

REQUEST FOR PROPOSAL (RFP) (RFP/RNE/2022/73)

Dear Sir / Madam:

We kindly request you to submit your Proposal for **Engaging entrepreneurs to meet economic** challenges and strengthen social cohesion and stability.

Please be guided by the form attached hereto as Annex 2, in preparing your Proposal.

Proposals must be submitted on or before the deadline indicated by UNDP in the eTendering system. Bids must be submitted in the online eTendering system in the following link: <u>https://etendering.partneragencies.org</u> using your username and password. If you have not registered in the system before, you can register now by logging in using

username: event.guest password: why2change and follow the registration steps as specified in the system user guide.

Your Proposal must be expressed in the English, and valid for a minimum period of **90 days from** the bid closing date.

You are kindly requested to indicate whether your company intends to submit a Proposal by utilize the "Accept Invitation" function in eTendering system. This will enable you to receive amendments or updates to the RFP.

In the course of preparing and submitting your Proposal, it shall remain your responsibility to ensure that it submitted into the system by the deadline. The system will automatically block and not accept any bid after the deadline. Bid Submission deadline appearing on e-Tendering portal will be FINAL and prevail on the ones appearing on other websites. Kindly ensure that supporting documents required are signed and in the .pdf format, and free from any virus or corrupted files.

The Financial Proposal and the Technical Proposal files <u>MUST BE COMPLETELY SEPARATE</u> and uploaded separately in the system and clearly named as either "TECHNICAL PROPOSAL" or "FINANCIAL PROPOSAL", as appropriate. Each document shall include the Proposer's name and address. <u>The file with</u> <u>the "FINANCIAL PROPOSAL" must be encrypted with a password so that it cannot be opened nor viewed</u> <u>until the Proposal has been found to pass the technical evaluation stage. Once a Proposal has been found</u> <u>to be responsive by passing the technical evaluation stage, UNDP shall request the Proposer to submit the</u> <u>password to open the Financial Proposal.</u> The Proposer shall assume the responsibility for not encrypting

the financial proposal. Do not disclose your price in the line items. You must put price as 1 in the system and provide the Financial Proposal as encrypted file as explained above.

The Proposal that complies with all of the requirements, meets all the evaluation criteria and offers the best value for money shall be selected and awarded the contract. Any offer that does not meet the requirements shall be rejected.

Any discrepancy between the unit price and the total price shall be re-computed by UNDP, and the unit price shall prevail, and the total price shall be corrected. If the Service Provider does not accept the final price based on UNDP's re-computation and correction of errors, its Proposal will be rejected.

No price variation due to escalation, inflation, fluctuation in exchange rates, or any other market factors shall be accepted by UNDP after it has received the Proposal. At the time of Award of Contract or Purchase Order, UNDP reserves the right to vary (increase or decrease) the quantity of services and/or goods, by up to a maximum twenty-five per cent (25%) of the total offer, without any change in the unit price or other terms and conditions.

Any Contract or Purchase Order that will be issued as a result of this RFP shall be subject to the General Terms and Conditions attached hereto. The mere act of submission of a Proposal implies that the Service Provider accepts without question the General Terms and Conditions of UNDP, herein attached as Annex 3.

Please be advised that UNDP is not bound to accept any Proposal, nor award a contract or Purchase Order, nor be responsible for any costs associated with a Service Providers preparation and submission of a Proposal, regardless of the outcome or the manner of conducting the selection process.

UNDP's vendor protest procedure is intended to afford an opportunity to appeal for persons or firms not awarded a Purchase Order or Contract in a competitive procurement process. In the event that you believe you have not been fairly treated, you can find detailed information about vendor protest procedures in the following link:

http://www.undp.org/content/undp/en/home/operations/procurement/protestandsanctions/

UNDP encourages every prospective Service Provider to prevent and avoid conflicts of interest, by disclosing to UNDP if you, or any of your affiliates or personnel, were involved in the preparation of the requirements, design, cost estimates, and other information used in this RFP.

UNDP implements a zero tolerance on fraud and other proscribed practices, and is committed to preventing, identifying and addressing all such acts and practices against UNDP, as well as third parties involved in UNDP activities. UNDP expects its Service Providers to adhere to the UN Supplier Code of Conduct found in this link : <u>http://www.un.org/depts/ptd/pdf/conduct_english.pdf</u>

Thank you and we look forward to receiving your Proposal.

