | | | | |
| | | | | |
| | | | | |

Bidders may also attach their own Project Data Sheets with more details for assignments above.

| ☐ Attached are the Statements of Satisfactory Performance from | om the Top 3 (three) Clients or |
|--|---------------------------------|
| more. | |

Financial Standing

| Annual Turnover for the last 3 years | Year Year Year | SZL SZL SZL |
|--|----------------------|-------------------|
| Latest Credit Rating (if any), indicate the source | | |

| Financial information (in US\$ equivalent) | Historic information for the last 3 years | | | | |
|---|---|--------|--------|--|--|
| | Year 1 | Year 2 | Year 3 | | |
| | Information from Balance Sheet | | | | |
| Total Assets (TA) | | | | | |
| Total Liabilities (TL) | | | | | |
| Current Assets (CA) | | | | | |
| Current Liabilities (CL) | | | | | |
| | Information from Income Statement | | | | |
| Total / Gross Revenue (TR) | | | | | |
| Profits Before Taxes (PBT) | | | | | |
| Net Profit | | | | | |
| Current Ratio | | | | | |

☐ Attached are copies of the audited financial statements (balance sheets, including all related notes, and income statements) for the years required above complying with the following condition:

- a) Must reflect the financial situation of the Bidder or party to a JV, and not sister or parent companies;
- b) Historic financial statements must be audited by a certified public accountant;
- c) Historic financial statements must correspond to accounting periods already completed and audited. No statements for partial periods shall be accepted.

FORM E: FORMAT OF TECHNICAL PROPOSAL

| Name of Bidder: | [Insert Name of Bidder] | Date: | Select date |
|-----------------|-------------------------------|-------|-------------|
| RFP reference: | [Insert RFP Reference Number] | | |

The Bidder's proposal should be organized to follow this format of Technical Proposal. Where the bidder is presented with a requirement or asked to use a specific approach, the bidder must not only state its acceptance, but also describe how it intends to comply with the requirements. Where a descriptive response is requested, failure to provide the same will be viewed as non-responsive.

SECTION 1: Bidder's qualification, capacity and expertise

- 1.1 Brief description of the organization, including the year and country of incorporation, and types of activities undertaken.
- 1.2 General organizational capability which is likely to affect implementation: management structure, financial stability and project financing capacity, project management controls, extent to which any work would be subcontracted (if so, provide details).
- 1.3 Relevance of specialized knowledge and experience on similar engagements done in the region/country.
- 1.4 Quality assurance procedures and risk mitigation measures.
- 1.5 Organization's commitment to sustainability.

SECTION 2: Proposed Methodology, Approach and Implementation Plan

This section should demonstrate the bidder's responsiveness to the TOR by identifying the specific components proposed, addressing the requirements, providing a detailed description of the essential performance characteristics proposed and demonstrating how the proposed approach and methodology meets or exceeds the requirements. All important aspects should be addressed in sufficient detail and different components of the project should be adequately weighted relative to one another.

- 2.1 Detailed designing and preparation of Bills of Quantities:
 - Preparing the workplan of the project infrastructure component activities;
 - Supporting Projects in identification, formulation, and finalization of any sub-project documents related to infrastructure (feasibility, impact, scope, costing, timing, etc);
 - Providing feasibility study and technical investigations of specific sub-projects sites;
 - Support to the Project in establishing monitoring mechanisms and introducing performance indicators/success criteria, targets and milestones;
 - Preparing the Terms of References to specify the scope of infrastructure rehabilitation works, supporting the identification/evaluation processes for the implementing, supervising and designing companies;
 - Coordinating projects/tasks execution processes from needs assessment to final handover;
 - Elaborating Bills of Quantities and Scopes of Work;
 - Review specifications and bills of quantities prepared by partners in line with UNDP quality assurance standards

2.2 Monitoring and supervision:

- Developing project site supervision plan and ensuring quality assurance inspection;
- Visiting project sites to monitor and assess implemented activities;

- Monitoring ongoing sub-projects to ensure the efficient and timely delivery of inputs and the realization of the targeted expenditure and delivery level;
- Ensure quality and timely implementation and coordination of: design documentation, design expertise, author supervision, technical supervision of civil works and renovation/retrofit of buildings;
- Implement professional technical supervision/quality assurance over the retrofitted building design and construction works to ensure compliance of quality of the used works and materials with the design, technical requirements and the Programme objectives;
- Review/check the possible changes/variances in the volumes and values of the works performed, ensure these are justified;
- Deliver technical reports including recommendations and assessments as per requested;
- Deliver professional inputs in the Project periodic reports, statistics and databases;

2.3 Substantial completion and take over certification

- Conduct inspection of works for verification of completeness and compliance with contract requirements once UNDP receive invoice for payment for substantial completion
- Approving and certifying the grade of advance and progress of rehabilitation works;
- Issuing certifications of substantial and final completion of the works;
- Supporting the handover of implemented works to the beneficiaries;

2.4 Final completion certification

- During Defect Liability Period, the consultant will visit project sites to assess defective works and repot to UNDP about findings with proposals for remedying defective works.
- Before expiration of Defect Notification Period, conduct site visits and verify compliance of works to the contract requirements
- Provide terminal assessment and evaluation of realization of objectives and results;
- In case of successful completion and before expiration of Defect Liability Period recommend to UNDP issuance of Final Completion Certificate.

2.5 Competencies:

- Knowledge of standards, procedures and principles of national construction processes;
- Excellent knowledge of local building codes and standards;
- Awareness of local and international best practice in the field of architecture, structural engineering, civil engineering/designing;
- Willingness to travel extensively to the regions where the Project operates and live in Project sites if necessary;
- Demonstrated ability of cooperation with stakeholders: government and municipality specialists, scientific institutions, design and construction companies, material providers and private sector;
- · Strong interpersonal and communication skills;
- Ability to work independently and to participate effectively in a team based information sharing;
- Ability to systemize, analyze and present the data;
- Good knowledge of MS Office (Word, Excel), as well as knowledge of the task specific design and engineering software (AutoCAD, ArchiCAD, etc).
- Integrity: Demonstrates consistency in upholding and promoting the values of UN Women in actions and decisions, in line with the UN Code of Conduct.
- Cultural sensitivity and valuing diversity: Demonstrate an appreciation of the multicultural nature of the organization and the diversity of its staff; and demonstrate an international outlook, appreciating differences in values and learning from cultural diversity.
- Ethics and Values: Demonstrate and safeguard ethics and integrity.

SECTION 2A: Bidder's Comments and Suggestions on the Terms of Reference

Provide comments and suggestions on the Terms of Reference, or additional services that will be rendered beyond the requirements of the TOR, if any.

SECTION 3: Management Structure and Key Personnel

- 3.1 Describe the overall management approach toward planning and implementing the project. Include an organization chart for the management of the project describing the relationship of key positions and designations. Provide a spreadsheet to show the activities of each personnel and the time allocated for his/her involvement.
- 3.2 Provide CVs for key personnel that will be provided to support the implementation of this project using the format below. CVs should demonstrate qualifications in areas relevant to the Scope of Services.

Format for CV of Proposed Key Personnel (below)

| NAME OF PERSONNEL | [INSERT] |
|------------------------------|---|
| | |
| | |
| | |
| POSITION FOR | [INSERT] |
| THIS ASSIGNMENT | [11102111] |
| | |
| | |
| | |
| NATIONALITY | [INSERT] |
| | |
| | |
| LANGUAGE | |
| PROFICIENCY | [INSERT] |
| | |
| | |
| | |
| EDUCATION/ QUALIFICATIONS | [SUMMARIZE COLLEGE/UNIVERSITY AND OTHER SPECIALIZED EDUCATION OF PERSONNEL MEMBER, GIVING NAMES OF SCHOOLS, DATES ATTENDED, AND DEGREES/QUALIFICATIONS OBTAINED.] |
| | •••··································· |