Sincerely yours,

Sripalee De Silva Procurement Assistant 1/9/2022

| | UNDP has identified the following key development challenges as strategic priorities for Sri Lanka to be covered broadly under its recalibrated Governance Portfolio. Voice and Representation Rights and Justice Strong and Efficient Institutions Social Cohesion Accordingly, UNDP Sri Lanka will be undertaking key project initiatives in support of the four strategic priority areas identified above, in close partnership with relevant national and sub-national partners. |
|---------------------------------|---|
| Context of the Requirement | Sri Lanka is experiencing the worst economic crisis the country has witnessed since its independence. The public outcry against economic hardships and protests have seemingly unified the ethnically and religiously divided nation. However, as a country emerges out of 26-year-old civil strife, fears grow whether this unity will continue to prevail or will be weakened through political and economic distractions. Amidst the prevailing conditions, people are left with uncertainty and a fragile environment that impede their ability to prepare and respond to the crisis effectively and execute their duties as responsible citizens of a crisis-ridden country. If left unaddressed, these tensions coupled with misunderstandings or misinterpretations of the current political and economic crisis and lurking social, ethnic, and religious divisions, can lead to an outbreak of conflict and violence that could further jeopardize any attempts for recovery. |
| | In light of this, UNDP Sri Lanka seeks to work with an institution that can work closely with the private sector in order to promote entrepreneurship and implement a program that leads to a positive economic outcome which will help address currently identified risks and challenges worsening the economic crisis whilst ensuring inclusivity and participation of diverse communities to promote social cohesion and stability. The project concepts should demonstrate a strong alignment to a process of sustainable peacebuilding and promotion of social cohesion amidst the economic crisis. |
| Implementing Partner of UNDP | UNDP |

| Brief Description of the Required Services ¹ | United Nations Development Programme (UNDP) in Sri Lanka wishes to call for Request for Proposals from qualified service providers for engaging entrepreneurs to meet economic challenges and strengthen social cohesion and stability |
|---|--|
| List and Description of Expected Outputs to be Delivered | Please refer to Terms of Reference |
| Person to Supervise the Work/Performa nce of the Service Provider | Technical Specialist- Reconciliation and Peacebuilding UNDP |
| Frequency of Reporting | As per TOR |
| Progress Reporting Requirements | Monthly |
| Location of work | ☑ At Contractor's Location |
| Expected duration of work | 8 months |
| Target start date | 19 September 2022 |
| Latest completion date | 20 May 2023 |
| Travels Expected | As per the TOR |
| Special Security Requirements | Must adhere to Government and Ministry of Health instruction regarding COVID 19 pandemic |
| Implementation Schedule indicating breakdown and timing of activities/sub- activities | ⊠ Required |
| Names and curriculum vitae of individuals who will be involved in | ⊠ Required |

¹ A detailed TOR may be attached if the information listed in this Annex is not sufficient to fully describe the nature of the work and other details of the requirements.

| ⊠ Local Currency LKR | | | | | |
|--|--|--|--|--|--|
| I must be inclusive of VAT and other applicable indirect taxes | | | | | |
| ⊠ 90 days | | | | | |
| In exceptional circumstances, UNDP may request the Proposer to extend the validity of the Proposal beyond what has been initially indicated in this RFP. The Proposal shall then confirm the extension in writing, without any modification whatsoever on the Proposal. | | | | | |
| ⊠ Not permitted | | | | | |
| Deliverable | Time Frame (Tentative) | Payment | | | |
| Deliverable 01 | 30 th September 2022 | 30% of the total contract value excluding provisional sum allocated for award under the financial support scheme | | | |
| Deliverable 02 | 30 th October 2022 | 10% of the total contract value excluding provisional sum allocated for award under the financial support scheme | | | |
| Deliverable 03 | 10 th November 2022 | 20% of the total contract value excluding provisional sum allocated for award under the financial support scheme | | | |
| Deliverable 04 | 15 th December 2022 | 20 % of total contract value excluding provisional sum+ 60% of provisional sum allocated for award under the financial support scheme | | | |
| Deliverable 05 | 30 th March 2023 | 10 % of total contract value excluding provisional sum + 40% of provisional sum allocated for award under the financial support scheme | | | |
| Deliverable 06 | 20 th May 2023 | 10% of total contract value excluding provisional sum allocated for award under the financial support scheme | | | |
| Condition for Payment Release: | | | | | |
| Within thirty (30) days from the date of meeting the following conditions: a) UNDP's written acceptance (i.e., not mere receipt) of the quality of the outputs; and b) Receipt of invoice from the Service Provider. | | | | | |
| | must be inclusive 90 days In exceptional circum of the Proposal beyo shall then confirm the the Proposal. Not permitted Deliverable 01 Deliverable 01 Deliverable 02 Deliverable 03 Deliverable 03 Deliverable 04 Deliverable 05 Deliverable 05 Deliverable 06 | Image: Second state of the second shall the confirm the extension in writing the Proposal beyond what has been in shall then confirm the extension in writing the Proposal. Image: Second state of the proposal beyond what has been in shall then confirm the extension in writing the Proposal. Image: Second state of the proposal beyond what has been in shall then confirm the extension in writing the proposal. Image: Second state of the proposal beyond what has been in shall then confirm the extension in writing the proposal. Image: Second state of the proposal state of the proposal. Image: Second state of the proposal state o | | | |

 $^{^{2}}$ VAT exemption status varies from one country to another. Pls. check whatever is applicable to the UNDP CO/BU requiring the service.

| Person(s) to review/inspect/ approve outputs/comple ted services and authorize the disbursement of payment | Technical Specialist- Reconciliation and Peacebuilding UNDP |
|---|---|
| Type of Contract to be Signed | ☑ Purchase Order ☑ Contract for Goods and Services |
| Criteria for Contract Award | Highest Combined Score (based on the 70% technical offer and 30% price weight distribution) Full acceptance of the UNDP Contract General Terms and Conditions (GTC). This is a mandatory criterion and cannot be deleted regardless of the nature of services required. Non acceptance of the GTC may be grounds for the rejection of the Proposal. |
| Criteria for the Assessment of Proposal | Technical Proposal (70%) ☑ Expertise of the Firm 28.56% ☑ Proposed Methodology, Approach and Project Implementation Plan 35.72% ☑ Management Structure and Qualification of Key Personnel 35.72% <i>Minimum score for technical compliance 70% (490)</i> Financial Proposal (30%) To be computed as a ratio of the Proposal's offer to the lowest price among the proposals received by UNDP. |
| UNDP will award the contract to: | ☑ One and only one Service Provider |
| Annexes to this RFP ³ | Form for Submission of Proposal (Annex 2a and Annex 2b) General Terms and Conditions / Special Conditions (Annex 3)⁴ uploaded separately to e-tendering portal Detailed TOR (Annex 4) eTendering user guide for Bidders uploaded separately to e-tendering portal |
| Contact Person for Inquiries | Mr. Priyan Senevirathna Technical Specialist - Reconciliation and Peacebuilding Email <u>priyan.senevirathna@undp.org</u> |

 ³ Where the information is available in the web, a URL for the information may simply be provided.
 ⁴ Service Providers are alerted that non-acceptance of the terms of the General Terms and Conditions (GTC) may be grounds for disqualification from this procurement process.

| (Written | Any delay in UNDP's response shall be not used as a reason for extending the |
|------------------------------|--|
| inquiries only) ⁵ | deadline for submission, unless UNDP determines that such an extension is |
| | necessary and communicates a new deadline to the Proposers. |
| Other | This procurement process is being conducted through Online Tendering System of |
| Information | UNDP. Proposals submitted via email or by hard copies will not be accepted |

⁵ This contact person and address is officially designated by UNDP. If inquiries are sent to other person/s or address/es, even if they are UNDP staff, UNDP shall have no obligation to respond nor can UNDP confirm that the query was received.

Annex 2a

FORM FOR SUBMITTING SERVICE PROVIDER'S PROPOSAL⁶

(This Form must be submitted only using the Service Provider's Official Letterhead/Stationery⁷)

[insert: Location]. [insert: Date]

To: Head of Procurement, 202-204, Bauddhaloka Mawatha, Colombo 7

Dear Sir/Madam:

We, the undersigned, hereby offer to render the following services to UNDP in conformity with the requirements defined in the RFP dated [specify date], and all of its attachments, as well as the provisions of the UNDP General Contract Terms and Conditions :

A. Qualifications of the Service Provider

The Service Provider must describe and explain how and why they are the best entity that can deliver the requirements of UNDP by indicating the following :

- a) Profile describing the nature of business, field of expertise, licenses, certifications, accreditations;
- b) Business Licenses Registration Papers, Tax Payment Certification, etc.
- c) Latest Audited Financial Statement income statement and balance sheet to indicate Its financial stability, liquidity, credit standing, and market reputation, etc. ;
- d) Track Record list of clients for similar services as those required by UNDP, indicating description of contract scope, contract duration, contract value, contact references;
- e) Certificates and Accreditation including Quality Certificates, Patent Registrations, Environmental Sustainability Certificates, etc.
- f) Written Self-Declaration that the company is not in the UN Security Council 1267/1989 List, UN Procurement Division List or Other UN Ineligibility List.