| | [INSERT] |
|-------------------------------------|--|
| | |
| | |
| PROFESSIONAL | [PROVIDE DETAILS OF PROFESSIONAL CERTIFICATIONS RELEVANT TO THE SCOPE OF SERVICES] |
| CERTIFICATIONS | NAME OF INSTITUTION: [INSERT]DATE OF CERTIFICATION: [INSERT] |
| EMPLOYMENT RECORD/ EXPERIENCE | [LIST ALL POSITIONS HELD BY PERSONNEL (STARTING WITH PRESENT POSITION, LIST IN REVERSE ORDER), GIVING DATES, NAMES OF EMPLOYING ORGANIZATION, TITLE OF POSITION HELD AND LOCATION OF EMPLOYMENT. FOR EXPERIENCE IN LAST FIVE YEARS, DETAIL THE TYPE OF ACTIVITIES PERFORMED, DEGREE OF RESPONSIBILITIES, LOCATION OF ASSIGNMENTS AND ANY OTHER INFORMATION OR PROFESSIONAL EXPERIENCE CONSIDERED PERTINENT FOR THIS ASSIGNMENT.] |
| | [INSERT] |

| | [PROVIDE NAMES, ADDRESSES, PHONE AND EMAIL CONTACT INFORMATION FOR TWO (2) REFERENCES] |
|------------|--|
| | REFERENCE 1: |
| REFERENCES | [INSERT] |
| | |
| | DEFEDENCE 2. |
| | REFERENCE 2: |
| | [INSERT] |

| Signature of Personnel | Date (Day/Month/Year) |
|--|-----------------------|
| | |
| | |
| | |
| | |
| l, the undersigned, certify that to the best of my knowledge qualifications, my experiences, and other relevant information a | , |
| | |

FORM F: FINANCIAL PROPOSAL SUBMISSION FORM

| Name of Bidder: | [Insert Name of Bidder] | Date: | Select date |
|-----------------|-------------------------------|-------|-------------|
| RFP reference: | [Insert RFP Reference Number] | | |

We, the undersigned, offer to provide the services for [Insert Title of services] in accordance with your Request for Proposal No. [Insert RFP Reference Number] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and our Financial Proposal sealed under a separate envelope.

Our attached Financial Proposal is for the sum of [Insert amount in words and figures].

Our Proposal shall be valid and remain binding upon us for the period of time specified in the Bid Data Sheet.

We understand you are not bound to accept any Proposal you receive.

| Name: | |
|------------|--|
| Title: | |
| Date: | |
| Signature: | |

[Stamp with official stamp of the Bidder]

FORM G: FINANCIAL PROPOSAL FORM

| Name of Bidder: | [Insert Name of Bidder] | Date: | Select date |
|-----------------|-------------------------------|-------|-------------|
| RFP reference: | [Insert RFP Reference Number] | | |

The Bidder is required to prepare the Financial Proposal following the below format and submit it in an envelope separate from the Technical Proposal as indicated in the Instruction to Bidders. Any Financial information provided in the Technical Proposal shall lead to Bidder's disqualification.

The Financial Proposal should align with the requirements in the Terms of Reference and the Bidder's Technical Proposal.

Currency of the proposal: [Insert Currency]

Table 1: Summary of Overall Prices

| | Amount(s) |
|---|-----------|
| Professional Fees (from Table 2) | |
| Other Costs (from Table 3) | |
| Total Amount of Financial Proposal | |

Table 2: Breakdown of Professional Fees

| Name | Position | Fee Rate | No. of Days/months/ hours | Total Amount |
|-----------------------------|----------|----------|---------------------------|--------------|
| | | Α | В | C=A+B |
| In-Country | | | | |
| | | | | |
| | | | | |
| Home Based | | | | |
| | | | | |
| | | | | |
| Subtotal Professional Fees: | | | | |

Table 3: Breakdown of Other Costs

| Description | иом | Quantity | Unit Price | Total Amount |
|-------------------------------|----------|----------|--------------------|--------------|
| Miscellaneous travel expenses | Trip | | | |
| Local transportation costs | Lump Sum | | | |
| Out-of-Pocket Expenses | | | | |
| Other Costs: (please specify) | | | | |
| | | Sub | total Other Costs: | |

Table 4: Breakdown of Price per Deliverable/Activity

| Deliverable/ Activity description | Proposed price (rate/hour) |
|---|----------------------------|
| Deliverable 1: Monitoring & Supervision | |
| Delivarable 2: Preparation of Bills of Quantities (incl. review of submission by partners) | |
| Delivarable 3: Commissioning & Final Certification | |
| Delivarable 4: Approval of interim payment certification | |