B. Proposed Methodology for the Completion of Services

The Service Provider must describe how it will address/deliver the demands of the RFP; providing a detailed description of the essential performance characteristics, reporting conditions and quality assurance mechanisms that will be put in place, while demonstrating that the proposed methodology will be appropriate to the local conditions and context of the work.

⁶ This serves as a guide to the Service Provider in preparing the Proposal.

⁷ Official Letterhead/Stationery must indicate contact details – addresses, email, phone and fax numbers – for verification purposes

C. Qualifications of Key Personnel

If required by the RFP, the Service Provider must provide :

- a) Names and qualifications of the key personnel that will perform the services indicating who is Team Leader, who are supporting, etc.;
- b) CVs demonstrating qualifications must be submitted if required by the RFP; and
- c) Written confirmation from each personnel that they are available for the entire duration of the contract.

[Name and Signature of the Service Provider's Authorized Person] [Designation] [Date]

FORM FOR SUBMITTING SERVICE PROVIDER'S FINANCIAL PROPOSAL

(This Form must be submitted only using the Service Provider's Official Letterhead/Stationery⁸)

Financial Proposal:

This MUST BE COMPLETELY SEPARATE and uploaded separately in the system and clearly named as <u>"FINANCIAL PROPOSAL"</u>. Each document shall include the Proposer's name and address. <u>The file with the</u> <u>"FINANCIAL PROPOSAL"</u> must be encrypted with a password so that it cannot be opened nor viewed until the Proposal has been found to pass the technical evaluation stage. Once a Proposal has been found to be responsive by passing the technical evaluation stage, UNDP shall request the Proposer to submit the password to open the Financial Proposal. The Proposer shall assume the responsibility for not encrypting the financial proposal. Do not disclose your price in the line items. You must put price as 1 in the system and provide the Financial Proposal as encrypted file as explained above.

A. Cost Breakdown per Deliverable*

| | Deliverables [list them as referred to in the RFP] | Percentage of Total Price (<i>Weight for</i> payment) | LKR (Lump Sum, All Inclusive) |
|---|--|---|-------------------------------------|
| 1 | Deliverable 01 | 30% of the total contract value excluding provisional sum allocated for award under the financial support scheme | menusivey |
| 2 | Deliverable 02 | 10% of the total contract value excluding provisional sum allocated for award under the financial support scheme | |
| 3 | Deliverable 03 | 20% of the total contract value excluding provisional sum allocated for award under the financial support scheme | |
| 4 | Deliverable 04 | 20 % of total contract value excluding provisional sum+ 60% of provisional sum allocated for award under the financial support scheme | |
| 5 | Deliverable 05 | 10 % of total contract value excluding provisional sum + 40% of provisional sum allocated for award under the financial support scheme | |
| 6 | Deliverable 06 | 10% of total contract value excluding provisional sum allocated for award under the financial support scheme | |
| | Provisional sum | | |
| | All-inclusive Total | 100% | |

⁸ Official Letterhead/Stationery must indicate contact details – addresses, email, phone and fax numbers – for verification purposes

*Kindly note that following the submission of proposals and evaluation, the aforementioned percentages may be subject to revision prior to signing the final contractual agreement with the selected service provider.

| Description | Unit Type | No. of Unit | Unit Rate (LKR) | Amount (LKR) | Remarks |
|---|--------------|-------------------|-----------------------|-----------------|---------|
| 1. Personnel Services | | | | | |
| a. Expertise Team Leader | | | | | |
| b. Expertise Team Member | | | | | |
| Add members if required | | | | | |
| 2. Workshops* (if any) | | | | | |
| 3. Out of pocket expenses | | | | | |
| a. Travel costs | | | | | |
| b. Communications | | | | | |
| c. Others (please specify) | | | | | |
| Other related cost (please specify) | | | | | |
| Total Cost | | | | | |

B. Cost Breakdown by Cost Component [This is only an Example]:

- The service provider must factor in all possible costs in "All Inclusive cost" including the professional fee, honorarium, any additional human resource cost (as required) and any other foreseeable costs in this exercise.
- No costs other than what has been indicated in the financial proposal will be paid or reimbursed to the contracting institution.
- Any costs that are outside of this TOR and scope of work and unplanned will be reimbursed subject to discussion and prior approval by UNDP.
- All-inclusive cost in the Table A should tally the all-inclusive cost in the Table B

*UNDP prefers to do physical meetings, but if it is difficult in the current COVID 19 pandemic or economic situations, action should be taken to conduct virtual meetings and programs. **Kindly capture the cost of the physical meetings in the above cost breakdown** and financial estimates of virtual discussions and meetings should be submitted separately

[Name and Signature of the Service Provider's Authorized Person] [Designation] [Date]